6. Discussion of Recommended Order

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Through:	Emily Alvarado, Chief Attorney
Re:	FGCC v. Clemente Romero Case No. 2026-027088; Recommended Order
Date:	November 2, 2026

Executive Summary

Clemente Romero (Respondent) holds a pari-mutuel professional individual occupational license with the Commission. As a result of Respondent accumulating unpaid financial obligations, the Division of Pari-Mutuel Wagering (Division) filed an Administrative Complaint against Respondent, seeking penalties under section 550.105(7), Florida Statutes. Respondent requested an informal hearing not involving disputed issues of material fact, which was held on September 24, 2026. Following the hearing, the hearing officer issued a recommended order recommending that the Florida Gaming Control Commission enter a final order suspending Respondent's pari-mutuel professional individual occupational license until such time as Respondent provides proof that the default judgment has been satisfied or proof that a payment plan has been established Therefore, the Division of Pari-Mutuel Wagering requests that the Florida Gaming Control Commission enter a final order adopting the hearing officer's recommended order and suspending Respondent's pari-mutuel professional individual occupational license until such time as Respondent provides proof that the default judgment has been satisfied or proof that a payment plan has been established.

Relevant Facts

At all times material hereto, Respondent held a pari-mutuel professional individual occupational license, number 13631379. On March 26, 2026, a judgment of default of $9,397.25 was entered against Respondent for unpaid financial obligations regarding veterinary services in the County Court of the Seventeenth Judicial Circuit in and for Broward County, Florida, case number COCE-25-078862 (the Judgment). These financial obligations for veterinary services are related to racing conducted at a pari-mutuel facility in the state of Florida.

On April 30, 2026, the Division filed an Administrative Complaint against Respondent, seeking penalties under Section 550.105(7). On May 18, 2026,

Respondent returned an Election of Rights requesting an informal hearing pursuant to Section 120.57(2). On September 24, 2026, the informal hearing officer held a hearing on this matter.

On October 22, 2026, the hearing officer issued an order finding that Respondent accumulated unpaid financial obligations and recommending that the Commission enter a final order suspending Respondent's pari-mutuel professional individual occupational license until such time as Respondent provides proof that the default judgment has been satisfied or proof that a payment plan has been established.

Analysis

Section 550.105(7) provides that "[t]he commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state."

Because Respondent accumulated unpaid financial obligations that are directly related to racing conducted at a pari-mutuel facility in Florida, Respondent's license may be suspended or revoked.

Staff Recommendation: The Division of Pari-Mutuel Wagering requests that the Florida Gaming Control Commission enter a final order adopting the hearing officer's recommended order and suspending Respondent's pari-mutuel professional individual occupational license until such time as Respondent provides proof that the default judgment has been satisfied or proof that a payment plan has been established.

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 10/22/2026
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2026-027088

CLEMENTE ROMERO,

Respondent.
_____/

HEARING OFFICER'S RECOMMENDED ORDER

THIS MATTER came before Shireen Anbardan, designated Hearing Officer for the Florida Gaming Control Commission ("Commission"), on September 24, 2026, in Tallahassee, Florida, in accordance with the provisions of sections 120.569 and 120.57(2), Florida Statutes, for consideration of the petition for hearing by Clemente Romero ("Respondent") in response to the Commission's Administrative Complaint filed in FGCC Case Number 2026-027088. Emily Alvarado, Chief Attorney, represented the Commission. Respondent appeared *pro se*. The hearing was held telephonically. Both sides were permitted to present witnesses, proffer items into evidence, and otherwise fully participate in the hearing.

PRELIMINARY STATEMENT

1. On or about April 30, 2026, the Commission's Division of Pari-Mutuel Wagering (the "Division") filed an administrative complaint (the "Administrative Complaint") alleging that Respondent is subject to suspension or revocation of his pari-mutuel professional individual occupational license pursuant to section 550.105(7), Florida Statutes.

2. On or about March 26, 2026, a default judgment of $9,397.25 was entered against Respondent in the County Court of the Seventeenth Judicial Circuit in and for Broward County, Florida, for unpaid financial obligations regarding veterinary services in case number COCE-25-053186.

3. On or about May 18, 2026, Respondent requested an informal hearing pursuant to section 120.57(2), Florida Statutes.

4. The informal hearing was scheduled for September 24, 2026.

THE SEPTEMBER 24, 2026, INFORMAL HEARING

5. A hearing pursuant to section 120.57(2), Florida Statutes, was held on September 24, 2026. During this hearing, the Commission presented the issues raised in the Administrative Complaint. The Hearing Officer granted the Commission's motion to accept the findings of fact in the Administrative Complaint as the undisputed facts in the case and accepted the investigative packet into the record.

6. The investigative report contained within the investigative packet alleged that veterinary services were provided to thoroughbred racehorses owned or controlled by Respondent.

7. The investigative report further alleged that on or about March 26, 2026, a default judgment of $9,397.25 was entered against Respondent for unpaid financial obligations regarding veterinary services in case number COCE-25-25078862.

8. At the hearing, Respondent testified that he is not trying to avoid his responsibility and fully intends to pay what he owes as soon as his situation allows him to do so.

9. Respondent further testified that he is currently facing extremely difficult financial hardship. In particular, in the month prior to the hearing, he suffered a severe fall that led to hospitalization and unexpected medical bills.

10. Respondent stated that he is willing to make monthly payments towards the judgment, potentially increasing the amount as his circumstances improve.

FINDINGS OF FACT

11. At all times pertinent to the allegations contained herein, Respondent held a pari-mutuel wagering professional individual occupational license, number 13631379, issued pursuant to section 550.105(2)(a), Florida Statutes.

12. On or about March 26, 2026, a default judgment of $9,397.25 was entered against Respondent in the County Court of the Seventeenth Judicial Circuit in and for Broward County, Florida, for unpaid financial obligations regarding veterinary services in case number COCE-25-25078862.

13. These unpaid financial obligations relate to racing conducted at a pari-mutuel facility in the State of Florida.

14. There is competent substantial evidence to support the foregoing findings of fact.

CONCLUSIONS OF LAW

15. The Hearing Officer has jurisdiction over this matter and the parties pursuant to section 120.57(2), Florida Statutes.

16. The Commission has jurisdiction over this matter under chapters 120 and 550, Florida Statutes.

17. Section 550.105(7), Florida Statutes, states:

> The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.

18. There is competent, substantial evidence to support the conclusions of law.

RECOMMENDED ORDER

WHEREFORE, based on the Findings of Fact and Conclusions of Law, it is hereby recommended that the Florida Gaming Control Commission enter a Final Order SUSPENDING Respondent's pari-mutuel wagering professional individual occupational license until such time as Respondent provides proof that the default judgment has been satisfied or proof that a payment plan has been established.

This Recommended Order in FGCC Case Number 2026-027088 is submitted on this 22nd day of October 2026.

Shireen Anbardan
Hearing Officer
Florida Gaming Control Commission

CERTIFICATE OF SERVICE

I hereby certify this 22ND day of OCTOBER 2026, that a true copy of the foregoing "Hearing Officer's Recommended Order" has been provided by email to:

Clemente Romero
1566 Astoria Arbor Lane
Orlando, FL 32824
cromero@cyinvestmentsgroup.com
Respondent

Emily Alvarado
Chief Attorney
Florida Gaming Control Commission
Emily.Alvarado@flgaming.gov
Counsel for Petitioner

Clerk of the Commission
FLORIDA GAMING CONTROL COMMISSION

Case No: 2026-027088

CLEMENTE ROMERO
Case No. 2026-027088
Informal Hearing Packet

Documents Included in Case File

Exhibit 1..... Notice of Hearing

Exhibit 2..... Election of Rights

Exhibit 3..... Administrative Complaint

Exhibit 4..... Report of Investigation

Exhibit 5 ..... Supporting Documents/Correspondences

September 1, 2026

Clemente Romero
1566 Astoria Arbor Lane
Orlando, Florida 32824

RE: FGCC v. CLEMENTE ROMERO
Case No.: 2026-027088

Enclosed please find a Notice of Hearing for the informal hearing that has been scheduled in the above-referenced case. **Your hearing is scheduled to be heard on Thursday, September 24, 2026, at 10:30 AM (Eastern Time).** Please read the Notice of Hearing for more details about the date, time, location, and instructions for the hearing. A copy of the Commission's case file has been emailed and mailed to your address of record. Please ensure that you have this case file available during the hearing, as you may need to refer to it throughout the hearing.

You may also provide written or oral evidence or have witnesses testify on your behalf. Any evidence that you wish to present to the Hearing Officer and any names and contact information of witnesses you plan to call at the hearing should be emailed to Shireen.Anbardan@flgaming.gov at least seven (7) days before the date of the hearing. If you do not have an email address, please contact me to determine an alternative method to provide the information.

Please note: The hearing is scheduled for **10:30 AM**, and you are expected to be available and ready to join at that time.

Below please find information about the informal hearing process:

1. The Informal Hearing is held on the date and time noted in the Notice of Hearing.
2. A Final Order will be issued within 90 days after the date of the hearing. The Final Order is the **final** agency action and will resolve your case.

Should you have any questions or need any assistance, please feel free to contact me via telephone or email at (850) 794-8072 or Maya.Williams@flgaming.gov.

Sincerely,

/s/ Maya Williams

Maya Williams
Administrative Assistant II
(850) 794-8071

Enclosures: Notice of Hearing and Case File

EXHIBIT
1

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v. FGCC Case No..: 2026-027088

CLEMENTE ROMERO,

Respondent.
_____/

NOTICE OF TELEPHONIC HEARING

TO: Clemente Romero
1566 Astoria Arbor Lane
Orlando, Florida 32824
Cromero@cyinvestmentsgroup.com
or CJRB1984@gmail.com

YOU ARE HEREBY NOTIFIED that the Florida Gaming Control Commission's designated Hearing Officer will conduct a hearing on this matter, pursuant to Section 120.57(2), Florida Statutes. If you wish to present testimony, you must attend the hearing. **The hearing is scheduled for Thursday, September 24, 2026, at 10:30 AM (EST).** Please use the Microsoft Teams dial-in information provided below to join the hearing. We recommend dialing in **5–10 minutes before the scheduled start time** to allow sufficient time to connect. Once connected, please remain on the line until the Hearing Officer joins and your case is called. Failure to join the hearing timely, remain connected, or be available when your case is called may result in the hearing proceeding in

Microsoft Teams meeting

Dial in by phone

+1 929-777-2488,,759564226# United States, New York City

Phone conference ID: 759 564 226#

You may elect to attend the hearing in person or by video conference. If you wish to do so, you must contact the Commission by email at Maya.Williams@flgaming.gov or by telephone at (850) 794-8071, at least seven (7) days prior to your hearing date. You may also provide written or oral evidence or have witnesses testify on your behalf. Any written evidence you wish to present to the Hearing Officer, as well as the names and contact information of any witnesses you plan to call at the hearing, should be emailed to Shireen.Anbardan@flgaming.gov and Emily.Alvarado@flgaming.gov at least seven (7) days before the date of the hearing. If you do not have an email address, please contact me to determine an alternative method to provide the information.

If you cannot attend the hearing and wish to request a continuance for good cause, you must notify the Hearing Officer at Shireen.Anbardan@flgaming.gov and Opposing Counsel at Emily.Alvarado@flgaming.gov at least five (5) days prior to your hearing date. Continuance requests made within five (5) days of the hearing can only be granted for emergencies.

I HEREBY CERTIFY that a true and correct copy of the foregoing has been furnished to the above-named parties via certified mail, on this 1st day of September, 2026.

By: /s/ *Maya Williams*

Maya Williams
Administrative Assistant II
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399
Telephone: (850) 794-8071
Facsimile: (850) 536-8712
Maya.Williams@flgaming.gov

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this hearing is asked to advise the agency at least 48 hours before the hearing by contacting Ebonie Lanier in the Office of General Counsel by email at Ebonie.Lanier@flgaming.gov. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

From: Maya Williams
To: CROMERO@CYINVESTMENTSGROUP.COM; "CJRB1984@gmail.com"
Subject: FGCC v. ROMERO, CLEMENTE Case No. 2026-027088
Date: Monday, July 27, 2026 8:06:00 AM
Attachments: image001.png

Good Morning,

I hope this message finds you well.

This email is to inform you that an informal hearing will be scheduled regarding your case. Informal hearings will be held between 10:00 AM and 3:00 PM on the following dates:

- August 19, 2026

  or

- August 20, 2026

Please confirm which of these dates works best for you, and indicate your preferred hearing format: in person, video conference, or telephonic. Once I receive your availability, I will follow up with additional details and a specific hearing time.

Please respond by 5:00 PM on Friday, August 31, 2026. If we do not hear from you by then, a hearing will be scheduled on your behalf, and you will receive a formal notice with the confirmed date and time. If you have any questions, please feel free to reach out.

Thanks,
Maya Williams



Maya Williams
Administrative Assistant II
Office of the General Counsel
Office: (850)-794-8071
Fax: (850) 536-8709

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGER VIEW

EXHIBIT
2

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 5/18/2026
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

FGCC v. ROMERO, CLEMENTE **CASE NO.: 2026-027088**

ELECTION OF RIGHTS

Please read the Administrative Complaint before choosing one of the three options. The Election of Rights is a **legally binding** document. Consult an attorney if you do not understand your options.

You can only select **ONE** of the options numbered one (1), two (2), and three (3) on the Election of Rights form. You must sign the form.

A completed Election of Rights **must be returned to the Clerk of the Commission within 21 days, by 5:00 p.m., Eastern Time, of the day you receive the attached Administrative Complaint.**

If your Election of Rights form or request for hearing is not filed with the Clerk of the Commission within 21 days of the day you received the Administrative Complaint, you will have waived your right to contest the proposed agency action and a Final Order will be issued imposing the proposed agency action set forth in the Administrative Complaint.

Please use this form unless you, your attorney, or your representative prefer to reply according to chapter 120, Florida Statutes, and chapter 28.106 of the Florida Administrative Code.

Please return your Election of Rights form to this address:

Florida Gaming Control Commission
Attention: Clerk of the Commission
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399
Telephone: (850) 794-8067 Fax: (850) 536-8712
Email: clerk@flgaming.gov

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option One (1) ☑ **I do not dispute the allegations** of material fact in the Administrative Complaint and wish to be heard at an informal proceeding pursuant to section 120.57(2), Florida Statutes, where I may submit testimony and written evidence to the Commission to show that the proposed agency action is too severe or that the sanction should be reduced.

Option Two (2) ☐ **I do dispute the allegations** of material fact in the Administrative Complaint and I request a formal hearing pursuant to Section 120.57(1) before an Administrative Law Judge of the Division of Administrative Hearings ("DOAH"). **I specifically dispute the following facts in the Administrative Complaint** (attach extra pages or write on the back if needed):

_____

_____

_____

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

In addition to the above election for formal hearing, check the box below if you wish to enter into settlement negotiations and waive the 15-day requirement for this matter to be referred to DOAH:

☑ Section 120.569(2)(a), Florida Statutes, requires the Commission to send this case to DOAH for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement.

Option Three (3) ☐ **I do not dispute the allegations** of material fact in the Administrative Complaint and **waive my right** to object and to have a hearing. I understand that by giving up the right to object and have a hearing, a Final Order will be issued that adopts the allegations of fact and conclusions of law alleged in the Administrative Complaint and imposes the Commission action set forth in the Administrative Complaint.

THIS IS A **LEGALLY BINDING DOCUMENT**. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

CLEMENTE ROMERO	
PRINTED NAME	ATTORNEY OR QUALIFIED REPRESENTATIVE
1566 ASTORIA ARBOR LN	
Street Address	Street Address (where service shall be made)
ORLANDO *FL* *32824*	
City State Zip	City State Zip
Telephone Number Facsimile Number (if any)	Telephone Number Facsimile Number (if any)
CROMERO@CYINVESTMENTSGROUP.COM	
E-mail	E-mail
SIGNATURE	SIGNATURE

YOU MUST LET THE COMMISSION KNOW IF YOUR E-MAIL OR MAILING ADDRESS CHANGES

PAYMENT PLANPROPOSAL AND REQUEST FOR SETTLEMENT

Clemente Romero
1566 Astoria Arbor Lane
Orlando, FL 32824

Date: 05/16/2026

Florida Gaming Control Commission
Attention: Clerk of the Commission
4070 Esplanade Way, Suite 250
Tallahassee, FL 32399

Re: FGCC v. Romero, Clemente
Case No.: 2026-027088
Payment Plan Proposal and Request for Settlement

To Whom It May Concern:

I, Clemente Romero, respectfully submit this written proposal in response to the Administrative Complaint in the above-referenced matter.

I am not refusing to address this obligation, nor am I acting in bad faith. At this time, however, I do not have the financial liquidity necessary to pay the full alleged balance in one lump sum. I am respectfully requesting that the Florida Gaming Control Commission consider my good-faith payment proposal and allow me to resolve this matter through a structured payment plan.

I propose to pay **$100.00 per month** toward the outstanding balance of **$9,397.25**, until the balance is paid in full, unless a different amount or payment structure is mutually agreed upon in writing.

This proposal is made in good faith and with the intention of demonstrating responsibility, cooperation, and willingness to resolve the matter. I respectfully request that, while I remain compliant with the agreed payment plan, no suspension, revocation, or further disciplinary action be imposed against my occupational license based solely on the unpaid balance referenced in the Administrative Complaint.

I further request that the Commission consider this letter as a request to enter into settlement negotiations regarding this matter.

Please provide written confirmation if this proposal is accepted, rejected, or if the Commission is willing to discuss modified payment terms.

Thank you for your time and consideration.

Respectfully,



Clemente Romero
Email: ***cromero@cyinvestmentsgroup.com***
Date: ***05/16/2026***

Florida Gaming Control Commission

JULIE I. BROWN, CHAIR
TINA REPP, VICE CHAIR
JOHN D'AQUILA, COMMISSIONER
WILLIAM SPICOLA, COMMISSIONER
PETER CUDERMAN, COMMISSIONER

May 15, 2026

Clemente Romero
1566 Astoria Arbor Lane
Orlando, FL 32824

Case No.: **2026-027088**
Subject: **SERVICE OF ADMINISTRATIVE COMPLAINT**

Dear Clemente Romero:

This is to inform you that probable cause has been found to believe that you violated certain provisions of the Florida Statutes. The Administrative Complaint enclosed with this letter contains the formal charges filed against you along with an Election of Rights Form. Receipt of these documents constitutes legal service upon you. The options available to you under Florida law are as follows:

(a) Dispute the material facts alleged and request a hearing before an administrative law judge with the Division of Administrative Hearings

(b) Choose not to dispute the material facts alleged, and request a hearing before the Commission, who will only hear evidence regarding the conclusions of law and the penalty for violations; or

(c) Waive your right to either type of hearing and put yourself completely at the Commission's discretion.

Please be advised that, pursuant to section 120.573, Florida Statutes, mediation is not available for this type of agency action. You must respond by selecting one of the options on the enclosed Election of Rights Form. The executed Election of Rights form **must be received in this office within 21 days of your receipt of this letter.** Failure to respond within the 21-day period constitutes a waiver of the rights outlined above, and the Commission shall proceed against you by default. Prior oral or written submissions to the Commission regarding this matter **will not** be considered responsive to this Administrative Complaint. Regardless of your prior communication(s) with the Commission, it is required that you respond to the Administrative Complaint by selecting one of the options on the enclosed Election of Rights Form.

Sincerely,

/s/ Carmine Pisano

Carmine Pisano
Senior Attorney
(850) 794-8074

Enclosures: Administrative Complaint and Election of Rights Form

EXHIBIT
3

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 4/30/2026
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2026-027088

CLEMENTE ROMERO,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Clemente Romero ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to chapter 550, Florida Statutes.

2. At all times material hereto, Respondent held a Pari-Mutuel Professional Individual Occupational License, number 13631379, issued pursuant to section 550.105(2)(a), Florida Statutes.

3. On or about March 26, 2026, a judgment of default of $9,397.25 was entered against Respondent for unpaid financial obligations regarding veterinary services in the County Court of the 17th Judicial Circuit in and for Broward County, Florida, in case number COCE-25078862.

4. These unpaid financial obligations relate to racing conducted at a pari-mutuel facility in the state of Florida.

5. Pursuant to section 550.105(7), Florida Statutes:

> The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.

6. Based on the foregoing, Respondent is subject to suspension or revocation of his occupational license pursuant to section 550.105(7), Florida Statutes, by accumulating unpaid obligations or defaults in obligations that directly related to racing conducted at a pari-mutuel facility in the state of Florida.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties the Commission is authorized to impose pursuant to section 550.105(7), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2026-027088 is signed this 30th day of April 2026.

/s/ Carmine N. Pisano III

Carmine N. Pisano III
Senior Attorney
FBN: 1022149
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8074
Facsimile: +1 (850) 536-8712
Primary: Carmine.Pisano@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

EXHIBIT 4

Lic Type	**1021 - Pari-Mutuel Wagering Individual Occupational**			Status	**90 Closed**	Status Date	**04/22/2026**
Complaint #	**2026027088**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**ROMERO, CLEMENTE**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**CNSM - Consumer**	Security Level	**1**
Form	**PCOM - PMW Complaint**	Priority	
Class'n	**IV-A - Financial Responsibility**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**03/26/2026**
Region	**SR - Southern Region**	Received	**04/20/2026**
Reference	**550.105(7)**		
Entered	**04/20/2026**	Entered By	**cstubbs1**
Summary	**321 - Gulfstream Park - F.S.S. 550.105(7) Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines - On April 20, 2026, a copy of a Final Default Judgment, Case #COCE-25-078862 was received via e-mail from Attorney Mark Gunderson. Per said Final Default Judgment filed on March 26, 2026, in the County Court For the 17th Judicial Circuit in and For Broward County, FL, in Division 49, PetVet Operating, LLC dba TFB Equine, shall recover from Clemente Romero the sum of $8,000.00 in principal, filing fees of $322.25, process server fees of $75.00 and attorney fees in the amount of $1,000.00, making a total of $9,397.25 which shall bear interest at the rate of 8.44% per year. However, when added by this Investigator the total added to $9407.25.**		
Updated	**04/22/2026 15:23:46**	By	**cstubbs1**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☐ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

Change | Save | OK | Cancel | Back

Get Adobe Reader.

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Alana Zimmer, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**SOUTHERN**	**April 20, 2026**	**2026 02 7088**

Respondent:	Complainant:
ROMERO, CLEMENTE **1566 ASTORIA ARBOR LANE** **ORLANDO, FLORIDA 32824** CJRB1984@GMAIL.COM	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # and Type:	Profession:	Report Date:
13631379 - 1021	**Individual Animal Owner**	**April 21, 2026**

Period of Investigation:	Type of Report:
April 20, 2026, - April 21, 2026	**Final**

Alleged Violation(s): F.S.S. 550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines. –
(7) "The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state."

Synopsis: On April 20, 2026, a copy of a Final Default Judgment against Clemente **ROMERO,** Case #COCE-25-078862, Division 49, in the County Court of the 17th Judicial Circuit in and for Broward County, Florida, ordered on March 26, 2026, was received via e-mail from Attorney Mark Gunderson.

Per said judgment, PetVet Operating, LLC dba TFB Equine, shall recover from **ROMERO** the sum of $8,000.00 in principle, filing fees of $332.25, process server fees of $75.00 and attorney fees in the amount of $1,000.00, **making a total of $9,397.25** which shall bear interest at the rate of 8.44% per year **(Exhibit #1).**

Related Case(s):

Investigations Manager / Date

Julio Minaya / April 21, 2026

Chief of Investigations / Date

Bradford D. Jones / April 22, 2026

CONTINUATION

A check of VERSA records reflect that Clemente **ROMERO** obtained a 3-year Florida PMW Occupational License as an Individual Animal Owner on July 5, 2023. This license expires on June 30, 2026. A copy of his licensing information is attached as **Exhibit #2**.

In the letter from Gunderson dated April 20, 2026, he states that the current total amount due of $9,397.25 is for veterinary services provided by his client at a Florida Pari-Mutuel facility where **ROMERO** stables his horses. Gunderson also provided copies of the Original Complaint filed on November 10, 2025. Gunderson also provided copies of the invoices. These documents are attached herein as **Exhibits # 1 & 3.**

On March 17, 2026, an Enforcement Alert was placed on **ROMERO** license record in VERSA to reflect this unsatisfied Financial Obligation. A copy of the License Action Request Form and VERSA alert are attached as **Exhibit #4**.

Conclusion: ROMERO is in violation of F.S.S. 550.105(7) for failing to satisfy the Final Judgment.

Status: Case is closed by Investigations and forwarded to Legal for review.

CONTINUATION

TABLE OF CONTENTS

I. INVESTIGATIVE REPORT COVERSHEET …….. 1

II. INVESTIGATIVE REPORT …….. 2

III. EXHIBITS

1. Letter of Complaint from Attorney Gunderson……. 1-7
2. Versa Check on **ROMERO…**..…… 1-2
3. Original Complaint & Copies of Invoices..……. 1-17
4. License Enforcement Alert…….. 1-2

Julio Minaya

From:	Mark Gunderson <mgunderson@mindspring.com>
Sent:	Monday, April 20, 2026 10:58 AM
To:	Julio Minaya
Cc:	Ebonie Lanier; Stephanie Buse; Reese Howard; Kevin Scheen; Trish Shelton; cromero@cyinvestmentsgroup.com
Subject:	License Complaint - Romero
Attachments:	2026_04_20 License Complaint Florida Romero.pdf

Julio,

Attached is my client's license complaint concerning Clemente Romero. I will send the original lawsuit under separate cover.

Mark Gunderson
Attorney • CPA
2510 SW 18 Street
Ft. Lauderdale, FL 33312
(954) 288-1001 Mobile
(954)791-6154 Fax

The true character of a person is revealed by their willingness to do what is right, when no obligation exists and no duty compels them.

Confidential: This e-mail contains a communication protected by the attorney-client privilege or constitutes work product. If you do not expect such a communication please delete this message without reading it or any attachment and then notify the sender of this inadvertent delivery.

EXHIBIT # /
PAGE # /

Law Office of
Mark Gunderson, PL
Attorney ♦ CPA

2510 SW 18 Street ♦ Fort Lauderdale, Florida 33312
phone: (954) 288-1001 ♦ fax: (954) 791-6154 ♦ e-mail: mgunderson@mindspring.com

DIVISION OF PARI-MUTUEL WAGERING COMPLAINT – CLEMENTE ROMERO

April 20, 2026

ebonie.lanier@flgaming.gov
stephanie.buse@flgaming.gov
Division of Pari-Mutuel Wagering
DBPR 0070 – Uniform Cplt Form
4070 Esplanade Way
Tallahassee, Florida 32399

julio.minaya@myfloridalicense.com
Julio Minaya, Investigation Suprv
Division of Pari-Mutuel Wagering
1400 W. Commercial Blvd, # 165
Fort Lauderdale, FL 33065

reese.howard@flgaming.gov
kevin.scheen@flgaming.gov
Kevin Sheen, State Steward
c/o Gulfstream Park
901 S. Federal Hwy
Hallandale, FL 33009

Re: PetVet Operating LLC dba TFB Equine. v. Clemente Romero
17th Judicial Circuit - State of Florida – COCE 25078862 - $9,397.25

Ladies and Gentlemen:

On March 26, 2026 my client, PetVet Operating LLC dba TFB Equine. obtained a judgment[1] against Clemente Romero, a licensee, concerning veterinary services which my client provided at a Florida pari-mutuel facility where Mr. Romero stabled her horses. Since obtaining that judgment, Mr. Romero has failed to satisfy his obligation.

Florida law states in relevant part,

"*...the commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults on obligations, ... directly related to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.* § 550.105(7) Fla. Stat. (2023).

***"A business applicant shall be subject to denial of its initial or renewal application as outlined in Section 550.105, F.S., based on disqualifying ... civil judgments ... of the business entity or the individuals associated with that business..."* Fla. Admin. Code 75-5.001**

Mr. Romero holds a Pari-Mutuel Wagering Individual Occupational license issued by the State of Florida. Mr. Romero has accumulated unpaid obligations and has defaulted on an obligation that is directly related to the sport of racing conducted at a pari-mutuel facility.

Accordingly, my client requests that Mr. Romero's occupational/horse racing license be suspended or revoked, in accordance with Chapter 550.107 Fla. Stat., and Fla. Admin. Code 75-5.001

Thank you for your attention to this matter.

/s/ Mark Gunderson, Esq.

Cc. Client
Clemente Romero, 1566 Astoria Arbor LN, Orlando, FL 32824

[1] Judgment attached.

EXHIBIT #1
PAGE #2

IN THE COUNTY COURT OF THE 17TH JUDICIAL CIRCUIT
IN AND FOR BROWARD COUNTY, FLORIDA

CASE NO. **COCE25078862** DIVISION: **49** JUDGE: **Di Pietro, Nina W (49)**

PETVET OPERATING LLC

Plaintiff(s) / Petitioner(s)

v.

Clemente Romero

Defendant(s) / Respondent(s)

_____/

FINAL DEFAULT JUDGMENT

This action was heard upon Plaintiff's Motion for Default and Final Judgment by Default and **IT IS ADJUDGED** that Defendant is in default for failing to attend the pretrial hearing set by this Court for March 24, 2026. Plaintiff, PETVET OPERATING LLC dba TFB Equine, c/o Mark Gunderson, Esq., 2510 SW 18 Street, Fort Lauderdale, FL 33312, shall recover from Defendant, CLEMENTE ROMERO, 1566 Astoria Arbor LN, Orlando, FL 32824, the sum of $8,000.00 in principal, filing fees of $332.25, process server fees of $75.00 and attorney fees in the amount of $1,000.00, **making a total of $9,397.25** which shall bear interest at the rate of 8.44% per year, for which let execution issue forthwith.

IT IS FURTHER ORDERED AND ADJUDGED that the Defendant shall complete Florida Small Claims Rules Form 7.343 (Fact Information Sheet) and return it to the Plaintiff's attorney within 45 days from the date of this final judgment, unless the final judgment is satisfied or a motion for new trial or notice of appeal is filed. **The Defendant should NOT file the completed form 7.343 with the court.**

Jurisdiction of this case is retained to enter further orders that are proper to compel the Defendant to complete form 7.343 and return it to the Plaintiff's attorney.

EXHIBIT # 1
PAGE # 3

DONE AND ORDERED in Chambers at Broward County, Florida on 26th day of March, 2026.



COCE25078862 03-26-2026 6:26 PM
Hon. Nina W. Di Pietro
COUNTY COURT JUDGE
Electronically Signed by Nina W. Di Pietro

Copies Furnished To:
Clemente Romero , Address : 1566 Astoria Arbor LN, Orlando, FL 32824
Mark Gunderson , E-mail : mgunderson@mindspring.com

EXHIBIT # 1
PAGE # 4

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION

Please submit to the appropriate address on Page 4.

Any investigation or administrative proceeding brought by the Department against the subject of your complaint will rely upon the information you provide to the Department. All allegations and supporting documentation MUST be provided to the Department at this time.

COMPLAINANT INFORMATION				
Last Name	First	Middle	Title	Suffix
Your Company/Occupation PETVET OPERATING LLC dba TFB EQUINE				
MAILING ADDRESS				
Street Address or P.O. Box PO BOX 267160				
City WESTON		State FL	Zip Code (+4 optional) 33326	
County (if Florida address) BROWARD		Country		
CONTACT INFORMATION				
Primary Phone Number 954-288-1001		Alternate Phone Number		
Primary E-Mail Address MGUNDERSON@MINDSPRING.COM				
Unlicensed Activity Complaint? Yes ☐		No X	Unknown ☐	
COMPLAINT DESCRIPTION				
SEE ATTACHED LETTER				

Attach additional sheets as necessary.

EXHIBIT # 1
PAGE # 5

PRIVATE ATTORNEY FOR COMPLAINANT (IF APPLICABLE)				
Last Name GUNDERSON, MARK, ESQ	First	Middle	Title	Suffix
ADDRESS				
Street Address or P.O. Box				
2510 SW 18 STREET				
City FORT LAUDERDALE		State FL	Zip Code (+4 optional) 33312	
County (if Florida address) BROWARD		Country		
CONTACT INFORMATION				
Primary Phone Number 954-288-1001		Alternate Phone Number		

SUBJECT OF COMPLAINT				
Last Name CLEMENTE ROMERO	First	Middle	Title	Suffix
License Number (if known) 13631379				
Company/Occupation HORSE/TRAINER OWNER				
MAILING ADDRESS				
Street Address or P.O. Box				
1566 ASTORIA ARBOR LANE				
City ORLANDO		State FL	Zip Code (+4 optional) 32824	
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number 321-337-1518	Primary E-Mail Address CROMERO@CYINVESTMENTSGROUP.COM			
RESIDENCE ADDRESS (IF DIFFERENT THAN MAILING ADDRESS)				
Street Address				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

PRIVATE ATTORNEY FOR SUBJECT OF COMPLAINT (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
ADDRESS				
Street Address or P.O. Box				
NONE				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number		Alternate Phone Number		

EXHIBIT #1
PAGE #6

WITNESS (IF APPLICABLE)				
Last Name TRISH SHELTON - MGR OF COLLECTIONS - PETVET OPERATING LLC dba TFB EQUINE	First	Middle	Title	Suffix
ADDRESS				
Street Address or P.O. Box PO BOX 267160				
City FORT LAUDERDALE		State FL	Zip Code (+4 optional) 33330	
County (if Florida address) BROWARD		Country		
CONTACT INFORMATION				
Primary Phone Number 954-680-5886		Alternate Phone Number		

WITNESS (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number		Alternate Phone Number		

I affirm that I have provided the above information completely and truthfully to the best of my knowledge.

Complainant Sign Here: BY: /S/ MARK GUNDERSON, ESQ. Date: 4/20/26

EXHIBIT #1
PAGE # 7

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **jminaya**

VR Home > License Search > **License Home**

License

Fed Tax # [redacted] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2026**

File # **73155** Name **ROMERO, CLEMENTE** Extended To

License # **13631379** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **13631379** Lic Status **Current**

Licensee | History | Notes | Notes History | Back

Address

Street # **1566** Street **ASTORIA ARBOR LANE**

Line 2

Line 3

City **ORLANDO** State **FL** Zip **32824**

Routing

Other

1st License Date **07/05/2023** Rank Date **07/05/2023** Certificate #

Method **I-S-1024** Status Date **07/05/2023** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	IOWN - Individual Animal Owner	06/16/2023	
I	TBRD - Thoroughbred	06/16/2023	
L	321 - Gulfstream Park	06/16/2023	
Y	3YR - 3 Year License	06/16/2023	

Alt Keys

BEST LIC NBR **13631379**

Get Adobe Reader.

EXHIBIT # 2
PAGE # 1

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **jminaya**

Lic Type **1021 - PMW Professional Individual Occupational** Entity # **13631379**

Fed Tax # [redacted] Fed Tax Type **SSN #** Name **ROMERO, CLEMENTE**

Last Name **ROMERO** First **CLEMENTE** Middle

Title Suffix Qualifier

Gender **M** Race

Driver License # Birth Date [redacted]

Mailing Address Private Address Preferred Name

Street # **1566** Street **ASTORIA ARBOR LANE**

Line 2

Line 3

City **ORLANDO** County **Orange**

Zip **32824** State **Florida** Country **United States**

Routing

Phone # **321-337-1518** Ext E-Mail **CJRB1984@GMAIL.COM**

Insp Region Receive Email

Updated **06/30/2023 10:07:40** By **tlanier**

Get Adobe Reader.

EXHIBIT # 2
PAGE # 2

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

CLEMENTE ROMERO,
Defendant.
_____/

SMALL CLAIMS DIVISION
COCE 25-078862

COMPLAINT

Plaintiff, PETVET OPERATING dba TFB EQUINE ("Plaintiff"), sues defendant, CLEMENTE ROMERO, ("Defendant") and alleges:

GENERAL ALLEGATIONS

1. This Court has subject matter jurisdiction over this dispute because this complaint seeks damages of $8,000.00 or less exclusive of interest, attorneys' fees, and costs. §34.01(2) Fla. Stat.

2. Venue is proper in the 17th Judicial Circuit, in that Plaintiff's cause of action arose in Broward County, Florida. §47.011 Fla. Stat.

3. Plaintiff is a resident of Broward County and otherwise sui juris.

4. The Court has jurisdiction over the Defendant in that Plaintiff's cause of action against Defendant arises from Defendant operating, conducting, engaging in, or carrying on a business or business venture in this state, breaching a contract in this state by failing to perform acts required by the contract to be performed in this state, and/or Defendant's substantial and not isolated activity within this state. §48.193 Fla. Stat.

5. Service is alternatively made (if applicable) via substituted service on the Secretary for the State of Florida in accordance with §48.161 Fla. Stat. or §48.181 Fla. Stat. in that Defendant(s) were residents of this state and subsequently became nonresident(s) of this state or have concealed their whereabouts.

6. Plaintiff provided veterinary services to thoroughbred racehorses owned or controlled by Defendant's, and said services were provided at Defendant's and/or at Defendant 's agent's request for Defendant's benefit.

7. Defendant's failed to pay the reasonable value of said services and remains indebted to Plaintiff in the amount of $8000.00, plus interest since September 30, 2025. See Exhibit A & B.

8. Plaintiff is entitled to prevailing party attorney fees pursuant to the agreement between the parties.

COUNT I - OPEN ACCOUNT

EXHIBIT #3
PAGE #1

9. Plaintiff realleges and incorporates by reference paragraphs 1 through 8 as if fully set forth herein.

10. This is an action on an open account based upon Form 1.932 of the Florida Rules of Civil Procedure.

11. The essential elements of a claim for open account are:

    a. A series of transactions resulting in a debtor-creditor relationship;
    b. An agreement between the parties concerning the services rendered and the amount due;
    c. Defendant's' failure to pay the agreed upon amount despite demand.

12. Plaintiff provided veterinary services to thoroughbred racehorses owned or controlled by Defendant **directly related to horse racing being conducted at a pari-mutuel facility** and Defendant failed to pay the agreed-upon amount of $8000.00, plus interest since September 30, 2025. See Exhibit A

COUNT II - UNJUST ENRICHMENT

13. Plaintiff realleges and incorporates by reference paragraphs 1 through 8 as if fully set forth herein and pleads in the alternative.

14. Plaintiff conferred a benefit upon Defendant's by providing veterinary services to thoroughbred racehorses at Defendant's' or his agent's request for Defendant's benefit.

15. Defendant's knowingly accepted and retained the benefit of these veterinary services.

16. Under the circumstances, it would be inequitable for Defendant to retain the benefit of such services without paying the reasonable value thereof.

17. As a direct and proximate result of Defendant's retention of the benefit, Plaintiff has been damaged in the amount of $8000.00, plus interest since September 30, 2025.

WHEREFORE, Plaintiff demands judgment against Defendant's as follows:

a. On Count I (Open Account), for damages in the amount of $8000.00, plus interest, attorney fees, and costs; or in the alternative
b. On Count II (Unjust Enrichment), for restitution in the amount of $8000.00, plus interest and costs;
c. For such other and further relief as the Court deems just and proper.

MARK GUNDERSON, P.L.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001; (954) 791-6154 Fax
mgunderson@mindspring.com
/s/ Mark Gunderson FBN: 0842141

EXHIBIT #3
PAGE #2

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 732-9378
Tel: (954) 732-9426
Office@tfbequine.com

Clemente Romero
12 Hurst Rd
Orlando, FL 33880-4808

Date: Sep-30-25
Client #: 268857
Page: 1

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
	Balance Forward					**275.50**
*** PAYMENTS AND ADJUSTMENTS TO YOUR ACCOUNT ****						
7/25/23	Payment - Visa					-275.50
9/30/23	Interest					9.14
10/31/23	Interest					26.52
11/30/23	Interest					33.18
12/31/23	Interest					33.29
2/22/24	Payment - Visa					-99.39
2/29/24	Interest					33.17
3/1/24	Write-Off					-33.17
	Note: W/O INTEREST					
3/26/24	Payment - Visa					-192.00
4/30/24	Payment - Visa					-100.00
5/28/24	Payment - Visa					-100.00
7/22/24	Payment - Mastercard					-100.00
8/31/24	Payment - Visa					-100.00
10/11/24	Payment - Visa					-100.00
	Note: Online Payment					
11/15/24	Payment - Visa					-100.00
	Note: Online Payment					
3/18/25	Payment - Visa					-100.00
	Note: Online Payment					
	CAPTURETHELAUGHTER *50.00%*					
7/1/23	Lactated Ringers (1L) and Mult. Vitamins	1	17	FL		28.25
7/3/23	Lactated Ringers & DMSO IV	1	02	FL		29.25

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.

You can now make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a $100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.
PetVet Operating, LLC

EXHIBIT #3
PAGE # 3

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 732-9378
Tel: (954) 732-9426
Office@tfbequine.com

Clemente Romero
12 Hurst Rd
Orlando, FL 33880-4808

Date: Sep-30-25
Client #: 268857
Page: 2

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
7/11/23	Dexamethasone Injection	1	17	FL		17.50
7/12/23	Phenylbutazone Injection	1	17	FL		9.00
7/12/23	Methocarbamol Injection	1	17	FL		23.00
7/13/23	Acetylcysteine Injection	1	17	FL		18.75
7/13/23	L-Arginine	1	17	FL		16.50
7/13/23	Lactated Ringers (1L) and Mult. Vitamins	1	17	FL		28.25
7/14/23	Isotonic Electrolytes Via Nasogastric Tube	1	17	FL		30.00
7/19/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.25	02	FL		11.69
7/22/23	Tranquilize - Dormosedan	1	10	FL		21.75
7/29/23	Phenylbutazone Apple Paste - 20gm	0.34	45	FL		7.99
7/29/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.25	45	FL		11.69
7/29/23	Lactated Ringers (1L) and Mult. Vitamins	1	45	FL		28.25
7/29/23	Video-Endoscopy Exam	1	45	FL		40.00
7/30/23	Progress Evaluation	1	02	FL		0.00
8/1/23	Acepromazine Maleate Anh Oral Solution 250ml	0.084	02	FL		9.37
8/6/23	Lactated Ringers (1L) and Mult. Vitamins	1	02	FL		28.25
8/8/23	Dexamethasone Injection	1	02	FL		17.50
8/9/23	Phenylbutazone Injection	1	02	FL		9.00
8/9/23	Methocarbamol Injection	1	02	FL		23.00
8/10/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
8/10/23	L-Arginine	1	02	FL		16.50
8/10/23	Acetylcysteine Injection	1	02	FL		18.75
8/10/23	Acupuncture Pre-Race	1	10	FL		58.50
8/11/23	Isotonic Electrolytes Via Nasogastric Tube	1	17	FL		30.00
8/21/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		3.28
8/21/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		7.81
8/22/23	Progress Evaluation	1	02	FL		0.00

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.

You can now make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a $100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.
PetVet Operating, LLC

EXHIBIT #3
PAGE # 4

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TFB Equine

PO BOX 267160
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Tel: (954) 732-9426
Office@tfbequine.com

Clemente Romero
12 Hurst Rd
Orlando, FL 33880-4808

Date: Sep-30-25
Client #: 268857
Page: 3

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
8/23/23	Dexamethasone Injection	1	02	FL		17.50
8/24/23	Phenylbutazone Apple Paste - 20gm	0.1	02	FL		2.35
8/25/23	Acetylcysteine Injection	1	10	FL		18.75
8/25/23	Polyglycan Injection - 5 ml.	1	10	FL		50.00
8/25/23	Enterolyte X 2 Via Stomach Tube	1	10	FL		29.25
8/25/23	L-Arginine	1	10	FL		16.50
8/25/23	Acupuncture Pre-Race	1	10	FL		58.50
8/26/23	Isotonic Electrolytes Via Nasogastric Tube	1	10	FL		30.00
9/3/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		3.28
9/3/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		7.81
9/18/23	Acepromazine Maleate Anh Oral Solution 250ml	0.05	02	FL		5.58
	***CAPTURETHELAUGHTER* Sub-Total**				**812.58**	
	CHAPALU *50.00%*					
8/10/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.34	02	FL		15.90
8/11/23	Tranquilize - Dormosedan	1	17	FL		21.75
8/12/23	Oxytetracycline	1	17	FL		22.50
8/12/23	Banamine (Flunixin Meglumine) Injection	1	17	FL		15.50
8/13/23	Phenylbutazone Injection	1	02	FL		9.00
8/13/23	Dexamethasone Injection	1	02	FL		17.50
8/13/23	Progress Evaluation	1	02	FL		0.00
8/14/23	Inject Fetlock Joint	1	02	FL		58.50
	Left Hind					
8/14/23	Progress Evaluation	1	02	FL		0.00
8/14/23	Tranquilize - Xylazine & Dormosedan	1	02	FL		23.50
8/17/23	Gastrogard Paste Syringe	1	10	FL		21.25
8/17/23	Acupuncture Pre-Race	1	10	FL		58.50

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.

You can now make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a $100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.
PetVet Operating, LLC

EXHIBIT #3
PAGE # 5

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 732-9378
Tel: (954) 732-9426
Office@tfbequine.com

Clemente Romero
12 Hurst Rd
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Date: Sep-30-25
Client #: 268857
Page: 4

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
8/18/23	Lactated Ringers (1L) and Mult. Vitamins	1	10	FL		28.25
8/18/23	Gastrogard Paste Syringe	1	10	FL		21.25
8/18/23	Banamine (Flunixin Meglumine) Injection	1	10	FL		15.50
8/18/23	Video-Endoscopy Exam	1	10	FL		40.00
8/21/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		3.28
8/21/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		7.81
8/24/23	Phenylbutazone Apple Paste - 20gm	0.1	02	FL		2.35
8/25/23	Lactated Ringers (1L) and Mult. Vitamins	1	04	FL		28.25
8/25/23	Banamine (Flunixin Meglumine) Injection	1	04	FL		15.50
8/25/23	Video-Endoscopy Exam	1	10	FL		40.00
8/25/23	Equisul-SDT - 560ml	1	10	FL		70.00
8/25/23	Gastrogard Paste Syringe	1	10	FL		21.25
9/1/23	Video-Endoscopy Exam	1	10	FL		0.00
9/1/23	Enterolyte X 2 Via Stomach Tube	1	10	FL		29.25
9/1/23	Banamine (Flunixin Meglumine) Injection	1	10	FL		15.50
9/3/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		3.28
9/3/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		7.81
9/3/23	Progress Evaluation	1	02	FL		0.00
9/4/23	Gastrogard Paste Syringe	1	02	FL		21.25
9/5/23	Dexamethasone Injection	1	02	FL		17.50
9/5/23	Vitamins-Multiple	1	02	FL		22.50
9/6/23	Phenylbutazone Injection	1	02	FL		9.00
9/6/23	Methocarbamol Injection	1	02	FL		23.00
9/6/23	Acupuncture Pre-Race	1	10	FL		58.50
9/7/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
9/7/23	Acetylcysteine Injection	1	02	FL		18.75
9/7/23	L-Arginine	1	02	FL		16.50

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PetVet Operating, LLC

EXHIBIT #3
PAGE #6

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 732-9378
Tel: (954) 732-9426
Office@tfbequine.com

Clemente Romero
12 Hurst Rd
Orlando, FL 33880-4808

Date: Sep-30-25
Client #: 268857
Page: 5

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
9/7/23	Adequan I.M. - 500 Mg.	1	02	FL		42.50
9/7/23	Gastrogard Paste Syringe	1	02	FL		21.25
9/8/23	Healthy Horse Plus Extreme w/Electrolytes Paste-60cc syr	0.5	10	FL		13.88
9/11/23	Phenylbutazone Apple Paste - 20gm	0.2	02	FL		4.70
9/18/23	Acepromazine Maleate Anh Oral Solution 250ml	0.05	02	FL		5.58
	***CHAPALU* Sub-Total**				**917.30**	
	IMMENSE FAITH *50.00%*					
7/1/23	Lactated Ringers (1L) and Mult. Vitamins	1	17	FL		28.25
7/6/23	Lasix/Salix - AM EIPH Prevention	1	17	FL		12.50
7/6/23	Lactated Ringers & DMSO IV	1	17	FL		29.25
7/6/23	Banamine (Flunixin Meglumine) Injection	1	17	FL		15.50
7/7/23	Gastrogard Paste Syringe	2	17	FL		42.50
7/14/23	Acupuncture	1	10	FL		72.75
7/16/23	Lactated Ringers - 1 liter bag	1	17	FL		20.25
7/19/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.25	02	FL		11.69
7/21/23	Progress Evaluation	1	02	FL		0.00
7/21/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
7/22/23	Acupuncture Follow-Up	1	10	FL		58.50
7/24/23	Dexamethasone Injection	1	02	FL		17.50
7/25/23	Acupuncture Pre-Race	1	10	FL		58.50
7/25/23	Phenylbutazone Injection	1	10	FL		9.00
7/25/23	Methocarbamol Injection	1	10	FL		23.00
7/26/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
7/26/23	Acetylcysteine Injection	1	02	FL		18.75
7/26/23	L-Arginine	1	02	FL		16.50
7/26/23	Gastrogard Paste Syringe	1	02	FL		21.25

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PetVet Operating, LLC

EXHIBIT # 3
PAGE # 7

Statement

TFB Equine

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Clemente Romero
12 Hurst Rd
Orlando, FL 33880-4808

Date: Sep-30-25
Client #: 268857
Page: 6

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
7/27/23	Healthy Horse Plus Extremew/Electrolytes Paste-60cc syr	0.5	02	FL		13.88
7/27/23	Pass Stomach Tube	1	02	FL		17.50
7/29/23	Vitamins-Multiple	1	45	FL		22.50
7/30/23	Progress Evaluation	1	02	FL		0.00
8/1/23	Acepromazine Maleate Anh Oral Solution 250ml	0.084	02	FL		9.37
8/11/23	Acupuncture Follow-Up	1	10	FL		58.50
8/11/23	Tranquilize - Dormosedan	1	17	FL		21.75
8/15/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
8/21/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		3.28
8/21/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		7.81
8/21/23	Adequan I.M. - 500 Mg.	1	02	FL		42.50
8/21/23	Progress Evaluation	1	02	FL		0.00
8/23/23	Gastrogard Paste Syringe	1	10	FL		21.25
8/24/23	Phenylbutazone Apple Paste - 20gm	0.1	02	FL		2.35
8/24/23	Video-Endoscopy Re-Examination	1	02	FL		35.00
8/24/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
8/24/23	Banamine (Flunixin Meglumine) Injection	1	02	FL		15.50
8/24/23	Gastrogard Paste Syringe	1	02	FL		21.25
8/28/23	Adequan I.M. - 500 Mg.	1	02	FL		42.50
8/28/23	Progress Evaluation	1	02	FL		0.00
8/29/23	Dexamethasone Injection	1	02	FL		17.50
8/30/23	Phenylbutazone Injection	1	02	FL		9.00
8/30/23	Methocarbamol Injection	1	02	FL		23.00
8/31/23	Acupuncture Pre-Race	1	10	FL		58.50
8/31/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
8/31/23	Acetylcysteine Injection	1	02	FL		18.75
8/31/23	L-Arginine	1	02	FL		16.50

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PetVet Operating, LLC

EXHIBIT # 3
PAGE # 8

Statement

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Clemente Romero
12 Hurst Rd
Orlando, FL 33880-4808

Date: Sep-30-25
Client #: 268857
Page: 7

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
8/31/23	Polyglycan Injection - 5 ml.	1	02	FL		50.00
9/1/23	Healthy Horse Plus Extreme w/Electrolytes Paste-60cc syr	0.5	10	FL		13.88
9/1/23	Gastrogard Paste Syringe	2	10	FL		42.50
9/3/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		3.28
9/3/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		7.81
9/4/23	Gastrogard Paste Syringe	1	02	FL		21.25
9/11/23	Phenylbutazone Apple Paste - 20gm	0.2	02	FL		4.70
9/18/23	Acepromazine Maleate Anh Oral Solution 250ml	0.05	02	FL		5.58
	***IMMENSE FAITH* Sub-Total**				**1,229.09**	
	MISS FABIANA *33.33%*					
8/24/23	Video-Endoscopy Exam	1	02	FL		26.66
8/28/23	Progress Evaluation	1	02	FL		0.00
9/3/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		2.18
9/3/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		5.20
9/11/23	Phenylbutazone Apple Paste - 20gm	0.2	02	FL		3.13
12/6/23	Physical Exam	1	02	FL		0.00
12/6/23	CVI Exam & Health Certificate-Multiple Horses (per horse)	1	02	FL		3.33
	***MISS FABIANA* Sub-Total**				**40.51**	
	Relampago De Fe *50.00%*					
9/18/23	Acepromazine Maleate Anh Oral Solution 250ml	0.05	02	FL		5.58
	***Relampago De Fe* Sub-Total**				**5.57**	
	SHE'S SO BEARRISH *100.00%*					
7/15/23	Tranquilize - Acepromazine/Butorphanol/Dormosedan	1	17	FL		53.00

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Clemente Romero
12 Hurst Rd
Orlando, FL 33880-4808

Date: Sep-30-25
Client #: 268857
Page: 8

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
7/15/23	Soundness Exam	1	17	FL		0.00
7/15/23	Phenylbutazone Injection	1	17	FL		18.00
7/15/23	Inject Hock Joints	2	17	FL		423.00
	Left, Right					
7/15/23	Phenylbutazone Apple Paste - 20gm	0.5	17	FL		23.50
	Inject Hyalovet		17	FL		
7/19/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.25	02	FL		23.38
7/19/23	Gastrogard Paste Syringe	1	02	FL		42.50
7/24/23	Gastrogard Paste Syringe	1	45	FL		42.50
7/28/23	Video-Endoscopy Re-Examination	1	02	FL		70.00
7/28/23	Lactated Ringers (1L) and Mult. Vitamins	1	02	FL		56.50
7/29/23	Vitamins-Multiple	1	45	FL		45.00
7/30/23	Progress Evaluation	1	02	FL		0.00
7/30/23	Gastrogard Paste Syringe	1	02	FL		42.50
8/1/23	Acepromazine Maleate Anh Oral Solution 250ml	0.084	02	FL		18.74
8/7/23	Regumate - 500 cc (1 pint)	0.33	02	FL		38.61
8/9/23	Dexamethasone Injection	1	02	FL		35.00
8/10/23	Acupuncture Pre-Race	1	10	FL		117.00
8/10/23	Phenylbutazone Injection	1	02	FL		18.00
8/10/23	Methocarbamol Injection	1	02	FL		46.00
8/11/23	Enterolyte X 2 Via Stomach Tube	1	17	FL		58.50
8/11/23	Acetylcysteine Injection	1	17	FL		37.50
8/11/23	L-Arginine	1	17	FL		33.00
8/12/23	Isotonic Electrolytes Via Nasogastric Tube	1	17	FL		60.00
8/21/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		6.55
8/21/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		15.61
8/21/23	Progress Evaluation	1	02	FL		0.00

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PetVet Operating, LLC

EXHIBIT # 3
PAGE # 10

Statement

TFB Equine

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Clemente Romero
12 Hurst Rd
Orlando, FL 33880-4808

Date: Sep-30-25
Client #: 268857
Page: 9

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
8/24/23	Phenylbutazone Apple Paste - 20gm	0.1	02	FL		4.70
8/25/23	Gastrogard Paste Syringe	1	10	FL		42.50
8/25/23	Video-Endoscopy Exam	1	10	FL		80.00
8/28/23	Progress Evaluation	1	02	FL		0.00
8/30/23	Dexamethasone Injection	1	02	FL		35.00
8/31/23	Acupuncture Pre-Race	1	10	FL		117.00
8/31/23	Phenylbutazone Injection	1	02	FL		18.00
8/31/23	Methocarbamol Injection	1	02	FL		46.00
8/31/23	Gastrogard Paste Syringe	1	02	FL		42.50
9/1/23	Gastrogard Paste Syringe	1	10	FL		42.50
9/1/23	Enterolyte X 2 Via Stomach Tube	1	10	FL		58.50
9/1/23	L-Arginine	1	10	FL		33.00
9/1/23	Polyglycan Injection - 5 ml.	1	10	FL		100.00
9/1/23	Acetylcysteine Injection	1	10	FL		37.50
9/1/23	Vitamins-Multiple	1	10	FL		45.00
9/2/23	Healthy Horse Plus Extreme w/Electrolytes Paste-60cc syr	0.5	10	FL		27.75
9/3/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		6.55
9/3/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		15.61
9/18/23	Acepromazine Maleate Anh Oral Solution 250ml	0.05	02	FL		11.15
	***SHE'S SO BEARRISH* Sub-Total**				**2,087.65**	
	STEALTHTHIRTYFOUR *50.00%*					
7/19/23	Lactated Ringers & DMSO IV	1	02	FL		29.25
7/19/23	Prednisolone 20mg/500ct	0.08	02	FL		6.08
7/20/23	Tranquilize - Dormosedan	1	02	FL		21.75
7/22/23	Isotonic Electrolytes Via Nasogastric Tube	1	10	FL		30.00
7/24/23	Gastrogard Paste Syringe	1	45	FL		21.25

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Date: Sep-30-25
Client #: 268857
Page: 10

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
7/25/23	Acupuncture	1	10	FL		72.75
7/26/23	Phenylbutazone Injection	1	02	FL		9.00
7/26/23	Methocarbamol Injection	1	02	FL		23.00
7/27/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
7/27/23	Acetylcysteine Injection	1	02	FL		18.75
7/27/23	L-Arginine	1	02	FL		16.50
7/28/23	Healthy Horse Plus Extremew/Electrolytes Paste-60cc syr	0.5	02	FL		13.88
7/28/23	Pass Stomach Tube	1	02	FL		17.50
7/29/23	Phenylbutazone Apple Paste - 20gm	0.34	45	FL		7.99
7/29/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.25	45	FL		11.69
8/1/23	Acepromazine Maleate Anh Oral Solution 250ml	0.084	02	FL		9.37
8/18/23	Lactated Ringers (1L) and Mult. Vitamins	1	10	FL		28.25
8/18/23	Banamine (Flunixin Meglumine) Injection	1	10	FL		15.50
8/18/23	Video-Endoscopy Exam	1	10	FL		40.00
8/21/23	Lactated Ringers & DMSO IV	1	02	FL		29.25
8/21/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		3.28
8/21/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		7.81
8/21/23	Dexamethasone Injection	1	02	FL		17.50
8/22/23	Phenylbutazone Injection	1	10	FL		9.00
8/22/23	Methocarbamol Injection	1	10	FL		23.00
8/22/23	Acupuncture Pre-Race	1	10	FL		58.50
8/23/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
8/23/23	Polyglycan Injection - 5 ml.	1	02	FL		50.00
8/23/23	Acetylcysteine Injection	1	02	FL		18.75
8/23/23	L-Arginine	1	02	FL		16.50
8/24/23	Healthy Horse Plus Extremew/Electrolytes Paste-60cc syr	0.5	02	FL		13.88
8/24/23	Pass Stomach Tube	1	02	FL		17.50

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Date: Sep-30-25
Client #: 268857
Page: 11

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
9/3/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		3.28
9/3/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		7.81
9/18/23	Acepromazine Maleate Anh Oral Solution 250ml	0.05	02	FL		5.58
	***STEALTHTHIRTYFOUR* Sub-Total**				**732.62**	
	TAPINTOYOURBEER *100.00%*					
8/9/23	Phenylbutazone Apple Paste - 20gm	1	02	FL		47.00
8/10/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.34	02	FL		31.79
8/11/23	Tranquilize - Dormosedan	1	17	FL		43.50
8/21/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		58.50
8/21/23	Banamine (Flunixin Meglumine) Injection	1	02	FL		31.00
8/21/23	Video-Endoscopy Re-Examination	1	02	FL		70.00
8/21/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		6.55
8/21/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		15.61
8/24/23	Phenylbutazone Apple Paste - 20gm	0.1	02	FL		4.70
8/26/23	Enterolyte X 2 Via Stomach Tube	1	10	FL		58.50
8/26/23	Banamine (Flunixin Meglumine) Injection	1	10	FL		31.00
8/29/23	Dexamethasone Injection	1	02	FL		35.00
8/30/23	Coggins	1	02	FL		65.00
8/30/23	Phenylbutazone Injection	1	02	FL		18.00
8/30/23	Methocarbamol Injection	1	02	FL		46.00
8/31/23	Acupuncture Pre-Race	1	10	FL		117.00
8/31/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		58.50
8/31/23	Acetylcysteine Injection	1	02	FL		37.50
8/31/23	L-Arginine	1	02	FL		33.00
8/31/23	Polyglycan Injection - 5 ml.	1	02	FL		100.00
9/1/23	Healthy Horse Plus Extreme w/Electrolytes Paste-60cc syr	0.5	10	FL		27.75

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Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a $100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

EXHIBIT # 3
PAGE # 13

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 732-9378
Tel: (954) 732-9426
Office@tfbequine.com

Clemente Romero
12 Hurst Rd
Orlando, FL 33880-4808

Date: Sep-30-25
Client #: 268857
Page: 12

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
9/3/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		6.55
9/3/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		15.61
9/18/23	Acepromazine Maleate Anh Oral Solution 250ml	0.05	02	FL		11.15
	***TAPINTOYOURBEER* Sub-Total**				**969.21**	
	THE SLEEPYCHIPMUNK *100.00%*					
7/16/23	Video-Endoscopy Exam	1	34	FL		80.00
7/19/23	Lactated Ringers & DMSO IV	1	02	FL		58.50
7/27/23	Gastrogard Paste Syringe	1	46	FL		42.50
7/29/23	Vitamins-Multiple	1	45	FL		45.00
7/30/23	Progress Evaluation	1	02	FL		0.00
8/1/23	Acepromazine Maleate Anh Oral Solution 250ml	0.084	02	FL		18.74
8/5/23	Phenylbutazone Injection	1	17	FL		18.00
8/5/23	Dexamethasone Injection	1	17	FL		35.00
8/6/23	Lactated Ringers (1L) and Mult. Vitamins	1	02	FL		56.50
8/8/23	Dexamethasone Injection	1	02	FL		35.00
8/9/23	Phenylbutazone Injection	1	02	FL		18.00
8/9/23	Methocarbamol Injection	1	02	FL		46.00
8/10/23	Acupuncture Pre-Race	1	10	FL		117.00
8/10/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		58.50
8/10/23	Acetylcysteine Injection	1	02	FL		37.50
8/10/23	L-Arginine	1	02	FL		33.00
8/11/23	Isotonic Electrolytes Via Nasogastric Tube	1	17	FL		60.00
8/21/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		6.55
8/21/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		15.61
8/24/23	Phenylbutazone Apple Paste - 20gm	0.1	02	FL		4.70
8/29/23	Progress Evaluation	1	02	FL		0.00

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.

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Thank You

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PetVet Operating, LLC

EXHIBIT #3
PAGE # 14

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 732-9378
Tel: (954) 732-9426
Office@tfbequine.com

Clemente Romero
12 Hurst Rd
Orlando, FL 33880-4808

Date: Sep-30-25
Client #: 268857
Page: 13

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
9/1/23	Video-Endoscopy Exam	1	10	FL		80.00
9/1/23	Enterolyte X 2 Via Stomach Tube	1	10	FL		58.50
9/1/23	Banamine (Flunixin Meglumine) Injection	1	10	FL		31.00
9/3/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		6.55
9/3/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		15.61
9/3/23	Progress Evaluation	1	02	FL		0.00
9/4/23	Dexamethasone Injection	1	02	FL		35.00
9/4/23	Gastrogard Paste Syringe	1	02	FL		42.50
9/5/23	Phenylbutazone Injection	1	02	FL		18.00
9/5/23	Methocarbamol Injection	1	02	FL		46.00
9/5/23	Acupuncture Pre-Race	1	10	FL		117.00
9/6/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		58.50
9/6/23	Acetylcysteine Injection	1	02	FL		37.50
9/6/23	L-Arginine	1	02	FL		33.00
9/6/23	Polyglycan Injection - 5 ml.	1	02	FL		100.00
9/7/23	Healthy Horse Plus Extreme w/Electrolytes Paste-60cc syr	0.33	02	FL		18.32
9/18/23	Acepromazine Maleate Anh Oral Solution 250ml	0.05	02	FL		11.15
	***THE SLEEPYCHIPMUNK* Sub-Total**				**1,494.73**	
	WHATS GOIN ON *100.00%*					
7/3/24	CVI Exam & Health Certificate-Multiple Horses (per horse)	1	02	FL		12.50
	***WHATS GOIN ON* Sub-Total**				**12.50**	

Over 90 Days	Over 60 Days	Over 30 Days	Current	Total Amount
7,412.50				

PLEASE PAY THIS AMOUNT >>>>>>>> **7,412.50**

Client #:268857

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
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Thank You

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PetVet Operating, LLC

EXHIBIT #3
PAGE #15

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 732-9378
Tel: (954) 732-9426
Office@tfbequine.com

Clemente Romero
12 Hurst Rd
Winter Haven, FL 33880-4808

Date: Sep-30-25
Client #: 270503
Page: 1

Trainer: OLMEDA, RACHEL LOPEZ

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
	Balance Forward					**0.00**
*** PAYMENTS AND ADJUSTMENTS TO YOUR ACCOUNT ****						
5/23/25	Payment - Visa					-200.00
6/27/25	Payment - Visa					-200.00
	Note: Online Payment					
7/31/25	Interest					1.72
8/31/25	Interest					4.91
9/30/25	Interest					4.91
	MEGAN MAKIN MONEY *100.00%*					
4/19/25	Regumate - 1000 cc (1 liter)	1	17	FL		226.00
4/22/25	Phenylbutazone Injection	1	17	FL		20.00
4/22/25	Baytril (Enrofloxacin) Injection	1	17	FL		65.00
4/25/25	Phenylbutazone Injection	1	17	FL		20.00
4/25/25	Baytril (Enrofloxacin) Injection	1	17	FL		65.00
4/25/25	Serum Amyloid A (SAA)	1	17	FL		90.00
4/26/25	Baytril (Enrofloxacin) Injection	1	17	FL		65.00
4/26/25	Phenylbutazone Injection	1	17	FL		20.00
4/27/25	Serum Amyloid A (SAA)	1	17	FL		90.00
4/28/25	Baytril (Enrofloxacin) Injection	1	17	FL		65.00
4/28/25	Phenylbutazone Injection	1	17	FL		20.00
4/30/25	Baytril (Enrofloxacin) Injection	1	04	FL		65.00
4/30/25	Phenylbutazone Injection	1	04	FL		20.00
5/1/25	Baytril (Enrofloxacin) Injection	1	17	FL		65.00
5/1/25	Phenylbutazone Injection	1	17	FL		20.00
5/1/25	Tranquilize - Acepromazine/Butorphanol/Dormosedan	1	17	FL		62.00

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PetVet Operating, LLC

EXHIBIT # 3
PAGE # 16

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 732-9378
Tel: (954) 732-9426
Office@tfbequine.com

Clemente Romero
12 Hurst Rd
Winter Haven, FL 33880-4808

Date: Sep-30-25
Client #: 270503
Page: 2

Trainer: OLMEDA, RACHEL LOPEZ

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
5/1/25	Bacterial Culture/Sensitivity	1	17	FL		129.00
5/2/25	Baytril (Enrofloxacin) Injection	1	17	FL		65.00
5/2/25	Phenylbutazone Injection	1	17	FL		20.00
5/3/25	Baytril (Enrofloxacin) Injection	1	17	FL		65.00
5/3/25	Phenylbutazone Injection	1	17	FL		20.00
5/3/25	Tranquilize - Dormosedan	1	17	FL		48.00
5/4/25	Baytril (Enrofloxacin) Injection	1	04	FL		65.00
5/4/25	Phenylbutazone Injection	1	04	FL		20.00
	***MEGAN MAKIN MONEY* Sub-Total**				**1,410.00**	
	WALT WHITMAN (IRE) *100.00%*					
5/4/25	Oxytetracycline & Bute	1	04	FL		68.00
5/5/25	Oxytetracycline	1	04	FL		50.00
5/6/25	Oxytetracycline	1	04	FL		50.00
5/7/25	Oxytetracycline	1	04	FL		50.00
	***WALT WHITMAN (IRE)* Sub-Total**				**218.00**	

Over 90 Days	Over 60 Days	Over 30 Days	Current	Total Amount
1,228.00	1.72	4.91	4.91	

PLEASE PAY THIS AMOUNT >>>>>>>> **1,239.54**

Client #:270503

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PetVet Operating, LLC

EXHIBIT #3
PAGE # 17

FLORIDA GAMING CONTROL COMMISSION

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

Alana Zimmer, Executive Director

Ron DeSantis, Governor

DIVISION OF PARI-MUTUEL WAGERING
LICENSE ACTION REQUEST FORM

To: Licensing Administrator

From: Investigator Minaya

Date: April 21, 2026

X	PLEASE **ADD ALERT**: Contact Investigations Prior to Licensing
	PLEASE **REMOVE** ALERT FROM LICENSE RECORD

License number and type: 13631379 - 1021

Last Name: ROMERO First Name: CLEMENTE

DOB: [redacted] SS#: [redacted]

Reason for Addition/Removal of Alert: **DO NOT LICENSE!** Unsatisfied Final Default Judgment pending against Clemente Romero, in favor of PetVet Operating, LLC dba TFB Equine, Case # COCE-25-078862, Division 49, in the Circuit Court of the 17th Judicial Court in Broward County, Florida, in the amount of $9,397.25.

Responsible Party: Inv. Sup Minaya Case Number: 2026 02 7088

Approved by:_____ Date _____

Added/Removed by:_____ Date April 21, 2026

Revised 12/08

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **jminaya**

Search Criteria | Results | Detail

Modifier Type	**A - Alert**
Modifier	**ENFO - Enforcemt Alert**
Effective	**04/21/2026**
Expires	**-**
Additional Info :	
Prompt	
Value	
Notes	**DO NOT LICENSE! Unsatisfied Final Default Judgment pending against Clemente Romero, in favor of PetVet Operating, LLC dba TFB Equine, Case # COCE-25-078862, Division 49, in the Circuit Court of the 17th Judicial Court in Broward County, Florida, in the amount of $9,397.25.**
Updated	**04/21/2026 07:58:03**
By	**jminaya**

Notes History | Change | Delete | Save | Cancel | < | >

Add | Back

Get Adobe Reader.

EXHIBIT #4
PAGE # 2

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 8/20/2026
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2026-027088

CLEMENTE ROMERO,

Respondent.
_____/

HEARING OFFICER'S ORDER GRANTING CONTINUANCE

THIS MATTER comes before Shireen Anbardan, designated Hearing Officer for the Florida Gaming Control Commission (the "Commission"), pursuant to the request by Clemente Romero ("Respondent") for an informal hearing in response to the Commission's Administrative Complaint filed in FGCC Case Number 2026-027088.

The Commission's Division of Pari-Mutuel Wagering filed the Administrative Complaint on April 30, 2026. On or about May 18, 2026, Respondent requested an informal hearing, which was scheduled for and held on August 20, 2026. Respondent did not appear for the informal hearing. After receiving no objection from the Commission, the Hearing Officer ordered that the hearing be continued.

WHEREFORE, the informal hearing for Respondent in this case is continued and will be rescheduled for a later date.

This Order is signed this 20th day of August, 2026.

Shireen Anbardan
Hearing Officer
Florida Gaming Control Commission

CERTIFICATE OF SERVICE

I hereby certify this 20th day of August, 2026, that a true copy of the foregoing "Hearing Officer's Order Granting Continuance" has been provided by email to:

Clemente Romero
1566 Astoria Arbor Lane
Orlando, Florida 32824
cromero@cyinvestmentsgroup.com
Respondent

Emily Alvarado
Chief Attorney
Florida Gaming Control Commission
Emily.Alvarado@flgaming.gov
Counsel for Petitioner

CLERK OF THE COMMISSION
Florida Gaming Control Commission

Case No.: 2026-027088

CLEMENTE ROMERO
Case No. 2026-027088
Informal Hearing Packet

Documents Included in Case File

Exhibit 1..... Notice of Hearing

Exhibit 2..... Election of Rights

Exhibit 3..... Administrative Complaint

Exhibit 4..... Report of Investigation

Exhibit 5 ..... Supporting Documents/Correspondences

August 4, 2026

Clemente Romero
1566 Astoria Arbor Lane
Orlando, Florida 32824

RE: FGCC v. CLEMENTE ROMERO
Case No.: 2026-027088

Enclosed please find a Notice of Hearing for the informal hearing that has been scheduled in the above-referenced case. **Your hearing is scheduled to be heard on Thursday, August 20, 2026, at 10:30 AM (Eastern Time).** Please read the Notice of Hearing for more details about the date, time, location, and instructions for the hearing. A copy of the Commission's case file has been emailed and mailed to your address of record. Please ensure that you have this case file available during the hearing, as you may need to refer to it throughout the hearing.

You may also provide written or oral evidence or have witnesses testify on your behalf. Any evidence that you wish to present to the Hearing Officer and any names and contact information of witnesses you plan to call at the hearing should be emailed to Shireen.Anbardan@flgaming.gov at least seven (7) days before the date of the hearing. If you do not have an email address, please contact me to determine an alternative method to provide the information.

Please note: The hearing is scheduled for **10:30 AM**, and you are expected to be available and ready to join at that time.

Below please find information about the informal hearing process:

1. The Informal Hearing is held on the date and time noted in the Notice of Hearing.
2. A Final Order will be issued within 90 days after the date of the hearing. The Final Order is the **final** agency action and will resolve your case.

Should you have any questions or need any assistance, please feel free to contact me via telephone or email at (850) 794-8072 or Maya.Williams@flgaming.gov.

Sincerely,

/s/ Maya Williams

Maya Williams
Administrative Assistant II
(850) 794-8071

Enclosures: Notice of Hearing and Case File

EXHIBIT
1

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v. FGCC Case No..: 2026-027088

CLEMENTE ROMERO,

Respondent.
_____/

NOTICE OF TELEPHONIC HEARING

TO: Clemente Romero
1566 Astoria Arbor Lane Orlando,
Florida 32824
Cromero@cyinvestmentsgroup.com
or CJRB1984@gmail.com

YOU ARE HEREBY NOTIFIED that the Florida Gaming Control Commission's designated Hearing Officer will conduct a hearing on this matter, pursuant to Section 120.57(2), Florida Statutes. If you wish to present testimony, you must attend the hearing. **The hearing is scheduled for Thursday, August 20, 2026, at 10:30 AM (EST).** Please use the Microsoft Teams dial-in information provided below to join the hearing. We recommend dialing in **5–10 minutes before the scheduled start time** to allow sufficient time to connect. Once connected, please remain on the line until the Hearing Officer joins and your case is called. Failure to join the hearing timely, remain connected, or be available when your case is called may result in the hearing proceeding in your absence.

Microsoft Teams meeting

Dial in by phone

+1 929-777-2488,,535820259# United States, New York City

Phone conference ID: 535 820 259#

You may elect to attend the hearing in person or by video conference. If you wish to do so, you must contact the Commission by email at Maya.Williams@flgaming.gov or by telephone at (850) 794-8071, at least seven (7) days prior to your hearing date. You may also provide written or oral evidence or have witnesses testify on your behalf. Any written evidence you wish to present to the Hearing Officer, as well as the names and contact information of any witnesses you plan to call at the hearing, should be emailed to Shireen.Anbardan@flgaming.gov and Carmine.Pisano@flgaming.gov at least seven (7) days before the date of the hearing. If you do not have an email address, please contact me to determine an alternative method to provide the information.

If you cannot attend the hearing and wish to request a continuance for good cause, you must notify the Hearing Officer at Shireen.Anbardan@flgaming.gov and Opposing Counsel at Carmine.Pisano@flgaming.gov at least five (5) days prior to your hearing date. Continuance requests made within five (5) days of the hearing can only be granted for emergencies.

I HEREBY CERTIFY that a true and correct copy of the foregoing has been furnished to the above-named parties via certified mail, on this 4th day of August, 2026.

By: /s/ *Maya Williams*

Maya Williams
Administrative Assistant II
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399
Telephone: (850) 794-8071
Facsimile: (850) 536-8712
Maya.Williams@flgaming.gov

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this hearing is asked to advise the agency at least 48 hours before the hearing by contacting Ebonie Lanier in the Office of General Counsel by email at Ebonie.Lanier@flgaming.gov. If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

From: Maya Williams
To: CROMERO@CYINVESTMENTSGROUP.COM; "CJRB1984@gmail.com"
Subject: FGCC v. ROMERO, CLEMENTE Case No. 2026-027088
Date: Monday, July 27, 2026 8:06:00 AM
Attachments: image001.png

Good Morning,

I hope this message finds you well.

This email is to inform you that an informal hearing will be scheduled regarding your case. Informal hearings will be held between 10:00 AM and 3:00 PM on the following dates:

- August 19, 2026

  or

- August 20, 2026

Please confirm which of these dates works best for you, and indicate your preferred hearing format: in person, video conference, or telephonic. Once I receive your availability, I will follow up with additional details and a specific hearing time.

Please respond by 5:00 PM on Friday, August 31, 2026. If we do not hear from you by then, a hearing will be scheduled on your behalf, and you will receive a formal notice with the confirmed date and time. If you have any questions, please feel free to reach out.

Thanks,
Maya Williams



Maya Williams
Administrative Assistant II
Office of the General Counsel
Office: (850)-794-8071
Fax: (850) 536-8709

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGER VIEW

EXHIBIT
2

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 5/18/2026
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

FGCC v. ROMERO, CLEMENTE **CASE NO.: 2026-027088**

ELECTION OF RIGHTS

Please read the Administrative Complaint before choosing one of the three options. The Election of Rights is a **legally binding** document. Consult an attorney if you do not understand your options.

You can only select **ONE** of the options numbered one (1), two (2), and three (3) on the Election of Rights form. You must sign the form.

A completed Election of Rights **must be returned to the Clerk of the Commission within 21 days, by 5:00 p.m., Eastern Time, of the day you receive the attached Administrative Complaint.**

If your Election of Rights form or request for hearing is not filed with the Clerk of the Commission within 21 days of the day you received the Administrative Complaint, you will have waived your right to contest the proposed agency action and a Final Order will be issued imposing the proposed agency action set forth in the Administrative Complaint.

Please use this form unless you, your attorney, or your representative prefer to reply according to chapter 120, Florida Statutes, and chapter 28.106 of the Florida Administrative Code.

Please return your Election of Rights form to this address:

Florida Gaming Control Commission
Attention: Clerk of the Commission
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399
Telephone: (850) 794-8067 Fax: (850) 536-8712
Email: clerk@flgaming.gov

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option One (1) ☑ **I do not dispute the allegations** of material fact in the Administrative Complaint and wish to be heard at an informal proceeding pursuant to section 120.57(2), Florida Statutes, where I may submit testimony and written evidence to the Commission to show that the proposed agency action is too severe or that the sanction should be reduced.

Option Two (2) ☐ **I do dispute the allegations** of material fact in the Administrative Complaint and I request a formal hearing pursuant to Section 120.57(1) before an Administrative Law Judge of the Division of Administrative Hearings ("DOAH"). **I specifically dispute the following facts in the Administrative Complaint** (attach extra pages or write on the back if needed):

_____

_____

_____

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

In addition to the above election for formal hearing, check the box below if you wish to enter into settlement negotiations and waive the 15-day requirement for this matter to be referred to DOAH:

☑ Section 120.569(2)(a), Florida Statutes, requires the Commission to send this case to DOAH for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement.

Option Three (3) ☐ **I do not dispute the allegations** of material fact in the Administrative Complaint and **waive my right** to object and to have a hearing. I understand that by giving up the right to object and have a hearing, a Final Order will be issued that adopts the allegations of fact and conclusions of law alleged in the Administrative Complaint and imposes the Commission action set forth in the Administrative Complaint.

THIS IS A **LEGALLY BINDING DOCUMENT**. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

CLEMENTE ROMERO	
PRINTED NAME	ATTORNEY OR QUALIFIED REPRESENTATIVE
1566 ASTORIA ARBOR LN	
Street Address	Street Address (where service shall be made)
ORLANDO *FL* *32824*	
City State Zip	City State Zip
Telephone Number Facsimile Number (if any)	Telephone Number Facsimile Number (if any)
CROMERO@CYINVESTMENTSGROUP.COM	
E-mail	E-mail
SIGNATURE	SIGNATURE

YOU MUST LET THE COMMISSION KNOW IF YOUR E-MAIL OR MAILING ADDRESS CHANGES

PAYMENT PLANPROPOSAL AND REQUEST FOR SETTLEMENT

Clemente Romero
1566 Astoria Arbor Lane
Orlando, FL 32824

Date: 05/16/2026

Florida Gaming Control Commission
Attention: Clerk of the Commission
4070 Esplanade Way, Suite 250
Tallahassee, FL 32399

Re: FGCC v. Romero, Clemente
Case No.: 2026-027088
Payment Plan Proposal and Request for Settlement

To Whom It May Concern:

I, Clemente Romero, respectfully submit this written proposal in response to the Administrative Complaint in the above-referenced matter.

I am not refusing to address this obligation, nor am I acting in bad faith. At this time, however, I do not have the financial liquidity necessary to pay the full alleged balance in one lump sum. I am respectfully requesting that the Florida Gaming Control Commission consider my good-faith payment proposal and allow me to resolve this matter through a structured payment plan.

I propose to pay **$100.00 per month** toward the outstanding balance of **$9,397.25**, until the balance is paid in full, unless a different amount or payment structure is mutually agreed upon in writing.

This proposal is made in good faith and with the intention of demonstrating responsibility, cooperation, and willingness to resolve the matter. I respectfully request that, while I remain compliant with the agreed payment plan, no suspension, revocation, or further disciplinary action be imposed against my occupational license based solely on the unpaid balance referenced in the Administrative Complaint.

I further request that the Commission consider this letter as a request to enter into settlement negotiations regarding this matter.

Please provide written confirmation if this proposal is accepted, rejected, or if the Commission is willing to discuss modified payment terms.

Thank you for your time and consideration.

Respectfully,



Clemente Romero
Email: ***cromero@cyinvestmentsgroup.com***
Date: ***05/16/2026***

Florida Gaming Control Commission

JULIE I. BROWN, CHAIR
TINA REPP, VICE CHAIR
JOHN D'AQUILA, COMMISSIONER
WILLIAM SPICOLA, COMMISSIONER
PETER CUDERMAN, COMMISSIONER

May 15, 2026

Clemente Romero
1566 Astoria Arbor Lane
Orlando, FL 32824

Case No.: **2026-027088**
Subject: **SERVICE OF ADMINISTRATIVE COMPLAINT**

Dear Clemente Romero:

This is to inform you that probable cause has been found to believe that you violated certain provisions of the Florida Statutes. The Administrative Complaint enclosed with this letter contains the formal charges filed against you along with an Election of Rights Form. Receipt of these documents constitutes legal service upon you. The options available to you under Florida law are as follows:

(a) Dispute the material facts alleged and request a hearing before an administrative law judge with the Division of Administrative Hearings

(b) Choose not to dispute the material facts alleged, and request a hearing before the Commission, who will only hear evidence regarding the conclusions of law and the penalty for violations; or

(c) Waive your right to either type of hearing and put yourself completely at the Commission's discretion.

Please be advised that, pursuant to section 120.573, Florida Statutes, mediation is not available for this type of agency action. You must respond by selecting one of the options on the enclosed Election of Rights Form. The executed Election of Rights form **must be received in this office within 21 days of your receipt of this letter.** Failure to respond within the 21-day period constitutes a waiver of the rights outlined above, and the Commission shall proceed against you by default. Prior oral or written submissions to the Commission regarding this matter **will not** be considered responsive to this Administrative Complaint. Regardless of your prior communication(s) with the Commission, it is required that you respond to the Administrative Complaint by selecting one of the options on the enclosed Election of Rights Form.

Sincerely,

/s/ Carmine Pisano

Carmine Pisano
Senior Attorney
(850) 794-8074

Enclosures: Administrative Complaint and Election of Rights Form

EXHIBIT
3

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 4/30/2026
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2026-027088

CLEMENTE ROMERO,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Clemente Romero ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to chapter 550, Florida Statutes.

2. At all times material hereto, Respondent held a Pari-Mutuel Professional Individual Occupational License, number 13631379, issued pursuant to section 550.105(2)(a), Florida Statutes.

3. On or about March 26, 2026, a judgment of default of $9,397.25 was entered against Respondent for unpaid financial obligations regarding veterinary services in the County Court of the 17th Judicial Circuit in and for Broward County, Florida, in case number COCE-25078862.

4. These unpaid financial obligations relate to racing conducted at a pari-mutuel facility in the state of Florida.

5. Pursuant to section 550.105(7), Florida Statutes:

> The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.

6. Based on the foregoing, Respondent is subject to suspension or revocation of his occupational license pursuant to section 550.105(7), Florida Statutes, by accumulating unpaid obligations or defaults in obligations that directly related to racing conducted at a pari-mutuel facility in the state of Florida.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties the Commission is authorized to impose pursuant to section 550.105(7), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2026-027088 is signed this 30th day of April 2026.

/s/ Carmine N. Pisano III

Carmine N. Pisano III
Senior Attorney
FBN: 1022149
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8074
Facsimile: +1 (850) 536-8712
Primary: Carmine.Pisano@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

EXHIBIT 4

Lic Type	**1021 - Pari-Mutuel Wagering Individual Occupational**			Status	**90 Closed**	Status Date	**04/22/2026**
Complaint #	**2026027088**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**ROMERO, CLEMENTE**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**CNSM - Consumer**	Security Level	**1**
Form	**PCOM - PMW Complaint**	Priority	
Class'n	**IV-A - Financial Responsibility**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**03/26/2026**
Region	**SR - Southern Region**	Received	**04/20/2026**
Reference	**550.105(7)**		
Entered	**04/20/2026**	Entered By	**cstubbs1**
Summary	**321 - Gulfstream Park - F.S.S. 550.105(7) Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines - On April 20, 2026, a copy of a Final Default Judgment, Case #COCE-25-078862 was received via e-mail from Attorney Mark Gunderson. Per said Final Default Judgment filed on March 26, 2026, in the County Court For the 17th Judicial Circuit in and For Broward County, FL, in Division 49, PetVet Operating, LLC dba TFB Equine, shall recover from Clemente Romero the sum of $8,000.00 in principal, filing fees of $322.25, process server fees of $75.00 and attorney fees in the amount of $1,000.00, making a total of $9,397.25 which shall bear interest at the rate of 8.44% per year. However, when added by this Investigator the total added to $9407.25.**		
Updated	**04/22/2026 15:23:46**	By	**cstubbs1**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☐ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

Change | Save | OK | Cancel | Back

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Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Alana Zimmer, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**SOUTHERN**	**April 20, 2026**	**2026 02 7088**

Respondent:	Complainant:
ROMERO, CLEMENTE **1566 ASTORIA ARBOR LANE** **ORLANDO, FLORIDA 32824** CJRB1984@GMAIL.COM	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # and Type:	Profession:	Report Date:
13631379 - 1021	**Individual Animal Owner**	**April 21, 2026**

Period of Investigation:	Type of Report:
April 20, 2026, - April 21, 2026	**Final**

Alleged Violation(s): F.S.S. 550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines. –
(7) "The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state."

Synopsis: On April 20, 2026, a copy of a Final Default Judgment against Clemente **ROMERO,** Case #COCE-25-078862, Division 49, in the County Court of the 17th Judicial Circuit in and for Broward County, Florida, ordered on March 26, 2026, was received via e-mail from Attorney Mark Gunderson.

Per said judgment, PetVet Operating, LLC dba TFB Equine, shall recover from **ROMERO** the sum of $8,000.00 in principle, filing fees of $332.25, process server fees of $75.00 and attorney fees in the amount of $1,000.00, **making a total of $9,397.25** which shall bear interest at the rate of 8.44% per year **(Exhibit #1).**

Related Case(s):

Investigations Manager / Date

Julio Minaya / April 21, 2026

Chief of Investigations / Date

Bradford D. Jones / April 22, 2026

CONTINUATION

A check of VERSA records reflect that Clemente **ROMERO** obtained a 3-year Florida PMW Occupational License as an Individual Animal Owner on July 5, 2023. This license expires on June 30, 2026. A copy of his licensing information is attached as **Exhibit #2**.

In the letter from Gunderson dated April 20, 2026, he states that the current total amount due of $9,397.25 is for veterinary services provided by his client at a Florida Pari-Mutuel facility where **ROMERO** stables his horses. Gunderson also provided copies of the Original Complaint filed on November 10, 2025. Gunderson also provided copies of the invoices. These documents are attached herein as **Exhibits # 1 & 3.**

On March 17, 2026, an Enforcement Alert was placed on **ROMERO** license record in VERSA to reflect this unsatisfied Financial Obligation. A copy of the License Action Request Form and VERSA alert are attached as **Exhibit #4**.

Conclusion: ROMERO is in violation of F.S.S. 550.105(7) for failing to satisfy the Final Judgment.

Status: Case is closed by Investigations and forwarded to Legal for review.

CONTINUATION

TABLE OF CONTENTS

I. INVESTIGATIVE REPORT COVERSHEET …….. 1

II. INVESTIGATIVE REPORT …….. 2

III. EXHIBITS

1. Letter of Complaint from Attorney Gunderson……. 1-7
2. Versa Check on **ROMERO…**..…… 1-2
3. Original Complaint & Copies of Invoices..……. 1-17
4. License Enforcement Alert…….. 1-2

Julio Minaya

From:	Mark Gunderson <mgunderson@mindspring.com>
Sent:	Monday, April 20, 2026 10:58 AM
To:	Julio Minaya
Cc:	Ebonie Lanier; Stephanie Buse; Reese Howard; Kevin Scheen; Trish Shelton; cromero@cyinvestmentsgroup.com
Subject:	License Complaint - Romero
Attachments:	2026_04_20 License Complaint Florida Romero.pdf

Julio,

Attached is my client's license complaint concerning Clemente Romero. I will send the original lawsuit under separate cover.

Mark Gunderson
Attorney • CPA
2510 SW 18 Street
Ft. Lauderdale, FL 33312
(954) 288-1001 Mobile
(954)791-6154 Fax

The true character of a person is revealed by their willingness to do what is right, when no obligation exists and no duty compels them.

Confidential: This e-mail contains a communication protected by the attorney-client privilege or constitutes work product. If you do not expect such a communication please delete this message without reading it or any attachment and then notify the sender of this inadvertent delivery.

EXHIBIT # /
PAGE # /

Law Office of
Mark Gunderson, PL
Attorney ♦ CPA

2510 SW 18 Street ♦ Fort Lauderdale, Florida 33312
phone: (954) 288-1001 ♦ fax: (954) 791-6154 ♦ e-mail: mgunderson@mindspring.com

DIVISION OF PARI-MUTUEL WAGERING COMPLAINT – CLEMENTE ROMERO

April 20, 2026

ebonie.lanier@flgaming.gov
stephanie.buse@flgaming.gov
Division of Pari-Mutuel Wagering
DBPR 0070 – Uniform Cplt Form
4070 Esplanade Way
Tallahassee, Florida 32399

julio.minaya@myfloridalicense.com
Julio Minaya, Investigation Suprv
Division of Pari-Mutuel Wagering
1400 W. Commercial Blvd, # 165
Fort Lauderdale, FL 33065

reese.howard@flgaming.gov
kevin.scheen@flgaming.gov
Kevin Sheen, State Steward
c/o Gulfstream Park
901 S. Federal Hwy
Hallandale, FL 33009

Re: PetVet Operating LLC dba TFB Equine. v. Clemente Romero
17th Judicial Circuit - State of Florida – COCE 25078862 - $9,397.25

Ladies and Gentlemen:

On March 26, 2026 my client, PetVet Operating LLC dba TFB Equine. obtained a judgment[1] against Clemente Romero, a licensee, concerning veterinary services which my client provided at a Florida pari-mutuel facility where Mr. Romero stabled her horses. Since obtaining that judgment, Mr. Romero has failed to satisfy his obligation.

Florida law states in relevant part,

"*...the commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults on obligations, ... directly related to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.* § 550.105(7) Fla. Stat. (2023).

***"A business applicant shall be subject to denial of its initial or renewal application as outlined in Section 550.105, F.S., based on disqualifying ... civil judgments ... of the business entity or the individuals associated with that business..."* Fla. Admin. Code 75-5.001**

Mr. Romero holds a Pari-Mutuel Wagering Individual Occupational license issued by the State of Florida. Mr. Romero has accumulated unpaid obligations and has defaulted on an obligation that is directly related to the sport of racing conducted at a pari-mutuel facility.

Accordingly, my client requests that Mr. Romero's occupational/horse racing license be suspended or revoked, in accordance with Chapter 550.107 Fla. Stat., and Fla. Admin. Code 75-5.001

Thank you for your attention to this matter.

/s/ Mark Gunderson, Esq.

Cc. Client
Clemente Romero, 1566 Astoria Arbor LN, Orlando, FL 32824

[1] Judgment attached.

EXHIBIT #1
PAGE #2

IN THE COUNTY COURT OF THE 17TH JUDICIAL CIRCUIT
IN AND FOR BROWARD COUNTY, FLORIDA

CASE NO. **COCE25078862** DIVISION: **49** JUDGE: **Di Pietro, Nina W (49)**

PETVET OPERATING LLC

Plaintiff(s) / Petitioner(s)

v.

Clemente Romero

Defendant(s) / Respondent(s)

_____/

FINAL DEFAULT JUDGMENT

This action was heard upon Plaintiff's Motion for Default and Final Judgment by Default and **IT IS ADJUDGED** that Defendant is in default for failing to attend the pretrial hearing set by this Court for March 24, 2026. Plaintiff, PETVET OPERATING LLC dba TFB Equine, c/o Mark Gunderson, Esq., 2510 SW 18 Street, Fort Lauderdale, FL 33312, shall recover from Defendant, CLEMENTE ROMERO, 1566 Astoria Arbor LN, Orlando, FL 32824, the sum of $8,000.00 in principal, filing fees of $332.25, process server fees of $75.00 and attorney fees in the amount of $1,000.00, **making a total of $9,397.25** which shall bear interest at the rate of 8.44% per year, for which let execution issue forthwith.

IT IS FURTHER ORDERED AND ADJUDGED that the Defendant shall complete Florida Small Claims Rules Form 7.343 (Fact Information Sheet) and return it to the Plaintiff's attorney within 45 days from the date of this final judgment, unless the final judgment is satisfied or a motion for new trial or notice of appeal is filed. **The Defendant should NOT file the completed form 7.343 with the court.**

Jurisdiction of this case is retained to enter further orders that are proper to compel the Defendant to complete form 7.343 and return it to the Plaintiff's attorney.

EXHIBIT # 1
PAGE # 3

DONE AND ORDERED in Chambers at Broward County, Florida on 26th day of March, 2026.



COCE25078862 03-26-2026 6:26 PM
Hon. Nina W. Di Pietro
COUNTY COURT JUDGE
Electronically Signed by Nina W. Di Pietro

Copies Furnished To:
Clemente Romero , Address : 1566 Astoria Arbor LN, Orlando, FL 32824
Mark Gunderson , E-mail : mgunderson@mindspring.com

EXHIBIT # 1
PAGE # 4

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION

Please submit to the appropriate address on Page 4.

Any investigation or administrative proceeding brought by the Department against the subject of your complaint will rely upon the information you provide to the Department. All allegations and supporting documentation MUST be provided to the Department at this time.

COMPLAINANT INFORMATION				
Last Name	First	Middle	Title	Suffix
Your Company/Occupation PETVET OPERATING LLC dba TFB EQUINE				
MAILING ADDRESS				
Street Address or P.O. Box PO BOX 267160				
City WESTON		State FL	Zip Code (+4 optional) 33326	
County (if Florida address) BROWARD		Country		
CONTACT INFORMATION				
Primary Phone Number 954-288-1001		Alternate Phone Number		
Primary E-Mail Address MGUNDERSON@MINDSPRING.COM				
Unlicensed Activity Complaint? Yes ☐		No X	Unknown ☐	
COMPLAINT DESCRIPTION				
SEE ATTACHED LETTER				

Attach additional sheets as necessary.

EXHIBIT # 1
PAGE # 5

PRIVATE ATTORNEY FOR COMPLAINANT (IF APPLICABLE)				
Last Name GUNDERSON, MARK, ESQ	First	Middle	Title	Suffix
ADDRESS				
Street Address or P.O. Box				
2510 SW 18 STREET				
City FORT LAUDERDALE		State FL	Zip Code (+4 optional) 33312	
County (if Florida address) BROWARD		Country		
CONTACT INFORMATION				
Primary Phone Number 954-288-1001		Alternate Phone Number		

SUBJECT OF COMPLAINT				
Last Name CLEMENTE ROMERO	First	Middle	Title	Suffix
License Number (if known) 13631379				
Company/Occupation HORSE/TRAINER OWNER				
MAILING ADDRESS				
Street Address or P.O. Box				
1566 ASTORIA ARBOR LANE				
City ORLANDO		State FL	Zip Code (+4 optional) 32824	
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number 321-337-1518	Primary E-Mail Address CROMERO@CYINVESTMENTSGROUP.COM			
RESIDENCE ADDRESS (IF DIFFERENT THAN MAILING ADDRESS)				
Street Address				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

PRIVATE ATTORNEY FOR SUBJECT OF COMPLAINT (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
ADDRESS				
Street Address or P.O. Box				
NONE				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number		Alternate Phone Number		

EXHIBIT #1
PAGE #6

WITNESS (IF APPLICABLE)				
Last Name TRISH SHELTON - MGR OF COLLECTIONS - PETVET OPERATING LLC dba TFB EQUINE	First	Middle	Title	Suffix
ADDRESS				
Street Address or P.O. Box PO BOX 267160				
City FORT LAUDERDALE		State FL	Zip Code (+4 optional) 33330	
County (if Florida address) BROWARD		Country		
CONTACT INFORMATION				
Primary Phone Number 954-680-5886		Alternate Phone Number		

WITNESS (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number		Alternate Phone Number		

I affirm that I have provided the above information completely and truthfully to the best of my knowledge.

Complainant Sign Here: BY: /S/ MARK GUNDERSON, ESQ. Date: 4/20/26

EXHIBIT #1
PAGE # 7

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **jminaya**

VR Home > License Search > **License Home**

License

Fed Tax # [redacted] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2026**

File # **73155** Name **ROMERO, CLEMENTE** Extended To

License # **13631379** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **13631379** Lic Status **Current**

Licensee | History | Notes | Notes History | Back

Address

Street # **1566** Street **ASTORIA ARBOR LANE**

Line 2

Line 3

City **ORLANDO** State **FL** Zip **32824**

Routing

Other

1st License Date **07/05/2023** Rank Date **07/05/2023** Certificate #

Method **I-S-1024** Status Date **07/05/2023** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	IOWN - Individual Animal Owner	06/16/2023	
I	TBRD - Thoroughbred	06/16/2023	
L	321 - Gulfstream Park	06/16/2023	
Y	3YR - 3 Year License	06/16/2023	

Alt Keys

BEST LIC NBR **13631379**

Get Adobe Reader.

EXHIBIT # 2
PAGE # 1

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **jminaya**

Lic Type **1021 - PMW Professional Individual Occupational** Entity # **13631379**

Fed Tax # [redacted] Fed Tax Type **SSN #** Name **ROMERO, CLEMENTE**

Last Name **ROMERO** First **CLEMENTE** Middle

Title Suffix Qualifier

Gender **M** Race

Driver License # Birth Date [redacted]

Mailing Address Private Address Preferred Name

Street # **1566** Street **ASTORIA ARBOR LANE**

Line 2

Line 3

City **ORLANDO** County **Orange**

Zip **32824** State **Florida** Country **United States**

Routing

Phone # **321-337-1518** Ext E-Mail **CJRB1984@GMAIL.COM**

Insp Region Receive Email

Updated **06/30/2023 10:07:40** By **tlanier**

Get Adobe Reader.

EXHIBIT # 2
PAGE # 2

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

CLEMENTE ROMERO,
Defendant.
_____/

SMALL CLAIMS DIVISION
COCE 25-078862

COMPLAINT

Plaintiff, PETVET OPERATING dba TFB EQUINE ("Plaintiff"), sues defendant, CLEMENTE ROMERO, ("Defendant") and alleges:

GENERAL ALLEGATIONS

1. This Court has subject matter jurisdiction over this dispute because this complaint seeks damages of $8,000.00 or less exclusive of interest, attorneys' fees, and costs. §34.01(2) Fla. Stat.

2. Venue is proper in the 17th Judicial Circuit, in that Plaintiff's cause of action arose in Broward County, Florida. §47.011 Fla. Stat.

3. Plaintiff is a resident of Broward County and otherwise sui juris.

4. The Court has jurisdiction over the Defendant in that Plaintiff's cause of action against Defendant arises from Defendant operating, conducting, engaging in, or carrying on a business or business venture in this state, breaching a contract in this state by failing to perform acts required by the contract to be performed in this state, and/or Defendant's substantial and not isolated activity within this state. §48.193 Fla. Stat.

5. Service is alternatively made (if applicable) via substituted service on the Secretary for the State of Florida in accordance with §48.161 Fla. Stat. or §48.181 Fla. Stat. in that Defendant(s) were residents of this state and subsequently became nonresident(s) of this state or have concealed their whereabouts.

6. Plaintiff provided veterinary services to thoroughbred racehorses owned or controlled by Defendant's, and said services were provided at Defendant's and/or at Defendant 's agent's request for Defendant's benefit.

7. Defendant's failed to pay the reasonable value of said services and remains indebted to Plaintiff in the amount of $8000.00, plus interest since September 30, 2025. See Exhibit A & B.

8. Plaintiff is entitled to prevailing party attorney fees pursuant to the agreement between the parties.

COUNT I - OPEN ACCOUNT

EXHIBIT #3
PAGE #1

9. Plaintiff realleges and incorporates by reference paragraphs 1 through 8 as if fully set forth herein.

10. This is an action on an open account based upon Form 1.932 of the Florida Rules of Civil Procedure.

11. The essential elements of a claim for open account are:

    a. A series of transactions resulting in a debtor-creditor relationship;
    b. An agreement between the parties concerning the services rendered and the amount due;
    c. Defendant's' failure to pay the agreed upon amount despite demand.

12. Plaintiff provided veterinary services to thoroughbred racehorses owned or controlled by Defendant **directly related to horse racing being conducted at a pari-mutuel facility** and Defendant failed to pay the agreed-upon amount of $8000.00, plus interest since September 30, 2025. See Exhibit A

COUNT II - UNJUST ENRICHMENT

13. Plaintiff realleges and incorporates by reference paragraphs 1 through 8 as if fully set forth herein and pleads in the alternative.

14. Plaintiff conferred a benefit upon Defendant's by providing veterinary services to thoroughbred racehorses at Defendant's' or his agent's request for Defendant's benefit.

15. Defendant's knowingly accepted and retained the benefit of these veterinary services.

16. Under the circumstances, it would be inequitable for Defendant to retain the benefit of such services without paying the reasonable value thereof.

17. As a direct and proximate result of Defendant's retention of the benefit, Plaintiff has been damaged in the amount of $8000.00, plus interest since September 30, 2025.

WHEREFORE, Plaintiff demands judgment against Defendant's as follows:

a. On Count I (Open Account), for damages in the amount of $8000.00, plus interest, attorney fees, and costs; or in the alternative
b. On Count II (Unjust Enrichment), for restitution in the amount of $8000.00, plus interest and costs;
c. For such other and further relief as the Court deems just and proper.

MARK GUNDERSON, P.L.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001; (954) 791-6154 Fax
mgunderson@mindspring.com
/s/ Mark Gunderson FBN: 0842141

EXHIBIT #3
PAGE #2

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 732-9378
Tel: (954) 732-9426
Office@tfbequine.com

Clemente Romero
12 Hurst Rd
Orlando, FL 33880-4808

Date: Sep-30-25
Client #: 268857
Page: 1

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
	Balance Forward					**275.50**
*** PAYMENTS AND ADJUSTMENTS TO YOUR ACCOUNT ****						
7/25/23	Payment - Visa					-275.50
9/30/23	Interest					9.14
10/31/23	Interest					26.52
11/30/23	Interest					33.18
12/31/23	Interest					33.29
2/22/24	Payment - Visa					-99.39
2/29/24	Interest					33.17
3/1/24	Write-Off					-33.17
	Note: W/O INTEREST					
3/26/24	Payment - Visa					-192.00
4/30/24	Payment - Visa					-100.00
5/28/24	Payment - Visa					-100.00
7/22/24	Payment - Mastercard					-100.00
8/31/24	Payment - Visa					-100.00
10/11/24	Payment - Visa					-100.00
	Note: Online Payment					
11/15/24	Payment - Visa					-100.00
	Note: Online Payment					
3/18/25	Payment - Visa					-100.00
	Note: Online Payment					
	CAPTURETHELAUGHTER *50.00%*					
7/1/23	Lactated Ringers (1L) and Mult. Vitamins	1	17	FL		28.25
7/3/23	Lactated Ringers & DMSO IV	1	02	FL		29.25

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PetVet Operating, LLC

EXHIBIT #3
PAGE # 3

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 732-9378
Tel: (954) 732-9426
Office@tfbequine.com

Clemente Romero
12 Hurst Rd
Orlando, FL 33880-4808

Date: Sep-30-25
Client #: 268857
Page: 2

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
7/11/23	Dexamethasone Injection	1	17	FL		17.50
7/12/23	Phenylbutazone Injection	1	17	FL		9.00
7/12/23	Methocarbamol Injection	1	17	FL		23.00
7/13/23	Acetylcysteine Injection	1	17	FL		18.75
7/13/23	L-Arginine	1	17	FL		16.50
7/13/23	Lactated Ringers (1L) and Mult. Vitamins	1	17	FL		28.25
7/14/23	Isotonic Electrolytes Via Nasogastric Tube	1	17	FL		30.00
7/19/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.25	02	FL		11.69
7/22/23	Tranquilize - Dormosedan	1	10	FL		21.75
7/29/23	Phenylbutazone Apple Paste - 20gm	0.34	45	FL		7.99
7/29/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.25	45	FL		11.69
7/29/23	Lactated Ringers (1L) and Mult. Vitamins	1	45	FL		28.25
7/29/23	Video-Endoscopy Exam	1	45	FL		40.00
7/30/23	Progress Evaluation	1	02	FL		0.00
8/1/23	Acepromazine Maleate Anh Oral Solution 250ml	0.084	02	FL		9.37
8/6/23	Lactated Ringers (1L) and Mult. Vitamins	1	02	FL		28.25
8/8/23	Dexamethasone Injection	1	02	FL		17.50
8/9/23	Phenylbutazone Injection	1	02	FL		9.00
8/9/23	Methocarbamol Injection	1	02	FL		23.00
8/10/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
8/10/23	L-Arginine	1	02	FL		16.50
8/10/23	Acetylcysteine Injection	1	02	FL		18.75
8/10/23	Acupuncture Pre-Race	1	10	FL		58.50
8/11/23	Isotonic Electrolytes Via Nasogastric Tube	1	17	FL		30.00
8/21/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		3.28
8/21/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		7.81
8/22/23	Progress Evaluation	1	02	FL		0.00

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PetVet Operating, LLC

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Clemente Romero
12 Hurst Rd
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Date: Sep-30-25
Client #: 268857
Page: 3

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
8/23/23	Dexamethasone Injection	1	02	FL		17.50
8/24/23	Phenylbutazone Apple Paste - 20gm	0.1	02	FL		2.35
8/25/23	Acetylcysteine Injection	1	10	FL		18.75
8/25/23	Polyglycan Injection - 5 ml.	1	10	FL		50.00
8/25/23	Enterolyte X 2 Via Stomach Tube	1	10	FL		29.25
8/25/23	L-Arginine	1	10	FL		16.50
8/25/23	Acupuncture Pre-Race	1	10	FL		58.50
8/26/23	Isotonic Electrolytes Via Nasogastric Tube	1	10	FL		30.00
9/3/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		3.28
9/3/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		7.81
9/18/23	Acepromazine Maleate Anh Oral Solution 250ml	0.05	02	FL		5.58
	***CAPTURETHELAUGHTER* Sub-Total**				**812.58**	
	CHAPALU *50.00%*					
8/10/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.34	02	FL		15.90
8/11/23	Tranquilize - Dormosedan	1	17	FL		21.75
8/12/23	Oxytetracycline	1	17	FL		22.50
8/12/23	Banamine (Flunixin Meglumine) Injection	1	17	FL		15.50
8/13/23	Phenylbutazone Injection	1	02	FL		9.00
8/13/23	Dexamethasone Injection	1	02	FL		17.50
8/13/23	Progress Evaluation	1	02	FL		0.00
8/14/23	Inject Fetlock Joint	1	02	FL		58.50
	Left Hind					
8/14/23	Progress Evaluation	1	02	FL		0.00
8/14/23	Tranquilize - Xylazine & Dormosedan	1	02	FL		23.50
8/17/23	Gastrogard Paste Syringe	1	10	FL		21.25
8/17/23	Acupuncture Pre-Race	1	10	FL		58.50

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PetVet Operating, LLC

EXHIBIT #3
PAGE # 5

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Clemente Romero
12 Hurst Rd
Orlando, FL 33880-4808

Date: Sep-30-25
Client #: 268857
Page: 4

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
8/18/23	Lactated Ringers (1L) and Mult. Vitamins	1	10	FL		28.25
8/18/23	Gastrogard Paste Syringe	1	10	FL		21.25
8/18/23	Banamine (Flunixin Meglumine) Injection	1	10	FL		15.50
8/18/23	Video-Endoscopy Exam	1	10	FL		40.00
8/21/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		3.28
8/21/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		7.81
8/24/23	Phenylbutazone Apple Paste - 20gm	0.1	02	FL		2.35
8/25/23	Lactated Ringers (1L) and Mult. Vitamins	1	04	FL		28.25
8/25/23	Banamine (Flunixin Meglumine) Injection	1	04	FL		15.50
8/25/23	Video-Endoscopy Exam	1	10	FL		40.00
8/25/23	Equisul-SDT - 560ml	1	10	FL		70.00
8/25/23	Gastrogard Paste Syringe	1	10	FL		21.25
9/1/23	Video-Endoscopy Exam	1	10	FL		0.00
9/1/23	Enterolyte X 2 Via Stomach Tube	1	10	FL		29.25
9/1/23	Banamine (Flunixin Meglumine) Injection	1	10	FL		15.50
9/3/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		3.28
9/3/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		7.81
9/3/23	Progress Evaluation	1	02	FL		0.00
9/4/23	Gastrogard Paste Syringe	1	02	FL		21.25
9/5/23	Dexamethasone Injection	1	02	FL		17.50
9/5/23	Vitamins-Multiple	1	02	FL		22.50
9/6/23	Phenylbutazone Injection	1	02	FL		9.00
9/6/23	Methocarbamol Injection	1	02	FL		23.00
9/6/23	Acupuncture Pre-Race	1	10	FL		58.50
9/7/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
9/7/23	Acetylcysteine Injection	1	02	FL		18.75
9/7/23	L-Arginine	1	02	FL		16.50

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PAGE #6

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Clemente Romero
12 Hurst Rd
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Date: Sep-30-25
Client #: 268857
Page: 5

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
9/7/23	Adequan I.M. - 500 Mg.	1	02	FL		42.50
9/7/23	Gastrogard Paste Syringe	1	02	FL		21.25
9/8/23	Healthy Horse Plus Extreme w/Electrolytes Paste-60cc syr	0.5	10	FL		13.88
9/11/23	Phenylbutazone Apple Paste - 20gm	0.2	02	FL		4.70
9/18/23	Acepromazine Maleate Anh Oral Solution 250ml	0.05	02	FL		5.58
	***CHAPALU* Sub-Total**				**917.30**	
	IMMENSE FAITH *50.00%*					
7/1/23	Lactated Ringers (1L) and Mult. Vitamins	1	17	FL		28.25
7/6/23	Lasix/Salix - AM EIPH Prevention	1	17	FL		12.50
7/6/23	Lactated Ringers & DMSO IV	1	17	FL		29.25
7/6/23	Banamine (Flunixin Meglumine) Injection	1	17	FL		15.50
7/7/23	Gastrogard Paste Syringe	2	17	FL		42.50
7/14/23	Acupuncture	1	10	FL		72.75
7/16/23	Lactated Ringers - 1 liter bag	1	17	FL		20.25
7/19/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.25	02	FL		11.69
7/21/23	Progress Evaluation	1	02	FL		0.00
7/21/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
7/22/23	Acupuncture Follow-Up	1	10	FL		58.50
7/24/23	Dexamethasone Injection	1	02	FL		17.50
7/25/23	Acupuncture Pre-Race	1	10	FL		58.50
7/25/23	Phenylbutazone Injection	1	10	FL		9.00
7/25/23	Methocarbamol Injection	1	10	FL		23.00
7/26/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
7/26/23	Acetylcysteine Injection	1	02	FL		18.75
7/26/23	L-Arginine	1	02	FL		16.50
7/26/23	Gastrogard Paste Syringe	1	02	FL		21.25

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EXHIBIT # 3
PAGE # 7

Statement

TFB Equine

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Clemente Romero
12 Hurst Rd
Orlando, FL 33880-4808

Date: Sep-30-25
Client #: 268857
Page: 6

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
7/27/23	Healthy Horse Plus Extremew/Electrolytes Paste-60cc syr	0.5	02	FL		13.88
7/27/23	Pass Stomach Tube	1	02	FL		17.50
7/29/23	Vitamins-Multiple	1	45	FL		22.50
7/30/23	Progress Evaluation	1	02	FL		0.00
8/1/23	Acepromazine Maleate Anh Oral Solution 250ml	0.084	02	FL		9.37
8/11/23	Acupuncture Follow-Up	1	10	FL		58.50
8/11/23	Tranquilize - Dormosedan	1	17	FL		21.75
8/15/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
8/21/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		3.28
8/21/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		7.81
8/21/23	Adequan I.M. - 500 Mg.	1	02	FL		42.50
8/21/23	Progress Evaluation	1	02	FL		0.00
8/23/23	Gastrogard Paste Syringe	1	10	FL		21.25
8/24/23	Phenylbutazone Apple Paste - 20gm	0.1	02	FL		2.35
8/24/23	Video-Endoscopy Re-Examination	1	02	FL		35.00
8/24/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
8/24/23	Banamine (Flunixin Meglumine) Injection	1	02	FL		15.50
8/24/23	Gastrogard Paste Syringe	1	02	FL		21.25
8/28/23	Adequan I.M. - 500 Mg.	1	02	FL		42.50
8/28/23	Progress Evaluation	1	02	FL		0.00
8/29/23	Dexamethasone Injection	1	02	FL		17.50
8/30/23	Phenylbutazone Injection	1	02	FL		9.00
8/30/23	Methocarbamol Injection	1	02	FL		23.00
8/31/23	Acupuncture Pre-Race	1	10	FL		58.50
8/31/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
8/31/23	Acetylcysteine Injection	1	02	FL		18.75
8/31/23	L-Arginine	1	02	FL		16.50

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PetVet Operating, LLC

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PAGE # 8

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Clemente Romero
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Date: Sep-30-25
Client #: 268857
Page: 7

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
8/31/23	Polyglycan Injection - 5 ml.	1	02	FL		50.00
9/1/23	Healthy Horse Plus Extreme w/Electrolytes Paste-60cc syr	0.5	10	FL		13.88
9/1/23	Gastrogard Paste Syringe	2	10	FL		42.50
9/3/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		3.28
9/3/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		7.81
9/4/23	Gastrogard Paste Syringe	1	02	FL		21.25
9/11/23	Phenylbutazone Apple Paste - 20gm	0.2	02	FL		4.70
9/18/23	Acepromazine Maleate Anh Oral Solution 250ml	0.05	02	FL		5.58
	***IMMENSE FAITH* Sub-Total**				**1,229.09**	
	MISS FABIANA *33.33%*					
8/24/23	Video-Endoscopy Exam	1	02	FL		26.66
8/28/23	Progress Evaluation	1	02	FL		0.00
9/3/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		2.18
9/3/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		5.20
9/11/23	Phenylbutazone Apple Paste - 20gm	0.2	02	FL		3.13
12/6/23	Physical Exam	1	02	FL		0.00
12/6/23	CVI Exam & Health Certificate-Multiple Horses (per horse)	1	02	FL		3.33
	***MISS FABIANA* Sub-Total**				**40.51**	
	Relampago De Fe *50.00%*					
9/18/23	Acepromazine Maleate Anh Oral Solution 250ml	0.05	02	FL		5.58
	***Relampago De Fe* Sub-Total**				**5.57**	
	SHE'S SO BEARRISH *100.00%*					
7/15/23	Tranquilize - Acepromazine/Butorphanol/Dormosedan	1	17	FL		53.00

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Date: Sep-30-25
Client #: 268857
Page: 8

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
7/15/23	Soundness Exam	1	17	FL		0.00
7/15/23	Phenylbutazone Injection	1	17	FL		18.00
7/15/23	Inject Hock Joints	2	17	FL		423.00
	Left, Right					
7/15/23	Phenylbutazone Apple Paste - 20gm	0.5	17	FL		23.50
	Inject Hyalovet		17	FL		
7/19/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.25	02	FL		23.38
7/19/23	Gastrogard Paste Syringe	1	02	FL		42.50
7/24/23	Gastrogard Paste Syringe	1	45	FL		42.50
7/28/23	Video-Endoscopy Re-Examination	1	02	FL		70.00
7/28/23	Lactated Ringers (1L) and Mult. Vitamins	1	02	FL		56.50
7/29/23	Vitamins-Multiple	1	45	FL		45.00
7/30/23	Progress Evaluation	1	02	FL		0.00
7/30/23	Gastrogard Paste Syringe	1	02	FL		42.50
8/1/23	Acepromazine Maleate Anh Oral Solution 250ml	0.084	02	FL		18.74
8/7/23	Regumate - 500 cc (1 pint)	0.33	02	FL		38.61
8/9/23	Dexamethasone Injection	1	02	FL		35.00
8/10/23	Acupuncture Pre-Race	1	10	FL		117.00
8/10/23	Phenylbutazone Injection	1	02	FL		18.00
8/10/23	Methocarbamol Injection	1	02	FL		46.00
8/11/23	Enterolyte X 2 Via Stomach Tube	1	17	FL		58.50
8/11/23	Acetylcysteine Injection	1	17	FL		37.50
8/11/23	L-Arginine	1	17	FL		33.00
8/12/23	Isotonic Electrolytes Via Nasogastric Tube	1	17	FL		60.00
8/21/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		6.55
8/21/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		15.61
8/21/23	Progress Evaluation	1	02	FL		0.00

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Date: Sep-30-25
Client #: 268857
Page: 9

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
8/24/23	Phenylbutazone Apple Paste - 20gm	0.1	02	FL		4.70
8/25/23	Gastrogard Paste Syringe	1	10	FL		42.50
8/25/23	Video-Endoscopy Exam	1	10	FL		80.00
8/28/23	Progress Evaluation	1	02	FL		0.00
8/30/23	Dexamethasone Injection	1	02	FL		35.00
8/31/23	Acupuncture Pre-Race	1	10	FL		117.00
8/31/23	Phenylbutazone Injection	1	02	FL		18.00
8/31/23	Methocarbamol Injection	1	02	FL		46.00
8/31/23	Gastrogard Paste Syringe	1	02	FL		42.50
9/1/23	Gastrogard Paste Syringe	1	10	FL		42.50
9/1/23	Enterolyte X 2 Via Stomach Tube	1	10	FL		58.50
9/1/23	L-Arginine	1	10	FL		33.00
9/1/23	Polyglycan Injection - 5 ml.	1	10	FL		100.00
9/1/23	Acetylcysteine Injection	1	10	FL		37.50
9/1/23	Vitamins-Multiple	1	10	FL		45.00
9/2/23	Healthy Horse Plus Extreme w/Electrolytes Paste-60cc syr	0.5	10	FL		27.75
9/3/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		6.55
9/3/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		15.61
9/18/23	Acepromazine Maleate Anh Oral Solution 250ml	0.05	02	FL		11.15
	***SHE'S SO BEARRISH* Sub-Total**				**2,087.65**	
	STEALTHTHIRTYFOUR *50.00%*					
7/19/23	Lactated Ringers & DMSO IV	1	02	FL		29.25
7/19/23	Prednisolone 20mg/500ct	0.08	02	FL		6.08
7/20/23	Tranquilize - Dormosedan	1	02	FL		21.75
7/22/23	Isotonic Electrolytes Via Nasogastric Tube	1	10	FL		30.00
7/24/23	Gastrogard Paste Syringe	1	45	FL		21.25

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PetVet Operating, LLC

EXHIBIT #3
PAGE #11

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 732-9378
Tel: (954) 732-9426
Office@tfbequine.com

Clemente Romero
12 Hurst Rd
Orlando, FL 33880-4808

Date: Sep-30-25
Client #: 268857
Page: 10

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
7/25/23	Acupuncture	1	10	FL		72.75
7/26/23	Phenylbutazone Injection	1	02	FL		9.00
7/26/23	Methocarbamol Injection	1	02	FL		23.00
7/27/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
7/27/23	Acetylcysteine Injection	1	02	FL		18.75
7/27/23	L-Arginine	1	02	FL		16.50
7/28/23	Healthy Horse Plus Extremew/Electrolytes Paste-60cc syr	0.5	02	FL		13.88
7/28/23	Pass Stomach Tube	1	02	FL		17.50
7/29/23	Phenylbutazone Apple Paste - 20gm	0.34	45	FL		7.99
7/29/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.25	45	FL		11.69
8/1/23	Acepromazine Maleate Anh Oral Solution 250ml	0.084	02	FL		9.37
8/18/23	Lactated Ringers (1L) and Mult. Vitamins	1	10	FL		28.25
8/18/23	Banamine (Flunixin Meglumine) Injection	1	10	FL		15.50
8/18/23	Video-Endoscopy Exam	1	10	FL		40.00
8/21/23	Lactated Ringers & DMSO IV	1	02	FL		29.25
8/21/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		3.28
8/21/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		7.81
8/21/23	Dexamethasone Injection	1	02	FL		17.50
8/22/23	Phenylbutazone Injection	1	10	FL		9.00
8/22/23	Methocarbamol Injection	1	10	FL		23.00
8/22/23	Acupuncture Pre-Race	1	10	FL		58.50
8/23/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		29.25
8/23/23	Polyglycan Injection - 5 ml.	1	02	FL		50.00
8/23/23	Acetylcysteine Injection	1	02	FL		18.75
8/23/23	L-Arginine	1	02	FL		16.50
8/24/23	Healthy Horse Plus Extremew/Electrolytes Paste-60cc syr	0.5	02	FL		13.88
8/24/23	Pass Stomach Tube	1	02	FL		17.50

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Date: Sep-30-25
Client #: 268857
Page: 11

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
9/3/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		3.28
9/3/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		7.81
9/18/23	Acepromazine Maleate Anh Oral Solution 250ml	0.05	02	FL		5.58
	***STEALTHTHIRTYFOUR* Sub-Total**				**732.62**	
	TAPINTOYOURBEER *100.00%*					
8/9/23	Phenylbutazone Apple Paste - 20gm	1	02	FL		47.00
8/10/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.34	02	FL		31.79
8/11/23	Tranquilize - Dormosedan	1	17	FL		43.50
8/21/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		58.50
8/21/23	Banamine (Flunixin Meglumine) Injection	1	02	FL		31.00
8/21/23	Video-Endoscopy Re-Examination	1	02	FL		70.00
8/21/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		6.55
8/21/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		15.61
8/24/23	Phenylbutazone Apple Paste - 20gm	0.1	02	FL		4.70
8/26/23	Enterolyte X 2 Via Stomach Tube	1	10	FL		58.50
8/26/23	Banamine (Flunixin Meglumine) Injection	1	10	FL		31.00
8/29/23	Dexamethasone Injection	1	02	FL		35.00
8/30/23	Coggins	1	02	FL		65.00
8/30/23	Phenylbutazone Injection	1	02	FL		18.00
8/30/23	Methocarbamol Injection	1	02	FL		46.00
8/31/23	Acupuncture Pre-Race	1	10	FL		117.00
8/31/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		58.50
8/31/23	Acetylcysteine Injection	1	02	FL		37.50
8/31/23	L-Arginine	1	02	FL		33.00
8/31/23	Polyglycan Injection - 5 ml.	1	02	FL		100.00
9/1/23	Healthy Horse Plus Extreme w/Electrolytes Paste-60cc syr	0.5	10	FL		27.75

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PAGE # 13

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Date: Sep-30-25
Client #: 268857
Page: 12

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
9/3/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		6.55
9/3/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		15.61
9/18/23	Acepromazine Maleate Anh Oral Solution 250ml	0.05	02	FL		11.15
	***TAPINTOYOURBEER* Sub-Total**				**969.21**	
	THE SLEEPYCHIPMUNK *100.00%*					
7/16/23	Video-Endoscopy Exam	1	34	FL		80.00
7/19/23	Lactated Ringers & DMSO IV	1	02	FL		58.50
7/27/23	Gastrogard Paste Syringe	1	46	FL		42.50
7/29/23	Vitamins-Multiple	1	45	FL		45.00
7/30/23	Progress Evaluation	1	02	FL		0.00
8/1/23	Acepromazine Maleate Anh Oral Solution 250ml	0.084	02	FL		18.74
8/5/23	Phenylbutazone Injection	1	17	FL		18.00
8/5/23	Dexamethasone Injection	1	17	FL		35.00
8/6/23	Lactated Ringers (1L) and Mult. Vitamins	1	02	FL		56.50
8/8/23	Dexamethasone Injection	1	02	FL		35.00
8/9/23	Phenylbutazone Injection	1	02	FL		18.00
8/9/23	Methocarbamol Injection	1	02	FL		46.00
8/10/23	Acupuncture Pre-Race	1	10	FL		117.00
8/10/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		58.50
8/10/23	Acetylcysteine Injection	1	02	FL		37.50
8/10/23	L-Arginine	1	02	FL		33.00
8/11/23	Isotonic Electrolytes Via Nasogastric Tube	1	17	FL		60.00
8/21/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		6.55
8/21/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		15.61
8/24/23	Phenylbutazone Apple Paste - 20gm	0.1	02	FL		4.70
8/29/23	Progress Evaluation	1	02	FL		0.00

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EXHIBIT #3
PAGE # 14

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Clemente Romero
12 Hurst Rd
Orlando, FL 33880-4808

Date: Sep-30-25
Client #: 268857
Page: 13

Trainer: YANEZ, REYNALDO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
9/1/23	Video-Endoscopy Exam	1	10	FL		80.00
9/1/23	Enterolyte X 2 Via Stomach Tube	1	10	FL		58.50
9/1/23	Banamine (Flunixin Meglumine) Injection	1	10	FL		31.00
9/3/23	Trimethoprim/Sulfamethoxazole - 500 Tabs	0.07	02	FL		6.55
9/3/23	Acepromazine Maleate Anh Oral Solution 250ml	0.07	02	FL		15.61
9/3/23	Progress Evaluation	1	02	FL		0.00
9/4/23	Dexamethasone Injection	1	02	FL		35.00
9/4/23	Gastrogard Paste Syringe	1	02	FL		42.50
9/5/23	Phenylbutazone Injection	1	02	FL		18.00
9/5/23	Methocarbamol Injection	1	02	FL		46.00
9/5/23	Acupuncture Pre-Race	1	10	FL		117.00
9/6/23	Enterolyte X 2 Via Stomach Tube	1	02	FL		58.50
9/6/23	Acetylcysteine Injection	1	02	FL		37.50
9/6/23	L-Arginine	1	02	FL		33.00
9/6/23	Polyglycan Injection - 5 ml.	1	02	FL		100.00
9/7/23	Healthy Horse Plus Extreme w/Electrolytes Paste-60cc syr	0.33	02	FL		18.32
9/18/23	Acepromazine Maleate Anh Oral Solution 250ml	0.05	02	FL		11.15
	***THE SLEEPYCHIPMUNK* Sub-Total**				**1,494.73**	
	WHATS GOIN ON *100.00%*					
7/3/24	CVI Exam & Health Certificate-Multiple Horses (per horse)	1	02	FL		12.50
	***WHATS GOIN ON* Sub-Total**				**12.50**	

Over 90 Days	Over 60 Days	Over 30 Days	Current	Total Amount
7,412.50				

PLEASE PAY THIS AMOUNT >>>>>>>> **7,412.50**

Client #:268857

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PAGE #15

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Office@tfbequine.com

Clemente Romero
12 Hurst Rd
Winter Haven, FL 33880-4808

Date: Sep-30-25
Client #: 270503
Page: 1

Trainer: OLMEDA, RACHEL LOPEZ

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
	Balance Forward					**0.00**
*** PAYMENTS AND ADJUSTMENTS TO YOUR ACCOUNT ****						
5/23/25	Payment - Visa					-200.00
6/27/25	Payment - Visa					-200.00
	Note: Online Payment					
7/31/25	Interest					1.72
8/31/25	Interest					4.91
9/30/25	Interest					4.91
	MEGAN MAKIN MONEY *100.00%*					
4/19/25	Regumate - 1000 cc (1 liter)	1	17	FL		226.00
4/22/25	Phenylbutazone Injection	1	17	FL		20.00
4/22/25	Baytril (Enrofloxacin) Injection	1	17	FL		65.00
4/25/25	Phenylbutazone Injection	1	17	FL		20.00
4/25/25	Baytril (Enrofloxacin) Injection	1	17	FL		65.00
4/25/25	Serum Amyloid A (SAA)	1	17	FL		90.00
4/26/25	Baytril (Enrofloxacin) Injection	1	17	FL		65.00
4/26/25	Phenylbutazone Injection	1	17	FL		20.00
4/27/25	Serum Amyloid A (SAA)	1	17	FL		90.00
4/28/25	Baytril (Enrofloxacin) Injection	1	17	FL		65.00
4/28/25	Phenylbutazone Injection	1	17	FL		20.00
4/30/25	Baytril (Enrofloxacin) Injection	1	04	FL		65.00
4/30/25	Phenylbutazone Injection	1	04	FL		20.00
5/1/25	Baytril (Enrofloxacin) Injection	1	17	FL		65.00
5/1/25	Phenylbutazone Injection	1	17	FL		20.00
5/1/25	Tranquilize - Acepromazine/Butorphanol/Dormosedan	1	17	FL		62.00

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EXHIBIT # 3
PAGE # 16

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Clemente Romero
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Date: Sep-30-25
Client #: 270503
Page: 2

Trainer: OLMEDA, RACHEL LOPEZ

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
5/1/25	Bacterial Culture/Sensitivity	1	17	FL		129.00
5/2/25	Baytril (Enrofloxacin) Injection	1	17	FL		65.00
5/2/25	Phenylbutazone Injection	1	17	FL		20.00
5/3/25	Baytril (Enrofloxacin) Injection	1	17	FL		65.00
5/3/25	Phenylbutazone Injection	1	17	FL		20.00
5/3/25	Tranquilize - Dormosedan	1	17	FL		48.00
5/4/25	Baytril (Enrofloxacin) Injection	1	04	FL		65.00
5/4/25	Phenylbutazone Injection	1	04	FL		20.00
	***MEGAN MAKIN MONEY* Sub-Total**				**1,410.00**	
	WALT WHITMAN (IRE) *100.00%*					
5/4/25	Oxytetracycline & Bute	1	04	FL		68.00
5/5/25	Oxytetracycline	1	04	FL		50.00
5/6/25	Oxytetracycline	1	04	FL		50.00
5/7/25	Oxytetracycline	1	04	FL		50.00
	***WALT WHITMAN (IRE)* Sub-Total**				**218.00**	

Over 90 Days	Over 60 Days	Over 30 Days	Current	Total Amount
1,228.00	1.72	4.91	4.91	

PLEASE PAY THIS AMOUNT >>>>>>>> **1,239.54**

Client #:270503

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EXHIBIT #3
PAGE # 17

FLORIDA GAMING CONTROL COMMISSION

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

Alana Zimmer, Executive Director

Ron DeSantis, Governor

DIVISION OF PARI-MUTUEL WAGERING
LICENSE ACTION REQUEST FORM

To: Licensing Administrator

From: Investigator Minaya

Date: April 21, 2026

X	PLEASE **ADD ALERT**: Contact Investigations Prior to Licensing
	PLEASE **REMOVE** ALERT FROM LICENSE RECORD

License number and type: 13631379 - 1021

Last Name: ROMERO First Name: CLEMENTE

DOB: [redacted] SS#: [redacted]

Reason for Addition/Removal of Alert: **DO NOT LICENSE!** Unsatisfied Final Default Judgment pending against Clemente Romero, in favor of PetVet Operating, LLC dba TFB Equine, Case # COCE-25-078862, Division 49, in the Circuit Court of the 17th Judicial Court in Broward County, Florida, in the amount of $9,397.25.

Responsible Party: Inv. Sup Minaya Case Number: 2026 02 7088

Approved by:_____ Date _____

Added/Removed by:_____ Date April 21, 2026

Revised 12/08

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **jminaya**

Search Criteria | Results | Detail

Modifier Type	**A - Alert**
Modifier	**ENFO - Enforcemt Alert**
Effective	**04/21/2026**
Expires	**-**
Additional Info :	
Prompt	
Value	
Notes	**DO NOT LICENSE! Unsatisfied Final Default Judgment pending against Clemente Romero, in favor of PetVet Operating, LLC dba TFB Equine, Case # COCE-25-078862, Division 49, in the Circuit Court of the 17th Judicial Court in Broward County, Florida, in the amount of $9,397.25.**
Updated	**04/21/2026 07:58:03**
By	**jminaya**

Notes History | Change | Delete | Save | Cancel | < | >

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EXHIBIT #4
PAGE # 2

7. Discussion of Request for Waivers

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Chief Attorney
Re: Quila Chanel Miller; Case No. 2026-052300
Date: October 20, 2026

Executive Summary

Quila Chanel Miller (Applicant) seeks a waiver of her disqualifying offense and issuance of a cardroom employee occupational license. Applicant submitted a completed application for a cardroom employee occupational license on May 6, 2026 (Application). Upon review of the Application, it appears the Applicant has been convicted of one disqualifying misdemeanor offense. A Commission investigator conducted a waiver interview of the Applicant on July 27, 2026, and documented the waiver interview in a report submitted to the Commission for consideration.

Relevant Facts

On January 5, 2026, the Applicant submitted an application to the Division for a cardroom employee occupational license. The Applicant wrote "no" on the question asking if he has been convicted of any criminal charges or has pled guilty or nolo contendere to any criminal charges. The Division sent a deficiency letter on January 22, 2026, requesting an updated application, as well as court disposition records and arrest reports for arrests out of Pinellas County, Florida and Orange County, Florida. The Division received an amended application deemed complete on May 6, 2026. Upon review of the completed application, it appears that the Applicant was convicted of the following disqualifying misdemeanor offense:

Petit Theft, out of Pinellas County, Florida, from April 18, 1996.

This misdemeanor conviction is a disqualifying offense pursuant to section 849.086(6)(g) of the Florida Statutes.

The Division received the Applicant's completed request for a waiver of the restrictions on applicants with disqualifying offenses on July 15, 2025. A Commission investigator conducted a waiver interview on July 27, 2026, and documented the waiver interview in a report submitted to the Commission for consideration.

Relevant Law

Section 849.086(6)(g), Florida Statutes, provides that:

> The commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing, or gaming commission or authority.

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 550.105(5)(c), Florida Statutes, provides in pertinent part that:

> "[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the commission."

Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

EXHIBIT
A

MILLER, QUILA CHANEL

Case # 2026-052300

License Type: Cardroom Employee Occupational (1012)
Occupation: Cashier

Waiver Requested: Yes

90 Day Deadline: N/A

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

MILLER, QUILA CHANEL-14655588 (Applicant's Name– License #)

2026-052300 Case No:

INITIAL APPLICATION RECEIVED:	12/26/2025
Application Deemed Complete:	5/11/2026
If no Request for Waiver received, 90-Day Deadline:	**8/8/2026**

Waiver Requested: ☐No ☒Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)
- Waiver Requested: **07/15/2026**

LBJ	**151 - St. Petersburg Kennel Club, Inc.**	**1012-Cashier**
Application Processor	Facility (d/b/a name)	Occupation Code / Job Title

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☐Yes ☒No
Licensing History: **N/A** Type: N/A Initial license issued: **N/A** Maintained Continuous licensure? ☐Yes ☐No **N/A**

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No
Where all items identified in the deficiency letter addressed? ☒Yes ☐No If no, explain:

☐ Felony(s): Count(s) ☒ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking

☐ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)

☐ Animal Cruelty (Pari-Mutuel Professions)

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp	Fines Paid
03/01/1996	**Pinellas County. FL**	**Charge 1**-Petit Theft	M	521996MM007215AXXXNO	Guilty/Convicted	N	Y	Y
06/11/2004	**Orange County, FL**	**Charge 1**- Possession Of Cannabis <20 Grams	M	482003MM009836000AOX	Guilty/Convicted	N	Y	Y
02/13/2008	**Hillsborough County, FL**	**Charge 1**- Driving W License Canc, Susp,Or Revoked	M	292007CT211876000AHC	Adjudicated Guilty	N	Y	Y
03/27/2014	**Pinellas County. FL**	**Charge 1**- Possession Of Marijuana **Charge 2**- Possession Of Drugs Without Prescription	M	522017MM004235000APC	**Charge 1**- Adjudication Withheld **Charge 2**- Adjudication Withheld	Y	Y	Y

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☒ No ☐ ☐ Approval
Disposition Attached for Disqualifier(s)? Yes ☒ No ☐

Deficiency Letter Issued: **01/22/2026**; Application Deemed Complete: **05/11/2026**
Applicant Eligible for Waiver? Yes ☐ No ☐ If Yes: ☒ Waiver Requested ☐ Failed to Request Waiver

Forward to ☒ **Investigations** ☐ **Legal** ☐ **Commission**	Initials: CH Date: 07/15/2026

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **charris**

VR Home > License Search > **License Home**

License

Fed Tax # [redacted] Type **1012 - Cardroom Employee Occupational** Expires On **03/26/2026**

File # **16214** Name **Miller, Quila Chanel** Extended To

License # **14655588** Rank **CEMP - Cardroom Employee Occupational** Renewed On

Entity # **14655588** Lic Status **App Expired**

Licensee | History | Notes | Notes History | Back

Address

Street # **7007** Street **FAIRFAX DRIVE**

Line 2

Line 3

City **PORT RICHEY** State **FL** Zip **34668**

Routing

*New Applicant

*No Previous License

*Temp Issued

*No ARCI Findings

*CCIS findings

*No additional enforcement cases.

Other

1st License Date **12/26/2025** Rank Date **12/26/2025** Certificate #

Method **I-S-1018** Status Date **06/02/2026** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	CASH - Cashier	12/26/2025	
I	GHND - Greyhound	12/26/2025	
L	151 - St. Petersburg Kennel Club, Inc.	12/26/2025	
Y	3YR - 3 Year License	12/26/2025	

Alt Keys

BEST LIC NBR **14655588**

Get Adobe Reader.

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 2026052300 **Incident date:** 12/26/2025 **Status:** 106 - Sent to Directors office

Lic Type: 1012 **Disposition:**

Case Type: Complaint

Responsible: elanier - LANIER, EBONIE

Complainant: DIVISION OF PARI-MUTUEL WAGERING

1400 W COMMERCIAL BLVD, STE 165, FORT LAUDERDALE, FL 33309

Respondent: MILLER, QUILA CHANEL

7007 FAIRFAX DRIVE, PORT RICHEY, FL 34668

Summary: 151 - ST PETERSBURG KENNEL CLUB, INC

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
07/27/2026	A	10	50	Interview Responcent	rsamson	MILLER, QUILA CHANEL
07/27/2026	A	79	CL62	Review File	rsamson	MILLER, QUILA CHANEL
07/27/2026	A	79	435	Telephone	rsamson	MILLER, QUILA CHANEL
07/24/2026	A	79	440	Incoming Correspondence	cstubbs'	MILLER, QUILA CHANEL
07/24/2026	A	10	15	Assignee to Investigator	rsamson	MILLER, QUILA CHANEL
07/27/2026	A	10	5	Supervisor Review		MILLER, QUILA CHANEL

CH

State of Florida

Department of Business and Professional Regulation

Chronology Report

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	07/24/2026	R		rsamson	SAMSON, RANDA	cstubbs'	
	08/24/2026	R		charris	HARRIS, CYNTORIA	cstubbs'	
	08/24/2026	R		clanier	LANIER, EBONIE	charris	
	08/27/2026	R		bjones	JONES, BRADFORD	bjones	
	08/27/2026	S	1012	'65	Supervisor Review	bjones	
	08/24/2026	S	1012	'06	Sent to Directors office	charris	
	08/24/2026	S	1012	'04	Sent to Licensing Section	cstubbs'	
	08/27/2026	S	1012	90	Closed	cstubbs'	
	07/24/2026	S	1012	'0	Initial Review	cstubbs'	
	07/24/2026	S	1012	20	Under Investigation	cstubbs'	

Allegation:	Code	Description
	WAVR	Waiver of Crim. Conv. or Other Offenses

Violation:	Code	Description	Respondent
	WAVR	61D-5.006	MILLER, QUILA CHANEL

CH

LICENSEES

Page 1 of 1. 0 total matches.

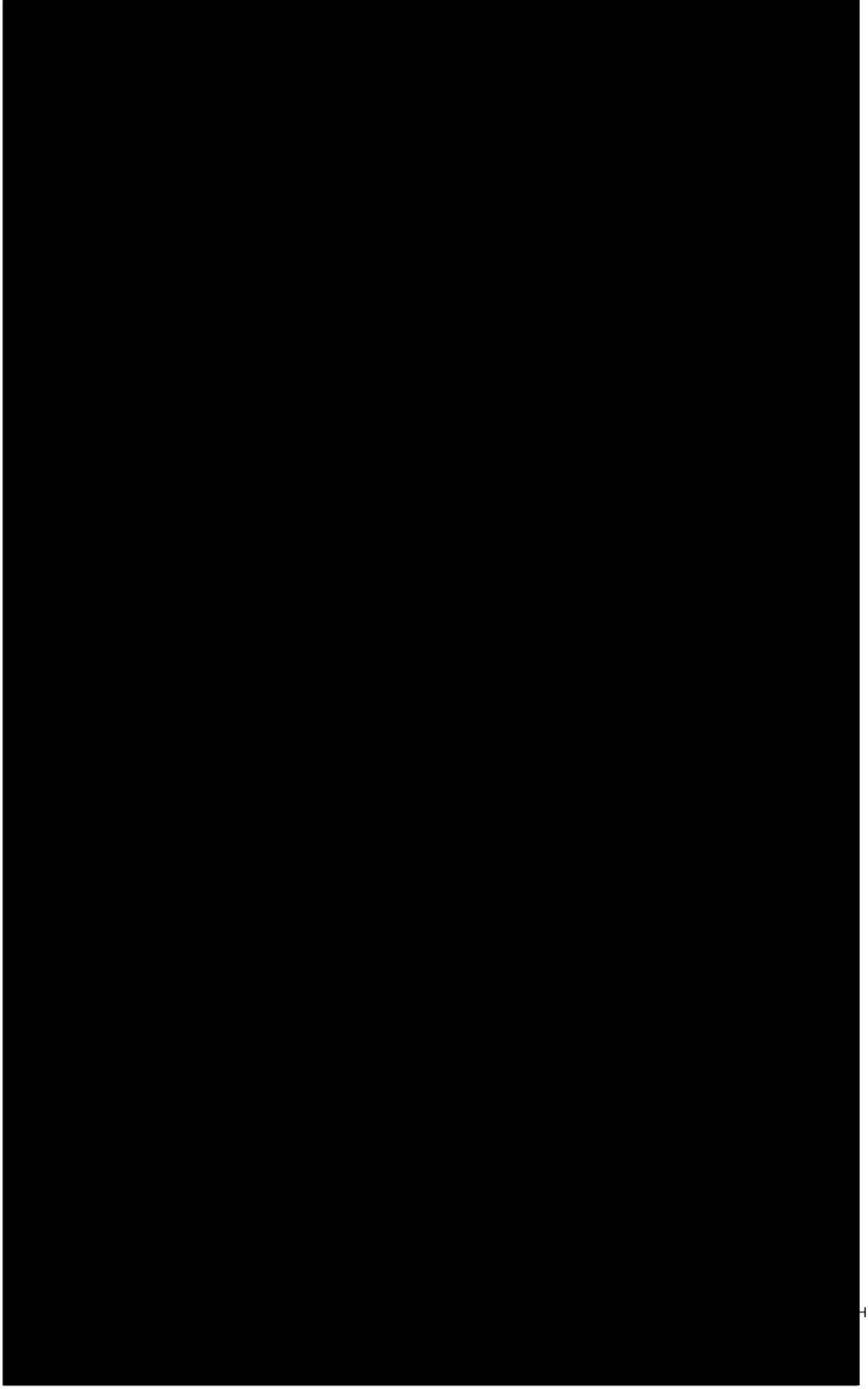
Search Parameters
Govt. Number:
Date of Birth:
First Name: QUILA
Middle Name:
Last Name: MILLER
Sex:
Commission:
License Type:

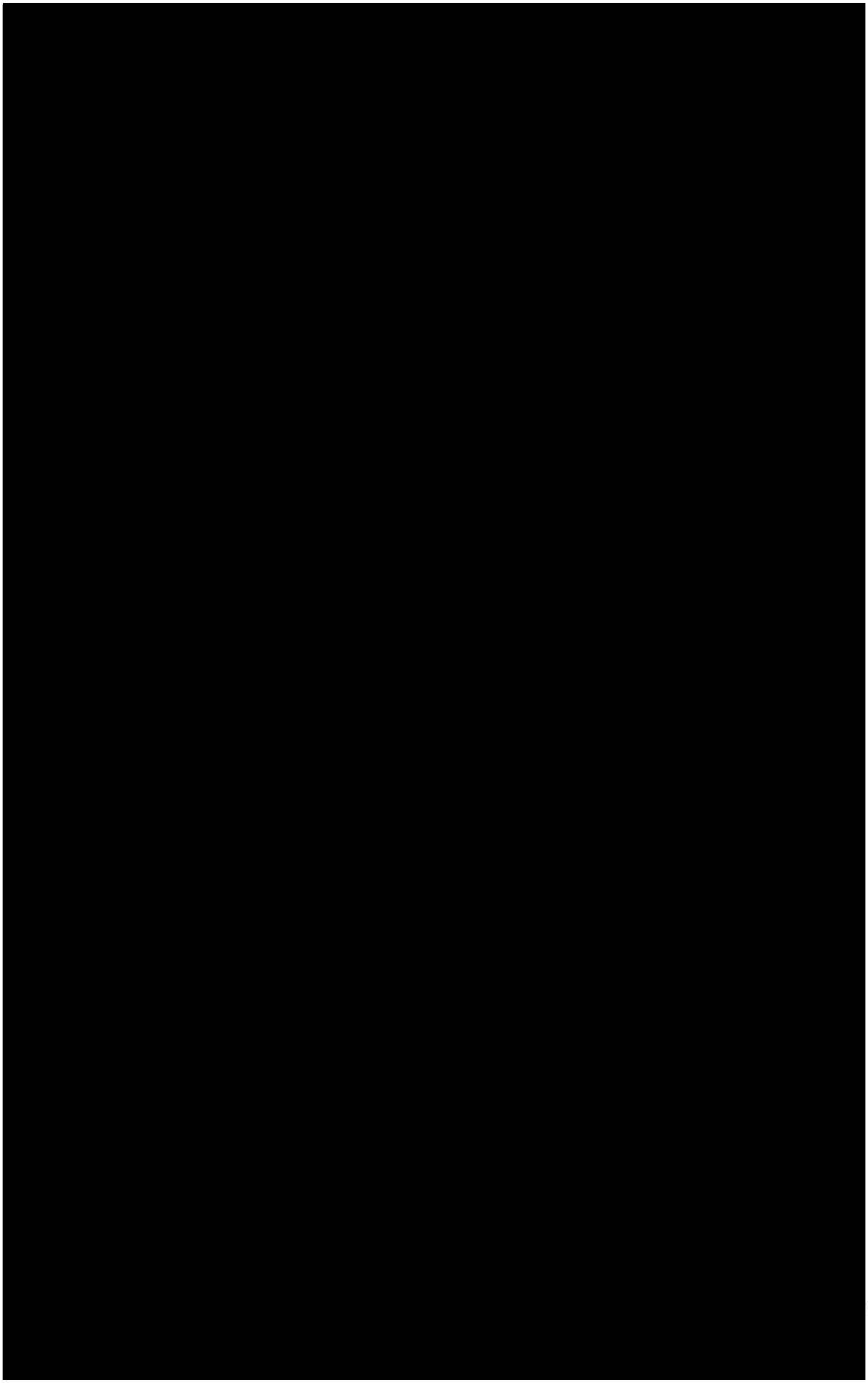
Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

CH

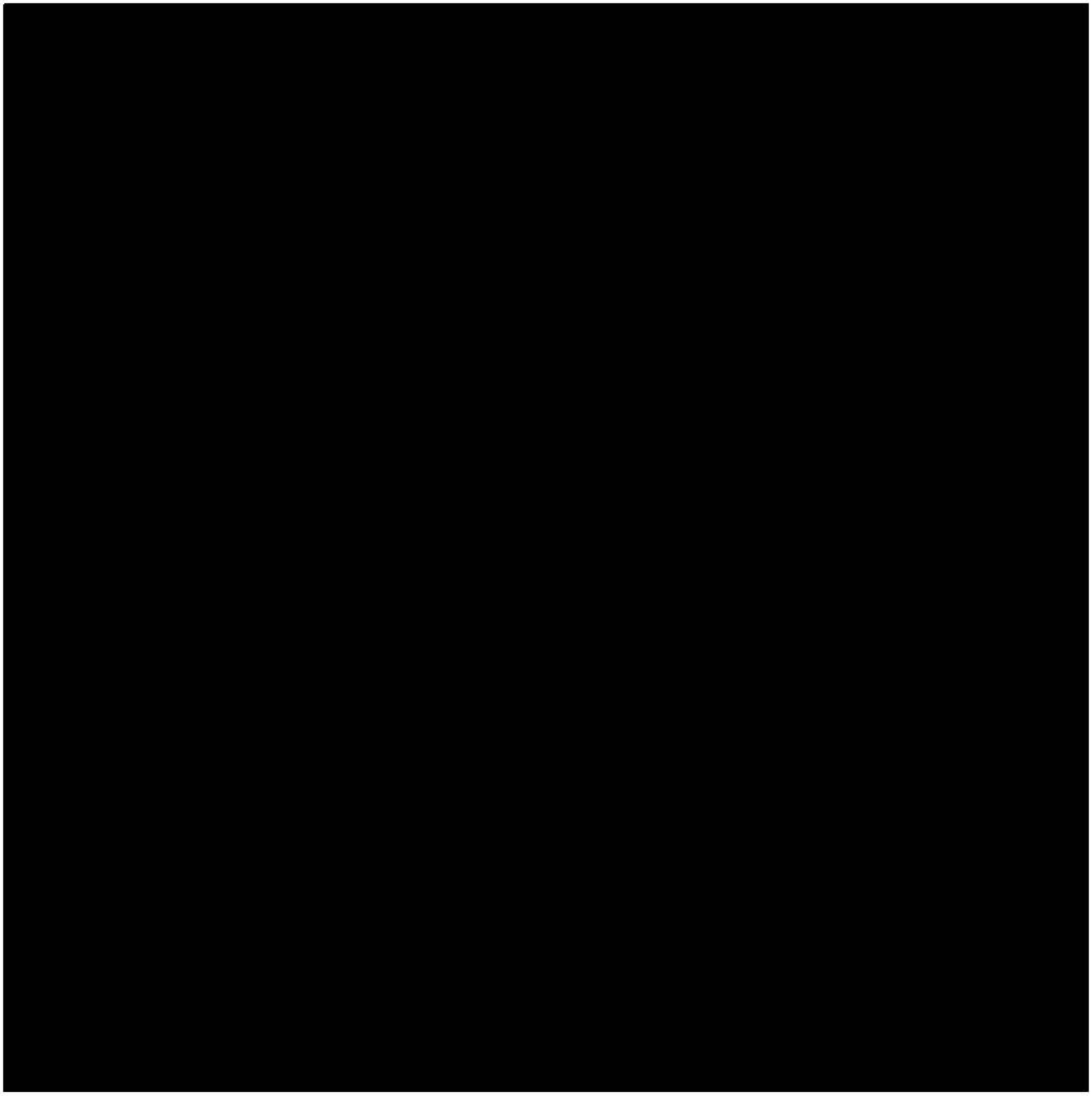

FLORIDA'S CLERKS OF COURT
AND COMPTROLLERS
Bring You
CCIS
COMPREHENSIVE CASE
INFORMATION SYSTEM

[redacted]





CH 08/24/2026



FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1012 - Cardroom Employee Occupational**			Status	**90 Closed**	Status Date	**08/21/2026**
Complaint #	**2026052300**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**MILLER, QUILA CHANEL**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**
Form	**WALK - Walk-in**	Priority	**1**
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**12/26/2025**
Region	**CR - Central Region**	Received	**07/24/2026**
Reference			
Entered	**07/24/2026**	Entered By	**cstubbs1**
Summary	**151 - ST PETERSBURG KENNEL CLUB, INC**		
Updated	**08/24/2026 09:38:09**	By	**cstubbs1**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☑ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

Change | Save | OK | Cancel | Back

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ROUTING SLIP
REQUEST FOR WAIVER

RE: MILLER, QUILA CHANEL – 14655588 (APPLICANT'S NAME – LICENSE #)

Case No: 2026 05 2300

1012 – Cashier
Occupation Code and Job Title

151 – St. Petersburg (Win Derby)
Facility (d/b/a name)

Waiver Referral Date: JULY 24, 2026
(DATE)

Investigations Section: Reviewed by **Bradford D. Jones** 8/21/2026
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: CH 08/24/2026
(Initial & Date)

(Initial & Date)

Is the applicant currently under suspension, have unpaid fines, been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [✓] No If yes, in what jurisdiction? _____

Investigative Findings:

March 1, 1996 — Largo Police Department, FL. – Petit Theft – Misdemeanor – Adjudicated Guilty upon a plea of Guilty and sentenced on April 18, 1996 to pay a $150.00 in Fines and Court Costs which have been paid in full.

*Please attach Routing Slip to front of case file

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Alana Zimmer, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**CENTRAL**	**JULY 24, 2022**	**2026 05 2300**

Respondent:	Complainant:
MILLER, QUILA CHANEL **911 8TH AVE SW #A** **LARGO, FLORIDA 33770** **E-MAIL: qmiller1735@gmail.com** **TEL# (352)591-6054**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License / Type:	Profession:	Report Date:
14655588 / 1012	**CAGE CASHIER**	**JULY 27, 2026**

Period of Investigation:	Type of Report:
JULY 24, 2026 – JULY 27, 2026	**FINAL**

Basis of Investigation: This investigation is predicated upon the submission of **QUILA CHANEL MILLER'S** State of Florida Pari-Mutuel Individual Occupational License Application dated December 23, 2026, and Waiver Request Form dated July 13, 2026.

On December 23, 2026, **MILLER** applied for a Pari-Mutual Cardroom Employee Occupational License (1012) to work as a "Cashier" at St. Petersburg Kennel Club, INC. (Win Derby). On her application, she answered "NO" to the question, "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?"

Upon receipt of **MILLER's** FDLE Criminal History Report, PMW Licensing sent her a Deficiency Letter on January 22, 2026, requesting that **MILLER** list the following arrest(s):

- Pinellas County, Florida Arrest - 3/1/1996 & 3/27/2017
- Orange County, Florida Arrest - 7/3/2003

In addition, **MILLER** was asked to provide court disposition records for the following:

- Pinellas County, Florida Arrest - 3/1/1996

On May 6, 2026, **MILLER** submitted an amended application disclosing a 1996 misdemeanor conviction for Petit Theft in Pinellas County, FL; a 2003 misdemeanor conviction for Possession of Cannabis in Orange County, FL; and a 2017 Misdemeanor conviction for Possession of Cannabis in Pinellas County, FL.

Related Case(s):

Investigations Specialist II / Date	Investigations Manager / Date
/s/ Randa Samson Randa Samson / July 27, 2026	/s/ C. Derek Washington C. Derek Washington / August 4, 2026

Chief of Investigations / Date

Bradford D. Jones / August 21, 2026

CONTINUATION

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 3/1/1996	**Arresting Agency:** Largo Police Department, FL.				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Petit Theft	Misdemeanor	Guilty	Adjudicated Guilty	4/18/1996
2					
3					
4					

SENTENCE
$150.00 Fine/Court Fees

All Court fees and fines have been paid as of 6/6/1996.

Arrest 2					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

CRIMINAL HISTORY

Arrest 3					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
	Charge(s):	**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

Arrest 4					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
	Charge(s):	**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?	X	
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type: **TEMP 1012 Cardroom Employee Occupational License**			
Date Licensed: **12/26/2025**	Expiration Date: **3/26/2026**	License #: **14655588**	Agency or Jurisdiction: **F.G.CC**

	YES	NO
Has License ever been suspended or revoked?		X
Was any derogatory information received?	X	
Additional Comments: **MILLER** failed to list her arrests on her initial application		

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

3. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

4. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

CONTINUATION

WAIVER INTERVIEW

	YES	NO
Was a Waiver Interview Conducted?	X	

Date of Interview:	Location of Interview:
7/27/2026	St. Petersburg Kennel Club, INC

	YES	NO
Was the applicant cooperative?	X	

Additional Comments:

SUMMARY OF INTERVIEW:

During **MILLER's** interview, she explained that her 1996 misdemeanor conviction for Petit Theft occurred after she accompanied a friend who stole merchandise from Beall's, resulting in both of them being arrested. **MILLER** stated that she currently lives in Largo, Florida, with her fiancé, daughter, and three grandchildren. She further reported that she has not been in any trouble since 2017 and possesses a valid medical marijuana card.

MILLER said that she enjoys the fast-paced environment of the casino industry and hopes to become a dealer in the future. When asked why she responded "No" to the question regarding prior criminal convictions or adjudications, **MILLER** explained that she believed complying with the prosecutor's instructions would result in the charge being dismissed. She added that she did not fully understand the legal process at the time due to her young age and inability to afford an attorney.

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed no rulings against **MILLER (EXHIBIT #4).**

Status: Closed by Investigations and forwarded to Licensing.

CONTINUATION

TABLE OF CONTENTS

I. INVESTIGATIVE REPORT COVERSHEET 1-1

II. INVESTIGATIVE REPORT 1-6

III. EXHIBITS

1. Photo / Waiver Request / Application / License.....1-9
2. Legal Documents.....1-2
3. Rap Sheet.....1-9
4. Supporting Docs: ARCI / CCIS / Def. Letter / Other.....1-8

RECEIVED
By C. Harris at 9:51 am, Jul 15, 2026

FGCC PMW-3180 – Request for Waiver

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

TO: Quita Chanel Mille [redacted]
Full Legal Name of Applicant — License — 14655588 Entity Number
Date of Birth [redacted] Authorization to Work Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Executive Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel Occupational License Applicants Only:

☐ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Occupational License Applicants Only:

☒ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

For Slot Machine Occupational License Applicants Only:

☐ A conviction of a felony or misdemeanor in this state, in any other state, or under the laws of the United States if such felony or misdemeanor is related to gambling or bookmaking, regardless of whether adjudication was withheld.

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Florida Gaming Control Commission will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license, a cardroom occupational license or a slot machine occupational license at any pari-mutuel facility in Florida.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

Signature of Applicant

7/13/26
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM FGCC PMW-3195 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION AND PARTICIPATE IN A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

EXHIBIT #1
PAGE 3/9

JAN -5 AM 10:33

FLORIDA GAMING CONTROL COMMISSION

TCN# 70CS16000PKC801003141522

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
FGCC PMW-3120 – Individual Occupational License Applica

RECEIVED BY: DEC 26 2025

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only".

DEMOGRAPHIC INFORMATION

Social Security Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]		☐ Male ☑ Female

Last Name	First	Middle	Suffix
Miller	Quila	Chanel	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☑ No

If yes, list the name or names used: _____

Race/Ethnicity (optional)
☑ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☐ White or Caucasian ☐ Hispanic/Latino ☐ Other

Current Mailing Address	Email Address (optional)
7007 Fairfax Dr.	qmiller785.qm@gmail.com

City	State	Zip Code (+4 optional)	Country, if other than USA
Port Richey	FL	34668	

Primary Phone Number	Secondary/Cell Phone Number (optional)
(727) 301-4095	

Current Street Address: Same as above

City	State	Zip Code (+4 optional)	Country, if other than USA

Type of Occupational License applying for:
☐ General Individual ☐ Professional Individual
☑ Cardroom Employee
Occupation: CASHIER

Facility where employed and/or doing business:
St Petersburg Kennel Club, Inc.
dba Derby Lane
10490 Gandy Boulevard North
St Petersburg, Florida 33702

Does your position require access to the Cardroom? ☑ Yes ☐ No

Is this your first time applying for a racing/gaming license in Florida? ☑ Yes ☐ No

Are you a Supervisor, Manager, or Shareholder of a pari-mutuel permitholder? ☐ Yes ☑ No

Do you own or lease animals intended for racing in Florida? ☑ No ☐ Yes, complete the following:
Stable Name, Kennel Name, or Business Name _____
Trainer Name (horse or greyhound racing only) _____

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code 1012 License # 14655588 File # 16214 App # 81670
Association Code 15 Date Received 12/26/25 Entered By A.M. License Year 25/28
License Fee $100 FP Date 2025 FP Fee CD Total Fee $100.00
☐ Off-Temp ☐ Waiver Requested ☒ ARCI ☒ Enforcement ☐ Minor

EXHIBIT #1
PAGE 4/9

2025 MAY 11 P 3:11

Florida Gaming Control Commission, Division of Pari-Mutuel Wagering
FGCC PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled **"For Division Use Only."**

DEMOGRAPHIC INFORMATION

Social Security Number or Authorization to Work Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]		☐ Male ☒ Female

Suffix

Miller Quila Chanel

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☒ No

If yes, list the name or names used: _____

Race/Ethnicity (optional)
☒ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☐ White or Caucasian ☐ Hispanic/Latino ☐ Other

Have you, within the previous 2 years, been employed with the commission? ☐ Yes ☒ No
Are you a United States citizen? ☒ Yes ☐ No, provide the name of the country of which you are a citizen: _____
Are you authorized to be in the United States? ☒ Yes ☐ No; If yes, Attach documentation.

Current Mailing Address	Email Address
911 8th Ave SW # A	qmiller1735.gm@gmail.com

City	State	Zip Code (+4 optional)	Country, if other than USA
Largo	FL	33770	

Primary Phone Number	Secondary/Cell Phone Number (optional)
(727) 301-4095	

Current Street Address
same as above

City	State	Zip Code (+4 optional)	Country, if other than USA

Type of Occupational License applying for: ☐ General Individual ☐ Professional Individual ☒ Cardroom Employee
Occupation: cashier

Facility where employed and/or doing business:
Employer Name: St. Petersburg Kennel

Does your position require access to the Cardroom? ☐ Yes ☐ No

Is this your first time applying for a racing/gaming license in Florida? ☒ Yes ☐ No

Are you a Supervisor, Manager, or Shareholder of a pari-mutuel permitholder? ☐ Yes ☒ No

Do you own or lease animals intended for racing in Florida? ☒ No ☐ Yes, complete the following:
Stable Name or Business Name _____
Trainer Name (horse racing only) _____

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code 1012 License # 14655588 File # _____ App # _____
Association Code _____ Date Received _____ Entered By _____ License Year (2029)
License Fee _____ FP Date _____ FP Fee _____ Total Fee _____
☐ Off Temp ☐ Waiver Requested ☐ ARCI ☐ Enforcement ☐ Minor

MAY 06 2026

EXHIBIT #1
PAGE 5/9

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NEEDED)

☐ Yes ☒ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☒ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
3/1/96	Pinellas	FL	Petit Theft	misdemeanor	not convicted adj. guilty / fine
9/29/03	Orange	FL	Poss. of cannabis	misdemeanor	not convicted adj. guilty / fine
3/22/17	Pinellas	FL	Poss. of Cannibis	misdemeanor	not convicted adj. withheld

☐ Yes ☒ No	Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.
☐ Yes ☒ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details of the offense and discipline.
☐ Yes ☒ No	Have you ever had a racing/gaming license subject to a provisional suspension or period of ineligibility by the federal Horseracing Integrity and Safety Authority, or another such authority designated by the Federal Trade Commission.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577 and 409.2598, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for obtaining a change, correction, or updating of the FDLE or FBI criminal history are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

Under penalties of perjury, I declare that I have read the foregoing application and the facts contained in this application are true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Florida Gaming Control Commission and the laws of the State of Florida, pursuant to Section 550.105, Florida Statutes.

Signature of Applicant

Date 5/6/26

MAY 06 2026

EXHIBIT #1
PAGE 6/9

JAN -5 AM 10:33

FLORIDA GAMING CONTROL COMMISSION

TCN# 70CS16000PKC801003415 22

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
FGCC PMW-3120 – Individual Occupational License Application

RECEIVED DEC 26 2025 BY:

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION

Social Security Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]		☐ Male ☑ Female

Last	First	Middle	Suffix
Miller	Quila	Chanel	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☑ No

If yes, list the name or names used: _____

Race/Ethnicity (optional)
☑ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☐ White or Caucasian ☐ Hispanic/Latino ☐ Other

Current Mailing Address	Email Address (optional)
7007 Fairfax Dr.	qmiller735.qm@gmail.com

City	State	Zip Code (+4 optional)	Country, if other than USA
Port Richey	FL	34668	

Primary Phone Number	Secondary/Cell Phone Number (optional)
(727) 301-4095	

Current Street Address: Same as above

City	State	Zip Code (+4 optional)	Country, if other than USA

Type of Occupational License applying for:
☐ General Individual ☐ Professional Individual
☑ Cardroom Employee
Occupation: CASHIER

Facility where employed and/or doing business:
St Petersburg Kennel Club, Inc.
dba Derby Lane
10490 Gandy Boulevard North
St. Petersburg, Florida 33702

Does your position require access to the Cardroom? ☑ Yes ☐ No

Is this your first time applying for a racing/gaming license in Florida? ☑ Yes ☐ No

Are you a Supervisor, Manager, or Shareholder of a pari-mutuel permitholder? ☐ Yes ☑ No

Do you own or lease animals intended for racing in Florida? ☑ No ☐ Yes, complete the following:

Stable Name, Kennel Name, or Business Name _____

Trainer Name (horse or greyhound racing only) _____

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code 1012 License # 14555888 File # 16214 App # 81670

Association Code 151 Date Received 12/26/25 Entered By AM License Year 25/28

License Fee $100 FP Date 12025 FP Fee CP Total Fee $100.00

☐ Off Temp ☐ Waiver Requested ☒ ARCI ☒ Enforcement ☐ Minor

EXHIBIT #1
PAGE 7/9

2026 JAN -5 AM 10:33

FLORIDA GAMING
CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NEEDED)

☐ Yes ☑ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☐ Yes ☑ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE

☐ Yes ☑ No	Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.
☐ Yes ☑ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for obtaining a change, correction, or updating of the FDLE or FBI criminal history are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 550.105, Florida Statutes.

Signature of Applicant

Date 12/23/25

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

License

Fed Tax # [redacted] Type **1012 - Cardroom Employee Occupational** Expires On **03/26/2026**

File # **16214** Name **Miller, Quila Chanel** Extended To

License # **14655588** Rank **CEMP - Cardroom Employee Occupational** Renewed On

Entity # **14655588** Lic Status **App Expired**

Licensee | History | Notes | Notes History | Back

Address

Street # **7007** Street **FAIRFAX DRIVE**

Line 2

Line 3

City **PORT RICHEY** State **FL** Zip **34668**

Routing

Other

1st License Date **12/26/2025** Rank Date **12/26/2025** Certificate #

Method **I-S-1018** Status Date **06/02/2026** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	CASH - Cashier	12/26/2025	
I	GHND - Greyhound	12/26/2025	
L	151 - St. Petersburg Kennel Club, Inc.	12/26/2025	
Y	3YR - 3 Year License	12/26/2025	

Alt Keys

BEST LIC NBR **14655588**

Get Adobe Reader.

EXHIBIT #1
PAGE 9/9

KEN BURKE, CPA
CLERK OF THE CIRCUIT COURT AND COMPTROLLER
PINELLAS COUNTY, FLORIDA

Pinellas County Clerk of the Circuit Court

Public Records Case Details

Case Number: **9607215MMANO**

Case Information

Case Type	MISDEMEANOR
Court	Section G
UCN	521996MM007215AXXXNO

Parties

Name	Type	DOB	Address
TIFFANY LARKINS	BONDSMAN/DEPOSITOR	[redacted]	25350 US HIGHWAY 19 N APT 305 CLEARWATER FL 33763
QUILA MILLER	DEFENDANT		7007 FAIRFAX DR PT RICHEY FL 34668
STATE OF FLORIDA	STATE		14250 49th STREET NORTH ROOM 1000 CLEARWATER FL 33762

Charge Details

Charge	Offense Date	Arrest	Plea	Disposition
1. PETIT THEFT Statute: 812.014(3)(A) MISDEMEANOR - 2ND DEGREE	03/01/1996	3/1/1996 OBTS:0008738940 Sequence:01 Control Number:SOA667764 Arresting Agency:LARGO POLICE DEPT	4/18/1996 CHANGED PLEA TO GUILTY	4/18/1996 NO TRIAL - ADJUDICATED GUILTY

Sentence Details

Sentence Date: April 18, 1996

Charge	1. PETIT THEFT Statute: 812.014(3)(A) MISDEMEANOR - 2ND DEGREE
Sentence Type	OTHER SENTENCE
Status	

Conditions / Comments:

- Fine: 000130.00; CourtCost: 000020.00;

Bonds

Description	Status Date	Status	Amount
CASH BOND 00112845	03/01/1996	OPEN BOND	100.00
	04/18/1996	CLOSED CASH BOND	0

Events & Documents

EXHIBIT #2
PAGE 1/2

Date	Event	Comments	Docket #	Pages
05/06/2026	REQUEST FOR COPIES	GIVEN AT THE COUNTER	1	
06/10/1996	DELETED FROM	DELETED FROM: 061896 @ 0300 DEFAULT CALENDAR; DEFT: A		
06/06/1996	SATISFIED ASSESSED FINE AND/OR COSTS	SATISFIED ASSESSED FINE/COSTS - $150.00; DEFT: A; VER: F		
05/29/1996	CASE SET	CASE SET FOR: 061896 @ 0300 DEFAULT CALENDAR; DEFT: A; VER: F		
04/18/1996	CHANGED PLEA TO GUILTY	CHANGED PLEA TO GUILTY; DEFT: A; VER: F		
04/18/1996	JUDGMENT & SENTENCE	JUDGEMENT & SENTENCE:; DEFT: A; VER: F		
04/18/1996	PAY FINE	PAY FINE $ 150; DEFT: A; VER: F		
04/18/1996	FINE AND/OR COSTS OUT OF BOND	FINE/COSTS OUT OF BOND $ 100; DEFT: A; VER: F		
04/18/1996	DEFERRED PAYMENT GRANTED	DEFERRED PAYMENT GRANTED: 052096/; DEFT: A; VER: F		
03/27/1996	CONVERTED DOCKET	NOTICE OF ARRAIGNMENT - 041896 COURTROOM: G AT 0130; DEFT: A; VER: F;		
03/21/1996	INFORMATION FILED	INFORMATION FILED: (1CT) PETIT THEFT; DEFT: A; VER: F		
03/02/1996	COMPLAINT	COMPLAINT - RETAIL THEFT; DEFT: A; VER: F		

Generated on July 15, 2026 10:57 AM

EXHIBIT #2
PAGE 2/2

LICENSES



Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: %Quila miller%
Middle Name: %%Quila miller%%
Last Name: %%Quila miller%%
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

Licensees Rulings Horse Tracking Bulletin Board Preferences Log Off

EXHIBIT #4
PAGE 1/8



FLORIDA'S CLERKS OF COURT AND COMPTROLLERS

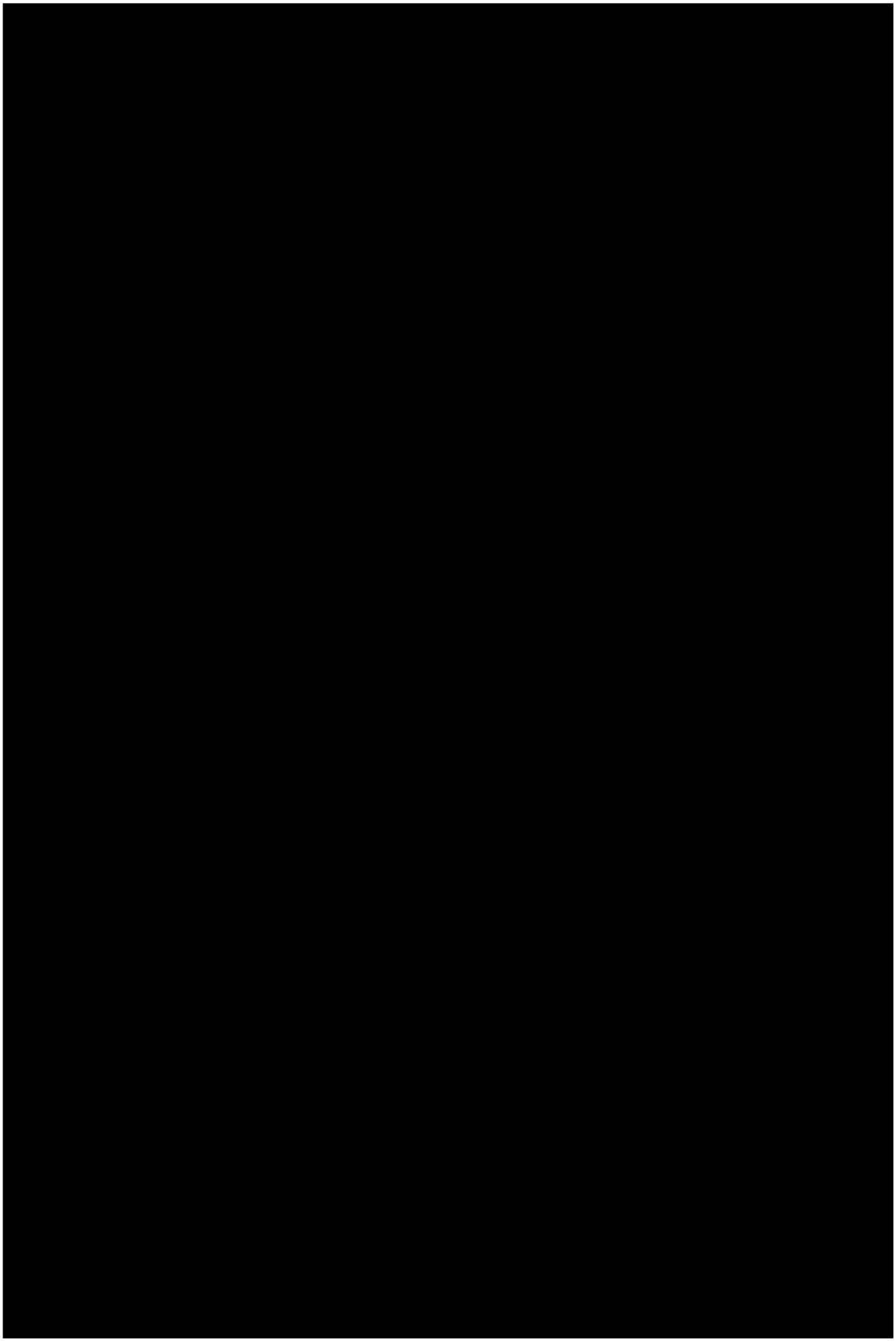
Bring You

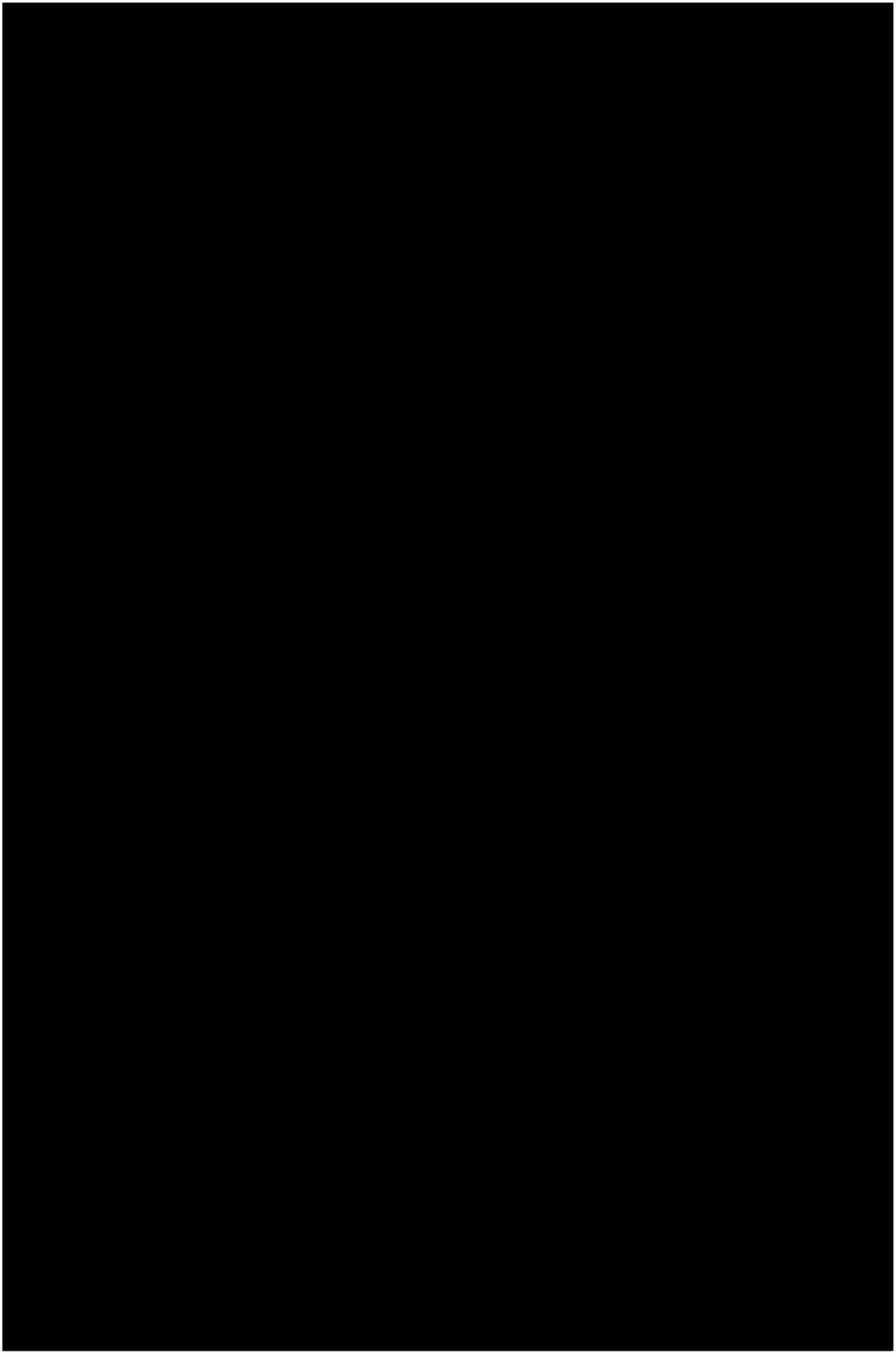
CCIS COMPREHENSIVE CASE INFORMATION SYSTEM

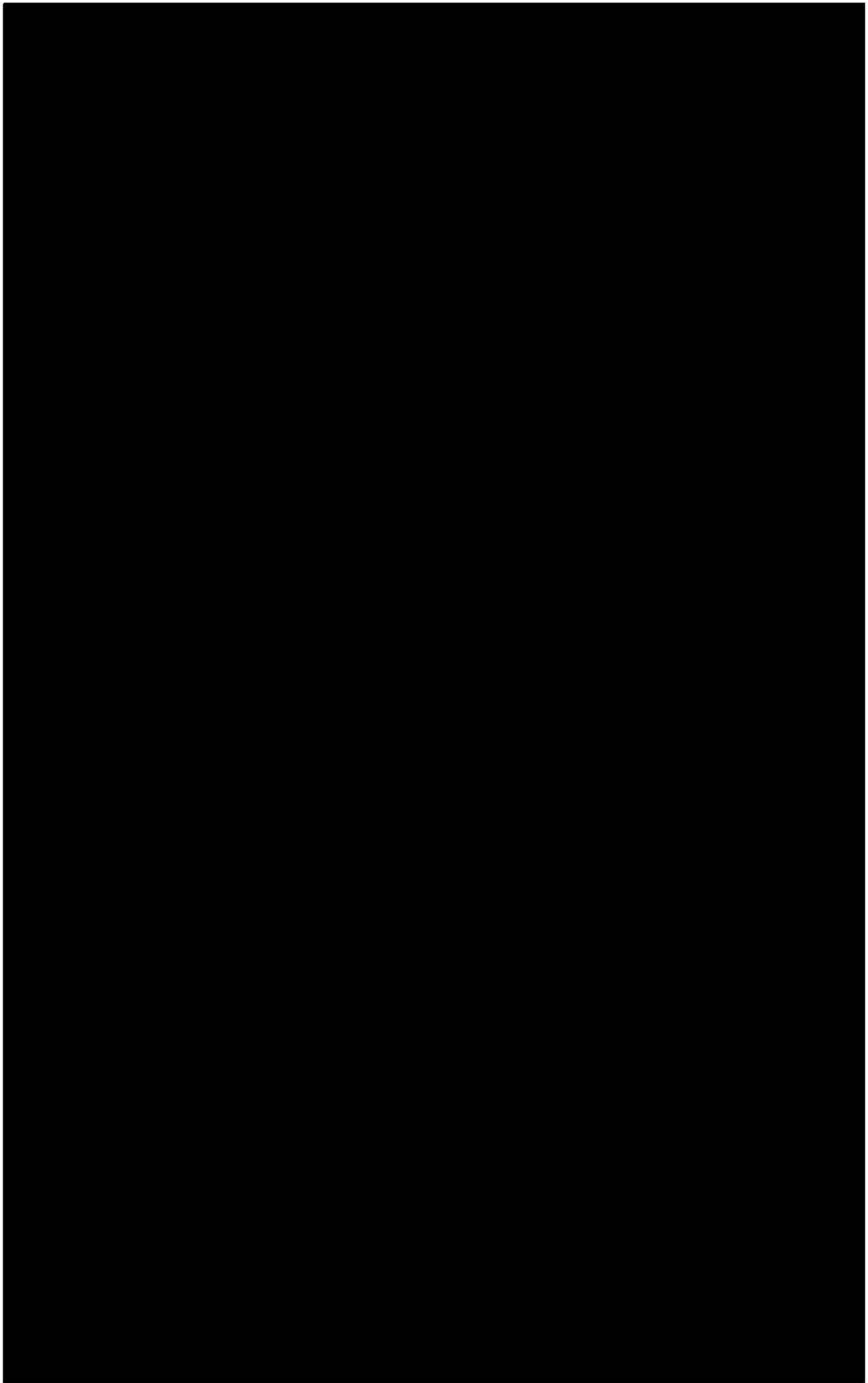


Search Results

[redacted]







[redacted]

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

January 22, 2026

Ms. Quila Chanel Miller
7007 Fairfax Drive
Port Richey, Florida 34668

RE: Application No. 81736, Entity 14655588
1012 - Cardroom Employee Occupational

Dear Ms. Miller:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Other: Pursuant to 550.105(10)(a), F.S., please provide government issued identification verifying your full legal name, date of birth, address, and social security number. The driver's license you submitted is illegible, please resubmit.

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records or arrest records. Please initial and date any changes made to your application.

  You must list the information on your application for the following charges:
  - Pinellas County, FL Arrest(s) – 03/01/1996
  - Orange County, FL Arrest(s) – 07/03/2003
  - Pinellas County, FL Arrest(s) – 03/27/2017

  You must provide the court disposition records and arrest records for the following charges:

  - Pinellas County, FL Arrest(s) – 03/01/1996

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have.

If you fail to provide the Florida Gaming Control Commission with a complete application by 05/21/2026, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 03/26/2026.

Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions, please call 850.794.8130.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. PMW 3180 - Request for Waiver and PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Thank you,

LBJ
Operations Analyst II

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Chief Attorney
Re: Marcus Lenard Clark, Case No. 2026-053026
Date: October 22, 2026

Executive Summary

Marcus Lenard Clark (Applicant) submitted an application for a pari-mutuel general individual occupational license (Application) and seeks a waiver of his disqualifying offenses. Upon review of the completed Application, it appears that Applicant has been convicted of four disqualifying felony offenses. A Commission investigator conducted a waiver interview of the Applicant on September 23, 2026, and documented the waiver interview in a report submitted to the Commission for consideration.

Relevant Facts

On June 2 2026, Applicant submitted his Application to the Division of Pari-Mutuel Wagering for a pari-mutuel general individual occupational license so that he may be a security officer. The Applicant answered "yes" on the question asking if he had been convicted of or has had an adjudication withheld for any crime or has pled guilty or nolo contendere to any criminal charges and listed one misdemeanor conviction.

The Division sent a deficiency letter on June 15, 2026, requesting an updated application and any available court disposition records or arrest reports for arrests out of Pinellas County, Florida, spanning 2008 to 2020. Upon review of the Application deemed complete on July 24, 2026, it appears that Applicant was convicted of the following three disqualifying offenses:

- Possession of Marijuana, a felony, out of Pinellas County, Florida, from June 9, 2008;
- Carrying a Concealed Firearm, a felony, out of Pinellas County, Florida, from June 9, 2008;
- Possession of Marijuana, a felony, out of Pinellas County, Florida, from April 25, 2011; and

- Possession of Cocaine, a felony, out of Pinellas County, Florida, from April 25, 2011.

The Division received Applicant's request for a waiver from the restrictions excluding applicants with disqualifying offenses on July 7, 2026. On September 23, 2026, a Commission investigator conducted a waiver interview with the Applicant, and the waiver interview is documented in a report included in the case materials for the Commission's consideration.

The felony convictions are disqualifying pursuant to Section 550.105(5)(b) of the Florida Statutes.

Analysis

Section 550.105(5)(b), Florida Statutes, provides, in relevant part:

> . . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 550.105(5)(d), Florida Statutes, provides:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 550.105(5)(c) provides in relevant part:

> "[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital

offense, the restrictions excluding offenders may be waived by the commission."

EXHIBIT
A

CLARK, MARCUS LENARD

Case # 2026-053026

License Type: PMW General Individual Occupational (1022)
Occupation: Security

Waiver Requested: YES

90 Day Deadline: N/A

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

CLARK, MARCUS LENARD-14830809 (Applicant's Name– License #)

2026-053026 Case No:

INITIAL APPLICATION RECEIVED:	5/29/2026
Application Deemed Complete:	7/24/2026
If no Request for Waiver received, 90-Day Deadline:	**10/21/2026**

Waiver Requested: ☐No ☒Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)
- Waiver Requested: **07/07/2026**

BF	**151 - St. Petersburg Kennel Club, Inc.**	**1022-Security**
Application Processor	Facility (d/b/a name)	Occupation Code / Job Title

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☐Yes ☒No
Licensing History: **N/A** Type: N/A Initial license issued: **N/A** Maintained Continuous licensure? ☐Yes ☐No **N/A**

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No
Where all items identified in the deficiency letter addressed? ☒Yes ☐No If no, explain:

☒ Felony(s): Count(s) ☐ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking
☐ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)
☐ Animal Cruelty (Pari-Mutuel Professions)

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp	Fines Paid
04/07/2008	Pinellas County, FL	**Charge 1**-Aggrav Battery With A Deadly Weapon	F	522008CF007531AXXXNO	Charge 1- No Action	N/A	N/A	N/A
		Charge 2-Possession Of Marijuana		522008CF007529XXXXNO	Charge 2-Adjudication Withheld	Y	Y	Y
		Charge 3-Kidnapping Bodily Harm Domestic		522008CF007530XXXXNO	Charge 3-No Action	N/A	N/A	N/A
		Charge 4-Burglary Dwelling/Structure/Conveyance/ Armed		522008CF007528XXXXNO	Charge 4- No Action	N/A	N/A	N/A
		Charge 5-Carrying Concealed Weapon		522008CF007527XXXXNO	Charge 5-Adjudication Withheld	N	Y	Y
09/30/2010	Pinellas County, FL	**Charge 1**-Possession Of Marijuana	F	522010CF021626AXXXNO	**Charge 1**- Adjudication Withheld	Y	Y	Y
		Charge 2-Possession Of Cocaine		522010CF021627AXXXNO	**Charge 2**- Adjudication Withheld			
02/27/2016	Pinellas County, FL	**Charge 1- Aggravated Battery**	F	522016CF002179000APC	**Charge 1**-Dropped/Abandoned	N/A	N/A	N/A
09/25/2016	Pinellas County, FL	**Charge 1- Domestic Battery By Strangulation**	F	522016CF010691000APC	**Charge 1**-Dropped/Abandoned	N/A	N/A	N/A
09/18/2017	Pinellas County, FL	**Charge 1- Home Invasion W/Firearm Other Deadly Weapon**	F	522017CF011406000APC	**Charge 1**-Dropped/Abandoned	N/A	N/A	N/A
01/20/2020	Pinellas County, FL	**Charge 1- Disorderly Conduct**	M	522020MM000936000APC	**Charge 1**- Adjudication Withheld	Y	Y	Y
07/25/2024	Pinellas County, FL	**Charge 1- Burglary Dwelling/Structure/ Conveyance/ Armed** **Charge 2- Cruelty Toward Child** **Charge 3- Cruelty Toward Child** **Charge 4- Cruelty Toward Child**	F	522024CF007124000APC	**Charge 1**-Dropped/Abandoned Charge 2-Dropped/Abandoned Charge 3-Dropped/Abandoned **Charge 4**-Dropped/Abandoned	N/A	N/A	N/A

Related Licenses	
Check VR License Relations to Determine if Applicant is Related to a Business.	
Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**	
Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☒ No ☐ ☐ Approval

Disposition Attached for Disqualifier(s)? Yes ☒ No ☐

Deficiency Letter Issued: **06/15/2026**; Application Deemed Complete: **07/24/2026**

Applicant Eligible for Waiver? Yes ☐ No ☒ If Yes: ☐ Waiver Requested ☐ Failed to Request Waiver

Forward to ☒ **Investigations** ☐ **Legal** ☐ **Commission**	Initials: CH Date 7/24/2026

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 2026053026 **Incident date:** 05/29/2026 **Status:** 116 - Sent to Legal

Lic Type: 1022 **Disposition:**

Case Type: Complaint

Responsible: elanier - LANIER, EBONIE

Complainant: DIVISION OF PARI-MUTUEL WAGERING

1400 W COMMERCIAL BLVD, STE 165, FORT LAUDERDALE, FL 33309

Respondent: CLARK, MARCUS LENARD

4628 16TH AVENUE SOUTH, ST. PETERSBURG FL 33711

Summary: 320 - TAMPA BAY DOWNS, INC

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
09/23/2026	A	79	430	In Person	rsamson	CLARK, MARCUS LENARD
09/23/2026	A	79	200	Case File Review	rsamson	CLARK, MARCUS LENARD
07/27/2026	A	79	440	Incoming Correspondence	jhodge	CLARK, MARCUS LENARD
09/21/2026	A	10	15	Assigned to Investigator	rsamson	CLARK, MARCUS LENARD
09/23/2026	A	10	50	Interview Respondent	rsamson	CLARK, MARCUS LENARD
09/21/2026	A	79	200	Case File Review	rsamson	CLARK, MARCUS LENARD

CH

State of Florida

Department of Business and Professional Regulation

Chronology Report

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	09/24/2026	A	79	200	Case File Review		CLARK, MARCUS LENARD
	09/22/2026	A	79	200	Case File Review	rsamson	CLARK, MARCUS LENARD
	09/22/2026	A	79	435	Telephone	rsamson	CLARK, MARCUS LENARD
	09/24/2026	A	79	200	Case File Review	rsamson	CLARK, MARCUS LENARD
	09/21/2026	R		rsamson	SAMSON, RANDA	cstubbs1	
	07/29/2026	R		cstubbs1	STUBBS, CHERYL	gricks	
	09/29/2026	R		charris	HARRIS, CYNTORIA	cstubbs1	
	09/29/2026	R		clanier	LANIER, EBONIE	charris	
	09/29/2026	R		clanier	LANIER, EBONIE	cstubbs1	
	09/29/2026	R		bjones	JONES, BRADFORD	bjones	
	09/29/2026	S	1022	165	Supervisor Review	bjones	
	09/29/2026	S	1022	116	Sent to Legal	charris	
	09/29/2026	S	1022	104	Sent to Licensing Section	cstubbs1	
	09/29/2026	S	1022	90	Closed	cstubbs1	
	07/28/2026	S	1022	10	Initial Review	cstubbs1	
	09/21/2026	S	1022	20	Under Investigation	cstubbs1	

CH

State of Florida

Department of Business and Professional Regulation

Chronology Report

Allegation:	Code	Description
	WAVR	Waiver of Crim. Conv. or Other Offenses

Violation:	Code	Description	Respondent
	WAVR	61D-5.005	CLARK, MARCUS LENARD

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **charris**

VR Home > License Search > **License Home**

License

Fed Tax # [redacted] Lic Type **1022 - PMW General Individual Occupational** Expires On

File # **106498** Name **CLARK, MARCUS LENARD** Extended To

License # Rank Renewed On

Entity # **14830809** Lic Status **Applicant**

Licensee | History | Notes | Notes History | Back

Address

Street # **4628** Street **16TH AVENUE SOUTH**

Line 2

Line 3

City **ST. PETERSBURG** State **FL** Zip **33711**

Routing

*New Applicant

*No Previous License

*No Temp Issued

*No ARCI Findings

*CCIS findings

*No additional enforcement cases.

Other

1st License Date Rank Date Certificate #

Method **- -** Status Date Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	SECR - Security	06/15/2026	
I	GHND - Greyhound	06/15/2026	
L	151 - St. Petersburg Kennel Club, Inc.	06/15/2026	

Alt Keys

BEST LIC NBR

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Licenses

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number [redacted]
Date of Birth:
First Name:
Middle Name:
Last Name: CLARK
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

CH



FLORIDA'S CLERKS OF COURT AND COMPTROLLERS

Bring You

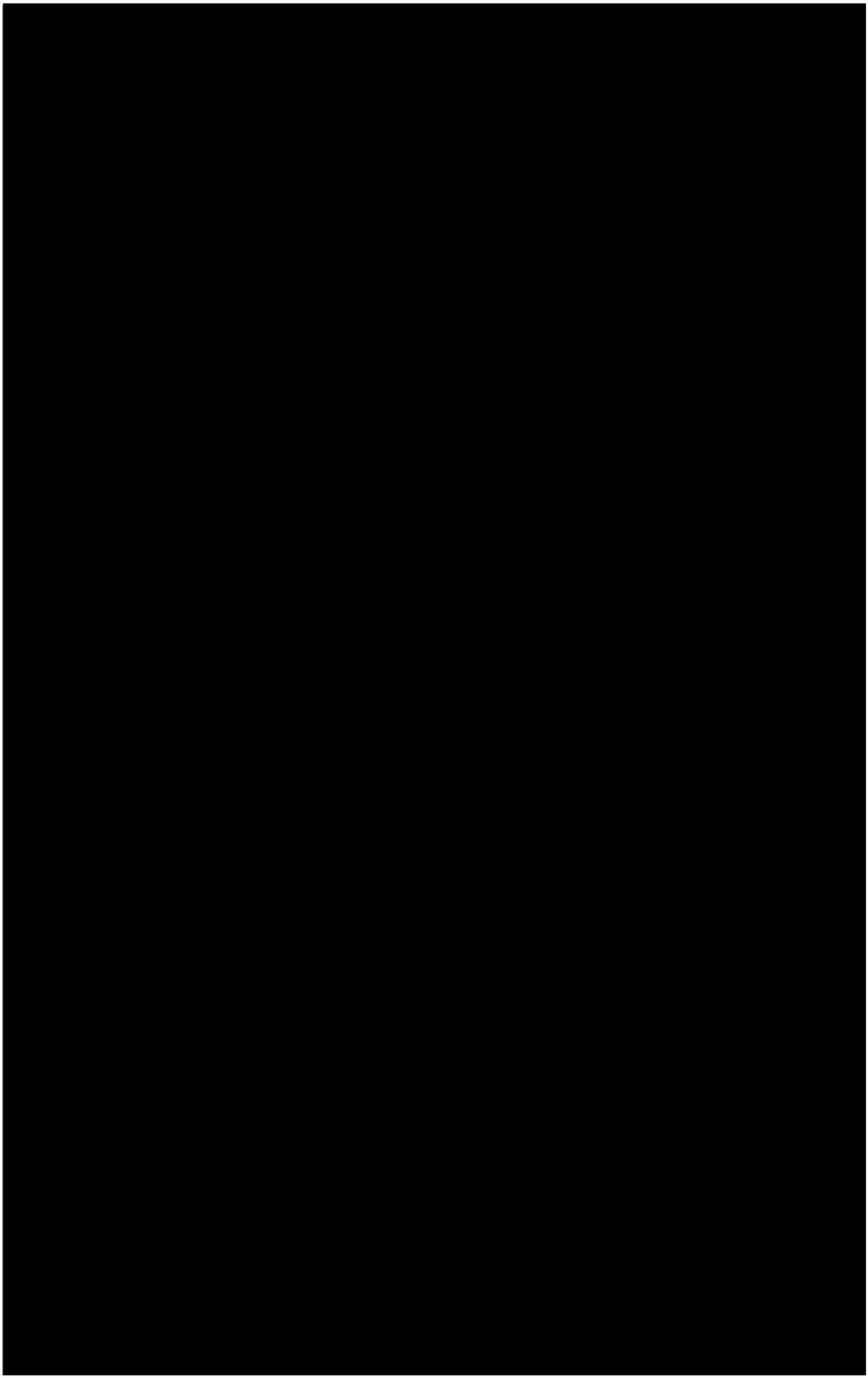
CCIS COMPREHENSIVE CASE INFORMATION SYSTEM

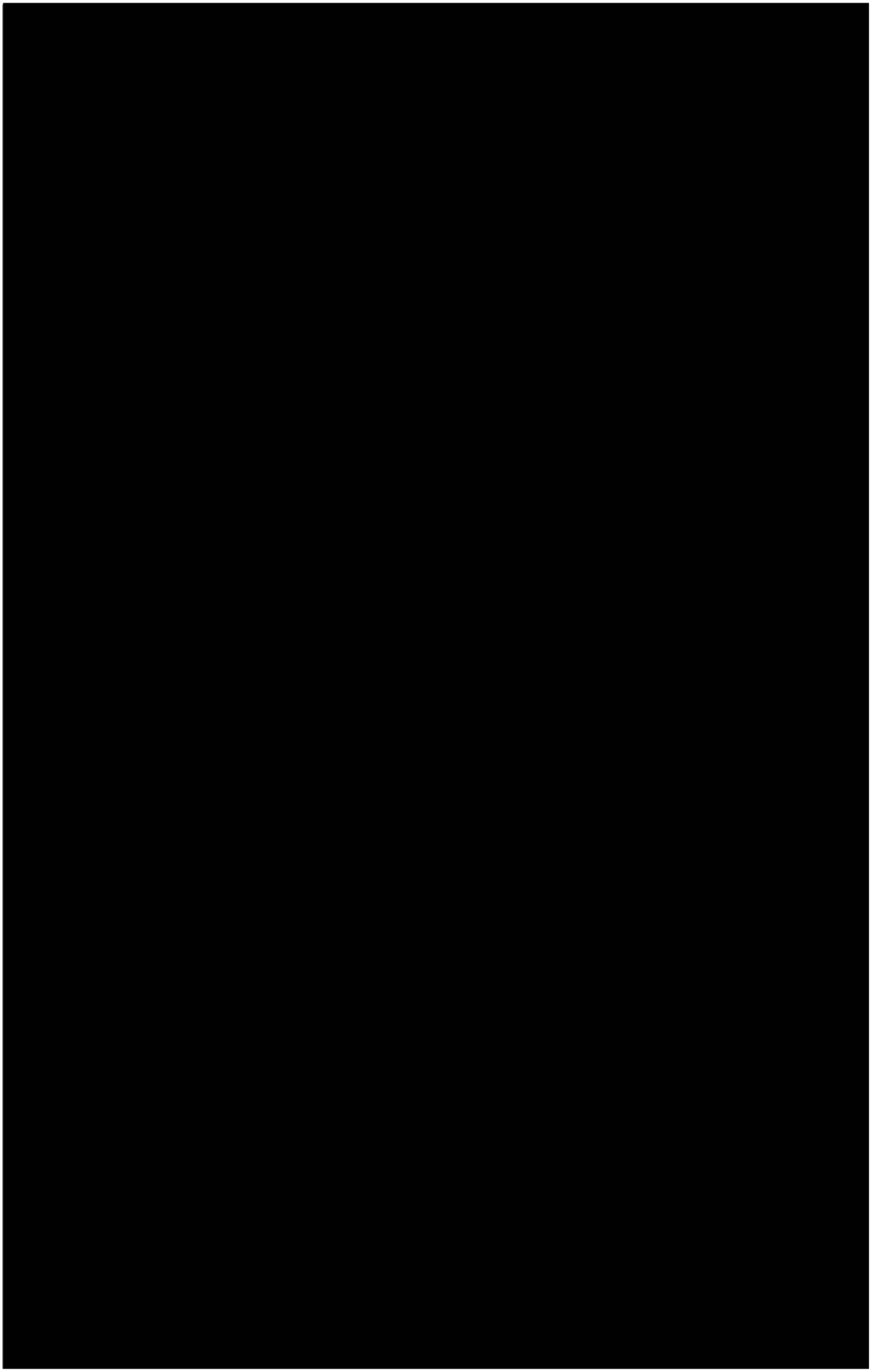


Cynthia Harris@jac

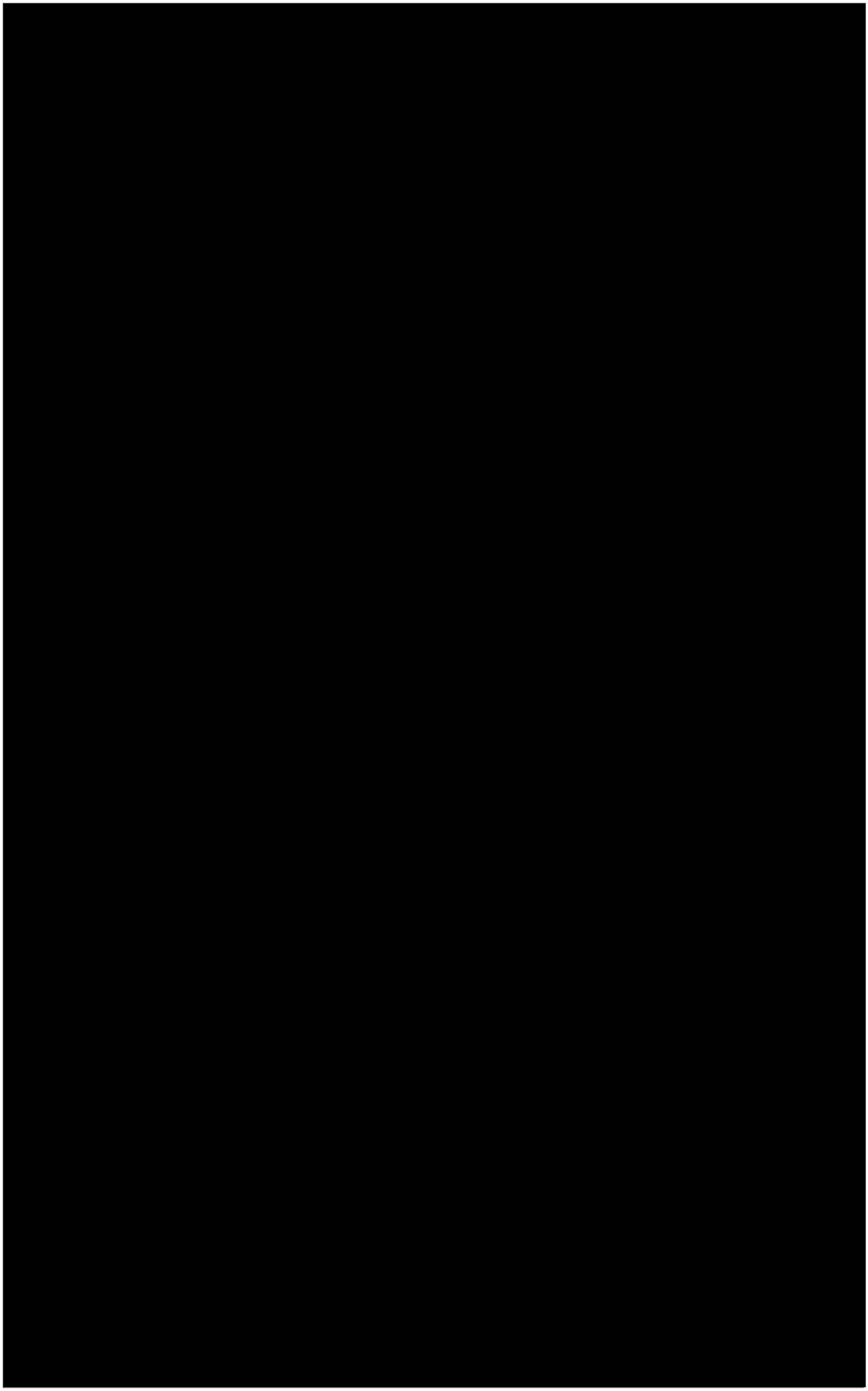
[redacted]

CH





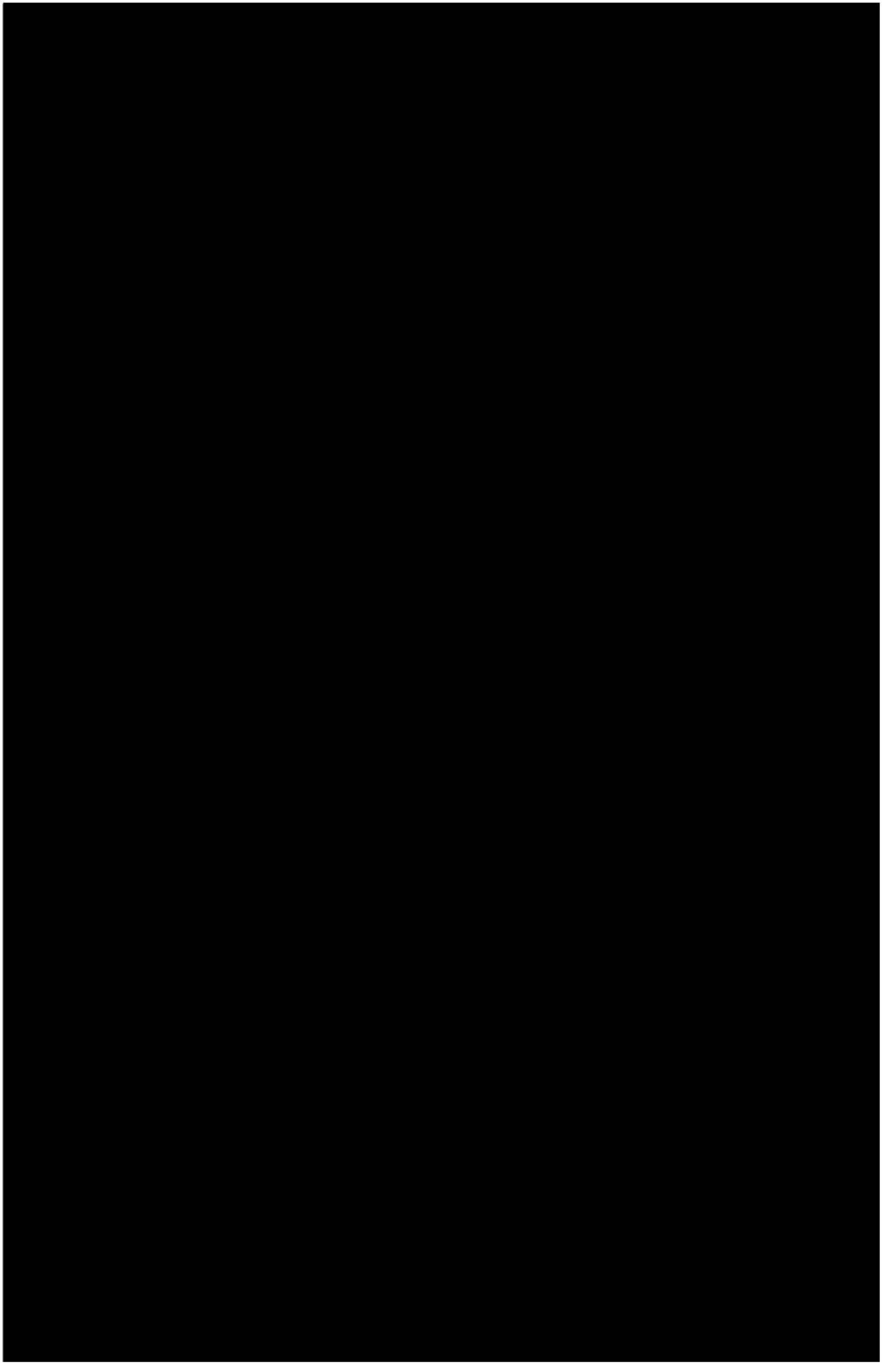
CH



CH

[redacted]

CH



[redacted]

CH

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update
Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1022 - Pari-Mutuel General Individual Occupational**			Status	**90 Closed**	Status Date	**09/29/2026**
Complaint #	**2026053026**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**CLARK, MARCUS LENARD**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**
Form	**WALK - Walk-in**	Priority	**1**
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**05/29/2026**
Region	**CR - Central Region**	Received	**07/27/2026**
Reference			
Entered	**07/28/2026**	Entered By	**cstubbs1**
Summary	**320 - TAMPA BAY DOWNS, INC**		
Updated	**09/29/2026 14:49:31**	By	**cstubbs1**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☑ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking — Auto Assign
☐ Attachments — History
☐ Work Notes — Print Report

Change | Save | OK | Cancel | Back

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ROUTING SLIP
REQUEST FOR WAIVER

RE: CLARK, MARCUS LENARD – 14830809 Case No: 2026 05 3026
(APPLICANT'S NAME – LICENSE #)

1022 – Security Officer
Occupation Code and Job Title

151 – St. Petersburg Kennel Club
Facility (d/b/a name)

Waiver Referral Date: JULY 27, 2026
(DATE)

Investigations Section: Reviewed by **Bradford D. Jones** 9/29/2026
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: CH 9/29/2026 _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, have unpaid fines, been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [✓] No If yes, in what jurisdiction? _____

Investigative Findings:

April 7, 2008, Pinnellas County Sheriff's Office, FL. – Possession of Marijuana and Carrying a Concealed Weapon – Felonies – Plead Nolo Contendere – Adjudication Withheld on June 9, 2008 – Sentenced to 12 months' Probation (concurrent with each count) $590.00 in Fines/Court costs - Arrested twice for VOP; however, on both occasions the VOP was dismissed. - Probation terminated on June 8, 2009. - All fines are paid.

September 30, 2010, Pinnellas County Sheriff's Office, FL. Sentenced to – Possession of Marijuana and Cocaine – Felonies – Plead Nolo Contendere – Adjudication Withheld on April 25, 2011 – and sentenced to 12 months' Probation (concurrent with each count) $650.00 in Fines/Court Costs – VOP on May 17, 2011. - Plead Nolo Contendere to the VOP, and the original probation terms were reinstated. - Probation terminated on November 23, 2011. - All fines are paid.

*Please attach Routing Slip to front of case file

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Alana Zimmer, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**CENTRAL**	**JULY 27, 2026**	**2026 05 3026**

Respondent:	Complainant:
CLARK, MARCUS LENARD **4628 16TH AVEVENUE SOUTH** **ST. PETERSBURG, FLORIDA 33711** **TEL.# (727) 439-2624** **MARCUSCLARK25314@GMAIL.COM**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 WEST COMMERCIAL BOULEVARD, SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License / Type:	Profession:	Report Date:
14830809 / 1022	**SECURITY OFFICER**	**September 24, 2026**

Period of Investigation:	Type of Report:
July 27, 2026 - September 24, 2026	**FINAL**

This investigation is based upon submission to **MARCUS LENARD CLARK'S** State of Florida Pari-Mutuel Occupational License Application dated May 27, 2026, and Waiver Request Form dated June 29, 2026 **(EXHIBIT #1).**

On May 27, 2026, **CLARK** applied for a Pari-Mutual General Individual Occupational License (1022) to work "Security" at the ST. Petersburg Kennel Club. On his initial application, he answered "yes" to the question, "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" **CLARK** listed a 2006 misdemeanor charge of Simple Battery **(EXHIBIT #1).**

Upon receipt of **CLARK's** FDLE Criminal History Report, PMW Licensing sent him a Deficiency Letter on June 15, 2026, requesting that he list the following arrests **(EXHIBIT #4):**

- Pinellas County, Florida arrest(s) – 04/7/2008 (Poss of Marijuana & Carrying a Conceal Weapon, Felony)
- Pinellas County, Florida arrest(s) -- 09/30/2010 (Poss of Marijuana & Cocaine, Felony)
- Pinellas County, Florida arrest(s)-- 01/20/2020 (Disorderly Conduct, Misdemeanor)

On July 7, 2026, **CLARK** amended his application to include the above-listed Felony offenses and provided arrest record(s) and court disposition records as requested.

Related Case(s):

Investigations Specialist II / Date	Approved by Investigations Manager / Date
/s/ Randa Samson Randa Samson / September 24, 2026	/s/ C. Derek Washington C. Derek Washington / September 28, 2026

Chief of Investigations / Date

/s/ Bradford D. Jones
Bradford D. Jones / September 29, 2026

CONTINUATION

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 4/7/2008	**Arresting Agency: Pinellas County Sheriff's Office, FL.**				
OFFENSE					
Charge(s)		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Possession of Marijuana	Felony	Nolo Contendere	Adj Withheld	6/9/2008
2	Carrying a concealed Weapon	Felony	Nolo Contendere	Adj Withheld	6/9/2008
3					
4					

SENTENCE
12 months' Probation (concurrent with each count) $590.00 in Fines/Court costs.

Additional Information: CLARK was arrested twice for VOP; however, on both occasions the VOP was dismissed. Probation terminated on June 8, 2009. All fines are paid.

Arrest 2					
Date of Arrest: 9/30/2010	**Arresting Agency: Pinellas County Sheriff's Office, FL**				
OFFENSE					
Charge(s)		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Possession of Marijuana	Felony	Nolo Contendere	Adj Withheld	4/25/2011
2	Possession of Cocaine	Felony	Nolo Contendere	Adj Withheld	4/25/2011
3					
4					

SENTENCE
12 months' Probation (concurrent with each count) $650.00 in Fines/Court costs.

Additional Information: On May 17, 2011, **CLARK** violated his probation. He pleaded Nolo contendere to the VOP, and the original probation was reinstated. Probation terminated on November 23, 2011. All fines paid.

CONTINUATION

CRIMINAL HISTORY

Arrest 3					
Date of Arrest:	Arresting Agency:				
OFFENSE					
	Charge(s)	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1					
2					
3					
4					

SENTENCE

Additional Information:

Arrest 4					
Date of Arrest:	Arresting Agency:				
OFFENSE					
	Charge(s)	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?		X
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

3. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

4. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

CONTINUATION

WAIVER INTERVIEW

		YES	NO
Was a Waiver Interview Conducted?		X	
Date of Interview: 9/23/2026	Location of Interview: Tampa Greyhound Track		
		YES	NO
Was the applicant cooperative?		X	
Additional Comments:			

SUMMARY OF INTERVIEW:

During **CLARK's** interview, he explained that his 2008 convictions for possession of marijuana and carrying a concealed weapon occurred because he was driving his child's mother's vehicle, and he was unaware of the items inside the car[1]. He further stated that the possession of cocaine and marijuana charges stemmed from a separate incident in which his cousin was riding in his vehicle and the narcotics belonged to the cousin, though **CLARK** was ultimately charged[2].

CLARK reported that he currently resides with his uncle in St. Petersburg, Florida. He stated that he has nineteen children ranging in age from ten to twenty-two, with another child on the way. **CLARK** also shared that he is enrolled full-time at St. Petersburg College, pursuing studies in public safety and legal studies, and that he actively works to stay out of trouble.

Additionally, **CLARK** expressed that he is seeking security experience with the long-term goal of establishing his own security company.

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed no rulings against **CLARK (EXHIBIT #4).**

Status: Closed by Investigations and forwarded to Licensing.

---

[1] ***The corresponding Arrest Report indicates that CLARK was a passenger in the vehicle, had a small quantity of marijuana on his person, and that a large quantity of marijuana was found inside a backpack that was in the vehicle at CLARK'S feet. The related Pinellas County Felony Information states that on the date of his arrest, a concealed firearm was carried on or about his person.***

[2] ***The related Arrest Report for this matter indicates that 83 grams of marijuana in individually packaged small baggies were found in plain view inside a vehicle CLARK was driving along with 6 pieces of crack cocaine. At the time of arrest CLARK also had $1,800.00 on his person.***

CONTINUATION

TABLE OF CONTENTS

I. INVESTIGATIVE REPORT COVERSHEET ……..1-1

II. INVESTIGATIVE REPORT ……..1-6

III. EXHIBITS

1. Photo / Waiver Request / Application / License……1-7
2. Legal Documents……1-42
3. FDLE Criminal History Report……..……1-20
4. Supporting Docs: ARCI / CCIS / Def. Letter……..…1-24

FGCC PMW-3180 – Request for Waiver

RECEIVED

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

2026 JUL -7 PM 2:51

FLORIDA GAMING

TO: Marcus Leonard Clark
Full Legal Name of Applicant

[redacted]
Date of Birth Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Executive Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

☐ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

☒ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Florida Gaming Control Commission will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

M. Clark
Signature of Applicant

6/29/2026
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM FGCC PMW-3193 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

EXHIBIT #1
PAGE 2/7

2026 JUN -2 AM 9:34

TCN# 70CS16000PKC80100 50386 FLORIDA GAMING CONTROL COMMISSION

FGCC PMW-3120 – Individual Occupational License Application

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below with your application to ensure faster processing.

ALL License Applicants must submit:

☐ Completed Application – Print clearly and complete all sections that are not optional in black or blue ink.

☐ Provide Identification

Provide a copy of one of the items listed below:
- US Passport
- Certificate of US Citizenship or Naturalization
- Permanent Resident Card

OR, Provide a copy of two of the items below:
- Birth Certificate (Certified Copy)
- Driver's License
- Military ID Card
- Student ID Card
- Country ID Card
- Foreign Passport
- Government Issued Credential
- Immigration ID Card
- Employment Authorization Card

SSN #

☐ Additional Pages – If necessary to respond to any application questions. 3 Pages · doc.Cont

☐ Supporting Legal Documentation – If needed to respond to background information questions in application.

SUBMIT ONE THREE (3) YEAR LICENSING FEE: * does not include fingerprint fee

☑	Pari-Mutuel General Occupational License - $15.00*	ORI FL925184Z (2020 OPEN)
☐	Pari-Mutuel Professional Occupational License - $80.00*	ORI FL925184Z
☐	Cardroom Employee Occupational License - $100.00*	ORI FL925186Z

SUBMIT ONE FINGERPRINT OPTION:

☑ Electronic Fingerprints: Electronic fingerprints must be submitted to the Commission through a law enforcement agency or an FDLE approved Livescan Service Provider. Submit electronic fingerprints with above ORI number.

IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved Livescan Service Provider.

☐ Fingerprint Card: Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Commission at the address or phone number below. Completed fingerprint cards must be mailed to the division with your application.

IMPORTANT: Fingerprint card processing fees must be paid to the Commission. Visit our website or contact us for the current fee amount.

☐ Fingerprint Resubmission for Renewal Applicants: Applicants timely renewing need only provide the Commission a fingerprint resubmission processing fee.

IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

Please mail your completed application, documentation and required fee(s) to:
Florida Gaming Control Commission
Pari-Mutuel Wagering, Licensing Section
4070 Esplanade Way, Suite 250, Tallahassee, Florida 32399
Phone: 850.794.8130

MAY 2 9 2026

EXHIBIT #1
PAGE 3/7

RECEIVED

2026 JUN -2 AM 9:34

FLORIDA GAMING CONTROL COMMISSION

Florida Gaming Control Commission, Division of Pari-Mutuel Wagering
FGCC PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION

[redacted] DD/YYYY) | Gender ☒ Male ☐ Female

Last Name: Clark | First: Marcus | Middle: Leonard | Suffix: Sr

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☒ Yes ☐ No

If yes, list the name or names used: Smack

Race/Ethnicity (optional)
☒ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☐ White or Caucasian ☐ Hispanic/Latino ☐ Other

Have you, within the previous 2 years, been employed with the commission? ☐ Yes ☒ No
Are you a United States citizen? ☐ Yes ☐ If no and you are applying for a position that requires you to work in the United States, attach documentation evidencing your authorization to work in the United States.

Current Mailing Address	Email Address
4628 16th Ave South	Marcusclark251@gmail.com

City	State	Zip Code (+4 optional)	Country, if other than USA
St. Petersburg	FL	33711	

Primary Phone Number	Secondary/Cell Phone Number (optional)
727-439-2624	

Current Street Address: Same

City	State	Zip Code (+4 optional)	Country, if other than USA

Type of Occupational License applying for: ☒ General Individual ☐ Professional Individual ☐ Cardroom Employee

Occupation: Security

Facility where employed and/or doing business:

Employer Name: Derby Lane

Does your position require access to the Cardroom? ☒ Yes ☐ No

Is this your first time applying for a racing/gaming license in Florida? ☒ Yes ☐ No

Are you a Supervisor, Manager, or Shareholder of a pari-mutuel permitholder? ☐ Yes ☒ No

Do you own or lease animals intended for racing in Florida? ☒ No ☐ Yes, complete the following:

Stable Name or Business Name: _____

Trainer Name (horse racing only): _____

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code 1022 | ENTITY # License # 1483809 | File # 106498 | App # 218623

Association Code 320 | Date Received 5/29/26 | Entered By EK | License Year (2029)

License Fee $15 | FP Date 5/29/26 | FP Fee _____ | Total Fee $15.00

☐ Off Temp ☐ Waiver Requested ☐ ARCI ☐ Enforcement ☐ Minor

TCN # TOCS16000PKC80100 (1020) OPEN

MAY 29 2026

EK

EXHIBIT #1
PAGE 4/7

2025 JUN -2 AM 9:34

FLORIDA GAMING CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NEEDED)

☐ Yes ☒ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☒ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
2006		FL	simple batt	misdemeanor	with held adjudication

☐ Yes ☒ No	Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.
☐ Yes ☒ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details of the offense and discipline.
☐ Yes ☒ No	Have you ever had a racing/gaming license subject to a provisional suspension or period of ineligibility by the federal Horseracing Integrity and Safety Authority, or another such authority designated by the Federal Trade Commission.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577 and 409.2598, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for obtaining a change, correction, or updating of the FDLE or FBI criminal history are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

Under penalties of perjury, I declare that I have read the foregoing application and the facts contained in this application are true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Florida Gaming Control Commission and the laws of the State of Florida, pursuant to Section 550.105, Florida Statutes.

Signature of Applicant

5/27/2025
Date

MAY 2 8 2026 MAY 2 9 2026

EXHIBIT #1
PAGE 5/7

AMENDED DOCUMENT Initials BF

2026 JUL -7 PM 2:51

Applicant Marcus Clark

Entity # 14830809

File # 106498 App# 218623

2026 JUN -2 AM 9:34

FLORIDA GAMING CONTROL COMMISSION

FLORIDA GAMING CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NEEDED)

☒ Yes ☐ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☒ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
2006		FL	simple battery	misdemeanor	with held adjudication
4/25/2011	Pinellas	FL	Possession of marijuana Possession of Cocaine	felony	withheld adjudication
4/25/2011	Pinellas	FL	possession of cocaine	felony	
6/9/2008	Pinellas	FL	Possession of marijuana Possession of cocaine	felony	withheld adjudication

☐ Yes ☒ No	Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.
☐ Yes ☒ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details of the offense and discipline.
☐ Yes ☒ No	Have you ever had a racing/gaming license subject to a provisional suspension or period of ineligibility by the federal Horseracing Integrity and Safety Authority, or another such authority designated by the Federal Trade Commission.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577 and 409.2598, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for obtaining a change, correction, or updating of the FDLE or FBI criminal history are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

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Signature of Applicant

5/27/2026
Date

MAY 2 9 2026 MAY 2 9 2026

EXHIBIT #1
PAGE 6/7

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > License Search > **License Home**

Licensee | History | Notes | Notes History | Back

License

Fed Tax # [redacted] Lic Type **1022 - PMW General Individual Occupational** Expires On

File # **106498** Name **CLARK, MARCUS LENARD** Extended To

License # Rank Renewed On

Entity # **14830809** Lic Status **Applicant**

Address

Street # **4628** Street **16TH AVENUE SOUTH**

Line 2

Line 3

City **ST. PETERSBURG** State **FL** Zip **33711**

Routing

Other

1st License Date Rank Date Certificate #

Method -- Status Date Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	SECR - Security	06/15/2026	
I	GHND - Greyhound	06/15/2026	
L	151 - St. Petersburg Kennel Club, Inc.	06/15/2026	

Alt Keys

BEST LIC NBR

Get Adobe Reader.

EXHIBIT #1
PAGE 7/7

OBTS NUMBER

COMPLAINT/AFFIDAVIT - CIRCUIT/COUNTY COURT - PINELLAS COUNTY, FLORIDA DOCKET # 297615

Felony ☑ Misdemeanor ☐ Ordinance ☐ Non-Criminal ☐ Warrant ☐ Traffic ☐

SPN # 00054516 SSN # [redacted]

Charge	Report No.	Court Case No.
Possession of Marijuana	08-19603	080752901

Defendant's Name (Last, First, Middle)	Sex	Race	Ht.	Wt.	Hair	Eyes	Skin
Clark, Marcus Lenard [redacted]	M	B	506	160	Blk	Bro	D

Alias	State	Scars, Tattoos, Unique Physical Features
[redacted]	FL	

Local Address (Street, City, State)	Zip Code	Telephone	Place of Birth	Citizenship
2531 Quincy St. So, St. Petersburg, FL	33711	(727) 768-5960	FL	FL

Permanent Address (Street, City, State)	Zip Code	Telephone	Employed by/School
Same		—	—

Weapon Seized	Type	Indication of Drug Influence	Indication of Mental Health Issues	Indication of Alcohol Influence
Yes ☑ No ☐	Steyr S9 #034438	Y ☐ N ☑ UNK ☐	Y ☐ N ☑ UNK ☐	Y ☐ N ☑ UNK ☐

Co-Defendant's Name (Last, First, Middle)	DOB	Sex	Race	In Custody Yes ☐ No ☐ Felony ☐ Misd. ☐

Co-Defendant's Name (Last, First, Middle)	DOB	Sex	Race	In Custody Yes ☐ No ☐ Felony ☐ Misd. ☐

The undersigned swears that he has reasonable grounds to believe that the above named defendant on the 7 day of April 2008, at approximately 500 a.m. (p.m.) at 8738 St. So, in Pinellas County did:

Unlawfully have in his possession, custody or control a certain controlled substance, to-wit: Marijuana. The Def was a passenger in a vehicle stopped for a traffic violation. An odor of marijuana was detected from inside the vehicle and the Def handed over a small bag of marijuana. The vehicle was searched incident to arrest and a large bag of marijuana was found in a backpack at the Def's feet. The marijuana weighed more than 20 grams and a presumptive test produced positive results.

Other traffic citations _____ Contrary to Florida Statute/Ordinance 893.13(6)b

ARREST DATE 4/7/2008 Time 500 a.m. (p.m.) Aggravating/Mitigating Factors multiples

Booking Officer 10524 Amount of Bond 5,000- Bond Out Date _____ Time _____ a.m. p.m.

Victim Notified of Advisory (Y) N Injuries to Victim Y (N) Medical-Treatment to Victim Y (N)

Child abuse/neglect referral made to DCF Y (N)

Pursuant to F.S. 92.525 and under penalties of perjury, I declare that I have read the foregoing document and that the facts stated in it are true.

Ofc James S. Regula — Declarant — SPPD — Agency

Ofc James S. Regula — Printed Name — 02500529 — SPN

REQUEST FOR INVESTIGATIVE COSTS, F.S. 938.27(1)

DATE	OFFICER	HOURS	X	PAY RATE	OR	COST
4/7/08	J. Regula	3		25		75
4/7/08	K. Miller	1		25		25

OTHER - Describe _____

Continuation sheet Y N

TOTAL $ 100.00

NOTICE TO APPEAR ONLY

☐ **MISDEMEANOR** - You **MUST** appear at the Criminal Justice Center, Courtroom 15, Third Floor, 14250 49th Street North, Clearwater, Florida, on the _____ day of _____, _____ at _____ a.m. p.m.

☐ **ORDINANCE VIOLATION** - You **MUST** comply with **EITHER A** or **B**:

A. Comply with the Waiver Information on the reverse side of this form and pay a fine in the amount of $ _____ for a Category _____ offense within **thirty (30)** calendar days of this Notice.

B. Appear at the Criminal Justice Center, 14250 49th Street, Courtroom 15, Third Floor, Clearwater, Florida, on the _____ day of _____, _____ at _____ a.m. p.m.

☐ **NON-CRIMINAL VIOLATION** - You **MUST** pay a fine in the amount of $ _____ within **thirty** (30) calendar days, or comply with the non-criminal violation information on the bottom of the reverse side of this form.

FILED APR 08 2008 KEN BURKE CLERK CIRCUIT COURT

2008 APR 7 PM 1:11

I AGREE TO APPEAR AT THE TIME AND PLACE DESIGNATED ABOVE TO ANSWER THE OFFENSE CHARGED OR TO PAY THE FINE SUBSCRIBED. I UNDERSTAND THAT SHOULD I WILLFULLY FAIL TO APPEAR BEFORE THE COURT AS REQUIRED BY THIS NOTICE TO APPEAR, OR PAY THE FINE REQUIRED BY THE DATES SET OUT ON THIS FORM, THAT I MAY BE HELD IN CONTEMPT OF COURT AND THAT A WARRANT FOR MY ARREST WILL BE ISSUED. I HEREBY CERTIFY BY MY SIGNATURE THE BELOW LISTED ADDRESS IS MY CORRECT ADDRESS.

EXHIBIT #2
PAGE 1/42

Defendant's Signature — (Street, City, State, Zip Code) — Date of Receipt of Notice

Copies to: White - Court Green - Jail Goldenrod - Defendant

Blue - State Attorney Pink - Police Dept.

COCR59 (Revised 12/06) COCR59 (A,b,c,d) 12/06

Defendant _____ Court Case No: _____

ADVISORY AND SOLVENCY HEARING

The above named Defendant came before me for Advisory and Solvency hearing on the _____ day of _____, _____ at _____ am/pm, and was advised by me of the charge against him, his right to remain silent, that any statements by him may be used against him, his right to counsel, and, if he is financially unable to afford counsel, that counsel forthwith will be appointed; of his right to communicate with his counsel, family or friends, and that reasonable implementation will be afforded him to contact the foregoing.

I FURTHER CERTIFY THAT:

- ☐ A. Defendant has advised the Court that he has retained counsel or will retain counsel.
- ☐ B. The Court investigated Defendants solvency and found the Defendant solvent and financially able to secure counsel.
- ☐ C. The Court investigated Defendant's solvency and appointed the Public Defender to represent Defendant.
- ☐ D. The Defendant waived the right to counsel at the first appearance only.
- ☐ E. The Court reviewed this Advisory and finds there is/there is not probable cause to hold and bind over the Defendant for trial.
- ☐ F. The probable cause determination is hereby passed 72 hours.

BOND ACTION TAKEN, If any _____

_____ JUDGE

- ☐ I hereby waive the right to counsel at the first appearance only.
- ☐ I, having been found solvent and financially able to secure counsel, hereby waive counsel until my attorney files an appearance in this case or until I file a written request for a review of my solvency and ability to secure counsel.

_____ DEFENDANT'S SIGNATURE

Thumb Print

I HEREBY acknowledge receipt of a copy of the foregoing Complaint and Advisory.

DEFENDANT'S SIGNATURE	DEFENDANTS ATTORNEY'S SIGNATURE	DATE

SCHEDULE OF WITNESSES AND EVIDENCE
NOTICE TO APPEAR ONLY

Name	DOB	Race	Sex
Address	Home Phone	Business Phone	
Synopsis of Testimony			

Name	DOB	Race	Sex
Address	Home Phone	Business Phone	
Synopsis of Testimony			

Name	DOB	Race	Sex
Address	Home Phone	Business Phone	
Synopsis of Testimony			

EVIDENCE 1. _____ 2. _____ 3. _____

I CERTIFY THAT THE FOREGOING IS A COMPLETE LIST OF WITNESSES AND EVIDENCE KNOWN TO ME.

EXHIBIT #2
PAGE 2/42

_____ Investigating Officer _____ Agency _____ Date

IN THE CIRCUIT COURT
FOR THE SIXTH JUDICIAL CIRCUIT OF FLORIDA
IN AND FOR PINELLAS COUNTY

FILED
Criminal Justice Center
2008 APR 30 PM 4:23
KEN BURKE
CLERK OF CIRCUIT COURT

FALL TERM, 2007

FELONY INFORMATION

CRC08-07529CFANO-T

STATE OF FLORIDA

VS.

MARCUS CLARK
SPN 02054576
B/M; DOB: [redacted]
SSN: [redacted]

1. POSSESSION OF MARIJUANA, 3°F
2. CARRYING A CONCEALED FIREARM, 3°F

IN THE NAME AND BY THE AUTHORITY FOR THE STATE OF FLORIDA:

BERNIE McCABE, State Attorney for the Sixth Judicial Circuit of Florida, in and for Pinellas County, prosecuting for the State of Florida, in the said County, under oath, Information makes that

MARCUS CLARK

in the County of Pinellas and State of Florida, on the 7th day of April, in the year of our Lord, two thousand eight, in the County and State aforesaid, did unlawfully possess and have in his control a controlled substance, to-wit: cannabis, commonly known as marijuana, which contained more than 20 grams of cannabis; contrary to Chapter 893.13(6)(a), Florida Statutes, and against the peace and dignity of the State of Florida. [G1]/1

COUNT TWO

And the State Attorney aforesaid, under oath as aforesaid, further information makes that MARCUS CLARK, in the County of Pinellas, State of Florida, on the 7th day of April, in the year of our Lord, two thousand eight, in the County and State aforesaid, did carry on or about his person a concealed firearm; contrary to Chapter 790.01(2), Florida Statutes, and against the peace and dignity of the State of Florida. [H3]/5

STATE OF FLORIDA
PINELLAS COUNTY

Personally appeared before me BERNIE McCABE, the undersigned State Attorney for the Sixth Judicial Circuit of Florida, in and for Pinellas County, or his duly designated Assistant State Attorney, who being first duly sworn, says that the allegations as set forth in the foregoing information are based upon facts that have been sworn to as true, and which if true, would constitute the offense therein charged; hence this information is filed in good faith in instituting this prosecution, and that he has received testimony under oath from the material witness or witnesses for the offense.

Assistant State Attorney for the Sixth Judicial Circuit of the State of Florida, Prosecuting for said State

The foregoing instrument was acknowledged before me this APR 30 2008 day of K. S. DAVIDSON, 2008 by _____, who is personally known to me and who did take an oath.

NOTARY PUBLIC

Sharon A. McNeil
MY COMMISSION # DD594701 EXPIRES
October 6, 2010
BONDED THRU TROY FAIN INSURANCE, INC.

SP08-019603 T-PM/0417sb43

This information encompasses the transaction and all charges listed on Docket Number

CRC08-07527CFANO-CT2

Assistant State Attorney

Bond

EXHIBIT #2
PAGE 4/42

IN THE CIRCUIT COURT FOR THE SIXTH JUDICIAL CIRCUIT OF FLORIDA
IN AND FOR PINELLAS COUNTY
CRIMINAL DIVISION

UCN: 52200_____ Reference No.: 08-07529 CFANO

STATE OF FLORIDA
Plaintiff
v. Marcus Clark
aka _____
Defendant
02054576

FILED
JUN 09 2008
KEN BURKE
CLERK OF CIRCUIT COURT

CHANGE OF PLEA FORM

1. I, Marcus Clark, Defendant herein, do hereby withdraw my plea of not guilty and enter a plea of (guilty) (nolo contendere no contest) to the charge(s) of POM, Carrying Concealed Firearm in the above-referenced case(s).

2. I understand that the plea of "not guilty" denies my guilt; a plea of "guilty" admits my guilt; and, a plea of "nolo contendere" or "no contest" means that, while I do not admit guilt, I will not contest the evidence against me. I understand that, if the court accepts my change of plea, I give up (waive) my right to a jury trial, and that I will be sentenced as a felony offender, based upon my plea.

3. I understand the charge(s) which has/have been placed against me and to which I am changing my plea. My lawyer has explained to me the elements of the crime(s), and any defenses I may have as well as the maximum penalty for the crime(s) to which I am pleading guilty or no contest, which is 10 years.

4. I understand that the guideline recommendations (will) (will not) be applied to my sentence.

5. I understand that, depending upon the nature of the offense(s) to which I am changing my plea, and/or upon the nature of any prior convictions, I may NOT be eligible for certain credits which shorten the length of the sentence imposed. The credits to which I may NOT be entitled include, but are not limited to, control release date (CRD) credits, and/or award(s) of gain time while I am in prison. I further understand that I may serve more time on my sentence than I would if I were not convicted of offense(s) of a nature such as these.

6. I understand that, if the court accepts my plea, I am hereby giving up (waiving) my right to a public jury trial, the right to require the State to prove the charge(s) against me beyond a reasonable doubt, and the right to see and hear the witnesses against me and to have my lawyer question them. I am also waiving the right to employ any defenses which I may have, the right to subpoena and the right to present witnesses, or other evidence, and the right to testify or remain silent, as I choose. I am waiving the right to have a jury decide whether I am guilty or not guilty.

7. I further understand that, by pleading guilty or no contest, I am hereby waiving my right to appeal the facts of the case. My lawyer has explained to me the meaning of an appeal. I further understand that I have thirty (30) days from this date to appeal the court's sentence and that, if I cannot afford to hire a lawyer to represent me for the purposes of an appeal, one will be appointed for me.

8. I do not require the State to tell the court the facts upon which the charge or charges are based before the court accepts my change of plea.

9. I am entering this plea because I believe it is in my best interest to do so, and it is what I wish to do of my own free will. No one has pressured me or forced me to enter this plea against my will. No one has promised me anything to entice me to change my plea to "guilty" or "no contest"; however, there has been an understanding that my sentence will consist of WH, 12MP, forfeit firearm, 10pm-6am curfew, SAE|T, RH, waiver SCH|SZR, $450, $40, $7 DNA, $100 i.c.

10. I am not aware of any physical evidence disclosed by the State for which DNA testing may exonerate me. I am not aware of any other physical evidence containing DNA known to exist that could exonerate me.

11. If I am to be placed on probation or community control, I will pay $50.00 per month towards court costs in the amount of $ 450 as ordered by this Court commencing with the first day of probation or community control until paid in full.

12. I agree to restitution in the amount of $ 0. If the amount of restitution is not decided at this time, I hereby waive any right to notice which I may have before such restitution may be imposed. I also waive any right to attend any hearing for such purposes.

13. My attorney has reviewed with me all statutory costs being assessed by the Court, including all mandatory and discretionary costs. My attorney has further advised me that I have a right to have the amount of each discretionary cost and certain mandatory costs individually announced in open court. I hereby waive my right to such individual announcement. I agree to pay all fines and costs, including the fines and costs checked on the attached sheets.

14. I further agree that there will be lien(s) placed against me and/or my real property for any unpaid fines, Public Defenders' fees, costs of prosecution, and court costs.

15. I hereby waive any right I may have to a Pre-Sentence Investigation (PSI), so that I may proceed directly to sentencing.

16. If I am not a citizen of the United States of America, I understand that this criminal proceeding could cause me to be deported to the country of my origin.

17. If I have ever been convicted of or pled to a sexually violent offense, I understand that I may later be subject to a civil commitment proceeding for sexually violent predators.

18. My education consists of 12 years of school. At this time, I am not under the influence of any alcoholic beverage, or other drug or medicine, nor am I suffering from any mental or emotional problems which affect my understanding of this plea form.

19. I have read every word of this written plea form. All blank spaces have been filled in and there are no blank spaces upon my accepting and signing this plea form. I have discussed, with my lawyer, the contents of the plea form, and I understand this written plea form fully. My lawyer has answered any questions which I have had.

20. I am satisfied with my lawyer's advice and help concerning my decision to change my plea, and in all matters pertaining to the above-referenced case(s).

SWORN TO, SIGNED, AND FILED IN OPEN COURT, in the presence of the presiding judge and defense counsel of record, this 9 day of June, 2008

M. [signature]
Defendant

I hereby certify that I am counsel for the above-named Defendant in the above-referenced case(s), that I have explained to my client the elements, evidence, defenses, and rights related to the charge(s) against him/ her. I have further reviewed with my client all statutory fines and costs being assessed against him/her, including all mandatory and discretionary costs. I have reviewed the discovery disclosed by the State, including a listing or a description of physical evidence. I reviewed with my client the nature of the evidence disclosed through discovery. I am personally unaware of any physical evidence for which DNA testing may exonerate my client. I believe that my client understands the contents and the meaning of this plea form, his/her rights, and the consequences of his/her change of plea, and that he/she is entering this plea freely, voluntarily, and knowingly.

[signature]
Counsel for Defendant

EXHIBIT #2
PAGE 6/42

I hereby certify that I am personally unaware of any physical evidence for which DNA testing may exonerate the above-named Defendant.

[signature]
Assistant State Attorney/Statewide Prosecutor

I hereby find that the above-named Defendant did, on this date, freely, voluntarily, and knowingly change his/her plea in the above-referenced case(s).

[signature]
Presiding Judge

JUDGE : HORACE A ANDREWS

CIRCUIT COURT, PINELLAS, FLORIDA
CRIMINAL DIVISION

UCN: 522008CF007529XXXXNO - T **REF No.: CRC 08-07529CFANO - T**

STATE OF FLORIDA
VS.
MARCUS CLARK
SPN : 02054576

ORDER OF PROBATION

This cause coming in this day to be heard before me, and you, the Defendant, **MARCUS CLARK** being now present before me with counsel **JULIA A CUMBIE, Assistant Public Defender,** and you having:

ENTERED A PLEA OF NOLO CONTENDERE TO

the offense(s) of **POSSESSION OF MARIJUANA; CARRYING A CONCEALED FIREARM**

KEN BURKE CLERK OF CIRCUIT COURT
2008 JUN 11 AM 8:45
FILED CRIMINAL JUSTICE CENTER

SECTION 2 : Order Withholding Adjudication

It is ordered and adjudged that the adjudication of guilt is hereby withheld and that you be placed on PROBATION for a period of **12 Months** under the supervision of the Department of Corrections, subject to Florida law. **This probation term is concurrent for each count.**

It is further ordered that you shall comply with the following conditions of probation/community control:

1. Not later than the fifth day of each month, you will make a full and truthful report to your probation/community control officer on the form provided for that purpose or as instructed by your officer.
2. You will pay the State of Florida fifty dollars ($50.00) per month cost of supervision unless otherwise waived in compliance with Florida Statutes. Exemptions for cost of supervision are hereby ordered for those months while participating in treatment programs or incarcerated without benefit of income. If exemptions do not apply, failure to make the monthly payment for cost of supervision will result in a violation of supervision and a judgment and lien being imposed. Cost of supervision is to be suspended until such time that the offender has satisfied all restitution and costs as stated on the supervision order.
3. You will not change your residence or employment or leave the county of your residence without first procuring the consent of your probation/community control officer.
4. You will not use intoxicants to excess or possess any illegal drugs or narcotics unless prescribed by a physician. You will not visit places where intoxicants, drugs or other dangerous substances are unlawfully sold, dispensed or used.
5. You will not possess, carry or own any firearm.
6. You will not associate with persons engaged in criminal activities.
7. You will submit to random testing as directed by the supervising officer or the professional staff of the treatment center where you are receiving treatment to determine the presence or use of alcohol or controlled substances.
8. You will submit blood or saliva specimens to the Florida Department of Law Enforcement for the DNA databank.
9. You will pay a $7.00 fee to the Pinellas County Sheriff's Office for DNA testing, if the DNA specimen is taken by the Pinellas County Sheriff's Office.
10. You will live and remain at liberty without violating any law. A conviction in a court of law shall not be necessary in order for such a violation to constitute a violation of probation/community control.
11. You will work diligently at a lawful occupation and support any dependents to the best of your ability.
12. You will promptly and truthfully answer all inquiries directed to you by the Court or the probation/community control officer, and allow the officer to visit in your home, at your employment site or elsewhere, and you will comply with all instructions your probation/community control officer may give you.
13. You will pay attorney fees and defense costs assessed against you in this case under ss. 938.29 F.S. and 925.036 F.S. in the amount of **N/A** on or before on or before **N/A** or at least 90 days before your probation/community control terminates, whichever occurs first.
14. Probation/community control may not be transferred out of state without express Court approval until all Court ordered and assessed monetary obligations are satisfied.
15. If applicable, you must make payment of any debt due and owing to the state under section 960.17 and 948.03(1)(h) Florida Statutes. The amount of such debt shall be determined by the Court at a later date upon final payment of the Crimes Compensation Trust Fund on behalf of the victim.

Return to:
Criminal Court Records Department

EXHIBIT #2
PAGE 7/42

 SLH

16. You will make payment in the amount of $ Not Applicable to the Sheriff of Pinellas County for medical care, treatment, hospitalization or transportation received while detained in the Pinellas County Jail. This assessment is a condition of probation.
17. Unless otherwise ordered by the court, you will pay restitution in the amount of **N/A** to **N/A** until paid in full.
18. You will pay a fee of $40.00 as required by s. 27.52 F.S. to the Clerk of the Circuit Court during the first month of probation or community control. **Payment of this fee is a condition of Probation.**
19. You will pay $50.00 pursuant to s. 938.03 F.S. to be deposited into the Crimes Compensation Trust Fund. **This assessment is concurrent for each count. Payment of this assessment is a condition of probation.**
20. You will pay $200.00 pursuant to s. 938.05 F. S., to be deposited into the Fine and Forfeiture Fund. **This assessment is concurrent for each count. Payment of this assessment is a condition of probation.**
21. You will pay $50.00 pursuant to s 775.083 F.S., to be deposited into the Crime Prevention Fund. **This assessment is concurrent for each count. Payment of this assessment is a condition of probation.**
22. You will pay $65.00 pursuant to s 939.185 F.S., to be allocated by the Clerk of the Court. **This assessment is concurrent for each count. Payment of this assessment is a condition of probation.**
23. You will pay $3.00 pursuant to F.S. 938.19 and Pinellas County Code Section 46-34 to the Clerk of the Circuit Court for Teen Court. **This assessment is concurrent for each count. Payment of this assessment is a condition of probation.**
24. Except for the cost of supervision, which is payable monthly to the Department of Corrections, all fines and costs specified in this order of probation/community control shall be paid in full to the Clerk of Court no later than three months prior to the expiration of the period of probation or community control. Failure to pay all fines and costs in full three months prior to the expiration of the period of probation or community control will result in a violation of supervision and a judgment and lien being imposed, will result in the suspension of your driver's license, and may also result in collection efforts by the Clerk of the Court or its assignee.

SPECIAL CONDITIONS:

25. You will pay $2.00 pursuant to s. 938.15 F.S. to be deposited into Criminal Justice Education/Training. **This assessment is concurrent for each count. Payment of this assessment is a condition of probation.**
26. You will pay fine in the amount of **$80.00** to the Clerk of Court. **This assessment is concurrent for each count. Payment of this assessment is a condition of probation.**
27. You will pay investigative costs, pursuant to 938.27 F.S., in the amount of **$100.00**, to the Clerk of Court to be remitted to the arresting agency. **These investigative costs are concurrent for each count. Payment of this assessment is a condition of probation.**
28. You shall abide by a curfew of **10PM - 6AM**.
29. You shall forfeit the **Weapon** used in this offense.
30. You will receive a Drug Evaluation, and if drug counseling/treatment is deemed necessary, complete counseling/treatment, including aftercare and assume all reasonable costs for such counseling/treatment. If treatment is recommended, you only have one (1) opportunity to complete this treatment. You must call to arrange for the treatment within five (5) days of receipt of the recommendation for treatment. You must also schedule your treatment to begin at the first available opening.
31. You will submit to urinalysis, breathalyzer, or blood tests at any time as requested, or as requested by any professional staff of any treatment center where you are receiving treatment, to determine possible use of alcohol, drugs, or controlled substances.
32. You shall submit to a search of your person, vehicle and residence by your probation/community control officer without a warrant.

EXHIBIT #2
PAGE 8/42

You are hereby placed on notice that the Court may at any time rescind or modify any of the conditions of your probation, or may extend the period of probation/community control as authorized by law, or may discharge you from further supervision. If you violate any of the conditions of your probation/community control, you may be arrested, and the Court may revoke your probation/community control, adjudicate you guilty if adjudication was withheld and impose any sentence that it might have imposed before placing you on probation/community control or require you to serve the balance of the sentence.

It is further ordered that when you have reported to the Probation/Community Control Officer and have been instructed as to the conditions of probation/community control, you shall be released from custody if you are in custody and if you are at liberty on bond, the sureties thereon shall stand discharged from liability.

It is further ordered that the Clerk of this Court file this Order in the Clerk of the Circuit Court's Office, and provide certified copies of same to the Probation/Community Control for use in compliance with the requirements of law.

You are advised of the right to appeal.

DONE AND ORDERED IN OPEN COURT on **June 09, 2008** in Clearwater, Florida.

_____
JUDGE

I acknowledge receipt of a certified copy of this Order. The conditions have been explained to me and I agree to abide by them.

Date: _____ _____
Probationer

Instructed by: _____

LPL

EXHIBIT #2
PAGE 9/42

CIRCUIT/COUNTY COURT, PINELLAS COUNTY, FLORIDA
CRIMINAL DIVISION

UCN: 522008CF007529XXXXNO REF No.: CRC 08-07529CFANO - T
SPN.: 02054576

Left Four Fingers	Left Thumb	Right Thumb	Right Four Fingers

[redacted]

Impressions made by:

Deputy Sheriff

I hereby certify that the above and foregoing fingerprints on this Judgment are the fingerprints of the defendant, **MARCUS CLARK**, and that they were placed thereon by said in my presence, in open court, this **June 09, 2008**.

Judge

EXHIBIT #2
PAGE 10/42

(ICD-FINGERPRINTF-III 25482887))

←E←&100

CIRCUIT/COUNTY COURT, PINELLAS COUNTY, FLORIDA
CRIMINAL DIVISION

UCN: 522008CF007529XXXXNO REF. NO.: CRC 08-07529CFANO-T

STATE OF FLORIDA
VS.
MARCUS CLARK

2531 QUINCY ST S
ST PETERSBURG FL 33711

KEN BURKE, CLERK OF COURT
PINELLAS COUNTY FLORIDA
INST# 2008175569 06/27/2008 at 09:24 AM
OFF REC BK: 16300 PG: 1889-1889
DocType:JUD LN

SPN: 02054576
SS#: [redacted]
DOB: [redacted]

JUDGMENT FOR FINE AND COSTS

THIS COURT HAVING PREVIOUSLY ORDERED THE DEFENDANT TO PAY FINE AND COSTS IN ACCORDANCE WITH THE PROVISIONS OF THE FLORIDA STATUTES;

IT IS CONSIDERED AND ADJUDICATED THAT THE COUNTY OF PINELLAS DOES HAVE THE RIGHT TO RECOVER OF AND FROM THE ABOVE NAME DEFENDANT THE FOLLOWING FINE AND COST AMOUNTS;

FILED
2008 JUN 19 AM 8:19
KEN BURKE
CLERK OF CIRCUIT CT.
CRIMINAL JUSTICE CENTER

$50.00	CRIMES COMPENSATION TRUST FUND / F.S. 938.03(3)
$200.00	LOCAL GOVERNMENT CRIMINAL JUSTICE TRUST FUND / F.S. 938.05
$175.00	FINE AMOUNT / F.S. 775.083
$3.00	ADDITIONAL COURT COST CLEARING TRUST FUND / F.S. 938.01(1)
$2.00	CRIMINAL JUSTICE EDUCATION / F.S. 938.15
$20.00	CRIME STOPPERS TRUST FUND / F.S. 938.06
$100.00	INVESTIGATIVE COSTS: JURISDICTION CODE(03) / F.S. 938.27
$40.00	INDIGENT CRIMINAL DEFENSE TRUST FUND / F.S. 27.52(1)
$590.00	TOTAL FINE & ASSESSMENT FOR WHICH LET EXECUTION ISSUE

IT IS FURTHER ORDERED THIS JUDGMENT SHALL BE RECORDED AS A JUDGMENT LIEN IN FAVOR OF THE CLERK OF CIRCUIT COURT, 315 COURT STREET, CLEARWATER, FLORIDA 33756 IN THE "OFFICIAL RECORDS" IN THE COUNTY IN WHICH THE DEFENDANT RESIDES AND IN EACH COUNTY IN WHICH THE DEFENDANT OWNS OR LATER ACQUIRES ANY PROPERTY.

DONE AND ORDERED ON JUNE 09, 2008 IN CLEARWATER, FLORIDA.

Nancy Moate
JUDGE

RETURN TO CRIMINAL COURT RECORDS

EXHIBIT #2
PAGE 11/42

SECTION Q DESIGNATION FORM

DC #: R58391

CASE: CRC 08 07529 CFANO

NAME: Clark, Marcus

[X] Felony VOP—Technical

[ ] Felony VOP With New Misdemeanor Charges Only

EXHIBIT #2
PAGE 12/42

STATE OF FLORIDA

Docket #: CRC08-07529CFANO-T
DC#: R58391
Spn #: 02054576
Judge/Division: Quesada / Q

DEPARTMENT OF CORRECTIONS
AFFIDAVIT
VIOLATION OF PROBATION

Before me this day personally appeared **Shaunta Griffin** who, being first duly sworn says that **Marcus Clark**, hereinafter referred to as the offender, was on the **9th** day of **June**, A.D. **2008** placed on probation for the offenses of **CT 1 Possession of Marijuana; Ct 2 Carrying A Concealed Firearm** n the Circuit Court of **Pinellas** County, for a term of **12 months, this probation term is concurrent for each count**, in accordance with the provisions of Chapter 948, Florida Statutes.

Affiant states that the offender was instructed on the conditions of probation on 6/9/08 by Officer Shaunta Griffin.

Affiant further states that the offender has not properly conducted himself, but has violated the conditions of his probation in a material respect by

Violation of Special Condition (30) of the Order of Probation, by failing to comply a mandatory curfew from 10:00 p.m. until 6:00 a.m., unless otherwise specified by the court, and as grounds for belief that the offender violated his probation, Officer Shaunta Griffin states that on 6/24/08 at approximately 2227 hours, the offender was not at his approved residence located at 2531Quincy Street South, St. Petersburg, Florida 33711, in order to comply with the curfew, as evidenced by this writer attempting a mandatory curfew check on 6/24/08.

Shaunta Griffin, Officer

THIS AFFIDAVIT MUST BE NOTARIZED OR ATTESTED TO UNDER S. 117.10 OR 92.50, FLA. STAT.

Sworn to and subscribed before me this 27th of June, A.D. 2008 by Shaunta Griffin, who is personally known to me.

Signature of Attesting
Officer Under S. 117.10, F.S. _____ Title: _____

OR

Notary Public _____
State of Florida at Large for Pinellas County

NOTARY PUBLIC-STATE OF FLORIDA
James R. McMillian
Commission #DD752222
Expires: JAN. 28, 2012
BONDED THRU ATLANTIC BONDING CO., INC.

Approved by Supervisor: _____ Date: _____
James McMillian, CPS

FILED
CRIMINAL JUSTICE CENTER
2008 JUL -8 PM 1:35
KEN BURKE
CLERK OF CIRCUIT COURT

(727) 552-2603
Officer Telephone/E-Mail Address

DC3-216 (Revised 11/04) Distribution: Original: Court
Copy: Section 1-Offender File

EXHIBIT #2
PAGE 13/42

522008CF007529XXXXNO 13129.7

OBTS NUMBER

COMPLAINT/ARREST AFFIDAVIT - CIRCUIT/COUNTY COURT - PINELLAS COUNTY, FLORIDA DOCKET #

687

Felony ☒ Misdemeanor ☐ Ordinance ☐ Non-Criminal ☐ Warrant ☒ Traffic ☐

SPN # 02015457616 SSN # [redacted]

Charge	Report No.	Court Case No.
VOP- 2ct Poss. of Marijuana	08-190405	CRC 0807529CFANO

Defendant's Name (Last, First, Middle)	Sex	Race	Ht.	Wt.	Hair	Eyes	Skin
CLARK, MARCUS LEONARD [redacted]	M	B	5'6"	145	BLK	BRO	DK

Alias	State	Scars, Tattoos, Unique Physical Features
[redacted]	FL	NONE

Local Address (Street, City, State)	Zip Code	Telephone	Place of Birth	Citizenship
2531 QUINCY ST S ST. PETE	33711	768-9431 (C)	FL	US

Permanent Address (Street, City, State)	Zip Code	Telephone	Employed by/School
			UNEMPLOYED

Weapon Seized Yes ☐ No ☒	Type	Indication of Drug Influence Y ☐ N ☒ UNK ☐	Indication of Mental Health Issues Y ☐ N ☒ UNK ☐	Indication of Alcohol Influence Y ☐ N ☒ UNK ☐

Co-Defendant's Name (Last, First, Middle)	DOB	Sex	Race	In Custody Yes ☐ No ☐ Felony ☐ Misd. ☐
N/A				

Co-Defendant's Name (Last, First, Middle)	DOB	Sex	Race	In Custody Yes ☐ No ☐ Felony ☐ Misd. ☐

The undersigned swears that he has reasonable grounds to believe that the above named defendant on the 17 day of July, 2008, at approximately 0755 a.m. p.m., at RESIDENCE, in Pinellas County did:

WARRANT CANCELLED
DATE 7-17-08
CLERK 4199
DEPUTY 2473

ARREST ON WARRANT / CAPIAS
CRC 0807529CFANO
HAVE NO KNOWLEDGE OF CASE

FILED
CRIMINAL JUSTICE CENTER
2008 JUL 17 PM 4:39
KEN BURKE
CLERK OF CIRCUIT COURT

Other traffic citations _____ Contrary to Florida Statute/Ordinance _____

ARREST DATE 7/17/08 Time 0755 a.m. p.m. Aggravating/Mitigating Factors _____

Booking Officer 2473 Amount of Bond $0 Bond Out Date _____ Time _____ a.m. p.m.

Victim Notified of Advisory Y N Injuries to Victim Y N Medical-Treatment to Victim Y N

Child abuse/neglect referral made to DCF Y N

Pursuant to F.S. 92.525 and under penalties of perjury, I declare that I have read the foregoing document and that the facts stated in it are true.

Det E. Lebrecht — PCSO
Declarant — Agency

DET E. LEBRECHT — 391233
Printed Name — SPN

REQUEST FOR INVESTIGATIVE COSTS, F.S. 938.27(1)

DATE	OFFICER	HOURS	X	PAY RATE	OR	COST
7/17	LEBRECHT	1.0	X	25		
7/17	CLEMENT	1.0				

OTHER - Describe _____

Continuation sheet Y N

TOTAL $ 50

NOTICE TO APPEAR ONLY

☐ **MISDEMEANOR** - You **MUST** appear at the Criminal Justice Center, Courtroom 15, Third Floor, 14250 49th Street North, Clearwater, Florida, on the _____ day of _____, _____ at _____ a.m. p.m.

☐ **ORDINANCE VIOLATION** - You **MUST** comply with **EITHER A** or **B**:

A. Comply with the Waiver Information on the reverse side of this form and pay a fine in the amount of $ _____ for a Category _____ offense within **thirty (30)** calendar days of this Notice.

B. Appear at the Criminal Justice Center, 14250 49th Street, Courtroom 15, Third Floor, Clearwater, Florida, on the _____ day of _____, _____ at _____ a.m. p.m.

☐ **NON-CRIMINAL VIOLATION** - You **MUST** pay a fine in the amount of $ _____ within **thirty** (30) calendar days, or comply with the non-criminal violation information on the bottom of the reverse side of this form.

I AGREE TO APPEAR AT THE TIME AND PLACE DESIGNATED ABOVE TO ANSWER THE OFFENSE CHARGED OR TO PAY THE FINE SUBSCRIBED. I UNDERSTAND THAT SHOULD I WILLFULLY FAIL TO APPEAR BEFORE THE COURT AS REQUIRED BY THIS NOTICE TO APPEAR, OR PAY THE FINE REQUIRED BY THE DATES SET OUT ON THIS FORM, THAT I MAY BE HELD IN CONTEMPT OF COURT AND THAT A WARRANT FOR MY ARREST WILL BE ISSUED. I HEREBY CERTIFY BY MY SIGNATURE THE BELOW LISTED ADDRESS IS MY CORRECT ADDRESS.

EXHIBIT #2
PAGE 14/42

Defendant's Signature — (Street, City, State, Zip Code) — Date of Receipt of Notice

Copies to: **White** - Court **Green** - Jail **Goldenrod** - Defendant **Blue** - State Attorney **Pink** - Police Dept.

Defendant _____ Court Case No: _____

ADVISORY AND SOLVENCY HEARING

The above named Defendant came before me for Advisory and Solvency hearing on the _____ day of _____, _____ at _____ am/pm, and was advised by me of the charge against him, his right to remain silent, that any statements by him may be used against him, his right to counsel, and, if he is financially unable to afford counsel, that counsel forthwith will be appointed; of his right to communicate with his counsel, family or friends, and that reasonable implementation will be afforded him to contact the foregoing.

I FURTHER CERTIFY THAT:

- ☐ A. Defendant has advised the Court that he has retained counsel or will retain counsel.
- ☐ B. The Court investigated Defendants solvency and found the Defendant solvent and financially able to secure counsel.
- ☐ C. The Court investigated Defendant's solvency and appointed the Public Defender to represent Defendant.
- ☐ D. The Defendant waived the right to counsel at the first appearance only.
- ☐ E. The Court reviewed this Advisory and finds there is/there is not probable cause to hold and bind over the Defendant for trial.
- ☐ F. The probable cause determination is hereby passed 72 hours.

BOND ACTION TAKEN, If any _____

_____
JUDGE

- ☐ I hereby waive the right to counsel at the first appearance only.
- ☐ I, having been found solvent and financially able to secure counsel, hereby waive counsel until my attorney files an appearance in this case or until I file a written request for a review of my solvency and ability to secure counsel.

_____
DEFENDANT'S SIGNATURE

Thumb Print

I HEREBY acknowledge receipt of a copy of the foregoing Complaint and Advisory.

DEFENDANT'S SIGNATURE	DEFENDANTS ATTORNEY'S SIGNATURE	DATE

SCHEDULE OF WITNESSES AND EVIDENCE
NOTICE TO APPEAR ONLY

Name	DOB	Race	Sex
Address	Home Phone	Business Phone	
Synopsis of Testimony			

Name	DOB	Race	Sex
Address	Home Phone	Business Phone	
Synopsis of Testimony			

BJE

Name	DOB	Race	Sex
Address	Home Phone	Business Phone	
Synopsis of Testimony			

EVIDENCE 1. _____ 2. _____ 3. _____

I CERTIFY THAT THE FOREGOING IS A COMPLETE LIST OF WITNESSES AND EVIDENCE KNOWN TO ME.

EXHIBIT #2
PAGE 15/42

Investigating Officer	Agency	Date

July 31, 2008
Docket Code(s): **VPDS**

CIRCUIT/COUNTY COURT, PINELLAS COUNTY, FLORIDA
CRIMINAL DIVISION

UCN: **522008CF007529XXXXNO - T**

REF No. **CRC 08-07529CFANO - T**

STATE OF FLORIDA

VS.

MARCUS CLARK
SPN: 02054576

FILED
CRIMINAL JUSTICE CENTER
2008 AUG -5 PM 1:53
KEN BURKE
CLERK OF CIRCUIT COURT

ORDER DISMISSING VIOLATION OF PROBATION

The following was done in open Court on **July 31, 2008**.

IT IS CONSIDERED AND ORDERED that the Court hereby dismisses the Violation of Probation.

DONE AND ORDERED on **July 31, 2008** in Clearwater, Florida.

Lockett Farnell
JUDGE

CB

EXHIBIT #2
PAGE 16/42

STATE OF FLORIDA
DEPARTMENT OF CORRECTIONS

Docket #: CRC08-07529CFANO
DC#: R58391/ SPN#: 02054576
Judge/Division: Quesada / Q

AFFIDAVIT
VIOLATION OF PROBATION

Before me this day personally appeared Shaunta Griffin who, being first duly sworn says that Marcus Clark, hereinafter referred to as the offender, was on the 9th day of June, A.D. 2008 placed on probation for the offense of Possession of Marijuana and Carrying a Concealed Firearm in the Circuit Court of Pinellas County, for a term of 12 months in accordance with the provisions of Chapter 948, Florida Statutes.

Affiant states that the offender was instructed on the conditions of probation on 7/7/08 by Officer Shaunta Griffin.

Affiant further states that the offender has not properly conducted himself, but has violated the conditions of his probation in a material respect by:

FILED
2009 MAY -7 AM 8:
KEN BURKE
CLERK OF CIR COURT
Criminal Justice Center

Violation of Condition (2) of the Order of Probation, which states that, "you will pay the State of Florida thirty dollars ($50.00) per month cost of supervision unless otherwise waived in compliance with Florida Statutes."

In that, the aforesaid violated this condition by failing to pay the State of Florida the amount of $50.00 per month toward cost of supervision, plus a 4% surcharge, unless otherwise exempted, in accordance with Section 948.09, Florida Statutes, and as grounds for belief that the offender violated his probation, Officer Shaunta Griffin states that the offender is $574.55 in arrears, as of 4/30/09.

Shaunta Griffin, Officer

THIS AFFIDAVIT MUST BE NOTARIZED OR ATTESTED TO UNDER S. 117.10 OR 92.50, FLA. STAT.

Sworn to and subscribed before me this 1ST of April, A.D. 2009 by Shaunta Griffin, who is personally known to me or has produced identification _____.

Signature of Attesting Officer Under S. 117.10, F.S. _____ Title: _____

OR

Notary Public _____

NOTARY PUBLIC-STATE OF FLORIDA
R. Scott Sandmeyer
Commission # DD563918
Expires: JULY 29, 2010
BONDED THRU ATLANTIC BONDING CO., INC.

State of Florida at Large for Pinellas County

Approved by Supervisor: _____ Date: 5/1/09

(727)552-2603/griffin.shaunta@mail.dc.state.fl.us
Officer Telephone/E-Mail Address

DC3-216 (Revised 11/04) Distribution: Original: Court
Copy: Section 1-Offender File

EXHIBIT #2
PAGE 17/42

June 08, 2009
Docket Code(s): **PRTE VPDS**

CIRCUIT/COUNTY COURT, PINELLAS COUNTY, FLORIDA
CRIMINAL DIVISION

UCN: **522008CF007529XXXXNO - T**

REF No. **CRC 08-07529CFANO - T**

STATE OF FLORIDA

VS.

MARCUS CLARK
SPN: 02054576

FILED
CRIMINAL JUSTICE CENTER
2009 JUN 11 AM 10:19
KEN BURKE
CLERK OF CIRCUIT COURT

ORDER DISMISSING VIOLATION OF PROBATION AND TERMINATING PROBATION

The following was done in open Court on **June 08, 2009**.

IT IS CONSIDERED AND ORDERED that the Court hereby dismisses the Violation of Probation. The probation previously imposed in this case is hereby TERMINATED.

DONE AND ORDERED on **June 08, 2009** in Clearwater, Florida.

JUDGE

JLS

EXHIBIT #2
PAGE 18/42

ICD-GFVOP-III 29788430

cc: DC Probation

I#: 2018199060 BK: 20100 PG: 2138, 06/22/2018 at 03:57 PM, RECORDING 1 KEN BURKE, CLERK OF COURT AND COMPTROLLER PINELLAS COUNTY, FL BY DEPUTY CLERK: CLKDU08

SJFC

COUNTY / CIRCUIT COURT, PINELLAS COUNTY FLORIDA

CRIMINAL DIVISION

State of Florida
vs.
MARCUS CLARK

CASE #: **0807529CFANO** UCN #: **522008CF007529XXXXNO**
CITATION #: SPN #: **2054576**

SATISFACTION OF JUDGMENT – FINE/COST

Ken Burke, as Clerk of the Circuit Court, hereby gives notice that the fine and/or costs in the amount of $590.00 levied against the Defendant MARCUS CLARK, in the Criminal Circuit Court of Pinellas County on June 09, 2008 in the above listed case number and recorded in Official Records Book 16300, Page 1889 was paid and satisfied in full on June 08, 2009.

Ken Burke
Clerk of the Circuit Court

EXHIBIT #2
PAGE 19/42

Please return to Felony Court Records

2010 OFFENSE

FL0521400 UCN: 522010CF021[illegible]XXXXNO

OBTS NUMBER | COMPLAINT/ARREST AFFIDAVIT - CIRCUIT/COUNTY COURT - PINELLAS COUNTY, FLORIDA | DOCKET # 1424943

Felony ☑ Misdemeanor ☐ Ordinance ☐ Non-Criminal ☐ Warrant ☐ Traffic ☐ | SPN # 02054576 | SSN # [redacted]

Charge	Report No.	Court Case No.
POSSESSION OF MARIJUANA WITH INTENT TO SELL	2010-065304	CRC1021626CFANO

Defendant's Name (Last, First, Middle)	Sex	Race	Ht.	Wt.	Hair	Eyes	Skin
CLARK, MARCUS L [redacted]	M	B	507	155	BLK	BRO	MED

Alias	State	Scars, Tattoos, Unique Physical Features
[redacted]	FL	

Local Address (Street, City, State)	Zip Code	Telephone	Place of Birth	Citizenship
2531 QUINCY ST S FL 33711		727 919 9408	FL	US

Permanent Address (Street, City, State)	Zip Code	Telephone	Employed by/School

Weapon Seized	Type	Indication of Drug Influence	Indication of Mental Health Issues	Indication of Alcohol Influence
Yes ☐ No ☑	N/A	Y ☐ N ☑ UNK ☐	Y ☐ N ☑ UNK ☐	Y ☐ N ☑ UNK ☐

Co-Defendant's Name (Last, First, Middle)	DOB	Sex	Race	In Custody Yes ☐ No ☐ Felony ☐ Misd. ☐

Co-Defendant's Name (Last, First, Middle)	DOB	Sex	Race	In Custody Yes ☐ No ☐ Felony ☐ Misd. ☐

The undersigned swears that he has reasonable grounds to believe that the above named defendant on the 30 day of SEPTEMBER, 2010, at approximately 8:45 PM at 20 AVE S / 48 ST S, in Pinellas County did:

Unlawfully have in his care, custody and control, a substance defined by Florida State Statute chapter 893, to wit: Cannabis Sativa, commonly known as marijuana. The defendant did possess 83 grams of cannabis with the intent to sell said cannabis for consideration. The marijuana was packaged in small individual baggies. A presumptive test was positive. Marijuana was located in plain view in vehicle that the defendant was driving.

Other traffic citations _____ Contrary to Florida Statute/Ordinance 893.13.1A

ARREST DATE 9/30/2010 Time 8:45 PM Aggravating/Mitigating Factors _____

Booking Officer THOMPSON, KARL 6728 Amount of Bond 5000 Bond Out Date 10/1/10 Time 803 a.m. p.m.

Victim Notified of Advisory Y ☐ N ☐ Injuries to Victim Y ☐ N ☐ Medical-Treatment to Victim Y ☐ N ☐

Child abuse/neglect referral made to DCF Y ☐ N ☐

Pursuant to F.S. 92.525 and under penalties of perjury, I declare that I have read the foregoing document and that the facts stated in it are true.

Declarant | ST. PETERSBURG POLICE Agency

OFFICER JONATHAN VAN HOUTEN 43302 Printed Name | 02747589 SPN

REQUEST FOR INVESTIGATIVE COSTS, F.S. 938.27(1)

DATE	OFFICER	HOURS	X	PAY RATE	=	COST

OTHER - Describe _____

Continuation sheet Y ☐ N ☐

TOTAL $0.00

Received by Booking: 9/30/2010 10:23:45 PM

NOTICE TO APPEAR ONLY

☐ **MISDEMEANOR** - You **MUST** appear at the Criminal Justice Center, Courtroom 15, Third Floor, 14250 49th Street North, Clearwater, Florida, on the _____ day of _____, _____ at _____ a.m. p.m.

☐ **ORDINANCE VIOLATION** - You **MUST** comply with **EITHER A** or **B**:

A. Comply with the Waiver Information on the reverse side of this form and pay a fine in the amount of $ _____ for a Category _____ offense within **thirty (30)** calendar days of this Notice.

B. Appear at the Criminal Justice Center, 14250 49th Street, Courtroom 15, Third Floor, Clearwater, Florida, on the _____ day of _____, _____, at _____ a.m. p.m.

☐ **NON-CRIMINAL VIOLATION** - You **MUST** pay a fine in the amount of $ _____ within **thirty** (30) calendar days, or comply with the non-criminal violation information on the bottom of the reverse side of this form.

I AGREE TO APPEAR AT THE TIME AND PLACE DESIGNATED ABOVE TO ANSWER THE OFFENSE CHARGED OR TO PAY THE FINE SUBSCRIBED. I UNDERSTAND THAT SHOULD I WILLFULLY FAIL TO APPEAR BEFORE THE COURT AS REQUIRED BY THIS NOTICE TO APPEAR, OR PAY THE FINE REQUIRED BY THE DATES SET OUT ON THIS FORM, THAT I MAY BE HELD IN CONTEMPT OF COURT AND THAT A WARRANT FOR MY ARREST WILL BE ISSUED. I HEREBY CERTIFY BY MY SIGNATURE THE BELOW LISTED ADDRESS IS MY CORRECT ADDRESS.

EXHIBIT #2
PAGE 21/42

Defendant's Signature | (Street, City, State, Zip Code) | Date of Receipt of Notice

122822 Copies to:

COCR59 (Revised 12/06)

Court

COCR59 (A,b,c,d) 12/06

Defendant CLARK, MARCUS L Court Case No: CRC[illegible]1626CFANO

ADVISORY AND SOLVENCY HEARING

The above named Defendant came before me for Advisory and Solvency hearing on the _____ day of _____, _____ at_____ am/pm, and was advised by me of the charge against him, his right to remain silent, that any statements by him may be used against him, his right to counsel, and, if he is financially unable to afford counsel, that counsel forthwith will be appointed; of his right to communicate with his counsel, family or friends, and that reasonable implementation will be afforded him to contact the foregoing.

I FURTHER CERTIFY THAT:

☐ A. Defendant has advised the Court that he has retained counsel or will retain counsel.

☐ B. The Court investigated Defendants solvency and found the Defendant solvent and financially able to secure counsel.

☐ C. The Court investigated Defendant's solvency and appointed the Public Defender to represent Defendant.

☐ D. The Defendant waived the right to counsel at the first appearance only.

☐ E. The Court reviewed this Advisory and finds there is/there is not probable cause to hold and bind over the Defendant for trial.

☐ F. The probable cause determination is hereby passed 72 hours.

BOND ACTION TAKEN, If any _____

_____
JUDGE

☐ I hereby waive the right to counsel at the first appearance only.

☐ I, having been found solvent and financially able to secure counsel, hereby waive counsel until my attorney files an appearance in this case or until I file a written request for a review of my solvency and ability to secure counsel.

_____
DEFENDANT'S SIGNATURE

Thumb Print

I HEREBY acknowledge receipt of a copy of the foregoing Complaint and Advisory.

DEFENDANT'S SIGNATURE	DEFENDANTS ATTORNEY'S SIGNATURE	DATE

SCHEDULE OF WITNESSES AND EVIDENCE
NOTICE TO APPEAR ONLY

Name	DOB	Race	Sex
Address	Home Phone	Business Phone	
Synopsis of Testimony			

Name	DOB	Race	Sex
Address	Home Phone	Business Phone	
Synopsis of Testimony			

Name	DOB	Race	Sex
Address	Home Phone	Business Phone	
Synopsis of Testimony			

EXHIBIT #2
PAGE 22/42

EVIDENCE 1. _____ 2. _____ 3. _____

I CERTIFY THAT THE FOREGOING IS A COMPLETE LIST OF WITNESSES AND EVIDENCE KNOWN TO ME.

Investigating Officer	Agency	Date

FL0521400 UCN: 522010CF021[illegible]XXXXNO

OBTS NUMBER | COMPLAINT/ARREST AFFIDAVIT - CIRCUIT/COUNTY COURT - PINELLAS COUNTY, FLORIDA | DOCKET # 1424943

Felony ☑ Misdemeanor ☐ Ordinance ☐ Non-Criminal ☐ Warrant ☐ Traffic ☐ | SPN # 02054576 | SSN # [redacted]

Charge	Report No.	Court Case No.
POSSESSION OF CONTROLLED SUBSTANCE WITH INTENT TO SELL	2010-065304	CRC1021627CFANO

Defendant's Name (Last, First, Middle)	Sex	Race	Ht.	Wt.	Hair	Eyes	Skin
CLARK, MARCUS L [redacted]	M	B	507	155	BLK	BRO	MED

Alias	State	Scars, Tattoos, Unique Physical Features
[redacted]	FL	

Local Address (Street, City, State)	Zip Code	Telephone	Place of Birth	Citizenship
2531 QUINCY ST S FL 33711		727 919 9408	FL	US

Permanent Address (Street, City, State)	Zip Code	Telephone	Employed by/School

Weapon Seized	Type	Indication of Drug Influence	Indication of Mental Health Issues	Indication of Alcohol Influence
Yes ☐ No ☑	N/A	Y ☐ N ☑ UNK ☐	Y ☐ N ☑ UNK ☐	Y ☐ N ☑ UNK ☐

Co-Defendant's Name (Last, First, Middle)	DOB	Sex	Race	In Custody Yes ☐ No ☐ Felony ☐ Misd. ☐

Co-Defendant's Name (Last, First, Middle)	DOB	Sex	Race	In Custody Yes ☐ No ☐ Felony ☐ Misd. ☐

The undersigned swears that he has reasonable grounds to believe that the above named defendant on the 30 day of SEPTEMBER, 2010, at approximately 8:45 PM at 20 AVE S / 48 ST S, in Pinellas County did:

Unlawfully have in his care, custody and control, a substance defined by Florida State Statute chapter 893, to wit: crack cocaine, and did possess 6 pieces weighing .5 grams of said substance. The defendant did intend to sell said substance as it was located next to 83 grams of marijuana weighing 83 grams which was individually packaged for sale. Defendant also did have $1800.00 on his person.
A presumptive test was positive.

The crack cocaine was located in a search of the vehicle the defendant was driving after marijuana was located in plain view in the vehicle.

Other traffic citations _____ Contrary to Florida Statute/Ordinance 893.13.1A

ARREST DATE 9/30/2010 Time 8:45 PM Aggravating/Mitigating Factors _____

Booking Officer THOMPSON, KARL 6728 Amount of Bond 10000 Bond Out Date 10/1/10 Time 5:15 a.m. p.m.

Victim Notified of Advisory Y ☐ N ☐ Injuries to Victim Y ☐ N ☐ Medical-Treatment to Victim Y ☐ N ☐

Child abuse/neglect referral made to DCF Y ☐ N ☐

Pursuant to F.S. 92.525 and under penalties of perjury, I declare that I have read the foregoing document and that the facts stated in it are true.

Declarant | ST. PETERSBURG POLICE Agency

OFFICER JONATHAN VAN HOUTEN 43302 Printed Name | 02747589 SPN

REQUEST FOR INVESTIGATIVE COSTS, F.S. 938.27(1)

DATE	OFFICER	HOURS	X	PAY RATE	=	COST

OTHER - Describe _____

Continuation sheet Y ☐ N ☐ TOTAL $0.00

Received by Booking: 9/30/2010 10:24:38 PM

NOTICE TO APPEAR ONLY

☐ **MISDEMEANOR** - You **MUST** appear at the Criminal Justice Center, Courtroom 15, Third Floor, 14250 49th Street North, Clearwater, Florida, on the _____ day of _____, _____ at _____ a.m. p.m.

☐ **ORDINANCE VIOLATION** - You **MUST** comply with **EITHER A** or **B**:
A. Comply with the Waiver Information on the reverse side of this form and pay a fine in the amount of $ _____ for a Category _____ offense within **thirty (30)** calendar days of this Notice.
B. Appear at the Criminal Justice Center, 14250 49th Street, Courtroom 15, Third Floor, Clearwater, Florida, on the _____ day of _____, _____, at _____ a.m. p.m.

☐ **NON-CRIMINAL VIOLATION** - You **MUST** pay a fine in the amount of $ _____ within **thirty** (30) calendar days, or comply with the non-criminal violation information on the bottom of the reverse side of this form.

I AGREE TO APPEAR AT THE TIME AND PLACE DESIGNATED ABOVE TO ANSWER THE OFFENSE CHARGED OR TO PAY THE FINE SUBSCRIBED. I UNDERSTAND THAT SHOULD I WILLFULLY FAIL TO APPEAR BEFORE THE COURT AS REQUIRED BY THIS NOTICE TO APPEAR, OR PAY THE FINE REQUIRED BY THE DATES SET OUT ON THIS FORM, THAT I MAY BE HELD IN CONTEMPT OF COURT AND THAT A WARRANT FOR MY ARREST WILL BE ISSUED. I HEREBY CERTIFY BY MY SIGNATURE THE BELOW LISTED ADDRESS IS MY CORRECT ADDRESS.

EXHIBIT #2
PAGE 23/42

Defendant's Signature | (Street, City, State, Zip Code) | Date of Receipt of Notice

Copies to:

122824
COCR59 (Revised 12/06)

Court

COCR59 (A,b,c,d) 12/06

Defendant CLARK, MARCUS L Court Case No: CRC 1627CFANO

ADVISORY AND SOLVENCY HEARING

The above named Defendant came before me for Advisory and Solvency hearing on the _____ day of _____, _____ at _____ am/pm, and was advised by me of the charge against him, his right to remain silent, that any statements by him may be used against him, his right to counsel, and, if he is financially unable to afford counsel, that counsel forthwith will be appointed; of his right to communicate with his counsel, family or friends, and that reasonable implementation will be afforded him to contact the foregoing.

I FURTHER CERTIFY THAT:

☐ A. Defendant has advised the Court that he has retained counsel or will retain counsel.

☐ B. The Court investigated Defendants solvency and found the Defendant solvent and financially able to secure counsel.

☐ C. The Court investigated Defendant's solvency and appointed the Public Defender to represent Defendant.

☐ D. The Defendant waived the right to counsel at the first appearance only.

☐ E. The Court reviewed this Advisory and finds there is/there is not probable cause to hold and bind over the Defendant for trial.

☐ F. The probable cause determination is hereby passed 72 hours.

BOND ACTION TAKEN, If any _____ _____ JUDGE

☐ I hereby waive the right to counsel at the first appearance only.

☐ I, having been found solvent and financially able to secure counsel, hereby waive counsel until my attorney files an appearance in this case or until I file a written request for a review of my solvency and ability to secure counsel.

_____ DEFENDANT'S SIGNATURE

Thumb Print

I HEREBY acknowledge receipt of a copy of the foregoing Complaint and Advisory.

DEFENDANT'S SIGNATURE	DEFENDANTS ATTORNEY'S SIGNATURE	DATE

SCHEDULE OF WITNESSES AND EVIDENCE
NOTICE TO APPEAR ONLY

Name		DOB	Race	Sex
Address	Home Phone		Business Phone	
Synopsis of Testimony				

Name		DOB	Race	Sex
Address	Home Phone		Business Phone	
Synopsis of Testimony				

Name		DOB	Race	Sex
Address	Home Phone		Business Phone	
Synopsis of Testimony				

EXHIBIT #2
PAGE 24/42

EVIDENCE 1. _____ 2. _____ 3. _____

I CERTIFY THAT THE FOREGOING IS A COMPLETE LIST OF WITNESSES AND EVIDENCE KNOWN TO ME.

Investigating Officer	Agency	Date

IN THE CIRCUIT COURT FOR THE SIXTH JUDICIAL CIRCUIT OF FLORIDA IN AND FOR PINELLAS COUNTY - FALL TERM, 2010

STATE OF FLORIDA

VS.

MARCUS CLARK
SPN 02054576
B/M; DOB: [redacted]
SSN: [redacted]

CRC10-21626CFANO Bonds
(CRC10-21627CFANO ENCOMPASSED)
FELONY INFORMATION

1. POSSESSION OF MARIJUANA, 3°F
2. POSSESSION OF COCAINE, 3°F

IN THE NAME AND BY THE AUTHORITY FOR THE STATE OF FLORIDA:

BERNIE McCABE, State Attorney for the Sixth Judicial Circuit of Florida, in and for Pinellas County, prosecuting for the State of Florida, in the said County, under oath, Information makes that

MARCUS CLARK

in the County of Pinellas and State of Florida, on the 30th day of September, in the year of our Lord, two thousand ten, did unlawfully possess and have in his control a controlled substance, to-wit: cannabis, commonly known as marijuana, which contained more than 20 grams of cannabis; contrary to Chapter 893.13(6)(a), Florida Statutes, and against the peace and dignity of the State of Florida. [G1]/1

COUNT TWO

And the State Attorney aforesaid, under oath as aforesaid, further information makes that MARCUS CLARK, in the County of Pinellas, State of Florida, on the 30th day of September, in the year of our Lord, two thousand ten, did unlawfully possess and have in his control, a certain controlled substance, to-wit: cocaine; contrary to Chapter 893.13(6)(a), Florida Statutes, and against the peace and dignity of the State of Florida. [G5]/3

STATE OF FLORIDA
PINELLAS COUNTY

Personally appeared before me, BERNIE McCABE, State Attorney for the Sixth Judicial Circuit of Florida, in and for Pinellas County, or his duly designated Assistant State Attorney, who being first duly sworn, says that the allegations as set forth in the foregoing information are based upon facts that have been sworn to as true, and which if true, would constitute the offense therein charged; hence this information is filed in good faith in instituting this prosecution, and that he has received testimony under oath from the material witness or witnesses for the offense.

The foregoing instrument was acknowledged before me this DEC 14 2010 day of _____, 2010 by K. S. DAVIDSON, who is personally known to me and who did take an oath.

NOTARY PUBLIC

Assistant State Attorney for the Sixth Judicial Circuit of the State of Florida, Prosecuting for said State

SP10-065304 T-NF/1209as33

2010 DEC 15 PM 1:36
CLERK OF CIRCUIT COURT

SHARON A. MCNEIL
Commission # EE 025600
Expires October 6, 2014
Bonded Thru Troy Fain Insurance 800-385-7019

EXHIBIT #2
PAGE 25/42

IN THE CIRCUIT COURT FOR THE SIXTH JUDICIAL CIRCUIT OF FLORIDA
IN AND FOR PINELLAS COUNTY
CRIMINAL DIVISION

UCN: 52200_____ Reference No.: CRC 1021626 CFANO
~~CRC 1021627CFANO~~ FOPS

STATE OF FLORIDA
Plaintiff
v. Marcus Clark 02054576
aka_____
Defendant

CHANGE OF PLEA FORM

1. I, Marcus Clark, Defendant herein, do hereby withdraw my plea of not guilty and enter a plea of (guilty) ~~(nolo contendere~~ no contest) to the charge(s) of Poss of Cocaine & Poss of MJ in the above-referenced case(s).

2. I understand that the plea of "not guilty" denies my guilt; a plea of "guilty" admits my guilt; and, a plea of "nolo contendere" or "no contest" means that, while I do not admit guilt, I will not contest the evidence against me. I understand that, if the court accepts my change of plea, I give up (waive) my right to a jury trial, and that I will be sentenced as a felony offender, based upon my plea.

FILED
APR 25 2011
KEN BURKE
CLERK OF CIRCUIT COURT

3. I understand the charge(s) which has/have been placed against me and to which I am changing my plea. My lawyer has explained to me the elements of the crime(s), and any defenses I may have as well as the maximum penalty for the crime(s) to which I am pleading guilty or no contest, which is 10 yrs

4. I understand that the guideline recommendations (will) ~~(will not)~~ be applied to my sentence.

5. I understand that, depending upon the nature of the offense(s) to which I am changing my plea, and/or upon the nature of any prior convictions, I may NOT be eligible for certain credits which shorten the length of the sentence imposed. The credits to which I may NOT be entitled include, but are not limited to, control release date (CRD) credits, and/or award(s) of gain time while I am in prison. I further understand that I may serve more time on my sentence than I would if I were not convicted of offense(s) of a nature such as these.

6. I understand that, if the court accepts my plea, I am hereby giving up (waiving) my right to a public jury trial, the right to require the State to prove the charge(s) against me beyond a reasonable doubt, and the right to see and hear the witnesses against me and to have my lawyer question them. I am also waiving the right to employ any defenses which I may have, the right to subpoena and the right to present witnesses, or other evidence, and the right to testify or remain silent, as I choose. I am waiving the right to have a jury decide whether I am guilty or not guilty.

7. I further understand that, by pleading guilty or no contest, I am hereby waiving my right to appeal the facts of the case. My lawyer has explained to me the meaning of an appeal. I further understand that I have thirty (30) days from this date to appeal the court's sentence and that, if I cannot afford to hire a lawyer to represent me for the purposes of an appeal, one will be appointed for me.

8. I do not require the State to tell the court the facts upon which the charge or charges are based before the court accepts my change of plea.

9. I am entering this plea because I believe it is in my best interest to do so, and it is what I wish to do of my own free will. No one has pressured me or forced me to enter this plea against my will. No one has promised me anything to entice me to change my plea to "guilty" or "no contest"; however, there has been an understanding that my sentence will consist of W/H, 12 mos probation w/ ability to request ET after 6 months, and Ct cost, 100.00 SAO Fee

JM MRC

10. I am not aware of any physical evidence disclosed by the State for which DNA testing may exonerate me. I am not aware of any other physical evidence containing DNA known to exist that could exonerate me.

11. If I am to be placed on probation or community control, I will pay $50.00 per month towards court costs in the amount of $ 550.00 as ordered by this Court commencing with the first day of probation or community control until paid in full.

12. I agree to restitution in the amount of $ _____. If the amount of restitution is not decided at this time, I hereby waive any right to notice which I may have before such restitution may be imposed. I also waive any right to attend any hearing for such purposes.

13. My attorney has reviewed with me all statutory costs being assessed by the Court, including all mandatory and discretionary costs. My attorney has further advised me that I have a right to have the amount of each discretionary cost and certain mandatory costs individually announced in open court. I hereby waive my right to such individual announcement. I agree to pay all fines and costs, including the fines and costs checked on the attached sheets.

14. I further agree that there will be lien(s) placed against me and/or my real property for any unpaid fines, Public Defenders' fees, costs of prosecution, and court costs.

15. I hereby waive any right I may have to a Pre-Sentence Investigation (PSI), so that I may proceed directly to sentencing.

16. If I am not a citizen of the United States of America, I understand that this criminal proceeding could cause me to be deported to the country of my origin.

17. If I have ever been convicted of or pled to a sexually violent offense, I understand that I may later be subject to a civil commitment proceeding for sexually violent predators.

18. If I am entering a plea to an offense for which automatic, mandatory driver's license suspension or revocation is required, regardless of whether the suspension or revocation is by the court or by a seperate agency, I understand that this plea may result in the automatic, mandatory suspension or revocation of my driver's license.

19. My education consists of 12 years of school. At this time, I am not under the influence of any alcoholic beverage, or other drug or medicine, nor am I suffering from any mental or emotional problems which affect my understanding of this plea form.

20. I have read every word of this written plea form. All blank spaces have been filled in and there are no blank spaces upon my accepting and signing this plea form. I have discussed, with my lawyer, the contents of the plea form, and I understand this written plea form fully. My lawyer has answered any questions which I have had.

21. I am satisfied with my lawyer's advice and help concerning my decision to change my plea, and in all matters pertaining to the above-referenced case(s).

SWORN TO, SIGNED, AND FILED IN OPEN COURT, in the presence of the presiding judge and defense counsel of record, this ____ day of _____, _____.

X _____
Defendant

I hereby certify that I am counsel for the above-named Defendant in the above-referenced case(s), that I have explained to my client the elements, evidence, defenses, and rights related to the charge(s) against him/ her. I have further reviewed with my client all statutory fines and costs being assessed against him/her, including all mandatory and discretionary costs. I have reviewed the discovery disclosed by the State, including a listing or a description of physical evidence. I reviewed with my client the nature of the evidence disclosed through discovery. I am personally unaware of any physical evidence for which DNA testing may exonerate my client. I believe that my client understands the contents and the meaning of this plea form, his/her rights, and the consequences of his/her change of plea, and that he/she is entering this plea freely, voluntarily, and knowingly.

_____
Counsel for Defendant

EXHIBIT #2
PAGE 27/42

I hereby certify that I am personally unaware of any physical evidence for which DNA testing may exonerate the above-named Defendant.

_____
Assistant State Attorney/Statewide Prosecutor

I hereby find that the above-named Defendant did, on this date, freely, voluntarily, and knowingly change his/her plea in the above-referenced case(s).

_____
Presiding Judge

JUDGE: NANCY M LEY

STATE OF FLORIDA
-VS-.
MARCUS CLARK
SPN :02054576

IN THE SIXTH JUDICIAL CIRCUIT COURT, IN AND FOR PINELLAS COUNTY
UCN: 522010CF021626XXXXNO - T
REF No.: CRC 10-21626CFANO - T
DC NUMBER: _____

ORDER OF PROBATION

This cause coming before the Court to be heard, and you, the defendant, **MARCUS CLARK** being now present before me with counsel **JOHN R RICHARDSON** and you having:

ENTERED A PLEA OF NOLO CONTENDERE TO

Count 01	**POSSESSION OF MARIJUANA**
Count 02	**POSSESSION OF COCAINE**

FILED
CRIMINAL JUSTICE CENTER
2011 MAY -4 AM 11:
KEN BURKE

SECTION 2: ORDER WITHHOLDING ADJUDICATION

Now, therefore, it is ordered and adjudged that the adjudication of guilt is hereby withheld and that you be placed on PROBATION for a period of **12 Months** under the supervision of the Department of Corrections, subject to Florida law. **This probation term is concurrent for each count.**

IT IS FURTHER ORDERED that you shall comply with the following standard conditions of supervision as provided by Florida law:

1. You will report to the probation office as directed. Not later than the fifth day of each month, unless otherwise directed, you will make a full and truthful report to your officer on the form provided for that purpose.
2. You will pay the State of Florida the amount of $50.00 (fifty dollars) per month, as well as 4% surcharge, toward the cost of your supervision in accordance with s. 948.09, F.S., unless otherwise exempted in compliance with Florida Statutes.
3. You will remain in a specified place. You will not change your residence or employment or leave the county of your residence without first procuring the consent of your officer.
4. You will not possess, carry or own any firearm. You will not possess, carry, or own any weapons without first procuring the consent of your officer.
5. You will live without violating the law. A conviction in a court of law shall not be necessary for such a violation to constitute a violation of your probation/community control.
6. You will not associate with any person engaged in any criminal activity.
7. You will not use intoxicants to excess or possess any drugs or narcotics unless prescribed by a physician. Nor will you visit places where intoxicants, drugs or other dangerous substances are unlawfully sold, dispensed or used.
8. You will work diligently at a lawful occupation, advise your employer of your probation status, and support any dependents to the best of your ability, as directed by your officer.
9. You will promptly and truthfully answer all inquiries directed to you by the court or the officer, and allow your officer to visit in your home, at your employment site or elsewhere, and you will comply with all instructions your officer may give you.
10. You will pay restitution, court costs, and/or fees in accordance with special conditions imposed or in accordance with the attached orders.
11. You will submit to random testing as directed by your officer or the professional staff of the treatment center where you are receiving treatment to determine the presence or use of alcohol or controlled substances.
12. You will submit a DNA sample, as directed by your officer, for DNA analysis as prescribed in ss. 943.325 and 948.014, F.S.
13. You will submit to taking of a digitized photograph by the department. The photograph may be displayed on the department's website while you are on supervision, unless exempt from disclosure due to requirements of s. 119.07, F.S.
14. You will report in person within 72 hours of your release from incarceration to the probation office in Pinellas County, Florida, unless otherwise instructed by the court or department. (This condition applies only if section 3 is indicated above.) Otherwise, you must report immediately to the probation office located at the Criminal Justice Center, 14250 49th Street North, Room 1930 (FIRST FLOOR), Clearwater, Florida.

SPECIAL CONDITIONS:

15. Other: Exemptions for cost of supervision are hereby ordered for those months while participating in treatment programs or incarcerated without benefit of income. If exemptions do not apply, failure to make monthly payments for cost of supervision will result in a violation of probation. Cost of supervision is to be suspended until such time that the offender has satisfied all restitution and costs as stated on the supervision order.
16. Other: You will not reside in another state without authorization of the Court and contingent upon the approval of the receiving state.

Return to:
Criminal Court Records Department

HAS

17. Other: If electronic monitoring is imposed, you will pay the costs of electronic monitoring.
18. Other: Probation/community control may not be transferred out of state without express Court approval until all Court ordered and assessed monetary obligations are satisfied.
19. Other: You may apply for early termination after **1/2 of term completed**.

Effective for offenders whose crime was committed on or after September 1, 2005, there is hereby imposed, in additional to any other provision in this section, mandatory electronic monitoring as a condition of supervision for those who:

- Are placed on supervision for a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older; or
- Are designated as a sexual predator pursuant to s. 775.21; or
- Has previously been convicted of a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older.

You are hereby placed on notice that should you violate your probation or community control, and the conditions set forth in s. 948.063(1) or (2) are satisfied, whether your probation or community control is revoked or not revoked, you shall be placed on electronic monitoring in accordance with F.S. 948.063.

Effective for offenders who are subject to supervision for a crime that was committed on or after May 26, 2010, and who has been convicted at any time of committing, or attempting, soliciting, or conspiring to commit, any of the criminal offenses listed in s.943.0435(1)(a)1.a.(I), or similar offense in another jurisdiction, against a victim who was under the age of 18 at the time of the offense: the following conditions are imposed in addition to all other conditions:

(a) A prohibition on visiting schools, child care facilities, parks, and playgrounds, without prior approval from the offender's supervising officer. The prohibition ordered under this paragraph does not prohibit the offender from visiting a school, child care facility, park, or playground for the sole purpose of attending a religious service as defined in s. 775.0861 or picking up or dropping off the offender's children or grandchildren at a child care facility or school.

(b) A prohibition on distributing candy or other items to children on Halloween; wearing a Santa Claus costume, or other costume to appeal to children, on or preceding Christmas; wearing an Easter Bunny costume, or other costume to appeal to children, on or preceding Easter; entertaining at children's parties; or wearing a clown costume; without prior approval from the court.

YOU ARE HEREBY PLACED ON NOTICE that the court may at any time rescind or modify any of the conditions of your probation, or may extend the period of probation as authorized by law, or may discharge you from further supervision. If you violate any of the conditions of your probation, you may be arrested and the court may revoke your probation, adjudicate you guilty if adjudication of guilt was withheld, and impose any sentence that it might have imposed before placing you on probation or require you to serve the balance of the sentence.

IT IS FURTHER ORDERED that when you have been instructed as to the conditions of probation, you shall be released from custody if you are in custody, and if you are at liberty on bond, the sureties thereon shall stand discharged from liability. (This paragraph applies only if section 1 or section 2 is checked.)

IT IS FURTHER ORDERED that you pay the following charges/costs/fees indicated on the last page of this order entitled Court Ordered Payments.

IT IS FURTHER ORDERED that the clerk of this court file this order in the clerk's office and provide certified copies of same to the officer for use in compliance with the requirements of law.

DONE AND ORDERED on **April 25, 2011** in Clearwater, Florida.

NANCY M LEY, JUDGE

I acknowledge receipt of a certified copy of this Order. The conditions have been explained to me and I agree to abide by them.

Date: _____ _____
Probationer

Instructed by: _____

DAF

EXHIBIT #2
PAGE 29/42

COURT ORDERED PAYMENTS- COUNT 01

CHECK ALL THAT ARE ORDERED:

FINES

[X]	$130.48	**Total of fines assessed** in sentence, pursuant to s. 775.083 (1)(a) through (g) or Chapter 316, F.S.
[X]	$6.52	Statutorily mandated 5% surcharge/cost if fine assessed (on first line) pursuant to s. 938.04, F.S.

MANDATORY COSTS IN ALL CASES

[X]	$225.00	**Additional court cost for felony offense,** pursuant to s. 938.05(1)(a), F.S.
[ ]	$60.00	**Additional court cost for misdemeanor or criminal traffic offense,** pursuant to s. 938.05(1)(b) or (c), F.S.
[X]	$50.00	**Crimes Compensation Trust Fund** pursuant to s. 938.03(1), F.S.
[X]	$50.00	**County Crime Prevention Fund** pursuant to s. 775.083(2), F.S.
[ ]	$3.00	**Additional Court Costs Clearing Trust Fund** pursuant to s. 938.01(1), F.S.
[ ]	$2.00	Per month for each month of supervision for **Training Trust Fund Surcharge,** pursuant to s. 948.09, F.S.
[X]	$100.00	**Prosecution Costs,** pursuant to s. 938.27, F.S. (Minimum of $100 Felony/$50 Misdemeanor).
[ ]	$____	**Investigative Costs,** pursuant to s. 938.27, F.S. (if applicable and requested)
[X]	$20.00	**Crime Stoppers Trust Fund,** pursuant to s. 938.06(1), F.S.

MANDATORY COURT COSTS FOR COURT-APPOINTED COUNSEL CASES

[ ]	$____	**Public Defender/Appointed Counsel Application Fee,** if not previously collected, pursuant to ss. 27.52 and s. 938.29, F.S.
[ ]	$____	**Public Defender/Appointed Counsel Fees and Costs,** pursuant to s. 938.29, F.S. as determined locally (Minimum of $100 Felony/$50 Misdemeanor).

MANDATORY COSTS IN SPECIFIC TYPES OF CASES

[ ]	$151.00	**Rape Crisis Program Trust Fund,** pursuant to s. 938.085, F.S. for any violations of ss. 784.011, 784.021, 784.03, 784.041, 784.045, 784.048, 784.07, 784.08, 784.081, 784.082, 784.083, 784.085, or 794.011, F.S.
[ ]	$201.00	**Domestic Violence Trust Fund,** pursuant to s. 938.08, F.S. for any violations of ss. 784.011, 784.021, 784.03, 784.041, 784.045, 784.048, 784.07, 784.08, 784.081, 784.082, 784.083, 784.085, 794.011, or any offense of Domestic Violence described in s. 741.28, F.S.
[ ]	$151.00	**Certain Crimes Against Minors,** pursuant to s. 938.10(1), F.S. for any violations of s. 784.085, chapter 787, chapter 794, s. 796.03, s. 796.035, s. 800.04, chapter 827, s. 847.012, s. 847.0133, s. 847.0135(5), s. 847.0138, s. 847.0145, s. 893.147(3), or s. 985.701, or any offense in violation of s. 775.21, s. 823.07, s. 847.0125, s. 847.0134, or s. 943.0435, F.S.
[ ]	$135.00	**DUI Court Costs,** pursuant to s. 938.07, F.S. for any violations of ss. 316.193 or 327.35, F.S.
[ ]	$3.00	**State Agency Law Enforcement Radio System Trust Fund,** pursuant to s. 318.18(17), F.S. for any violations of offenses listed in s. 318.17 including ss. 316.1935, 316.027, 316.061, 877.111, chapter 893, ss. 316.193, 316.192, 316.067, 316.072(3), 316.545(1), or any other offense in chapter 316 which is classified as a criminal violation.
[ ]	$2.00	**Pinellas Police Standards,** pursuant to chapter 97-333.

MANDATORY COURT COSTS AUTHORIZED BY LOCAL GOVERNMENTAL ENTITIES

[ ]	$2.00	**Criminal Justice Education by Municipalities and Counties,** pursuant to s. 938.15, F.S. and Pinellas County Code s.46-27.
[X]	$65.00	**Additional court costs for local requirements and other county funded programs** pursuant to s. 939.185(1)(a), F.S. and Pinellas County Code s. 46-32.
[X]	$3.00	**Teen Court** pursuant to s. 938.19(2), F.S. and Pinellas County Code s. 46-34

DISCRETIONARY

[ ]	$1.00	Per month during the term of supervision to the following **nonprofit organization** established for the sole purpose of supplementing the rehabilitative efforts of the Department of Corrections, pursuant to s. 948.039(2), F.S.

DISCRETIONARY COSTS FOR SPECIFIC TYPES OF CASES

[ ]	$____	**County Alcohol and Other Drug Abuse Trust Fund,** pursuant to s. 938.21 and s. 938.23, F.S. for violations of s. 316.193, s.856.011, s. 856.015, or chapter 562, chapter 567, or chapter 568, F.S.
[ ]	$100.00	**Operating Trust Fund of the FDLE,** pursuant to s. 938.25, F.S. for violations of s. 893.13 offenses

PAYMENT IS TO BE MADE THROUGH AND PAYABLE TO: [ ] **Department of Corrections** **or** [X] **Clerk of Court**

(If collected by the Department of Corrections, a surcharge of 4% will be added to all payments ordered by the court, pursuant to s. 945.31, F.S.)

- [ ] Court Costs/Fines Waived.
- [ ] Court Costs/Fines in the amount of _____ converted to _____ community service hours.
- [ ] Court Costs/Fines in the amount of _____ reduced to civil judgment.

SPECIFIC INSTRUCTIONS FOR PAYMENT:

You will pay all fines and court costs specified in this order of probation/community control in full no later than three months prior to the end of the term of probation. If the Court determines that you have the ability to pay, failure to pay all fines and court costs in full no later than three months prior to the end of the term of probation will result in a violation of probation or community control, may become a lien against anything you own now or in the future and will accrue interest at the statutory rate, result in the suspension of your driver's license, and may also result in collections efforts by the Clerk of the Court or its assignee.

DONE AND ORDERED on **April 25, 2011** in Clearwater, Florida.

Nancy Moate Ley

NANCY M LEY, JUDGE

EXHIBIT #2
PAGE 30/42

COURT ORDERED PAYMENTS- COUNT 02

CHECK ALL THAT ARE ORDERED:

FINES

[X]	$130.48	**Total of fines assessed** in sentence, pursuant to s. 775.083 (1)(a) through (g) or Chapter 316, F.S., **concurrent with Count 1.**
[X]	$6.52	Statutorily mandated 5% surcharge/cost if fine assessed (on first line) pursuant to s. 938.04, F.S., **concurrent with Count 1.**

MANDATORY COSTS IN ALL CASES

[X]	$225.00	**Additional court cost for felony offense,** pursuant to s. 938.05(1)(a), F.S., **concurrent with Count 1.**
[ ]	$60.00	**Additional court cost for misdemeanor or criminal traffic offense,** pursuant to s. 938.05(1)(b) or (c), F.S.
[X]	$50.00	**Crimes Compensation Trust Fund** pursuant to s. 938.03(1), F.S., **concurrent with Count 1.**
[X]	$50.00	**County Crime Prevention Fund** pursuant to s. 775.083(2), F.S., **concurrent with Count 1.**
[ ]	$3.00	**Additional Court Costs Clearing Trust Fund** pursuant to s. 938.01(1), F.S., **concurrent with Count 1.**
[ ]	$2.00	Per month for each month of supervision for **Training Trust Fund Surcharge,** pursuant to s. 948.09, F.S.
[X]	$100.00	**Prosecution Costs,** pursuant to s. 938.27, F.S. (Minimum of $100 Felony/$50 Misdemeanor), **concurrent with Count 1.**
[ ]	$ ____	**Investigative Costs,** pursuant to s. 938.27, F.S. (if applicable and requested)
[X]	$20.00	**Crime Stoppers Trust Fund,** pursuant to s. 938.06(1), F.S., **concurrent with Count 1.**

MANDATORY COURT COSTS FOR COURT-APPOINTED COUNSEL CASES

[ ]	$ ____	**Public Defender/Appointed Counsel Application Fee,** if not previously collected, pursuant to ss. 27.52 and s. 938.29, F.S.
[ ]	$ ____	**Public Defender/Appointed Counsel Fees and Costs,** pursuant to s. 938.29, F.S. as determined locally (Minimum of $100 Felony/$50 Misdemeanor).

MANDATORY COSTS IN SPECIFIC TYPES OF CASES

[ ]	$151.00	**Rape Crisis Program Trust Fund,** pursuant to s. 938.085, F.S. for any violations of ss. 784.011, 784.021, 784.03, 784.041, 784.045, 784.048, 784.07, 784.08, 784.081, 784.082, 784.083, 784.085, or 794.011, F.S.
[ ]	$201.00	**Domestic Violence Trust Fund,** pursuant to s. 938.08, F.S. for any violations of ss. 784.011, 784.021, 784.03, 784.041, 784.045, 784.048, 784.07, 784.08, 784.081, 784.082, 784.083, 784.085, 794.011, or any offense of Domestic Violence described in s. 741.28, F.S.
[ ]	$151.00	**Certain Crimes Against Minors,** pursuant to s. 938.10(1), F.S. for any violations of s. 784.085, chapter 787, chapter 794, s. 796.03, s. 796.035, s. 800.04, chapter 827, s. 847.012, s. 847.0133, s. 847.0135(5), s. 847.0138, s. 847.0145, s. 893.147(3), or s. 985.701, or any offense in violation of s. 775.21, s. 823.07, s. 847.0125, s. 847.0134, or s. 943.0435, F.S.
[ ]	$135.00	**DUI Court Costs,** pursuant to s. 938.07, F.S. for any violations of ss. 316.193 or 327.35, F.S.
[ ]	$3.00	**State Agency Law Enforcement Radio System Trust Fund,** pursuant to s. 318.18(17), F.S. for any violations of offenses listed in s. 318.17 including ss. 316.1935, 316.027, 316.061, 877.111, chapter 893, ss. 316.193, 316.192, 316.067, 316.072(3), 316.545(1), or any other offense in chapter 316 which is classified as a criminal violation.
[ ]	$2.00	**Pinellas Police Standards,** pursuant to chapter 97-333.

MANDATORY COURT COSTS AUTHORIZED BY LOCAL GOVERNMENTAL ENTITIES

[ ]	$2.00	**Criminal Justice Education by Municipalities and Counties,** pursuant to s. 938.15, F.S. and Pinellas County Code s.46-27, **concurrent with Count 1.**
[X]	$65.00	**Additional court costs for local requirements and other county funded programs** pursuant to s. 939.185(1)(a), F.S. and Pinellas County Code s. 46-32, **concurrent with Count 1.**
[X]	$3.00	**Teen Court** pursuant to s. 938.19(2), F.S. and Pinellas County Code s. 46-34, **concurrent with Count 1.**

DISCRETIONARY

[ ]	$1.00	Per month during the term of supervision to the following **nonprofit organization** established for the sole purpose of supplementing the rehabilitative efforts of the Department of Corrections, pursuant to s. 948.039(2), F.S.

DISCRETIONARY COSTS FOR SPECIFIC TYPES OF CASES

[ ]	$ ____	**County Alcohol and Other Drug Abuse Trust Fund,** pursuant to s. 938.21 and s. 938.23, F.S. for violations of s. 316.193, s.856.011, s. 856.015, or chapter 562, chapter 567, or chapter 568, F.S.
[ ]	$100.00	**Operating Trust Fund of the FDLE,** pursuant to s. 938.25, F.S. for violations of s. 893.13 offenses

PAYMENT IS TO BE MADE THROUGH AND PAYABLE TO: [ ] **Department of Corrections** **or** [X] **Clerk of Court**

(If collected by the Department of Corrections, a surcharge of 4% will be added to all payments ordered by the court, pursuant to s. 945.31, F.S.)

[ ] Court Costs/Fines Waived.

[ ] Court Costs/Fines in the amount of _____ converted to _____ community service hours.

[ ] Court Costs/Fines in the amount of _____ reduced to civil judgment.

SPECIFIC INSTRUCTIONS FOR PAYMENT:

You will pay all fines and court costs specified in this order of probation/community control in full no later than three months prior to the end of the term of probation. If the Court determines that you have the ability to pay, failure to pay all fines and court costs in full no later than three months prior to the end of the term of probation will result in a violation of probation or community control, may become a lien against anything you own now or in the future and will accrue interest at the statutory rate, result in the suspension of your driver's license, and may also result in collections efforts by the Clerk of the Court or its assignee.

DONE AND ORDERED on **April 25, 2011** in Clearwater, Florida.

Nancy Moateley

NANCY M LEY, JUDGE

EXHIBIT #2
PAGE 31/42

CIRCUIT/COUNTY COURT, PINELLAS COUNTY, FLORIDA
CRIMINAL DIVISION

UCN: 522010CF021626XXXXNO REF No. : CRC 10-21626CFANO - T
SPN. : 02054576

[redacted]

Deputy Sheriff

I hereby certify that the above and foregoing fingerprints on this Judgment are the fingerprints of the defendant, **MARCUS CLARK**, and that they were placed thereon by said in my presence, in open court, this **April 25, 2011**.

Judge

(ICD-FINGERPRINTONDEMAND-III 38902561))

EXHIBIT #2
PAGE 32/42

CIRCUIT/COUNTY COURT, PINELLAS COUNTY, FLORIDA
CRIMINAL DIVISION

UCN: 522010CF021626XXXXNO REF. NO.: CRC 10-21626CFANO-T

STATE OF FLORIDA
VS.
MARCUS CLARK

2506 QUINCY ST S
ST PETERSBURG FL 33711

KEN BURKE, CLERK OF COURT
PINELLAS COUNTY FLORIDA
INST# 2011121332 05/09/2011 at 11:50 AM
OFF REC BK: 17244 PG: 268-268
DocType:JUD LN

SPN: 02054576
SS#: [redacted]
DOB: [redacted]

JUDGMENT FOR FINES AND COSTS

THIS COURT HAVING PREVIOUSLY ORDERED THE DEFENDANT TO PAY FINES AND COSTS IN ACCORDANCE WITH THE PROVISIONS OF THE FLORIDA STATUTES;

IT IS ORDERED AND ADJUDICATED THAT THE STATE OF FLORIDA DOES HAVE THE RIGHT TO RECOVER OF AND FROM THE ABOVE NAMED DEFENDANT THE FOLLOWING FINE AND COST AMOUNTS:

$50.00	CRIMES COMPENSATION TRUST FUND / F.S. 938.03(3)
$225.00	LOCAL GOVERNMENT CRIMINAL JUSTICE TRUST FUND / F.S. 938.05
$50.00	COUNTY CRIME PREVENTION FUND / F.S. 775.083(2)
$65.00	ADDITIONAL COURT COSTS / F.S. 939.185(1)(A),PINELLAS CODE 46-32
$3.00	TEEN COURT / F.S. 938.19(2), PINELLAS CODE 46-34
$137.00	FINE AMOUNT / F.S. 775.083 INCLUDES 5% SURCHARGE F.S. 938.04
$20.00	CRIME STOPPERS TRUST FUND / F.S. 938.06
$100.00	PROSECUTION COSTS / F.S. 938.27
$650.00	TOTAL FINE & COSTS FOR WHICH LET EXECUTION ISSUE

IT IS FURTHER ORDERED THAT THIS JUDGMENT WILL BE RECORDED BY THE CLERK OF COURT AS A JUDGMENT LIEN IN FAVOR OF THE CLERK OF COURT, ON BEHALF OF THE STATE OF FLORIDA, IN THE OFFICIAL RECORDS IN PINELLAS COUNTY.

DONE AND ORDERED ON APRIL 25, 2011 IN CLEARWATER, FLORIDA

Nancy Moate Ley

JUDGE: NANCY MOATE LEY

FILED
CRIMINAL JUSTICE CENTER
2011 MAY -2 PM 12:10
KEN BURKE
CLERK OF CIRCUIT COURT

RETURN TO CRIMINAL COURT RECORDS

EXHIBIT #2
PAGE 33/42

STATE OF FLORIDA
DEPARTMENT OF CORRECTIONS
AFFIDAVIT VIOLATION OF PROBATION

FILED
CRIMINAL JUSTICE CENTER
2011 JUN 14 AM 8:56
KEN BURKE
CLERK OF CIRCUIT COURT

Docket #: 1021626CFANO
DC#: R58391 SPN: 02054576
NAME: MARCUS CLARK
Judge/Division: Quesada/Q

Before me this day personally appeared MARY J. GIBSON who, being first duly sworn says that **MARCUS CLARK** , hereinafter referred to as the offender was sentenced in accordance with the provisions of Chapter 948, Florida Statutes, as follows:

Offense Date	Offense	Sentence Date	County	Case No.	Supervision Length
09/30/2010	POSS.MARIJUANA OVR 20 GRAMS	04/25/2011	PINELLAS	1021626	001Y 00M 00D
09/30/2010	COCAINE - POSSESSION	04/25/2011	PINELLAS	1021626	001Y 00M 00D

Affiant states that the offender was instructed on the conditions of Probation on 5-17-11 by Officer Mary Jean Gibson

Affiant further states that the offender has not properly conducted himself, but has violated the conditions of his Probation in a material respect by:

Violation of Condition (7) of the Order of Probation, by failing to abstain from the use of alcohol and/or illegal drugs, and as grounds for belief that the offender violated his probation, Officer Mary Jean Gibson states that the offender did use alcohol or illegal drugs on 5-17-11 as evidenced by two testing sticks indicating a positive for Cocaine.

Violation of Condition (2) of the Order of Probation, by failing to pay the State of Florida the amount of $50.00 per month toward cost of supervision, plus a 4% surcharge, unless otherwise exempted, in accordance with Section 948.09, Florida Statutes, and as grounds for belief that the offender violated his probation, Officer Mary Jean Gibson states that the offender is $100.00 in arrears, as of 6-6-11.

MJ Gibson
MARY J. GIBSON Officer

NOTARY PUBLIC STATE OF FLORIDA (407) 388-0153
REBECCA L. WOLF-REYNAL
MY COMMISSION # DD716645
EXPIRES: Sept. 19, 2011
Florida Notary Service.com

THIS AFFIDAVIT MUST BE NOTARIZED OR ATTESTED TO UNDER S. 117.10 OR 92.50, FLA. STAT.

Sworn to and subscribed before me this 6th (day) of June (month), A.D. 2011 (year) by Mary Jean Gibson, CPO, who is personally known to me or has produced identification

_____ Signature of Attesting Officer Under S. 117.10, F.S.

_____ Title: _____ OR Notary Public Rebecca Wolf-Reyl State of Florida at Large for Pinellas County Approved by supervisor: Rebecca Wolf-Reyl

_____ Date: 6-6-11

EXHIBIT #2
PAGE 34/42

IN THE CIRCUIT COURT OF. THE
SIXTH JUDICIAL CIRCUIT
IN AND FOR PINELLAS COUNTY, FLORIDA

UCN: 52200_____ Reference No.: CRC 10-21626CFANO

STATE OF FLORIDA

VS

Marcus Clark,
02054576

FILED
CRIMINAL JUSTICE CENTER
2011 AUG 1 AM 8:08
KEN BURKE
CLERK OF CIRCUIT COURT

VIOLATION OF PROBATION/COMMUNITY CONTROL PLEA

1. I, Marcus Clark, Defendant herein, withdraw my previously entered Denial and enter an Admission to the Charge of Violation of Probation/Community Control in the above-styled case.
2. I understand that if the Court accepts this plea, I give up my right to an evidentiary hearing, the right to require the State to prove the violation by satisfying the Judge by competent evidence that I willfully violated my probation/community control, the right to see and hear the witnesses against me and to have my lawyer question them, the right to subpoena and present witnesses or other evidence or any defenses I may have and to testify or remain silent as I choose. I further understand that I give up my right to appeal the facts of the case. My lawyer has explained to me what an appeal is.
3. I understand that this Admission admits that I violated my probation/community control, and further means there will be no evidentiary hearing and I will be sentenced based upon this plea.
4. I understand the charge which has been placed against me and to which I am pleading. My lawyer has explained the maximum penalty to me as well as the nature of the violation alleged and any defenses I may have. I am satisfied with my lawyers advice and help.
5. No one has pressured me or forced me to enter this Admission. No one has promised me anything to get me to enter this Admission, but there has been an understanding that:

   ☐ No dispositional understanding.

   ☑ The disposition will be W/H, reinstate original supervisions

6. I agree to restitution in the amount of $ _____.

EXHIBIT #2
PAGE 35/42

7. My attorney has reviewed with me all statutory costs being assessed by the court, including all mandatory and discretionary costs. My attorney has further advised me that I have a right to have the amount of each discretionary cost and certain mandatory costs individually announced in open court. I hereby waive my right to such individual announcement. I agree to pay all fines and costs, including the fines and costs checked on the attached sheets.
8. If this is a pre-guidelines case, I ☐ wish ☐ do not wish to be sentenced under the Sentencing Guidelines.
9. I am entering this Admission because I believe it is in my best interest and it is what I wish to do of my own free will.
10. I agree that the Judge may find a factual basis for this Admission based upon the Affidavit filed by my Probation/ Community Control Officer.
11. My education consists of 12 yrs.
12. I am not under the influence of any alcoholic beverage, drug or medicine at the time I sign this form. I am not suffering from any mental problem which affects my understanding of this plea.
13. If I have ever been convicted of or pled to a sexually violent offense, I understand that I may later be subject to a civil commitment proceeding for sexually violent predators.
14. If I am entering a plea to an offense for which automatic, mandatory driver's license suspension or revocation is required, regardless of whether the suspension or revocation is by the court or by a seperate agency, I understand that this plea may result in the automatic, mandatory suspension or revocation of my driver's license.
15. I have read every word in this written plea and have discussed it with my lawyer. I understand this form fully. The form was completely filled in when I signed it. There were no blanks.

SWORN TO, SIGNED AND FILED in Open Court in the presence of my lawyer and the Judge this _____ day of _____ 20 ___.

Defendant

I hereby certify that as counsel for the Defendant, I have discussed this case with my client and explained the rights, defenses and evidence relating to it with him/her. I have reviewed with my client all statutory fines and costs being assessed against him/her, including all mandatory and discretionary costs. I believe that my client understands the contents and the meaning of this plea form, his/her rights, and the consequences of his/her change of plea, and that he/she is entering this plea freely, voluntarily, and knowingly.

Counsel for Defendant

Circuit Judge

EXHIBIT #2
PAGE 36/42

Distribution:
Green - Court File
White - Defendant
White - State

JUDGE: **FRANK QUESADA**

STATE OF FLORIDA
-VS-.
MARCUS CLARK
SPN :**02054576**

IN THE SIXTH JUDICIAL CIRCUIT COURT, IN AND FOR PINELLAS COUNTY
UCN: **522010CF021626XXXXNO - T**
REF No.: **CRC 10-21626CFANO - T**
DC NUMBER: _____

ORDER OF PROBATION

FILED
CRIMINAL JUSTICE CENTER
2011 AUG -5 PM 3:36
KEN BURKE
CLERK OF CIRCUIT COURT

This cause coming before the Court to be heard, and you, the defendant, **MARCUS CLARK** being now present before the with counsel **JOHN R RICHARDSON** and you having:

ENTERED A PLEA OF NOLO CONTENDERE TO

Count 01	**POSSESSION OF MARIJUANA -VOP**
Count 02	**POSSESSION OF COCAINE -VOP**

SECTION 2: ORDER WITHHOLDING ADJUDICATION

Now, therefore, it is ordered and adjudged that the adjudication of guilt is hereby withheld and that you, upon a modification of probation/community control, be placed on PROBATION for a period of **the balance of the previously imposed probation/community control term** under the supervision of the Department of Corrections, subject to Florida law.

IT IS FURTHER ORDERED that you shall comply with the following standard conditions of supervision as provided by Florida law:

1. You will report to the probation office as directed.
2. You will pay the State of Florida the amount of $50.00 (fifty dollars) per month, as well as 4% surcharge, toward the cost of your supervision in accordance with s. 948.09, F.S., unless otherwise exempted in compliance with Florida Statutes.
3. You will remain in a specified place. You will not change your residence or employment or leave the county of your residence without first procuring the consent of your officer.
4. You will not possess, carry or own any firearm. You will not possess, carry, or own any weapons without first procuring the consent of your officer.
5. You will live without violating the law. A conviction in a court of law shall not be necessary for such a violation to constitute a violation of your probation/community control.
6. You will not associate with any person engaged in any criminal activity.
7. You will not use intoxicants to excess or possess any drugs or narcotics unless prescribed by a physician. Nor will you visit places where intoxicants, drugs or other dangerous substances are unlawfully sold, dispensed or used.
8. You will work diligently at a lawful occupation, advise your employer of your probation status, and support any dependents to the best of your ability, as directed by your officer.
9. You will promptly and truthfully answer all inquiries directed to you by the court or the officer, and allow your officer to visit in your home, at your employment site or elsewhere, and you will comply with all instructions your officer may give you.
10. You will pay restitution, court costs, and/or fees in accordance with special conditions imposed or in accordance with the attached orders.
11. You will submit to random testing as directed by your officer or the professional staff of the treatment center where you are receiving treatment to determine the presence or use of alcohol or controlled substances.
12. You will submit a DNA sample, as directed by your officer, for DNA analysis as prescribed in ss. 943.325 and 948.014, F.S.
13. You will submit to taking of a digitized photograph by the department. The photograph may be displayed on the department's website while you are on supervision, unless exempt from disclosure due to requirements of s. 119.07, F.S.
14. You will report in person within 72 hours of your release from incarceration to the probation office in Pinellas County, Florida, unless otherwise instructed by the court or department. (This condition applies only if section 3 is indicated above.) Otherwise, you must report immediately to the probation office located at the Criminal Justice Center, 14250 49th Street North, Room 1930 (FIRST FLOOR), Clearwater, Florida.

SPECIAL CONDITIONS:

15. You will submit to urinalysis testing on a **Random** basis to determine the presence of alcohol or illegal drugs. You will be required to pay for the tests unless exempt by the court.

Return to:
Criminal Court Records Department

EXHIBIT #2
PAGE 37/42

SLH

16. Other: Exemptions for cost of supervision are hereby ordered for those months while participating in treatment programs or incarcerated without benefit of income. If exemptions do not apply, failure to make monthly payments for cost of supervision will result in a violation of probation. Cost of supervision is to be suspended until such time that the offender has satisfied all restitution and costs as stated on the supervision order.
17. Other: You will not reside in another state without authorization of the Court and contingent upon the approval of the receiving state.
18. Other: If electronic monitoring is imposed, you will pay the costs of electronic monitoring.
19. Other: Probation/community control may not be transferred out of state without express Court approval until all Court ordered and assessed monetary obligations are satisfied.
20. Other: You will complete all previously imposed conditions.
21. Other: You shall report to probation today.
22. Other: You will pay fine/court costs as previously imposed.

Effective for offenders whose crime was committed on or after September 1, 2005, there is hereby imposed, in additional to any other provision in this section, mandatory electronic monitoring as a condition of supervision for those who:

- Are placed on supervision for a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older; or
- Are designated as a sexual predator pursuant to s. 775.21; or
- Has previously been convicted of a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older.

You are hereby placed on notice that should you violate your probation or community control, and the conditions set forth in s. 948.063(1) or (2) are satisfied, whether your probation or community control is revoked or not revoked, you shall be placed on electronic monitoring in accordance with F.S. 948.063.

Effective for offenders who are subject to supervision for a crime that was committed on or after May 26, 2010, and who has been convicted at any time of committing, or attempting, soliciting, or conspiring to commit, any of the criminal offenses listed in s.943.0435(1)(a)1.a.(I), or similar offense in another jurisdiction, against a victim who was under the age of 18 at the time of the offense: the following conditions are imposed in addition to all other conditions:

(a) A prohibition on visiting schools, child care facilities, parks, and playgrounds, without prior approval from the offender's supervising officer. The prohibition ordered under this paragraph does not prohibit the offender from visiting a school, child care facility, park, or playground for the sole purpose of attending a religious service as defined in s. 775.0861 or picking up or dropping off the offender's children or grandchildren at a child care facility or school.

(b) A prohibition on distributing candy or other items to children on Halloween; wearing a Santa Claus costume, or other costume to appeal to children, on or preceding Christmas; wearing an Easter Bunny costume, or other costume to appeal to children, on or preceding Easter; entertaining at children's parties; or wearing a clown costume; without prior approval from the court.

YOU ARE HEREBY PLACED ON NOTICE that the court may at any time rescind or modify any of the conditions of your probation, or may extend the period of probation as authorized by law, or may discharge you from further supervision. If you violate any of the conditions of your probation, you may be arrested and the court may revoke your probation, adjudicate you guilty if adjudication of guilt was withheld, and impose any sentence that it might have imposed before placing you on probation or require you to serve the balance of the sentence.

IT IS FURTHER ORDERED that when you have been instructed as to the conditions of probation, you shall be released from custody if you are in custody, and if you are at liberty on bond, the sureties thereon shall stand discharged from liability. (This paragraph applies only if section 1 or section 2 is checked.)

IT IS FURTHER ORDERED that you pay the following charges/costs/fees indicated on the last page of this order entitled Court Ordered Payments.

IT IS FURTHER ORDERED that the clerk of this court file this order in the clerk's office and provide certified copies of same to the officer for use in compliance with the requirements of law.

DONE AND ORDERED on **July 28, 2011** in Clearwater, Florida.

FRANK QUESADA, JUDGE

I acknowledge receipt of a certified copy of this Order. The conditions have been explained to me and I agree to abide by them.

Date: _____ _____
Probationer

Instructed by: _____

RST

COURT ORDERED PAYMENTS- COUNT 01

CHECK ALL THAT ARE ORDERED:

FINES

☐	$____	**Total of fines assessed** in sentence, pursuant to s. 775.083 (1)(a) through (g) or Chapter 316, F.S.
☐	$____	Statutorily mandated 5% surcharge/cost if fine assessed (on first line) pursuant to s. 938.04, F.S.

MANDATORY COSTS IN ALL CASES

☐	$225.00	**Additional court cost for felony offense**, pursuant to s. 938.05(1)(a), F.S.
☐	$60.00	**Additional court cost for misdemeanor or criminal traffic offense,** pursuant to s. 938.05(1)(b) or (c), F.S.
☐	$50.00	**Crimes Compensation Trust Fund** pursuant to s. 938.03(1), F.S.
☐	$20.00	**County Crime Prevention Fund** pursuant to s. 775.083(2), F.S.
☐	$3.00	**Additional Court Costs Clearing Trust Fund** pursuant to s. 938.01(1), F.S.
☐	$2.00	Per month for each month of supervision for **Training Trust Fund Surcharge**, pursuant to s. 948.09, F.S.
☒	$100.00	**Prosecution Costs**, pursuant to s. 938.27, F.S. (Minimum of $100 Felony/$50 Misdemeanor).
☐	$____	**Investigative Costs**, pursuant to s. 938.27, F.S. (if applicable and requested)
☐	$20.00	**Crime Stoppers Trust Fund** pursuant to s. 938.06(1), F.S.

MANDATORY COURT COSTS FOR COURT-APPOINTED COUNSEL CASES

☐	$____	**Public Defender/Appointed Counsel Application Fee,** if not previously collected, pursuant to ss. 27.52 and s. 938.29, F.S.
☐	$____	**Public Defender/Appointed Counsel Fees and Costs,** pursuant to s. 938.29, F.S. as determined locally (Minimum of $100 Felony/$50.00 Misdemeanor).

MANDATORY COSTS IN SPECIFIC TYPES OF CASES

☐	$151.00	**Rape Crisis Program Trust Fund,** pursuant to s. 938.085, F.S. for any violations of ss. 775.21(6), 775.21(10)(a), 775.21(10)(b), 775.21(10)(g), 784.011, 784.021, 784.03, 784.041, 784.045, 784.048, 784.07, 784.08, 784.081, 784.082, 784.083, 784.085, 787.01(3), 787.02(3), 787.025, 787.06, 787.07, 794.011, 794.05, 794.08, 796.03, 796.035, 796.04, 796.045, 796.05, 796.06, 796.07(2)(a)-(d) & (i), 800.03, 800.04, 810.14, 810.145, 812.135, 817.025, 825.102, 825.1025, 827.071, 836.10, 847.0133, 847.0135(2), 847.0137, 847.0145, 943.0435(4)(c), 943.0435(7),.943.0435(8),.943.0435(9)(a), 943.0435(13), 943.0435(14)(c), or 985.701, F.S.
☐	$201.00	**Domestic Violence Trust Fund,** pursuant to s. 938.08, F.S. for any violations of ss. 784.011, 784.021, 784.03, 784.041, 784.045, 784.048, 784.07, 784.08, 784.081, 784.082, 784.083, 784.085, 794.011, or any offense of Domestic Violence described in s. 741.28, F.S.
☐	$151.00	**Certain Crimes Against Minors,** pursuant to s. 938.10(1), F.S. for any violations of s. 784.085, chapter 787, chapter 794, s. 796.03, s. 796.035, s. 800.04, chapter 827, s. 847.012, s. 847.0133, s. 847.0135(5), s. 847.0138, s. 847.0145, s. 893.147(3), or s. 985.701, or any offense in violation of s. 775.21, s. 823.07, s. 847.0125, s. 847.0134, or s. 943.0435, F.S.
☐	$135.00	**DUI Court Costs,** pursuant to s. 938.07, F.S. for any violations of ss. 316.193 or 327.35, F.S.
☐	$3.00	**State Agency Law Enforcement Radio System Trust Fund,** pursuant to s. 318.18(17), F.S. for any violations of offenses listed in s. 318.17 including ss. 316.1935, 316.027, 316.061, 877.111, chapter 893, ss. 316.193, 316.192, 316.067, 316.072(3), 316.545(1), or any other offense in chapter 316 which is classified as a criminal violation.
☐	$2.00	**Pinellas Police Standards,** pursuant to chapter 97-333..

MANDATORY COURT COSTS AUTHORIZED BY LOCAL GOVERNMENTAL ENTITIES

☐	$2.00	**Criminal Justice Education by Municipalities and Counties,** pursuant to s. 938.15, F.S. and Pinellas County Code s.46-27
☐	$65.00	**Additional court costs for local requirements and other county funded programs** pursuant to s. 939.185(1)(a), F.S. and Pinellas County Code s. 46-32.
☐	$3.00	**Teen Court** pursuant to s. 938.19(2), F.S. and Pinellas County Code s. 46-34

DISCRETIONARY

DISCRETIONARY COSTS FOR SPECIFIC TYPES OF CASES

☐	$____	**County Alcohol and Other Drug Abuse Trust Fund,** pursuant to s. 938.21 and s. 938.23, F.S. for violations of s. 316.193, s.856.011, s. 856.015, or chapter 562, chapter 567, or chapter 568, F.S.
☐	$____	**Operating Trust Fund of the FDLE,** pursuant to s. 938.25, F.S. for violations of s. 893.13 offenses

PAYMENT IS TO BE MADE THROUGH AND PAYABLE TO: ☐ **Department of Corrections** **or** ☒ **Clerk of Court**

(If collected by the Department of Corrections, a surcharge of 4% will be added to all payments ordered by the court, pursuant to s. 945.31, F.S.)

☐ Court Costs/Fines Waived.

☐ Court Costs/Fines in the amount of _____ converted to _____ community service hours.

☐ Court Costs/Fines in the amount of _____ reduced to civil judgment.

SPECIFIC INSTRUCTIONS FOR PAYMENT:

You will pay all fines and court costs specified in this order of probation/community control in full no later than three months prior to the end of the term of probation. If the Court determines that you have the ability to pay, failure to pay all fines and court costs in full no later than three months prior to the end of the term of probation will result in a violation of probation or community control, may become a lien against anything you own now or in the future and will accrue interest at the statutory rate, result in the suspension of your driver's license, and may also result in collections efforts by the Clerk of the Court or its assignee.

DONE AND ORDERED on **July 28, 2011** in Clearwater, Florida.

FRANK QUESADA, JUDGE

EXHIBIT #2
PAGE 39/42

COURT ORDERED PAYMENTS- COUNT 02

CHECK ALL THAT ARE ORDERED:

FINES

☐	$____	**Total of fines assessed** in sentence, pursuant to s. 775.083 (1)(a) through (g) or Chapter 316, F.S.
☐	$____	Statutorily mandated 5% surcharge/cost if fine assessed (on first line) pursuant to s. 938.04, F.S.

MANDATORY COSTS IN ALL CASES

☐	$225.00	**Additional court cost for felony offense,** pursuant to s. 938.05(1)(a), F.S.
☐	$60.00	**Additional court cost for misdemeanor or criminal traffic offense,** pursuant to s. 938.05(1)(b) or (c), F.S.
☐	$50.00	**Crimes Compensation Trust Fund** pursuant to s. 938.03(1), F.S.
☐	$20.00	**County Crime Prevention Fund** pursuant to s. 775.083(2), F.S.
☐	$3.00	**Additional Court Costs Clearing Trust Fund** pursuant to s. 938.01(1), F.S.
☐	$2.00	Per month for each month of supervision for **Training Trust Fund Surcharge,** pursuant to s. 948.09, F.S.
☒	$100.00	**Prosecution Costs,** pursuant to s. 938.27, F.S. (Minimum of $100 Felony/$50 Misdemeanor), **concurrent with Count 1.**
☐	$____	**Investigative Costs,** pursuant to s. 938.27, F.S. (if applicable and requested)
☐	$20.00	**Crime Stoppers Trust Fund** pursuant to s. 938.06(1), F.S.

MANDATORY COURT COSTS FOR COURT-APPOINTED COUNSEL CASES

☐	$____	**Public Defender/Appointed Counsel Application Fee,** if not previously collected, pursuant to ss. 27.52 and s. 938.29, F.S.
☐	$____	**Public Defender/Appointed Counsel Fees and Costs,** pursuant to s. 938.29, F.S. as determined locally (Minimum of $100 Felony/$50.00 Misdemeanor).

MANDATORY COSTS IN SPECIFIC TYPES OF CASES

☐	$151.00	**Rape Crisis Program Trust Fund,** pursuant to s. 938.085, F.S. for any violations of ss. 775.21(6), 775.21(10)(a), 775.21(10)(b), 775.21(10)(g), 784.011, 784.021, 784.03, 784.041, 784.045, 784.048, 784.07, 784.08, 784.081, 784.082, 784.083, 784.085, 787.01(3), 787.02(3), 787.025, 787.06, 787.07, 794.011, 794.05, 794.08, 796.03, 796.035, 796.04, 796.045, 796.05, 796.06, 796.07(2)(a)-(d) & (i), 800.03, 800.04, 810.14, 810.145, 812.135, 817.025, 825.102, 825.1025, 827.071, 836.10, 847.0133, 847.0135(2), 847.0137, 847.0145, 943.0435(4)(c), 943.0435(7),.943.0435(8),.943.0435(9)(a), 943.0435(13), 943.0435(14)(c), or 985.701, F.S.
☐	$201.00	**Domestic Violence Trust Fund,** pursuant to s. 938.08, F.S. for any violations of ss. 784.011, 784.021, 784.03, 784.041, 784.045, 784.048, 784.07, 784.08, 784.081, 784.082, 784.083, 784.085, 794.011, or any offense of Domestic Violence described in s. 741.28, F.S.
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☐	$3.00	**State Agency Law Enforcement Radio System Trust Fund,** pursuant to s. 318.18(17), F.S. for any violations of offenses listed in s. 318.17 including ss. 316.1935, 316.027, 316.061, 877.111, chapter 893, ss. 316.193, 316.192, 316.067, 316.072(3), 316.545(1), or any other offense in chapter 316 which is classified as a criminal violation.
☐	$2.00	**Pinellas Police Standards,** pursuant to chapter 97-333..

MANDATORY COURT COSTS AUTHORIZED BY LOCAL GOVERNMENTAL ENTITIES

☐	$2.00	**Criminal Justice Education by Municipalities and Counties,** pursuant to s. 938.15, F.S. and Pinellas County Code s.46-27
☐	$65.00	**Additional court costs for local requirements and other county funded programs** pursuant to s. 939.185(1)(a), F.S. and Pinellas County Code s. 46-32.
☐	$3.00	**Teen Court** pursuant to s. 938.19(2), F.S. and Pinellas County Code s. 46-34

DISCRETIONARY

DISCRETIONARY COSTS FOR SPECIFIC TYPES OF CASES

☐	$____	**County Alcohol and Other Drug Abuse Trust Fund,** pursuant to s. 938.21 and s. 938.23, F.S. for violations of s. 316.193, s.856.011, s. 856.015, or chapter 562, chapter 567, or chapter 568, F.S.
☐	$____	**Operating Trust Fund of the FDLE,** pursuant to s. 938.25, F.S. for violations of s. 893.13 offenses

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(If collected by the Department of Corrections, a surcharge of 4% will be added to all payments ordered by the court, pursuant to s. 945.31, F.S.)

☐ Court Costs/Fines Waived.

☐ Court Costs/Fines in the amount of _____ converted to _____ community service hours.

☐ Court Costs/Fines in the amount of _____ reduced to civil judgment.

SPECIFIC INSTRUCTIONS FOR PAYMENT:

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DONE AND ORDERED on **July 28, 2011** in Clearwater, Florida.

FRANK QUESADA, JUDGE

EXHIBIT #2
PAGE 40/42

November 23, 2011
Docket Code(s): **PRTE**

CIRCUIT/COUNTY COURT, PINELLAS COUNTY, FLORIDA
CRIMINAL DIVISION

UCN:
522010CF021626XXXXNO - T

REF No.
CRC 10-21626CFANO - T

STATE OF FLORIDA

VS.

MARCUS CLARK
SPN: 02054576

FILED
CRIMINAL JUSTICE CENTER
2011 DEC 19 PM 3:10
KEN BURKE
CLERK OF CIRCUIT COURT

ORDER TERMINATING PROBATION

The following was done in open Court on **November 23, 2011**.

IT IS CONSIDERED AND ORDERED that the probation previously imposed in this case is hereby TERMINATED.

DONE AND ORDERED on **November 23, 2011** in Clearwater, Florida.

JUDGE

RST

EXHIBIT #2
PAGE 41/42

ICD-GFVOP-III 41750333

cc: DOC Probation

KEN BURKE, CLERK OF THE COURT
PINELLAS COUNTY, FLORIDA
4U117474 06-30-2011 13:06:44 KAB
15/11 03 A1021626CFAN002054576001
UCN # = 522010CF021626XXXXNO
CLARK, MARCUS

TOTAL:	$650.00
CASH AMT TENDERED:	$650.00
REMAINING BALANCE:	$.00

Case Number CRC - 10 21626 CF

Restitution [ ]

Accounts Receivable [✓]

Public Defender Lien [ ]

Indigent Fee [ ]

DNA [ ]

BOND Case Number: _____

Power #: _____

EXHIBIT #2
PAGE 42/42

Licenses

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth: [redacted]
First Name: MARCUS
Middle Name:
Last Name: CLARK
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

EXHIBIT #4
PAGE 1/27

Licenses

Licensee Search

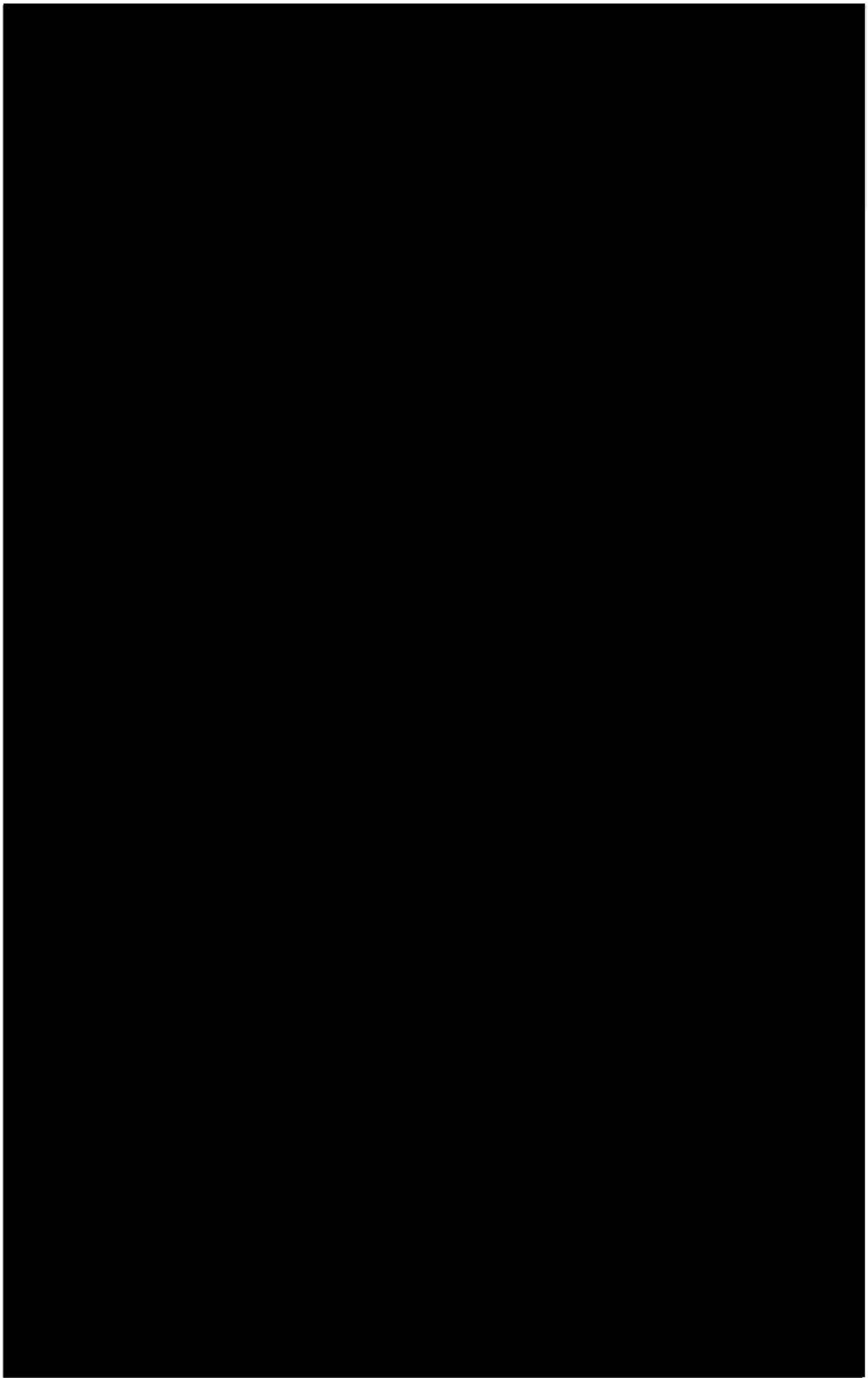
Page 1 of 1. 0 total matches.

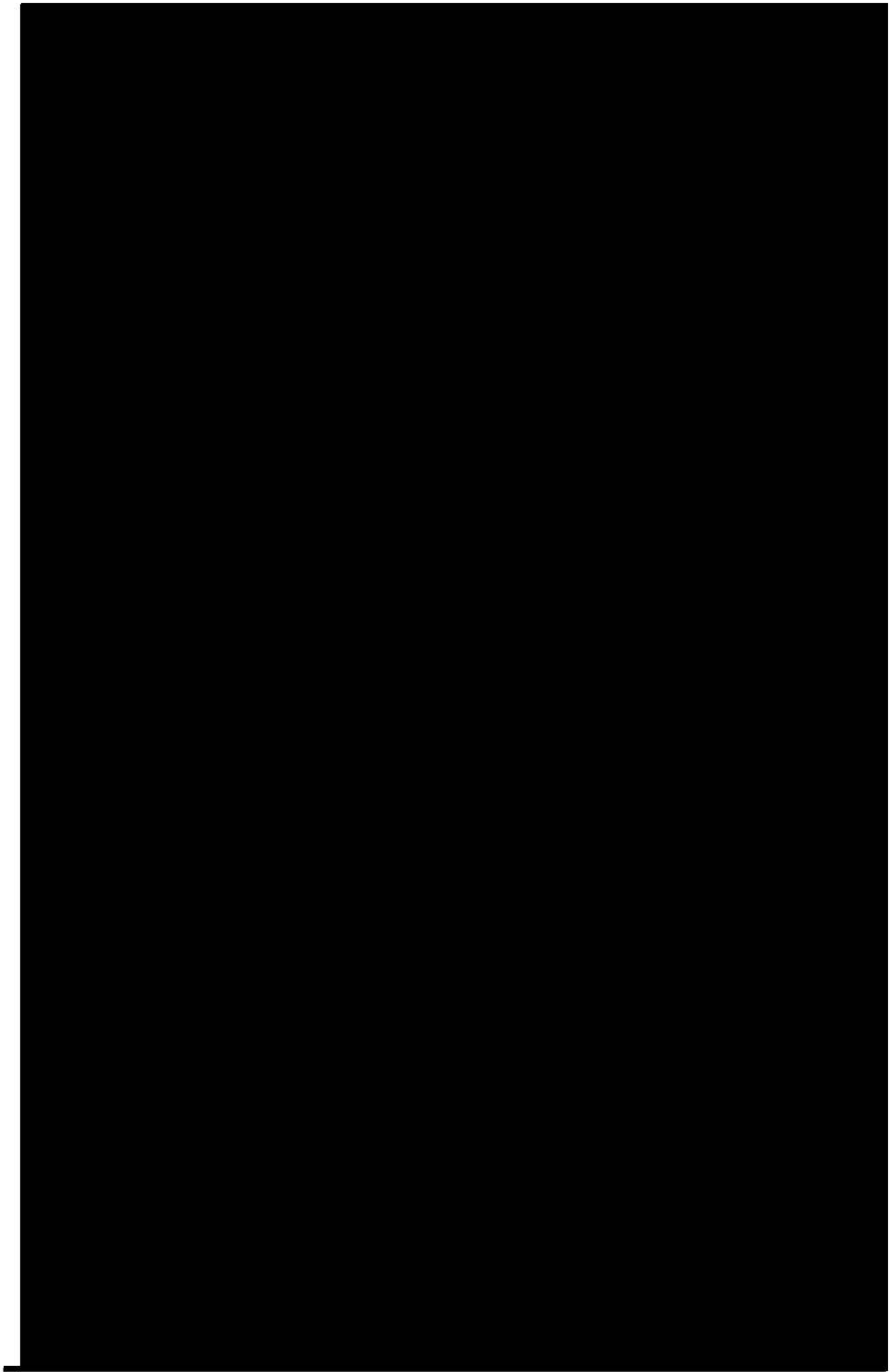
Search Parameters
Govt. Number: [redacted]
Date of Birth:
First Name:
Middle Name:
Last Name: CLARK
Sex:
Commission:
License Type:

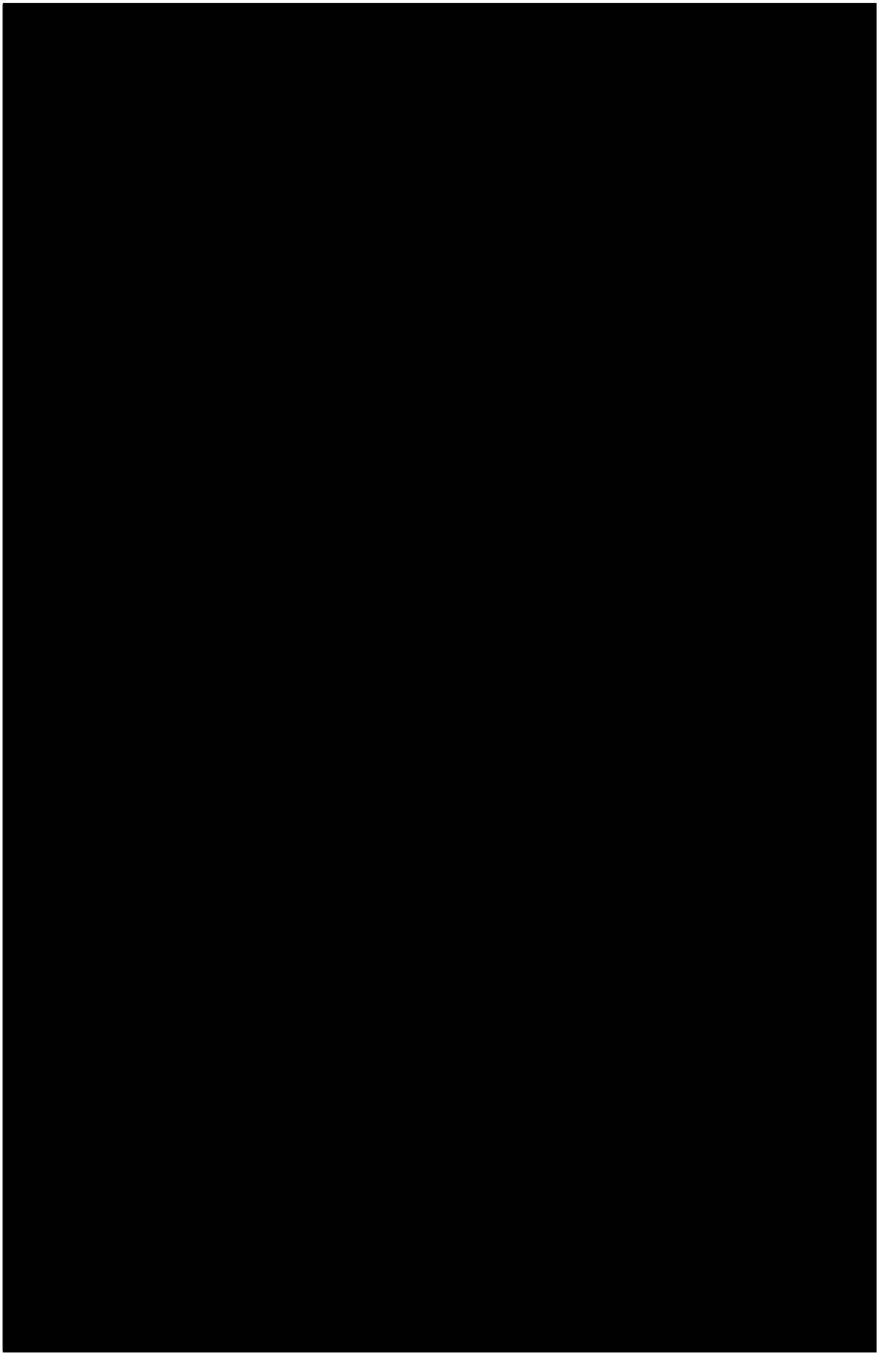
Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

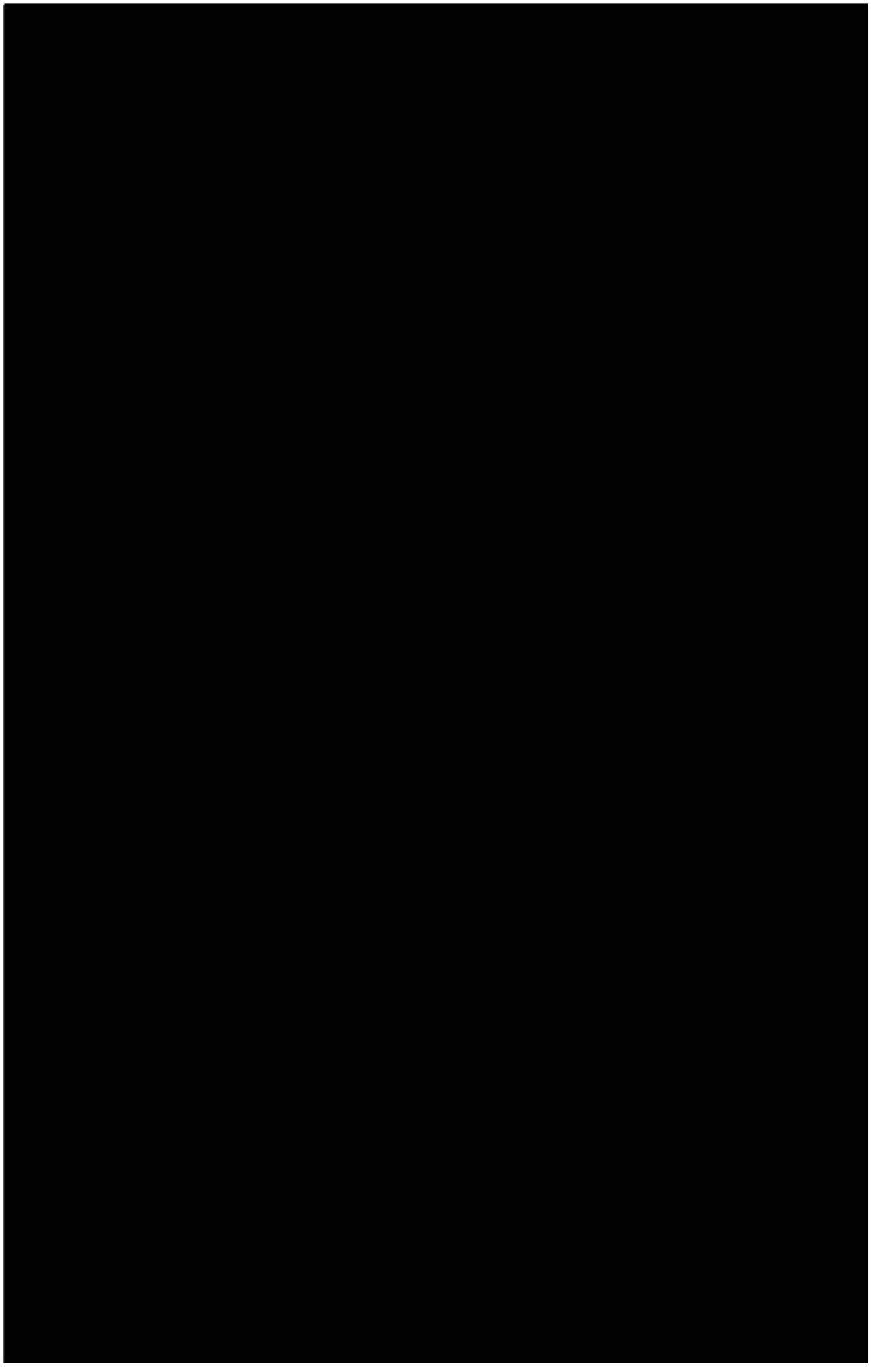
EXHIBIT #4
PAGE 2/24


FLORIDA'S CLERKS OF COURT
AND COMPTROLLERS
Bring You
ccis
COMPREHENSIVE CASE
INFORMATION SYSTEM















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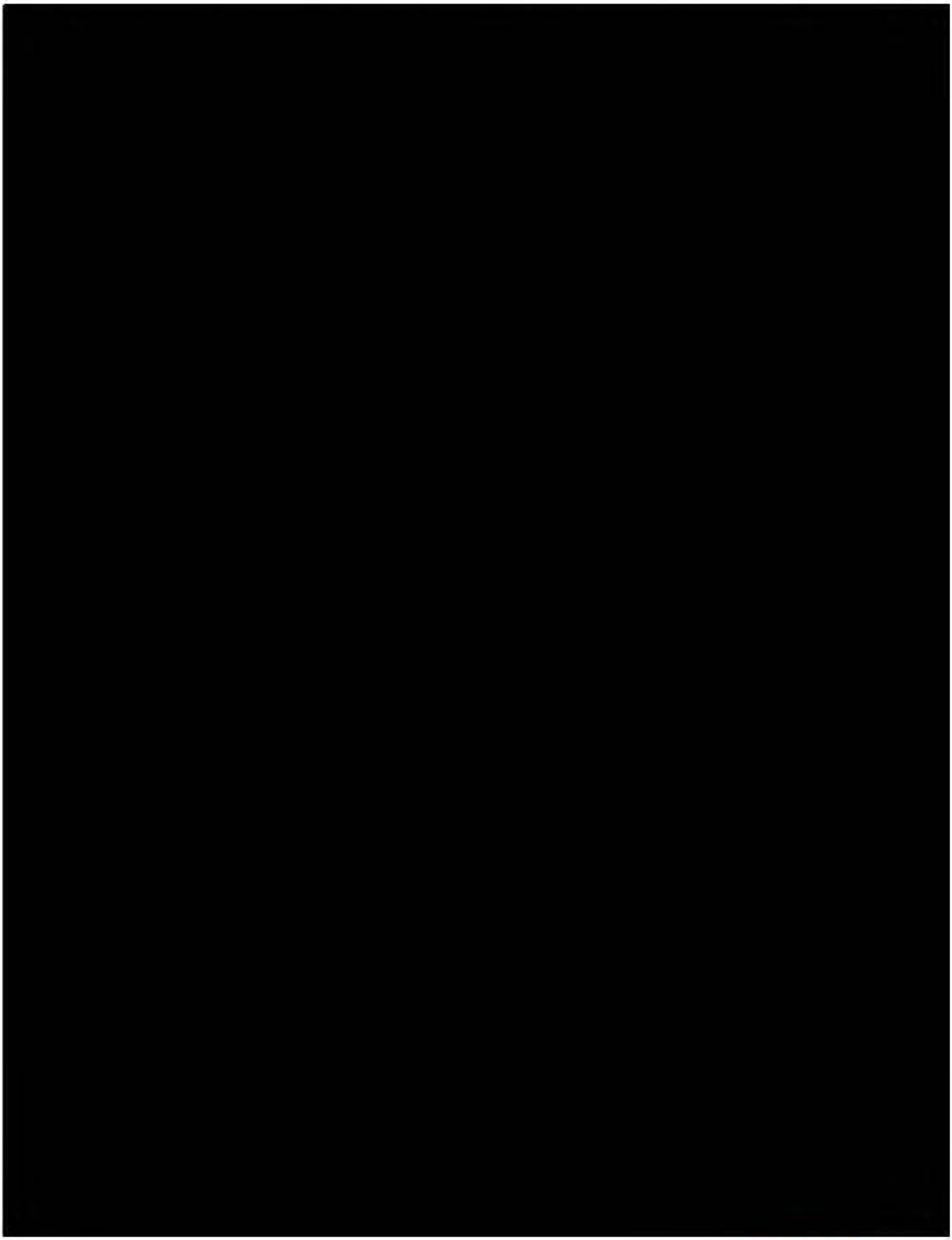
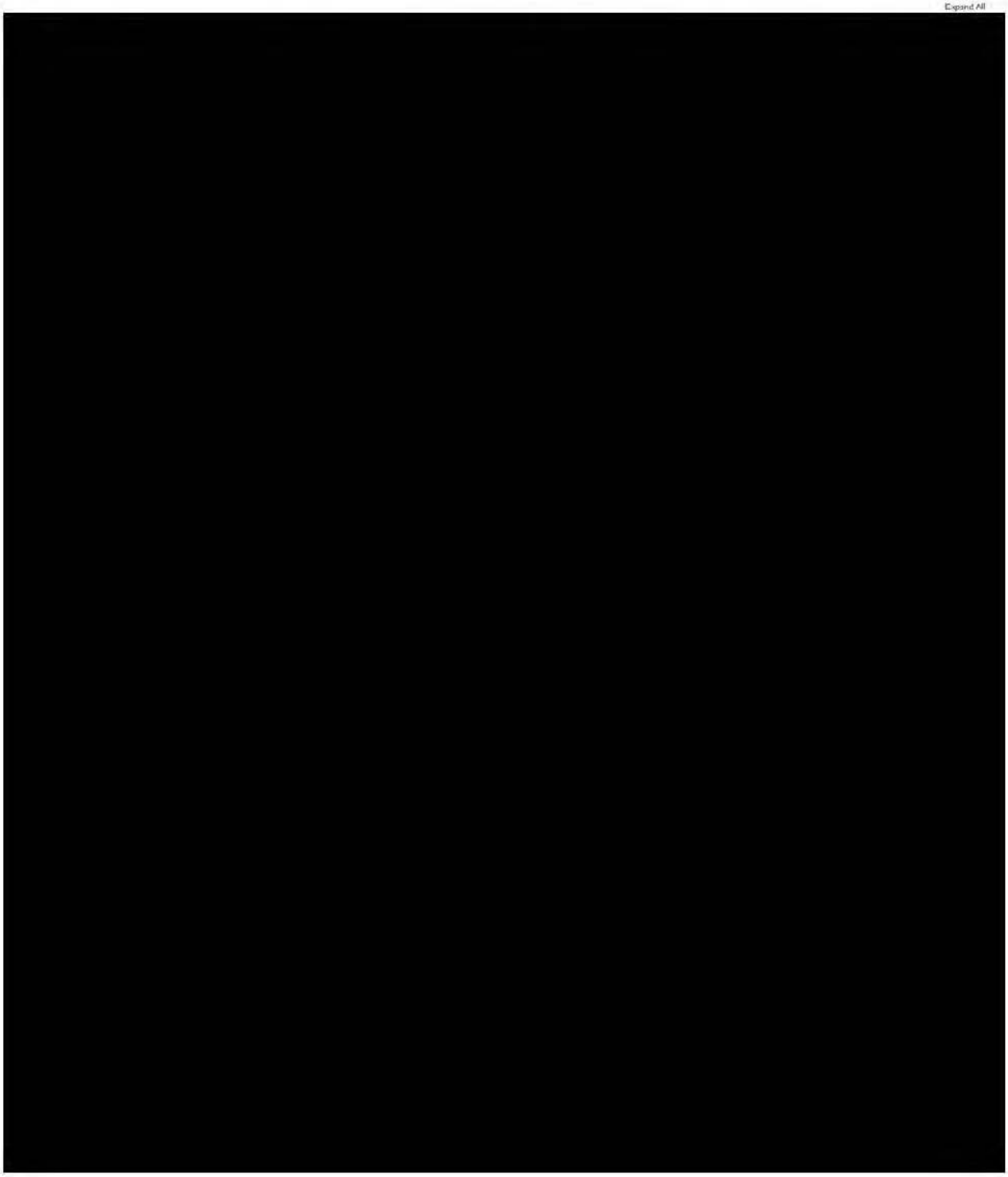


Expand All





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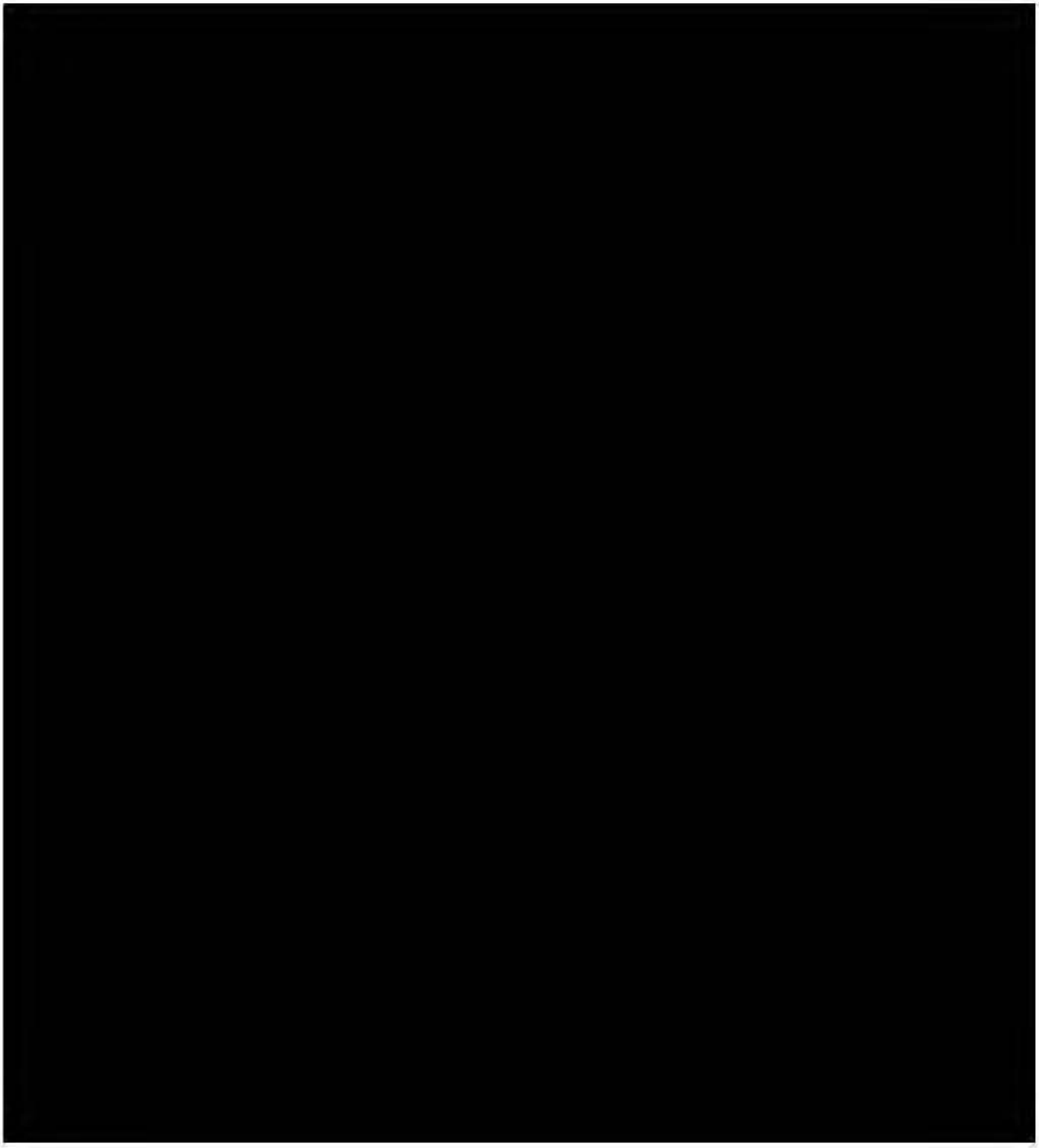



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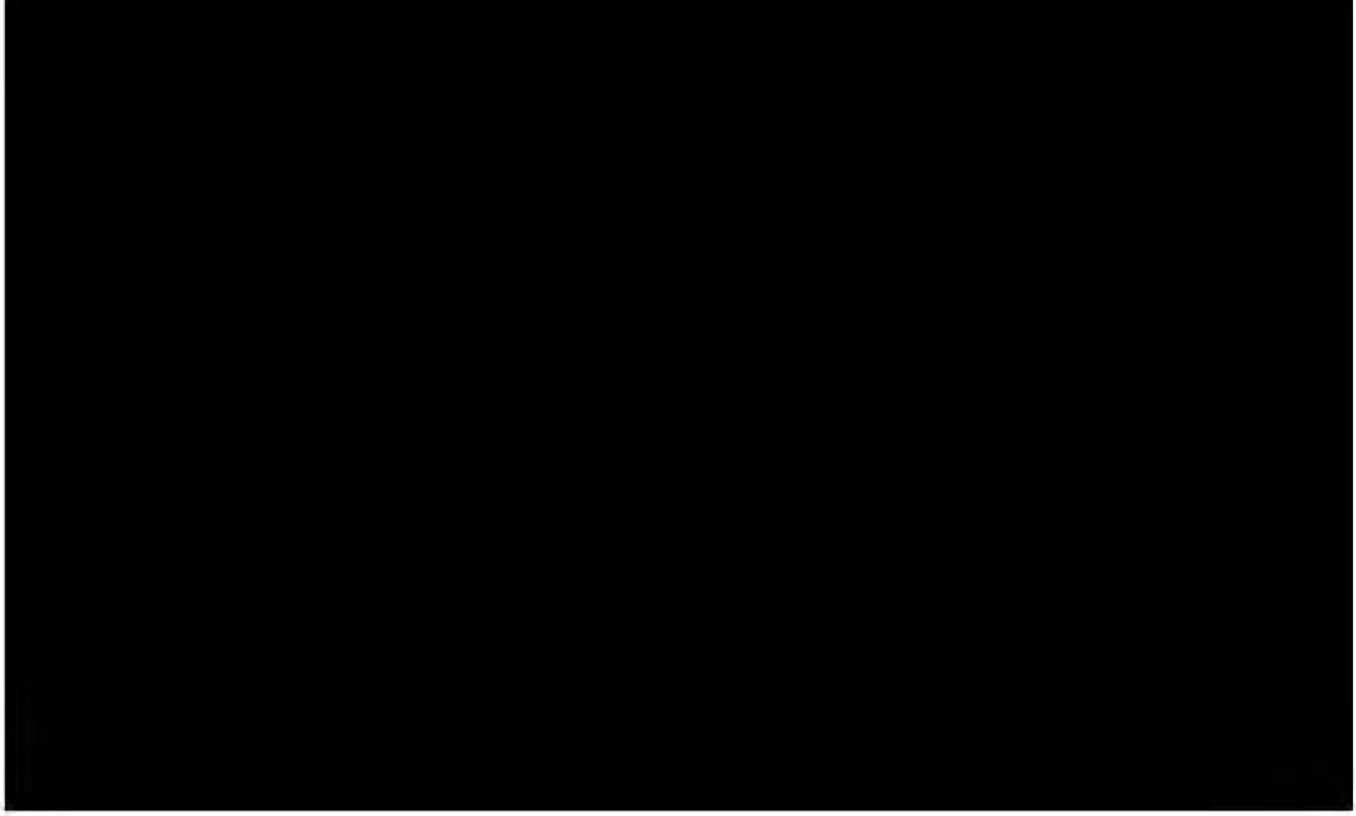

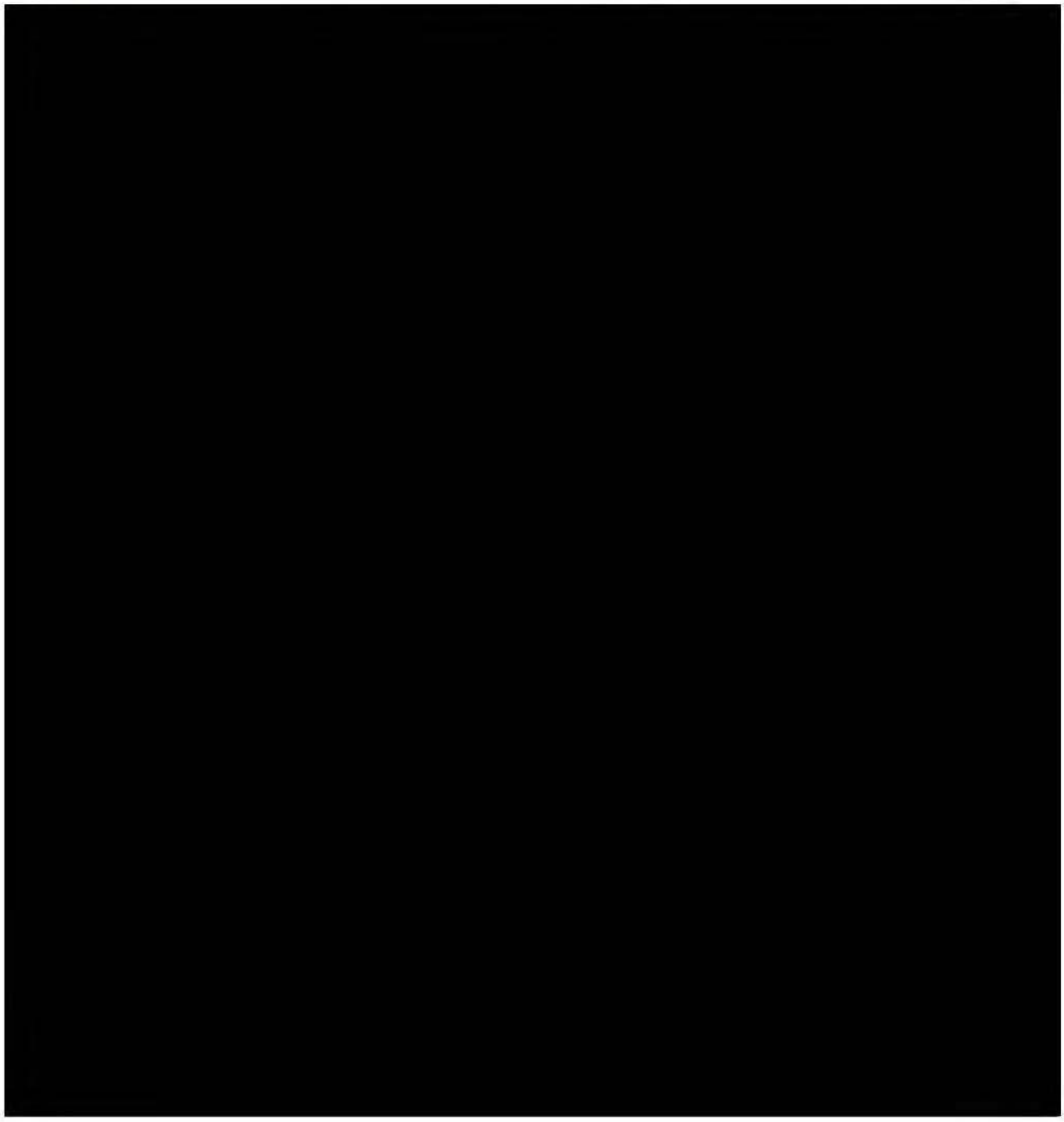



[redacted]



Florida Gaming Control Commission

JULIE I. BROWN, CHAIR
TINA REPP, VICE CHAIR
JOHN D'AQUILA, COMMISSIONER
WILLIAM SPICOLA, COMMISSIONER
PETER CUDERMAN, COMMISSIONER

June 15, 2026

Mr. Marcus Lenard Clark
4628 16th Avenue South
St. Petersburg, Florida 33711

RE: Application No. 218623, Entity 14830809
1022 - PMW General Individual Occupational

Dear Mr. Clark:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section.

  You must list the information on your application for the following charges:
  - Pinellas County, FL Arrest(s) – 04/07/2008
  - Pinellas County, FL Arrest(s) – 09/30/2010
  - Pinellas County, FL Arrest(s) – 01/20/2020

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

- Other: Pursuant to 550.105(10)(a), F.S., please provide government issued identification verifying your full legal name, date of birth, address, and social security number. We have received your driver's license and social security card, please submit another approved form of identification. See attached for acceptable forms of identification.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850-794-8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 10/12/2026, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850-794-8130.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. FGCC PMW 3180 - Request for Waiver and FGCC PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Thank you,

BF
Operations Analyst II

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Chief Attorney
Re: Joseph William Ball; Case No. 2026-061045
Date: October 20, 2026

Executive Summary

Joseph William Ball (Applicant) seeks a waiver of his disqualifying offense and issuance of a cardroom employee occupational license. Applicant submitted a completed application for a cardroom employee occupational license on August 21, 2026 (Application). Upon review of the Application, it appears the Applicant has been convicted of one disqualifying felony offense. A Commission investigator conducted a waiver interview of the Applicant on September 18, 2026, and documented the waiver interview in a report submitted to the Commission for consideration.

Relevant Facts

On July 7, 2026, the Applicant submitted an application to the Division for a cardroom employee occupational license. The Applicant wrote "yes" on the question asking if he has been convicted of any criminal charges or has pled guilty or nolo contendere to any criminal charges and listed one felony and one misdemeanor offense. The Division sent a deficiency letter on July 27, 2026, requesting an updated application. The Division received an amended application deemed complete on August 21, 2026. Upon review of the completed application, it appears that the Applicant was convicted of the following disqualifying felony offense:

- Extortion, a felony, out of Duval County, Florida, from October 4, 2024.

This felony conviction is a disqualifying offense pursuant to section 849.086(6)(f) of the Florida Statutes.

The Division received the Applicant's completed request for a waiver of the restrictions on applicants with disqualifying offenses on July 7, 2026. A Commission investigator conducted a waiver interview on September 18, 2026, and documented the waiver interview in a report submitted to the Commission for consideration.

Relevant Law

Section 550.105(5)(b) provides, in relevant part:

> … the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 550.105(5)(c) provides in relevant part:

> "[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the commission."

Section 550.105(5)(d) provides in relevant part:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. […]

Section 849.086(6)(f) provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

Ball, Joseph William

Case # 2026-061045

License Type: Cardroom Employee Occupational (1012)
Occupation: Chip Runner

Waiver Requested: YES

90 Day Deadline: N/A

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

BALL, JOSEPH WILLIAM-14879286 (Applicant's Name– License #)

2026-061045 Case No:

INITIAL APPLICATION RECEIVED:	7/7/2026
Application Deemed Complete:	8/21/2026
If no Request for Waiver received, 90-Day Deadline:	**11/18/2026**

Waiver Requested: ☐No ☒Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)
- Waiver Requested: **07/07/2026**

CM	145 - Jacksonville Kennel Club, Inc.	1012-Chip Runner
Application Processor	Facility (d/b/a name)	Occupation Code / Job Title

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☐Yes ☒No

Licensing History: **N/A** Type: N/A Initial license issued: **N/A** Maintained Continuous licensure? ☐Yes ☐No **N/A**

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No

Where all items identified in the deficiency letter addressed? ☒Yes ☐No If no, explain:

☒ Felony(s): Count(s) ☐ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking

☐ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)

☐ Animal Cruelty (Pari-Mutuel Professions)

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp	Fines Paid
03/06/2004	Duval County, FL	Charge 1-Driving Under the Influence	CT	162004CT009085AXXXMA	Guilty/Convicted	N	Y	Y
03/15/2005	Duval County, FL	Charge 1- By Person Under 21 Yoa 1st Off	M	162005MM007971AXXXMA	Charge 1- Nolle Prosequi	N	Y	Y
		Charge 2- Possession Of Less Than 20 Grams Of Cannabis	M	162005MM007971AXXXMA	Charge 2- Adjudication Withheld			
		Charge 3- Drug Equip-Possess	M	162005MM007971AXXXMA	Charge 3- Nolle Prosequi			
05/06/2021	Duval County, FL	Charge 1- Disorder Intox Public Place Cause Disturbance	M	162021MM006117AXXXMA	Guilty/Convicted	Y	Y	Y
08/22/2023	Duval County, FL	Charge 1- Disorder Intox Public Place Cause Disturbance	M	162023MM013594AXXXMA	Charge 1- Guilty/Convicted	Y	Y	N
		Charge 2- Trespassing	M	162023MM013594AXXXMA	Charge 2- Guilty/Convicted			
08/01/2024	Duval County, FL	Charge 1- Extort Or Threaten Another Person	F	162024CF007879AXXXMA	Guilty/Convicted	Y	Y	N
05/16/2025	Duval County, FL	Charge 1- DUI - Influence of Alcohol	M	162025CT006033AXXXMA	Charge 1- Dropped/Abandoned	Y	Y	N
		Charge 2- Hit And Run	M	162025CT006033AXXXMA	Charge 2- Adjudicated Guilty			
		Charge 3- DUI Alcohol - Property Damage, Personal Injury	M	162025CT006033AXXXMA	Charge 3- Adjudicated Guilty			
05/30/2025 Re- Arrest	Duval County, FL	Prob Violation	F	162024CF007879AXXXMA	Guilty/Convicted	Y	Y	N

Related Licenses	
Check VR License Relations to Determine if Applicant is Related to a Business.	
Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**	
Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☒ No ☐ ☐ Approval
Disposition Attached for Disqualifier(s)? Yes ☒ No ☐

Deficiency Letter Issued: **07/27/2026**; Application Deemed Complete: **08/21/2026**
Applicant Eligible for Waiver? Yes ☐ No ☐ If Yes: ☒ Waiver Requested ☐ Failed to Request Waiver

Forward to ☒ **Investigations** ☐ **Legal** ☐ **Commission**	Initials: CH	Date 8/26/2026

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 202606*1045 **Incident date:** 07/07/2026 **Status:** 116 - Sent to Legal

Lic Type: 1012 **Disposition:**

Case Type: Complaint

Responsible: elanier - LANIER, EBONIE

Complainant: DIVISION OF PARI-MUTUEL WAGERING

1400 W COMMERCIAL BLVD, STE 165, FORT LAUDERDALE, FL 33309

Respondent: BALL, JOSEPH WILLIAM

1300 SHETTER AVENUE, JACKSONVILLE, FL 32250

Summary: 145 - JACKSONVILLE KENNEL CLUB INC

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
09/02/2026	A	10	15	Assigned to Investigator	cwtaylor	BALL, JOSEPH WILLIAM
09/18/2026	A	10	50	Interview Respondent		BALL, JOSEPH WILLIAM
09/17/2026	A	79	435	Telephone		BALL, JOSEPH WILLIAM
08/26/2026	A	79	440	Incoming Correspondence	cslubbs1	BALL, JOSEPH WILLIAM
10/02/2026	R		cwashingto	WASHINGTON, DEREK	cwashingto	
10/19/2026	R		ojones	JONES, BRADFORD	ojones	
10/20/2026	R		elanier	LANIER, EBONIE	charris	

CH

State of Florida

Department of Business and Professional Regulation

Chronology Report

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
09/02/2026	R		cwtaylor	TAYLOR, CHARLES W	cstubbs1	
10/20/2026	R		charris	HARRIS, CYNTORIA	cstubbs1	
10/20/2026	S	1012	116	Sent to Legal	charris	
10/20/2026	S	1012	104	Sent to Licensing Section	cstubbs1	
10/19/2026	S	1012	90	Closed	cstubbs1	
10/02/2026	S	1012	165	Supervisor Review	cwashingto	
09/02/2026	S	1012	20	Under Investigation	cstubbs1	
08/28/2026	S	1012	10	Initial Review	cstubbs1	

Allegation:

Code	Description
WAVR	Waiver of Crim. Conv. or Other Offenses

Violation:

Code	Description	Respondent
WAVR	61D-5.006	BALL, JOSEPH WILLIAM

CH

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **charris**

VR Home > License Search > **License Home**

License

Fed Tax # [redacted] c Type **1012 - Cardroom Employee Occupational** Expires On

File # **16430** Name **Ball, Joseph William** Extended To

License # Rank Renewed On

Entity # **14879286** Lic Status **Applicant**

Licensee | History | Notes | Notes History | Back

Address

Street # **1704** Street **N PEARL STREET**

Line 2

Line 3

City **JACKSONVILLE** State **FL** Zip **32206**

Routing

*No Previous License
* No Temp Issued
* No ARCI Findings
* CCIS findings
* No additional enforcement cases.

Other

1st License Date Rank Date Certificate #

Method **- -** Status Date [redacted] Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
No items found.			

Alt Keys

BEST LIC NBR

Get Adobe Reader.

LICENSES

RCI

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number: [redacted]
Date of Birth:
First Name:
Middle Name:
Last Name: BALL
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

CH


FLORIDA'S CLERKS OF COURT
AND COMPTROLLERS
Bring You
CCIS
COMPREHENSIVE CASE
INFORMATION SYSTEM

[redacted]



FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1012 - Cardroom Employee Occupational**			Status	**90 Closed**	Status Date	**10/19/2026**
Complaint #	**2026061045**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**BALL, JOSEPH WILLIAM**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**
Form	**WALK - Walk-in**	Priority	**1**
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**07/07/2026**
Region	**NR - Northern Region**	Received	**08/26/2026**
Reference			
Entered	**08/28/2026**	Entered By	**cstubbs1**
Summary	**145 - JACKSONVILLE KENNEL CLUB INC**		
Updated	**10/20/2026 09:22:00**	By	**cstubbs1**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☑ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking — Auto Assign
☐ Attachments — History
☐ Work Notes — Print Report

Change | Save | OK | Cancel | Back

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ROUTING SLIP
REQUEST FOR WAIVER

RE: BALL, JOSEPH WILLIAM– 14879286 Case No: 2026 06 1045
(APPLICANT'S NAME – LICENSE #)

1012 – Chip Runner
Occupation Code and Job Title

145 – Best Bet Jacksonville
Facility (d/b/a name)

Waiver Referral Date: AUGUST 28, 2026
(DATE)

Investigations Section: Reviewed by **Bradford D. Jones** 10/19/2026
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: CH 10/20/2026 _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, have unpaid fines, been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [✓] No If yes, in what jurisdiction? _____

Investigative Findings:

August 1, 2024, Jacksonville Sheriff's Office, FL.– Extortion – Felony – Plead Guilty – Adjudicated Guilty on October 3, 2024 and sentenced to 90 days in Duval County Jail, with credit for 63 days, 18 Months' Probation with special conditions: No Contact with Victims or be within 500 feet of Victims; TASC evaluation; Mental Health evaluation; and pay $720.00 in Fines/Court costs. - A Violation of Probation Affidavit was executed on May 21, 2025 by the Neptune Beach Police Department for Ct. (1) DUI-Influence of Alcohol, Ct. (2) Crash - Leaving the Scene Without giving Information [with] More than$50 Damage and Ct. (3) DUI (Alcohol)-Property Damage, Personal Injury. - Probation was modified to include: 75 days in County Jail with 68 days credit and all previously imposed special conditions to remain, with original probation termination date (April 2, 2026). - There is still an outstanding balance of $50.00.

*Please attach Routing Slip to front of case file

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Alana Zimmer, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**NORTHERN**	**August 26, 2026**	**2026 06 1045**

Respondent:	Complainant:
BALL, JOSEPH WILLIAM **1300 SHETTER AVENUE** **JACKSONVILLE, FLORIDA 32250** **EMAIL: SHRED6OFEM@GMAIL.COM** **CELL PHONE:(904) 450-2512**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 WEST COMMERCIAL BOULEVARD, SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License / Type:	Profession:	Report Date:
14879286 / 1012	**Chip Runner**	**September 24, 2026**

Period of Investigation:	Type of Report:
August 26, 2026 - September 24, 2026	**Final**

Basis of Investigation: This investigation is predicated upon the submission of **JOSEPH W. BALL's** State of Florida Cardroom Occupational License Application dated July 1, 2026, and Request for Waiver dated July 1, 2026.

On July 1, 2026, **BALL** applied for a Cardroom Employee Occupational License (1012) to work as a Chip Runner at Bestbet Jacksonville. On his application, he answered "Yes" to the question, "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" **BALL** disclosed a 2024 Felony conviction in Duval County, FL, for Extortion and a 2025 Misdemeanor conviction for DUI.

Upon receipt of **BALL'S** FDLE Criminal History Report, PMW Licensing sent him a Deficiency Letter on July 27, 2026, requesting that he list and provide arrest report(s) and court disposition records for the following charges:

- Duval County, Florida Arrest(s) – 03/06/2004 (DUI, Misd),
- Duval County, Florida Arrest(s) - 03/15/2005 (Poss of Cannabis, Misd),
- Duval County, Florida Arrest(s) - 05/06/2021 (Disorderly Intoxication, Misd)
- Duval County, Florida Arrest(s) - 8/22/2023 (Trespass & Disorderly Intoxication, Misd)

On August 21, 2026, **BALL** amended his application to include the above-listed offenses and provided his arrest record(s) and court disposition records as requested.

Related Case(s):

Investigations Specialist II / Date	Approved by Investigation Manager / Date:
/s/ Charles W. Taylor Charles W. Taylor / September 24, 2026	/s/ C. Derek Washington C. Derek Washington / October 2, 2026

Chief of Investigations / Date

/s/ Bradford D. Jones

Bradford D. Jones / October 19, 2026

CONTINUATION

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 8/1/2024	**Arresting Agency:** Jacksonville Sheriff's Office, FL.				
OFFENSE					
	Charge(s):	**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Extortion	Felony	Guilty	Adj. Withheld	10/3/2024
2					
3					
4					

SENTENCE
90 days in Duval County Jail, with credit for 63 days; 18 Months' probation; special conditions: No Contact with Victims or be within 500ft of Victims; TASC evaluation; Mental Health evaluation; and pay $720 in fines/court costs.

Additional Information: A VOP Affidavit was executed on May 21, 2025, for his arrest on May 16, 2025, by the Neptune Beach Police Department for several new offenses of Ct. (1) DUI-Influence of Alcohol, Ct. (2) Crash- Leaving the Scene Without giving Information More than$50 Damage and Ct. (3) DUI (Alcohol)-Property Damage, Personal Injury. Probation was reinstated and modified: 75 days in County Jail with 68 days credit and all previously imposed special conditions to remain, including original termination date **(April 2, 2026)**. There is still a balance of $50.

Arrest 2					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
	Charge(s):	**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

CRIMINAL HISTORY

Arrest 3					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
	Charge(s):	**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

Arrest 4					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
	Charge(s):	**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?		X
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

3. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

4. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

CONTINUATION

WAIVER INTERVIEW

	YES	NO
Was a Waiver Interview Conducted?	X	
Date of Interview: September 18, 2026	Location of Interview: Bestbet Jacksonville	
	YES	NO
Was the applicant cooperative?	X	
Additional Comments: Respondent was on time and cooperative.		

SUMMARY OF INTERVIEW:

During his interview, Mr. **BALL** addressed the circumstances surrounding his **2024** felony extortion conviction. He explained that he had worked as a private investigator with an old friend on Morgan & Morgan cases and eventually came to believe that this individual was involved in fraudulent activity. According to Mr. **BALL**, his friend pressured him to sign off on cases under the threat of termination. After their relationship deteriorated, Mr. **BALL** asserts that his former friend attempted to have him arrested in an effort to conceal the alleged fraud.

Mr. **BALL** also submitted a letter to the Commission detailing these events and explaining his decision to plead guilty so he could continue caring for his elderly father. He has recently moved in with his father and hopes to secure employment with BestBet Jacksonville as he pursues a new career path **(EXHIBIT #4).**

Mr. **BALL** concluded the interview by expressing strong enthusiasm for the chip-runner position at BestBet Jacksonville. He intends to build a long-term career in the gaming industry after obtaining the required licensure and training. Accordingly, he respectfully requests that the Commission consider and approve his waiver application.

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed no rulings against **BALL** (**EXHIBIT #4).**

Status: Closed by Investigations and forwarded to Licensing.

CONTINUATION

TABLE OF CONTENTS

I. INVESTIGATIVE REPORT COVERSHEET .....

II. INVESTIGATIVE REPORT .....

III. EXHIBITS

1. Photo / Waiver Request / Application / License 1-6
2. Legal Documents 1-17
3. Rap Sheet 1-15
4. Supporting Docs: ARCI / Def. Letter / Other. 1-6

2026 JUL -7 PM 2:47 TCN [redacted]

FLORIDA GAMING CONTROL COMMISSION

Florida Gaming Control Commission, Division of Pari-Mutuel Wagering
FGCC PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled **"For Division Use Only."**

DEMOGRAPHIC INFORMATION

Social Security Number or Authorization to Work Number [redacted] | Birth Date (MM/DD/YYYY) | Gender ☑ Male ☐ Female

Last Name	First	Middle	Suffix
BALL	JOSEPH	WILLIAM	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☑ No

If yes, list the name or names used: _____

Race/Ethnicity (optional)
☐ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☑ White or Caucasian ☐ Hispanic/Latino ☐ Other

Have you, within the previous 2 years, been employed with the commission? ☐ Yes ☑ No
Are you a United States citizen? ☑ Yes ☐ No, provide the name of the country of which you are a citizen: _____
Are you authorized to be in the United States? ☐ Yes ☐ No; If yes, Attach documentation.

Current Mailing Address	Email Address
1704 N. PEARL ST	shred6offme@gmail.com

City	State	Zip Code (+4 optional)	Country, if other than USA
JACKSONVILLE	FL	32206	

Primary Phone Number	Secondary/Cell Phone Number (optional)
(904)(450)-(2512)	N/A

Current Street Address: 1704 N. PEARL ST

City	State	Zip Code (+4 optional)	Country, if other than USA
JACKSONVILLE	FL	32206	

Type of Occupational License applying for: ☐ General Individual ☐ Professional Individual ☐ Cardroom Employee
Occupation: CHIP RUNNER

Facility where employed and/or doing business:
Employer Name: BEST BET JAX

Does your position require access to the Cardroom? ☑ Yes ☐ No

Is this your first time applying for a racing/gaming license in Florida? ☑ Yes ☐ No

Are you a Supervisor, Manager, or Shareholder of a pari-mutuel permitholder? ☐ Yes ☑ No

Do you own or lease animals intended for racing in Florida? ☑ No ☐ Yes, complete the following:
Stable Name or Business Name _____
Trainer Name (horse racing only) _____

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY

Type of professional license (attach a copy of Florida professional license):	Florida License Number

EXHIBIT #1
PAGE 2/6

FOR DIVISION USE ONLY

License Code 1012 License # 1487928 File # 16430 App # 83654
Association Code 910 Date Received 7/7/26 Entered By KL License Year 25/29
License Fee 100 FP Date 7/7/26 FP Fee Livescan Total Fee 100

☐ Off Temp ☐ Waiver Requested ☐ ARCI ☐ Enforcement ☐ Minor

2026 JUL -7 PM 2:47

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NEEDED)

☑ Yes ☐ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☑ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
August 2024	DUVAL	FL	CLASS II FELONY EXT.	FELONY	TIME SERVED; TERMINATED
MAY 2025	DUVAL	FL	DUI	MISDEMEANOR	TERMINATED EARLY

☐ Yes ☑ No	Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.
☐ Yes ☑ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details of the offense and discipline.
☐ Yes ☑ No	Have you ever had a racing/gaming license subject to a provisional suspension or period of ineligibility by the federal Horseracing Integrity and Safety Authority, or another such authority designated by the Federal Trade Commission.

If you answered yes to any of the questions above, provide details here:
August 2024 - further described in attached handwritten letter.

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577 and 409.2598, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for obtaining a change, correction, or updating of the FDLE or FBI criminal history are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

Under penalties of perjury, I declare that I have read the foregoing application and the facts contained in this application are true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Florida Gaming Control Commission and the laws of the State of Florida, pursuant to Section 550.105, Florida Statutes.

Jorge W. Ball
Signature of Applicant

7/1/2026
Date

EXHIBIT #1
PAGE 3/6

J. BALL
ENTITY
14879286

2026 JUL -7 PM 2:47

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NEEDED)

☑ Yes ☐ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
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DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
August 2024	DUVAL	FL	CLASS II FELONY EXT.	FELONY	TIME SERVED/TERMINATED
MAY 2025	DUVAL	FL	DUI	MISDEMEANOR	TERMINATED EARLY
AUG 2023	DUVAL	FL	PUBLIC DIST TRESPASS	M1/2	ADJ GUILTY
MAY 2021	DUVAL	FL	PUBLIC DIST	M2	ADJ GUILTY

More on back →

☐ Yes ☑ No	Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.
☐ Yes ☑ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details of the offense and discipline.
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8/21/26

If you answered yes to any of the questions above, provide details here:
August 2024 - further described in attached handwritten letter
AUG 2023 | MAY 2021 Time served/fines

PLEASE READ AND SIGN BELOW

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Under penalties of perjury, I declare that I have read the foregoing application and the facts contained in this application are true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Florida Gaming Control Commission and the laws of the State of Florida, pursuant to Section 550.105, Florida Statutes.

Gary W. Ball
Signature of Applicant

7/1/2026
Date

EXHIBIT #1
PAGE 4/6

Jody Phillips
Clerk of Circuit Court

ADD ON DOCKET

Date: MAY 17, 2025 Judge: SHAYLA LEE BY CR-A P/A: JOSEPH CILIBERTO

DUI

PLEA DIALOGUE - GIVEN

ON (DROP NOTICE)

Crash leaving the scene

NC ADJ.G 2 DYS 2 DYS CR.
Conc w/ ct 3

DUI - prop. damage

NC ADJ GUILTY 2days 2days cr.
12 MOS JSO PROB.
COND:
1. 50 HRS COMM SERV
2. DUI SCHOOL Level II
3. PAY FINE W/N _____ MOS
4. VICTIM IMPACT PANEL
5. RESTITUTION reserved for 60days
6. IMPOUD OR IMMOBILIZE VEHICLE 10 day 24hr interlock

2000 + 100 5LEEA + 20COST + 135EMS
+15HRS + 50CCT + 60LGTF + 3TNC + 30CHT
+ 50SOCIF + 65AACC + 50SAIEF + 20CSTF + 3SRS

-6mos D/L Susp.

(ORDER PLACING DEFENDANT ON PROBATION FILED)

Early Term

FGCC PMW-3180 – Request for Waiver

2026 JUL -7 PM 2:47

FLORIDA GAMING CONTROL COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

TO: JOSEPH WILLIAM BALL
Full Legal Name of Applicant

[redacted]
Date of Birth

Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Executive Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

☐ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

☒ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Florida Gaming Control Commission will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

Joseph W. Ball
Signature of Applicant

7/1/2026
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM FGCC PMW-3195 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

EXHIBIT #1
PAGE 5/6

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

PERSONAL INFORMATION

Social Security Number/Federal Employer ID Number

IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION

Last Name	First	Middle	Title	Suffix
BALL	JOSEPH			

Birth Date (M[redacted])

IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION

Representative's Name Last	First	Middle	Title	Suffix

Permitholder Name

Official Capacity

ATTEST STATEMENT

I, JOSEPH BALL, do hereby instruct all law enforcement
(name of applicant/representative)
or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Florida Gaming Control Commission.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.

JOSEPH BALL (JOEY)
(if individual applicant - legal name and any nickname or alias in parentheses)

Applicant/Representative Signature: Joseph W. Ball Date: 8/21/2026

NOTARIZATION

The foregoing application was sworn to and subscribed before me this 21st Day of AUGUST, 20 26,

by JOSEPH BALL, Joseph W. Ball
Type or print name of applicant — Signature of applicant

who is personally known to me or who has produced the following as identification.

FL STATE ID CARD
Type of identification

Kassandra Whitley
Signature of person taking acknowledgement
Notary Seal
(Rubber Stamp and Expiration)

KASSANDRA WHITLEY
NOTARY PUBLIC
STATE OF FLORIDA
NO. HH 791616
MY COMMISSION EXPIRES MAY 09, 2030

LICENSES

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number [redacted]
Date of Birth:
First Name:
Middle Name:
Last Name: ball
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

EXHIBIT #4
PAGE 1/6

LICENSES

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: JOSEPH
Middle Name:
Last Name: BALL
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

EXHIBIT #4
PAGE 2/6

Offense Date

03/06/2004

Case 16-2004-CT-009085-AXXX-MA

Agency	JACKSONVILLE BEACH POLICE DEPARTMENT	Department	Traffic
Division	D	Case Status	CLOSED
SAO Number	04CT034772AD	Offense Date	3/6/2004
File Date	3/6/2004	Judge Name	HEALEY, RUSSELL L.
Officer		State Attorney	Williams, Michael Davis

Parties

Name / DOB / DL / ID #	Party Type Race / Sex	Address
JOSEPH WILLIAM BALL [redacted] Offender: 2004-009085 JSO ID: 628210	DEFENDANT W / M	115 TORTUGA LN PONTE VEDRA BEACH, FL320820000

Attorneys

Attorney	Address	For Parties
Williams, Michael Davis State Attorney (71173)	State Attorney's Office Jacksonville, FL	

Citations

Citation #	Officer	Statute	Description
615777X		316193(1)	
8013CXK		3200605	
8014CXK		32219	
8589AYD		3160895	

Charges

Count	Statute # / Plea	Statute Description w/Qualifier / Status	Level	Action	Minimum Fine
	Initial	Prosecutor		Court	
1	S316.193(1)	DRIVING UNDER THE INFLUENCE			
	NOLO CONTENDERE	SAME	M2	ADJUDICATED GUILTY	$500.00
2	S316.0895	FOLLOWING TOO CLOSELY			
		SAME	CV	DISMISSED	---
3	S320.0605	472-F-DISPL VEH REGTEMP INTERNET RECPT			
		SAME	CV	DISMISSED	---
4	S322.19	594-FAIL TO CH NAME-ADDRESS W-N 10 DAYS			
		SAME	CV	DISMISSED	---

Fees

Date	Description	Assessed	Paid	Balance
03/06/2004	HISTORICAL CRIMINAL FEE	$803.00	$803.00	$0.00

Dockets

Line / Document	Count	Effective Entered	Description	Pages	Image
1	--	3/6/2004 3/31/2004	OFFICER - 000012		

Line / Document	Count	Effective Entered	Description	Pages	Image
2	--	3/6/2004 3/31/2004	TRAFFIC: DUI/BEVERAGE 316193(1) S 615777 X3		
3	--	3/6/2004 3/31/2004	TRAFFIC-CIVIL: FOLLOW TOO CLOSE 3160895 S 008589 AYD5		
4	--	3/6/2004 3/31/2004	TRAFFIC-CIVIL: NO VEHICL REGIST 3200605 S 008013 CXK9		
5	--	3/6/2004 3/31/2004	TRAFFIC-CIVIL: FAIL T CNG NM-AD 32219 S 008014 CXKX		
6	--	3/6/2004 3/31/2004	ARREST & BOOKING REPORT 040096051		
7	--	3/6/2004 3/31/2004	ARREST DATE: 03062004		
8	--	3/6/2004 3/31/2004	JUDGE HEALEY, RUSSELL		
9	--	3/6/2004 3/31/2004	ASST. STATE ATTY. WILLIAMS, MICHAEL		
10	--	3/6/2004 3/31/2004	DEFENDANT ATTORNEY - W		
11	--	3/6/2004 3/31/2004	ACKNOWLEDGE. OF RIGHTS & VOLUNTARINESS OF ENTRY OF PLEA		
12	--	3/6/2004 3/31/2004	PLEA OF NOLO CONTENDERE		
13	--	3/6/2004 3/31/2004	DEF. ADJUDGED GUILTY		
14	--	3/6/2004 3/31/2004	FINE IMPOSED 500.00		
15	--	3/6/2004 3/31/2004	5% CVC IMPOSED 25.00		
16	--	3/6/2004 3/31/2004	L E E A IMPOSED 5.00		
17	--	3/6/2004 3/31/2004	EMS IMPOSED 135.00		
18	--	3/6/2004 3/31/2004	HRS IMPOSED 15.00		
19	--	3/6/2004 3/31/2004	F/M COSTS IMPOSED 50.00		
20	--	3/6/2004 3/31/2004	LGTF IMPOSED 50.00		
21	--	3/6/2004 3/31/2004	CSTF IMPOSED 20.00		
22	--	3/6/2004 3/31/2004	TNC IMPOSED 3.00		
23	--	3/6/2004 3/31/2004	DEF. PLACED ON PROBATION - SALVATION ARMY - M06D000Y000		
24	--	3/6/2004 3/31/2004	CONDS: 1-100 HRS COMM SERV 2-		
25	--	3/6/2004 3/31/2004	D.U.I. SCHOOL		
26	--	3/6/2004 3/31/2004	LEVEL I 3-PAY FINE 4-VICTIM		
27	--	3/6/2004 3/31/2004	IMPACT PANEL 5-IMPOUND		
28	--	3/6/2004 3/31/2004	OR IMMOBOLIZE VEHICLE 10 DAYS		
29	--	3/6/2004 3/31/2004	LICENSE REVOKED FOR 180 DAYS		
30	--	3/6/2004 3/31/2004	EARLY TERM		
31	--	3/6/2004 3/31/2004	DISMISSED		
32	--	3/6/2004 3/31/2004	DISMISSED		

Line / Document	Count	Effective Entered	Description	Pages	Image
33	--	3/6/2004 3/31/2004	DISMISSED		
34	--	6/9/2004 6/9/2004	DEF. PAID TOTAL OF 100.00, RECEIPT# -04595376		
35	--	6/9/2004 6/9/2004	PAYMENT EMS PAID 100.00		
36	--	6/17/2004 6/17/2004	DEF. PAID TOTAL OF 703.00, RECEIPT# -04595689		
37	--	6/17/2004 6/17/2004	PAYMENT EMS PAID 35.00		
38	--	6/17/2004 6/17/2004	PAYMENT LGTF PAID 50.00		
39	--	6/17/2004 6/17/2004	PAYMENT 5% CVC PAID 25.00		
40	--	6/17/2004 6/17/2004	PAYMENT F/M COSTS PAID 50.00		
41	--	6/17/2004 6/17/2004	PAYMENT L E E A PAID 5.00		
42	--	6/17/2004 6/17/2004	PAYMENT HRS PAID 15.00		
43	--	6/17/2004 6/17/2004	PAYMENT FINE PAID 500.00		
44	--	6/17/2004 6/17/2004	PAYMENT CSTF PAID 20.00		
45	--	6/17/2004 6/17/2004	PAYMENT TNC PAID 3.00		
46	--	8/4/2004 8/10/2004	ORDER TERMINATING PROBATION		
47	--	4/28/2005 8/31/2005	JUDGMENT & SENTENCE RECORDED BOOK 12444 PAGE 0953		
48	--	4/28/2005 8/31/2005	RECORDING NUMBER 05148700		

Offense Date

03/15/2005

Case 16-2005-MM-007971-AXXX-MA

Agency	JSO	Department	Misdemeanor
Division	G	Case Status	DISPOSED
SAO Number	05MM032426AD	Offense Date	3/15/2005
File Date	3/15/2005	Judge Name	BOYER, TYRIE W.
Officer		State Attorney	Galnor, Darcy Danene

Parties

Name / DOB / DL / ID #	Party Type Race / Sex	Address
JOSEPH WILLIAM BALL [redacted] Offender: 2005-009386 JSO ID: 628210	DEFENDANT W / M	912 PENMAN ROAD NORTH JACKSONVILLE BEACH, FL322500000

Attorneys

Attorney	Address	For Parties
Galnor, Darcy Danene State Attorney (908681)	State Attorney's Office Jacksonville, FL	

Charges

Count	Statute # / Plea	Statute Description w/Qualifier / Status	Level	Action	Minimum Fine
	Initial	Prosecutor		Court	
1	S562.111(1)	POSSESSION OF ALCOHOLIC BEVERAGE BY PERSON UNDER AGE 21			
		N/A	M2		---
2	S893.13(6)(B)	POSSESSION OF LESS THAN 20 GRAMS OF CANNABIS			
	NOLO CONTENDERE	SAME	M1	ADJUDICATION WITHHELD	---
3	S893.147(1)	POSSESSION OF CONTROLLED SUBSTANCE PARAPHERNALIA			
		N/A	M1		---

Fees

Date	Description	Assessed	Paid	Balance
03/15/2005	HISTORICAL CRIMINAL FEE	$240.00	$240.00	$0.00

Dockets

Line / Document	Count	Effective / Entered	Description	Pages	Image
1	--	3/15/2005 3/25/2005	POS ALC BY MINOR		
2	--	3/15/2005 3/25/2005	POS LT 20 GM CAN		
3	--	3/15/2005 3/25/2005	POSS. C/S PARAP		
4	--	3/15/2005 3/25/2005	ARREST & BOOKING REPORT 050093865		
5	--	3/15/2005 3/25/2005	ARREST DATE:031505		
6	--	3/15/2005 3/25/2005	JUDGE BOYER, TYRIE W		
7	--	3/15/2005 3/25/2005	ASST. STATE ATTY. GALNOR, DARCY		

Line / Document	Count	Effective Entered	Description	Image
8	--	3/15/2005 3/25/2005	DEFENDANT ATTORNEY - N	
9	--	3/15/2005 3/25/2005	NOLLE PROSEQUI 30	
10	--	3/15/2005 3/25/2005	PLEA OF NOLO CONTENDERE	
11	--	3/15/2005 3/25/2005	ADJUDICATION OF GUILT WITHHELD	
12	--	3/15/2005 3/25/2005	L E E A IMPOSED 5.00	
13	--	3/15/2005 3/25/2005	F/M COSTS IMPOSED 50.00	
14	--	3/15/2005 3/25/2005	LGTF IMPOSED 50.00	
15	--	3/15/2005 3/25/2005	SOCIF IMPOSED 50.00	
16	--	3/15/2005 3/25/2005	AACC IMPOSED 65.00	
17	--	3/15/2005 3/25/2005	CIA IMPOSED 20.00	
18	--	3/15/2005 3/25/2005	PASSED TO ROOM M106 - 03/21/2005	
19	--	3/15/2005 3/25/2005	DEF. PLACED ON PROBATION - SALVATION ARMY - M06D000Y000	
20	--	3/15/2005 3/25/2005	CONDS: MILE POST SIGN UP W/N	
21	--	3/15/2005 3/25/2005	15 DAYS, PAY COST, EARLY TERM	
22	--	3/15/2005 3/25/2005	NOLLE PROSEQUI 30	
23	--	3/15/2005 3/25/2005	ORDER PLACING DEFENDANT ON PROBATION	
24	--	3/21/2005 3/21/2005	DEF. PAID TOTAL OF 240.00, RECEIPT# 000008-1	
25	--	3/21/2005 3/21/2005	PAYMENT LGTF PAID 50.00	
26	--	3/21/2005 3/21/2005	PAYMENT F/M COSTS PAID 50.00	
27	--	3/21/2005 3/21/2005	PAYMENT L E E A PAID 5.00	
28	--	3/21/2005 3/21/2005	PAYMENT SOCIF PAID 50.00	
29	--	3/21/2005 3/21/2005	PAYMENT CIA PAID 20.00	
30	--	3/21/2005 3/21/2005	PAYMENT AACC PAID 65.00	
31	--	4/20/2005 4/20/2005	ORDER TERMINATING PROBATION	
32	--	8/29/2014 8/29/2014	CASE FILE DESTROYED	

Offense Date

05/06/2021

First Appearance:	Continued:	Continued:	Continued:	Continued:
Continued:	Continued:	Continued:	Continued:	Continued:

16-2021-MM-006117-AXXX-MA

Route To
Groups:
People:

BALL, JOSEPH WILLIAM WM
[redacted] 2021-009287

Arrest and Booking Report
Jacksonville Sheriff's Office
Jacksonville, Florida

ADULT
BALL, JOSEPH WILLIAM

Year: 2021 Incident #: 12210001549 Amend #:
Previous Juvenile Jail Number:
Jail #: 2021009287 Admitted: 05/06/2021 20:26 File Direct: NO
JSO ID#: Court: County
SSN: OBTS #:

CLERK COPY

Incident Information

Incident Location
1300 SHETTER AVE # 5211
JACKSONVILLE BEACH, FL 32250
Sub-sector: JB TAZ: 513

Arrest Location
1300 SHETTER AVE # 5211
JACKSONVILLE BEACH, FL 32250
Sub-sector: JB TAZ: 513

Arresting Agency: JACKSONVILLE BEACH P.D. Day/Date/Time Arrested: Thursday, 05/06/2021 18:39
Day/Date/Time Incident From: Thursday, 05/06/2021 17:21 To: Thursday, 05/06/2021 18:51
Offense Location Type: APARTMENT / CONDO

Miscellaneous
Interviewed By:
Drug Activity: UNKNOWN Drug Type: NOT APPLICABLE
Arrest Made On: OV Is Incident Gang Related?: NO Was Hate Crime Involved?: NO Was Dating Violence Involved?: NO

Domestic Violence Related?: NO If No, Is it Domestic Related?: NO Any Children under 18 Involved as a Victim?: NO

Arrestee - BALL, JOSEPH WILLIAM

Demographics
Race: WHITE Sex: MALE Date of Birth: [redacted]
Ethnicity: NOT OF HISPANIC ORIGIN
Primary Language: English Secondary Language: NOT APPLICABLE
Age: 35 Height (inches): 5'05" Weight (lbs): 125
Hair Style: SHAVED Hair Color: BROWN Hair Length: SHORT
Build: THIN Eye Color: BROWN Facial Hair: UNSHAVEN
Complexion: FAIR / LIGHT Voice: INTOXICATED / SLURRED, LOUD, OFFENSIVE
Clothing/Description:
Place of Birth: REFUSED, UNKNOWN, REFUSED Place of Birth County: REFUSED
Nicknames:
Aliases:

Distinguishing Marks (Scars, Marks and Tattoos)

Contact Information
Home Phone #: REFUSED Bus. Phone #: Ext: Alt. Phone #:
Cell Phone #: Cell Phone Provider:
Email Address:

Primary Identification
Type of ID Given: DRIVERS LICENSE ID: [redacted] Issuing State: FLORIDA

Agency Identification
JSO ID: JPICS ID:

Home Address
1300 SHETTER AVE # 5211
JACKSONVILLE BEACH, FL 32250
Sub-sector: JB TAZ: 513

Mailing Address
1300 SHETTER AVE # 5211
JACKSONVILLE BEACH, FL 32250
Sub-sector: JB TAZ: 513

Alternate Address

Employment/School
Employer: REFUSED Occupation:
School Last Attended: REFUSED
Country of Citizenship: UNITED STATES US Citizen?: YES Residence Type: CITY Residence Status: RESIDENT

MAY 07 2021
ORIGINAL

Printed 05/06/2021 20:27 by B.J. SUTTON (#69050)

ECD Usage:
RTR written related to this incident?: **NO** *RTR Incident Yr:* *RTR Incident #:*
Drugs Involved?: **UNKNOWN** *Alcohol Involved?:* **YES** *Computer Involved?:* **NO**
Is Arrestee a Gang member?: **NO** *Required to register as a sex offender?:* **NO**
Suspect Invoke Miranda?: **NO** *Miranda Rights Given?:* **NO** *Arrestee Confessed?:* **NO** *Arrestee needs ADA Consideration?:* **NO**

Weapon(s) Involved
Weapon(s): **NOT APPLICABLE (NONE)**

Offense(s)

#1 *Statute #:* **856.011(2)** *Degree:* **M2** *UCR Code:* **900C** *Attempt Code:* **Committed**
DISORDERLY INTOXICATION - PUBLIC DISTURBANCE

Citation #:	*SA #:*	*Warrant Type:* **Warrantless**
JSO Control #:	*Warrant/Case #:*	*No. of Counts:*

Jurisdiction: **JACKSONVILLE BEACH P.D.** *Court Location/Div.:* *Judge:*
Purge/Bond Type: *Bond Amount:* 1503 *Date of Issue:* *Date of Return:*
Criminal Activity Type:

VOP/FTA ONLY

Original Statute No:	*Degree:*	*UCR Code:*	*Attempt Code:* **Committed**
Description:			

Additional Information

On May 6, 2021, at approximately 1721 hours, I responded to 1300 Shetter Avenue apartment 5211, in reference to a domestic dispute. Upon my arrival, Corporal Sorrells # 780 and myself were informed the defendant was in the parking lot. We made contact with the defendant who was very intoxicated standing next to his vehicle. As I began speaking to him I asked him to sit on the curb due to his balance being very unsteady. He complied and sat down and after being asked several times, he attempted to call someone to come pick him up.

After he stated he called someone, his behavior became very erratic. The defendant began screaming and yelling at the top of his lungs to the point where his voice started to crack. The defendant then began to attempt to push over a fence and punch his own vehicle several times. The incident was so loud, a person came out from the second floor the ask if everything was ok due to them hearing the defendant scream over their television.

At this point the defendant was advised he was being placed under arrest for disorderly intoxication.

The defendant was transported to Duval County Jail for booking.

Signature

Transported By: **M.C. WILLIAMS** *Approving Supervisor:* **P.F. WATKINS**
Arresting Officer #1: **M.C. WILLIAMS** *Arresting Officer #2:* **N/A**
Div/Zone or Unit: **JACKSONVILLE BEACH POLICE DEPT** *Num of Cases Cleared:*

State of Florida, County of Duval *Arresting / Transporting Officer's Signature:* M.Williams

Sworn to (or affirmed) and subscribed before me this 10 *day of* May *, 20* 21 *, by*

Personally Known ____ *or Produced Identification* ____ *Type of Identification produced:* ____

B. Sutton

Print, Type, or Stamp, Commissioned name of Notary Public *Signature of Notary - State of Florida Certified Law Enforcement Officer or Corrections Officer*

Investigation Time #1

Hour(s): **00** *Minute(s):* **03** *Cost Amount:* **$2.41**

Property #1 - Documents/ Personal or Business

Quantity: **1** *Status:*
Turned in at: **PROPERTY ROOM**
Manufacturer: *Model:*
Serial Number: *Color:*
Description:
Related Charge:

Property Taken Value: *Property Taken by Arresting Officer:*

Property Owner:
Witness / Complainant (01) - SHEA, MEAGHAN

Received From:
Witness / Complainant (01) - SHEA, MEAGHAN

Witness/Complainant #1 - SHEA, MEAGHAN

Type: **WITNESS**
Did witness invoke right to prevent the disclosure of personal info (Marsy's Law)?: **YES**

Demographics
Race: **WHITE** *Sex:* **FEMALE** *Date of Birth:* [redacted]
Ethnicity: **NOT OF HISPANIC ORIGIN**
Age: **29**

Contact Information
Home Phone #: **REFUSED** *Bus. Phone #:* *Ext.:* *Alt. Phone #:*
Cell Phone #: *Cell Phone Provider:*
Email Address:

Home Address
1300 SHETTER AVE
JACKSONVILLE BEACH, FL 32250
Sub-sector: **JB** *TAZ:* **513**

Mailing Address
1300 SHETTER AVE
JACKSONVILLE BEACH, FL 32250
Sub-sector: **JB** *TAZ:* **513**

Additional Question(s)

Body Worn Camera Footage
01 *Is there Body Worn Camera (BWC) footage for incident?:* **NO**

Jail Information (Back Door)

Date and Time Admitted: *05/06/2021 20:26*
Jail Number: **2021009287** *Juvenile/Court Clerk #:*

Triage Questions

Involved in Traffic Accident?: **NO** *Injuries from Accident?:*
Was OC Deployed Prior to/during Arrest?: **NO** *Was a Hobble Restraint used on the Arrestee?:* **NO**
Does the Arrestee Exhibit any Signs of Suicidal Behavior or Attempts?: **YES** *Does the Arrested have any Observable Medical/Mental Health Problems?:* **NO**
Has the Arrestee Shown any Escape Potential or Violence Propensity Behaviors?: **YES**
Is there any other Information about the Arrestee that Jail Personnel need to know?: **YES**
If Yes, What?: **stated he wanted someone to slit his throat.**

Part II of Arrest And Booking Report:

Arrestee Personal Information: How Long in Jax? 3 SYD

Chemical Test Data

Specimen:
Blood *Breath* *Urine* *Unable* *None*

Analysis Results:			*Refused:*
1st	*g/210L*	*TIME:*	*Blood:*
2nd	*g/210L*	*TIME:*	*Breath:*
3rd	*g/210L*	*TIME:*	*Urine:*

Breath Test Instrument:
Type: *Machine #:*
Operator Name and ID#: *Date:*

Verification By: _____

[redacted]

Right Thumb Print

Marsy's Law Invoked
ORIGINAL

Jody Phillips
Clerk of Circuit Court

ADD ON DOCKET

Date: MAY 7, 2021 Judge: DAWN HUDSON P/A: DANIEL O'BYRNE

DIS Int Fug

NC ___ADJ. 6 9 DAYS 1 DAYS CR. Comm.
___+___+5LEEA+___COST+___EMS+
___HRS+50CCT+60LGTF+3TNC+
___DOBS+___CHT+50SOCIF+65AACO+
50SAIEF+30CSTF+___RCTF+___SRS
7-15-21 M100 TO PAY
_____MOS.PROB.SAL.ARMY
CONDS:

P-0035
Rev. 06/2023

Motor Vehicle Operator Constitutional Rights and Implied Consent

Jacksonville Sheriff's Office

BREATH TEST OPERATOR NARRATIVE

PAGE 1 OF 1

CCR # 80250464 SUBJECT'S NAME: Ball, Joseph W. JAIL NUMBER: 2025010497

On May 16th, 2025 at 2238 hours, Officer J. Richardson 1355 requested breath testing of subject Ball, Joseph W. 2025010497. I made contact with the subject in the Intake Vestibule. The subject was placed in a red jumpsuit and one-piece restraints due to suicidal statements made by the subject. The subject stated, "I want to kill myself." The subject was escorted to the Breath Test Room. First Observation Period began at 2241 hours.

The subject was set next to a ~~breat~~ intoxilyzer instrument (80-001018) and began yelling at his arresting officer stating "You beat me up and arrested me", "It's all timestamped." "I'm going to fucking kill myself." I introduced myself to the subject and requested he submit to a lawful test of his breath for the purpose of determining its alcohol content. The subject stated, "NO". I read Implied consent. The subject stated, "I will take it." Subject signed form P-0035.

I read the subject his constitutional rights and asked if he understood his rights. The subject stated, "Yes". I asked the subject if he would like to answer any questions at this time. The subject stated, "NO". Subject signed form P-0038.

After the subject completed the breath test, I told the subject he blew a 0.2 g/ I told the subject about his DL citations and that his license will be confiscated due to his high blow.

Due to the subject being in a car crash, the subject was sent out to UF Health to be further medically evaluated.

- DL confiscated
- 0.222 g/210L ; 0.216 g/210L

Subject Observations

Breath - appeared to have a moderate odor of an alcoholic beverage
Eyes - appeared watery/glassy
Face - appeared flushed
Speech - appeared slurring
Attitude - appeared talkative, argumentative and mood swung.

Reporting Officer: (Printed) N. Rocart

Reporting Officer Signature / ID: [signature] 18234

Date / Time: 05/16/2025

Case 16-2021-MM-006117-AXXX-MA

Agency	JACKSONVILLE BEACH POLICE DEPARTMENT	Department	Misdemeanor
Division	B	Case Status	CLOSED
SAO Number	21MM023239AD	Offense Date	5/6/2021
File Date	5/7/2021	Incident Number	202112210001549
Judge Name	ARIAS, ROBERTO A.	Officer	
State Attorney	Midthun, Alexandria Marie Kelley		

Parties

Name / DOB / DL / ID #	Party Type Race / Sex	Address
JOSEPH WILLIAM BALL [redacted] License: B400499852810 Offender: 2021-009287 JSO ID: 628210	DEFENDANT W / M	1300 SHETTER AVE JACKSONVILLE BEACH, FL32250

Attorneys

Attorney	Address	For Parties
Midthun, Alexandria Marie Kelley State Attorney (1027923)	State Attorney's Office Jacksonville, FL	

Charges

Initial		Prosecutor		Court	
Count	Statute #	Statute Description w/Qualifier			
	Plea	Status	Level	Action	Minimum Fine
1	S856.011(2)	DISORDERLY INTOXICATION - PUBLIC DISTURBANCE			
	NOLO CONTENDERE	SAME	M2	ADJUDICATED GUILTY	---

Fees

Date	Description	Assessed	Paid	Balance
05/07/2021	CRIM MISD MIN MAND-7/1/2008	$303.00	$303.00	$0.00

Dockets

Line / Document	Count	Effective Entered	Description	Pages	Image
1 D1	--	5/7/2021 5/11/2021	ARREST & BOOKING REPORT 2021-009287 5/6/2021	4	**Available** VOR, Ready to view
2	1	5/7/2021 5/11/2021	S856.011(2) DISORDERLY INTOXICATION - PUBLIC DISTURBANCE		
3	--	5/7/2021 5/11/2021	JUDGE ARIAS, ROBERTO A.		
4	--	5/7/2021 5/11/2021	ASST. STATE ATTY. O'BYRNE, DANIEL GERARD		
5	1	5/7/2021 5/11/2021	PLEA OF NOLO CONTENDERE		
6	1	5/7/2021 5/11/2021	DEF. ADJUDGED GUILTY		
7	1	5/7/2021 5/11/2021	JUDGMENT AND SENTENCE TO COUNTY JAIL FOR 2 DAYS		
8	1	5/7/2021 5/11/2021	CREDIT TIME: 1 DAY		

Line / Document	Count	Effective Entered	Description	Pages	Image
9	1	5/7/2021 5/11/2021	LEEA 5.00 IMPOSED		
10	1	5/7/2021 5/11/2021	CCT 50.00 IMPOSED		
11	1	5/7/2021 5/11/2021	LGTF 60.00 IMPOSED		
12	1	5/7/2021 5/11/2021	TNC 3.00 IMPOSED		
13	1	5/7/2021 5/11/2021	SOCIF 50.00 IMPOSED		
14	1	5/7/2021 5/11/2021	AACC 65.00 IMPOSED		
15	1	5/7/2021 5/11/2021	SAIEF 50.00 IMPOSED		
16	1	5/7/2021 5/11/2021	CSTF 20.00 IMPOSED		
17	--	5/7/2021 5/11/2021	FEES DUE: 7/15/2021		
18 D19	--	5/7/2021 5/11/2021	PAY SHEET FILED	2	Available Public access
19 D31	--	5/7/2021 12/29/2025	JUDGMENT & SENT. BOOK 21739 PAGE 2330-2331	2	Available Public access
20	--	7/27/2021 7/27/2021	TRIAL ASSISTANT STATE ATTORNEY CHANGED TO Midthun, Alexandria Marie Kelley PER SAO		
21	--	10/13/2021 10/13/2021	CASE FEES $303.00 SENT TO COLLECTIONS ON 10/13/2021 AGENCY LINEBARGER		
22 D22	--	3/9/2022 3/10/2022	CASE FEES PAID: $11.54 ON RECEIPT NUMBER 4105426 THRU COLLECTIONS	1	Available Public access
23 D23	--	3/31/2022 4/1/2022	CASE FEES PAID: $23.77 ON RECEIPT NUMBER 4121588 THRU COLLECTIONS	1	Available Public access
24 D24	--	5/17/2022 5/18/2022	CASE FEES PAID: $36.92 ON RECEIPT NUMBER 4153779 THRU COLLECTIONS	1	Available Public access
26	--	3/10/2023 3/10/2023	CASE FEES SET TO RECALL FROM COLLECTIONS		
27 D27	--	3/10/2023 3/10/2023	CASE FEES PAID: $230.77 ON RECEIPT NUMBER 4351750	1	Available Public access
28	--	3/10/2023 3/10/2023	CASE FEES SET TO RECALL FROM COLLECTIONS		
30	--	3/10/2023 3/10/2023	PROCESSED RECALL FROM COLLECTIONS FROM LINEBARGER		
31 D32	--	12/31/2025 12/31/2025	JUDGMENT & SENT. BOOK 21739 PAGE 2330-2331 RECORDED DOCUMENT	2	View on request

Offense Date

08/22/2023

First Appearance:	Continued:	Continued:	Continued:	Continued:
Continued:	Continued:	Continued:		Continued:

16-2023-MM-013594-AXXX-MA

BALL, JOSEPH WILLIAM WM

08/01/1985 2023-020135

Route To

Groups:
People:

Arrest and Booking Report

Jacksonville Sheriff's Office

Jacksonville, Florida

ADULT
BALL, JOSEPH WILLIAM

Year: **2023** Incident #: **12230003225**	Amend #:
Previous Juvenile Jail Number:	
Jail #: **2023020135** Admitted: **08/22/2023 15:37**	File Direct: **NO**
JSO ID#:	Court: **County**
SSN:	OBTS #:

Incident Information

Incident Location
1436 BEACH BLVD
JACKSONVILLE BEACH, FL 32250
Sub-sector: **JB** *TAZ:* **512**

Arrest Location
1436 BEACH BLVD
JACKSONVILLE BEACH, FL 32250
Sub-sector: **JB** *TAZ:* **512**

MISDEMEANOR

Arresting Agency: **JACKSONVILLE BEACH P.D.** *Day/Date/Time Arrested:* **Tuesday, 08/22/2023 14:23**
Day/Date/Time Incident From: **Tuesday, 08/22/2023 13:59** *To:* **Tuesday, 08/22/2023 14:18**
Offense Location Type: **RESTAURANTS**

Miscellaneous
Interviewed By:
Is Arrestee currently on probation?: **NO**
Drug Activity: **UNKNOWN** *Drug Type:* **NOT APPLICABLE**
Arrest Made On: **OV** *Is Incident Gang Related?:* **NO** *Was Hate Crime Involved?:* **NO** *Was Dating Violence Involved?:* **NO**

ORIGINAL

Domestic Violence Related?: **NO** *If No, Is it Domestic Related?:* **NO** *Any Children under 18 involved as a Victim?:* **NO**

Arrestee - BALL, JOSEPH WILLIAM

Demographics
Race: **WHITE** *Sex:* **MALE** *Date of Birth:* [redacted]
Ethnicity: **NOT OF HISPANIC ORIGIN**
Primary Language: **English** *Secondary Language:* **NOT APPLICABLE**
Age: **38** *Height (inches):* **5'05"** *Weight (lbs):* **125**
Hair Style: **SHAVED** *Hair Color:* **BROWN** *Hair Length:* **SHORT**
Build: **THIN** *Eye Color:* **BROWN** *Facial Hair:* **UNSHAVEN**
Complexion: **TANNED** *Voice:* **INTOXICATED / SLURRED, LOUD, OFFENSIVE**
Clothing/Description:
Place of Birth: **REFUSED, UNKNOWN, REFUSED** *Place of Birth County:* **REFUSED**
Nicknames:
Aliases:

AUG 2 3 2023

CLERK COPY

Distinguishing Marks (Scars, Marks, and Tattoos)

Characteristic	*Body Location*	*Description*
TATTOO	**ARM - (NONSPECIFIC)**	**SLEEVES AND HAND TATTOOS**

Contact Information
Home Phone #: **REFUSED** *Bus. Phone #:* *Ext.:* *Alt. Phone #:*
Cell Phone #: *Cell Phone Provider:*
Email Address:

Primary Identification
Type of ID Given: **DRIVERS LICENSE** *ID:* [redacted] *Issuing State:* **FLORIDA**

Agency Identification
JSO ID: *JPICS ID:* **1687793**

Home Address
2708 LIBERTY LN
JACKSONVILLE BEACH, FL 32250
Sub-sector: **JB** *TAZ:* **532**

Mailing Address

Alternate Address

Employment/School
Employer: **REFUSED** *Occupation:* **PRIVATE INVESTIGATOR**

ORIGINAL

School Last Attended: **REFUSED**
Country of Citizenship: **UNITED STATES** *US Citizen?:* **YES** *Residence Type:* **COUNTY** *Residence Status:* **RESIDENT**
ECD Usage:
RTR written related to this incident?: **NO** *RTR Incident Yr:* *RTR Incident #:*
Drugs Involved?: **NO** *Alcohol Involved?:* **YES** *Computer Involved?:* **NO**
Is Arrestee a Gang member?: **NO** *Required to register as a sex offender?:* **NO**
Suspect Invoke Miranda?: **NO** *Miranda Rights Given?:* **NO** *Arrestee Confessed?:* **NO** *Arrestee needs ADA Consideration?:* **NO**

Offense(s)

#1 *Statute #:* **810.09(2)(B)** *Degree:* **M1** *UCR Code:* **9000** *Attempt Code:* **Committed**
TRESPASS ON PROPERTY OF ANOTHER AND DEFIES ORDER TO LEAVE

Citation #:	*SA #:*	*Warrant Type:* **Not Applicable**
JSO Control #:	*Warrant/Case #:*	*No. of Counts:*

Jurisdiction: **JACKSONVILLE BEACH P.D.** *Court Location/Div.:* *Judge:*
Purge/Bond Type: *Bond Amount:* *Date of Issue:* *Date of Return:*
Criminal Activity Type:
VOP/FTA ONLY $2503

Original Statute No:	*Degree:*	*UCR Code:*	*Attempt Code:* **Committed**
Description:			

#2 *Statute #:* **856.011(2)** *Degree:* **M2** *UCR Code:* **900C** *Attempt Code:* **Committed**
DISORDERLY INTOXICATION - PUBLIC DISTURBANCE

Citation #:	*SA #:*	*Warrant Type:* **Not Applicable**
JSO Control #:	*Warrant/Case #:*	*No. of Counts:*

Jurisdiction: **JACKSONVILLE BEACH P.D.** *Court Location/Div.:* *Judge:*
Purge/Bond Type: *Bond Amount:* *Date of Issue:* *Date of Return:*
Criminal Activity Type:
VOP/FTA ONLY $1503

Original Statute No:	*Degree:*	*UCR Code:*	*Attempt Code:* **Committed**
Description:			

Additional Information

On 08/22/2023, Officers responded to Angie's Subs, 1436 Beach Boulevard, in reference to a complainant of an intoxicated disturbance. Upon arrival, Corporal M. Sorrells #780 observed a subject on the patio, meeting the provided description of a white male in a gray shirt and blue jeans. The subject was cursing loudly and while seated in a patio chair. Corporal Sorrells made contact with the subject that was alone on the patio. As I arrived, I observed the subject identified as, Joseph "Joey" William Ball, speaking with Corporal Sorrells. Ball was unsteady on his feet and fell into the wall and tables multiple times. Officers tried for approximately 20 minutes to arrange for assistance for Ball, but he could not tell officers where he lived, he would only point in an eastward direction. Ball would not leave on his own volition and the address on his driver's license returned to Liberty Street in Jacksonville Beach, but said he no longer lived there.

While speaking with Ball, I could smell an extremely strong odor of an alcoholic beverage coming from Balls mouth. That odor grew stronger as he continued to speak. Ball could not walk on his own without falling over. I observed his eyes were watery, glassy, and his eye lids appeared to be heavy. His speech was very thick tongued and slurred. Ball exhibited numerous demeanor shifts where he would be joking and had a light constitution, but would quickly shift to Ball being demeaning, aggressive, and insulting. When Corporal Sorrells was talking to Ball, he would ball his fists up, flex his arms, and take an aggressive stance towards Corporal Sorrells. Ball proceeded to punch the west side of the business exterior wall with no provocation.

After approximately 20 minutes of trying to assist Ball off the property and home safely, he refused to comply. Due to his disturbance on the property of Angie's and his refusal to leave, he was placed under arrest for the listed charges. When Officers were placing handcuffs on him, he began to turn his body away and pull his arms to avoid being restrained in handcuffs. Officers were able to quickly place restraints on him and he was transported to my patrol vehicle successfully, despite him refusing to utilize his legs. When he was being searched incident to arrest Ball stated officers were jealous that he had "a big cocksucker".

While being transported to the Jacksonville Beach Police Department, Ball, began to make up a song with the lyrics of "you fuckin' nigger, I hate you. You fuckin' nigger, I am going to kill you. You fuckin' nigger". He would continue to call me a "faggot", a "piece of actual dog shit", and "nigger" along with other derogatory names. Once he arrived to the sally port so that I could author the necessary paperwork, Ball began to kick my partition in the issued patrol vehicle. Ball continued to spit at all areas in the back seat area of my patrol vehicle.

He was transported to the Duval County Jail for booking.

Signature

I, E.D. FILBERT, swear or affirm that the information provided within this affidavit and any additional documentation referenced in this affidavit to be true and correct to the best of my knowledge.

Arresting Officer #1: **/s/ E.D. FILBERT (#1275)**
Div/District or Unit: **JACKSONVILLE BEACH POLICE DEPT**

Sworn to me this 22nd day of August, 2023, by the referenced arresting officer under oath. The officer is personally known to me.

Approving Supervisor: **/s/ M.E. SORRELLS (#780)** *Status:* **Approved**

Administrative

Transported By: **E.D. FILBERT (#1275)**

Arresting Officer #2: **N/A**
Num of Cases Cleared:

Additional Reviewers

Report Reviewer 2: **/s/ P.L. WALKER (#71124)** *Status:* **Approved**
Report approved on: **08/22/2023 15:36**

Investigation Time #1

Hour(s): **03** *Minute(s):* **00** *Cost Amount:* **$144.72**

Additional Question(s)

Body Worn Camera Footage

01 *Is there Body Worn Camera (BWC) footage for incident?:* **NO**

Jail Information (Back Door)

Date and Time Admitted: **08/22/2023 15:37**

Jail Number: **2023020135** *Juvenile/Court Clerk #:*

Triage Questions

Involved in Traffic Accident?: **NO** *Injuries from Accident?:*
Was OC Deployed Prior to/during Arrest?: **NO** *Was a Hobble Restraint used on the Arrestee?:* **NO**
Does the Arrestee Exhibit any Signs of Suicidal Behavior or Attempts?: **NO** *Does the Arrested have any Observable Medical/Mental Health Problems?:* **NO**
Has the Arrestee Shown any Escape Potential or Violence Propensity Behaviors?: **NO**
Is there any other Information about the Arrestee that Jail Personnel need to Know?: **NO**
If Yes, What?:

Part II of Arrest And Booking Report:

Arrestee Personal Information: How Long in Jax?

Chemical Test Data

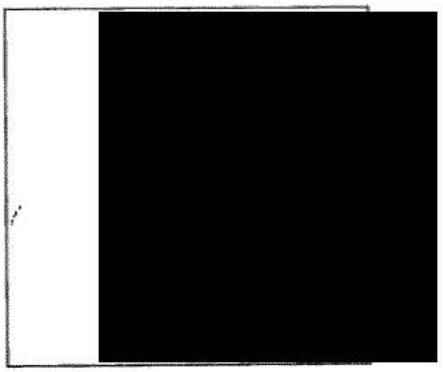
Specimen:
Blood Breath Urine Unable None

Analysis Results:
1st g/210L TIME:
2nd g/210L TIME:
3rd g/210L TIME:

Refused:
Blood:
Breath:
Urine:

Breath Test Instrument:
Type: Machine #:
Operator Name and ID#: Date:

Verification By: _____



Right Thumb Print

Jody Phillips
Clerk of Circuit Court

ADD ON DOCKET

Date: AUGUST 23, 2023 Judge: BROOKE BRADY P/A: ASHLEIGH BATEH

Tresp

NV (G) WH 10 DYS 2 DYS CR.
$ FINE + $ CVC + $ 53 COSTS
10/23/23 TO PAY MONTHS PROBATION

Comm

Dis intox

NL ADJ.G 10 DYS 2 DYS CR.

run conc.

Case 16-2023-MM-013594-AXXX-MA

Agency	JACKSONVILLE BEACH POLICE DEPARTMENT	Department	Misdemeanor
Division	P	Case Status	DISPOSED
SAO Number	23MM055173AD	Offense Date	8/22/2023
File Date	8/23/2023	Incident Number	202312230003225
Judge Name	BRADY, BROOKE	Officer	
State Attorney	Bateh, Ashleigh Marie		

Parties

Name / DOB / DL / ID #	Party Type Race / Sex	Address
JOSEPH WILLIAM BALL [redacted] License: B400499852810 Offender: 2023-020135 JSO ID: 628210	DEFENDANT W / M	2708 LIBERTY LN JACKSONVILLE BEACH, FL32250

Attorneys

Attorney	Address	For Parties
Bateh, Ashleigh Marie State Attorney (1039277)	State Attorney's Office Jacksonville, FL	

Charges

Initial		Prosecutor		Court	
Count	Statute #	Statute Description w/Qualifier			
	Plea	Status	Level	Action	Minimum Fine
1	S810.09(2)(B)	TRESPASS ON PROPERTY OF ANOTHER AND DEFIES ORDER TO LEAVE			
	NOLO CONTENDERE	SAME	M1	ADJUDICATED GUILTY	---
2	S856.011(2)	DISORDERLY INTOXICATION - PUBLIC DISTURBANCE			
	NOLO CONTENDERE	SAME	M2	ADJUDICATED GUILTY	---

Fees

Date	Description	Assessed	Paid	Balance
10/23/2023	PAYMENT PLAN FEE	$25.00	$25.00	$0.00
08/23/2023	CRIM MISD MIN MAND 3/30/2022	$303.00	$110.00	$193.00

Dockets

Line / Document	Count	Effective Entered	Description	Pages	Image
1 D1	--	8/23/2023 8/24/2023	ARREST & BOOKING REPORT 2023-020135 8/22/2023	4	Available VOR, Ready to view
2	1	8/23/2023 8/24/2023	S810.09(2)(B) TRESPASS ON PROPERTY OF ANOTHER AND DEFIES ORDER TO LEAVE		
3	2	8/23/2023 8/24/2023	S856.011(2) DISORDERLY INTOXICATION - PUBLIC DISTURBANCE		
4	--	8/23/2023 8/24/2023	JUDGE BRADY, BROOKE		
5	--	8/23/2023 8/24/2023	ASST. STATE ATTY. BATEH, ASHLEIGH MARIE		
6	1	8/23/2023 8/24/2023	PLEA OF NOLO CONTENDERE		

Line / Document	Count	Effective Entered	Description	Pages	Image
7	1	8/23/2023 8/24/2023	DEF. ADJUDGED GUILTY		
8	1	8/23/2023 8/24/2023	JUDGMENT AND SENTENCE TO COUNTY JAIL FOR 10 DAYS		
9	1	8/23/2023 8/24/2023	CREDIT TIME: 2 DAYS		
10	1	8/23/2023 8/24/2023	LEEA 5.00 IMPOSED		
11	1	8/23/2023 8/24/2023	CCT 50.00 IMPOSED		
12	1	8/23/2023 8/24/2023	LGTF 60.00 IMPOSED		
13	1	8/23/2023 8/24/2023	TNC 3.00 IMPOSED		
14	1	8/23/2023 8/24/2023	SOCIF 50.00 IMPOSED		
15	1	8/23/2023 8/24/2023	AACC 65.00 IMPOSED		
16	1	8/23/2023 8/24/2023	SAIEF 50.00 IMPOSED		
17	1	8/23/2023 8/24/2023	CSTF 20.00 IMPOSED		
18	--	8/23/2023 8/24/2023	FEES DUE: 10/23/2023		
19	2	8/23/2023 8/24/2023	PLEA OF NOLO CONTENDERE		
20	2	8/23/2023 8/24/2023	DEF. ADJUDGED GUILTY		
21	2	8/23/2023 8/24/2023	JUDGMENT AND SENTENCE TO COUNTY JAIL FOR 10 DAYS CONCURRENT		
22	2	8/23/2023 8/24/2023	CREDIT TIME: 2 DAYS		
23	2	8/23/2023 8/24/2023	CONCURRENT W/ COUNT 1		
24 D24	--	8/23/2023 8/24/2023	JUDGMENT & SENT. BOOK 21151 PAGE 641-642	2	Available Public access
25 D25	--	8/23/2023 8/24/2023	PAY SHEET FILED	2	Available Public access
26	--	10/23/2023 10/23/2023	PAYMENT PLAN CREATED FOR: $25.00-MONTHLY BEGINNING 10/23/2023		
27 D27	--	10/23/2023 10/23/2023	CASE FEES PAID: $25.00 ON RECEIPT NUMBER 4509872	1	Available Public access
28	--	10/23/2023 10/23/2023	PAYMENT PLAN PAID. NEXT DUE DATE: 11/27/2023; AMOUNT DUE: $25.00;REMAINING BALANCE: $303.00		
29 D29	--	10/23/2023 10/23/2023	CLERK'S PAYMENT PLAN CONTRACT	2	Available Public access
30 D30	--	11/29/2023 11/29/2023	CASE FEES PAID: $30.00 ON RECEIPT NUMBER 4533383	1	Available Public access
31	--	11/29/2023 11/29/2023	PAYMENT PLAN PAID. NEXT DUE DATE: 1/23/2024; AMOUNT DUE: $25.00;REMAINING BALANCE: $273.00		

Line / Document	Count	Effective Entered	Description	Pages	Image
32 D32	--	1/23/2024 1/23/2024	CASE FEES PAID: $30.00 ON RECEIPT NUMBER 4565146	1	Available Public access
33	--	1/23/2024 1/23/2024	PAYMENT PLAN PAID. NEXT DUE DATE: 2/23/2024; AMOUNT DUE: $25.00;REMAINING BALANCE: $243.00		
34 D34	--	2/22/2024 2/22/2024	CASE FEES PAID: $25.00 ON RECEIPT NUMBER 4585707	1	Available Public access
35	--	2/22/2024 2/22/2024	PAYMENT PLAN PAID. NEXT DUE DATE: 3/25/2024; AMOUNT DUE: $25.00;REMAINING BALANCE: $218.00		
36 D36	--	3/12/2024 3/12/2024	CASE FEES PAID: $25.00 ON RECEIPT NUMBER 4600346	1	Available Public access
37	--	3/12/2024 3/12/2024	PAYMENT PLAN PAID. NEXT DUE DATE: 4/23/2024; AMOUNT DUE: $25.00;REMAINING BALANCE: $193.00		
38	--	5/23/2024 5/23/2024	CASE FEES $193.00 SENT TO COLLECTIONS ON 05/23/2024 AGENCY LINEBARGER		
39 D39	--	8/7/2024 8/7/2024	JUDGMENT & SENT. BOOK 21151 PAGE 641-642 RECORDED DOCUMENT	2	View on request

Offense Date

08/01/2024

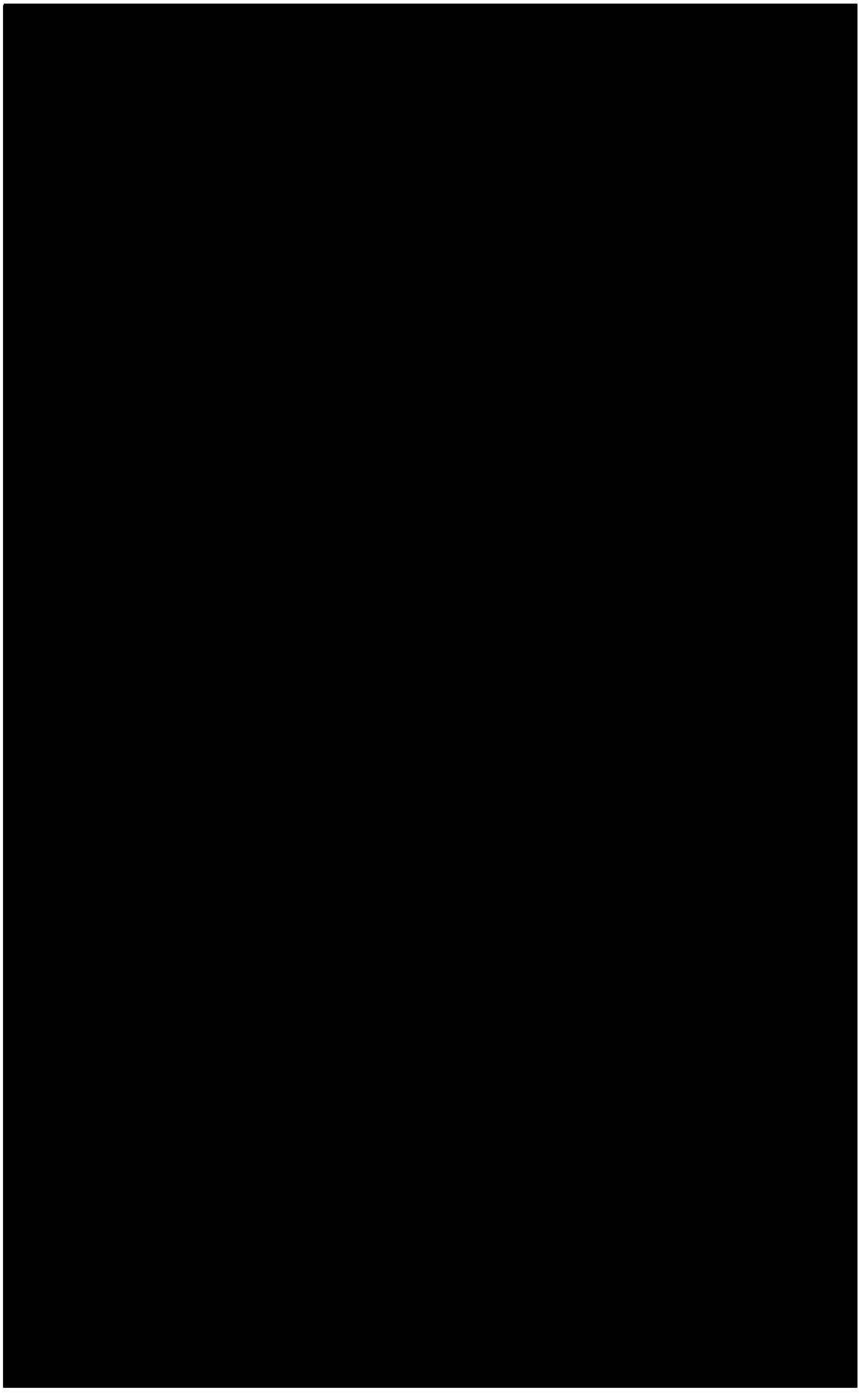
And

05/30/2025

(Re-Arrest)



[redacted]





First Appearance:	Continued:	Continued:	Continued:	Continued:
Continued:	Continued:	Continued:	Continued:	Continued:

Route To
Groups:
People:

Arrest and Booking Report

Jacksonville Sheriff's Office

Jacksonville, Florida

ADULT
BALL, JOSEPH WILLIAM

Year: **2024** *Incident #:* **212396**	*Amend #:*
Previous Juvenile Jail Number:	
Jail #: **2024017488** *Admitted:* **08/01/2024 17:31**	*File Direct:* **YES**
JSO ID#:	*Court:* **Circuit**
SSN:	*OBTS #:*

FELONY

Incident Information

Incident Location
13363 BEACH BLVD
JACKSONVILLE, FL 32246
Sub-sector: **H1** *TAZ:* **333**

Arrest Location
1300 SHETTER AVE
JACKSONVILLE BEACH, FL 32250
Sub-sector: **JB** *TAZ:* **513**

Arresting Agency: **JACKSONVILLE BEACH P.D.** *Day/Date/Time Arrested:* **Thursday, 08/01/2024 15:37**
Day/Date/Time Incident From: **Saturday, 04/06/2024 08:00** *To:* **Saturday, 04/06/2024 16:34**
Offense Location Type: **OTHER / UNKNOWN**

Miscellaneous
Interviewed By:
Is Arrestee currently on probation?: **NO**
Drug Activity: **UNKNOWN** *Drug Type:* **NOT APPLICABLE**
Arrest Made On: **CALL** *Is Incident Gang Related?:* **NO** *Was Hate Crime Involved?:* **NO** *Was Dating Violence Involved?:* **NO**

ORIGINAL

Domestic Violence Related?: **NO** *If No, Is it Domestic Related?:* **NO** *Any Children under 18 Involved as a Victim?:* **NO**

Arrestee - BALL, JOSEPH WILLIAM

Demographics
Race: **WHITE** *Sex:* **MALE** *Date of Birth:* [redacted]
Ethnicity: **NOT OF HISPANIC ORIGIN**
Primary Language: *Secondary Language:*
Age: **39** *Height (inches):* **5'07"** *Weight (lbs):* **185**
Hair Style: **OTHER** *Hair Color:* **BALD** *Hair Length:* **BALD/BALDING**
Build: **MEDIUM** *Eye Color:* **BROWN** *Facial Hair:* **BEARD**
Complexion: **FAIR / LIGHT** *Voice:* **TALKATIVE**
Clothing/Description:
Place of Birth: **JACKSONVILLE, FLORIDA, UNITED STATES** *Place of Birth County:* **DUVAL**
Nicknames:
Aliases:

AUG 02 2024

Distinguishing Marks (Scars, Marks, and Tattoos)

Contact Information
Home Phone #: *Bus. Phone #:* CLERK COPY *Alt. Phone #:*
Cell Phone #: *Cell Phone Provider:*
Email Address:

Primary Identification
Type of ID Given: **DRIVERS LICENSE** *ID:* [redacted] *Issuing State:* **FLORIDA**

Agency Identification
JSO ID: *JPICS ID:* **1718721**

Home Address
1300 SHETTER AVE # 5211
JACKSONVILLE BEACH, FL 32250
Sub-sector: **JB** *TAZ:* **513**

Mailing Address
1300 SHETTER AVE # 5211
JACKSONVILLE BEACH, FL 32250
Sub-sector: **JB** *TAZ:* **513**

Alternate Address

Employment/School
Employer: **UNEMPLOYED** *Occupation:*
School Last Attended: **BISHOP KENNY HIGH SCHOOL**

ORIGINAL

Country of Citizenship: **UNITED STATES** *US Citizen?:* **YES** *Residence Type:* **FLORIDA** *Residence Status:* **RESIDENT**
ECD Usage:
RTR written related to this incident?: **NO** *RTR Incident Yr:* *RTR Incident #:*
Drugs Involved?: **NO** *Alcohol Involved?:* **NO** *Computer Involved?:* **NO**
Is Arrestee a Gang member?: **NO** *Required to register as a sex offender?:* **NO**
Suspect Invoke Miranda?: **NO** *Miranda Rights Given?:* **NO** *Arrestee Confessed?:* **NO** *Arrestee needs ADA Consideration?:* **NO**

Weapon(s) Involved
Weapon(s): **NOT APPLICABLE (NONE)**

Offense(s)

#1 *Statute #:* **836.05** *Degree:* **F2** *UCR Code:* *Attempt Code:* **Committed**
EXTORTION

Citation #: *SA #:* **24AF041621AD** *Warrant Type:* **Local Arrest Warrant**
JSO Control #: **1716914** *Warrant/Case #:* **16-2024-AF-143898-FXXX-MA** *No. of Counts:*
Jurisdiction: **JACKSONVILLE BEACH P.D.** ~~*Court Location/Div.:*~~ *Judge:* **Robin Lanigan**
Purge/Bond Type: **Bond** *Bond Amount:* **$50,003.00** *Date of Issue:* **06/25/2024** *Date of Return:* **08/01/2024**
Criminal Activity Type:
VOP/FTA ONLY No vict Contact

ORDER SETTING BOND AND PLACING DEFENDANT ON HOME DETENTION/ELECTRONIC MONITORING
~~*UCR Code:*~~ - FILED

Original Statute No: *Degree:* *Attempt Code:* **Committed**
Description:

Additional Information

On 8/1/2024, I contacted the defendant, Joseph Ball, at 1502 hours, regarding a Warrant.

When contact was made with the defendant, I informed him that there was an active warrant for his arrest. The defendant was detained pending confirmation of the warrant.

The warrant was confirmed and the defendant was placed under arrest on a felony warrant for extortion.

*****************JSO Narrative*********************

On 04/05/24, the victim [redacted] was at Wawa (13363 Beach Blvd) and observed the suspect (Joseph Ball). Neither the victim nor the suspect said or did anything to one another, but both saw each other.

On 04/06/24, Mr. Walker received messages from the suspect via a Facebook account he knew belonged to the suspect (Joey Ball). The contents of the messages contained the following:

"Well, here it comes...Karma...Zell me $2,000 right now, or I'm calling every Law Firm that you've done business with and the surrounding area that you haven't done business with and tell them what the fuck is up. You have 30 minutes before this message explodes in your face like my life did trying to help you...hurry up."

"Not to mention you've been getting weed mailed to you through Government proceedings illegally. ball_jospeh/9048024125 you bitch."

Mr. Walker stated that 904-802-4125 is a cell phone known to belong to the suspect.

When Mr. Walker saw these messages, he blocked the suspect so he wouldn't receive any more. That is when the witness (victim's wife) received a message from Joey Ball's Facebook account with the following message, "Your dog shit crackhead of a husband wants to block upon blackmail... You and your children are going to jail. Congrats Calling 911 rt now."

Mr. Walker advised he has known the suspect since high school and at one time employed him at his company. Mr. Walker advised he had to fire the suspect in August of 2023, due to the suspect being arrested. He stated that contact with the suspect since that time had been very limited but cordial. At this time, the victim feels that he is in danger because the suspect knows all of his clients and where he lives.

Your affiant researched the suspect phone number via available law enforcement databases and confirmed the number in question is associated to the suspect. Further, a subpoena was served to Zelle and Wells Fargo for the account provided to the victim by the suspect. Both accounts came back belonging to the suspect.

The whereabouts of the suspect is unknown at this time.

Signature

I, R.A. MARRERO, swear or affirm that the information provided within this affidavit and any additional documentation referenced in this affidavit to be true and correct to the best of my knowledge.

Arresting Officer #1: **/s/ R.A. MARRERO (#1272)**
Div/District or Unit: **JACKSONVILLE BEACH POLICE DEPT**

Sworn to me this 1st day of August, 2024, by the referenced arresting officer under oath. The officer is personally known to me.

Approving Supervisor: **/s/ W.K. BROWN (#794)** *Status:* **Approved**

Administrative

Transported By: **R.A. MARRERO (#1272)**

Arresting Officer #2: **N/A**
Num of Cases Cleared:

Additional Reviewers

Report Reviewer 2: **/s/ V.D. WILLIAMS (#68548)** *Status:* **Approved**
Report approved on: **08/01/2024 17:31**

Investigation Time #1

Hour(s): **01** *Minute(s):* **01** *Cost Amount:* **$49.04**

Additional Question(s)

Body Worn Camera Footage

01 *Is there Body Worn Camera (BWC) footage for incident?:* **NO**

Jail Information (Back Door)

Date and Time Admitted: *08/01/2024 17:31*

Jail Number: **2024017488** *Juvenile/Court Clerk #:*

Triage Questions

Involved in Traffic Accident?: **NO** *Injuries from Accident?:*
Was OC Deployed Prior to/during Arrest?: **NO** *Was a total appendage restraint used on the arrestee/inmate?:* **NO**
Was pro-straint chair used on arrestee before admission to jail?: **NO**
Does the Arrestee Exhibit any Signs of Suicidal Behavior or Attempts?: **NO** *Does the Arrested have any Observable Medical/Mental Health Problems?:* **NO**
Has the Arrestee Shown any Escape Potential or Violence Propensity Behaviors?: **NO**
Is there any other Information about the Arrestee that Jail Personnel need to Know?: **NO**
If Yes, What?:

Part II of Arrest And Booking Report:

Arrestee Personal Information: How Long in Jax?

Chemical Test Data

Specimen:

Blood *Breath* *Urine* *Unable* *None*

Analysis Results:

1st *g/210L* *TIME:*

2nd *g/210L* *TIME:*

3rd *g/210L* *TIME:*

Refused:
Blood:
Breath:
Urine:

Breath Test Instrument:
Type: *Machine #:*
Operator Name and ID#: *Date:*

Verification By: _____

Right Thumb Print

ORIGINAL

 RRANT# 16-2024-AF-143898-FXXX- CTL# 1716914

ARREST WARRANT

S.A. CASE NO.: 24AF041621AD CCR NO.: 2024-212396
DIVISION: JUDGE: R. Lanigan
ASST ST ATTY: Joseph R Licandro

IN THE NAME OF THE STATE OF FLORIDA
TO ALL SINGULAR THE SHERIFFS OR DEPUTY
SHERIFFS OF THE STATE OF FLORIDA

WHEREAS, upon the sworn affidavit, complaint or other sworn testimony of **B J WOLCOTT**, the undersigned Judge of the Fourth Judicial Circuit of Florida, in and for Duval County, has found that there exists probable cause to believe that one **JOSEPH WILLIAM BALL** did on **April 06, 2024**, in Duval County, Florida, commit the below listed offense(s), contrary to the provisions of Florida Statutes:

	Bond Amt	Charge Description
1)	$50,003.00	**836.05**, EXTORTION; F2

Other Release Conditions: GPS OR ANKLE; NO CONTACT WITH VICTIM

You are HEREBY COMMANDED to arrest **JOSEPH WILLIAM BALL**; last known address: **1300 SHETTER AVE, JACKSONVILLE BEACH, FL 32250**; Race: **WHITE**; Ethnicity: ; Sex: **MALE**; DOB: [redacted] Ht: **5' 07"**; Wt: ; Hair: **BROWN**; Eyes: **BROWN**; Scars, Marks: ;SSN: [redacted] if he be found in your county, and safely keep him so that you have his body before a Judge of the Duval County Circuit Court, at the Courthouse in Jacksonville, instanter, to be dealt with according to law.

Given under my hand and seal this 25th day of June, 2024.

Control #: 1716914
Signed: 6/25/2024 11:24:59 PM
Judge: ROBIN LANIGAN

Robin Lanigan

Judge of the County Court of the Fourth
Judicial Circuit in and for Duval County, Florida

Electronically signed per sections 901, 933 of Florida Statutes

Extradition Code: O
ASA Approval: /s/ Joseph R Licandro

Received this Arrest Warrant the ____ day of _____, 20____, and executed it on the ____ day of _____, 20____, by arresting the within named _____ and having him before the Court this ____ day of _____, 20_____.

Arresting Officer: _____ ID# _____
Agency: _____
Duty Section: _____

JAIL DOCKET NO.: _____

COPY

AFFIDAVIT FOR ARREST WARRANT

S.A. CASE NO.: CCR NO.: 2024-212396
DIVISION: JUDGE:
ASST ST ATTY:

STATE OF FLORIDA)
COUNTY OF DUVAL)

Before me the undersigned Fourth Circuit Judicial Officer, in and for Duval County; notary public; or law enforcement officer personally came B J WOLCOTT, who, being duly sworn deposes and says that he/she has reason to believe and does believe that one **JOSEPH WILLIAM BALL**, described as follows: Last known address: **1300 SHETTER AVE, JACKSONVILLE BEACH, FL 32250**; Race: **WHITE**; Ethnicity: ; Sex: **MALE**; DOB: [redacted] Ht: **5' 07"**; Wt: ; Hair: **BROWN**; Eyes: **BROWN**; Scars, Marks: ;SSN: [redacted] did in Duval County, Florida, on **April 06, 2024**, commit the below listed offense(s), contrary to the provisions of Florida Statutes:

1) **836.05**, EXTORTION; F2

Affiant's reasons for so believing are as follows:

On 04/05/24, the victim ([redacted] was at Wawa (13363 Beach Blvd) and observed the suspect (Joseph Ball). Neither the victim nor the suspect said or did anything to one another, but both saw each other.

On 04/06/24, Mr. Walker received messages from the suspect via a Facebook account he knew belonged to the suspect (Joey Ball). The contents of the messages contained the following:

"Well, here it comes...Karma...Zell me $2,000 right now, or I'm calling every Law Firm that you've done business with and the surrounding area that you haven't done business with and tell them what the fuck is up. You have 30 minutes before this message explodes in your face like my life did trying to help you...hurry up."

"Not to mention you've been getting weed mailed to you through Government proceedings illegally. ball_jospeh/9048024125 you bitch."

Mr. Walker stated that 904-802-4125 is a cell phone known to belong to the suspect.

When Mr. Walker saw these messages, he blocked the suspect so he wouldn't receive any more. That is when the witness (victim's wife) received a message from Joey Ball's Facebook account with the following message, "Your dog shit crackhead of a husband wants to block upon blackmail... You and your children are going to jail. Congrats Calling 911 rt now."

Mr. Walker advised he has known the suspect since high school and at one time employed him at his company. Mr. Walker advised he had to fire the suspect in August of2023, due to the suspect being arrested. He stated that contact with the suspect since that time had been very limited but cordial. At this time, the victim feels that he is in danger because the suspect knows all of his clients and where he lives.

Your affiant researched the suspect phone number via available law enforcement databases and confirmed the number in question is associated to the suspect. Further, a subpoena was served to Zelle and Wells Fargo for the account provided to the victim by the suspect. Both accounts came back belonging to the suspect.

The whereabouts of the suspect is unknown at this time.

AFFIDAVIT FOR ARREST WARRANT, CCR NO: 2024-212396

I swear and affirm that the above statement is true and correct to the best of my knowledge.

/s/ B J WOLCOTT

Affiant: B J WOLCOTT ID# 7620
Agency: Jacksonville Sheriff's Office
Duty Section:

Sworn to and subscribed before me this 25th day of June, 2024, by the aforementioned Affiant **[X]** who is personally known to me or **[ ]** who has produced _____ as identification and who did take an oath.

Signature of person who gave the oath:

/s/ J B WADDELL **[ ]** Judge or **[X]** Police Officer or **[ ]** Notary

J B WADDELL Printed Name of person who gave the oath.

Electronically administered per sections 92 and 117 of Florida Statutes

STATE ATTORNEY'S OFFICE

MELISSA NELSON
STATE ATTORNEY

ARREST WARRANT / SEARCH WARRANT MEMORANDUM

TO: Circuit/County Judge DIVISION:

FROM: State Attorney's Office S.A. NUMBER: 24AF041621AD

DEFENDANT: **JOSEPH WILLIAM BALL**
(not applicable on search warrant)

☑ I reviewed the attached Arrest Warrant.

☑ I request a bond of **$50,003.00** per count to be set. Other release conditions: GPS OR ANKLE; NO CONTACT WITH VICTIM

☐ I am aware of an out of state record that exists for this Defendant

☐ I reviewed the attached Search Warrant.

/s/ Joseph R Licandro
Assistant State Attorney: Joseph R Licandro

6/25/2024
Date

Phone Number:

RRANT SERVED NOTIFICATIO..

CTL# 1716914

S.A. NO.: **24AF041621AD**
CCR NO.: **2024-212396**
COURT CASE NO.: **16-2024-AF-143898-FXXX-MA**
CONTROL NO.: **1716914**
NIC NO.: **W055403513**

Received this Arrest Warrant the 25th day of June, 2024, and executed it on the 1st day of August, 2024, by arresting the within named JOSEPH WILLIAM BALL and having him before the Court this 1st day of August, 2024.

Statute Number and Description	Bond
836.05, EXTORTION; F2	**SET AMOUNT 50,003.00** Conditions: GPS OR ANKLE; NO CONTACT WITH VICTIM

JUDGE: R. Lanigan

COURT LOCATION/DIV:

SERVING OFFICER: /s/ Donald D. Boston ID# 65272

SERVING AGENCY: JSO Department of Corrections

DUTY SECTION:

SERVICE COUNTY: Duval

JAIL DOCKET NUMBER: 2024017488

COC OFFENDER NUMBER:

OBTS NUMBER:

Jody Phillips
Clerk of Circuit Court

ADD ON DOCKET

Date: AUGUST 02, 2024 Judge: ELENI DERKE P/A: LISETTE PELLOT

ORDER SETTING BOND AND PLACING DEFENDANT
ON HOME DETENTION/ELECTRONIC MONITORING
PROGRAM - FILED

DEF ADJ INSOLVENT &
PUBLIC DEFENDER APPT'D

PASS TO: 08/22/2024
CIRCUIT COURT

PSJ2451

APP FOR CRIMINAL INDIGENT STATUS
DEFT FOUND (X) INDIGENT ( ) NOT INDIGENT

Recorded 08/08/2025 11:55 AM, JODY PHILLIPS CLERK CIRCUIT COURT DUVAL COUNTY

IN THE CIRCUIT COURT, FOURTH JUDICIAL CIRCUIT, IN AND FOR DUVAL COUNTY, FLORIDA

CASE NUMBER: 16-2024-CF-007879-AXXX-MA
DIVISION: CR-A

STATE OF FLORIDA

VS.
JOSEPH WILLIAM BALL, DEFENDANT

☐ Probation Violator
☐ Community Control Violator
☐ Retrial
☐ Resentence

JUDGMENT AND SENTENCE

The defendant, **JOSEPH WILLIAM BALL**, being personally before this Court, represented by K. Willis, PD, the attorney of record, and the State being represented by A. Kantor, and having:

☐ been tried and found guilty by jury/by court of the followng crime(s)
☑ entered a plea of guilty to the following crime(s)
☐ entered a plea of nolo contendere to the following crime(s)

Count	Crime	Offense Statute Number(s)	Degree Of Crime
1	Extortion	836.05	F2

☑ and no cause being shown why the defendant should not be adjudicated guilty; IT IS ORDERED that the defendant is hereby ADJUDICATED GUILTY of the above crime(s).

☐ being a qualified offender pursuant to F.S. 943.325, the defendant shall be required to submit a DNA sample as required by law.

☐ and good cause being shown; IT IS ORDERED THAT ADJUDICATION OF GUILT BE WITHHELD.

FILED
AUG 06 2025
DUVAL CLERK OF COURT

JODY PHILLIPS
CLERK OF THE COUNTY COURT
DUVAL COUNTY
2136, 501 WEST ADAMS STREET
JACKSONVILLE, FLORIDA 32202-2985

JODY PHILLIPS
Clerk of the Circuit Court

I, JODY PHILLIPS, CLERK OF THE CIRCUIT AND COUNTY COURTS IN AND FOR DUVAL COUNTY, FLORIDA DO HEREBY CERTIFY THE RECORDS KEPT BY THIS OFFICE INDICATE THAT THE FOLLOWING ENTRIES CONSTITUTE A TRUE AND CORRECT COPY OF THE COURT RECORD AND LITERAL TRANSCRIPT OF THE FELONY DEPARTMENT, JACKSONVILLE, DUVAL COUNTY, FLORIDA.

DEFENDANT JOSEPH WILLIAM BALL

CASE NO. 16-2024-CF-007879-AXXX-MA

ARREST DATE 08/01/2024

JUDGE LINDSAY L. TYGART

OFFENDER NO. / CITATION NO. 2024-017488

	COUNT 1	COUNT	COUNT	COUNT	COUNT
OFFENSE	EXTORTION				
PLEA	GUILTY				
ADJUDICATION	WITHHELD				
DISPOSITION DATE	10/03/2024				

DISPOSITION ON 10/03/2024 DEFENDANT PERMITTED TO WITHDRAW PLEA OF NOT GUILTY AND PLEAD GUILTY. ADJUDICATION OF GUILT WAS WITHHELD AND SENTENCED TO 90 DAYS IN DUVAL COUNTY JAIL WITH 63 DAYS CREDIT TIME SERVED FOLLOWED BY 18 MONTHS PROBATION. (PLEASE SEE PROBATION ORDER FOR A LIST OF SPECIAL CONDITIONS). ON 05/21/2025 AFFIDAVIT WAS FILED FOR VIOLATION OF PROBATION. ON 08/06/2025 DEFENDANT ADMITS VIOLATION AND IS THEN ADJUDGED GUILTY. THIS CASE IS TO RUN CONCURRENT 25-CT-6033. PROBATION WAS REINSTATED.

COURT COST AND FEES OF $716.00 IMPOSED REMAINING BALANCE $50.00

WITNESS BY HAND AND SEAL OF OFFICE, AT JACKSONVILLE, DUVAL COUNTY, FLORIDA, THIS 19th DAY OF AUGUST 2026

JODY PHILLIPS
CLERK OF THE CIRCUIT AND COUNTY COURTS
BY

Filing # 208883117 E-Filed 10/16/2024 10:54:27 AM

STATE OF FLORIDA

IN THE FOURTH JUDICIAL CIRCUIT COURT, IN AND FOR **DUVAL** COUNTY

-VS-

CASE NUMBER **16-2024-CF-007879-AXXX-MA**

JOSEPH WILLIAM BALL
Defendant

DC NUMBER **N72489 / DIV - A**

Local Jurisdiction Identification Number: _____

ORDER OF PROBATION

This cause coming before the Court to be heard, and you, the defendant, being now present before the court, and you having

- ☒ entered a plea of guilty to
- ☐ entered a plea of nolo contendere to
- ☐ been found guilty by jury verdict of
- ☐ been found guilty by the court trying the case without a jury of

Count **1** **EXTORTION**

SECTION 1: JUDGMENT OF GUILT

☐ The court hereby adjudges you to be guilty of the above offense(s).

Now, therefore, it is ordered and adjudged that the imposition of sentence is hereby withheld and that you be placed on Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.

SECTION 2: ORDER WITHHOLDING ADJUDICATION

☒ Now, therefore, it is ordered and adjudged that the adjudication of guilt is hereby withheld and that you be placed on Probation for a period of **Eighteen (18) months** under the supervision of the Department of Corrections, subject to Florida law.

SECTION 3: INCARCERATION DURING PORTION OF SUPERVISION SENTENCE

It is hereby ordered and adjudged that you be:

☐ committed to the Department of Corrections
for a term of _____prison with credit for _____ jail time, followed by Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.
or

☐ confined in the County Jail
for a term of _____ with credit for _____ jail time. After you have served _____ of the term, you shall be placed on Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.
or

☒ confined in the County Jail
for a term of **90 days** with credit for **63 days** jail time, as a special condition of supervision.

IT IS FURTHER ORDERED that you shall comply with the following standard conditions of supervision as provided by Florida law:

(1) You will report to the probation officer as directed.

(2) You will pay the State of Florida the amount of $**40.00** per month, as well as 4% surcharge, toward the cost of your supervision in accordance with s. 948.09, F.S., unless otherwise exempted in compliance with Florida Statutes.

(3) You will remain in a specified place. You will not change your residence or employment or leave the county of your residence without first procuring the consent of your officer.

(4) You will not possess, carry or own any firearm. You will not possess, carry, or own any weapon without first procuring the consent of your officer.

(5) You will live without violating any law. A conviction in a court of law is not necessary for such a violation of law to constitute a violation of your probation, community control, or any other form of court ordered supervision.

ACCEPTED: DUVAL COUNTY, JODY PHILLIPS, CLERK, 10/16/2024 03:21:59 PM

(6) You will not associate with any person engaged in any criminal activity.

(7) You will not use intoxicants to excess or possess any drugs or narcotics unless prescribed by a physician, an advanced practice registered nurse, or a physician assistant. Nor will you knowingly visit places where intoxicants, drugs or other dangerous substances are unlawfully sold, dispensed or used.

(8) You will work diligently at a lawful occupation, advise your employer of your probation status, and support any dependents to the best of your ability, as directed by your officer.

(9) You will promptly and truthfully answer all inquiries directed to you by the court or the officer, and allow your officer to visit in your home, at your employment site or elsewhere, and you will comply with all instructions your officer may give you.

(10) You will pay restitution, court costs, and/or fees in accordance with special conditions imposed or in accordance with the attached orders.

(11) You will submit to random testing as directed by your officer or the professional staff of the treatment center where you are receiving treatment to determine the presence or use of alcohol or controlled substances.

(12) You will submit a DNA sample, as directed by your officer, for DNA analysis as prescribed in ss. 943.325 and 948.014, F.S.

(13) You will submit to the taking of a digitized photograph by the department. This photograph may be displayed on the department's website while you are on supervision, unless exempt from disclosure due to requirements of s. 119.07, F.S.

(14) You will report in person within 72 hours of your release from incarceration to the probation office in Duval County, Florida, unless otherwise instructed by the court or department. (This condition applies only if section 3 on the previous page is checked.) Otherwise, you must report immediately to the probation office located at 908 N. Jefferson Street, Bldg. F, Jacksonville FL 32209 (904) 695-4045.

SPECIAL CONDITIONS

☒ 1. You will have no contact (direct or indirect) with **victim(s) Michael & Shannon Walker** during the period of supervision.

☒ 2. You must not go within 500 feet of Michael Walker or Shannon Walker. You must not go with 500 feet of 2966 Marmoris Drive, Jacksonville, Florida 32246.

☒ 3. You must undergo a TASC evaluation and, if treatment is deemed necessary, you must successfully complete the treatment, and be responsible for the payment of any costs incurred while receiving said evaluation and treatment, unless waived by the court.
Additional instructions ordered: _____

☒ 4. You must undergo a Mental Health evaluation and, if treatment is deemed necessary, you must successfully complete the treatment, and be responsible for the payment of any costs incurred while receiving said evaluation and treatment, unless waived by the court.
Additional instructions ordered: _____

☒ 5. Pay Court Costs.

☒ 6. You will be required to pay for drug testing unless exempt by the court.

Effective for offenders whose crime was committed on or after September 1, 2005, there is hereby imposed, in additional to any other provision in this section, mandatory electronic monitoring as a condition of supervision for those who:

- Are placed on supervision for a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older; or
- Are designated as a sexual predator pursuant to s. 775.21; or
- Has previously been convicted of a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older.

You are hereby placed on notice that should you violate your probation or community control, and the conditions set forth in s. 948.063(1) or (2) are satisfied, whether your probation or community control is revoked or not revoked, you shall be placed on electronic monitoring in accordance with F.S. 948.063.

Effective for offenders who are subject to supervision for a crime that was committed on or after May 26, 2010, and who has been convicted at any time of committing, or attempting, soliciting, or conspiring to commit, any of the criminal offenses listed in s. 943.0435(1)(h)1.a.(I), or a similar offense in another jurisdiction, against a victim who was under the age of 18 at the time of the offense; the following conditions are imposed in addition to all other conditions:

(a) A prohibition on visiting schools, child care facilities, parks, and playgrounds, without prior approval from the offender's supervising officer. The court may also designate additional locations to protect a victim. The prohibition ordered under this paragraph does not prohibit the offender from visiting a school, child care facility, park, or playground for the sole purpose of attending a

religious service as defined in s. 775.0861 or picking up or dropping off the offender's children or grandchildren at a child care facility or school.

(b) A prohibition on distributing candy or other items to children on Halloween; wearing a Santa Claus costume, or other costume to appeal to children, on or preceding Christmas; wearing an Easter Bunny costume, or other costume to appeal to children, on or preceding Easter; entertaining at children's parties; or wearing a clown costume; without prior approval from the court.

Effective for offenders whose crime was committed on or after October 1, 2014, and who is placed on probation or community control for a violation of chapter 794, s. 800.04, s. 827.071, s. 847.0135(5), or s. 847.0145, in addition to all other conditions imposed, is prohibited from viewing, accessing, owning, or possessing any obscene, pornographic, or sexually stimulating visual or auditory material unless otherwise indicated in the treatment plan provided by a qualified practitioner in the sexual offender treatment program. Visual or auditory material includes, but is not limited to, telephone, electronic media, computer programs, and computer services.

YOU ARE HEREBY PLACED ON NOTICE that the court may at any time rescind or modify any of the conditions of your probation, or may extend the period of probation as authorized by law, or may discharge you from further supervision. If you violate any of the conditions of your probation, you may be arrested and the court may revoke your probation, adjudicate you guilty if adjudication of guilt was withheld, and impose any sentence that it might have imposed before placing you on probation or require you to serve the balance of the sentence.

IT IS FURTHER ORDERED that when you have been instructed as to the conditions of probation, you shall be released from custody if you are in custody, and if you are at liberty on bond, the sureties thereon shall stand discharged from liability. (This paragraph applies only if section 1 or section 2 is checked.)

IT IS FURTHER ORDERED that you pay:
Court Costs, Fees, and Fines, as imposed at sentencing, in the total amount of: $ "All costs assessed pursuant to the Judgment and Sentence."

Payments processed through the Department of Corrections will be assessed a 4% surcharge pursuant to s. 945.31, F.S.
Pursuant to s. 948.09, F.S., you will be assessed an amount of $2.00 per month for each month of supervision for the Training Trust Fund Surcharge.

☐ Court Costs/Fines Waived
☐ Court Costs/Fines in the amount of _____ converted to _____ community service hours
☐ Court Costs/Fines in the amount of _____ reduced to civil judgment.

SPECIFIC INSTRUCTIONS FOR PAYMENT: _____

IT IS FURTHER ORDERED that the clerk of this court file this order in the clerk's office and provide certified copies of same to the officer for use in compliance with the requirements of law.

DONE AND ORDERED, on **October 3, 2024**

NUNC PRO TUNC

16-2024-CF-007879-AXXX-MA 10/15/2024 05:39:36 PM

Lindsay L. Tygart, Circuit Judge

Lindsay Tygart, Judge
16-2024-CF-007879-AXXX-MA 10/15/2024 05:39:36 PM

I acknowledge receipt of a copy of this order and that the conditions have been explained to me and I agree to abide by them.

Date: _____

_____
Defendant

Instructed by: _____
Supervising Officer

Rule 3.992(a) Criminal Punishment Code Scoresheet

The Criminal Punishment Code Scoresheet Preparation Manual is available at: http://www.dc.state.fl.us/pub/sen_cpcm/index.html

1. DATE OF SENTENCE	2. PREPARER'S NAME	3. COUNTY	4. SENTENCING JUDGE	
8-6-2025	SAO	DUVAL	TYGART	
5. NAME (LAST, FIRST, M.I.)	6. DOB	8. RACE	10. PRIMARY OFF. DATE	12.
BALL, JOSEPH WILLIAM		W	4-26-2024	PLEA XX
	7. DC #	9. GENDER	11. PRIMARY DOCKET #	TRIAL ☐
		M	2024 CF 7879	

I. PRIMARY OFFENSE: Qualifier:

FELONY DEGREE	F.S.#	DESCRIPTION	OFFENSE LEVEL	POINTS
F2	836.05	EXTORTION	6	36

(Level – Points: 1=4, 2=10, 3=16, 4=22, 5=28, 6=36, 7=56, 8=74, 9=92, 10=116)

Prior capital felony doubles Primary Offense points ☐ I. _____

II. ADDITIONAL OFFENSE(S): Supplemental page attached ☐

DOCKET #	FEL/MM DEGREE	F.S.#	OFFENSE LEVEL	QUALIFY: A/S/C/R	COUNTS	POINTS	TOTAL
DESCRIPTION							
DESCRIPTION							
DESCRIPTION							
DESCRIPTION							

(Level – Points: M=0.2, 1=0.7, 2=1.2, 3=2.4, 4=3.6, 5=5.4, 6=18, 7=28, 8=37, 9=46, 10=58)

Prior capital felony doubles Additional Offense points ☐ Supplemental page points _____

II. _____

III. VICTIM INJURY:

		Number	Total			Number	Total
2nd Degree Murder	240 X	_____	= _____	Slight	4 X	_____	= _____
Death	120 X	_____	= _____	Sex Penetration	60 X	_____	= _____
Severe	40 X	_____	= _____	Sex Contact	40 X	_____	= _____
Moderate	18 X	_____	= _____				

III. _____

IV. PRIOR RECORD: Supplemental page attached ☐

FEL/MM DEGREE	F.S.#	OFFENSE LEVEL	QUALIFY: A/S/C/R	DESCRIPTION	NUMBER		POINTS		TOTAL
MM	MM			VARIOUS MM	7	X	.2	=	1.4
						X		=	
						X		=	
						X		=	
						X		=	
						X		=	
						X		=	
						X		=	
						X		=	
						X		=	

(Level – Points: M=0.2, 1=0.5, 2=0.8, 3=1.6, 4=2.4, 5=3.6, 6=9, 7=14, 8=19, 9=23, 10=29)

Supplemental page points _____

IV. 1.4

Page 1 Subtotal: 37.4

FILED

AUG 06 2025

DUVAL CLERK OF COURT

Effective Date: For offenses committed under the Criminal Punishment Code effective for offenses committed on or after October 1, 1998, and subsequent revisions.

NAME (LAST, FIRST, MI. I.)	DOCKET #
BALL, JOSEPH WILLIAM	2024 CF 7879

Page 1 Subtotal: 37.4

V. Legal Status Violation = 4 Points
☐ Escape ☐ Fleeing ☐ Failure to Appear ☐ Supersedeas bond ☐ Incarceration ☐ Pretrial intervention or diversion program
☐ Court imposed post prison release community supervision resulting in a conviction V. _____

VI. Community Sanction Violation before the court for sentencing VI. 6
X☐ Probation ☐ Community Control ☐ Pretrial intervention or diversion
☐X 6 points for any violation other than new felony conviction 1 each successive violation OR
☐ New felony conviction = 12 points X _____ each successive violation if new offense results in conviction before or at same time as sentence for violation of probation OR
☐ 12 points X _____ each successive violation for a violent felony offender of special concern when the violation is not based solely on failure to pay costs, fines, or restitution OR
☐ New felony conviction = 24 points X _____ each successive violation for a violent felony offender of special concern if new offense results in a conviction before or at the same time for violation of probation

VII. Firearm/Semi-Automatic or Machine Gun = 18 or 25 points VII. _____
VIII. Prior Serious Felony = 30 points VIII. _____
Subtotal Sentence Points _____

IX. Enhancements (only if primary offense qualifies for enhancement)

Law Enf. Protect.	Drug Trafficker	Motor Vehicle Theft	Criminal Gang Offense	Domestic Violence in the Presence of Related Child (offenses committed on or after 03-12-07)	Adult-on-Minor Sex Offense (offenses committed on or after 10-1-14)
☐ x 1.5 ☐ x 2.0 ☐ x 2.5	☐ x 1.5	☐ x 1.5	☐ x 1.5	☐ x 1.5	☐ x 2.0

Enhanced Subtotal Sentence Points IX. _____
TOTAL SENTENCE POINTS 43.4

SENTENCE COMPUTATION

If total sentence points are less than or equal to 44, the lowest permissible sentence is any non-state prison sanction. If the total sentence points are 22 points or less, see Section 775.082(10), Florida Statutes, to determine if the court must sentence the offender to a non-state prison sanction.

If total sentence points are greater than 44:

_____ minus 28 = _____ x .75 = NSP
total sentence points — Lowest permissible prison sentence in months

If total sentence points are 60 points or less than and court makes findings pursuant to both Florida Statutes 948.20 and 397.334(3), the court may place the defendant into a treatment-based drug court program.

The maximum sentence is up to the statutory maximum for the primary and any additional offenses as provided in s.775.082, F.S., unless the lowest permissible sentence under the code, exceeds the statutory maximum. Such sentences may be imposed concurrently or consecutively. If the total sentence points are greater than or equal to 363, a life sentence may be imposed.

15
maximum sentence in years

TOTAL SENTENCE IMPOSED

		Years	Months	Days
☐ State Prison	☐ Life			
☒ County Jail	☐ Time Served			75 days
☐ Community Control				
☑ Probation	☐ Modified			continue on probation

Please check if sentenced as ☐ habitual offender, ☐ habitual violent offender, ☐ violent career offender, ☐ prison release reoffender, or a ☐ mandatory minimum applies.
☐ Mitigated Departure ☐ Plea Bargain ☐ Prison Diversion Program
Other Reason _____

JUDGE'S SIGNATURE Thursday Inget

Filing # 229155893 E-Filed 08/11/2025 02:53:23 PM

Officer **Smith**
Office Location **042/ Jacksonville South**
Judge/Division **Tygart/ Div - A**

STATE OF FLORIDA

VS

JOSEPH WILLIAM BALL
Defendant

In the Circuit Court

Duval County, Florida

DC No. **N72489**
Docket/UC No. **16-2024-CF-007879-AXXX-MA**

ORDER OF MODIFICATION OF PROBATION

THIS CAUSE was considered due to a violation brought before the court to modify the terms of Probation, which was ordered on October 3, 2024, by Circuit Judge Tygart for a term of 18 months in accordance with Florida Law. Having given due consideration, Probation is modified in accordance with section 948.03 Florida Statutes, in the following manner:

PROBATION REINSTATE AND MODIFIED:

Special Conditions:

1. **75 days Duval County Jail with 68 days credit.**
2. **No victim contact with Shannon and Michael Walker**
3. **Waive Cost of Supervision beginning 08/06/2025 until termination of probation.**

All previously imposed special conditions to remain in effect, including original termination date.

It is further ORDERED that the clerk of court file this order in the official records and provide certified copies to the officer for use in compliance with the requirements of the law.

DONE AND ORDERED ON THIS 6th DAY OF AUGUST 2025.

16-2024-CF-007879-AXXX-MA 08/11/2025 02:42:17 PM

Lindsay L. Tygart, Circuit Judge

Lindsay Tygart, Judge
16-2024-CF-007879-AXXX-MA 08/11/2025 02:42:17 PM

A certified copy of this order was delivered to the Probationer on _____.

_____
Officer

DC3-274 (Revised 12/20/17)

Original: Court
Copy: DC Offender File

ACCEPTED: DUVAL COUNTY, JODY PHILLIPS, CLERK, 08/12/2025 12:06:31 PM

First Appearance:	Continued:	Continued:	Continued:	Continued:
Continued:	Continued:	Continued:	Continued:	Continued:

VOP for 8/1/2024 Arrest

Route To
Groups:
People:

Arrest and Booking Report
Jacksonville Sheriff's Office
Jacksonville, Florida

ADULT
BALL, JOSEPH WILLIAM

Year: **2024** Incident #: **212396**	Amend #:
Previous Juvenile Jail Number:	
Jail #: **2025011609** Admitted: **05/30/2025 10:51**	File Direct: **NO**
JSO ID#:	Court: **Circuit**
SSN:	OBTS #:

CLERK COPY

Incident Information

Incident Location
4613 PHILIPS HWY # 221
JACKSONVILLE, FL 32207
Sub-sector: **D4** *TAZ:* **398**

Arrest Location
4613 PHILIPS HWY # 221
JACKSONVILLE, FL 32207
Sub-sector: **D4** *TAZ:* **398**

Arresting Agency: **FL DEPT OF CORRECTIONS** *Day/Date/Time Arrested:* **Friday, 05/30/2025 09:09**
Day/Date/Time Incident From: **Saturday, 04/06/2024 08:00** *To:* **Saturday, 04/06/2024 16:34**
Offense Location Type: **GOVERNMENT/PUBLIC BLDG**

Miscellaneous
Interviewed By:
Is Arrestee currently on probation?: **YES**
Drug Activity: **UNKNOWN** *Drug Type:* **NOT APPLICABLE**
Arrest Made On: **CALL** *Is Incident Gang Related?:* **NO** *Was Hate Crime Involved?:* **NO** *Was Dating Violence Involved?:* **NO**
Domestic Violence Related?: **NO** *If No, Is it Domestic Related?:* **NO** *Any Children under 18 Involved as a Victim?:* **NO**

Arrestee - BALL, JOSEPH WILLIAM

FELONY

Demographics
Race: **WHITE** *Sex:* **MALE** *Date of Birth:* [redacted]
Ethnicity: **NOT OF HISPANIC ORIGIN**
Primary Language: **English** *Secondary Language:* **NOT APPLICABLE**
Age: **39** *Height (inches):* **5'07"** *Weight (lbs):* **130**
Hair Style: **SHAVED** *Hair Color:* **BALD** *Hair Length:* **BALD/BALDING**
Build: **THIN** *Eye Color:* **BROWN** *Facial Hair:* **GOATEE**
Complexion: **FAIR / LIGHT** *Voice:* **NORMAL**
Clothing/Description:
Place of Birth: **JACKSONVILLE, FLORIDA, UNITED STATES** *Place of Birth County:* **DUVAL**
Nicknames:
Aliases:

ORIGINAL

MAY 3 1 2025

Distinguishing Marks (Scars, Marks, and Tattoos)

Contact Information
Home Phone #: *Bus. Phone #:* *Ext.:* *Alt. Phone #:*
Cell Phone #: **(904) 434-7550** *Cell Phone Provider:*
Email Address:

Primary Identification
Type of ID Given: **DRIVERS LICENSE** *ID:* [redacted] *Issuing State:* **FLORIDA**

Agency Identification
JSO ID: *JPICS ID:*

Home Address
1300 SHETTER AVE # 6105
JACKSONVILLE BEACH, FL 32250
Sub-sector: **JB** *TAZ:* **513**

Mailing Address
1300 SHETTER AVE # 6105
JACKSONVILLE BEACH, FL 32250
Sub-sector: **JB** *TAZ:* **513**

Alternate Address

ORIGINAL

Employment/School
Employer: **LTK EXTERIORS LLC** *Occupation:*
School Last Attended:

Country of Citizenship: **UNITED STATES** *US Citizen?:* **YES** *Residence Type:* **FLORIDA** *Residence Status:* **RESIDENT**
ECD Usage:
RTR written related to this incident?: **NO** *RTR Incident Yr:* *RTR Incident #:*
Drugs Involved?: **NO** *Alcohol Involved?:* **NO** *Computer Involved?:* **NO**
Is Arrestee a Gang member?: **NO** *Required to register as a sex offender?:* **NO**
Suspect Invoke Miranda?: **NO** *Miranda Rights Given?:* **NO** *Arrestee Confessed?:* **NO** *Arrestee needs ADA Consideration?:* **NO**

Weapon(s) Involved
Weapon(s): **NOT APPLICABLE (NONE)**

Offense(s)

#1 *Statute #:* **948.06** *Degree:* **NA** *UCR Code:* **9000** *Attempt Code:* **Committed**
VIOLATION OF PROBATION OR COMMUNITY CONTROL - FELONY OFFENSE

Citation #:	*SA #:* **24CF041621AD**	*Warrant Type:* **Local Arrest Warrant**
JSO Control #: **1741861**	*Warrant/Case #:* **16-2024-CF-007879-AXXX-MA**	*No. of Counts:*

Jurisdiction: **FLORIDA** *Court Location/Div.:* **CR-A** *Judge:* **LINDSAY L TYGART**
Purge/Bond Type: **No Bond** *Bond Amount:* *Date of Issue:* **05/27/2025** *Date of Return:* **05/30/2025**
Criminal Activity Type:
VOP/FTA ONLY

Original Statute No: **836.05** *Degree:* **F2** *UCR Code:* *Attempt Code:* **Committed**
Description: **EXTORTION**

Additional Information

On 05/30/2025, at approximately 0900 hours, the offender reported to the Probation Office (4613 Philips Highway, #221, Jacksonville, Florida 32207) with an active local VOP Warrant.

The offender was taken into custody and transported to the Duval County Pretrial Detention Facility by the Jacksonville Sheriff's Office who verified the Warrant with ID/Records.

Signature

I, B. PETROVIC, swear or affirm that the information provided within this affidavit and any additional documentation referenced in this affidavit to be true and correct to the best of my knowledge.
Arresting Officer #1: **/s/ B. PETROVIC (#112052)**
Div/District or Unit:
Sworn to me this 30th day of May, 2025, by the referenced arresting officer under oath. The officer is personally known to me.
Approving Supervisor: **/s/ B. FELDER** *Status:* **Approved**

Administrative

Transported By: **J.T. DOUGLAS (#82580)** *Arresting Officer #2:* **N/A**
Num of Cases Cleared:

Additional Reviewers
Report Reviewer 2: **/s/ S.A. OSBORN (#74907)** *Status:* **Approved**
Report approved on: **05/30/2025 10:50**

Investigation Time #1

Hour(s): **01** *Minute(s):* **00** *Cost Amount:* **$48.24**

Additional Question(s)

Body Worn Camera Footage
01 *Is there Body Worn Camera (BWC) footage for incident?:* **YES**

Jail Information (Back Door)

Date and Time Admitted: *05/30/2025 10:51*
Jail Number: **2025011609** *Juvenile/Court Clerk #:*

Triage Questions

Involved in Traffic Accident?: **NO** *Injuries from Accident?:*
Was OC Deployed Prior to/during Arrest?: **NO** *Was a total appendage restraint used on the arrestee/inmate?:* **NO**

Was pro-straint chair used on arrestee before admission to jail?: **NO**
Does the Arrestee Exhibit any Signs of Suicidal Behavior or Attempts?: **NO** *Does the Arrested have any Observable Medical/Mental Health Problems?:* **NO**
Has the Arrestee Shown any Escape Potential or Violence Propensity Behaviors?: **NO**
Is there any other Information about the Arrestee that Jail Personnel need to Know?: **NO**
If Yes, What?:

Part II of Arrest And Booking Report:

Arrestee Personal Information: How Long in Jax?

Chemical Test Data

Specimen:

Blood *Breath* *Urine* *Unable* *None*

Analysis Results:

1st *g/210L* *TIME:*

2nd *g/210L* *TIME:*

3rd *g/210L* *TIME:*

Refused:

Blood:

Breath:

Urine:

Breath Test Instrument:

Type: *Machine #:*

Operator Name and ID#: *Date:*

Verification By: _____

Right Thumb Print

ORIGINAL

WARRANT SERVED NOTIFICATION

CTL# 1741861

S.A. NO.: **24CF041621AD**
CCR NO.: **2024-212396**
COURT CASE NO.: **16-2024-CF-007879-AXXX-MA**
CONTROL NO.: **1741861**
NIC NO.: **W221979046**

Received this Arrest Warrant the 27th day of May, 2025, and executed it on the 30th day of May, 2025, by arresting the within named JOSEPH WILLIAM BALL and having him before the Court this 30th day of May, 2025.

Statute Number and Description	Bond
836.05, VOP-EXTORTION; F2	**NO BOND**

JUDGE: LINDSAY L TYGART

COURT LOCATION/DIV: CR-A

SERVING OFFICER: /s/ John T Douglas ID# 8258O

SERVING AGENCY: Jacksonville Sheriff's Office

DUTY SECTION: Patrol

SERVICE COUNTY: Duval

JAIL DOCKET NUMBER: 2025011609

COC OFFENDER NUMBER: 2024-017488

OBTS NUMBER:

JODY PHILLIPS

CLERK OF THE CIRCUIT AND COUNTY COURTS
JACKSONVILLE, DUVAL COUNTY, FLORIDA

RECEIPT

5197061

Printed On:
08/19/2026 01:54
Page 1 of 1

Receipt Number: 5197061 - Date 08/19/2026 Time 1:54:31PM

Received of:	BALL, JOSEPH WILLIAM SHETTER JACKSONVILLE BEACH, FL 32250		
Cashier Name:	McCarthy, K	**Balance Owed:**	56.00
Cashier Location:	Felony	**Total Amount Paid:**	6.00
Receipt ID:	8632691	**Remaining Balance:**	50.00
Division:	CR-A(Circuit Court)		

Case# 16-2024-CF-007879-AXXX-MA -- DEFENDANT: BALL, JOSEPH WILLIAM

Item	Balance	Paid	Bal Remaining
Fees	56.00	6.00	50.00
Case Total	**56.00**	**6.00**	**50.00**

Payments

Type	Ref#	Amount
CREDIT CARD	396826962	**6.00**
Total Received		**6.00**
Total Paid		**6.00**

The clerk of courts is here to help you.

We can be found online at:	**WWW.DUVALCLERK.GOV**
The main courthouse Location is:	**Clerk of the Circuit and County Courts** **Duval County, Florida** 501 West Adams Street Jacksonville, Florida 32202
The main telephone number is:	904-255-2000
Other Locations:	**Neptune Beach Courthouse Annex** 1543 Atlantic Blvd Neptune Beach, Florida 32266

Duval County Clerk of Court

Payment from:	BALL, JOSEPH
Payment date:	08/19/2026 01:53:37 PM EDT
Transaction ID:	396826962
Authorization Number:	041732
Payment Method:	Card ending in [redacted]
Court/Agency	Duval County Clerk of the Circuit and County Courts

Case/Account	Description	Amount
Court Card Payment	16-2024-CF-007879-AXXX-MA	$6.00
	Transaction fee:	$0.21
	Total payment amount:	$6.21

BALL, JOSEPH

By signing above, you authorize CitePayUSA on behalf of the Duval County Clerk of the Court to debit your account for the payment amount.

You may be responsible for fees and administrative costs related to payments you dispute and imposed by the governmental authority and/or CitePayUSA. All or any of these obligations remain your sole responsibility.

The Terms and Conditions of the CitePayUSA payment service are available for review online at https://www.citepayusa.com.

FLORIDA
DEPARTMENT of
CORRECTIONS

Governor
RON DESANTIS

Secretary
RICKY D. DIXON

501 South Calhoun Street, Tallahassee, FL 32399-2500

http://www.dc.state.fl.us

Date: 04/30/2026

RE: TERMINATION OF SUPERVISION:
DC# N72489
DOCKET/UC NO(S) 2024-CF-007879
COUNTY: DUVAL
SCHEDULED TERM: 04/04/2026

Joseph Ball
365 Dijon Dr. S.
Jacksonville, Fl. 32225

Dear Mr. Ball,

You are hereby notified that you completed your term(s) of supervision on **04/04/2026** as referenced above, and are no longer under the supervision of the Department of Corrections.

During your term of supervision, 00 days of earned incentives were applied, as authorized in Florida Statute 948.05(2)(c) and/or (d).

Pursuant to Florida Statute 98.0751, if you were convicted of a felony offense, other than murder or a sex offense, you may be eligible to have your voting rights restored immediately upon the completion of all terms of your sentence, including, but not limited to any unpaid restitution, court costs, fees or fines.

Individuals who do not qualify for automatic restoration of voting rights under Florida Statute 98.0751, will not be qualified to vote until their civil rights are restored as outlined in Article IV, Section 8 of the Florida Constitution.

For additional information related to the restoration of voting rights process under Florida Statute 98.0751, contact the Supervisor of Elections in your county of release.

If you were adjudicated guilty and on supervision for a felony offense, please review the rules of Executive Clemency for information regarding the restoration of civil rights process for the following types of clemency: **RESTORATION OF CIVIL RIGHTS, PARDONS, PARDONS WITHOUT FIREARM AUTHORITY, FIREARM AUTHORITY, REMISSION OF FINES AND FORFEITURES.** This information and the application can be accessed on the following website: https://www.fcor.state.fl.us/clemencyOverview.shtml or call (850) 488-2952 or 1-800-435-8286.

Sincerely,
Officer L. Smith

FLOR... CONTRO... ...ISSION
2026 JUL -7 PM 2:48
...D

★INSPIRING SUCCESS BY TRANSFORMING ONE LIFE AT A TIME ★

Original: Offender
Copy: Offender File
Clerk of Court (if required)
FCOR (if required)

(Revised 8/22)

Right Side – Offender File

Offense Date

05/16/2025

First Appearance:	Continued:	Continued:	Continued:	Continued:
Continued:	Continued:	Continued:	Continued:	Continued:

16-2025-CT-006033-AXXX-MA

BALL, JOSEPH WILLIAM WM

08/01/1985 2025-010497

Route To
Groups:
People:

Arrest and Booking Report

Jacksonville Sheriff's Office

Jacksonville, Florida

ADULT
BALL, JOSEPH WILLIAM

Year: **2025** *Incident #:* **80250464**	*Amend #:*
Previous Juvenile Jail Number:	
Jail #: **2025010497** *Admitted:* **05/16/2025 22:27**	*File Direct:* **NO**
JSO ID#:	*Court:* **County**
SSN:	*OBTS #:*

Incident Information

Incident Location
1300 PENMAN RD
NEPTUNE BEACH, FL 32266
Sub-sector: **NB** *TAZ:* **727** *Cross Street:*

Arrest Location
1500 ARDEN WAY
JACKSONVILLE BEACH, FL 32250
Sub-sector: **JB** *TAZ:* **725**

ABSENTEE
BOOKED

Arresting Agency: **NEPTUNE BEACH POLICE DEPT** *Day/Date/Time Arrested:* **Friday, 05/16/2025 19:10**
Day/Date/Time Incident From: **Friday, 05/16/2025 18:50** *To:* **Friday, 05/16/2025 18:50**
Offense Location Type: **HIGHWAY / ROADWAY**

Miscellaneous
Interviewed By: **J.A. RICHARDSON (#1355)**
Is Arrestee currently on probation?: **NO**
Drug Activity: **UNKNOWN** *Drug Type:* **NOT APPLICABLE**

DL CONFISCATED

Arrest Made On: **OV** *Is Incident Gang Related?:* **NO** *Was Hate Crime Involved?:* **NO** *Was Dating Violence Involved?:* **NO**

Domestic Violence Related?: **NO** *If No, Is it Domestic Related?:* **NO** *Any Children under 18 Involved as a Victim?:* **NO**

Arrestee - BALL, JOSEPH WILLIAM

ORIGINAL

Demographics
Race: **WHITE** *Sex:* **MALE** *Date of Birth:* [redacted]
Ethnicity: **NOT OF HISPANIC ORIGIN**
Primary Language: **English** *Secondary Language:* **NOT APPLICABLE**
Age: **39** *Height (inches):* **5'07"** *Weight (lbs):* **142**
Hair Style: **LOW** *Hair Color:* **GRAY/SALT & PEPPER** *Hair Length:* **SHORT**
Build: **THIN** *Eye Color:* **BROWN** *Facial Hair:* **BEARD**
Complexion: **FAIR / LIGHT** *Voice:* **APOLOGETIC, INTOXICATED / SLURRED, OFFENSIVE, WHINING, TALKATIVE**
Clothing/Description:
Place of Birth: **JACKSONVILLE, FLORIDA, UNITED STATES** *Place of Birth County:* **DUVAL**
Nicknames:
Aliases:

Distinguishing Marks (Scars, Marks, and Tattoos)

MAY 17 2025

Contact Information
Home Phone #: *Bus. Phone #:* *Ext.:* *Alt. Phone #:*
Cell Phone #: **(904) 258-4533** *Cell Phone Provider:*
Email Address:

Primary Identification
Type of ID Given: **DRIVERS LICENSE** *ID:* [redacted] *Issuing State:* **FLORIDA**

Agency Identification
JSO ID: *JPICS ID:*

Home Address
1300 SHETTER AVE # 5211
JACKSONVILLE BEACH, FL 32250
Sub-sector: **JB** *TAZ:* **513**

Mailing Address
1300 SHETTER AVE # 5211
JACKSONVILLE BEACH, FL 32250
Sub-sector: **JB** *TAZ:* **513**

Alternate Address

ORIGINAL

Employment/School
Employer: **UNEMPLOYEED** *Occupation:*
School Last Attended: **BISHOP KENNY HIGH SCHOOL**

Country of Citizenship: **UNITED STATES** *US Citizen?:* **YES** *Residence Type:* **COUNTY** *Residence Status:* **RESIDENT**
ECD Usage:
RTR written related to this incident?: **NO** *RTR Incident Yr:* *RTR Incident #:*
Drugs Involved?: **UNKNOWN** *Alcohol Involved?:* **YES** *Computer Involved?:* **NO**
Is Arrestee a Gang member?: **NO** *Required to register as a sex offender?:* **NO**
Suspect Invoke Miranda?: **YES** *Miranda Rights Given?:* **YES** *Arrestee Confessed?:* **NO** *Arrestee needs ADA Consideration?:* **NO**

Weapon(s) Involved
Weapon(s): **NOT APPLICABLE (NONE)**

Offense(s)

#1 *Statute #:* **316.193(1)(A)** *Degree:* **M1** *UCR Code:* **5400** *Attempt Code:* **Committed**
DUI - INFLUENCE OF ALCOHOL

Citation #: **AL23N6E** *SA #:* *Warrant Type:* **Not Applicable**
JSO Control #: *Warrant/Case #:* *No. of Counts:*
Jurisdiction: **NEPTUNE BEACH POLICE DEPT** *Court Location/Div.:* *Judge:*
Purge/Bond Type: *Bond Amount:* *Date of Issue:* *Date of Return:*
Criminal Activity Type:

VOP/FTA ONLY

Original Statute No: *Degree:* *UCR Code:* *Attempt Code:* **Committed**
Description:

#2 *Statute #:* **316.061(1)** *Degree:* **M2** *UCR Code:* **540A** *Attempt Code:* **Committed**
CRASH - LEAVING SCENE WITHOUT GIVING INFORMATION MORE THAN $50 DAMAGE

Citation #: **AL23N5E** *SA #:* *Warrant Type:* **Not Applicable**
JSO Control #: *Warrant/Case #:* *No. of Counts:*
Jurisdiction: **NEPTUNE BEACH POLICE DEPT** *Court Location/Div.:* *Judge:*
Purge/Bond Type: *Bond Amount:* *Date of Issue:* *Date of Return:*
Criminal Activity Type:

VOP/FTA ONLY

Original Statute No: *Degree:* *UCR Code:* *Attempt Code:* **Committed**
Description:

#3 *Statute #:* **316.193(3)(C)1** *Degree:* **M1** *UCR Code:* **5400** *Attempt Code:* **Committed**
DUI (ALCOHOL) - PROPERTY DAMAGE, PERSONAL INJURY

Citation #: *SA #:* *Warrant Type:* **Not Applicable**
JSO Control #: *Warrant/Case #:* *No. of Counts:*
Jurisdiction: **NEPTUNE BEACH POLICE DEPT** *Court Location/Div.:* *Judge:*
Purge/Bond Type: *Bond Amount:* *Date of Issue:* *Date of Return:*
Criminal Activity Type:

VOP/FTA ONLY

Original Statute No: *Degree:* *UCR Code:* *Attempt Code:* **Committed**
Description:

Additional Information

On 5-16-25 at 7:01pm I was dispatched to Penman and Arden Way Road in reference to a crash that occurred at Forest and Penman Road.

Upon arrival I met Officer B. Burrell ID# 1202 and W. Elerman ID# 1250 of the Jacksonville Beach Police Department who had the listed suspect handcuffed and were escorting him to Officer Elerman patrol vehicle. I spoke with officer Burrell who stated that while he was driving in his unmarked police vehicle in plain clothes north on Penman Road when he observed the listed suspect's vehicle traveling south on Penman Road in a reckless manner. Officer Burrell stated that the victim's vehicle who was following the suspect approached him and advised him that the suspect's vehicle just hit her vehicle and refused to stop. Officer Burrell then turned around and conducted a traffic stop on the suspect's vehicle in the 1500 block of Arden Way in Jacksonville Beach. Officer Burrell stated that while speaking with the suspect the suspect refused to answer any questions and not obeying verbal commands and moving within the interior of the vehicle. Officer Burrell stated that he called for a back up officer and Officer Elerman arrived. Both officers advised that once they tried to speak with the suspect he refused to respond and started reaching around in the vehicle. Both officers at this time stated that they asked the suspect to step out of the vehicle which he refused. Both officers advised that the attempted to remove the suspect from the vehicle and the suspect tensed up and they had to physically remove the suspect from the suspect and escorted him to the ground. The suspect sustained minor injuries to his face and knees which were photographed. JFRD arrived and evaluated the suspect, and he was cleared (Run# 68708).

I spoke with the victim who stated that while she was in the left turn lane of Penman Road facing north the suspect was turning right from Forest Avenue. While turning the suspect's vehicle made contact with the victim's driver side causing damage to both vehicles. The victim stated that the suspect did not stop and continued to travel south on Penman Road. The victim stated that she made a U-turn and followed the suspect vehicle uritil she contacted Officer Burrell.

I transferred the suspect to my patrol car and while escorting him I observed a strong smell alcoholic beverage emitting from his breath as he spoke. The suspect had bloodshot eyes, slurred speech, and had trouble standing and walking. The suspect refused to get into my patrol vehicle and had to be forced in by officers. I attempted to read the suspect his Miranda Rights per card, but the suspect would talk over me and refused to answer and questions. Due to the hostile actions of the suspect SFSE's were not performed.

I then informed the suspect that he was under arrest for leaving the scene of a crash and DUI.

While completing the arrest docket the suspect stated that he wanted to kill himself. The suspect stated that he just wants to die.

The suspect vehicle was towed by Auto Store. A crash report was completed.

The suspect was transported to the Duval County Jail

Case closed by arrest.

Signature

I, J.A. RICHARDSON, swear or affirm that the information provided within this affidavit and any additional documentation referenced in this affidavit to be true and correct to the best of my knowledge.

Arresting Officer #1: /s/ J.A. RICHARDSON (#1355)
Div/District or Unit: NEPTUNE BEACH POLICE DEPT

Sworn to me this 16th day of May, 2025, by the referenced arresting officer under oath. The officer is personally known to me.

Approving Supervisor: /s/ D.J. KAMPPI (#1331) *Status:* Approved

Administrative

Transported By: J.A. RICHARDSON (#1355)

Arresting Officer #2: N/A
Num of Cases Cleared:

Additional Reviewers

Report Reviewer 2: /s/ G.M. CIOPRYNA (#83526) *Status:* Approved
Report approved on: 05/16/2025 22:27

Investigation Time #1

Hour(s): 01 *Minute(s):* 30 *Cost Amount:* $72.36

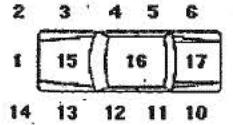
Vehicle #1

Vehicle Status: SUSPECT
Vehicle Type: TRUCK/VAN/SUV *Vehicle Style:* SUV
Year: 2008 *Make:* TOYOTA *Model:* UNKNOWN *VIN:* [redacted]
Primary Color: SILVER *Top Color:*
Odometer Reading: *Odometer Digit Count:*
Tag: [redacted] *Tag State:* FL *Tag Year:* 2025 *Specialty Tag:*
Vehicle Modifications:
Special Instructions:

Vehicle Accessories/Equipment

[none entered]

Vehicle Damage



18 Undercarriage
19 Overturn
20 Windshield
21 Trailer
22 Tires / Rims

ORIGINAL

Related Charge: **#02: 316.061(1) - CRASH - LEAVING SCENE WITHOUT GIVING INFORMATION MORE THAN $50 DAMAGE**

Last Vehicle Driver:

Arrestee - BALL, JOSEPH WILLIAM

Vehicle Released To: *Release Type:* **Towed**

Location Vehicle Towed to or Left at: **Auto-Store**

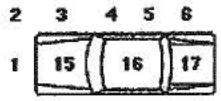
Vehicle #2

Vehicle Status: **VICTIM'S VEH.**
Vehicle Type: **TRUCK/VAN/SUV** *Vehicle Style:* **SUV**
Year: **2019** *Make:* **FORD** *Model:* **UNKNOWN** *VIN* [redacted]
Primary Color: **SILVER** *Top Color:*
Odometer Reading: *Odometer Digit Count:*
Tag: [redacted] *Tag State:* **FL** *Tag Year:* **2025** *Specialty Tag:*
Vehicle Modifications:
Special Instructions:

Vehicle Accessories/Equipment

[none entered]

Vehicle Damage



18 Undercarriage
19 Overturn
20 Windshield
21 Trailer
22 Tires/Rims

Related Charge: **#02: 316.061(1) - CRASH - LEAVING SCENE WITHOUT GIVING INFORMATION MORE THAN $50 DAMAGE**

Last Vehicle Driver:

GORBEA, GINELLE RENE

Contact Information
Home Phone #: *Bus. Phone #:* *Ext.:* *Alt. Phone #:*
Cell Phone #: **(904) 882-6901** *Cell Phone Provider:*
Email Address:

Primary Identification
Type of ID Given: **DRIVERS LICENSE** *ID:* [redacted] *Issuing State:* **FLORIDA**

Home Address
1519 OAK RIDGE DR W
JACKSONVILLE, FL 32225
Sub-sector: **G4** *TAZ:* **327**

Mailing Address
1519 OAK RIDGE DR W
JACKSONVILLE, FL 32225
Sub-sector: **G4** *TAZ:* **327**

Vehicle Released To: *Release Type:* **Released at Scene**

GORBEA, GINELLE RENE

Contact Information
Home Phone #: *Bus. Phone #:* *Ext.:* *Alt. Phone #:*
Cell Phone #: **(904) 882-6901** *Cell Phone Provider:*
Email Address:

Home Address
1519 OAK RIDGE DR W
JACKSONVILLE, FL 32225
Sub-sector: **G4** *TAZ:* **327**

Mailing Address
1519 OAK RIDGE DR W
JACKSONVILLE, FL 32225
Sub-sector: **G4** *TAZ:* **327**

Location Vehicle Towed to or Left at:

Victim #1 - [redacted]

Did victim invoke right to prevent the disclosure of personal info (Marsy's Law)?: **NO**

Demographics [redacted]

[redacted]

Victim Relationship to Offender:

Additional Question(s)

Body Worn Camera Footage

01 *Is there Body Worn Camera (BWC) footage for incident?:* **YES**

Jail Information (Back Door) *Date and Time Admitted:* 05/16/2025 22:27

Jail Number: **2025010497** *Juvenile/Court Clerk #:*

Triage Questions

Involved in Traffic Accident?: **YES** *Injuries from Accident?:* **NONE**
Was OC Deployed Prior to/during Arrest?: **NO** *Was a total appendage restraint used on the arrestee/inmate?:* **NO**
Was pro-straint chair used on arrestee before admission to jail?: **NO**
Does the Arrestee Exhibit any Signs of Suicidal Behavior or Attempts?: **YES** *Does the Arrested have any Observable Medical/Mental Health Problems?:* **NO**
Has the Arrestee Shown any Escape Potential or Violence Propensity Behaviors?: **NO**
Is there any other Information about the Arrestee that Jail Personnel need to Know?: **YES**
If Yes, What?: **suspect states he wants to kill himself**

Part II of Arrest And Booking Report:

Arrestee Personal Information: How Long in Jax?

Chemical Test Data

Specimen: *Blood* *Breath* (circled) *Urine* *Unable* *None*

Analysis Results:

1st 0.222 g/210L TIME: 2303
2nd 0.216 g/210L TIME: 2307
3rd N/A g/210L TIME: N/A

Refused: *Blood:* *Breath:* *Urine:* /

Breath Test Instrument:
Type: Intoxilyzer 8000 Machine #: 80-001018
Operator Name and ID#: N. Rocourt 81344 Date: 05/16/2025

Verification By: _____

Right Thumb Print

ORIGINAL

Date / Time of Arrest: 5-16-25 1920
Date / Time of stop: 5-16-25 1901

NEPTUNE BEACH POLICE DEPARTMENT
Field Sobriety Report

CCR #: 80250464
Arrest and Booking Report #: 20250497

Location of SFSE's: ☐ Parking Lot ☐ Sidewalk ☐ Roadway ☐ Other Not Performed

Subject's Last Name:	First Name:	Middle Initial:	Height:	Weight:	Age:
Bell	Josh	William	5'7	142	39

Clothing: ☑ Orderly ☐ Disorderly ☐ Mussed ☐ Unzipped ☐ Inside Out ☐ Naked
☐ Urine ☐ Feces ☐ Vomit ☐ Other:

	Clothing Description	Color
Hat / Cap	N/A	
Shirt/Dress	Green Graphic shirt	Green
Jacket/Coat	N/A	
Pants/Skirt	Volcom Swim shorts	Brown
Footwear	1 flip flop	Gray

Statements Made by Driver: (Drinking? – How much? – Where? etc.)

white in Breathalyzer he admitted no drinking

Medications / Medical

Time meds last taken:

Miranda Rights Provided: ☑ YES ☐ NO From Card? ☑ YES ☐ NO
Invoked: ☑ YES ☐ NO

Medical Alert Jewelry: ☐ Yes ☑ No

Diabetic: ☐ Yes ☐ No ☑ Unk
Epileptic: ☐ Yes ☐ No ☑ Unk

Is the subject injured or hurt in any way that would prevent them from performing SFSE's? ☐ YES ☑ NO
If yes, what?

Subject's Education Level Obtained:
☐ None ☐ Elementary ☐ Unk
☑ High School ☐ College
How Far?

Officer's Observations (Check Words Describing Subject's Condition)

Breath — Odor of Alcoholic Beverage: ☐ None ☐ Faint ☐ Moderate ☑ Strong ☐ Other:

Face — ☐ Apparently Normal ☑ Flushed ☐ Pale ☐ Other:

Eyes — ☐ Apparently Normal ☑ Watery / Glassy ☑ Bloodshot / Red ☐ Droopy Eyelids ☐ Constricted Pupils ☐ Dilated Pupils
☐ Pupils Unequal ☐ Artificial Eye ☐ Defects ☐ Other

Speech — ☐ Apparently Normal ☑ Slurred ☐ Mumbling ☐ Thick Tongued ☐ Incoherent ☐ Stuttering ☐ Other:

Attitude — ☐ Polite ☐ Cooperative ☐ Silent ☐ Sleepy ☐ Lethargic ☐ Confused ☑ Talkative
☐ Carefree ☐ Indifferent ☐ Profane ☑ Mood Swings ☑ Cocky ☐ Antagonistic ☑ Combative
☐ Sarcastic ☑ Argumentative ☑ Threatening ☐ Other:

SFSE's — ☑ Unable ☐ Too Impaired ☐ Refused
If subject refused SFSE's, the subject was advised their refusal may be held against them at a later date. ☐ YES ☐ NO

FIELD SOBRIETY REPORT

CCR # 8625046

SFSE's Given by: W.E. TORRES ID # 1355 | Assignment: ☑ Patrol ☐ DUI ☐ FHP ☐ Other:

Surface Condition: ☐ Level ☐ Asphalt ☐ Concrete ☐ Other: | Weather: | Lighting (Type):

Horizontal Gaze Nystagmus

Wearing Glasses ☐ Yes ☐ No — Equal Tracking ☐
Wearing Contacts ☐ Yes ☐ No — Equal Pupil Size ☐
Eye Problems ☐ Yes ☐ No

		Left Eye Yes	Left Eye No	Right Eye Yes	Right Eye No
1.	Lack of Smooth Pursuit				
2.	Distinct & Sustained Nystagmus at Maximum Deviation				
3.	Onset of Nystagmus Prior to 45 Degrees				
	Total Clues Observed		Out of a Possible 6 Total Clues		

Vertical Gaze Nystagmus: ☐ Yes ☐ No

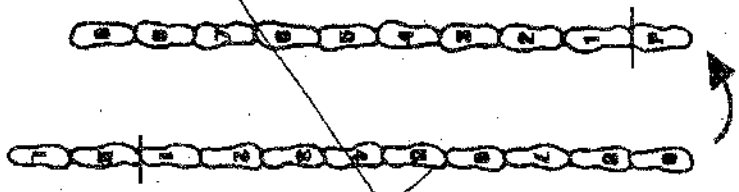
Walk and Turn

Instruction Stage:
1. Can Not Keep Balance: ☐
2. Starts Too Soon: ☐

Wearing Footwear ☐ Yes ☐ No

Walking Stage:	1st 9 Steps	2nd 9 Steps
3. Stops Walking		
4. Misses Heel to Toe		
5. Steps Off Line		
6. Uses Arms for Balance		
7. Actual Steps Taken		
8. The Turn (Describe)		
Total Clues Observed		Out of a Possible 8 Total Clues

Walk and Turn Foot Diagram:



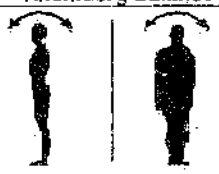
One Leg Stand

Seconds	0-10	11-20	21-30
Arms			
Hops			
Sways			
Foot Down			
Total Clues Observed			Out of a Possible 4 Total clues



Wearing Footwear ☐ Yes ☐ No

Rhomberg Balance

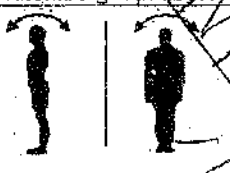


Back/Forth Side to Side

☐ Eyes do not remain closed
☐ Sways forward to backward/ side to side
☐ Uses arms for balance
☐ Time: _____ for 30 sec.

Optional Exercise

Rhomberg Alphabet



Back/Forth Side to Side

☐ Eyes do not remain closed
☐ Sways forward to backward/ side to side
☐ Uses arms for balance
☐ Rhythmic

Optional Exercise

Finger to Nose

Right ◄— Finger —► Left



2 1 4 3 5 6

Draw a line from the number to the area touched.
Order: 1. Left 2. Right 3. Left 4. Right 5. Right 6. Left

☐ Forgets to Remove Finger
☐ Misses Tip of Nose with Tip of Finger
☐ Uses Wrong Hand
☐ Eyes do not remain closed
☐ Sways forward-backward / side to side
☐ Uses arms for balance

Narrative Section:

1. HGN:

2. Walk and Turn:

Type of Line: ☐ 2" tape ☐ Parking line ☐ Other_____

3. One Leg Stand:

4. Rhomberg Balance:

5. Rhomberg Alphabet:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z

6. Finger to Nose:

Did the subject indicate or state they understood the instructions prior to beginning the exercises? ☐ Yes ☐ No Other:

I, the Arresting Officer hereby request that the subject be given an approved chemical test. I have observed the impairment of the subject's normal faculties. In the event that this subject's breath result is below .08%, I hereby request that he/she be administered an approved urine test.

Officer's Signature _____ ID #: 1355 Date: 5-16-05

FLORIDA DEPARTMENT OF LAW ENFORCEMENT
ALCOHOL TESTING PROGRAM
BREATH ALCOHOL TEST AFFIDAVIT

Instrument Type: Intoxilyzer 8000
Instrument Registered To: JACKSONVILLE SO
Instrument Serial Number: 80-001018 Software: 8100.27
Date of Test: 05/16/2025

Date of Last Agency Inspection: 05/13/2025
Observation Period Began: 22:41
Subject's Name: JOSEPH W BALL DOB: [redacted] Sex: M

The subject was observed for at least twenty-minutes prior to the administration of the breath test to ensure that the subject did not take anything orally and did not regurgitate.

Results:

Test	g/210L	Time
Diagnostics Check	OK	23:01
Air Blank	0.000	23:01
Control Test	0.079	23:02
Air Blank	0.000	23:02
Subject Sample #1	0.222	23:03
Air Blank	0.000	23:04
Air Blank	0.000	23:06
Subject Sample #2	0.216	23:07
Air Blank	0.000	23:08
Control Test	0.079	23:08
Air Blank	0.000	23:09
Diagnostics Check	OK	23:09

Cylinder Lot: 28424080A3
Exp: 11/05/2026

State of Florida, County of Duval.

Personally appeared before me the undersigned authority, who (X) is personally known to me or (__) produced _____ as identification, and who after being placed under oath, states:

I NIA-NICOLE L ROCOURT, hold a valid Breath Test Operator permit issued by the Florida Department of Law Enforcement, I administered the above breath test to the subject named above in accordance with Chapter 11D-8, Florida Administrative Code, and this form is a true and accurate report of that breath test.

Breath Test Operator: [signature] Signature Date: 05/16/2025

Sworn to (or affirmed) before me this 16th day of May, 2025

[signature] 83537
Signature of Notary Public-State of Florida

P. TROMEL 83537
Printed Name of Notary Public-State of Florida

Note: Pursuant to section 117.10, Florida Statutes, law enforcement officers, correctional officers, traffic accident investigation officers and traffic infraction enforcement officers are notaries public when engaged in the performance of official duties. In accordance with section 316.1934(5), F.S., this completed form is admissible without further authentication and is presumptive proof of the results herein. To be used in accordance with Section 316.1934(5), F.S., and in administrative proceedings pursuant to 322.2615, F.S.

P-0035
Rev. 06/2023

Motor Vehicle Operator Constitutional Rights and Implied Consent

Jacksonville Sheriff's Office

This form will be read to the suspect in the order dictated by this form.

SUBJECT'S NAME: Ball, Joseph William **FIRST OBSERVED TIME:** 2241

OFFENSE DATE: 05/16/2025 **JAIL NUMBER:** 2025010497 **CCR NUMBER:** 80250464

After the defendant has been lawfully arrested, read the following statement(s) to him/her (Place an "X" or check mark on appropriate line or lines)

1. ✓ I am now requesting that you submit to a lawful test of your **BREATH** for the purpose of determining its alcohol content.

2. _____ I am now requesting that you submit to a lawful test of your **URINE** for the purpose of detecting the presence of chemical or controlled substances.

If you fail to submit to the test I have requested of you, your privilege to operate a motor vehicle will be suspended for a period of one (1) year for a first refusal, or eighteen (18) months if your privilege has been previously suspended, or if you have been previously fined under F.S.S. 327 .35215, as a result of a refusal to submit to a test of your breath, urine or blood. Additionally, if you refuse to submit to the test I have requested of you and if your driving privilege has been previously suspended, or if you have been previously fined under F.S.S. 327 .35215, for a prior refusal to submit to a lawful test of your breath, urine or blood, you will be committing a misdemeanor of the first degree. Also, if you hold a Commercial Driver's License (CDL), or were operating a Commercial Motor Vehicle, a refusal will result in the disqualification of your CDL/driving privilege for a period of one (1) year for a first refusal or permanently if you have previously been disqualified as a result of a refusal to submit to any lawful test. A refusal to submit to the test I have requested of you is admissible in any criminal proceeding.

If a BREATH/URINE test is impossible or impractical and you are requesting a BLOOD sample, use the following implied consent:

3. _____ I am now requesting that you submit to a lawful test of your **BLOOD** for the purpose of determining its alcohol content and/or detecting the presence of chemical or controlled substances. If you fail to submit to the test I have requested of you, your privilege to operate a motor vehicle will be suspended for a period of one (1) year for a first refusal, or eighteen (18) months if your privilege has been previously suspended, or if you have been previously fined under F.S.S 327 .35215, as a result of a refusal to submit to the test of your breath, urine, or blood. A refusal to submit to the test I have requested of you is admissible in any criminal proceeding.

I am willing to take approved chemical tests.

X _____
SUSPECT'S SIGNATURE

_____
OFFICER'S SIGNATURE ID NUMBER

Suspect refuses to take approved chemical tests.

_____
SUSPECT'S SIGNATURE

_____
OFFICER'S SIGNATURE ID NUMBER

GIVE THIS WARNING TO ANY SUBJECT DETAINED PRIOR TO ANY QUESTIONS. IDENTIFY YOURSELF PRIOR TO ANY QUESTIONING. IDENTIFY YOURSELF AND STATE: **"I am required to warn you before you make any statement that you have the following constitutional rights."**

1. You have the right to remain silent.
2. You have the right to the presence of a lawyer of your choice before you make any statement and during any questioning.
3. If you cannot afford a lawyer, you are entitled to the presence of a court appointed lawyer before you make any statement and during any questioning.
4. If at any time during the interview you do not wish to answer any questions, you are privileged to remain silent.
5. Any statement you make can and will be held against you in a court of law.

After the warning, ask the following questions and note the suspect's response.

1. Do you understand each of these rights I have explained to you? ☑ Yes ☐ No (Officer to check one)
2. With these rights in mind, do you wish to talk to us now? ☐ Yes ☑ No (Officer to check one)

I agree to answer questions.

_____
SUSPECT'S SIGNATURE

_____
OFFICER'S SIGNATURE ID NUMBER

Suspect does not wish to answer questions.

X _____
SUSPECT'S SIGNATURE

_____
OFFICER'S SIGNATURE ID NUMBER

P-0035
Rev. 06/2023

Motor Vehicle Operator Constitutional Rights and Implied Consent

Jacksonville Sheriff's Office

DATE: _____ NAME: _____ JAIL NUMBER _____

Were you operating a vehicle? _____ Where were you going? _____

What street or highway were you on? _____ Direction of travel? _____

Where did you start from? _____ What time did you start? _____

What time is it now? _____ What city (county) are you in now? _____

What is the date? _____ What day of the week is it? _____

INTERVIEWER TO FILL IN: Actual _____ TIME ☐ a.m. ☐ p.m. DAY Interviewer's Name _____

When did you last eat? _____ What did you eat? _____

What were you doing during the last three hours? _____

Have you been drinking? _____ What? _____ How much? _____

Where? _____ Started? _____ ☐ a.m. ☐ p.m. Stopped? _____ ☐ a.m. ☐ p.m.

Are you under the influence of an alcoholic beverage now? _____

What is your occupation? _____ When did you last work? _____

Do you have any physical defects? _____ If so, what? _____

Are you ill? _____ If so, what's wrong? _____

Do you limp? _____ Have you been injured lately? _____ If so, what is wrong? _____

Were you involved in an accident today? _____ Did you get a bump on the head? _____

Have you had any alcoholic beverages since the accident? _____ If so, what? _____

Where? _____ How much? _____ When? _____

Have you seen a doctor or dentist lately? _____ If so, who? _____ When? _____

What for? _____ Are you taking tranquilizers, pills or medicines of any kind? _____

If so, what kind? _____ Last dose? _____ ☐ a.m. ☐ p.m. Do you have epilepsy? _____

Diabetes? _____ Do you take insulin? _____ If so, last dose? _____

Have you had any injections of any other drug recently? _____ If so, what for? _____

What kind of drug? _____ Last dose? _____ ☐ a.m. ☐ p.m. When did you last sleep? _____

How much sleep did you have? _____

BREATH TEST OPERATORS OBSERVATIONS (Check Words Describing Subject's Condition)

Breath	Odor of Alcoholic Beverage ☐ None ☐ Faint ☑ Moderate ☐ Strong ☐ Other (SEE NARRATIVE)
Face	☐ Apparently Normal ☑ Flushed ☐ Pale ☐ Other: (SEE NARRATIVE)
Eyes	☐ Apparently Normal ☑ Watery / Glassy ☐ Bloodshot / Red ☐ Droopy Eyes ☐ Constricted Pupils ☐ Dilated Pupils ☐ Pupils Unequal ☐ Artificial Eye ☐ Defects ☐ Other: (SEE NARRATIVE)
Speech	☐ Apparently Normal ☐ Slurred ☐ Mumbling ☐ Thick Tongued ☐ Incoherent ☐ Stuttering ☐ Other: (SEE NARRATIVE)
Attitude	☐ Polite ☐ Cooperative ☐ Silent ☐ Sleepy ☐ Lethargic ☐ Confused ☑ Talkative ☐ Carefree ☐ Indifferent ☐ Profane ☑ Mood Swing ☐ Cocky ☐ Antagonistic ☐ Combative ☐ Sarcastic ☑ Argumentative ☐ Threatening ☐ Crying ☐ Apologetic ☐ Other (SEE NARRATIVE)

CHEMICAL TEST DATA Specimen: ☑ BREATH ☐ BLOOD ☐ URINE ☐ REFUSED ☐ UNABLE

1st 0.221 g/210L 2nd 0.216 g/210L 3rd N/A g/210L	INTOXILYZER 8000	Serial # of Instrument 80-001018
If refused, why/how?	Operator's Signature/ID #:	

Recorded 06/03/2025 09:18 AM, JODY PHILLIPS CLERK CIRCUIT COURT DUVAL COUNTY

IN THE COUNTY COURT,
DUVAL COUNTY, FLORIDA
Case. **16-2025-CT-006033-AXXX-MA**
Division **F (County)**

STATE OF FLORIDA

vs.

JOSEPH WILLIAM BALL, Defendant

JUDGMENT AND SENTENCE

The defendant having PLEAD NOLO CONTENDERE TO:

Charge Count	Statute Number and Description
2	**S316.061(1) CRASH - LEAVING SCENE WITHOUT GIVING INFORMATION MORE THAN $50 DAMAGE**
3	**S316.193(3)(C)1 D.U.I. - PROPERTY DAMAGE, PERSONAL INJURY**

That defendant, accompanied by his attorney, **NONE** being present in open court, it is

ADJUDGED that the defendant is guilty of SAID OFFENSE(S).

After the foregoing judgment was rendered, that defendant was informed by the court of the accusation against that defendant and of the foregoing judgment, and was asked by the court whether that defendant had any cause to show why sentence should not be pronounced. That defendant showed no legally sufficient cause why sentence should not be pronounced.

That defendant and the State were afforded an opportunity to present evidence to the court as to any mitigating or aggravating circumstances that might properly be taken into consideration in imposing sentence.

Upon consideration, it is ORDERED that: The defendant shall be imprisoned in the County Jail of Duval County, Florida, for a term of:

Charge Count	Jail Time	Credit Time	Confinement Conditions
2	**2 DAYS**	**2 DAYS**	**Concurrent w/ count3**
3	**2 DAYS**	**2 DAYS**	

The defendant is hereby placed on probation for a period of:

Count	Probation Time
2	
3	**12 MONTHS**

under the supervision of the Professional Probation Services, the conditions are specified in a separate order.

FILED

May 17, 2025

Jody Phillips

STATE OF FLORIDA
Vs.
JOSEPH WILLIAM BALL

IN THE COUNTY COURT,
DUVAL COUNTY, FLORIDA
CASE: **16-2025-CT-006033-AXXX-MA**
DIVISION: F **(County)**

COURT ORDERED FINES, COSTS AND FEES

The defendant shall pay a fine in the amount of **$2,000.00** pursuant to 775.083(1), Florida Statutes.
The defendant shall pay a 5% Additional Cost of Fine in the amount of **$100.00** pursuant to 938.04, Florida Statutes.
The defendant shall pay an additional Misdemeanor-Crimes Compensation Trust cost in the amount of **$50.00** pursuant to 938.03, Florida Statutes.
The defendant shall pay a Cost Recovery Fee in the amount of **$0.00** pursuant to 34.045(1)(b), Florida Statutes.
The defendant shall pay **$65.00** towards Assessment of Additional Court Costs pursuant to 939.185(1)(a), Florida Statutes and Section 634.102(c), Ordinance Code.
The defendant shall pay **$20.00** Court Cost pursuant to 775.083(2), Florida Statutes.
The defendant shall pay **$3.00**towards Additional Court Cost Clearing Trust Fund pursuant to 938.01(1), Florida Statutes.
The defendant shall pay **$2.00**towards Criminal Justice Education for Local Government pursuant to 938.15, Florida Statutes and Section 634.102(a), Ordinance Code.
The defendant shall pay **$0.00** towards Rape Crisis Trust Fund pursuant to 938.085, Florida Statutes.
The defendant shall pay an Emergency Medical Services Trust Fund cost in the amount of **$135.00** pursuant to 938.07, Florida Statutes.
The defendant shall pay a Local Government Criminal Justice Trust Fund cost in the amount of **$50.00** pursuant to 938.05(1)(b), Florida Statutes.
The defendant shall pay **$15.00** cost for Misdemeanor Convictions involving Drugs or Alcohol pursuant to 938.13(1), Florida Statutes and Section 634.105, Ordinance Code.
The defendant shall pay Domestic Battery surcharge in the amount of **$0.00** pursuant to 938.08, Florida Statutes.
The defendant shall pay Teen Court costs in the amount of **$3.00** pursuant to 938.19, Florida Statutes and Section 634.108, Ordinance Code.
The defendant shall pay Court House Trust Fund in the amount of **$30.00** pursuant to 318.18(13)(a)1, Florida Statutes and Section 634.102(e), Ordinance Code.
The defendant shall pay a Crime Stoppers costs in the amount of **$20.00** pursuant to 938.06(1), Florida Statutes.
The defendant shall pay a State Radio System cost in the amount of **$3.00** pursuant to 318.18(17), Florida Statutes.
The defendant shall pay a Reckless/Racing cost in the amount of **$0.00** pursuant to 318.18(20), Florida Statutes.
The defendant shall pay an Emergency Medical Services Trust Fund cost in the amount of **$0.00** pursuant to 316.192(4), Florida Statutes.
The defendant shall pay an additional Boating under the Influence (BUI) fine of **$0.00** pursuant to 327.35(9), Florida Statutes.
The defendant shall pay a sum of **$50.00** Cost of Prosecution pursuant to 938.27(8), Florida Statutes.
The defendant shall pay a sum of **$50.00** Law Enforcement (Sheriff's Office) Investigative Costs pursuant to 938.27(1), Florida Statutes.
The defendant shall pay a sum of **$0.00** Court Appointed Counsel Fee pursuant to 938.29(1)(a), Florida Statutes.

Revoked License **6 MONTHS** DUI School **YES** Interlock Device**2 YEARS**

In open court, the defendant was advised of the right to appeal this sentence by filing a notice of appeal with the clerk of this court within 30 days from this date and the right to the assistance of counsel in taking the appeal at the expense of the State on showing if indigency.

Done and Adjudged in open court at Jacksonville, Duval County, Florida this **17th day of May, A.D. 2025**.

Shayla Lee

By **JUDGE** LINDSAY L TYGART

IN THE CIRCUIT COURT OF THE
FOURTH JUDICIAL CIRCUIT OF FLORIDA
IN AND FOR THE COUNTY OF DUVAL,
STATE OF FLORIDA

JUDGMENT AND SENTENCE
DEFENDANT'S NAME: BALL, JOSEPH
CASE NUMBER: 2025-010497, 25-CT-6033
DATE: 5-17-2025
BAILIFF'S INITIALS: SAT 30828

FINGERPRINTS

(1) R. Thumb	(2) R. Index	(3) R. Middle	(4) R. Ring	(5) R. Little
[redacted]				

I HEREBY CERTIFY that the above and foregoing fingerprints on this judgment are the fingerprints of the defendant, BALL, J, and that they were placed thereon by said defendant In my presence in open Court this 17 day of May, 2025

Kimberly R. Bryant

JUDGE

Jody Phillips
CLERK OF THE COUNTY COURT
DUVAL COUNTY
501 WEST ADAMS STREET
JACKSONVILLE, FLORIDA 32202-2985

Jody Phillips
Clerk of the Circuit Court

I, Jody Phillips, CLERK OF THE CIRCUIT AND COUNTY COURTS IN AND FOR DUVAL COUNTY, FLORIDA DO HEREBY CERTIFY THE RECORDS KEPT BY THIS OFFICE INDICATE THAT THE FOLLOWING ENTRIES CONSTITUTE A TRUE AND CORRECT COPY OF THE COURT RECORD AND LITERAL TRANSCRIPT OF THE MISDEMEANOR DEPARTMENT, JACKSONVILLE, DUVAL COUNTY, FLORIDA.

DEFENDANT JOSEPH WILLIAM BALL

CASE NO. 16-2025-CT-006033-AXXX-MA

OFFENSE DATE May 16, 2025

JUDGE Shayla Lee

OFFENDER NO. /CITATION NO. 2025-010497 / A8FO02E

DIVISION F (County)

Count	Initial Statute Description	Court Statute Description	Plea	Disposition	Disposition Date
1	DUI - UNDER INFLUENCE OF ALCOHOL OR CHEMICAL SUBSTANCE; FACULTIES IMPAIRED	DUI - UNDER INFLUENCE OF ALCOHOL OR CHEMICAL SUBSTANCE; FACULTIES IMPAIRED		DROPPED/ABANDONED	5/17/2025
2	CRASH - LEAVING SCENE WITHOUT GIVING INFORMATION MORE THAN $50 DAMAGE	CRASH - LEAVING SCENE WITHOUT GIVING INFORMATION MORE THAN $50 DAMAGE	NOLO CONTENDERE	ADJUDICATED GUILTY	5/17/2025
3	D.U.I. - PROPERTY DAMAGE, PERSONAL INJURY	D.U.I. - PROPERTY DAMAGE, PERSONAL INJURY	NOLO CONTENDERE	ADJUDICATED GUILTY	5/17/2025

DISPOSITION

2 **JUDGMENT AND SENTENCE TO COUNTY JAIL FOR 2 DAYS CONCURRENT, CREDIT TIME 2 DAYS, CONCURRENT WITH COUNT 3**

3 **JUDGMENT AND SENTENCE TO COUNTY JAIL FOR 2 DAYS, CREDIT TIME 2 DAYS, PROBATION 12 MONTHS STARTING 05/17/2025, DUI SCHOOL LEVEL II, PAY COST, RESTITUTION RESERVED FOR 60 DAYS, EARLY TERM, 10 DAY IMPOUNDMENT, DRIVER'S LICENSE SUSPENSION FOR 6 MONTHS, INTERLOCK DEVICE FOR 2 YEARS, PROBATION TERMINATED 03/24/2026, COURT FINES IMPOSED, COURT FINES REMAIN OUTSTANDING, DUE BY 03/24/2027.**

OTHER ARRESTED 05/17/2025

WITNESS BY HAND AND SEAL OF OFFICE, AT JACKSONVILLE, DUVAL COUNTY, FLORIDA, THIS 19th of August, 2026.

Jody Phillips
CLERK OF THE CIRCUIT AND COUNTY COURTS
BY
DEPUTY CLERK

RECEIPT

5197010

JODY PHILLIPS

CLERK OF THE CIRCUIT AND COUNTY COURTS
JACKSONVILLE, DUVAL COUNTY, FLORIDA

Printed On:
08/19/2026 01:23
Page 1 of 1

Receipt Number: 5197010 - Date 08/19/2026 Time 1:23:05PM			
Received of:	BALL, JOSEPH WILLIAM 1300 SHETTER AVE 5211 JACKSONVILLE BEACH, FL 32250		
Cashier Name:	K. Fousek	**Balance Owed:**	2,889.00
Cashier Location:	Traffic	**Total Amount Paid:**	3.00
Receipt ID:	8632647	**Remaining Balance:**	2,886.00
Division:	F(County)		

Case# 16-2025-CT-006033-AXXX-MA -- DEFENDANT: BALL, JOSEPH WILLIAM

Citations

A8FO02E
AL23N6E
AL23N5E

Item	Balance	Paid	Bal Remaining
Fees	2,889.00	3.00	2,886.00
Case Total	**2,889.00**	**3.00**	**2,886.00**

Payments

Type	Ref#	Amount
Cash		**3.00**
Total Received		**3.00**
Total Paid		**3.00**

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The main telephone number is:	904-255-2000
Other Locations:	**Neptune Beach Courthouse Annex** 1543 Atlantic Blvd Neptune Beach, Florida 32266

Filing # 244470956 E-Filed 03/24/2026 12:54:46 PM

IN THE COUNTY COURT
OF THE FOURTH JUDICIAL CIRCUIT
IN AND FOR DUVAL COUNTY, FLORIDA

CASE NUMBER: 16-2025-CT-006033-AXXX-MA
DIVISION: F

STATE OF FLORIDA,
Plaintiff,

-vs-

JOSEPH WILLIAM BALL,
Defendant.

2026 JUL -7 PM 2:48
FLORIDA PAROLE COMMISSION
CONTROL

ORDER TERMINATING PROBATION

This cause having come before the Court on review of the case status, and the Court having concluded that the Defendant has completed all conditions of probation, it is hereby

ORDERED AND ADJUDGED:

1. That the Defendant's probation is hereby **TERMINATED.**

2. The outstanding probation fees totaling $280.00 and the oustanding fines/costs totaling $2,606.00 must be paid **within 12 months** of this order.

DONE AND ORDERED in Chambers at Jacksonville, Duval County, Florida, this Tuesday, March 24, 2026.

Shayla Lee

SHAYLA LEE, COUNTY JUDGE

Shayla Lee, Judge
16-2025-CT-006033-AXXX-MA 03/24/2026 12:52:36 PM

ACCEPTED: DUVAL COUNTY, JODY PHILLIPS, CLERK, 03/24/2026 01:02:11 PM

COUNTY COURT JUDGE

Copies to:

JOSEPH WILLIAM BALL
1300 SHETTER AVE 5211
JACKSONVILLE BEACH, FL 32250

Ciliberto, Joseph Gabriel
State Attorney's Office
Jacksonville, FL

Kimberly Stortz
ppsjax_efile@ppsinfo.net

FILED
2026 JUL -7 PM 2:48
FLORIDA
CONTROL COMMISSION

JODY PHILLIPS

CLERK OF THE CIRCUIT AND COUNTY COURTS
JACKSONVILLE, DUVAL COUNTY, FLORIDA

RECEIPT
5030768

Receipt Number: 5030768 - Date 12/16/2025 Time 2:27PM

Received of:	DOC P.O. BOX #12300 TALLAHASSEE, FL 32317		
Cashier Name:	C. Buckner	**Balance Owed:**	9,806.21
Cashier Location:	Felony	**Total Amount Paid:**	891.96
Receipt ID:	8453634	**Remaining Balance:**	8,914.25
Division:	CR-A(Circuit Court)		

Case# 16-2018-CF-002044-AXXX-MA -- DEFENDANT: LEONARD, LINDSEY MARIE

Item	Balance	Paid	Bal Remaining
Fees	227.49	57.69	169.80
Restitution WELLS FARGO ($4,850.00)	4,850.00	0.00	4,850.00
6.66% APR Interest	1,770.80	0.00	1,770.80
Case Total	**6,848.29**	**57.69**	**6,790.60**

Case# 16-2023-CF-005636-AXXX-MA -- DEFENDANT: SKARLANDT, JOSEPH ERIC

Item	Balance	Paid	Bal Remaining
Fees	495.88	91.35	404.53
Case Total	**495.88**	**91.35**	**404.53**

Case# 16-2024-CF-007879-AXXX-MA -- DEFENDANT: BALL, JOSEPH WILLIAM

Item	Balance	Paid	Bal Remaining
Fees	716.00	666.00	50.00
Case Total	**716.00**	**666.00**	**50.00**

Case# 16-2024-CF-011189-AXXX-MA -- DEFENDANT: GREEN, MELVIN

Item	Balance	Paid	Bal Remaining
Fees	1,086.66	76.92	1,009.74
Restitution RAY, KARI D ($610.00)	610.00	0.00	610.00
9.38% APR Interest	49.38	0.00	49.38
Case Total	**1,746.04**	**76.92**	**1,669.12**

Payments

Type	Ref#	Amount
CHECK	6865310	**891.96**
Total Received		**891.96**
Total Paid		**891.96**

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The main telephone number is:	904-255-2000
Other Locations:	**Neptune Beach Courthouse Annex** 1543 Atlantic Blvd Neptune Beach, Florida 32266

July 27, 2026

Mr. Joseph William Ball
1704 North Pearl Street
Jacksonville, Florida 32206

RE: Application No. 83634, Entity 14879286
1012 - Cardroom Employee Occupational

Dear Mr. Ball:

Your application for an occupational license with the Office of Operations has been received. The items checked below are either missing or require correction before the processing of your application can be completed:

- You must list the information on your application for the following charges:
  - Duval County, Florida Arrest(s) – 03/06/2004, 03/15/2005, 05/06/2021, 08/22/2023.

If you fail to provide the Florida Gaming Control Commission with a complete application by 11/23/2026, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850-794-8130.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. FGCC PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Thank you,

CM
Operations Analyst II

2026 JUL -7 PM 2:47

FLORIDA GAMING
CONTROL COMMISSION

DEAR MEMBERS OF THE STATE GAMING COMAISION,

I AM WRITING TO RESPECTFULLY REQUEST YOUR CONSIDERATION OF MY APPLICATION FOR A GAMING LICENSE. I UNDERSTAND THAT MY CRIMINAL HISTORY IS AN IMPORTANT FACTOR IN YOUR REVIEW. I WILLINGLY PLEAD GUILTY AND AND WAS CONVICTED IN 2024 OF CLASS II FELONY EXTORTION USING A TWO-WAY DEVICE; I MADE THIS DECISION SO THAT I COULD BE MY FATHER'S CAREGIVER. PRIOR TO THIS I HAD PASSED TWO DIFFERENT STATE BACKGROUND CHECKS: 1 FOR THE DEPARTMENT OF HEALTH WHEN I WORKED AT TRULIEVE, AND 1 FOR THE DEPARTMENT OF AGRICULTURE TO BECOME A PRIVATE INVESTIGATOR FOR THE STATE OF FLORIDA.

IF YOU DIVE DEEP INTO MY CASE, YOU WILL SEE A 6 PAGE LETTER TO JUDGE TYGART WHICH GRANTED ME MY FREEDOM AND SHOWS THE FACTS. I HAD CAUGHT MY BOSS USING MY NAME ON FRAUDULENT DOCUMENTS HE WAS SUBMITTING ON OUR CASES FOR MORGAN & MORGAN. WHEN I QUIT I HAD SENT A TEXT MESSAGE STATING THAT HE WAS LUCKY I WASN'T A SNITCH AND WHAT COULD POTENTIALLY HAPPEN TO HIM IF I HADN'T KNOWN HIM GROWING UP TOGETHER. THERE WAS NO MONEY INVOLVED, NOR WAS THERE ANY EXTORTION OF ANY KIND. MY BOSS GOT SCARED AND TRIED TO PUT ME BEHIND BARS TO KEEP ME QUIET.

2026 JUL -7 PM 2:48

FLORIDA GAMING
CONTROL COMMISSION

WITH MY APPLICATION I'VE INCLUDED MY TERMINATION OF PROBATION FOR YOUR RECORDS. THE EXPERIENCE HAS HAD A PROFOUND IMPACT ON MY LIFE AND HAS TAUGHT ME THE IMPORTANT VALUES OF ACCOUNTABILITY, INTEGRITY, AND MAKING RESPONSIBLE CHOICES.

SINCE COMPLETING MY SENTENCE AND FULFILLING ALL COURT-ORDERED OBLIGATIONS, I HAVE WORKED DILIGENTLY TO REBUILD MY LIFE. I HAVE COMPLIED WITH ALL LEGAL REQUIREMENTS AND REMAINED COMMITTED TO BEING A PRODUCTIVE AND LAW-ABIDING MEMBER OF MY COMMUNITY. THROUGH MY WORK AND PERSONAL EFFORTS, I HAVE DEMONSTRATED RELIABILITY, HONESTY, AND RESPECT FOR THE LAW.

I RECOGNIZE THAT HOLDING A GAMING LICENSE IS A PRIVILEGE THAT REQUIRES TRUST AND HIGH ETHICAL STANDARDS. I AM COMMITTED TO UPHOLDING THOSE STANDARDS AND CONDUCTING MYSELF WITH INTEGRITY AND PROFESSIONALISM IN EVERY ASPECT OF MY EMPLOYMENT. I RESPECTFULLY ASK THAT YOU CONSIDER NOT ONLY MY PAST MISTAKE BUT ALSO THE POSITIVE CHANGES I HAVE MADE AND THE PERSON I HAVE BECOME.

I APPRECIATE YOUR TIME AND CONSIDERATION OF MY APPLICATION. THANK YOU FOR THE OPPORTUNITY TO PRESENT MY REQUEST.

RESPECTFULLY & WITH BEST REGARDS,

JOSEPH WILLIAM BALL

OPERATION NEW HOPE

1830 N Main Street
Jacksonville, FL 32206

P 904.354.4673
F 904.407.3729

June 24th, 2026
Re: Joseph Ball
Attn: To Whom It May Concern

I am writing on behalf of Joesph Ball, whom I have had the privilege of working with as a Case Manager through Operation New Hope. This program is designed to support individuals in overcoming barriers, building stability, and achieving personal and professional goals.

Since enrolling in Operation New Hope, Mr. Ball has consistently demonstrated dedication, responsibility, and a strong commitment to personal growth. He has actively participated in services, maintained a positive attitude, and worked diligently towards his goals.

Throughout our time working together, Mr. Ball has shown reliability, accountability, and a genuine desire to improve his circumstances. He has successfully met program expectations and continues to make meaningful progress. His willingness to learn, accept guidance, and follow through on commitments has been commendable.

Based on my professional experience, I confidently recommend Mr. Ball for any opportunity that will support his continued success. I believe he will be a valuable addition to your team at Best Bet.

Please let me know if you have any questions.

RECEIVED
2026 JUL -7 PM 2:48
FLORIDA GAMING CONTROL COMMISSION

Briana Cooper
Case Manager
Operation New Hope
1830 N Main Street, Jacksonville, Fl 32206
O: 904-354-4673 ext. 112
C:(904) 206-7670
operationnewhope.org | bcooper@operationnewhope.org
Ready4Release - Ready4Work - Ready4Success

OPERATION NEW HOPE

EXHIBIT #4
PAGE 6/6

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Chief Attorney
Re: Efim Life, Case No. 2026-062277
Date: October 22, 2026

Executive Summary

Efim Life (Applicant) submitted an application for a pari-mutuel professional individual occupational license (Application) and seeks a waiver of his disqualifying offenses. Upon review of the completed Application, it appears that Applicant has been convicted of one disqualifying felony offense. A Commission investigator conducted a waiver interview of the Applicant on September 8, 2026, and documented the waiver interview in a report submitted to the Commission for consideration.

Relevant Facts

On July 7, 2026, Applicant submitted his Application to the Division of Pari-Mutuel Wagering for a pari-mutuel professional individual occupational license. The Applicant answered "yes" on the question asking if he had been convicted of or has had an adjudication withheld for any crime or has pled guilty or nolo contendere to any criminal charges and listed one felony conviction.

The Division sent a deficiency letter on July 10, 2026, requesting an updated application and any available court disposition records or arrest reports for arrests out of Pompano Beach, Florida, Miramar, Florida, and Las Vegas, Nevada spanning 1991 to 2005. Upon review of the Application deemed complete on August 10, 2026, it appears that Applicant was convicted of the following disqualifying offense:

> Grand Theft, a felony, out of Broward County, Florida, from August 14, 2019.

The Division received Applicant's request for a waiver from the restrictions excluding applicants with disqualifying offenses on August 27, 2026. On September 8, 2026, a Commission investigator conducted a waiver interview with the Applicant, and the waiver interview is documented in a report included in the case materials for the Commission's consideration.

The felony conviction is disqualifying pursuant to Section 550.105(5)(b) of the Florida Statutes.

Analysis

Section 550.105(5)(b), Florida Statutes, provides, in relevant part:

> . . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 550.105(5)(d), Florida Statutes, provides:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 550.105(5)(c) provides in relevant part:

> "[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the commission."

LIFE, EFIM

Case # 2026-062277

License Type: PMW Professional Individual Occupational (1021)
Occupation: Individual Animal Owner

Waiver Requested: YES

90 Day Deadline: N/A

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

LIFE, EFIM-8568902

(Applicant's Name– License #)

Case No:

INITIAL APPLICATION RECEIVED:	5/29/2026
Application Deemed Complete:	8/10/2026
If no Request for Waiver received, 90-Day Deadline:	**11/7/2026**

Waiver Requested: ☐No ☒Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)

- Waiver Requested **08/27/2026**

KAL	321 - Gulfstream Park	1021- Individual Animal Owner
Application Processor	Facility (d/b/a name)	Occupation Code / Job Title

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☐Yes ☒No

Licensing History: **Yes** Type:**1021** Initial license issued: **09/25/2009** Maintained Continuous licensure? ☐Yes ☒No

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No

Were all items identified in the deficiency letter addressed? ☒Yes ☐No If no, explain:

☒ Felony(s): Count(s) ☐ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking

☐ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)

☐ Animal Cruelty (Pari-Mutuel Professions)

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp	Fines Paid
02/16/1991	Broward County, FL	Charge 1-DUI Charge 2-Open Container Vehicle	M	91005173MM10A	Acquitted	N	Y	N/A
07/07/2005	Clark County, NV	Charge 1-Battery Domestic Violence No Prior Convictions	M	PC05M15233X	Denied	N	Y	N/A
01/31/2014	**Broward County, FL**	**Charge 1- Grand Theft in the 3rd Degree**	**F**	**062013CF011848A88810**	**Adjudication Withheld**	Y	Y	N $617.00
01/05/2021	Osceola County, FL	Charge 1 – Poss Cocaïne Charge 2 – Poss Drug Paraphernalia	F M	492021CF000055XXXAXX	Nolle Prosequi – Successful completion of Pre-Trial Diversion Program	Y	Y	

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number: | Business Name:

Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐

Date Deficiency Letter Issued: | Initials:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☒ No ☐ ☐ Approval

Disposition Attached for Disqualifier(s)? Yes ☒ No ☐

Deficiency Letter Issued: **06/17/2026 and 07/10/2026**; Application Deemed Complete **08/10/2026**

Applicant Eligible for Waiver? Yes ☐ No ☒ If Yes: ☐ Waiver Requested ☐ Failed to Request Waiver

Forward to ☒ **Investigations** ☐ **Legal** ☐ **Commission** Initials DH Date 06/30/2026

Previous Case Numbers 2017040525 and 2020026377 ; Application for an individual occupational license is DENIED for failure to submit a complete application within the allotted time frame

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 20260622[illegible] **Incident date:** 08/2[illegible]/2026 **Status:** [illegible]6 - Sent to Legal

Lic Type: 102[illegible] **Disposition:**

Case Type: Complaint

Responsible: elanier - LANIER, EBONIE

Complainant: DIVISION OF PARI-MUTUEL WAGERING

1400 W COMMERCIAL BLVD, STE 165, FORT LAUDERDALE, FL 33309

Respondent: LIFE, EFIM

12540 SPENDID PLACE, # 6207, ORLANDO, FL 3282[illegible]

Summary: 9/08/26 - Waiver interview conducted. .. .. 321 - GULFSTREAM PARK RACING ASSOCIATION, INC - License # 8568902

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
10/2[illegible]/2026	A	[illegible]9	[illegible]0	Sent to Director for Review	charris	LIFE, EFIM
09/02/2026	A	79	440	Incoming Correspondence	jhodge	LIFE, EFIM
09/02/2026	A	10	[illegible]5	Assigned to Investigator	lvila	LIFE, EFIM
10/2[illegible]/2026	R		charris	HARRIS, CYNTORIA	jhodge	
09/02/2026	R		lvila	VILA, LISA	jhodge	
10/20/2026	R		bjones	JONES, BRADFORD	bjones	
10/2[illegible]/2026	R		elanier	LANIER, EBONIE	charris	
10/2[illegible]/2026	S	1021	[illegible]16	Sent to Legal	charris	

CH

State of Florida

Department of Business and Professional Regulation

Chronology Report

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	10/21/2026	S	1021	104	Sent to Licensing Section	jhodge	
	10/13/2026	S	1021	165	Supervisor Review	tsmith2	
	09/02/2026	S	1021	20	Under Investigation	jhodge	
	09/02/2026	S	1021	10	Initial Review	jhodge	
	10/20/2026	S	1021	90	Closed	jhodge	

Allegation:	Code	Description
	WAVR	Waiver of Crim. Conv. or Other Offenses

Violation:	Code	Description	Respondent
	WAVR	61D-5.006	LIFE, EHM

CH

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **charris**

License

Fed Tax # [redacted] Type **1021 - PMW Professional Individual Occupational** Expires On **08/27/2026**

File # **52398** Name **LIFE, EFIM** Extended To

License # **8568902** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **8568902** Lic Status **Closed Upgrade**

Licensee | History | Notes | Notes History | Back

Address

Street # **12540** Street **SPENDID PLACE**

Line 2 **# 6207**

Line 3

City **ORLANDO** State **FL** Zip **32821**

Routing

* Previously Denied

*No Additional Enforcement Cases found

*No ARCI Findings

*CCIS Findings

Other

1st License Date **05/29/2026** Rank Date **05/29/2026** Certificate #

Method **I-S-1019** Status Date **06/17/2026** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	IOWN - Individual Animal Owner	09/06/2009	
I	TBRD - Thoroughbred	09/06/2009	
L	321 - Gulfstream Park	01/11/2015	
Y	3YR - 3 Year License	09/06/2009	

Alt Keys

BEST LIC NBR **8568902**

Get Adobe Reader.

Lic Type	**1021 - Pari-Mutuel Wagering Individual Occupational**			Status	**165 Supervisor Review**	Status Date	**10/20/2026**
Complaint #	**2026062277**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**LIFE, EFIM**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Status	**90 - Closed**		
Status Date	**10/20/2026**	Date Closed	**10/21/2026**
Disposition		Disposition Date	-
Disposition Decider			
Appeal		Appeal Date	-
Appeal Decision		Appeal Decision Date	-
Appeal Dec.Note			
Appeal Decider			
Notes			
Public Case Reason			
Docket #			
Updated	**10/21/2026 11:16:16** By **jhodge**		

Related Docket | Enter Docket | Security | Notes History

Change | Save | OK | Cancel | Back

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ROUTING SLIP
REQUEST FOR WAIVER

RE: LIFE, EFIM. – LIC # 8568902
(APPLICANT'S NAME – LICENSE #)

Case No: 2026 06 2277

1021 / Animal Owner
Occupation Code and Job Title

Gulfstream Park
Facility (d/b/a name)

Waiver Referral Date: September 2, 2026
(DATE)

Investigations Section: Reviewed by Bradford D. Jones 10/20/2026
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: CH 10/21/2026
(Initial & Date)

(Initial & Date)

Is the applicant currently under suspension, have unpaid fines, been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [✓] No If yes, in what jurisdiction? _____

Investigative Findings:

January 31, 2014 — Miramar Police Department, Broward County, Florida – Swindle to Obtain Property, Grand Theft, and Communications Fraud – Felonies - Adjudication Withheld upon a plea of Nolo Contendere and sentenced on August 14, 2019 to 5 years' probation, ordered to pay restitution in the amount of $64,571.00 and Court costs, and ordered to attend a drug and alcohol treatment. - Restitution and Fines have been paid in full.

*Please attach Routing Slip to front of case file

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Alana Zimmer, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**Southern**	**September 2, 2026**	**2026 06 2277**

Respondent:	Complainant:
LIFE, EFIM **A/K/A LIFE, JEFF** **12540 SPLENDID PLACE** **ORLANDO, FLORIDA 32821**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 WEST COMMERCIAL BOULEVARD, SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # / Type:	Profession:	Report Date:
8568902 / 1021	**ANIMAL OWNER**	**October 9, 2026**

Period of Investigation:	Type of Report:
September 4, 2026 - October 8, 2026	**Final**

Basis of Investigation: This investigation is based upon submission of **LIFE'S** Florida Pari-Mutuel Application submitted on July 7, 2026.

On his application **LIFE** answered "Yes" to the questions concerning prior criminal convictions and listed a 2019 Felony Grand Theft conviction in Broward County. On July 10, 2026, **LIFE** was sent a Deficiency Letter indicating that his application was incomplete, and additional information concerning a 1991 arrest for DUI in Broward County and a 2005 Domestic Battery arrest in Clark County, Nevada (both misdemeanors) was required before his application could be completed **(EXHIBIT #5).** In his response to the July 10, 2026, Deficiency Letter, **LIFE** furnished an amended application which he signed on August 6, 2026. **LIFE** submitted his Waiver Request on August 27, 2026 **(EXHIBIT #1).**

As confirmed per his FDLE Criminal History Report **(EXHIBIT #3)**, **LIFE** was arrested for charges that are detailed in this investigative report. Additional information was obtained from the Miramar Police Department **(EXHIBIT #2 pgs.1-7). (EXHIBIT #6 1-10).**

Related Case(s):

Investigations Specialist II / Date :	Investigations Manager / Date:
Lisa Vila / 10/08/26	Tyrell Smith / October 12, 2026

Chief of Investigations / Date:

Bradford D. Jones / October 20, 2026

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 02/16/1991		**Arresting Agency: Pompano Beach Police Department, FL.**			
OFFENSE					
Charge(s)		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	DUI-Open Container	Misdemeanor	Not Guilty	Acquitted	10/07/1991
2					
3					
4					

SENTENCE
Acquitted of all charges.

Arrest 2					
Date of Arrest: 01/31/2014		**Arresting Agency: Miramar Police Department**			
OFFENSE					
Charge(s)		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Swindle obtain Property 50k	Felony	Nolo Contendre	Adjudication Withheld	08/14/2019
2	Grand Theft	Felony	Nolo Contendre	Adjudication Withheld	08/14/2019
3	Communications Fraud	Felony	Nolo Contendre	Adjudication Withheld	08/14/2019
4					

SENTENCE
Probation 5 years, restitution $64, 571, Drug and Alcohol Evaluation & Treatment. Court costs
Additional Information: On January 31, 2014, was arrested on a warrant #13011848CF10A. He pled Not Guilty on February 16, 2014, and the plea was changed to Nolo Contendere on August 14, 2019 **(EXHIBIT #3 - pg. 12).**

CONTINUATION

Arrest 3					
Date of Arrest: 07/07/2005		**Arresting Agency:** Clark County, Nevada			
OFFENSE					
Charge(s)		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Battery (Domestic w/ No Prior Convictions)	Misdemeanor	N/A	Denied	N/A
2					
SENTENCE					
Case denied reference = charges dropped.					

Arrest 4					
Date of Arrest:		**Arresting Agency**			
OFFENSE					
Charge(s)		**CLASSIFICATION**	PLEA	DISPOSITION	CONVICTION DATE
1					
2					
3					
4					
SENTENCE					

Arrest 5					
Date of Arrest:		**Arresting Agency:**			
OFFENSE					
Charge(s)		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
SENTENCE					
.					

SENTENCE

CONTINUATION

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?	X	
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type: Individual Animal Owner			
Date Licensed: 01/11/2015	Expiration Date: 6/30/2017	License #: 8658902	Agency or Jurisdiction: Florida PMW

	YES	NO
Has License ever been suspended or revoked?		X
Was any derogatory information received?		X

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

3. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments: No rulings documented		

4. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments: No rulings documented.		

WAIVER INTERVIEW

		YES	NO
Was a Waiver Interview Conducted?		X	
Date of Interview: September 8, 2026	Location of Interview: Telephone Via telephone		
		YES	NO
Was the applicant cooperative?		X	
Additional Comments:			

SUMMARY OF INTERVIEW:

On September 2, 2026, I was assigned to the waiver investigation, for Efim **LIFE**.

On September 8, 2026, I contacted **LIFE** to conduct the waiver interview. I advised **LIFE** on the nature of our contact and the waiver interview process**. LIFE** advised he previously had an owner's license, however, when he went to renew, his arrest history was revealed therefore, he requested a Waiver.

On **LIFE'S**, 2012, 2017 or 2020 applications he did not disclosed his arrest information and was denied in 2017 and 2022, for failure to correct or provide information in a timely manner. He is currently retired from his self-owned business and currently works as a Finance Manager at a South Florida auto dealership.

LIFE discussed his background and current request to obtain his license so he can get back into thoroughbred racing.

During the interview, **LIFE** was asked about his criminal history, he stated he made a few bad decisions and used poor judgement. **LIFE** stated he takes full responsibility for his past and is grateful that he has made positive changes in his life.

LIFE provided his account of his criminal history based on his recollection as follows:

In 1991 **LIFE** was arrested for Driving Under the Influence (DUI) Open container. **LIFE** explained during a traffic stop, a field sobriety test was conducted. Due to the test being conducted on a gravel surface, a jury trial acquitted him. The case was closed on October 7, 1991.

In 2005, **LIFE** was arrested for a Domestic Violence Battery charge, in Las Vegas**. LIFE** explained that while on vacation he became involved in a verbal dispute with his then girlfriend. While heading back to their hotel, she tripped and fell in high heels. The argument was exacerbated due to alcohol consumption. Hotel staff called the police and when they arrived **LIFE** became belligerent towards the officers, which escalated the encounter and ultimately resulted in his arrest. His girlfriend did not sustain injury, and she refused to press charges. The case was drooped

In 2014, **LIFE** was charged with Defraud to Obtain Property value of 50 K (Grand theft) **LIFE** explained he had a business that worked with banks and clients refinancing mortgages. **LIFE** said he was also charged with acting as a Mortgage broker without a license. **LIFE** further explained that he did not require a license for the work he was offering; however, he did not have an attorney

CONTINUATION

reviewing his deals. After receiving several complaints, the Miramar Police Department investigated him.

Subsequently, **LIFE** was charged with Swindle obtain property, Communications fraud, and Grand Theft. **LIFE** accepted a plea agreement in the case and was on Probation for 5 years during his restitution payback plan which included repayment to multiple victims which totaled almost $65,000 **(Exhibit # 3).**

On September 23, 2026, I requested any additional police reports on file from the Miramar Police Department. On October 8, 2026, I received a copy of Detective M. Adcock's case report and additional reports. According to Detective Adcock's Supplemental report start date January 1, 2011-July 9, 2012 **(Exhibit # 6),** the investigation revealed that **LIFE** was operating out of a Miramar location, as a "loan modifications officer." **LIFE** would complete contracts between his company and the listed victims promising them either a reduced mortgage payment, or a reduction in their credit card debt. As per the report, participating in this practice is in direct conflict with F.S.S. 817.034(4)(a)(2), engaging in a scheme to defraud, and F.S.S. 494.0031(1), operating as an unlicensed broker.

Detective Adcock met with Assistant State Attorney, J. Gass from the Broward County Economic Crimes Unit and discussed the case. All the victims provided a sworn written statement and contract records. A master case was established, and an arrest warrant was issued for **LIFE.**

On February 3, 2014, Miramar Police Department was working with a U.S. Marshals, Florida Regional Fugitive Task Force. The team located **LIFE** regarding the active arrest warrant (13011848CF10A). At that time **LIFE** was arrested and subsequently transported to the Broward County Main Jail, for processing.

LIFE has paid back all the victims in the case and completed all Court requirements.

LIFE is requesting his waiver be granted so he can return to owning horses and racing thoroughbreds at Gulfstream Park.

On September 18, 2026, I met with Trainer Kathleen O'Connell at Gulfstream Park. O'Connell stated she worked with **LIFE** in the past and had very positive comments regarding his treatment, proper care and kindness to the animals. She advised she would work with him again.

LIFE has not had any negative encounters with law enforcement since his last arrest.

An ARCI inquiry revealed that **LIFE** has no rulings against him at this time **(EXHIBIT #8).**

Status: Closed by Investigations and forwarded to Licensing.

CONTINUATION

TABLE OF CONTENTS

I. INVESTIGATIVE REPORT COVERSHEET 1

II. INVESTIGATIVE REPORT 1

III. EXHIBITS

1. Photo /Waiver Request / Application, Fingerprints, Request for Release of Information 1-7
2. Miramar Police Department Records, ...1-11
3. Broward County Court Documents.....1-12
4. FDLE Rap Sheet..... 1-8
5. Deficiency Letter, July 10, 2026, 4 Licensing Administrator Review, VERSA 1-7
6. Supplemental Police Reports Miramar Police Department..... 1-12
7. Clark County Nevada Court Disposition 1-3
8. ARCI 1-3

RECEIVED
By Katelyn Leger at 2:20 pm, Aug 27, 2026

FGCC PMW-3180 – Request for Waiver

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

TO: Efim Life [redacted] 8568902
Full Legal Name of Applicant — Social Security Number or License Authorization to Work Number — Entity Number
Date of Birth [redacted]

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Executive Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel Occupational License Applicants Only:

☑ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Occupational License Applicants Only:

☐ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

For Slot Machine Occupational License Applicants Only:

☐ A conviction of a felony or misdemeanor in this state, in any other state, or under the laws of the United States if such felony or misdemeanor is related to gambling or bookmaking, regardless of whether adjudication was withheld.

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Florida Gaming Control Commission will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

EXHIBIT # 1
PAGE # 2

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license, a cardroom occupational license or a slot machine occupational license at any pari-mutuel facility in Florida.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

Signature of Applicant

8/27/26

Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM FGCC PMW-3195 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION AND PARTICIPATE IN A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

EXHIBIT # 1
PAGE # 3

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

PERSONAL INFORMATION

Social Security Number/Federal Employer ID Number [redacted]

IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION

Last Name	First	Middle	Title	Suffix
LIFE	EFIM (JEFF)			

Birth Date (MM/DD/YYYY) [redacted]

IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION

Representative's Name Last	First	Middle	Title	Suffix

Permitholder Name

Official Capacity

ATTEST STATEMENT

I, EFIM (JEFF) LIFE, do hereby instruct all law enforcement
(name of applicant/representative)
or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Florida Gaming Control Commission.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.

EFIM (JEFF) LIFE
(if individual applicant - legal name and any nickname or alias in parentheses)

Applicant/Representative Signature: _____ Date: 8/27/2026

NOTARIZATION

The foregoing application was sworn to and subscribed before me this 27 Day of August 20 24.

by Efim (Jeff) Life, _____
Type or print name of applicant Signature of applicant

who is personally known to me or who has produced the following as identification:

License [redacted]
Type of Identification

Jasmine Lemus
Signature of person taking acknowledgement
Notary Seal
(Rubber Stamp and Expiration)

NOTARY PUBLIC
Expires 11/20/2028
Jasmine Lemus
My Commission HH 514961
STATE OF FLORIDA

2026 JUL -7 PM 12:51

FLORIDA GAMING CONTROL COMMISSION

Florida Gaming Control Commission, Division of Pari-Mutuel Wagering
FGCC PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled **"For Division Use Only."**

DEMOGRAPHIC INFORMATION

Social Security Number or Authorization to Work Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]	[redacted]	☒ Male ☐ Female

Last Name	First	Middle	Suffix
Life	Efim	—	—

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☒ Yes ☐ No

If yes, list the name or names used: JEFF Life

Race/Ethnicity (optional)
☐ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☒ White or Caucasian ☐ Hispanic/Latino ☐ Other

Have you, within the previous 2 years, been employed with the commission? ☐ Yes ☒ No
Are you a United States citizen? ☒ Yes ☐ If no and you are applying for a position that requires you to work in the United States, attach documentation evidencing your authorization to work in the United States.

Current Mailing Address	Email Address
12540 Splendid Place #6207	JeffLife3@gmail.com

City	State	Zip Code (+4 optional)	Country, if other than USA
Orlando	FL	32821	—

Primary Phone Number	Secondary/Cell Phone Number (optional)
954-955-4517	

Current Street Address: 12540 Splendid Place #6207

City	State	Zip Code (+4 optional)	Country, if other than USA
Orlando	FL	32821	—

Type of Occupational License applying for: ☐ General Individual ☒ Professional Individual ☐ Cardroom Employee

Occupation: OWNER

Facility where employed and/or doing business:

Employer Name: GULFSTREAM

Does your position require access to the Cardroom? ☐ Yes ☒ No

Is this your first time applying for a racing/gaming license in Florida? ☐ Yes ☒ No

Are you a Supervisor, Manager, or Shareholder of a pari-mutuel permitholder? ☐ Yes ☒ No

Do you own or lease animals intended for racing in Florida? ☒ No ☐ Yes, complete the following:

Stable Name or Business Name: N/A

Trainer Name (horse racing only): KATHLEEN O'CONNEL

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code 1021 License # 8568902 File # 522398 App # 271654

Association Code 321 Date Received 7/2/26 Entered By AL License Year 2029

License Fee $40.00 FP Date 5/29/2024 FP Fee $36.00 Total Fee $76.00

☐ Off Temp ☐ Waiver Requested ☐ ARCI ☐ Enforcement ☐ Minor

RECEIVED JUL 02 2026

EXHIBIT # 1
PAGE # 5

2026 JUL -7 PM 12:51

FLORIDA GAMING

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NEEDED)

☒ Yes ☐ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☒ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
Sept 2019	Broward	FL	GRAND THEFT	FELONY	PROBATION

☐ Yes ☒ No	Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.
☐ Yes ☒ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details of the offense and discipline.
☐ Yes ☒ No	Have you ever had a racing/gaming license subject to a provisional suspension or period of ineligibility by the federal Horseracing Integrity and Safety Authority, or another such authority designated by the Federal Trade Commission.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577 and 409.2598, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for obtaining a change, correction, or updating of the FDLE or FBI criminal history are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

Under penalties of perjury, I declare that I have read the foregoing application and the facts contained in this application are true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Florida Gaming Control Commission and the laws of the State of Florida, pursuant to Section 550.105, Florida Statutes.

Signature of Applicant

5/29/26
Date

EXHIBIT # 1
PAGE # 6

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NEEDED)

☐ Yes ☒ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☒ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
10/7/1991	Broward	FL	DUI	Misdemeanor	Acquitted
07/07/2005	CLARK	NV	Battery Dom Violence	Misdemeanor	Denied
08/14/2019	Broward	FL	Grand Theft	Felony	Probation

☐ Yes ☐ No	Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.
☐ Yes ☐ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details of the offense and discipline.
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If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577 and 409.2598, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for obtaining a change, correction, or updating of the FDLE or FBI criminal history are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

Under penalties of perjury, I declare that I have read the foregoing application and the facts contained in this application are true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Florida Gaming Control Commission and the laws of the State of Florida, pursuant to Section 550.105, Florida Statutes.

Signature of Applicant

8/6/2026
Date

RECEIVED AUG 07 2026

EXHIBIT # 1
PAGE # 1

FGCC PMW-3110 – Animal Owner Temporary License Application

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

INSTRUCTIONS

The 90-day temporary license will be issued if the applicant or an owner's trainer completes this temporary license agreement and pays the license fee and fingerprint fee if applicable. The following terms and conditions apply to this temporary licensing:

1) The license is valid for 90 days from the date of issuance.
2) Should the owner fail to provide the necessary documents within the stipulated time:
   a) The owner's temporary license will have expired and the owner's racing animals will not be eligible to participate in pari-mutuel races.
   b) The owner will be ineligible to apply for another temporary license until satisfactorily completing the licensing process.

APPLICANT INFORMATION

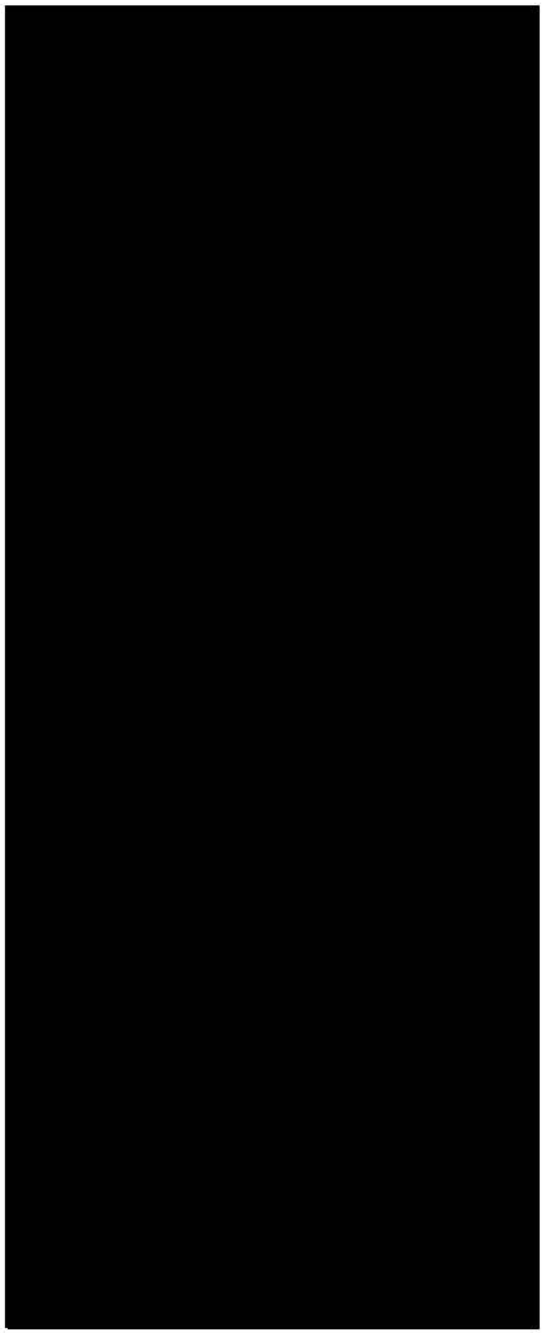
Name of Owner/Business	License Number of Owner/Business (if applicable)
LIFE EFFIN	8568902
Street Address or P.O. Box	
12540 SPLENDID PLACE #6207	
City	**State** / **Zip Code (+4 optional)**
ORLANDO	FL / 32821
Email Address	**Telephone Number**
JEFFLIFE3@GMAIL.COM	954-955-4517
Name of Trainer/Operator	**License Number of Trainer/Operator**
[illegible]	
Trainer/Operator Primary Phone Number	**Trainer/Operator Alternate Phone Number**
305-389-2589	
Receipt Number	**Amount Paid**
APP 291422	

ATTEST STATEMENT

I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I authorize all law enforcement or criminal justice agencies to release all requested information to the Florida Gaming Control Commission. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Pursuant to Section 550.2415, Florida Statutes, I agree to allow the Division to perform a postmortem exam of any racing animal under my care or ownership.

Signature of Applicant or Applicant's Representative _____

Date 5/29/26



RECEIVED

Lic. 8568902
File 52358



EXHIBIT # 1
PAGE # 1

Licenses

Licensee Search

Licensee:EFIM LIFE

No Picture Available

Reports on EFIM LIFE

Comprehensive Ruling Report
Drug Ruling Report (Last 5 Years)
Comprehensive Licensee Report

Identification Information

Add a New Identification Record

Date of Birth	Federal ID/SSN	Country	Sex
[redacted]			

Name Information

Add a New Name Record

Name Type	Prefix	First Name	Middle Name	Last Name	Suffix
Current Legal Name		EFIM		LIFE	

Address Information

Address Type	Street Address	City	State	Zip Code

Phone Information

Phone Number Type	Phone Number

License Information

Add a New License Record

License Number	License Type	Issued Date	Expiration Date	Licensing Commission
8568902	Owner	1/11/2015	6/30/2017	Florida Division of Pari-Mutuel Wagering
	Owner	9/25/2009	6/30/2012	Florida Division of Pari-Mutuel Wagering
	Owner	9/6/2009	10/6/2009	Florida Division of Pari-Mutuel Wagering

Fingerprint Information

Add a New Fingerprint Record

Processing Commission	Date Taken	Status	Notes	RCI Card Submitted Date

Ruling Number	Ruling Type	Ruling Date	Fine	Fine Paid?	Suspension Start	Suspension End

Applicable Reports

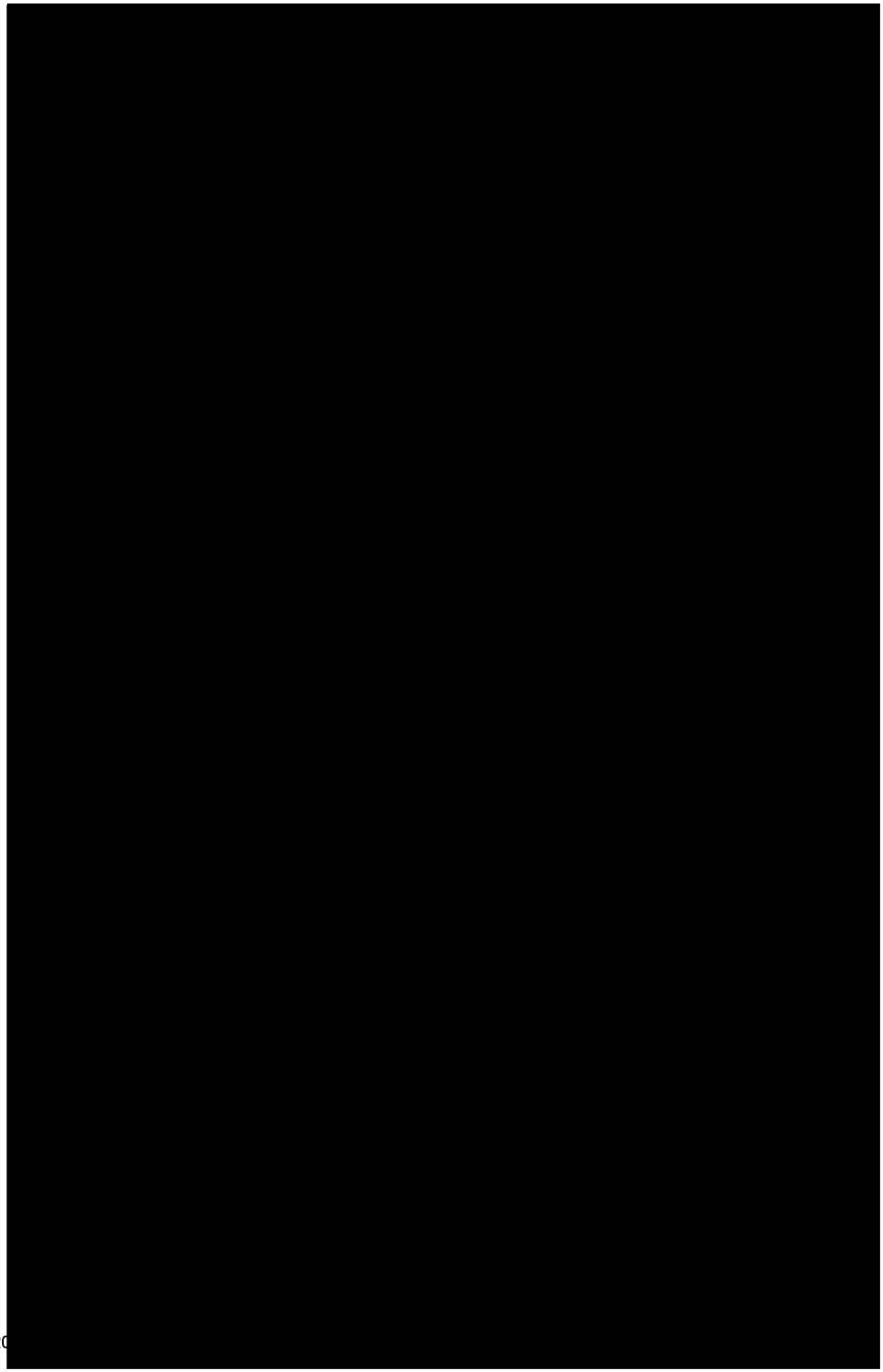
Comprehensive Ruling Report
Comprehensive Licensee Report

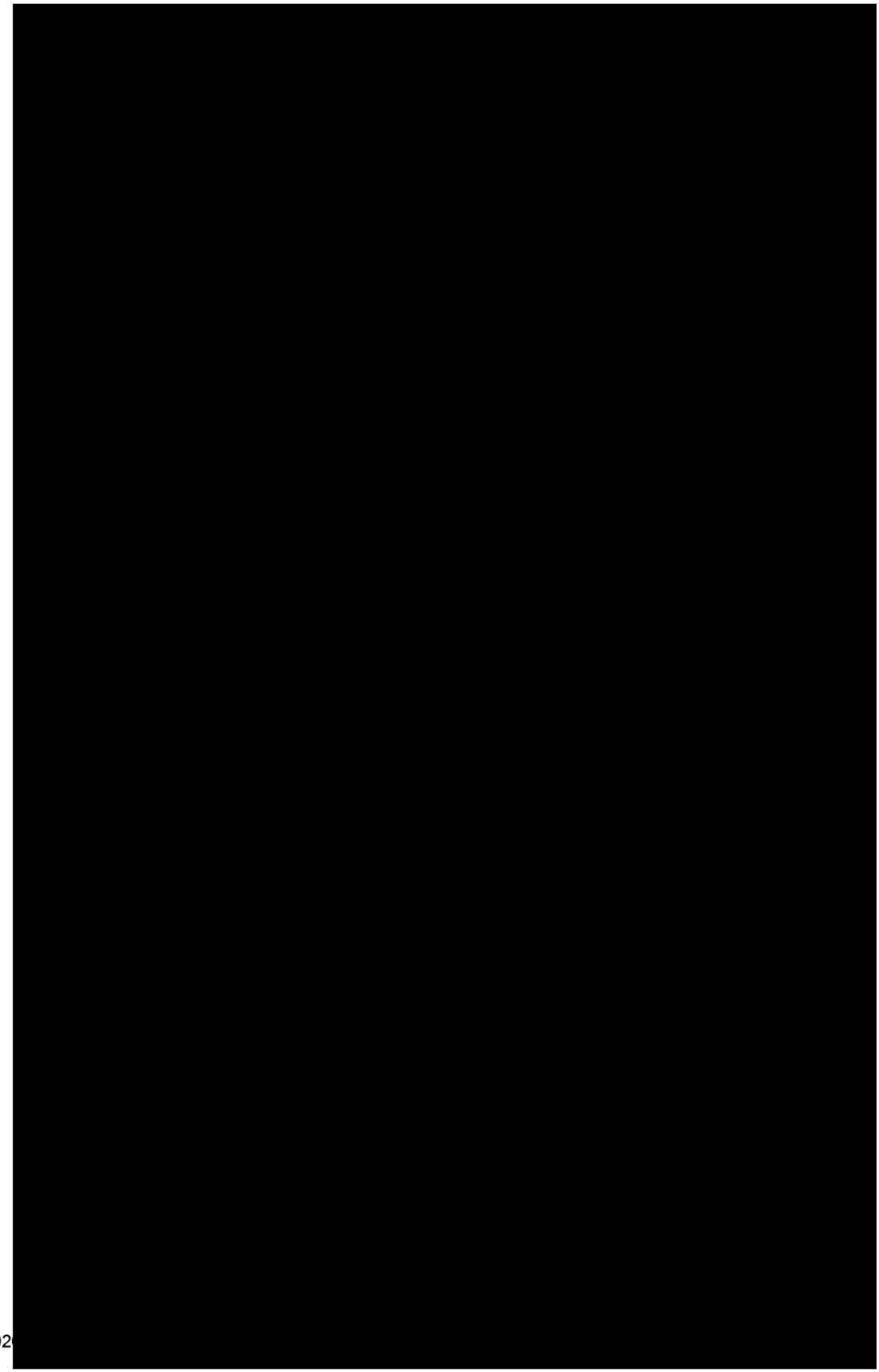
CH

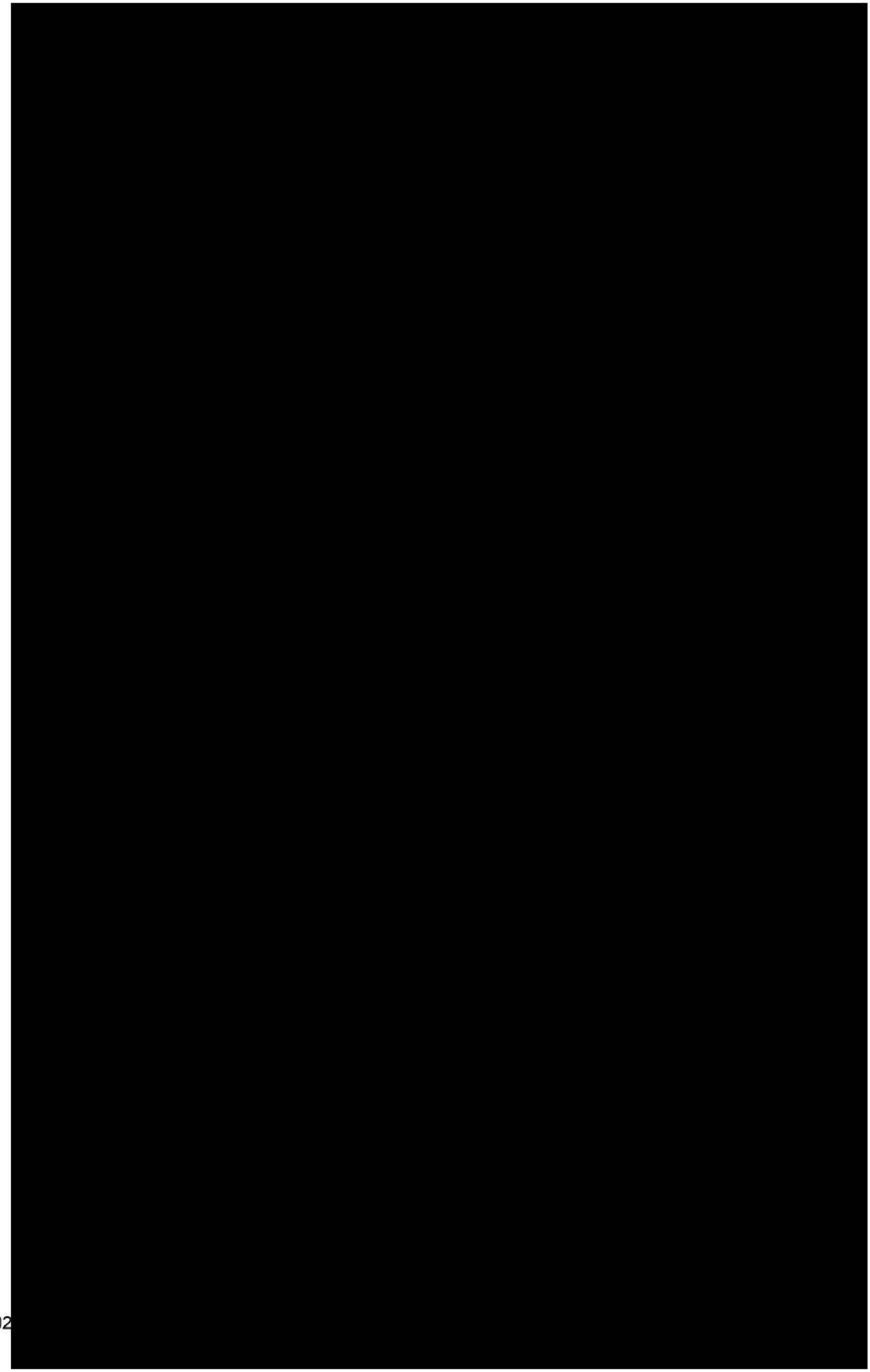
CH


FLORIDA'S CLERKS OF COURT
AND COMPTROLLERS
Bring You
CCIS
COMPREHENSIVE CASE
INFORMATION SYSTEM

[redacted]









Offense Date: 02/16/1991

Case # 91005173MM10A

[ ] 17th Judicial Circuit in and for Broward County
[X] In the County Court in and for Broward County

CLOCK IN

DIVISION:
[X] CRIMINAL
[ ] TRAFFIC
[ ] OTHER

JUDGEMENT OF ACQUITTAL

THE STATE OF FLORIDA VS.

Efim Iufe

PLAINTIFF DEFENDANT

CASE NUMBER
91-5173mm10

IT APPEARING UNTO THE COURT THAT YOU Efim Iufe HAVE BEEN FOUND NOT GUILTY BY:

[X] VERDICT OF A JURY
[ ] THE COURT SITTING WITHOUT A JURY

OF THE OFFENSE(S) OF: DUI

IT IS THEREFORE ORDERED AND ADJUDGED THAT YOU STAND ACQUITTED OF THE OFFENSE(S) AS SET FORTH ABOVE AND

IT IS FURTHER ORDERED AND ADJUDGED THAT YOU ARE HEREBY DISCHARGED IN THE ABOVE-STYLED COURT AND YOUR SURETIES EXONERATED.

DONE AND ORDERED AT [X] FORT LAUDERDALE [ ] POMPANO BEACH [ ] LAUDERHILL [ ] HOLLYWOOD, FLORIDA THIS 7 DAY OF Oct, 19 91.

JUDGE

RECEIVED AUG 07 2026

Form DC-204
Revised 10/90

Offense Date: 07/07/2005

Case # PC05M15233X

REGISTER OF ACTIONS
CASE NO. PC05M15233X

State of Nevada vs Life, Ifim

Case Type: **Misdemeanor**
Date Filed: **07/07/2005**
Location:

PARTY INFORMATION

		Lead Attorneys
Defendant	**Life, Ifim** Other Agency Numbers 813886 Justice Court Scope ID Subject Identifier	
State of Nevada	**State of Nevada**	

CHARGE INFORMATION

Charges: Life, Ifim	Statute	Level	Date
1. BATTERY DOMESTIC VIOLENCE NO PRIOR CONVICTIONS	200.481	Misdemeanor	07/07/2005

EVENTS & ORDERS OF THE COURT

OTHER EVENTS AND HEARINGS

07/07/2005 **Standard Bail Set**
Ct1: $3000.00 Cash/3000.00 Surety

07/07/2005 **JCON Accounting Detail**
Rcpt #: 09180296 Deft Name: LIFE, EFIM Payer Name: SWIFT BAIL BONDS Deft ID: 00813886 Fund: 660 Payment Type: CSH Location: ITK Case #: 05M15233X

07/07/2005 **CTRACK Track Assignment JC05**

Criminal Case Records Search Results

Record Count: 1
Search By: Defendant **Party Search Mode:** Name **Last Name:** LIFE **First Name:** EFIM **Date of Birth:** [redacted] **All All** **Date Filed On or After:** 07/06/2005 **Sort By:** Status

Case Number	Citation Number	Defendant Info	Filed/Location	Type/Status	Charge(s)
PC05M15233X		Life, Efim	07/07/2005	Misdemeanor Denied	BATTERY DOMESTIC VIOLENCE NO PRIOR CONVICTIONS

TO: FLORIDA GAMING CONTROL COMMISSION

THIS CASE WAS DENIED AS THE CHARGES WERE DROPPED.

08/06/26

Efim Life

RECEIVED AUG 07 2026

Offense Date: 01/31/2014

Case # 062013CF011848A88810

☐ COMPLAINT AFFIDAVIT

SHADED FIELDS MUST BE ANSWERED IF DEFENDANT NOT IN CUSTODY

BROWARD COUNTY ARREST #

☒ ARREST FORM

OBTS #

Filing Agency	Offense Report
MIRAMAR PD	12-07-01745

Defendant's Last Name	First	Middle	SUF	Alias/Street Name
LIFE	EFIM			

Race	Sex	Hgt	Wgt	Hair	Eyes	Comp	Age	DOB	Birth Place
W	M	6'02	220	BLOND	GREE	LIGHT	44	[redacted]	RUSSIA

Permanent Address: 5288 SW 183RD AV, MIRAMAR, FL 33029

Scars, Marks, TT

Residence Type: (1) City (2) County (3) Florida (4) Out of State — Local Address: 5288 SW 183RD AV, MIRAMAR, FL 33029

Place of Employment: LIFE REALTY INC, 3350 SW 148TH AV — Length

How long defendant in Broward County:	Breathalyser By/CCN	Reading	Place of Arrest	Date/Time Arrested	Arresting Officer(s) CCN
				08/06/2012 08:54	ADCOCK, MICHAEL C (219)

Officer Injured: Y☐ N☒	Unit	Zone	Beat	Shift	Trans. Unit	PMC: Y☐ N☒	Transporting Officer/CCN	Pick-up Time	Time Arrived/BSO

TYPE/ACTIVITY: N / N

Type: N-N/A; A-Amphetamine; B-Barbiturate; C-Cocaine; E-Heroin; H-Hallucinogen; M-Marijuana; O-Opium/Deriv; P-Paraphernalia/Equipment; S-Synthetic; U-Unknown; Z-Other

Activity: N-N/A; P-Possess; S-Sell; B-Buy; T-Traffic; A-Smuggle; D-Deliver; E-Use; M-Manufacture/Produce/Cultivate; K-Dispense/Distribute; Z-Other

Indicators:	Y	N	UK
Alcohol Influence	☐	☒	☐
Drug Influence	☐	☒	☐

Attach Defendant's Photo

Vehicle Towed To: _____

Name of victim(s) (If corporation, exact legal name and state of incorporation)		
DURO, ADRIANY A	397 W 58TH ST, HIALEAH, FL 33012	(305) 821-6803
FERNANDEZ, ANA IRIS	780 SE 1ST ST, HIALEAH, FL 33010	(786) 970-8021

Count #	Offenses Charged	WCS / Citation # (If applicable)	FS or Capias/Warrant #
1	SWINDLE OBTAIN PROPERTY $50,000 OR MORE		817.034(4)(A)(1)
1	MORTGAGE BROKER W/O LICENSE		494.0025(3)

Before me this date personally appeared ADCOCK, MICHAEL C (219) who being first duly sworn deposes and says that on the 25 day of August (year) 2011 at 3350 SW 148TH AVE 110, MIRAMAR, FL (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows:

The defendant, Efim Life, did in fact commit the crime of theft when he: swindled to obtain property valued at over $50K, (engaging in a scheme to defraud), F.S.S. 817.034(4)(a)(1), and also the crime of operating as an unlicensed mortgage broker, F.S.S. 494.0025(3), when he knowingly set up a business called Federal Home Mortgage Assistance, LLC., in Miramar, in 2010-2011, and took money from the below named victims for services he did not complete, nor was licensed to complete. Defendant Life

* * * Continued * * *

I swear the above statement is correct and true to the best of my knowledge and belief.

Officer/Affiant's Signature

ADCOCK, MICHAEL C (219)
Officer's Name/CCN

Sp5 - Criminal Investigation
Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 6 day of August 2012 (year),
by OFFICER ADCOCK, MICHAEL C (name and title), who is personally known to me or has produced _____ as identification.

Notary Public, Deputy Clerk of the Court, or Assistant State Attorney

Det/286
Title/Rank and CCN

Print, Type or Stamp Commissioned Name of Notary Public

(SEAL)

RECEIVED
CIRCUIT COURT
BROWARD COUNTY.
2012 JUN 22 PM 3:

REDACTED

Seventeenth Judicial Circuit
Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

(SHOULD ADDITIONAL SPACE BE NEEDED, USE THE PROBABLE CAUSE AFFIDAVIT CONTINUATION (BSO DB42a))

BSO DB-42 (Revised 05/00)

Orig - Court
2nd - State Attorney
3rd - Filing Agency
4th - Arresting Agency

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EXHIBIT # 2
PAGE # 1

BROWARD COUNTY.

☐ COMPLAINT AFFIDAVIT
PROBABLE CAUSE AFFIDAVIT CONTINUATION

☒ ARREST FORM

ARREST #

OBTS #

Filing Agency	Offense Report				
MIRAMAR PD	12-07-01745				
Defendant's Last Name	**First**	**Middle**	**SUF**	**Alias/Street Name**	**Citizenship**
LIFE	EFIM				

Name of victim(s) (if corporation, exact legal name and state of incorporation):

CHARRIS, MARLENE PATRICIA	3740 INVERRARY DR 2D, LAUDERHILL, FL 33319	(954) 677-8425
ABAD, MARLENE C	9381 E BAY HARBOR DR 404N, BAY HARBOR ISLE, FL 33154	(305) 322-9186

Count #	Offenses Charged	WC# / Citation # (if applicable)	FS or Capias/Warrant #
	* * * SEE PAGE 1 * * *		

Before me this date personally appeared ADCOCK, MICHAEL C. (219) who being first duly sworn deposes and says that on 25 day of August (year) 2011 at 3350 SW 148TH AVE 110, MIRAMAR, FL (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows:

took $64,307 in total from the victims who all seek prosecution. The facts of the case are as follows:

I was assigned several fraud cases for follow up and spoke to each of the below listed victims about their particular situation. I then made contact with the Bureau of Finance Regulations and I spoke to their manager, Sharon Dawes; from the Florida Office of Financial Regulation (OFR). I explained to Dawes about the large amount of fraud cases that had been reported to our agency by the multiple victims listed below. Agent Dawes explained to me that their agency had already been conducting it's own investigation into the business practices of defendant Life, and his company known as Federal Mortgage Assistance, LLC, since August 2011. Agent Dawes asked that I forward all of the police reports that were made to Miramar PD, along with any contracts the victims possessed, to her office for their agency's follow up investigation. Agent Dawes conducted a lengthy investigation into the case and after six months provided me with a complete case file of evidence against Life, which is attached to this report.

In August 2011, Agent Dawes went to Life's office after receiving several complaints from individuals that felt they were being ripped off. Life provided [redacted] with a list of the clients in which he had been doing business with, of [redacted] one business with. This detective was not made aware of the situation [redacted] first victim actually reported the matter to the police, in September [redacted] point Life had closed up his business and his whereabouts have since [redacted] total of ten Miramar case numbers were generated by various victims between [redacted] 2011 and December 2011. Due to the fact that the other victims did not report their cases directly to the police, instead they had reported their cases to [redacted] office, I

* * * Continued * * *

I swear the above statement is correct and true to the best of my knowledge and belief.

Officer/Affiant's Signature

ADCOCK, MICHAEL C. (219)
Officer's Name/CCN

Sob - Criminal Investigation
Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 6 day of August 2012 (year),
by OFFICER ADCOCK, MICHAEL C. (name and title), who is personally known to me or has produced as identification.

Notary Public Deputy Clerk of the Court or Assistant State Attorney

Title/Rank and CCN

Print, Type or Stamp Commissioned Name of Notary Public

(SEAL)

Seventeenth Judicial Circuit
Broward County
State of Florida

BSO DB-62a (Revised 05/00)

FIRST APPEARANCE/ARREST FORM

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RECEIVED
CLERK, CIRCUIT COURT
BROWARD COUNTY, FL
2012 AUG 22 PM 3:

REDACTED

Court
State Attorney
3rd . Filing Agency
4th . Arresting Agency

EXHIBIT # 2
PAGE # 2

☐ **COMPLAINT AFFIDAVIT**
PROBABLE CAUSE AFFIDAVIT CONTINUATION

BROWARD COUNTY
ARREST #

☒ ARREST FORM

OBTS #

Filing Agency	Offense Report			
MIRAMAR PD	12-07-01745			
Defendant's Last Name	**First**	**Middle**	**SUF**	**Alias/Street Name**
LIFE	EFIM			

Name of victim(s) (if corporation, exact legal name and state of incorporation):

See Attached Victim List

Count #	Offenses Charged	WCS / Citation # (if applicable)	FS or Capias/Warrant #
	*** SEE PAGE 1 ***		

Before me this date personally appeared ADCOCK, MICHAEL C. (219) who being first duly sworn deposes and says that on 25 day of August (year) 2011 at 3350 SW 148TH AVE 110, MIRAMAR, FL (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows:

generated one Miramar case number, this one specifically, to lump everyone together. From this point forward any of the ten previously issued case numbers, and this case number, will all contain the exact same narrative. Records Supervisor Barnard was made aware of this situation and linked all of the individual case numbers together with this case number as being related.

Further investigation revealed that defendant Life had been operating out of the Miramar office location, as a loan modifications officer, for the past two years. Life would complete contracts between his company and the listed victims promising them all either a reduced mortgage payment, or a reduction in their credit card debt. Participating in this practice is in direct conflict with F.S.S. 817.034(4)(a)(1), swindle to obtain property valued at over $50K, or engaging in a scheme to defraud, and F.S.S. 494.0025(3), operating as an unlicensed mortgage broker.

I contacted each of the below listed victims whom all provided me with a sworn affidavit of prosecution against defendant Life. Their individual contracts, and copies of the checks that were given to defendant Life as payment, are all contained within the case file provided to me by the OFR. This OFR case file will be turned over to the ASA handling this matter as it is several hundred pages long. A copy of the case file remains on file with the OFR. I also have copies of the victims sworn affidavits for this agencies records.

The names of the below listed victims can all be found within the Miramar PDs under the case numbers that they were originally assigned when they were first reported to our agency. Those victims who were not previously assigned a case number, but instead

* * * Continued * * *

I swear the above statement is correct and true to the best of my knowledge and belief.

Officer/Affiant's Signature

ADCOCK, MICHAEL C. (219)
Officer's Name/CCN

Sob - Criminal Investigations
Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 6 day of August 2012 (year),
by OFFICER ADCOCK, MICHAEL C. (name and title), who is personally known to me or has produced as identification

Notary Public, Deputy Clerk of the Court, or Assistant State Attorney

DET/296
Title/Rank and CCN

W. Dodd
Print, Type or Stamp Commissioned Name of Notary Public

(SEAL)

Seventeenth Judicial Circuit
Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

COURT COPY

BSO DR-402a (Revised 05/00)

RECEIVED
CLERK CIRCUIT COURT
BROWARD COUNTY, FLA.
AUG 22 P 3:37

[redacted]

1 - Court
2 - State Attorney
3 - Filing Agency
4 - Arresting Agency

EXHIBIT # 2
PAGE # 3

☐ COMPLAINT AFFIDAVIT
PROBABLE CAUSE AFFIDAVIT CONTINUATION

BROWARD COUNTY

☒ ARREST FORM

ARREST #

OBTS #

Filing Agency	Offense Report				
MIRAMAR PD	12-07-01745				
Defendant's Last Name	**First**	**Middle**	**SUF**	**Alias/Street Name**	**Citizenship**
LIFE	EFIM				

Name of victim(s) (If corporation, exact legal name and state of incorporation):

See Attached Victim List

Count #	Offenses Charged	WC# / Citation # (If applicable)	FS or Capias/Warrant #
	*** SEE PAGE 1 ***		

Before me this date personally appeared ADCOCK, MICHAEL C (219) who being first duly sworn deposes and says that on 25 day of August, (year) 2011 at 3350 SW 148TH AVE 110, MIRAMAR, FL (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows:

reported their situations to the OFR, will also be listed as victims in the persons section of this report (which is case# 12-07-01745). This report will be used as the main case number for all victims past and present.

[redacted]

The below list of victims did not report their situations directly to the police, however instead made a report with the OFR:

[redacted]

*** Continued ***

I swear the above statement is correct and true to the best of my knowledge and belief

Officer/Affiant's Signature

ADCOCK, MICHAEL C (219)
Officer's Name/CCN

Sob - Criminal Investigation
Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 6 day of August 2012 (year),
by OFFICER ADCOCK, MICHAEL C. (name and title), who is personally known to me or has produced as identification.

Notary Public, Deputy Clerk of the Court, or Assistant State Attorney

Dunlap
Print, Type or Stamp Commissioned Name of Notary Public

Det/2nd
Title/Rank and CCN

(SEAL)

RECEIVED
CLERK, CIRCUIT COURT
BROWARD COUNTY, FL
2013 AUG 22 PM 3:37
FELONY

Seventeenth Judicial Circuit
Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

COURT COPY

REDACTED

Court
State Attorney
Filing Agency

EXHIBIT # 2
PAGE # 4

BSO DB-92a (Revised 05/00)

☐ COMPLAINT AFFIDAVIT
PROBABLE CAUSE AFFIDAVIT CONTINUATION

BROWARD COUNTY

☒ ARREST FORM

ARREST #

OBTS #

Filing Agency	Offense Report
MIRAMAR PD	12-07-01745

Defendant's Last Name	First	Middle	SUF	Alias/Street Name
LIFE	EFIM			

Name of victim(s) (If corporation, exact legal name and state of incorporation):

See Attached Victim List

Count #	Offenses Charged	WCS / Citation # (if applicable)	FS or Capias/Warrant #
	* * * SEE PAGE 1 * * *		

Before me this date personally appeared ADCOCK, MICHAEL C (219) who being first duly sworn deposes and says that on 25 day of August (year) 2013 at 3350 SW 148TH AVE 110, MIRAMAR, FL (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows:

[redacted]

This case shall be classified as ACTIVE/NOT-IN-CUSTODY.

FELONY

RECEIVED
CLERK, CIRCUIT COURT
BROWARD COUNTY, FL.
2013 AUG 22 PM 3:37

I swear the above statement is correct and true to the best of my knowledge and belief.

Det M Adcock
Officer/Affiant's Signature

ADCOCK, MICHAEL C. (219)
Officer's Name/CCN

Sob - Criminal Investigation
Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 6 day of August 2013 (year).

by OFFICER ADCOCK, MICHAEL C. (name and title), who is personally known to me or has produced _____ as identification.

Notary Public, Deputy Clerk of the Court, or Assistant State Attorney

Det 1296
Title/Rank and CCN

Print, Type or Stamp Commissioned Name of Notary Public

(SEAL)

REDACTED

Seventeenth Judicial Circuit
Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

COURT COPY

BSO DB-e2a (Revised 05/00)

One - Court
2nd - State Attorney
3rd - Filing Agency
4th - Booking Agency

EXHIBIT # 2
PAGE # 5

☐ **COMPLAINT AFFIDAVIT**
SHADED FIELDS MUST BE ANSWERED IF DEFENDANT NOT IN CUSTODY

BROWARD COUNTY

☒ ARREST FORM

ARREST #

Additional VICTIM List

OBTS #

Filing Agency	Offense Report			
MIRAMAR PD	12-07-01745			
Defendant's Last Name	**First**	**Middle**	**SUF**	**Alias/Street Name**
LIFE	EFIM			

Name of victim(s) (If corporation, exact legal name and state of incorporation):

[redacted]

FILED
2013 AUG 22 PM 3:37
RECEIVED
CLERK-CIRCUIT COURT
BROWARD COUNTY, FL

FELONY

Officer/Affiant's Signature

ADCOCK, MICHAEL C. (219)
Officer's Name/CCN

Sob - Criminal Investigation
Officer's Division

REDACTED

Orig - Court
P - State Attorney
Filing Agency
Arresting Agency

Seventeenth Judicial Circuit
Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

(SHOULD ADDITIONAL SPACE BE NEEDED, USE THE PROBABLE CAUSE AFFIDAVIT CONTINUATION (BSO DB82A))

BSO DB-82 (Revised 05/00)

COURT COPY

EXHIBIT # 2
PAGE # 6

Jeff Life AKA Efim Life
5288 SW 183rd Ave
Miramar FL 33029

Male [redacted] White

13-011848-CF10A

6 Ft. 2 In. 220 Lbs. Green

BCCN:

2013 AUG 28 AM 7:45

In The Circuit/Court
In And For Broward County,
Florida

Capias

Judge Michael Ian Rothschild - FB
Deft Number 13-011848-CF10A

To all and singular the sheriffs of Florida, Greetings:

You are commanded to take **Jeff Life AKA Efim Life**

If that person be found in your county, arrest and safely keep so that you may have that person's body before the Judge of our said court instanter to answer to the charge, filed by the State Attorney of Broward County, Florida to:

Charge	Charge Description	Bond Amount
1	Communications Fraud + $50,000	25,000.00

Total: 25,000.00

Issue Date: 08/22/2013

And hav[illegible] this writ.

8/27/2013
Witness Howard C. Forman, Clerk of our
Said court and the seal of our said court,
At the Courthouse at Fort Lauderdale Florida,
Howard C. Forman, Clerk

By: Claudia Lala Deputy Clerk

Seal 17th JUDICIAL CIRCUIT BROWARD COUNTY FLORIDA

Arresting Agency:
Arresting Officer:
Reason Capias Issued: **Capias - Not In Custody**

****

Received this capias on _____ and executed same on _____
By arresting the within named defendant and having him now before the court.
_____, Sheriff By: _____
Deputy Sheriff

EXHIBIT # 2
PAGE # 1

Broward County Sheriff's Office

[redacted]

Booking Report

13-011848CF10A

CIS #	171400093	BCCN #	833912	Booking Sheet Control Date and Time	
OBTS	607178589	Print Clearance	1/31/2014 18 05 24	Prints Yes	01/31/14 21:03:54

Arrest # MM 1400093 Offense Report # 12-07-01745 Agency MM

Last Name
First LIFE , EFIM ,
Middle

SSN # [redacted]

Race	Sex	Height	Weight	Eyes	Hair	Comp	Age Admitted	DOB	Place of Birth	State	FDLE
WHITE	M	601	200	BLU	GRY	FAR	46	[redacted]	MOSCOW	SX	3091019

Permanent Address 11243 NW 58TH TER DORAL FL 33178 Months of Residence 0

Arrest Date 1/31/2014 15 34 00 Arresting Officer GUILLOU Place of Arrest 5379 NW 7TH ST Badge Number 235

Inmate Logged Date 1/31/2014 17 26 20 Inmate Log Type Place Admitted MAIN

Intake Comments 29/54 SP/CO 12119 WC 12258

Alias Last name, First, Middle

Scars,Marks,Tattoos

Release Date/Time Release Reason Release Authorized By

Charge No.	Charge Initiation Date	Statute	Warrant/Capias	Level	M.C	B.Type	Bond Amount
1	01/31/2014 20 58	817 034-4a1	13011848CF10A	1F	Y	BOND	25000

Charges OBTAIN PROPERTY OVER 50000 DOLLARS BY FRAUD **Comments** NIC

Booking Off. ID bs12332 **County** BROWARD **Judge** ROTHSCHILD

* End of Report *

EXHIBIT # 2
PAGE # 8

☐ COMPLAINT AFFIDAVIT

SHADED FIELDS MUST BE ANSWERED IF DEFENDANT NOT IN CUSTODY

☒ ARREST FORM

BROWARD COUNTY

ARREST # MM 14-0093

OBTS #

Filing Agency	Offense Report	LocalID #	FDLE	FBI	SS#
MIRAMAR PD	12-07-01745		FL03091019	942572MA7	[redacted]

Defendant's Last Name	First	Middle	SUF	Alias/Street Name	Citizenship
LIFE	EFIM			LIFE, JEFF	US

Race	Sex	Hgt	Wgt	Hair	Eyes	Comp	Age	DOB	Birth Place
W	M	6'02	220	BLOND	GREE	LIGHT	45	[redacted]	MOSCOW, Russia

Permanent Address	Scars Marks TT
11243 NW 58TH TER, MIAMI, FL 33178	NONE VISIBLE

Residence Type (1) City (2) County (3) Florida (4) Out of State	Local Address	Place of Employment	Length
(3)	11243 NW 58TH TER, MIAMI, FL 33178	MIAMI AUTO WHOLE SALE & EXPORT,	8MO

How long defendant in Broward County	Breathalyser By/CCN	Reading	Place of Arrest	Date/Time Arrested	Arresting Officer(s) CCN
4YRS	N/A	N/A	5379 NW 7TH ST., MIAMI, FL	01/31/2014 15:34	GUILLOU, WILLIAM M. (235)

Officer Injured Y☐ N☒	Unit	Zone	Beat	Shift	Trans Unit	FHP Y☐ N☒	Transporting Officer/CCN	Pick-up Time	Time Arrived/BSO
	3K8	8	CW	B	713		GUILLOU #235		

TYPE / ACTIVITY	Type: N-N/A, M-Amphetamine, B-Barbiturate, C-Cocaine, E-Heroin, H-Hallucinogen, M-Marijuana, O-Opium/Deriv, P-Paraphernalia/Equipment, S-Synthetic, U-Unknown, Z-Other	Activity: N-N/A, P-Possess, S-Sell, B-Buy, T-Traffic, A-Smuggle, D-Deliver, E-Use, M-Manufacture/Produce/Cultivate, R-Dispense/Distribute, Z-Other	Indication of	Y	N	UK
N / N			Alcohol Influence	☐	☐	☒
			Drug Influence	☐	☐	☒

Attach Defendant's Photo

Defendant's Vehicle Make: _____ Type: _____ Year: _____ Color: _____ VIN # _____

Vehicle Towed To: _____ Tag #: _____ Other identifiers or remarks: _____

Name of victim(s) (if corporation enter legal name and state of incorporation)

State Of Florida

Count #	Offenses Charged	WC# / Citation # (if applicable)	FS or Capias/Warrant #
1	FELONY CAPIAS ARREST	201401577	13011848CF10A

Probable Cause Affidavit

Before me this date personally appeared GUILLOU, WILLIAM M. (235) who being first duly sworn deposes and says that on 9 day of July, (year) 2013 at 3350 SW 148TH AV, MIRAMAR, FL 33027 (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows

While working as a member of the U.S. Marshals Florida Regional Fugitive Task Force, contact was made with Def. on 01-31-14 at approximately 1515 hrs., while at 5379 NW 7th St., Miami, FL, in regards to him having an active arrest warrant.

A check from the teletype system revealed Def. had an active arrest warrant (13011848CF10A) out of Miramar (Broward County), FL in reference to one count of

* * * Continued * * *

I swear the above statement is correct and true to the best of my knowledge and belief

DET. W. GUILLOU #235
Officer/Affiant's Signature

GUILLOU, WILLIAM M. (235)
Officer's Name/CCN

Sob - Criminal Investigation
Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 31 day of January 2014 (year).

by OFFICER GUILLOU, WILLIAM M. (name and title), who is personally known to me or has produced _____ as identification

Sean Sandler
Notary Public, Deputy Clerk of the Court or Assistant State Attorney

DUSM 3125
Title/Rank and CCN

Print, Type or Stamp Commissioned Name of Notary Public

(SEAL)

WE 122586
2915810-2119

EXHIBIT # 2
PAGE # 9

Seventeenth Judicial Circuit
Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

(SHOULD ADDITIONAL SPACE BE NEEDED, USE THE PROBABLE CAUSE AFFIDAVIT CONTINUATION (BSO DB#2a))

BSO DB-#2 (Revised 05/09)

1st - Court
2nd - State Attorney
3rd - Filing Agency
4th - Arresting Agency

COURT COPY

☐ COMPLAINT AFFIDAVIT
PROBABLE CAUSE AFFIDAVIT CONTINUATION

☒ ARREST FORM

BROWARD COUNTY

ARREST # MM 14-0093

OBTS #

Filing Agency	Offense Report	Local ID #	FDLE	FBI	SS #
MIRAMAR PD	[redacted]		FL03091019	942572MA7	[redacted]

Defendant's Last Name	First	Middle	SUF	Alias/Street Name	Citizenship
LIFE	EFIM			LIFE, JEFF	US

Name of victim(s) (if corporation enter legal name and state of incorporation)

Count #	Offenses Charged	WC# / Citation # (if applicable)	FS or Capias/Warrant #
	* * * SEE PAGE 1 * * *		

Probable Cause Affidavit

Before me this date personally appeared GUILLOU, WILLIAM M. (235) who being first duly sworn deposes and says that on 9 day of July, (year) 2012 at 3350 SW 148TH AV; MIRAMAR, FL 33027 (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows

Communications Fraud ($25,000 bond). The active arrest warrant was confirmed through the Broward Sheriff`s Office Warrant`s Division (Operator Johana Davila). WC #: 201401577. Def. was arrested and subsequently transported to the Main Jail Bureau, for additional processing.

I swear the above statement is correct and true to the best of my knowledge and belief

DET. W. [signature] #235
Officer/Affiant's Signature

GUILLOU, WILLIAM M. (235)
Officer's Name/CCN

Sob - Criminal Investigation
Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 31 day of January 2014 (year),

by OFFICER GUILLOU, WILLIAM M. (name and title), who is personally known to me or has produced _____ as identification

Sean Sandler
Notary Public Deputy Clerk of the Court or Assistant State Attorney

DUSM 3125
Title/Rank and CCN

Print Type or Stamp Commissioned Name of Notary Public

(SEAL)

EXHIBIT # 2
PAGE # 10

Seventeenth Judicial Circuit
Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

COURT COPY

Orig - Court
2nd - State Attorney
3rd - Filing Agency
4th - Arresting Agency

BSO DB-#2a (Revised 05/00)

BSO - Warrants/Extradition Division

Confirmation Report

Print Date: January 31, 2014 3:44:17PM

Life

Confirmation Information

Confirmation Number: WC-201401557 **Date Issued:** 01/31/2014 **Issued By:** bs16194

Issued To Name/ID/Ori: DET GUILLOU/235/MIRAMAR PD

Status: Assigned

Notes: NEW ARREST VIA TTY

Case Number: 13011848CF10A-000 **Name:** LIFE, JEFF AKA EFIM LIFE

Capias Date: 2013/08/22 **Origin:** BC **DOB:** [redacted] **Race/Gender:** WM

EXHIBIT # 2
PAGE # 11

Filing # 120228831 E-Filed 01/26/2021 10:24:58 AM

IN THE CIRCUIT COURT OF THE
SEVENTEENTH JUDICIAL CIRCUIT, IN AND
FOR BROWARD COUNTY, FLORIDA

CASE NO: 13 011848 CF10A

STATE OF FLORIDA
PLAINTIFF,

VS.

JEFF LIFE,
DEFENDANT.
_____/

NOTICE OF APPEARANCE OF COUNSEL, REQUEST FOR COPY OF CHARGE(S), WAIVER OF PERSONAL APPEARANCE AT ARRAIGNMENT AND WRITTEN PLEA OF NOT GUILTY

JOSE I. RIVAS, Attorney-at-Law, hereby enters his Notice of Appearance as Counsel for the Defendant.

Pursuant to Rule 3.140(m) Fla.R.Crim.P, the Clerk of the Court is hereby requested to furnish a copy of the information, citation(s), notice to appear and/or arrest affidavit, with endorsements thereon, by U.S. Mail to the undersigned Legal Counsel.

Pursuant to Rule 3.170(a) Fla.R.Crim.P, Defendant, by and through undersigned Counsel, hereby enters this Written Plea of Not Guilty to the charges contained in the aforementioned Court for Arraignment proceedings, subject to this Court's authorizing Defense Counsel to file timely motions otherwise due at the time of Arraignment, within ten (10) days after receipt of a copy of the requested charging document in this cause.

I HEREBY CERTIFY that a true and correct copy of the above and foregoing Notice of Appearance of Counsel, request for copy of the charge(s), Waiver of Personal Appearance at Arraignment and Written Plea of Not Guilty, has been furnished to the Office of the State Attorney, 201 SE 6th St #655, Fort Lauderdale, FL 33301, this 26th day of January 2021.

Jose I. Rivas, Esq.
FBN: 0036406
The Rivas Law Firm, P. A.
(Attorneys for the Defendant)
2307 Lee Road
Winter Park, FL 32789
Phone: (407) 644-2466
Email:info@rivaslawfirm.com

EXHIBIT # 3
PAGE # 1

CIRCUIT COURT DISPOSITION ORDER IN AND FOR BROWARD COUNTY, FLORIDA

Case Number 13-11848CF10A Arrest Number MM1400093 BCCN # 833912

State of Florida VS Life, Jeff AKA _____

Judge Thomas J. Coleman Cash bond / Return to depositor / Surety bond / IC

Cash bond number(s) _____

Charges 1. Grand Theft

( ) REMANDED ( ) REMAIN IC ( ) UNTIL PICKED UP BY ( ) UNTIL AFTER POST ADJUDICATORY HEARING OR BED AVAILABLE AT

( ) Arraignment ( ) Change of Plea ( ) Guilty ( ) No Contest ( ) PSI/RDR ( ) Sentencing / Re-Sentencing
( ) Trial by Jury ( ) Trial by Court (✓) First VOP/VOCC (✓) Final VOP/VOCC (✓) Admits Allegations As to Arrest Only
( ) Convicted by Jury/Court _____ ( ) Acquitted by Jury/Court _____ ( ) Dismissed _____ ( ) Speedy
( ) Discharged _____ ( ) Nolle Prosequi _____ ( ) Found Incompetent/Placement Pending/ Committed to Child/Family Services
( ) Adj. Guilty _____ (✓) Adj. Withheld 8/14/19 ( ) Adj. Delinquent _____
( ) Committed to DJJ/Level _____ ( ) Sentence Withheld _____ ( ) Previous Sentence Vacated
( ) PSI Ordered
Adj. and Sentence deferred to _____

Type of probation / Community Control:
( ) Youthful Offender ( ) Drug Offender ( ) Sexual Offender ( ) Habitual Offender ( ) Mental Health ( ) County
PROBATION/COMM. CONTROL: ( ) Revoked (✓) Reinstated (✓) Modified ( ) Terminated
Extended _____ (✓) All previous special conditions apply
WARRANT: ( ) Dismissed (✓) Withdrawn ( ) Served in open court

SENTENCE: *(PROBATION / COMM. CONTROL)*

COUNT(S): _____
_____ ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control ( ) Followed by
_____ ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control
_____ ( ) each count concurrent/consecutive ( ) Concurrent ( ) Consecutive to case number _____

COUNT(S): _____
_____ ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control ( ) Followed by
_____ ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control
_____ ( ) each count concurrent/consecutive ( ) Concurrent ( ) Consecutive to case number _____

SENTENCE: *(INCARCERATION)*

COUNT(S): _____ ( ) One year plus one day ( ) _____ ( ) Years ( ) Months ( ) Days
( ) BCJ ( ) FSP, w/credit for _____ days T/S
( ) Followed by _____ ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control
( ) Each count concurrent/consecutive ( ) Concurrent/consecutive ( ) To case number _____
( ) Any other sentence ( ) Work release ( ) Prison sentence suspended

COUNT(S): _____ ( ) One year plus one day ( ) _____ ( ) Years ( ) Months ( ) Days
( ) BCJ ( ) FSP, w/credit for _____ days T/S
( ) Followed by _____ ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control
( ) Each count concurrent/consecutive ( ) Concurrent/consecutive ( ) To case number _____
( ) Any other sentence ( ) Work release ( ) Prison sentence suspended

EXHIBIT # 3
PAGE # 2

JUDGE _____

DEPUTY CLERK V. Struples **DATE** 1/26/21

FILE COPY-WHITE DEFENDANT'S COPY-BLUE SHERIFF'S COPY-YELLOW PROBATION'S COPY-PINK DEFENSE ATTORNEY'S COPY-GOLD REVISED 09/25/13

State of Florida VS Life, Jeff Case Number 13-11848CFDA

SPECIAL CONDITIONS OF PRISON SENTENCE:

( ) Habitual Violent Offender mandatory minimum _____ years Ct(s) _____
( ) Violent Career Criminal mandatory minimum _____ years Ct(s) _____
( ) Prison Release Reoffender mandatory minimum _____ years Ct(s) _____
( ) Firearm mandatory minimum _____ years Ct(s) _____
( ) Other mandatory minimum _____
( ) Habitual Offender Ct(s) _____ ( ) Youthful Offender ( ) Sexual Predator/Offender ( ) Boot Camp
( ) To be given credit for all time previously served in prison, to be calculated by Department of Corrections

SPECIAL CONDITIONS OF PROBATION:

( ) _____ days BCJ w/credit for _____ days T/S ( ) Electronic Monitor
( ) SAP 30 days ( ) AA/NA 30 days ( ) AFTER CARE 30 days (out of custody) ( ) LIFE SKILLS 30 days
( ) Upon successful completion of drug program jail sentence shall be terminated.

( ) _____ hours of Community Service
( ) $ _____ COS waived/ imposed
( ) Anger Management Program
( ) Blood draw per F.S. 943.325 - 2 samples for conviction of sexual assaults; lewd or indecent acts; homicides (782.04) aggravated battery; home invasion robbery or carjacking
( ) Curfew _____
(✓) Drug / Alcohol evaluation and treatment recommended
( ) Forfeit weapon / firearm W/in 45 days
( ) Defendant to enter and successfully complete Post Adj. Drug Court.
( ) May transfer probation to _____
( ) May travel _____ for work purposes
( ) No contact with minor children without adult supervision
( ) No contact directly or indirectly with victim(s) or victim's family or others listed
( ) No driving without valid driver's license
( ) No drugs or alcohol
( ) Enter and successfully complete _____
  ( ) BARC
  ( ) IRT ( ) followed by _____
  ( ) HOUSE OF HOPE/STEPPING STONES
  ( ) SPECTRUM
  ( ) FOLLOWED BY _____
( ) Other _____

( ) Drug Ct Monitoring/Hearing set_____
( ) Obtain GED or High School diploma
( ) Psychological/Psychiatric evaluation and treatment necessary
( ) Random drug/alcohol testing

( ) The Dept. of DHSMV is to withhold issuance of defendant's driver license/privileges for a period of 1 years after the date the deft. was convicted or until deft. is evaluated for and, if deemed necessary by the evaluating agency, completes a drug treatment and rehab. program approved or regulated by DCF per FS.322.055

( ) Restitution ordered $_____ amount reserved
( ) Restitution converted to a civil lien
( ) Upon successful completion of jail sent. probation to automatically terminate
( ) Pay investigative costs:
  ( ) Crime Lab _____
  ( ) Expert Witnesses_____
  ( ) Supp. Cost of Prosecution _____
  ( ) Toxicology _____
  ( ) Law Enforcement Agency
  Agency #1_____$_____
  Agency #2_____$_____
( ) Court costs/fines are not a condition of probation.

D.U.I. USE ONLY

Count_____
_____ Years _____ Months _____ Days Probation w/Special Conditions:
( ) DUI School/level ( )_____ Days License Suspended ( ) _____ Work Permit
( ) _____Days Immobilization by _____ Company
( ) _____Ignition Interlock Program ( ) _____ Hours of Community Service
( ) Evaluation, Treatment and Therapy if necessary
( ) Other _____

To run ( ) Concurrent ( ) Consecutive to Count(s): _____

( ) 1st DUI ( ) 2nd DUI ( ) 3rd DUI

EXHIBIT # 3
PAGE # 3

JUDGE _____
DEPUTY CLERK _____ **DATE** 1/21/21

File Copy-White Defendant's Copy-Blue Sheriff's Copy-Yellow Probation's Copy-Pink Defense Attorney's Copy-Gold REVISED 9/17/14

State of Florida VS Life, Jeff Case Number 13-11848CF10A PAGE 2 OF 2

ORDER ASSESSING CHARGES/COSTS/FEES

The defendant is hereby ordered to pay the following sums if checked:

		FINES		
( )	(Count)	Fine Assessed	$_____	775.083(1)
( )	(Count)	Surcharge Assessed	$_____	817.568(12)
( )	(Count)	5% Surcharge (if fine assessed)	$_____	938.04
		MANDATORY COSTS		
( )	($225/Case)	Local Criminal Justice (Trust Fund)	_____	938.05(1)(a)
( )	($50/Case)	Crimes Compensation Trust Fund (VC)	_____	938.03(1)
( )	($2/Count)	Local Law Enforcement EDU ($5 Assessment)	_____	938.15
( )	($3/Count)	Add l Court Cost Clearing Trust ($5 Assessment)	_____	938.01(1)
( )	($50/Count)	Crime Prevention (if fine assessed)(SN1)	_____	775.083(2)
(✓)	($100/Case)	Cost of Prosecution	_____	938.27(8)
( )	($20/Count)	Crime Stoppers Trust Fund (if fine assessed)(CSTF)	_____	938.06(1)
( )	($2/Count)	Teen Court (T.C.)	_____	938.19(2)
( )	($65/Count)	Add l Costs (BOCC) Programs (AC)	_____	939.185(1)(a)
		SPECIFIC OFFENSE/REQUIRED COSTS		
(✓)	($50/Case)	Public Defender Application Fee	_____	27.52(1)(b)
( )	($100/Case)	Public Defender Assistance (PD fee imposed)	_____	938.29(1)(a)
( )	Pay $_____	(additional Public Defender Fee as ordered by the court)	_____	938.29(1)(a)
( )	_____	PD Fee Converted to Civil Lien	_____	938.29(2)(b)
( )	($100/Count)	FDLE Operating Trust Fund (OTF)	_____	938.055
( )	($201/Count)	Domestic Violence Surcharge (DVC)	_____	938.08
( )	($151/Count)	Rape Crisis Trust Fund (RCP)	_____	938.085
( )	($151/Count)	Crimes Against Minor (CAM)	_____	938.10(1)
( )	($____/Count)	Alcohol & Other Drug Abuse Programs	_____	938.21/938.23
( )	($5000/Count)	Commit Prostitution (Cir Crt Adm)	_____	796.07(6)
		MISDEMEANORS	_____	
( )	($60/Case)	Add l Court Costs/Misd/Crim Traf	_____	938.05(1)(b)
( )	($10/Case)	Article V Assessment	_____	318.18(19)
( )	($30/Count)	Court Facilities Fund (CFF)	_____	318.18(13)(a)
( )	($20/Count)	Crime Prevention (if fine assessed) (SN1)	_____	775.083(2)
( )	($65/Count)	DOH Admin. Trust Fund	_____	318.18(20)
( )	($26/Count)	Court Costs (CC)	_____	AOVI-02-D-3
		DUI	_____	
( )	(Count)	Fine Assessed	$_____	Varies
( )	(Count)	5% Surcharge (if fine assessed)	$_____	938.04
( )	($65/Count)	Add l Costs (BOCC) Programs (AC)	_____	939.185(1)(a)
( )	($60/Case)	Add l Court Costs/Misd/Crim Traf(CJC)	_____	938.05(1)(b)
( )	($26/Count)	Court Costs (CC)	_____	AOVI-02-D-3
( )	($15/Count)	County Alcohol & Other Drug Abuse Trust Fund (CDC)	_____	938.13(1)(a)
( )	($20/Count)	Crime Prevention (if fine assessed) (SN1)	_____	775.083(2)
( )	($50/Case)	Crimes Compensation Trust Fund (VC)	_____	938.03(1)
( )	($135/Count)	Emergency Medical Services Trust Fund (EMTF)		938.07
		OTHER	_____	
( )		Waive All Court Costs	_____	
( )		Pay Balance of Previously Imposed Costs	_____	
( )		Balance of Court Costs/Fees Converted to Civil Lien	_____	
( )		Extradition Costs		
( )		Defendant may do community service hours@ $10/hour in lieu of court costs		
( )		Other		

EXHIBIT # 3
PAGE # 4

JUDGE _____

DEPUTY CLERK T. Struggles DATE 1/26/21

PUBLIC ACCESS COPY

IN THE CIRCUIT COURT OF THE SEVENTEENTH JUDICIAL CIRCUIT,
IN AND FOR BROWARD COUNTY, FLORIDA

STATE OF FLORIDA, Plaintiff, vs. EFIM LIFE, Defendant.	)	CASE NO.: 13011848CF10A JUDGE: COLEMAN

Filed In Open Court,
CLERK OF THE CIRCUIT COURT
ON AUG 14 2019
BY

SPECIAL CONDITIONS OF PROBATION

THIS CAUSE having been heard on the Defendant's negotiated plea of no contest to the charges, it is hereby,

ORDERED that the Defendant shall be placed on probation. In addition to the usual conditions of probation the Defendant shall also follow these special conditions:

1. Defendant shall pay restitution in the total amount of $64,517, which is a minimum of $1076/month to:
   a. [redacted]
   b.
   c.
   d.
   e.
   f.
   g.
   h.
   i.
   j.
   k.
   l.
   m.
   n.
   o.
   p.
   q.
   r.
   s.

EXHIBIT # 3
PAGE # 5

T/C

Officer S.Mera
Office Location 091- North
Judge/Division FB, N. Hunter Davis/ Felony

STATE OF FLORIDA

VS

JEFF, LIFE
Defendant

In the Circuit Court

Broward County, Florida

DC No. A60542
Docket/UC No. 13011848CF10A/ 1311848

ORDER OF MODIFICATION OF PROBATION

THIS CAUSE was considered on a request to modify the terms of Probation which was ordered on 08/14/19 by Circuit Judge Thomas James Coleman for a term of 5 years, in accordance with Florida Law. Having given due consideration, Probation is modified in accordance with section 948.03 Florida Statutes, in the following manner:

Allow any outstanding payments or funds for restitution to be allocated to the general Revenue account until the victim Marlene Charris can be located.

It is further ORDERED that the clerk of court file this order in the official records and provide certified copies to the officer for use in compliance with the requirements of the law.

DONE AND ORDERED ON THIS 23rd DAY OF Feb. 2023.

FB, N. Hunter Davis
Circuit Court Judge

A certified copy of this order was delivered to the Probationer on _____.

Officer

EXHIBIT # 3
PAGE # 4

DC3-274 (Revised 12/20/17)

Original: Court
Copy: DC Offender File

KAC ITC to P.O

FEB 23 2023

Is your court hearing being held via Zoom? Learn more about Remote Court Hearings by Zoom (/GeneralInformation/Miscellaneous#RemoteHearingsbyZoom)

HIDE NOTICES

BRENDA D. FORMAN
CLERK OF THE COURTS
browardclerk.org ■ BROWARD COUNTY, FLORIDA



Menu

Print

Case Detail - Public

State of Florida Vs. Life, Jeff

Broward County Case Number: 13011848CF10A
State Reporting Number: 062013CF011848A88810
Court Type: Felony
Case Type: 1st Degree Felony
Filing Date: 08/22/2013
Case Status: Closed - Probation
Court Location: Central Courthouse
Judge ID / Name: Mastrarrigo - FB, Lorena V`
Magistrate Id / Name: N/A
BCCN: 0833912

- Party(ies)

Total: 4

EXHIBIT # 3
PAGE # 7

Party Type	Party Name	Address	Attorneys / Address Denotes Lead Attorney
Defendant	**Life, Jeff** *Alias Name* **Life, Efim** **Gender:** Male **Race:** White **Complexion:** Fair **Height:** 6'1" **Weight:** 200 **Eye:** Blue **Hair:** Gray or Partially Gray **DOB:** [redacted] **Birth City:** Moscow	75 SW 14th TER homestead, FL 33030	★ *Rivas, Jose Ignacio* *Bar ID: 36406* *2307 Lee RD* *Winter Park, FL 32789-1749* ***Status: Removed 02/26/2021*** *Cohen, Michael B* *Bar ID: 210196* *6400 N Andrews Ave, Suite 505* *Fort Lauderdale, FL 33309* ***Status: Removed 08/14/2019*** *Lawrence, Joseph William, Jr.* *Public Defender* *Bar ID: 69511* *201 SE 6th St Ste 3872* *Fort Lauderdale, FL 33301-3332* ***Status: Removed 05/09/2019*** *Humbert, Jacqueline* *Public Defender* *Bar ID: 38827* *Insurance Trial Lawyers* *Town Center One, Suite 2267* *8950 SW 74Ct* *Miami, FL 33156-3171* ***Status: Removed 01/07/2019*** *Negron, Frank* *Public Defender* *Bar ID: 780677* *Law Office of George L Cimballa III* *600 North Pine Island Road* *Suite 400* *Plantation, FL 33324* ***Status: Removed 05/20/2016***

EXHIBIT # 3
PAGE # 1

Party Type	Party Name	Address	Attorneys / Address Denotes Lead Attorney
			Curtis, Tamara L *Public Defender* *Bar ID: 712167* *Broward County Public Defender* *201 SE 6th St Ste 3872* *Fort Lauderdale, FL 33301-3332* ***Status: Removed 02/19/2016***
			Cannarozzi, Donald Joseph *Public Defender* *Bar ID: 776830* *Howard Finkelstein, Public Defender Office* *201 S.E 6th Street, Suite 3872, 3rd Floor* *North Wing* *Fort Lauderdale, FL 33301-3303* ***Status: Removed 01/30/2015***
			Public Defender *Public Defender* *Bar ID: 88888888* ***Status: Removed 07/28/2014***
			Greenblatt, Lyon J *Bar ID: 318698* *950 S Pine Island Road* *Suite 138* *Plantation, FL 33324* ***Status: Removed 07/10/2014***
			Warmbrandt, Kevin J *Bar ID: 547204* *11900 Biscayne Blvd, Suite 511* *Miami, FL 33181* ***Status: Removed 07/10/2014***
State	**State of Florida**		

EXHIBIT # 3
PAGE # 9

Party Type	Party Name	Address	Attorneys / Address Denotes Lead Attorney
Bond Company	**Dondee Bail Bonds**		
Bond Insurance Company	**LEXINGTON NATIONAL INSURANCE CORPORATION**		

Charge(s)

Total: 1

Offense Date	Charge	Degree	Detail
01/01/2010	1	(F3)	**Grand Theft in the 3rd Degree** Date Filed: 01/01/2010 Current Statute: (F3)812.014(2)(C) Filing Type: SAO Information Filing Agency: Miramar PD Original Statute: (F1)817.034(4)(a)1-Communications Fraud + $50,000

Warrant(s)

Total: 2

Issue Date	Charge(s)	Detail	Status
01/13/2021	1	**Warrant# 13011848CF10A2 (InActive)** Type: Warrant - Violation Of Probation Bond Type: No Bond Hold Hold Without Bail Bond: Yes	01/26/2021 Warrant Withdrawn *(Comment: C#20211979)* 01/13/2021 Violation Of Probation Warrant Issued *(Comment: Signed 1/12/2021)*
08/22/2013	1	**Warrant# 13011848CF10A (InActive)** Type: Capias - Not In Custody Bond Amount: $25000.00 Bond Type: Set By Judge Date Served: 01/31/2014 Hold Without Bail Bond: No	01/31/2014 Capias Served 08/22/2013 Active

EXHIBIT # 3
PAGE # 10

Bond(s)

Tot

Posted Date	Charge(s)	Detail	Status
02/01/2014	1	**Bond# 000952** **(InActive)** Bond Type: Surety Bond Bond Company: Dondee Bail Bonds Insurance Company: Continental Heritage Insurance Company Bond Amount: $25000.00	02/01/2014 POSTED 08/14/2019 DISCHARGED

- Arrest(s)

Total: 1

Arrest Date	Charge	Arrest Number	Detail
01/31/2014	1	MM14000093	**Arrest** Offense: Communications Fraud + $50,000 Degree: (F1) Bond Amount: $25000.00 Bond Type: Not Applicable Statute: 817.034(4)(a)1 OBTS Number: 0607178589

- Disposition(s)

Total: 1

EXHIBIT # 3
PAGE # 11

Offense Date	Charge	Disposition(s)
01/01/2010	1	**Plea(s)** **08/14/2019 Amended Plea** (Reason: Change of Plea,) Change of Plea-Nolo Contendere **02/16/2014 Plea** *Written Plea Not Guilty-Jury* **Disposition(s)** **08/14/2019 Disposition** Adjudication Withheld **Sentence(s)** **08/14/2019 (2) Probation / Community Control** (Judicial Officer(s): Coleman, Thomas J) Provisions: Provisions (Alcohol Evaluation, Court Costs Not a Condition of Probation, Drug Evaluation, Original Terms/Conds, Probation Modified, Probation Reinstated) Special Provisions(Defendant Sentenced Under Sentencing Guidelines) Comment(012121 DRUG/ALCOHOL EVALUATION AND TREATMENT RECOMMENDED W/IN 45 DAYS.) Comment (Total amount imposed $617) Restitution ($64,517.00, Comment: $1076 monthly) State Probation (5 Year(s), Start Date: 08/14/2019, End Date: 08/14/2024, Comment: May travel to Orange County, FI; COS waived for the first year; 30 day THC waiver) Comment (012621 PROBATION REINSTATED/MODIFIED)

− Case Financial Details

Charge	Citation Number	Total Imposed Amount	Total Amount Paid	Current Amount Due	Last Payment Date	Last Payment Amount	Due Date
001		$672.00	$55.00	$617.00	01/12/2016	$55.00	

− Event(s) & Document(s)

EXHIBIT # Total: 193
PAGE # 3
12

Date	Description	Additional Text	View / Pages
11/13/2025	**Collection agency Perdue Brandon**	Added for agency : PB	
04/14/2025	**Returned Collection Mail**		/2

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update
Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1021 - Pari-Mutuel Wagering Individual Occupational**			Status	**20 Under Investigation**	Status Date	**09/02/2026**
Complaint #	**2026062277**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**LIFE, EFIM**	Responsible	**Ivila - VILA, LISA**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**	Parties	Activities
Form	**WALK - Walk-in**	Priority	**1**	Allegations	Discipline
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**	Violations	Compliance
Security	**STND - Standard**	Incident	**08/27/2026**	Related	Disposition
Region	**SR - Southern Region**	Received	**08/31/2026**	Inspection	
Reference				Costs	
Entered	**09/02/2026**	Entered By	**jhodge**	Time Tracking	Auto Assign
Summary	**321 - GULFSTREAM PARK RACING ASSOCIATION, INC - License # 8568902**			Attachments	History
Updated	**09/02/2026 13:38:13**	By	**jhodge**	Work Notes	Print Report

Change | Save | OK | Cancel | Back

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EXHIBIT # 5
PAGE # 4

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **jhodge**

Licensee | History | Notes | Notes History | Back

License

Fed Tax # [redacted] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **08/27/2026**

File # **52398** Name **LIFE, EFIM** Extended To

License # **8568902** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **8568902** Lic Status **Closed Upgrade**

Address

Street # **12540** Street **SPENDID PLACE**

Line 2 **# 6207**

Line 3

City **ORLANDO** State **FL** Zip **32821**

Routing

Other

1st License Date **05/29/2026** Rank Date **05/29/2026** Certificate #

Method **I-S-1019** Status Date **06/17/2026** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	IOWN - Individual Animal Owner	09/06/2009	
I	TBRD - Thoroughbred	09/06/2009	
L	321 - Gulfstream Park	01/11/2015	
Y	3YR - 3 Year License	09/06/2009	

Alt Keys

BEST LIC NBR **8568902**

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EXHIBIT # 5
PAGE # 3

Judy Hodge

From: Cheryl Stubbs
Sent: Wednesday, September 2, 2026 8:41 AM
To: Judy Hodge; Tyrell Smith
Subject: FW: Investigations Referral - LIFE, EFIM - Prof 1021 - File 52398 - Lic 8568902
Attachments: PMW - Application Routing Slip - LIFE, EFIM - - 26-29 - Lic 8568902 - App 271654.pdf

Great morning,

FYI

Thank you,

Florida Gaming Control Commission
flgaming.gov

Cheryl Stubbs
Operations Analyst II
Florida Gaming Control Commission
Division of Pari- Mutuel Wagering
1400 W Commercial Blvd
Suite 165
Fort Lauderdale, FL 33309
754.288.1009

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGER VIEW

From: Katelyn Leger <Katelyn.Leger@flgaming.gov>
Sent: Monday, August 31, 2026 2:54 PM
To: Cheryl Stubbs <Cheryl.Stubbs@flgaming.gov>
Cc: Glenda Ricks <Glenda.Ricks@flgaming.gov>; Cyntoria Harris <Cyntoria.Harris@flgaming.gov>; Julio Minaya <Julio.Minaya@flgaming.gov>; Bradford Jones <Bradford.Jones@flgaming.gov>; Derek Washington <Derek.Washington@flgaming.gov>; Ian Campbell <Ian.Campbell@flgaming.gov>; Michelle Futrell <Michelle.Futrell@flgaming.gov>
Subject: Investigations Referral - LIFE, EFIM - Prof 1021 - File 52398 - Lic 8568902

Please open a case for Efim Life. All documents and rap sheet have been scanned into OnBase. Note: Waiver Routing Slip has been scanned in OnBase.

Thank you,

EXHIBIT # 5
PAGE # 6

Florida Gaming Control Commission
flgaming.gov

Katie Leger
Operations Analyst I
Division of Pari-Mutuel Wagering
Office: (850) 498-8016

EXHIBIT # 5
PAGE # 1

Miramar Police Department
P: 954.602.4000

Case # 12-07-01745 - Offense / Incident Report

REPORT DATE / TIME	EVENT START DATE / TIME - EVENT END DATE / TIME	PRIMARY REPORTER
Jul 9, 2012 10:45	Jan 1, 2011 00:00 - Jul 9, 2012 10:45	MICHAEL ADCOCK JR

PERSONNEL UNIT
SOB

REPORT TAKEN LOCATION
3350 SW 148TH AV, MIRAMAR, FL 33027

NARRATIVE

I was assigned several fraud cases for follow up and spoke to each of the below listed victims about their particular situation. I then made contact with the Bureau of Finance Regulations and I spoke to their manager, Sharon Dawes; from the Florida Office of Financial Regulation (OFR). I explained to Dawes about the large amount of fraud cases that had been reported to our agency by the multiple victims listed below. Agent Dawes explained to me that their agency had already been conducting it`s own investigation into the business practices of (OF) Life, and his company known as Federal Mortgage Assistance, LLC, since August 2011. Agent Dawes asked that I forward all of the police reports that were made to Miramar PD, along with any contracts the victims possessed, to her office for their agency`s follow up investigation. Agent Dawes conducted a lengthy investigation into the case and after six months provided me with a complete case file of evidence against Life, which is attached to this report.

In August 2011, Agent Dawes went to Life`s office after receiving several complaints from individuals that felt they were being ripped off. Life provided Agent Dawes with a list of the clients in which he had been doing business with or had already done business with. This detective was not made aware of the situation until the first victim actually reported the matter to the police, in September 2011. At that point Life had closed up his business and his whereabouts have since been unknown. A total of ten Miramar case numbers were generated by various victims between September 2011 and December 2011. Due to the fact that the other victims did not report their cases directly to the police, instead they had reported their cases to Agent Dawes` office, I generated one Miramar case number, this one specifically, to lump everyone together. From this point forward any of the ten previously issued case numbers, and this case number, will all contain the exact same narrative. Records Supervisor Barnard was made aware of this situation and linked all of the individual case numbers together with this case number as being related.

My investigation revealed that Offender Life had been operating out of the Miramar office location, as a loan modifications officer, for the past two years. Life would complete contracts between his company and the listed victims promising them all either a reduced mortgage payment, or a reduction in their credit card debt. Participating in this practice is in direct conflict with F.S.S. 817.034(4)(a)(2), engaging in a scheme to defraud, and F.S.S. 494.0031(1), operating as an unlicensed broker.

On 05-11-2012, I met with ASA Gass from the Broward County Economics Crimes Unit and went over the particulars of this case. ASA Gass instructed me to list all of the victims and their specific case numbers onto one probable cause affidavit which should then be forwarded to his office, as a not-in-custody arrest.

I contacted each of the below listed victims who all provided me with a sworn affidavit of prosecution against Life. Their individual contracts, and copies of the checks that were given to Life as payment, are all contained within the case file provided to me by the OFR. This case file will be turned over to ASA Gass as it is several hundred pages long. A copy of the case file remains on file with the OFR. I also have copies of the victims sworn affidavits for this agencies records.

The names of the below listed victims can all be found within the Miramar RMS under the case numbers that they were originally assigned when they were first reported to our agency. Those victims who were not previously assigned a case number, but who instead reported their situations to the OFR, will also be listed as victims in the persons section of this report (which is case# 12-07-01745). This report will be used as the main case number for all victims past and present.

[redacted]

Exhibit # 6
Pg. 1

REPORTING OFFICER SIGNATURE / DATE	SUPERVISOR SIGNATURE / DATE
MICHAEL ADCOCK JR Jul 9, 2012 10:45 (e-signature)	RICHARD GEORGI Mar 1, 2021 10:25 (e-signature)
PRINT NAME	PRINT NAME
MICHAEL ADCOCK JR	RICHARD GEORGI

[redacted]

The below list of victims did not report their situations directly to the police, however instead made a report with the OFR:

[redacted]

This case shall be classified as ACTIVE/NOT-IN-CUSTODY and will be filled with ASA Gass.

SUSPECT-1

SUSPECT-1 (PERSON)			DOB / ESTIMATED AGE RANGE
S-1 LIFE, EFIM			[redacted]
SEX	**RACE / ETHNICITY**	**PHONE NUMBER**	**EMAIL ADDRESS**
Male	White / Not Hispanic Or Latino	(786) 516-5126 (Mobile), (954) 874-1711 (Home), (954) 874-2918 (Work)	No email (Home)

HOME ADDRESS	BEEN AT LOCATION SINCE
5288 SW 183RD AV, MIRAMAR, FL 33027	Sep 11, 2011

VICTIM-1

[redacted]

VICTIM-2

[redacted]

VICTIM-3

[redacted]

VICTIM-4

[redacted]

VICTIM-5

[redacted]

[redacted]

VICTIM-6

[redacted]

OFFENSE-1

OFFENSE CODE
Charge Not Specified

OFFENSE START DATE	OFFENSE END DATE
UNKNOWN	UNKNOWN

OFFENSE-1

OFFENSE CODE
FRAUD - MORTG LENDER WO LIC OVER 50K DOLS 5 VICTIMS|494.0025(3)

OFFENSE START DATE	OFFENSE END DATE
UNKNOWN	UNKNOWN

OFFENSE-1

OFFENSE CODE
FELONY CAPIAS ARREST

OFFENSE START DATE	OFFENSE END DATE
UNKNOWN	UNKNOWN

OFFENSE-1

OFFENSE CODE
Charge Not Specified

OFFENSE START DATE	WEAPON / FORCE INVOLVED
Jul 9, 2012 10:49	None

HATE BIAS / MOTIVATION	WAS METHOD OF ENTRY FORCED?
None/unknown - Anti-None (No Bias)	☐ YES ■ NO

OFFENSE LOCATION

LOCATION NAME / STREET ADDRESS/LOCATION NAME / APT, UNIT, STE / DESCRIPTION
3350 SW 148TH AV

CITY	STATE	ZIP
MIRAMAR	FL	33027

CONFIDENTIAL-CONTAINS VICTIM INFORMATION- LAW ENFORCEMENT USE ONLY

Exhibit # 6
Pg. 3

REPORTING OFFICER SIGNATURE / DATE	SUPERVISOR SIGNATURE / DATE
MICHAEL ADCOCK JR Jul 9, 2012 10:45 (e-signature)	RICHARD GEORGI Mar 1, 2021 10:25 (e-signature)
PRINT NAME	PRINT NAME
MICHAEL ADCOCK JR	RICHARD GEORGI

Case # 12-07-01745 - Arrest Report

REPORT DATE / TIME	EVENT START DATE / TIME - EVENT END DATE / TIME	PRIMARY REPORTER
Aug 7, 2012 07:06	Aug 6, 2012 08:54 - 08:54	MICHAEL ADCOCK JR

PERSONNEL UNIT

SOB

REPORT TAKEN LOCATION

3350 SW 148TH AV 110, MIRAMAR, FL 33027

NARRATIVE

The defendant, Efim Life, did in fact commit the crime of theft when he: swindled to obtain property valued at over $50K, (engaging in a scheme to defraud), F.S.S. 817.034(4)(a)(1), and also the crime of operating as an unlicensed mortgage broker, F.S.S. 494.0025(3), when he knowingly set up a business called Federal Home Mortgage Assistance, LLC., in Miramar, in 2010-2011, and took money from the below listed victims for services he did not complete, nor was licensed to complete. Defendant Life took $64,307 in total from the victims who all seek prosecution. The facts of the case are as follows:

I was assigned several fraud cases for follow up and spoke to each of the below listed victims about their particular situation. I then made contact with the Bureau of Finance Regulations and I spoke to their manager, Sharon Dawes; from the Florida Office of Financial Regulation (OFR). I explained to Dawes about the large amount of fraud cases that had been reported to our agency by the multiple victims listed below. Agent Dawes explained to me that their agency had already been conducting it`s own investigation into the business practices of defendant Life, and his company known as Federal Mortgage Assistance, LLC, since August 2011. Agent Dawes asked that I forward all of the police reports that were made to Miramar PD, along with any contracts the victims possessed, to her office for their agency`s follow up investigation. Agent Dawes conducted a lengthy investigation into the case and after six months provided me with a complete case file of evidence against Life, which is attached to this report.

In August 2011, Agent Dawes went to Life`s office after receiving several complaints from individuals that felt they were being ripped off. Life provided Agent Dawes with a list of the clients in which he had been doing business with or had already done business with. This detective was not made aware of the situation until the first victim actually reported the matter to the police, in September 2011. At that point Life had closed up his business and his whereabouts have since been unknown. A total of ten Miramar case numbers were generated by various victims between September 2011 and December 2011. Due to the fact that the other victims did not report their cases directly to the police, instead they had reported their cases to Agent Dawes` office, I generated one Miramar case number, this one specifically, to lump everyone together. From this point forward any of the ten previously issued case numbers, and this case number, will all contain the exact same narrative. Records Supervisor Barnard was made aware of this situation and linked all of the individual case numbers together with this case number as being related.

Further investigation revealed that defendant Life had been operating out of the Miramar office location, as a loan modifications officer, for the past two years. Life would complete contracts between his company and the listed victims promising them all either a reduced mortgage payment, or a reduction in their credit card debt. Participating in this practice is in direct conflict with F.S.S. 817.034(4)(a)(1), swindle to obtain property valued at over $50K, or engaging in a scheme to defraud, and F.S.S. 494.0025(3), operating as an unlicensed mortgage broker.

I contacted each of the below listed victims whom all provided me with a sworn affidavit of prosecution against defendant Life. Their individual contracts, and copies of the checks that were given to defendant Life as payment, are all contained within the case file provided to me by the OFR. This OFR case file will be turned over to the ASA handling this matter as it is several hundred pages long. A copy of the case file remains on file with the OFR. I also have copies of the victims sworn affidavits for this agencies records.

The names of the below listed victims can all be found within the Miramar RMS under the case numbers that they were originally assigned when they were first reported to our agency. Those victims who were not previously assigned a case number, but who instead reported their situations to the OFR, will also be listed as victims in the persons section of this report (which is case# 12-07-01745). This report will be used as the main case number for all victims past and present.

[redacted]

CONFIDENTIAL CONTAINS VICTIM INFORMATION - LAW ENFORCEMENT USE ONLY

REPORTING OFFICER SIGNATURE / DATE	SUPERVISOR SIGNATURE / DATE
MICHAEL ADCOCK JR Aug 6, 2012 14:57 (e-signature)	RICHARD GEORGI Mar 1, 2021 10:25 (e-signature)
PRINT NAME	PRINT NAME
MICHAEL ADCOCK JR	RICHARD GEORGI

[redacted]

The below list of victims did not report their situations directly to the police, however instead made a report with the OFR:

[redacted]

This case shall be classified as ACTIVE/NOT-IN-CUSTODY.

SUSPECT-1

SUSPECT-1 (PERSON)			DOB / ESTIMATED AGE RANGE
S-1 LIFE, EFIM			[redacted]
SEX	RACE / ETHNICITY	PHONE NUMBER	EMAIL ADDRESS
Male	White / Not Hispanic Or Latino	(954) 874-1711 (Home), (786) 516-5126 (Mobile), (305) 267-0500 (Work)	No email (Home)
HOME ADDRESS			BEEN AT LOCATION SINCE
5288 SW 183RD AV, MIRAMAR, FL 33027			Sep 11, 2011

WITNESS-1

WITNESS-1 (PERSON)		SEX	RACE / ETHNICITY
W-1 DAWES, SHARON		Female	Black
PHONE NUMBER	EMAIL ADDRESS		
(305) 536-0308 (Work)	sharon.dawes@flofr.com (Home)		
HOME ADDRESS			BEEN AT LOCATION SINCE
401 NW 2ND AV, N708, MIAMI, FL 33128			Aug 7, 2012

VICTIM-1

[redacted]

VICTIM-2

[redacted]

VICTIM-3

[redacted]

VICTIM-4

[redacted]

REPORTING OFFICER SIGNATURE / DATE	SUPERVISOR SIGNATURE / DATE
MICHAEL ADCOCK JR Aug 6, 2012 14:57 (e-signature)	RICHARD GEORGI Mar 1, 2021 10:25 (e-signature)
PRINT NAME	PRINT NAME
MICHAEL ADCOCK JR	RICHARD GEORGI

FOR LAW ENFORCEMENT USE ONLY

[redacted]

VICTIM-5

[redacted]

VICTIM-6

[redacted]

VICTIM-7

[redacted]

VICTIM-8

[redacted]

VICTIM-9

[redacted]

VICTIM-10

[redacted]

VICTIM-11

[redacted]

REPORTING OFFICER SIGNATURE / DATE	SUPERVISOR SIGNATURE / DATE
MICHAEL ADCOCK JR Aug 6, 2012 14:57 (e-signature)	RICHARD GEORGI Mar 1, 2021 10:25 (e-signature)
PRINT NAME	PRINT NAME
MICHAEL ADCOCK JR	RICHARD GEORGI

Exhibit # 6
Pg. 6

[redacted]

VICTIM-12

[redacted]

VICTIM-13

[redacted]

VICTIM-14

[redacted]

VICTIM-15

[redacted]

VICTIM-16

[redacted]

VICTIM-17

[redacted]

VICTIM-18

[redacted]

[redacted]

VICTIM-19

[redacted]

ARREST #12-07-01745 (NOT IN CUSTODY - FELONY)

ARREST DATE / TIME	ARRESTING ORGANIZATION	ARREST TYPE (S)
Aug 6, 2012 08:54	Miramar Police Department - Miramar PD	Not In Custody - Felony

ARRESTING OFFICER
MICHAEL ADCOCK JR

DEFENDANT



DEFENDANT NAME (LAST, FIRST MIDDLE)	SEX
D-1 LIFE, EFIM	Male

DOB / ESTIMATED AGE RANGE	RACE / ETHNICITY
[redacted]	White / Not Hispanic Or Latino

PHONE NUMBER	EMAIL ADDRESS
(954) 874-1711 (Home), (786) 516-5126 (Mobile), (305) 267-0500 (Work)	No email (Home)

HOME ADDRESS
5288 SW 183RD AV, MIRAMAR, FL 33027

SSN #	STATE ID NUMBER	FBI ID #
[redacted]	[redacted]	942572MA7

ARREST LOCATION

LOCATION NAME / STREET ADDRESS/LOCATION NAME / APT, UNIT, STE / DESCRIPTION
3350 SW 148TH AV 110

CITY	STATE	ZIP
MIRAMAR	FL	33027

CHARGES

CHARGES - 1	COUNT
Charge Not Specified	1

OFFENSE CASE NUMBER	OFFENSE CODE	OFFENSE START DATE
12-07-01745	Charge Not Specified	Jul 9, 2012 10:49

ARREST DISPOSITION DATE / TIME
Aug 6, 2012 14:57

CHARGES - 2	COUNT
FRAUD - MORTG LENDER WO LIC OVER 50K DOLS 5 VICTIMS|494.0025(3)	1

OFFENSE CASE NUMBER	OFFENSE CODE
12-07-01745	FRAUD - MORTG LENDER WO LIC OVER 50K DOLS 5 VICTIMS|494.0025(3)

ARREST DISPOSITION DATE / TIME
Aug 6, 2012 14:57

CONFIDENTIAL-CONTAINS VICTIM INFORMATION- LAW ENFORCEMENT USE ONLY

REPORTING OFFICER SIGNATURE / DATE	SUPERVISOR SIGNATURE / DATE
MICHAEL ADCOCK JR Aug 6, 2012 14:57 (e-signature)	RICHARD GEORGI Mar 1, 2021 10:25 (e-signature)
PRINT NAME	PRINT NAME
MICHAEL ADCOCK JR	RICHARD GEORGI

Case # 12-07-01745 - Arrest Report

REPORT DATE / TIME	EVENT START DATE / TIME - EVENT END DATE / TIME	PRIMARY REPORTER
Feb 3, 2014 08:47	Jan 31, 2014 15:34 - 15:34	WILLIAM GUILLOU #235

PERSONNEL UNIT
SOB

REPORT TAKEN LOCATION
3350 SW 148TH AV, MIRAMAR, FL 33027

NARRATIVE

While working as a member of the U.S. Marshals Florida Regional Fugitive Task Force, contact was made with Def. on 01-31-14 at approximately 1515 hrs., while at 5379 NW 7th St., Miami, FL, in regards to him having an active arrest warrant.

A check from the teletype system revealed Def. had an active arrest warrant (13011848CF10A) out of Miramar (Broward County), FL in reference to one count of Communications Fraud ($25,000 bond). The active arrest warrant was confirmed through the Broward Sheriff`s Office Warrant`s Division (Operator Johana Davila). WC #: 201401577. Def. was arrested and subsequently transported to the Main Jail Bureau, for additional processing.

SUSPECT-1

SUSPECT-1 (PERSON)			DOB / ESTIMATED AGE RANGE
S-1 LIFE, EFIM			1967-12-11
SEX	RACE / ETHNICITY	PHONE NUMBER	EMAIL ADDRESS
Male	White / Not Hispanic Or Latino	(786) 516-5126 (Mobile), (954) 874-1711 (Home), (305) 267-0500 (Work)	No email (Home)

HOME ADDRESS	BEEN AT LOCATION SINCE
5288 SW 183RD AV, MIRAMAR, FL 33027	Sep 11, 2011

ARREST #MM14-0093 (WARRANT/CAPIAS (MIRAMAR))

ARREST DATE / TIME	ARRESTING ORGANIZATION	ARREST TYPE (S)
Jan 31, 2014 15:34	Miramar Police Department - Miramar PD	WARRANT/CAPIAS (MIRAMAR)

ARRESTING OFFICER
WILLIAM GUILLOU

DEFENDANT



DEFENDANT NAME (LAST, FIRST MIDDLE)		SEX
D-1 LIFE, EFIM		Male
DOB / ESTIMATED AGE RANGE		RACE / ETHNICITY
[redacted]		White / Not Hispanic Or Latino
PHONE NUMBER		EMAIL ADDRESS
(786) 516-5126 (Mobile), (954) 874-1711 (Home), (305) 267-0500 (Work)		No email (Home)
HOME ADDRESS		
5288 SW 183RD AV, MIRAMAR, FL 33027		

SSN #	STATE ID NUMBER	FBI ID #
[redacted]	[redacted]	942572MA7

ARREST LOCATION

LOCATION NAME / STREET ADDRESS/LOCATION NAME / APT, UNIT, STE / DESCRIPTION
3350 SW 148TH AV

CITY	STATE	ZIP
MIRAMAR	FL	33027

CHARGES

CHARGES - 1	COUNT
FELONY CAPIAS ARREST	1

OFFENSE CASE NUMBER	OFFENSE CODE
12-07-01745	FELONY CAPIAS ARREST

REPORTING OFFICER SIGNATURE / DATE	SUPERVISOR SIGNATURE / DATE
WILLIAM GUILLOU #235 Mar 1, 2021 10:25 (e-signature)	RICHARD GEORGI Mar 1, 2021 10:25 (e-signature)
PRINT NAME	PRINT NAME
WILLIAM GUILLOU #235	RICHARD GEORGI

CONFIDENTIAL CONTAINS VICTIM INFORMATION- LAW ENFORCEMENT USE ONLY

Miramar Police Department
P: 954.602.4000
Mark43 RMS Form v2.0 generated by K. Walker #8211 on Oct 8, 2026 08:03.
Imported Report.

ARREST DISPOSITION DATE / TIME

Jan 31, 2014 15:34

WARRANTS

WARRANT/CITATION NUMBER - 1

13011848CF10A

CONFIDENTIAL-CONTAINS VICTIM INFORMATION- LAW ENFORCEMENT USE ONLY

Exhibit # 6
Pg. 10

REPORTING OFFICER SIGNATURE / DATE	SUPERVISOR SIGNATURE / DATE
WILLIAM GUILLOU #235 Mar 1, 2021 10:25 (e-signature)	RICHARD GEORGI Mar 1, 2021 10:25 (e-signature)
PRINT NAME	PRINT NAME
WILLIAM GUILLOU #235	RICHARD GEORGI

Case # 12-07-01745 - Supplement - 123654 Report

REPORT DATE / TIME	EVENT START DATE / TIME - EVENT END DATE / TIME	PRIMARY REPORTER
Feb 3, 2014 14:23	Feb 3, 2014 14:23	WILLIAM GUILLOU #235

REPORT DESCRIPTION

FOLLOW UP

SUPPLEMENT TYPE

Follow-Up

NARRATIVE

While working as a member of the U.S. Marshals Florida Regional Fugitive Task Force, I was contacted by Detective Adcock #219 on 11-05-13, requesting my team`s assistance in attempting to locate and arrest an Efim Life (listed offender). Detective Adcock indicated that he had filed a not in custody affidavit with the State Attorney`s Office for Life in reference to the following charges: Swindle Obtain Property $50,000 or more and Mortgage Broker without License and at this point an active capias was issued for Life.

Investigations (to include conducting a criminal history check) revealed that Life indeed had an active arrest warrant (13011848CF10A) in reference to Communications Fraud ($25,000), stemming from this case. During the course of this investigation, information was developed that Life may have been employed at Miami Auto Whole Sale and Export (5379 NW 7th St., Miami, FL 33126).

On 01-17-14 task force members (Deputy U.S. Marshal Sandler #3125) responded to 5379 NW 7th St., Miami, FL 33126 and entered the business, looking for Life. While walking thru the business, task force members observed Life working in the rear parking lot of the business. Task force members approached Life who was subsequently placed into custody, without incident. Life identified himself as Efim "Jeff" Life and also had a Florida Driver`s License (within his wallet) on his person further identifying himself as Efim Life. Life had some personal belongings (wallet, set of keys, watch, etc.) which he turned over to the custody of his car manager. I contacted Detective Adcock and advised him of the Life`s arrest.

A check from the teletype system revealed Life had an active arrest warrant (13011848CF10A) out of Miramar (Broward County), FL in reference to one count of Communications Fraud ($25,000 bond). The active arrest warrant was confirmed through the Broward Sheriff`s Office Warrant`s Division (Operator Johana Davila). WC #: 201401577. Life was arrested and I subsequently transported him to the Main Jail Bureau, for additional processing.

IM INFORMATION-LAW ENFORCEMENT USE ONLY

The following case numbers and victims are all linked under the main Miramar case #: 12-07-01745:

[redacted]

Exhibit # 6
Pg. 11

As a result of the aforementioned investigations, these cases should be reclassified as cleared by arrest.

REPORTING OFFICER SIGNATURE / DATE	SUPERVISOR SIGNATURE / DATE
WILLIAM GUILLOU #235 Mar 1, 2021 10:25 (e-signature)	RICHARD GEORGI Mar 1, 2021 10:25 (e-signature)
PRINT NAME	PRINT NAME
WILLIAM GUILLOU #235	RICHARD GEORGI

Case # 12-07-01745 - Supplement - 123702 Report

REPORT DATE / TIME	EVENT START DATE / TIME - EVENT END DATE / TIME	PRIMARY REPORTER
Feb 5, 2014 09:27	Feb 5, 2014 09:27	MICHAEL ADCOCK JR

REPORT DESCRIPTION

FOLLOW UP

SUPPLEMENT TYPE

Follow-Up

NARRATIVE

Please see Detective M. Guillou`s supplement below in reference to this case:

While working as a member of the U.S. Marshals Florida Regional Fugitive Task Force, I was contacted by Detective Adcock #219 on 11-05-13, requesting my team`s assistance in attempting to locate and arrest an Efim Life (listed offender). Detective Adcock indicated that he had filed a not in custody affidavit with the State Attorney`s Office for Life in reference to the following charges: Swindle Obtain Property $50,000 or more and Mortgage Broker without License and at this point an active capias was issued for Life.

Investigations (to include conducting a criminal history check) revealed that Life indeed had an active arrest warrant (13011848CF10A) in reference to Communications Fraud ($25,000), stemming from this case. During the course of this investigation, information was developed that Life may have been employed at Miami Auto Whole Sale and Export (5379 NW 7th St., Miami, FL 33126).

On 01-17-14 task force members (Deputy U.S. Marshal Sandler #3125) responded to 5379 NW 7th St., Miami, FL 33126 and entered the business, looking for Life. While walking thru the business, task force members observed Life working in the rear parking lot of the business. Task force members approached Life who was subsequently placed into custody, without incident. Life identified himself as Efim "Jeff" Life and also had a Florida Driver`s License (within his wallet) on his person further identifying himself as Efim Life. Life had some personal belongings (wallet, set of keys, watch, etc.) which he turned over to the custody of his car manager. I contacted Detective Adcock and advised him of the Life`s arrest.

A check from the teletype system revealed Life had an active arrest warrant (13011848CF10A) out of Miramar (Broward County), FL in reference to one count of Communications Fraud ($25,000 bond). The active arrest warrant was confirmed through the Broward Sheriff`s Office Warrant`s Division (Operator Johana Davila). WC #: 201401577. Life was arrested and I subsequently transported him to the Main Jail Bureau, for additional processing.

The following case numbers and victims are all linked under the main Miramar case #: 12-07-01745:

[redacted]

EXHIBIT # 6
Pg. 12

As a result of the aforementioned investigations, these cases should be reclassified as CLEARED BY ARREST.

REPORTING OFFICER SIGNATURE / DATE	SUPERVISOR SIGNATURE / DATE
MICHAEL ADCOCK JR Feb 5, 2014 09:27 (e-signature)	JEFF ARMIENTO #209 Mar 1, 2021 10:25 (e-signature)
PRINT NAME	PRINT NAME
MICHAEL ADCOCK JR	JEFF ARMIENTO #209

Offense Date: 01/05/2021
Case # 492021CF000055XXXAXX

Filing # 131777638 E-Filed 07/30/2021 04:42:29 PM

IN THE CIRCUIT COURT OF THE
NINTH JUDICIAL CIRCUIT, IN AND FOR
OSCEOLA COUNTY, FLORIDA

STATE OF FLORIDA

Plaintiff,

vs.

EFIM LIFE

Defendant.
_____/

CASE NO: 49-2021-CF-000055

DIVISION: 12-A

[redacted] **DIVERSION NOLLE PROSEQUI**

COMES NOW the State of Florida, by and through the undersigned Assistant State Attorney, and enters a Nolle Prosequi in the above-entitled action **as to all counts** as a result of the successful completion of the [redacted] Diversion Program.

I CERTIFY that a copy hereof has been furnished to Jose I. Rivas, info@rivaslawfirm.com, 2307 Lee Road, Winter Park, FL 32789 by e-mail on this 30th day of July, 2021.

MONIQUE H. WORRELL, State Attorney

By: Patricia Nicole Lewis

Patricia Nicole Lewis
Assistant State Attorney
Florida Bar # 1025203
Division12-A@SAO9.org
2 Courthouse Sq
Suite 3500
Kissimmee, FL 34741
407-742-5339

2021 CF 000055 - STATE OF FLORIDA vs. LIFE, EFIM

SUMMARY

Judge:	CARSTEN, KEITH	**Court Type:**	FELONY	**Case Type:**	
Case Number:	2021 CF 000055	**Uniform Case Number:**	492021CF000055XXXAXX	**Status:**	CLOSED
Clerk File Date:	1/6/2021	**Status Date:**	7/30/2021	**Waive Speedy Trial:**	
Total Fees Due:	0.00	**Custody Location:**		**Agency:**	FHP TROOP
Agency Report Number:	FHP99ARR820925				

PARTIES

TYPE	PARTY NAME	ATTORNEY
DEFENDANT	LIFE, EFIM	RIVAS, JOSE I ESQ (Main Attorney)
PLAINTIFF	STATE OF FLORIDA	

CHARGES

COUNT	DESCRIPTION	LEVEL	DEGREE	PLEA	DISPOSITION	DISPOSITION DATE
1	POSSESSION OF COCAINE (893.13 6a)	F	T		NOLLE PROSEQUI	07/30/2021
2	POSSESSION OF DRUG PARAPHERNALIA (893.147 1)	M	F		NOLLE PROSEQUI	07/30/2021

EVENTS

DATE	EVENT	JUDGE	LOCATION	RESULT
7/26/2021 8:30 AM	JURY TRIAL	CARSTEN, KEITH	COURTROOM 4F	CANCELLED
7/6/2021 9:00 AM	PRETRIAL	CARSTEN, KEITH	COURTROOM 4F	HEARING HELD
6/21/2021 9:00 AM	JURY TRIAL	CARSTEN, KEITH	COURTROOM 4F	CANCELLED
6/15/2021 9:00 AM	PRETRIAL	CARSTEN, KEITH	COURTROOM 4F	HEARING HELD

OUTSTANDING AMOUNT

COUNT	CODE	DESCRIPTION	ASSESSMENT	PAID	WAIVED	BALANCE	PAYMENT PLAN / JUDGMENT	DUE DATE
1	PRO	COST OF PROSECUTION ASA/JAC	$100.00	$100.00	$0.00	$0.00		
					Total Outstanding:	$0.00		

RECEIPTS

DATE	RECEIPT #	APPLIED AMOUNT
7/26/2021	2021066817	$100.00

CASE DOCKETS

SEQ#	VIEWER	IMAGE	DATE	ENTRY
29		1	10/4/2021	CREDIT FOR TIME SERVED WORSHEET
28		1	8/6/2021	(COPY) CHECK TO CASH BOND DEPOSITOR
27			7/30/2021	CASE CLOSED
26		2	7/30/2021	NOLLE PROSEQUI: ALL COUNTS
25		2	7/26/2021	PAYMENT $100.00 RECEIPT #2021066817
24		1	7/6/2021	FELONY COURT MINUTES/ORDER PRE-TRIAL HELD CASE REFERRED TO DIVERSION SPEEDY WAIVED PREVIOUSLY CASE ABATED AND REMOVED FROM THE TRIAL DOCKET PENDING FURTHER ACTION FROM THE STATE
23		1	7/1/2021	JOINT STIPULATION FOR CONTINUANCE & ABEYANCE AND ORDER GRANTING JOINT STIPULATION FOR CONTINUANCE AND ABEYANCE
22		3	6/29/2021	MAIL RETURNED
21			6/25/2021	ORDER TO PAY DIVERSION PROGRAM FEES THROUGH CLERK OF COURT
20			6/25/2021	DIVERSION PROGRAM CONTRACT
19			6/15/2021	WAIVER OF SPEEDY TRIAL
18			6/15/2021	JURY TRIAL SET FOR 07/26/2021 AT 8:30 AM IN 4F BEFORE JUDGE: CARSTEN, KEITH
17			6/15/2021	PRETRIAL SET FOR 07/06/2021 AT 9:00 AM IN 4F BEFORE JUDGE: CARSTEN, KEITH
16		2	6/15/2021	PRE TRIAL HELD VIA VIDEO STATE'S OFFER WAS PLACED ON THE RECORD CASE IS A POSSIBLE DIVERSION REFERRAL ORDER GRANTING MOTION TO CONTINUE THE COURT, HAVING REVIEWED THE DEFENSE'S MOTION TO CONTINUE, HEREBY GRANTS THE MOTION TO CONTINUE FOR THE FOLLOWING REASONS: A. DEFENSE NOT SUFFICIENTLY PREPARED FOR TRIAL THE NON-MOVING PARTY STIPULATES DEFENDANT WAIVES SPEEDY TRIAL CASE RESET FOR PRETRIAL AND TRIAL
15		1	6/11/2021	NOTICE OF DISCOVERY (SUPPLEMENTAL)
14		1	6/9/2021	NOTICE OF PROVISION OF WITNESS LIST
13		2	3/5/2021	NOTICE OF PRETRIAL AND TRIAL
12			3/5/2021	JURY TRIAL SET FOR 06/21/2021 AT 9:00 AM IN 4F BEFORE JUDGE: CARSTEN, KEITH

SEQ#	VIEWER IMAGE	DATE	ENTRY
11		3/5/2021	PRETRIAL SET FOR 06/15/2021 AT 9:00 AM IN 4F BEFORE JUDGE: CARSTEN, KEITH
10	2	3/5/2021	INFORMATION
9	1	1/26/2021	WAIVER OF PRESENCE AT PRETRIAL CONFERENCE
8	1	1/15/2021	NOTICE OF APPEARANCE WAIVER OF ARRAIGNMENT PLEA OF NOT GUILTY REQUEST FOR COPIES JOSE RIVAS
7	1	1/15/2021	DEMAND FOR DISCOVERY AND DISCLOSURE OF BRADY MATERIAL
6		1/6/2021	CASH BOND 2029892A POSTED $2,500.00
5	1	1/6/2021	CASH APPEARANCE BOND
4	6	1/6/2021	CRIMINAL CASE OPENED - BOOKING REPORT FILED ADVICE TO DEFENDANT FIRST APPEARANCE
3		1/6/2021	CASE FILED 01/06/2021 CASE NUMBER 2021 CF 000055
2		1/5/2021	DATE OF RELEASE
1		1/5/2021	DATE OF ARREST

Arrest Report

4902161188

BH21000095

FLORIDA HIGHWAY PATROL
133 S. SEMORAN BLVD. SUITE A, ORLANDO, FL 32807

Report Date / Time	Report Number	Case Number/Cad Number	Reporting Officer Name
1/5/2021 05:45 AM	FHP99ARR820925	FHPD21OFF000921 / ORCC21CAD001461	MILAN, VICTOR

Originating Agency ORI	Occur Date Time Range	Jurisdiction	Clearance
	01/05/2021 01:23:37 -		

Location of Occurrence

County	Location Type	Location Description
OSCEOLA	BUSINESS	RACETRACK GAS STATION

Street Number	Street	Apt/Lot/Bldg	City	State	Zip Code
5985	W IRLO BRONSON MEMORIAL HWY		KISSIMMEE	FL	34747

Defendant

First Name	Middle Name	Last Name	Suffix	Race	Sex	Height	Weight	Hair	Eyes
EFIM		LIFE		WHITE	MALE	602	254	BLO	GRN

MNI #	SSN	Date of Birth	Age	ID Type	Drivers License or other ID	State	OCA / Agency ID
	[redacted]	[redacted]	53	E	[redacted]	FL	

Place of Birth:	MOSCOW FF RUSIA
Address	* RESIDENCE / 888 E WASHINGTON ST, ORLANDO, FL 32801 / 954-955-4517 VM

Arrest

Arrest Date/Time	Arrest Location Type	Arrest Location Description
1/5/2021 5:20:00 AM	BUSINESS	ADVENT HEALTH CELEBRATION

Street Number	Street	Apt/Lot/Bldg	County	City	State	Zip Code
400	CELEBRATION PL		OSCEOLA	KISSIMMEE	FL	34747

Charge : S

Counts	Charge	Bond Amount	
~~1~~	~~316.193.3c1~~ A767ZYE	~~$1000.00~~	☐ ~~No Bond~~ VM

Charge Degree	Charge Level	General Offense Code
F	~~MISDEMEANOR~~	~~DUI-UNLAW BLD ALCH~~ VM

Charge Description
~~DUI AND DAMAGE PROPERTY~~ VM

Is Arrestee Juvenile?	No
Type of Arrest	On-View Arrest (apprehension without a warrant or previous incident report)

Charge : S

Counts	Charge	Bond Amount	
1	893.13.6a	~~$0.00~~ $2,500	☐ No Bond

Charge Degree	Charge Level	General Offense Code
T	FELONY	COCAINE-POSSESS

Charge Description
POSSESS COCAINE

FILED IN OFFICE CLERK COURT OSCEOLA CO FL
2021 JAN -6 AM 11:39

Report Date / Time	Report Number	Case Number/Cad Number	Reporting Officer Name
1/5/2021 05:45 AM	FHP99ARR820925	FHPD21OFF000921 / ORCC21CAD001461	MILAN, VICTOR

Originating Agency ORI	Occur Date Time Range	Jurisdiction	Clearance
	01/05/2021 01:23:37 -		

Is Arrestee Juvenile?	No
Type of Arrest	On-View Arrest (apprehension without a warrant or previous incident report)

Bond Set by Charges

Bond Amount	
$1000.00	☐ No Bond

Bond Type(s)

Probable Cause

On January 5th, 2021, at approximately 1:20 AM, I, Trooper Milan, responded to a traffic crash that occurred at a Racetrack gas station located at 5985 W Irlo Bronson Memorial Hwy in Osceola County, FL. Crash was involving a gray BMW 5 Series that collided with a parked black Chevy Camaro and a pole traffic barrier that separates the parking spaces in front of the Racetrac building. Upon my arrival on scene, two Osceola County deputies were already trying to get the driver out of the gray BMW along with the Osceola Fire Department, I could also see multiple witnesses surrounding the premises at my time of arrival at around 1:30 AM. I was able to see an older white male siting in the front driver seat who appeared to be passed out or unconscious in the wheel. Deputy Reveron and Deputy Harris were first on scene, both of the Deputies along with the witnesses were able to see the older white male behind the wheel and with actual physical control of the vehicle, vehicle was on and driver had possession of the keys. Driver of the Gray BMW drove in a north direction inside of the Racetrack parking lot just north from U.S. Highway 192. The left side of the BMW side swiped a black Chevy Camaro that was parked and then the front bumper collided with the pole traffic barrier. Deputy Reveron advised me that the driver was completely out and would not wake up, Deputy Reveron also advised me that he almost had to broke the window in order to gain access to inside the vehicle. Deputy Reveron advised me that the driver lips were purple when he initially got access to him. Both Deputies body cameras were recording, my in patrol camera was recording as well. Gray BMW was bearing a FL tag of [redacted] driver of BMW was identified as Efim Life, AKA Jeff Life, DOB [redacted] Mr. Life was being interviewed by Osceola FD inside the ambulance after he was taken out of his car, Deputy Harris and myself were standing right next to the outside door of the ambulance, Mr. Life had slurred speech he could barely talk, he was not being coherent while talking

and he looked really disoriented, he told paramedics that he drank a whole bottle of wine prior to the crash. Mr. Life face was pale and had bloodshot and watery eyes. Also, I could notice Mr. Life pupils were constricted at the point they were pinpoint, that is one of the symptoms of an overdose, one of the Paramedics were able to corroborate and made an statement about this. Mr. Life was then transported to Celebration Hospital while I stayed at scene working the crash. Five witness in total were able to put Mr. Life behind the wheel, the two Deputies, the owner and passenger of the Camaro that got hit and a Racetrack worker. All witnesses provided witness sworn statements of the whole interaction and placed Mr. Life behind the wheel of the BMW. AATR Tow Company was came to scene to tow Mr. Life's vehicle. While conducting my inventory, I found tow baggies of a white powdery substance in the open ash tray next to the center console. Both baggies were presumptive of Cocaine. Mr. Life was the only driver of the BMW, he was by himself at the moment of the crash and he is the registered owner of the vehicle. Mr. Life had direct access within arms/hands reach to the actual baggies of possible Cocaine. Deputy Reveron provided a scale for the two baggies and came back to a final weigh of 1.7 grams. After towing the vehicle, I was able to go to Celebration Hospital in order to meet with Mr. Life. As soon as I got to the Hospital, medical staff asked me if I was looking for the ETOH patient, they refer to this every time they have an alcohol related patient. I met with Mr. Life at room 4 in the emergency department where he tried to explain me what happened, at this point Mr. Life still looked disoriented and I had to pretty much explain him what happened in the crash instead him. In the meantime, Mr. Life was still being assessed by medical personal, doing lab works and another exams. Trooper Cody Griffith came to Celebration Hospital as a backup. At around 5 AM in the morning Mr. Life was discharged from Celebration Hospital, the entire time he was inside the Hospital he was trying to rush the medical personnel in order to leave quick, he told me he will call an Uber. Once we were outside, I advised Mr. Life that I needed to give him his paperwork for the crash report along with the tow sheet. After I was done with the paperwork, I advised Mr. Life that I was done my crash investigation and that I was initiating a criminal DUI investigation on him, based on my observations I believed he was impaired due to alcohol and/or drugs prior to the crash. I then read Miranda Rights to Mr. Life and he agreed to talk to me. I asked Mr. Life if he would like to perform Field Sobriety Exercises in order to see if he

was impaired. Trooper Griffith was with me the whole interaction, Mr. Life was unsure about doing the exercises, he kept going back and forth that he will do it then he would change is mind. We tried multiple times and gave him a bunch of opportunities to do the exercises. Mr. Life just kept going around the main topic, he would say that he was nervous, I even gave him a break to think. We advised Mr. Life that if he would not take the exercises that it was going to be up to me to arresting him for DUI based on my observations. While on Miranda, Mr. Life never confirmed that he took any alcohol or drugs before the crash, while driving or before getting behind the wheel. Also, Mr. Life advised that he does not know anything about the baggies of Cocaine in his car, he denied that those were of his property. I could check with my flashlight his pinpoint pupils that did not respond to changes with direct light that could be an indicator of a high dose of drugs. Mr. Life while talking about the field sobriety exercises with us, started to have heavy anxiety and told us that he needed medical assistance again. We took him inside the ER for a series of evaluations. It Looked like Mr. Life just wanted to go back to the hospital just in order to skip the field sobriety exercises and gain time, which at that point I took it as a refusal. Based on all my observations I decided to put under arrest Mr. Life for DUI and for possession of a controlled substance. I then read implied consent for Urine and Blood since at that point he was already back at the hospital and a breath test was impractical to do. Mr. Life refused to provide a sample of urine and then blood to check alcohol level and for any drugs in the system at 5:26 AM. Trooper Contreras then showed up to the Hospital in order to do the submission of the drugs at the FHP Orlando Station. Mr. Life was then discharged from the Hospital and then transported in my patrol car to Osceola County Jail. Mr. Life was booked to Osceola County Jail without further incident. All these events occurred in Osceola County, Florida.

Jail Booking Facility

Booking Date/Time	Booking County	Booking Facility	Booking Facility Phone
▶	OSCEOLA	OSCEOLA COUNTY JAIL	(407) 742-4444

Booking Facility Location	Booking Number
402 SIMPSON ROAD KISSIMMEE, FLORIDA 34744	

Booking Comments

Court

Report Date / Time	Report Number	Case Number/Cad Number	Reporting Officer Name
1/5/2021 05:45 AM	FHP99ARR820925	FHPD21OFF000921 / ORCC21CAD001461	MILAN, VICTOR

Originating Agency ORI	Occur Date Time Range	Jurisdiction	Clearance
	01/05/2021 01:23:37 -		

Court County	Court Location
OSCEOLA	2 COURTHOUSE SQUARE KISSIMMEE, FLORIDA 34741

Court	Court Phone	Court Appereance Date / Time	Court Fine
OSCEOLA COUNTY COURT	(407) 742-3586		

Comments

Officer Name / Rank / ID #	Involvement On Report / Reporting Role	Officer Agency Org/Unit
MILAN, VICTOR TPR 4378	REPORTING OFFICER	FLORIDA HIGHWAY PATROL FHPD\ORCC\OSCEOLA\PATROL 2

The undersigned certifies and swears that he/she has just and reasonable grounds to believe that the above named Defendant, committed violation(s), of law, on the below date(s) and time(s), as listed in the probable cause associated with this report:

Reporting Officer

Officer Name	Office Rank	Officer ID No
MILAN, VICTOR	TPR	4378

Officer Agency
FLORIDA HIGHWAY PATROL

Officer Signature

Sworn and subscribed before me, the undersigned authority
This the 05 day of JAN 2021
DEPUTY OF THE COURT, NOTARY OR LAW ENFORCEMENT

C/O McMurray 3307

○ No Bill / Petition ○ Issue Warrant ○ Prosecution Approved

Signature of Assistant State Attorney Date

OSCEOLA COUNTY CORRECTIONS DEPARTMENT
ADVICE TO DEFENDANT

STATE OF FLORIDA

Plaintiff

-vs-

Life, Efim

Defendant

CASE NO. _____

CHARGES _____

_____

_____

_____

_____

ADVICE TO DEFENDANT, FIRST APPEARANCE F.R.C.P.3.130(A)(2)

FILED IN OFFICE
CLERK COUNTY COURT
OSCEOLA CO., FL
2021 JAN -7 A 8:40

1. I have been advised:
   a. That the Judge will advise me of the charge(s), and the bond, if any, which may secure my release.
   b. That I am not required to say anything and that anything I say may be used against me.
   c. That if I am not represented by a lawyer, I have the right to counsel and if I am unable to afford counsel, counsel will be appointed, forthwith.
   d. That I have a right to communicate with counsel, family or friends, and if necessary, means will be provided to enable me to do so.
   e. That any waiver of counsel is limited to first appearance only, and shall not be construed to be a waiver of counsel for subsequent proceedings.
2. ( ) I am financially able to afford a lawyer. His/her name is _____
   ( ) **I WAIVE MY RIGHT TO REPRESENTATION BY COUNSEL (F.R.C.P.3.160(e)).**
   (X) I am financially unable to afford a lawyer and I want one appointed for me.

I HEREBY STATE THAT I MAY BE NOTIFIED AT THE FOLLOWING ADDRESS:

_____,
Street Address City State Zip

TELEPHONE NUMBER_____, and understand I must notify the Clerk of Court, Osceola County, Kissimmee, Florida, 34741, in writing of an address change and that if I fail to appear at any future proceedings, the Court will issue a capias for my arrest.

I HAVE RECEIVED A COPY OF THIS FIRST APPEARANCE SHEET.

_____ _____
Defendant Signature Witness

★★★★★
Florida Gaming Control Commission

JULIE I. BROWN, CHAIR
TINA REPP, VICE CHAIR
JOHN D'AQUILA, COMMISSIONER
WILLIAM SPICOLA, COMMISSIONER
PETER CUDERMAN, COMMISSIONER

June 17, 2026

Mr. Efim Life
12540 Spendid Place
Orlando, Florida 32821

RE: Application No. 271654, Entity 8568902
1021 - PMW Professional Individual Occupational

Dear Mr. Life:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- PMW-3120 - Individual Occupational License Application form is required (form enclosed).
- Fingerprints are required (cards enclosed). It is suggested that applicants submit two fingerprint cards, so that a second card may be available for submission in the event the first set of fingerprints are deemed illegible by the Federal Bureau of Investigation.
- License application fee not received:
Individual: 3 year - $80.00 - $40.00= $40.00 due
- Fingerprint card processing fee ($36.00) is due.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.794.8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 10/15/2026, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 08/27/2026.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions, please call 850.794.8130.

Thank you,

ch/kal
Business Manager I

★★★★★
Florida Gaming Control Commission

JULIE I. BROWN, CHAIR
TINA REPP, VICE CHAIR
JOHN D'AQUILA, COMMISSIONER
WILLIAM SPICOLA, COMMISSIONER
PETER CUDERMAN, COMMISSIONER

July 10, 2026

Mr. Efim Life
12540 Spendid Place
6207
Orlando, Florida 32821

RE: Application No. 271654, Entity 8568902
1021 - PMW Professional Individual Occupational

Dear Mr. Life:

We have received the additional information you submitted; however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- **Application is incomplete:** Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of, had adjudication withheld for, or pled guilty or nolo contendere to any criminal offense, you must list all offenses in the Background Information section and provide certified court disposition records. **Please initial and date any changes made to your application**

  You must list the information on your application for the following charges:
  - Pompano Beach, Florida Arrest(s) – 02/16/1991
  - Miramar, Florida Arrest(s) – 01/31/2014
  - Las Vegas, Nevada Arrest(s) – 07/07/2005

  You must provide the arrest report and court disposition records for the following charges. If applicable, please submit proof that all court costs, fines, fees, and/or restitution obligations have been satisfied.
  - Pompano Beach, Florida Arrest(s) – 02/16/1991
  - Miramar, Florida Arrest(s) – 01/31/2014
  - Las Vegas, Nevada Arrest(s) – 07/07/2005

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have.

If you fail to provide the Florida Gaming Control Commission with a complete application by 10/15/2026, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 08/27/2026.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. FGCC PMW 3180 - Request for Waiver and FGCC PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions, please call 850.794.8130.

Thank you,

KAL
Operations Analyst II

Florida Gaming Control Commission

JULIE I. BROWN, CHAIR
TINA REPP, VICE CHAIR
JOHN D'AQUILA, COMMISSIONER
WILLIAM SPICOLA, COMMISSIONER
PETER CUDERMAN, COMMISSIONER

August 14, 2026

Mr. Efim Life
12540 Spendid Place
6207
Orlando, Florida 32821

RE: Application No. 271654, Entity 8568902
1021 - PMW Professional Individual Occupational

Dear Mr. Life:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. PMW 3180 - Request for Waiver and PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have.

If we do not receive the above information by 10/01/2026 your application will be processed "as is".

Return the missing document and/or information to the address provided below, attention Office of Operations. If you have any questions, please call 850.794.8130.

Thank you,

KAL
Operations Analyst II

8. Discussion of License Denial

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Chief Attorney
Re: Luke Heraro Carelus, Case No. 2026-067841
Date: September 30, 2026

Executive Summary

Luke Heraro Carelus (Applicant) submitted an application seeking a slot machine/cardroom/pari-mutuel wagering individual combination occupational license (Application). Upon review of the completed Application, it appears that Applicant has been convicted of two disqualifying felony offenses out of Florida. Therefore, the Florida Gaming Control Commission may authorize the issuance of a Letter of License Denial.

Relevant Facts

On July 9, 2026, the Applicant submitted an application to the Division of Pari-Mutuel Wagering for a slot machine/cardroom/pari-mutuel wagering individual combination occupational license. The Applicant answered "yes" on the question asking if he has ever been convicted of or had an adjudication withheld for any crime, or has pled guilty or nolo contendere to any criminal charges, and listed various convictions.

The Division sent deficiency letters on July 27, 2026, requesting an updated application and any available court disposition records or arrest reports for an arrest out of Miami-Dade County in 2010. The Division of Pari-Mutuel Wagering received an amended application from Applicant on August 10, 2026. Upon review of the Application, it appears Applicant was convicted of the following disqualifying offenses:

- Firearm Weapon Possession on School Property, a felony, out of Miami-Dade County, Florida, from May 17. 1999;
- Carrying a Concealed Firearm Before July 1, 2023, a felony, out of Miami-Dade County, Florida, from May 17. 1999; and
- Games of Chance, a misdemeanor, out of Miami-Dade County, Florida from August 1, 2006.

These felony convictions are disqualifying offense pursuant to sections 550.105(5)(b), 551.107(6)(a), and 849.086(6)(f), Florida Statutes. The misdemeanor conviction is a disqualifying offense pursuant to sections 550.105(5)(c) and 849.086(6)(f).

Analysis

Section 550.105(5)(b), Florida Statutes, provides, in pertinent part that:

> …the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 550.105(5)(c), Florida Statutes, provides:

> The commission may deny, declare ineligible, or revoke any occupational license if the applicant for such license has been convicted of a felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in s. 849.25**,** or involves cruelty to animals.

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 551.107(6)(a), Florida Statutes, provides in pertinent part that:

> . . .the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.

Section 551.107(6)(c), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere.

Section 849.086(6)(f), Florida Statutes, provides that:

> the “provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses.

CARELUS, LUKE HERARO

Case # 2026-067841

License Type: Slot/Cardroom/Pari-Mutuel Individual Combo (1055)
Occupation: Dealer

Waiver Requested: N/A

90 Day Deadline: 01/06/2027

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

CARELUS, LUKE HERARO- 1473894 (Applicant's Name– License #)

2026-067841 Case No:

INITIAL APPLICATION RECEIVED:	7/9/2026
Application Deemed Complete:	10/9/2026
If no Request for Waiver received, 90-Day Deadline:	**1/6/2027**

Waiver Requested: ☒No ☐Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)

- Waiver Requested **N/A**

BF	430-Harrah's Pompano Beach	1055-Poker Dealer
Application Processor	Facility (d/b/a name)	Occupation Code / Job Title

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☐Yes ☒No

Licensing History:**1022, 1012 and 1055** Type: Initial license issued: **1022 (07/01/1997);1012 (10/04/2012); 1055 (10/14/2021)**

Maintained Continuous licensure? ☐Yes ☒No

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No

Were all items identified in the deficiency letter addressed? ☒Yes ☐No If no, explain:

☒ Felony(s): Count(s) 2 ☒ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking

☐ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)N/A

☐ Animal Cruelty (Pari-Mutuel Professions) N/A

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp	Fines Paid
06/24/1998	Miami-Dade County, FL	**Charge 1** -Firearm Possession On School Property	Felony	131998CF018641A000XX	Adjudication Withheld	Y	Y	N $451.00
		Charge 2 -Carrying Concealed Weapon	Felony	131998CF018641A000XX	Adjudication Withheld			
		Charge 3 -Firearm Possession By a Minor	Misdemeanor	131998CF018641A000XX	Adjudication Withheld			
06/02/2000	Miami-Dade County, FL	**Charge 1** -Disorderly Conduct/County Ordinance	Civil Ordinance	132000CO0301200001XX	Guilty/Convicted	N	Y	N $90.00
		Charge 2 - Trespass/County Ordinance	Civil Ordinance	132000CO0301200001XX	Dismissed			
07/01/2005	Miami-Dade County, FL	**Charge 1- Games Of Chance by Lot/Set Up, Promote, Play**	Misdemeanor	132005MM0360460001XX	Adjudication Withheld	Y	Y	N $403.00
11/12/2009	Miami-Dade County, FL	**Charge 1** - Resisting Officer Without Violence	Misdemeanor	132009MM0667920001XX	Adjudication Withheld	N	Y	N/A
11/02/2010	Miami-Dade County, FL	**Charge 1** - DWLS Knowing of Violation	Misdemeanor	132010CT0000667FSI00	Guilty/Convicted	N	Y	N/A
		Charge 2 -Drivers License Possession of Suspended, ETC	Misdemeanor	132010CT0000945GJK00	Nolle Prosequi			
		Charge 3 -Business Conduct Without License	Misdemeanor	132010MO0548620001XX	Nolle Prosequi			
		Charge 4- Vending Locations Restricted Miami	Misdemeanor	132010MO0548620001XX	Nolle Prosequi			

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☒ No ☐ ☐ Approval
Disposition Attached for Disqualifier(s)? Yes ☒ No ☐

Deficiency Letter Issued: **07/24/2026, 07/27/2026 and 08/10/2026**; Application Deemed Complete **10/09/2026**
Applicant Eligible for Waiver? Yes ☐ No ☒ If Yes: ☐ Waiver Requested ☐ Failed to Request Waiver

Forward to ☐ **Investigations** ☒ **Legal** ☐ **Commission**	Initials: CH Date 10/12/2026
1012 – Cardroom Employee Occupational License: Waiver granted pursuant to Case No. 2012-013752	

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 2026067841 **Incident date:** 07/09/2026 **Status:** 116 - Sent to Legal

Lic Type: 1055 **Disposition:**

Case Type: Complaint

Responsible: elanier - LANIER, EBONIE

Complainant: DBPR, DIVISION OF PARI MUTUEL WAGERING

2601 BLAIR STONE RD, TALLAHASSEE, FL 32399-1037

Respondent: CARELUS, LUKE HERARO

4601 NORTH WEST 25TH STREET, LAUDERHILL, FL 33313

Summary: Application and rap sheet reviewed. Applicant's criminal history resulted in felony conviction or an industry related offence. 430- Pompano Park

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
09/25/2026	R		elanier	LANIER, EBONIE	charris	
09/25/2026	S	1055	10	Initial Review	bfair	
09/25/2026	S	1055	116	Sent to Legal	charris	

CH

CH

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **charris**

License

Fed Tax # [redacted] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On

File # **9416** Name **CARELUS, LUKE HERARO** Extended To

License # **1473894** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On

Entity # **1473894** Lic Status **Closed**

Licensee | History | Notes | Notes History | Back

Address

Street # **4601** Street **NORTH WEST 25TH STREET**

Line 2

Line 3

City **LAUDERHILL** State **FL** Zip **33313**

Routing

* Previously Licensed

*No Additional Enforcement Cases found

*No ARCI Findings

*CCIS Findings

Other

1st License Date **07/09/2026** Rank Date **07/09/2026** Certificate #

Method **I-S-1017** Status Date **07/24/2026** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DEAL - Dealer	07/09/2026	
F	LIVE - Live Scan Fee Exemption	07/09/2026	
I	HRNS - Harness	10/14/2021	
L	430 - Pompano Park	07/09/2026	
Y	3YR - 3 Year License	10/14/2021	

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RECEIVED
JUL 09 2026
By

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
FGCC PMW-3410 – Slot Machine Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION

Social Security Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]	[redacted]	☑ Male ☐ Female

Last Name	First	Middle	Suffix
Carelus	Luke	Heraro	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☑ No

If yes, list the name or names used: _____

Have you, within the previous 2 years, been employed with the commission? ☐ Yes ☑ No

Race/Ethnicity (check only one):
☒ Black or African American
☐ White or Caucasian
☐ Asian or Pacific Islander
☐ Hispanic/Latino
☐ Native American or Alaskan Native
☐ Other

Are you a United States citizen? ☑ Yes ☐ No
If no, provide the name of the country of which you are a citizen: _____

Current Mailing Address			Email Address
4601 NW 25th Street			lcarelus@yahoo.com
City	**State**	**Zip Code (+4 optional)**	**Country**
Lauderhill	Florida	33313	USA
Primary Phone Number			**Secondary/Cell Phone Number (optional)**
954-654-4175			

Current Street Address			
4601 NW 25th Street			
City	**State**	**Zip Code (+4 optional)**	**Country**
Lauderhill	Florida	33313	USA

Type of Slot Machine Occupational License applying for:
☐ General Individual ☐ Professional Individual
☑ Slot Machine/Cardroom/PMW Combination

Job title(s)*: Poker Dealer

*Applicants for a Combo license should disclose all job titles

Is this your first time applying for a racing/gaming license in Florida?
☐ Yes ☑ No

Facility where employed and/or doing business: PPI

Employer name: Harrah's Pompano Beach

FOR DIVISION USE ONLY

License Code 1055 License # 1473894 File # 9416 App # 56146
Association Code 430 Date Received 7/9/2026 Entered By M. Ubidia License Year 26/29
License Fee Ø FP Date 7/9/2026 FP Fee Ø Total Fee Ø

☐ **Waiver Requested (Combo Only)** ☐ **ARCI** ☑ **Enforcement**

70 EA 03 0000 000 4980

EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

☑ Yes
☐ No

Have you previously worked for a gaming-related employer?
If yes, you must list below all gaming-related employment history in the past ten years.

Name of Employer	Address	Start Date (Month/ Year) - End Date (Month/ Year)	Title/Position Held & Supervisor Name	Reason for Leaving
Big Easy	Hallandale	3/13/13 - 07/15/26	Poker Dealer	Better Opportunity

PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes
☑ No

Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.

State(s) or Jurisdiction(s) Where Licensed	Date of Expiration	Is this license currently suspended or subject to fines or other discipline? Explain why.
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No

☐ Yes
☑ No

Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

If you answered yes to the question above, provide details here:

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☑ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☑ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence
11/12/2009	Dade	Florida	Resist off w/o violence	Mis.	wh adj w/fine
06/02/2000	Dade	Florida	Disorderly Conduct	Misc	wh adj w/fine - Dismissed
04/02/1998	Dade	Florida	Fa/Wea/ Conceal Carry	Felony	Wh Adj.-Prob Con
04/02/1998	Dade	Florida	Concealed/ Carry -Minor	Felony	Transf to Other CA
11/02/2010	Dade	Florida	Bus. w/o Lic Vend/Rest	Nolle Pros → MISC	Nolle Pros

☐ Yes ☑ No	Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?
☐ Yes ☑ No	Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

07/01/2005	Games of Chance	Misc	Wh Adj. W/Fine

RECEIVED
AUG 10 2026
By

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☑ No — Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

☑ Yes ☐ No — Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence
11/12/2009	Dade	Florida	Resist off w/o violence	Mis.	wh adj w/fine
06/02/2000	Dade	Florida	Disorderly Conduct	Misc	wh adj w/fine - Dismissed
04/02/1998	Dade	Florida	Fa/Wea/ Conceal Carry	Felony	Wh Adj.-Prob Con
04/02/1998	Dade	Florida	Concealed/ Carry -Mino	Felony	Transf to Other CA
11/02/2010	Dade	Florida	Bus. w/o Lic Vend/Rest	Nolle Pros → Misc	Nolle Pros

☐ Yes ☑ No — Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?

☐ Yes ☑ No — Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

07/01/2005 Games of Chance Misc Wh Adj. W/Fine

Please See attached Disposition

AMENDED DOCUMENT Initials BF

Applicant Luke Carelus

Entity # 1473894

File # 9416 App# 56332

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577 and 409.2598, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging the FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

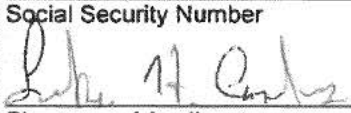
Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

Under penalties of perjury, I declare that I have read the foregoing application and the facts contained in this application are true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Florida Gaming Control Commission and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Commission within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

AUTHORIZATION FOR RELEASE OF INFORMATION:

I do hereby instruct all law enforcement, criminal justice agencies, gaming commissions, tribal gaming regulatory agencies or commissions, state agencies, or commissions responsible for gaming regulation to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Florida Gaming Control Commission or the Florida Department of Law Enforcement.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

Luke Heraro Carelus	[redacted]
Print Legal Name (First Middle Last)	Birth Date (MM/DD/YYYY)
[redacted]	Harrah's Pompano Beach
Social Security Number	Name of Employer
	07/09/2026
Signature of Applicant	Date



Lic Type **1012 - Cardroom Employee Occupational** Status **90 Closed** Status Date **09/18/2012**

Complaint # **2012013752** Case Type **CMP - Complaint** Disposition **250 Consent Order** Disposition Date **09/18/2012**

Docket# Respondent **CARELUS, LUKE H** Responsible **rmichels - MICHELS, RALF** **Public Case**

Complaint | Respondent | Complainant | Addt'l Info

Source **LIC - Licensee** Security Level **1**

Form **WALK - Walk-in** Priority **2**

Class'n **IIIB - Waivers** Complexity **R - Regular**

Security **STND - Standard** Incident **03/08/2012**

Region **SR - Southern Region** Received **03/19/2012**

Reference

Entered **03/19/2012** Entered By

Summary **September 18, 2012 Consent Order issued: Division agrees to issue a waiver and a pari-mutuel wagering occupational (cardroom) license to Petitioner under certain conditions. May 18, 2012 Application for a pmw occupational license and request for waiver are denied. 155 - West FlaglerAssociates, Ltd.**

Updated **09/19/2014 09:32:50** By **jpouncey**

Parties | Activities | Allegations | Discipline | Violations | Compliance | Related | Disposition | Inspection | Costs | Time Tracking | Auto Assign | Attachments | History | Work Notes | Print Report

Change | Save | OK | Cancel | Back

Get Adobe Reader.

Case Type	Complaint #	Status	Reference	Incident	Respondent	Complainant	Lic Type	Public Case	View	Process

No items found.

Total: 0

Comprehensive Ruling Report

Rulings Against: LUKE H CARELUS

Legal Name: LUKE H CARELUS
Birth Date:

0 Total Ruling(s) Listed

"Multiple medication violation points and point totals are for advisory and informational purposes only to indicate the existence of regulatory medication violation determinations made by racing regulatory entities in order to notify officials of possible aggravating factors that should be reviewed by officials prior to taking regulatory action. Confirmation of violations should be made directly with the racing regulatory entity responsible."

No Rulings

Licensee:LUKE H CARELUS

No Picture Available

Reports on LUKE H CARELUS
Comprehensive Ruling Report
Drug Ruling Report (Last 5 Years)
Comprehensive Licensee Report

Identification Information

Add a New Identification Record

Date of Birth	Federal ID/SSN	Country	Sex
[redacted]	[redacted]		

Name Information

Add a New Name Record

Name Type	Prefix	First Name	Middle Name	Last Name	Suffix
Current Legal Name		LUKE	H	CARELUS	

Address Information

Address Type	Street Address	City	State	Zip Code

Phone Information

Phone Number Type	Phone Number

License Information

Add a New License Record

License Number	License Type	Issued Date	Expiration Date	Licensing Commission
1473894	Other/OTB/Casino	7/15/2017	6/30/2020	Florida Division of Pari-Mutuel Wagering
	Other/OTB/Casino	7/15/2017	6/30/2023	Florida Division of Pari-Mutuel Wagering
	Other/OTB/Casino	6/15/2017	9/13/2017	Florida Division of Pari-Mutuel Wagering
	Other/OTB/Casino	6/4/2014	6/30/2017	Florida Division of Pari-Mutuel Wagering
	Other/OTB/Casino	10/4/2012	6/30/2014	Florida Division of Pari-Mutuel Wagering
*N*1083352	Miscellaneous/Permittee	7/1/1997	6/30/1998	Florida Division of Pari-Mutuel Wagering
1473894	Other/OTB/Casino	7/1/1997	6/30/1998	Florida Division of Pari-Mutuel Wagering
97-1082-1473894	Unknown	6/21/1997	6/30/1998	Florida Division of Pari-Mutuel Wagering
96-1082-1473894	Unknown	5/4/1996	6/30/1997	Florida Division of Pari-Mutuel Wagering

Fingerprint Information

Add a New Fingerprint Record

Processing Commission	Date Taken	Status	Notes	RCI Card Submitted Date
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Ruling Number	Ruling Type	Ruling Date	Fine	Fine Paid?	Suspension Start	Suspension End

Applicable Reports

Comprehensive Ruling Report
Comprehensive Licensee Report



FLORIDA'S CLERKS OF COURT AND COMPTROLLERS

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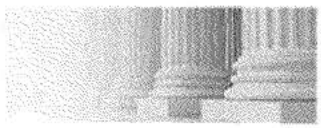
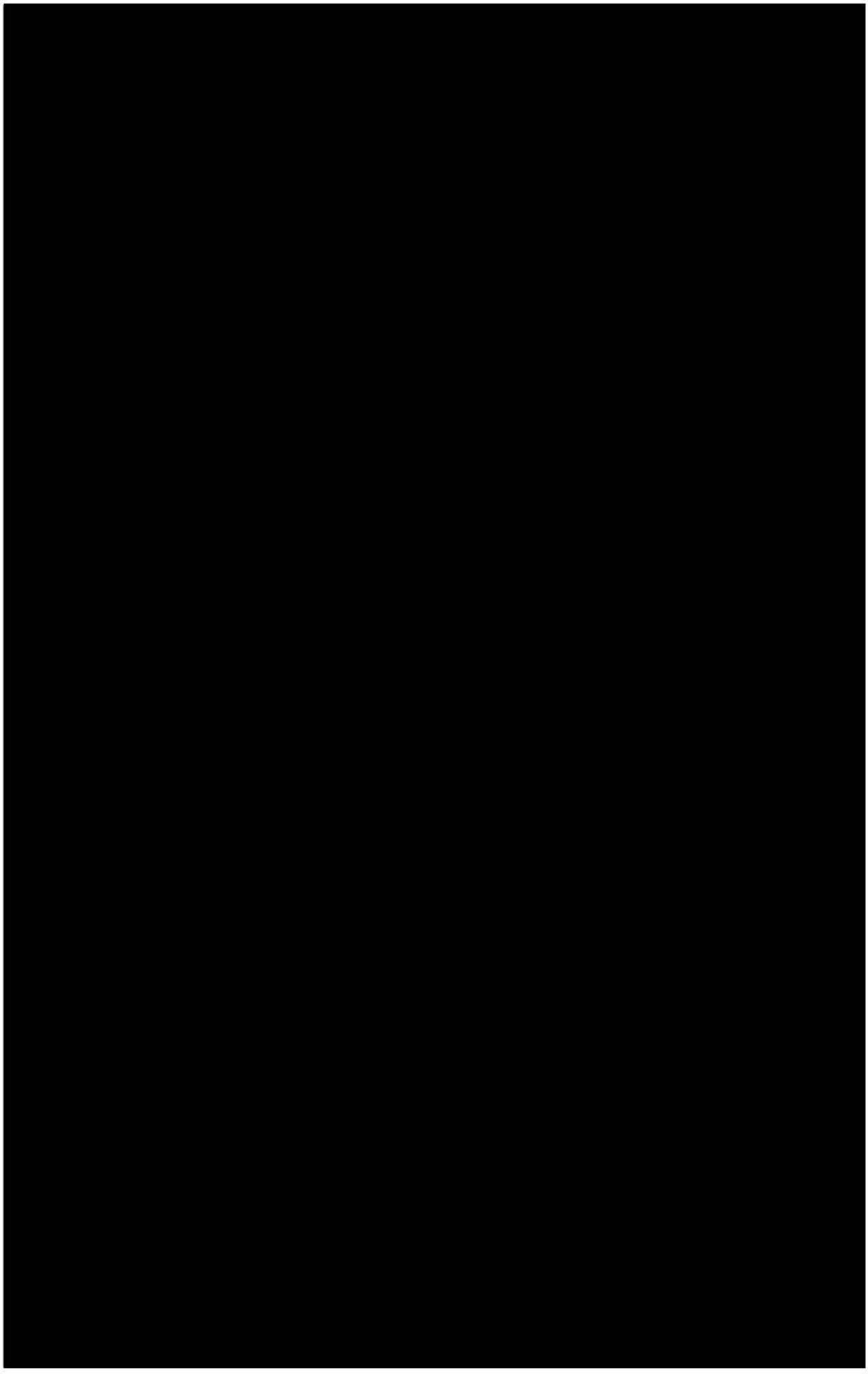
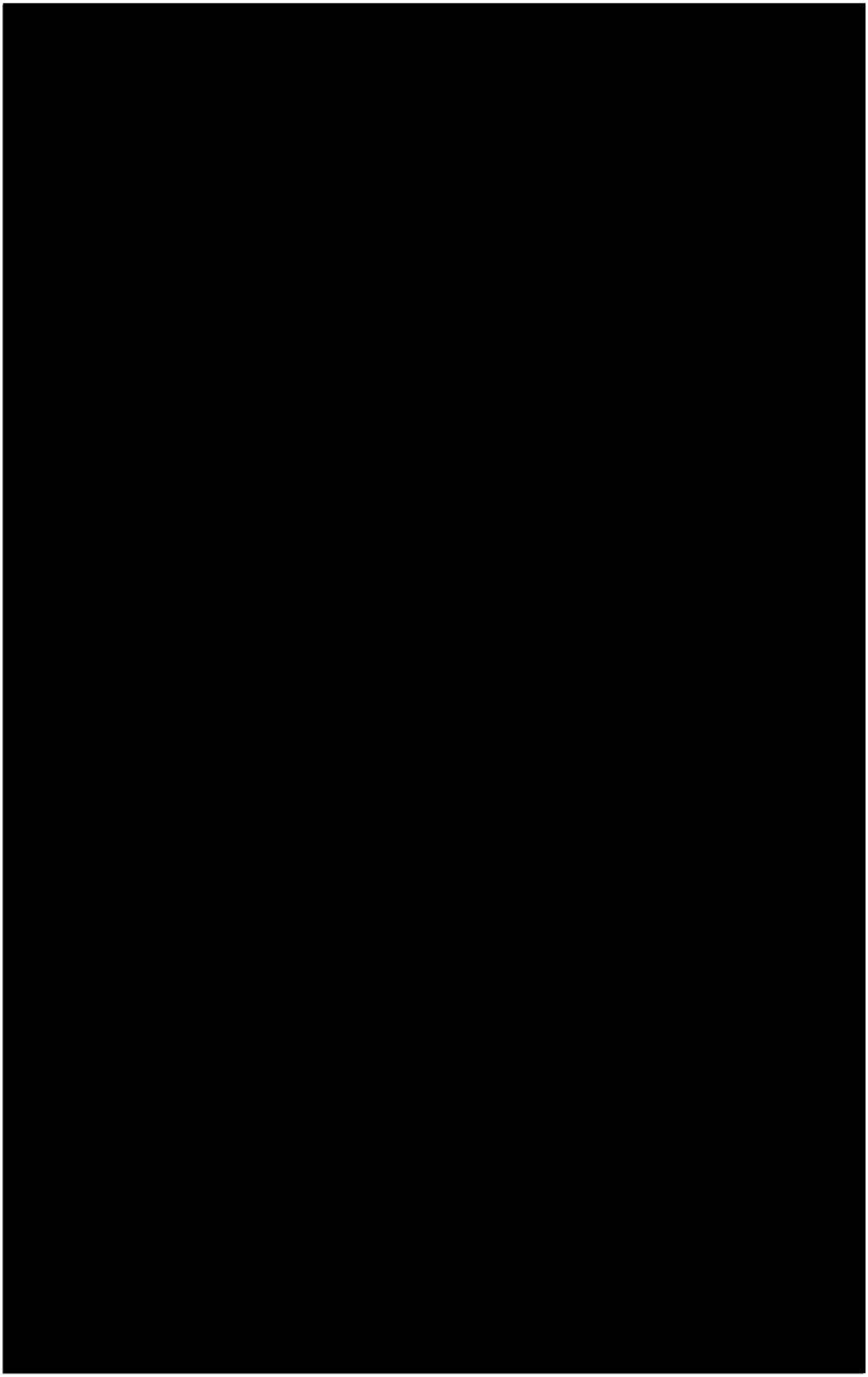
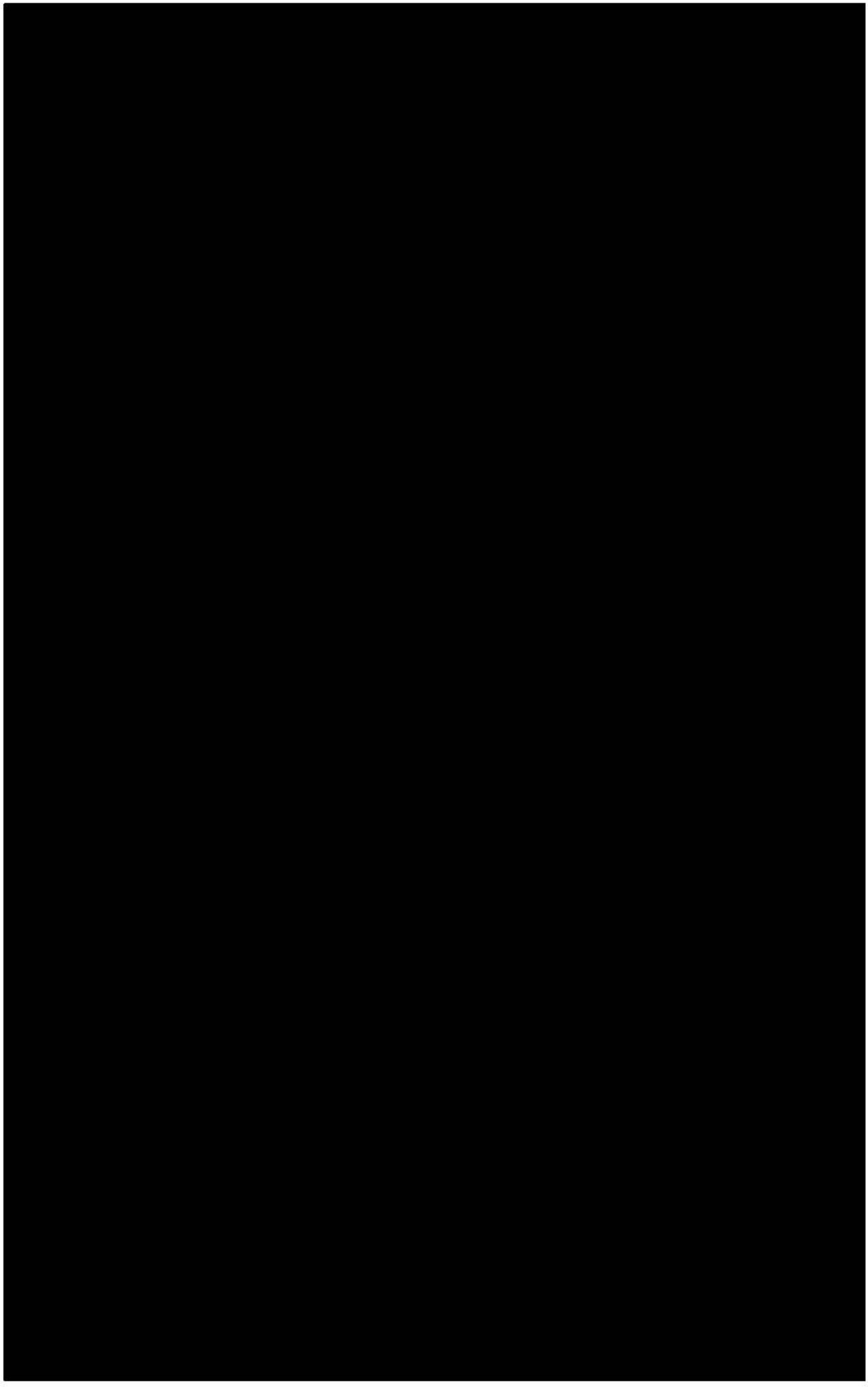


bailey.tanderjee

[redacted]









IN THE CIRCUIT AND COUNTY COURTS OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA

IN AND FOR MIAMI-DADE COUNTY

I, HARVEY RUVIN, CLERK OF THE CIRCUIT AND COUNTY COURT OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA, IN AND FOR MIAMI-DADE COUNTY, DO HEREBY CERTIFY THAT A DILIGENT EXAMINATION OF THE FELONY, MISDEMEANOR AND NON CRIMINAL VIOLATION FILES AND RECORDS IN MY OFFICE REGARDING:

NAME: CARELUS, LUKE HERARD
DATE OF BIRTH: [redacted]
RACE: BLACK SEX: MALE
YEARS RESEARCHED: 1990 - 2012

INDICATES THE FOLLOWING:

CASE NUMBER	CITATION/ ARREST / FILE DATE	CHARGES	DISPOSITION	DISPO DATE
M09066792	11/12/2009	RESIST OFF W/O VIOL	WH ADJ W/FINE & CO	11/13/2009
M00030120	06/02/2000	DISORDERLY CONDUCT	WH ADJ W/FINE & CO	09/04/2003
		TRESPASS	DISMISSED	09/04/2003
F98018641A	04/02/1998	FA/WEA/POSN/SCHL	WH ADJ-PROB SP CON	05/17/1999
		CONCEALED F/A /CARRY	WH ADJ-PROB SP CON	05/17/1999
		FIREARM/POSN/MINOR	WH ADJ-PROB SP CON	05/17/1999
F98018641	04/02/1998	FA/WEA/POSN/SCHL	TRANSF TO OTHER CA	06/03/1998
		CONCEALED F/A /CARRY	TRANSF TO OTHER CA	06/03/1998
		FIREARM/POSN/MINOR	TRANSF TO OTHER CA	06/03/1998
M10054862	11/02/2010	BUSINESS W/O LIC	NOLLE PROS	11/03/2010
		VENDING/RESTRICTIONS	NOLLE PROS	11/03/2010

PURSUANT TO FLORIDA RULES OF COURT (RULE 2.075), RETENTION OF COURT RECORDS, THE REQUIREMENT FOR RETAINING MISDEMEANOR CASES IS 5 YEARS, AND FELONY CASES (NOT ADJUDICATED GUILTY) IS 10 YEARS. THEREFORE, THE ABOVE FILE(S) IS/ARE UNAVAILABLE IF ANY OF THEM HAVE AN APPLICABLE DISPOSITION DATE.

WITNESS MY HAND AND THE SEAL OF THE COURT AT MIAMI, MIAMI-DADE COUNTY, FLORIDA, THIS 02 DAY OF MARCH, 2012.



IN THE CIRCUIT AND COUNTY COURTS OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA

IN AND FOR MIAMI-DADE COUNTY

I, HARVEY RUVIN, CLERK OF THE CIRCUIT AND COUNTY COURT OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA, IN AND FOR MIAMI-DADE COUNTY, DO HEREBY CERTIFY THAT A DILIGENT EXAMINATION OF THE MISDEMEANOR FILES AND RECORDS IN MY OFFICE REGARDING:

NAME: CARELUS, LUKE HERARO
DATE OF BIRTH: [redacted]
RACE: BLACK SEX: MALE
YEARS RESEARCHED: 2005 - 2005

INDICATES THE FOLLOWING:

CASE NUMBER	CITATION/ ARREST / FILE DATE	CHARGES	DISPOSITION	DISPO DATE
M05036046	07/01/2005	GAMES OF CHANCE	WH ADJ W/FINE & CO	08/01/2006

PURSUANT TO FLORIDA RULES OF COURT (RULE 2.075), RETENTION OF COURT RECORDS, THE REQUIREMENT FOR RETAINING MISDEMEANOR CASES IS 5 YEARS, AND FELONY CASES (NOT ADJUDICATED GUILTY) IS 10 YEARS. THEREFORE, THE ABOVE FILE(S) IS/ARE UNAVAILABLE IF ANY OF THEM HAVE AN APPLICABLE DISPOSITION DATE.

WITNESS MY HAND AND THE SEAL OF THE COURT AT MIAMI, MIAMI-DADE COUNTY, FLORIDA, THIS 02 DAY OF MARCH, 2012.

HARVEY RUVIN, CLERK
CIRCUIT AND COUNTY COURTS
IN AND FOR MIAMI-DADE COUNTY, FLORIDA

BY: A Garcia 8082
DEPUTY CLERK

A GARCIA
Deputy Clerk of the Circuit Court
of the Eleventh Judicial Circuit
of Florida, in and for Dade County

PAGE: 001

HARVEY RUVIN, CLERK
CIRCUIT AND COUNTY COURTS
IN AND FOR MIAMI-DADE COUNTY, FLORIDA

PAGE: 002

BY: _____ DEPUTY CLERK

Case Information

Court Case No:
F98018641A

State Case No:
131998CF018641A000XX

Defendant Name:
CARELUS, LUKE HERARO

DOB:
[redacted]

Date Filed:
06/03/1998

Date Closed:
05/17/1999

Warrant Type:

Assessment Amount:
451

Balance Due:
451

Stay Due Date:
04/16/2000

Judge:
GAMEZ, CARLOS HUMBERTO

Defense Attorney:
ESTLUND, MICHELLE

File Section:
F010

File Location:
SCANNED

Box Number:

Arresting Agency:
MIAMI DADE SCHOOLS POLI

Arrest Date:
04/02/1998

Hearing

No Hearings Found

Charges

Seq No.	Charge	Charge Type	Disposition
1	FA/WEA/POSN/SCHL	FELONY	WH ADJ-PROB SP COND
2	CCF BEF 7/1/23	FELONY	WH ADJ-PROB SP COND
3	FIREARM/POSN/MINOR	MISDEMEANOR	WH ADJ-PROB SP COND

Dockets

Din	Date	Book/Page	Docket
106	10/14/2025		REPORT RE: STATUS SET FOR 10/17/2025 AT 09:30
105	05/17/1999	018636/01052	ORDER OF PROBATION 1 YR OF PROBATION
103	05/27/1999	018636/01061	FINDING OF GUILT/ORDER W/H ADJUDICATION & SPECIAL CONDITIONS COSTS/ PROBATION
100	05/17/1999		MEMORANDUM OF COSTS AMOUNT 451.00
98	05/17/1999		CLOSING JUDGE CRESPO, MANUEL A
97	05/07/1999		REPORT RE: PLEA SET FOR 05/10/1999 AT 13:30
96	05/04/1999		REPORT RE: FIREARM INTV PROG SET FOR 05/07/1999 AT 09:00
95	05/04/1999		REPORT RE: BY STATE SET FOR 05/07/1999 AT 09:00
90	04/26/1999		MOTION FOR CONTINUANCE FILED 04/26/1999 ORAL SET FOR 04/26/1999 AT 13:24 GRANTED DEFS
89	04/29/1999		REPORT RE: PLEA SET FOR 05/04/1999 AT 09:00
88	04/26/1999		MOTION FOR CONTINUANCE FILED 04/26/1999 SET FOR 04/26/1999 AT 13:24 GRANTED DEFS
86	04/26/1999		TRIAL HEARING SCHEDULED FOR 05/17/1999 AT 09:00
85	04/08/1999		SUBPOENA FOR TRIAL - RETURNED
82	03/22/1999		TRIAL PROS. ASSIGNED: PARWARESCH, BIJAN
81	03/05/1999		TRIAL UNIT ASSIGNED: FELONY DIVISION 10
80	02/26/1999		DEPOSITION OF: ROCHNER JEAN
79	02/09/1999		MEMORANDUM:- PRETRIAL SERVICES BUREAU
77	02/03/1999		REPORT RE: PLEA SET FOR 02/23/1999 AT 09:00
76	02/03/1999		MOTION FOR CONTINUANCE FILED 02/03/1999 ORAL SET FOR 02/03/1999 AT 15:41 GRANTED CRT
75	03/01/1999		REPORT RE: PLEA SET FOR 04/19/1999 AT 13:30
74	02/03/1999		TRIAL HEARING SCHEDULED FOR 04/26/1999 AT 09:00
73	02/02/1999		TRIAL HEARING SCHEDULED FOR 02/03/1999 AT 09:00
72	02/01/1999		TRIAL HEARING SCHEDULED FOR 02/02/1999 AT 09:00
71	01/14/1999		REPORT RE: STATUS SET FOR 01/21/1999 AT 09:01
67	12/31/1998		REPORT RE: RULE TO SHOW CAUSE SET FOR 01/14/1999 AT 09:00
65	12/17/1998		REPORT RE: RULE TO SHOW CAUSE SET FOR 12/31/1998 AT 09:01
64	12/10/1998		MEMORANDUM:- PRETRIAL SERVICES
63	12/04/1998		DEPOSITION OF: OFFICER COLLIER
61	12/03/1998		REPORT RE: RULE TO SHOW CAUSE SET FOR 12/17/1998 AT 09:00
60	11/25/1998		TRIAL HEARING SCHEDULED FOR 02/01/1999 AT 09:00 TRIAL HEARING RESET
59	11/25/1998		MOTION FOR CONTINUANCE FILED 11/25/1998 SET FOR 11/25/1998 AT 15:50 GRANTED PROS
58	11/25/1998		REPORT RE: PLEA SET FOR 01/27/1999 AT 10:00

Din	Date	Book/Page	Docket
57	12/21/1998		MOTION FOR RULE TO SHOW CAUSE FILED 12/03/1998 SET FOR 12/03/1998 AT 11:42 GRANTED
56	11/24/1998		NOTICE OF HEARING DECEMBER 3, 1998
54	11/24/1998		NOTICE OF HEARING DECEMBER 3, 1998
52	11/24/1998		NOTICE OF HEARING DECEMBER 3, 1998
48	11/19/1998		MEMO FROM STATE ATTORNEY / PUBLIC DEFENDER
47	11/05/1998		SUBPOENA FOR DEPOSITION
45	10/09/1998		NOTICE OF TAKING DEPOSITION
43	09/22/1998		RETURN - SUBPOENA FOR DEPOSITION
42	10/28/1998		NOTICE OF TAKING DEPOSITION
41	10/28/1998		DEFENSE ATTY PDEF/CHARLES, KELLY
40	10/22/1998		NOTICE OF HEARING 10-27-98
38	10/21/1998		TRIAL PROS. ASSIGNED: ORTEGA, OMAR
37	10/20/1998		MOTION TO COMPEL STATE TO SUPPLY WITNESS NM/ADR FILED 10/27/1998 SET FOR 10/27/1998 AT 12:06 GRANTED
36	09/23/1998		MEMORANDUM- PRETRIAL SERVICE PROGRAM
35	09/16/1998		NOTICE OF TAKING DEPOSITION
32	09/10/1998		ORDER TO SHOW CAUSE - RETURN JEAN ROCHNER
30	09/03/1998		REPORT RE: RULE TO SHOW CAUSE SET FOR 09/17/1998 AT 09:01
29	09/02/1998		MOTION FOR CONTINUANCE FILED 00/00/2000 SET FOR 09/02/1998 AT 09:00 GRANTED DFS
28	09/02/1998		REPORT RE: PLEA SET FOR 11/25/1998 AT 10:00
27	09/02/1998		TRIAL HEARING SCHEDULED FOR 11/30/1998 AT 09:00 TRIAL HEARING RESET
26	08/31/1998		MOTION FOR RULE TO SHOW CAUSE FILED 09/03/1998 SET FOR 09/03/1998 AT 14:01 GRANTED
25	08/28/1998		MEMO FROM STATE ATTORNEY / PUBLIC DEFENDER
24	08/07/1998		SUBPOENA FOR DEPOSITION 02
23	08/05/1998		NOTICE OF TAKING DEPOSITION 2
20	07/16/1998		MEMO FROM STATE ATTORNEY / PUBLIC DEFENDER
19	07/07/1998		NOTICE OF TAKING DEPOSITION 2
18	06/24/1998		DEFENSE ATTY PDEF/PUBLIC DEFENDERS APPOINTMENT,)
17	06/24/1998		DISCOVERY RESP: STATE'S DEMAND FOR DISCOVERY & DEMAND FOR AL
16	06/24/1998		ORDER ADJUDGING DEFENDANT INDIGENT AND APPOINTING PUB. DEFN
15	06/24/1998		FINANCIAL AFFIDAVIT TO DETERMINE PUBLIC DEFENDER ELIGIBILITY
13	06/24/1998		TRIAL PROS ASSIGNED: PISANO, LINDA
12	06/24/1998		MOTION FOR PRE-TRIAL RELEASE FILED 06/24/1998 SET FOR 06/24/1998 AT 11:52 GRANTED
11	06/24/1998		REPORT RE: PLEA SET FOR 09/02/1998 AT 10:00
10	06/24/1998		TRIAL HEARING SCHEDULED FOR 09/08/1998 AT 09:00
9	06/24/1998		00003 M/ 790.22(3) FIREARM/POSN/MINOR DISP/ -MODIFIED/REMOVED
8	06/24/1998		00002 F/ 790.01(2) CCF BEF 7/1/23 DISP/ -MODIFIED/REMOVED
7	06/24/1998		00001 F/ 790.115(2)(B)(C) FA/WEA/POSN/SCHL DISP/ -MODIFIED/REMOVED
5	06/03/1998		ARRAIGNMENT HEARING SCHEDULED FOR 06/24/1998 AT 09:00
4	06/03/1998		TRANSFERRED FROM JUVENILE COURT-CASE # J980041074A
3	06/03/1998		DETENTION STATUS: CUSTODY RELEASE
2	06/03/1998		INFORMATION FILED

Case Information

Court Case No:
F98018641

State Case No:
131998CF01864100001XX

Defendant Name:
CARELUS, LUKE HERARO

DOB:
[redacted]

Date Filed:
06/03/1998

Date Closed:
06/03/1998

Warrant Type:

Assessment Amount:
0

Balance Due:
0

Stay Due Date:
00/00/0000

Judge:
GAMEZ CARLOS HUMBERTO

Defense Attorney:
PUBLIC DEFENDERS APPOINTMENT, JUVEN

File Section:
F010

File Location:
RECORD CENTER

Box Number:
0550

Arresting Agency:
MIAMI DADE SCHOOLS POLI

Arrest Date:
04/02/1998

Hearing

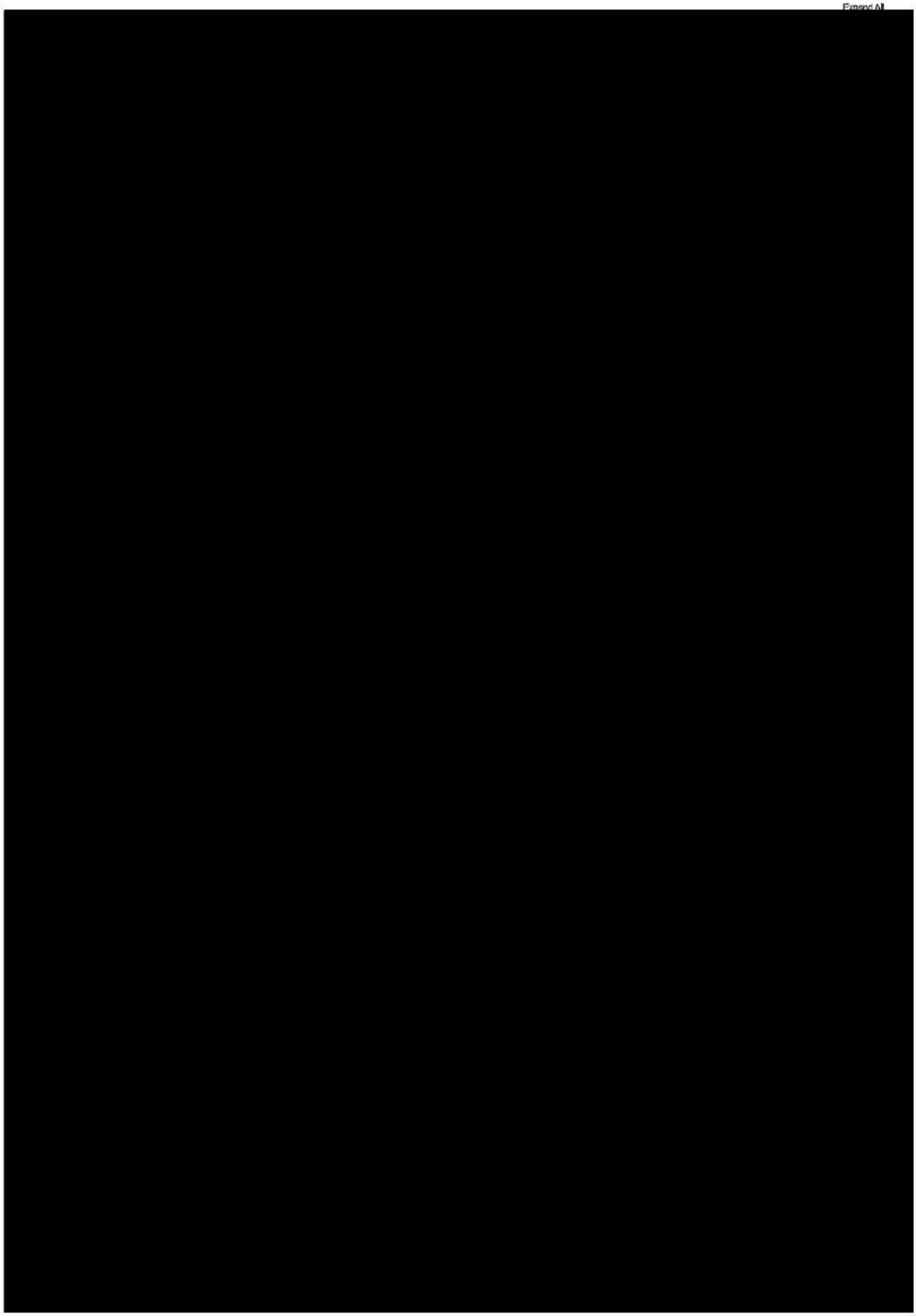
No Hearings Found

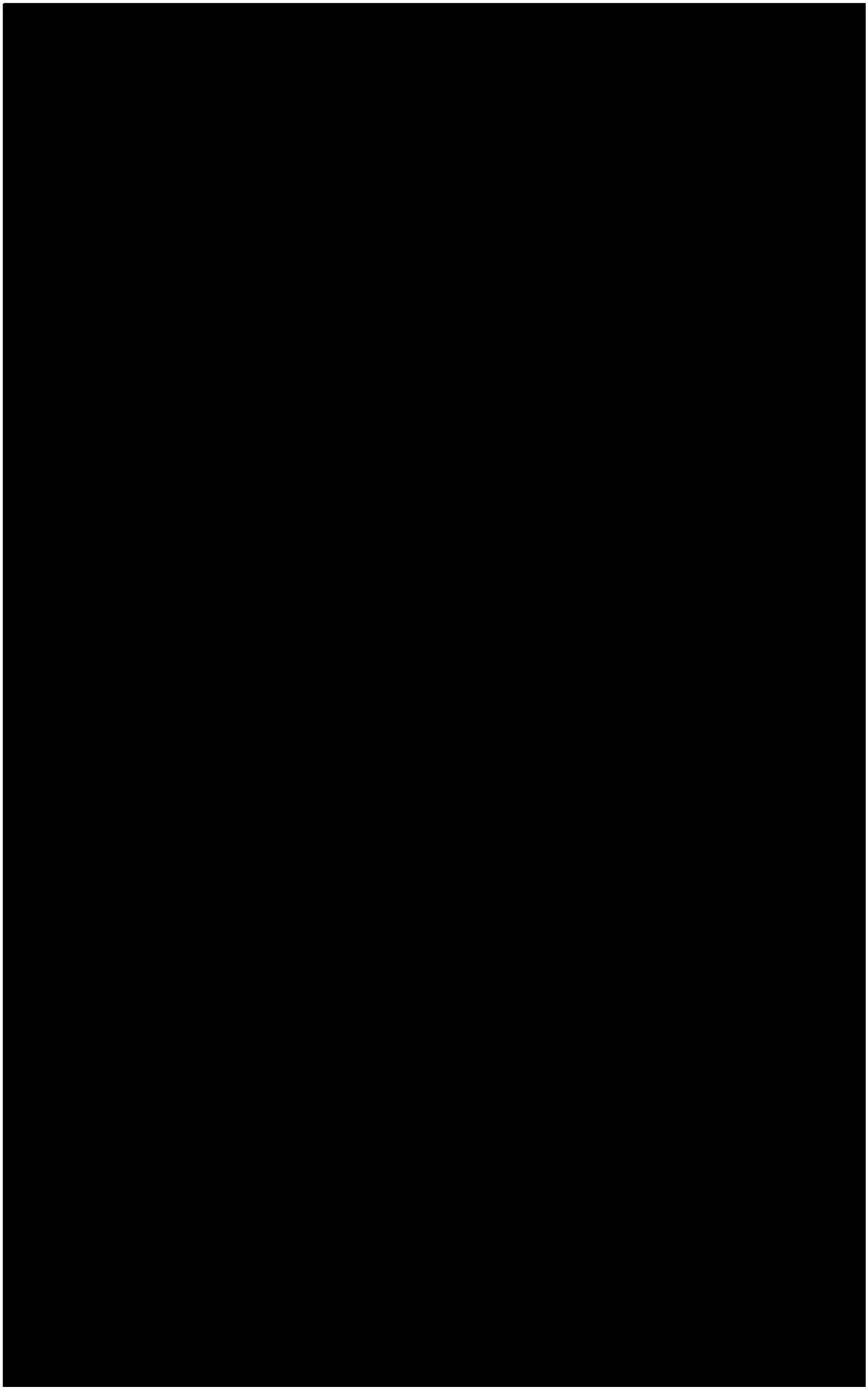
Charges

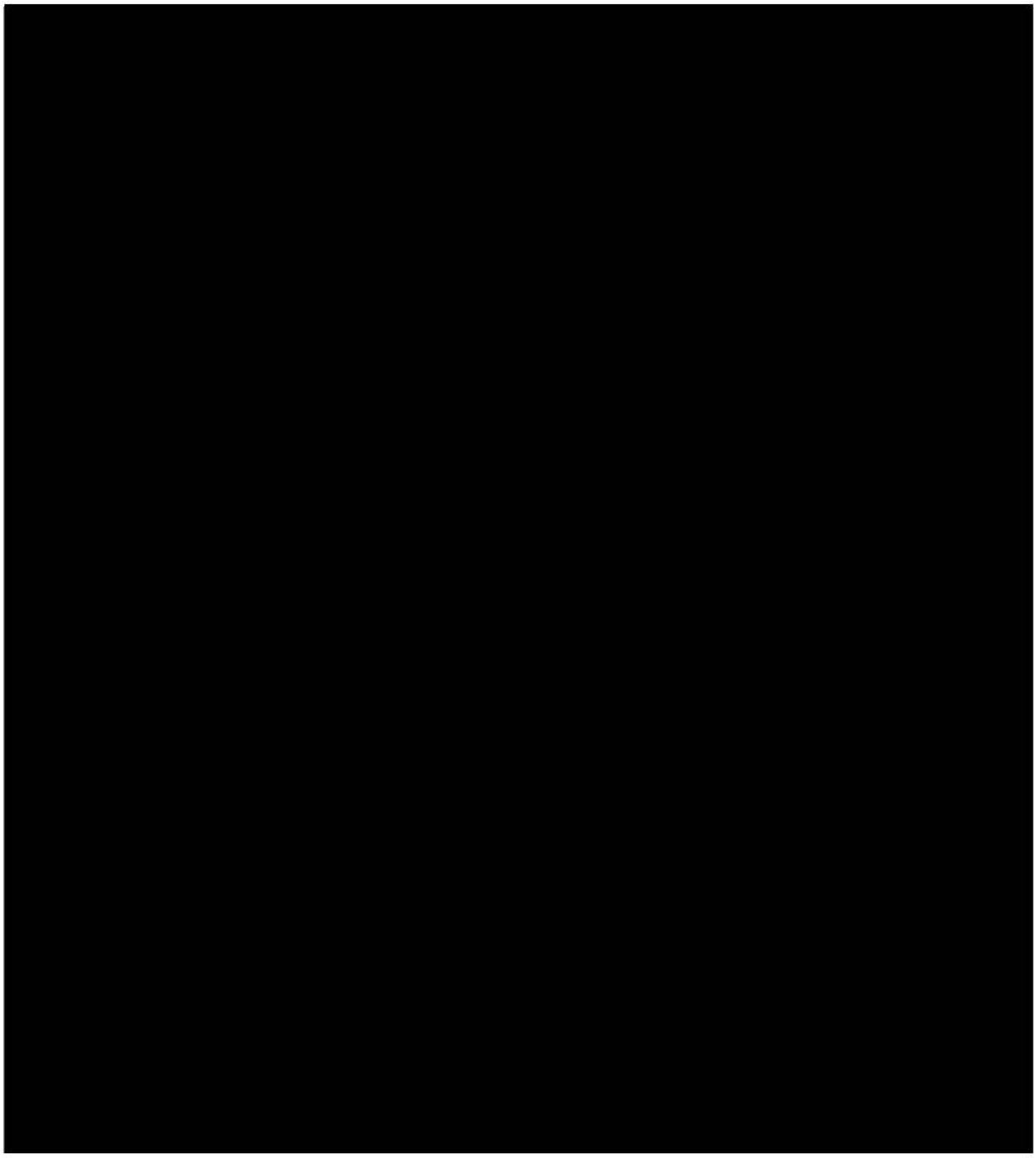
Seq No.	Charge	Charge Type	Disposition
1	FA/WEA/POSN/SCHL	FELONY	TRANSF TO OTHER CASE
2	CCF BEF 7/1/23	FELONY	TRANSF TO OTHER CASE
3	FIREARM/POSN/MINOR	MISDEMEANOR	TRANSF TO OTHER CASE

Dockets

Din	Date	Book/Page	Docket
6	06/24/1998		TRIAL PROS. ASSIGNED. ASA, DIVISION







Case Information

Court Case No:
M05036046

State Case No:
132005MM0360460001XX

Defendant Name:
CARELUS, LUKE HERARO

DOB:
[redacted]

Date Filed:
07/20/2005

Date Closed:
08/01/2006

Warrant Type:

Assessment Amount:
403

Balance Due:
403

Stay Due Date:
09/01/2006

Judge:
NELSON, JULIE HARRIS

Defense Attorney:

File Section:
M008

File Location:
DESTROYED

Box Number:

Arresting Agency:
MIAMI DADE SHERIFF

Arrest Date:
07/01/2005

Hearing

No Hearings Found

Charges

Seq No.	Charge	Charge Type	Disposition
1	GAMES OF CHANCE	MISDEMEANOR	WH ADJ W/FINE & COST

Dockets

Din	Date	Book/Page	Docket
11	09/10/2007		COLLECTIONS AGENCY STATUS NEW TO LINEBARGER
10	09/05/2006		NOTICE OF FAILURE TO PAY SENT
9	08/01/2006		STAY GRANTED - DUE 09/01/2006
7	08/01/2006		ORDER: SETTING ASIDE BENCH WARRANT
6	08/01/2006		CLOSING JUDGE ORTIZ, MARIA D
3	07/20/2006		MOTION TO SET ASIDE BENCH WARRANT FILED 08/01/2006 SET FOR 08/01/2006 AT 10:31 GRANTED
1	07/29/2005		ARRAIGNMENT HEARING SCHEDULED FOR 08/11/2005 AT 09:45

IN THE CIRCUIT AND COUNTY COURTS OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA

IN AND FOR MIAMI-DADE COUNTY

I, HARVEY RUVIN, CLERK OF THE CIRCUIT AND COUNTY COURT OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA, IN AND FOR MIAMI-DADE COUNTY, DO HEREBY CERTIFY THAT A DILIGENT EXAMINATION OF THE FELONY, MISDEMEANOR AND NON CRIMINAL VIOLATION FILES AND RECORDS IN MY OFFICE REGARDING:

NAME: CARELUS, LUKE HERARD
DATE OF BIRTH: [redacted]
RACE: BLACK SEX: MALE
YEARS RESEARCHED: 1990 - 2012

INDICATES THE FOLLOWING:

CASE NUMBER	CITATION/ ARREST / FILE DATE	CHARGES	DISPOSITION	DISPO DATE
M09066792	11/12/2009	RESIST OFF W/O VIOL	WH ADJ W/FINE & CO	11/13/2009
M00030120	06/02/2000	DISORDERLY CONDUCT	WH ADJ W/FINE & CO	09/04/2003
		TRESPASS	DISMISSED	09/04/2003
F98018641A	04/02/1998	FA/WEA/POSN/SCHL	WH ADJ-PROB SP CON	05/17/1999
		CONCEALED F/A /CARRY	WH ADJ-PROB SP CON	05/17/1999
		FIREARM/POSN/MINOR	WH ADJ-PROB SP CON	05/17/1999
F98018641	04/02/1998	FA/WEA/POSN/SCHL	TRANSF TO OTHER CA	06/03/1998
		CONCEALED F/A /CARRY	TRANSF TO OTHER CA	06/03/1998
		FIREARM/POSN/MINOR	TRANSF TO OTHER CA	06/03/1998
M10054862	11/02/2010	BUSINESS W/O LIC	NOLLE PROS	11/03/2010
		VENDING/RESTRICTIONS	NOLLE PROS	11/03/2010

PURSUANT TO FLORIDA RULES OF COURT (RULE 2.075), RETENTION OF COURT RECORDS, THE REQUIREMENT FOR RETAINING MISDEMEANOR CASES IS 5 YEARS, AND FELONY CASES (NOT ADJUDICATED GUILTY) IS 10 YEARS. THEREFORE, THE ABOVE FILE(S) IS/ARE UNAVAILABLE IF ANY OF THEM HAVE AN APPLICABLE DISPOSITION DATE.

WITNESS MY HAND AND THE SEAL OF THE COURT AT MIAMI, MIAMI-DADE COUNTY, FLORIDA, THIS 02 DAY OF MARCH, 2012.

CIRCUIT AND COUNTY COURTS CLERK IN GOD WE TRUST MIAMI-DADE COUNTY, FLORIDA

PAGE: 001

EXHIBIT # 3
PAGE # 1

HARVEY RUVIN, CLERK
CIRCUIT AND COUNTY COURTS
IN AND FOR MIAMI-DADE COUNTY, FLORIDA

8082

BY: DEPUTY CLERK A. GARCIA

Deputy Clerk of the Circuit Court
of the Eleventh Judicial Circuit
of Florida, In and for Dade County

PAGE: 002

EXHIBIT # 3
PAGE # 2

IN THE CIRCUIT AND COUNTY COURTS OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA

IN AND FOR MIAMI-DADE COUNTY

I, HARVEY RUVIN, CLERK OF THE CIRCUIT AND COUNTY COURT OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA, IN AND FOR MIAMI-DADE COUNTY, DO HEREBY CERTIFY THAT A DILIGENT EXAMINATION OF THE MISDEMEANOR FILES AND RECORDS IN MY OFFICE REGARDING:

NAME: CARELUS, LUKE HERARO
DATE OF BIRTH: [redacted]
RACE: BLACK SEX: MALE
YEARS RESEARCHED: 2005 - 2005

INDICATES THE FOLLOWING:

CASE NUMBER	CITATION/ ARREST / FILE DATE	CHARGES	DISPOSITION	DISPO DATE
M05036046	07/01/2005	GAMES OF CHANCE	WH ADJ W/FINE & CO	08/01/2006

PURSUANT TO FLORIDA RULES OF COURT (RULE 2.075), RETENTION OF COURT RECORDS, THE REQUIREMENT FOR RETAINING MISDEMEANOR CASES IS 5 YEARS, AND FELONY CASES (NOT ADJUDICATED GUILTY) IS 10 YEARS. THEREFORE, THE ABOVE FILE(S) IS/ARE UNAVAILABLE IF ANY OF THEM HAVE AN APPLICABLE DISPOSITION DATE.

WITNESS MY HAND AND THE SEAL OF THE COURT AT MIAMI, MIAMI-DADE COUNTY, FLORIDA, THIS 02 DAY OF MARCH, 2012.

HARVEY RUVIN, CLERK
CIRCUIT AND COUNTY COURTS
IN AND FOR MIAMI-DADE COUNTY, FLORIDA

BY: A. GARCIA 3082
DEPUTY CLERK

Deputy Clerk of the Circuit Court
of the Eleventh Judicial Circuit
of Florida, In and for Dade County

PAGE: 001

EXHIBIT # 3
PAGE # 3

Case Information

Court Case No:
M00030120

State Case No:
132000CO0301200001XX

Defendant Name:
CARELUS, LUKE HERARO

DOB:
[redacted]

Date Filed:
06/03/2000

Date Closed:
09/04/2003

Assessment Amount:
226

Balance Due:
90

Stay Due Date:
07/03/2001

Judge:
NELSON, JULIE HARRIS

Defense Attorney:

File Section:
M008

File Location:
DESTROYED

Box Number:

Arresting Agency:
MIAMI DADE SHERIFF

Arrest Date:
06/02/2000

Hearing

No Hearings Found

Charges

Seq No.	Charge	Charge Type	Disposition
1	DISORDERLY CONDUCT	COUNTY ORDINANCE	WH ADJ W/FINE & COST
2	TRESPASS	COUNTY ORDINANCE	DISMISSED

Dockets

Din	Date	Book/Page	Docket
30	11/29/2011		PAYMENT PLAN REMOVED ON 11/29/2011
29	09/05/2007		COLLECTIONS AGENCY STATUS NEW TO LINEBARGER
28	01/09/2004		NOTICE OF FAILURE TO PAY SENT
27	09/16/2003	021591/03089	ORDER: TO VACATE JUDGMENT & SENTENCE GRANTED BY JUDGE ANA M.PANDO
24	09/04/2003		MOTION TO/FOR: VACATE JUDG/SENT
23	09/04/2003		PAYMENT PLAN
22	09/04/2003		STAY GRANTED - DUE 01/06/2004
21	09/04/2003		ORDER: VACATE JUDGMENT AND SENTENCE
20	09/04/2003		CLOSING JUDGE PANDO, ANA MARIA
19	06/03/2000		00002 C/ 21-81(A) TRESPASS GB B DISP/CONV W/CTS -MODIFIED/REMOVED
18	06/03/2000		00001 C/ 21-31 1(A) DISORDERLY CONDUCT GB B DISP/CONV/CTS/FINE/COST - MODIFIED/REMOVED
17	06/03/2000		00002 C/ 21-81(A) TRESPASS GB B DISP/CONV W/CTS -MODIFIED/REMOVED
16	06/03/2000		00001 C/ 21-31 1(A) DISORDERLY CONDUCT GB B DISP/CONV/CTS/FINE/COST - MODIFIED/REMOVED
15	06/03/2000		CASE CLOSED
13	08/20/2003		MOTION TO VACATE JUDGMENT AND SENTENCE FILED 07/25/2003 SET FOR 09/04/2003 AT 13:52 GRANTED
12	08/15/2003		JUDGE PANDO, ANA MARIA ASSIGNED-SECTION M008
11	07/25/2003		MOTION TO/FOR: VACATE JUDG/SENT
10	03/30/2001		COURT FEE PAYMENT 136.00 RECEIPT # 0693393
9	03/30/2001		PAYMENT IN FULL
7	03/07/2001		ALTERNATE JUDGE SECTION-M, COLLECTIONS
6	03/07/2001		REPORT RE: MANDATORY HEARING SET FOR 03/30/2001 AT 10:00
5	06/15/2000	019153/03838	JUDGMENT AND SENTENCE
4	06/03/2000		STAY GRANTED - DUE 09/03/2000
3	06/03/2000		MEMORANDUM OF COSTS AMOUNT 136.00
1	06/03/2000		CLOSING JUDGE KREEGER, JUDITH L

Case Information

Court Case No:
M09066792

State Case No:
132009MM0667920001XX

Defendant Name:
CARELUS, LUKE HERARO

DOB:
[redacted]

Date Filed:
11/13/2009

Date Closed:
11/13/2009

Warrant Type:

Assessment Amount:
438

Balance Due:
0

Stay Due Date:
05/13/2010

Judge:
ROHAIDY, JOSE FRANCISCO

Defense Attorney:
PUBLIC DEFENDER APPOINTMENT, ASSIGN

File Section:
M003

File Location:
DESTROYED

Box Number:

Arresting Agency:
MIAMI

Arrest Date:
11/12/2009

Hearing

No Hearings Found

Charges

Seq No.	Charge	Charge Type	Disposition
1	REST O W/O VIOL/6/26	MISDEMEANOR	WH ADJ W/FINE & COST

Dockets

Din	Date	Book/Page	Docket
15	03/26/2024		MOTION TO VACATE JUDGMENT AND SENTENCE FILED 03/28/2024 ORAL SET FOR 03/28/2024 AT 08:30 NO RULING
13	02/23/2024		MOTION TO VACATE JUDGMENT AND SENTENCE FILED 02/06/2024 SET FOR 03/11/2024 AT 08:30 NO RULING
11	02/06/2024		COURT FEE PAYMENT 438.00 RECEIPT # 2530008
10	02/06/2024		COLLECTIONS AGENCY STATUS DELETED FROM LINEBARGER DEFENDANT PAYING IN FULL (BGLASS)
8	08/17/2010		COLLECTIONS AGENCY STATUS NEW TO LINEBARGER
7	05/17/2010		NOTICE OF FAILURE TO PAY SENT
6	11/13/2009		AFFIDAVIT FOR INDIGENT STATUS - INDIGENT
4	11/13/2009		STAY GRANTED - DUE 05/13/2010
2	11/13/2009		FIRST APPEARANCE/JAIL ARRAIGNMENT - A.M.
1	11/13/2009		CLOSING JUDGE DEEHL, ROBERT M

Case Information

Court Case No:
M10054862

State Case No:
132010MO0548620001XX

Defendant Name:
CARELUS, LUKE HERARO

DOB:
[redacted]

Date Filed:
11/03/2010

Date Closed:
11/03/2010

Warrant Type:

Assessment Amount:
0

Balance Due:
0

Stay Due Date:
00/00/0000

Judge:
CORCILLI MARIANO ARIEL

Defense Attorney:
PUBLIC DEFENDER APPOINTMENT, ASSIGN

File Section:
M001

File Location:
RECORD CENTER

Box Number:
737

Arresting Agency:
MIAMI

Arrest Date:
11/02/2010

Hearing

No Hearings Found

Charges

Seq No.	Charge	Charge Type	Disposition
1	BUSINESS W/O LIC	COUNTY ORDINANCE	NOLLE PROS
2	VENDING/RESTRICTIONS	MUNICIPAL ORDINANCE	NOLLE PROS

Dockets

Din	Date	Book/Page	Docket
3	11/03/2010		FIRST APPEARANCE/JAIL ARRAIGNMENT - A.M.
2	11/03/2010		CLOSING JUDGE POOLER, CATHERINE M
1	11/02/2010		DEEN CITY & STREET CHANGED BY FINALIST PURSUANT TO BOOKING

Case Information

Court Case No: F98018641A
[redacted]
Warrant Type:
Stay Due Date: 04/16/2000
File Section: F010
Arresting Agency: MIAMI DADE SCHOOLS POLI

State Case No: 131998CF018641A000XX
Date Filed: 06/03/1998
Assessment Amount: 451
Judge: GAMEZ, CARLOS HUMBERTO
File Location: SCANNED
Arrest Date: 04/02/1998

Defendant Name: CARELLS, LUKE HERARO
Date Closed: 05/17/1999
Balance Due: 451
Defense Attorney: ESTLUND, MICHELLE
Box Number:

Hearing

No Hearings Found

Charges

Seq No.	Charge	Charge Type	Disposition
1	FA/WEA/POSN/SCHL	FELONY	WH ADJ-PROB SP COND
2	CCF BEF 7/1/23	FELONY	WH ADJ-PROB SP COND
3	FIREARM/POSN/MINOR	MISDEMEANOR	WH ADJ-PROB SP COND

Dockets

Dln	Date	Book/Page	Docket
105	10/14/2025		REPORT RE: STATUS SET FOR 10/17/2025 AT 09:30
105	05/17/1999	018636/01052	ORDER OF PROBATION 1 YR OF PROBATION
103	05/27/1999	018636/01061	FINDING OF GUILT/ORDER W/H ADJUDICATION & SPECIAL CONDITIONS COSTS/ PROBATION
100	05/17/1999		MEMORANDUM OF COSTS AMOUNT 451.00
98	05/17/1999		CLOSING JUDGE CRESPO, MANUEL A
97	05/07/1999		REPORT RE: PLEA SET FOR 05/10/1999 AT 13:30
96	05/04/1999		REPORT RE: FIREARM INTV PROG SET FOR 05/07/1999 AT 09:00
95	05/04/1999		REPORT RE: BY STATE SET FOR 05/07/1999 AT 09:00
90	04/26/1999		MOTION FOR CONTINUANCE FILED 04/26/1999 ORAL SET FOR 04/26/1999 AT 13:24 GRANTED DEFS
89	04/29/1999		REPORT RE: PLEA SET FOR 05/04/1999 AT 09:00
88	04/26/1999		MOTION FOR CONTINUANCE FILED 04/26/1999 SET FOR 04/26/1999 AT 13:24 GRANTED DEFS
86	04/26/1999		TRIAL HEARING SCHEDULED FOR 05/17/1999 AT 09:00
85	04/08/1999		SUBPOENA FOR TRIAL - RETURNED
82	03/22/1999		TRIAL PROS. ASSIGNED: PARWARESCH, BIJAN
81	03/05/1999		TRIAL UNIT ASSIGNED: FELONY DIVISION 10
80	02/26/1999		DEPOSITION OF: ROCHNER JEAN
79	02/09/1999		MEMORANDUM:- PRETRIAL SERVICES BUREAU
77	02/03/1999		REPORT RE: PLEA SET FOR 02/23/1999 AT 09:00
76	02/03/1999		MOTION FOR CONTINUANCE FILED 02/03/1999 ORAL SET FOR 02/03/1999 AT 15:41 GRANTED CRT
75	03/01/1999		REPORT RE: PLEA SET FOR 04/19/1999 AT 13:30
74	02/03/1999		TRIAL HEARING SCHEDULED FOR 04/26/1999 AT 09:00
73	02/02/1999		TRIAL HEARING SCHEDULED FOR 02/03/1999 AT 09:00
72	02/01/1999		TRIAL HEARING SCHEDULED FOR 02/02/1999 AT 09:00
71	01/14/1999		REPORT RE: STATUS SET FOR 01/21/1999 AT 09:01
67	12/31/1998		REPORT RE: RULE TO SHOW CAUSE SET FOR 01/14/1999 AT 09:00
66	12/17/1998		REPORT RE: RULE TO SHOW CAUSE SET FOR 12/31/1998 AT 09:01
64	12/10/1998		MEMORANDUM:- PRETRIAL SERVICES
63	12/04/1998		DEPOSITION OF: OFFICER COLLIER
61	12/03/1998		REPORT RE: RULE TO SHOW CAUSE SET FOR 12/17/1998 AT 09:00
60	11/25/1998		TRIAL HEARING SCHEDULED FOR 02/01/1999 AT 09:00 TRIAL HEARING RESET
59	11/25/1998		MOTION FOR CONTINUANCE FILED 11/25/1998 SET FOR 11/25/1998 AT 15:50 GRANTED PROS
58	11/25/1998		REPORT RE: PLEA SET FOR 01/27/1999 AT 10:00
57	11/25/1998		MOTION FOR RULE TO SHOW CAUSE FILED 12/03/1998 SET FOR 12/03/1998 AT 11:42 GRANTED
56	11/24/1998		NOTICE OF HEARING DECEMBER 3, 1998
54	11/24/1998		NOTICE OF HEARING DECEMBER 3, 1998
52	11/24/1998		NOTICE OF HEARING DECEMBER 3, 1998
48	11/19/1998		MEMO FROM STATE ATTORNEY / PUBLIC DEFENDER
47	11/05/1998		SUBPOENA FOR DEPOSITION
45	10/09/1998		NOTICE OF TAKING DEPOSITION
43	09/22/1998		RETURN - SUBPOENA FOR DEPOSITION
42	10/28/1998		NOTICE OF TAKING DEPOSITION
41	10/28/1998		DEFENSE ATTY PDEF/CHARLES, KELLY
40	10/22/1998		NOTICE OF HEARING 10-27-98
38	10/21/1998		TRIAL PROS. ASSIGNED: ORTEGA, OMAR
37	10/20/1998		MOTION TO COMPEL STATE TO SUPPLY WITNESS NM/ADR FILED 10/27/1998 SET FOR 10/27/1998 AT 12:06 GRANTED
36	09/23/1998		MEMORANDUM:- PRETRIAL SERVICE PROGRAM
35	09/16/1998		NOTICE OF TAKING DEPOSITION
32	09/10/1998		ORDER TO SHOW CAUSE - RETURN JEAN ROCHNER
30	09/03/1998		REPORT RE: RULE TO SHOW CAUSE SET FOR 09/17/1998 AT 09:01
29	09/02/1998		MOTION FOR CONTINUANCE FILED 00/00/2000 SET FOR 09/02/1998 AT 09:00 GRANTED DEFS
28	09/02/1998		REPORT RE: PLEA SET FOR 11/25/1998 AT 10:00
27	09/02/1998		TRIAL HEARING SCHEDULED FOR 11/30/1998 AT 09:00 TRIAL HEARING RESET
26	08/31/1998		MOTION FOR RULE TO SHOW CAUSE FILED 09/03/1998 SET FOR 09/03/1998 AT 14:01 GRANTED
25	08/28/1998		MEMO FROM STATE ATTORNEY / PUBLIC DEFENDER
24	08/07/1998		SUBPOENA FOR DEPOSITION 02
23	08/05/1998		NOTICE OF TAKING DEPOSITION 2
20	07/16/1998		MEMO FROM STATE ATTORNEY / PUBLIC DEFENDER
19	07/07/1998		NOTICE OF TAKING DEPOSITION 2
18	06/29/1998		DEFENSE ATTY PDEF/PUBLIC DEFENDERS APPOINTMENT, I
17	06/24/1998		DISCOVERY RESP: STATE'S DEMAND FOR DISCOVERY & DEMAND FOR AL

Din	Date	Book/Page	Docket
16	06/24/1998		ORDER ADJUDGING DEFENDANT INDIGENT AND APPOINTING PUB. DEFN
15	06/24/1998		FINANCIAL AFFIDAVIT TO DETERMINE PUBLIC DEFENDER ELIGIBILITY
14	06/24/1998		TRIAL PROS. ASSIGNED: PISANO, LINDA
13	06/24/1998		MOTION FOR PRETRIAL RELEASE FILED 06/24/1998 SET FOR 06/24/1998 AT 11:52 GRANTED
12	06/24/1998		REPORT RE: PLEA SET FOR 08/02/1998 AT 10:00
11	06/24/1998		TRIAL HEARING SCHEDULED FOR 09/08/1998 AT 09:00
10	06/24/1998		CDCR M/ 790.22(B) HRGARW/POSN/M NOR DISP/ -MODIFIED/REMOVED
9	06/24/1998		CDCR F/ 790.01(2) CCF BEF 7/1/23 DISP/ -MODIFIED/REMOVED
8	06/24/1998		CDCR F/ 790.115(2)(B)(C) FA/VEA/POSN/SCHL DISP/ -MODIFIED/REMOVED
7	06/03/1998		ARRAIGNMENT HEARING SCHEDULED FOR 06/24/1998 AT 09:00
6	06/03/1998		TRANSFERRED FROM JUVENILE COURT-CASE # J98004074A
5	06/03/1998		DETENTION STATUS: CUSTODY RELEASE
4	06/03/1998		INFORMATION FILED

Case Information

Court Case No: F98018641 [redacted]

Warrant Type:

Stay Due Date: 00/00/0000

File Section: F010

Arresting Agency: MIAMI DADE SCHOOLS POLI

State Case No: 131998CF018641000 1XX

Date Filed: 06/03/1998

Assessment Amount: 0

Judge: GAMEZ CARLOS HUMBERTO

File Location: RECORD CENTER

Arrest Date: 04/02/1998

Defendant Name: CARELLUS, LUKE HERARD

Date Closed: 06/03/1998

Balance Due: 0

Defense Attorney: PUBLIC DEFENDERS APPOINTMENT, JUVEN

Box Number: 0550

Hearing

No Hearings Found

Charges

Seq No.	Charge	Charge Type	Disposition
1	FA/WEA/POSN/SCHL	FELONY	TRANSF TO OTHER CASE
2	CCF BEF 7/1/23	FELONY	TRANSF TO OTHER CASE
3	FIREARM/POSN/MINOR	MISDEMEANOR	TRANSF TO OTHER CASE

Dockets

Din	Date	Book/Page	Docket
6	06/24/1998		TRIAL PROS. ASSIGNED: ASA. DIVISION

Case Information

Court Case No: M00030120
[redacted]
Warrant Type:
Stay Due Date: 07/03/2001
File Section: M008
Arresting Agency: MIAMI DADE SHERIFF

State Case No: 132000CC03012000001XX
Date Filed: 06/03/2000
Assessment Amount: 226
Judge: NELSON, JULIE HARRIS
File Location: DESTROYED
Arrest Date: 06/02/2000

Defendant Name: CARELUS, LUKE HERARO
Date Closed: 09/04/2003
Balance Due: 90
Defense Attorney:
Bar Number:

Hearing

No Hearings Found

Charges

Seq No.	Charge	Charge Type	Disposition
1	DISORDERLY CONDUCT	COUNTY ORDINANCE	WH ADJ W/FINE & COST
2	TRESPASS	COUNTY ORDINANCE	DISMISSED

Dockets

Din	Date	Book/Page	Docket
30	11/29/2011		PAYMENT PLAN REMOVED ON 11/29/2011
29	09/05/2007		COLLECTIONS AGENCY STATUS NEW TO LINEBARGER
28	01/09/2004		NOTICE OF FAILURE TO PAY SENT
27	09/16/2003	021591/03089	ORDER: TO VACATE JUDGMENT & SENTENCE GRANTED BY JUDGE ANA M.PANDO
24	09/04/2003		MOTION TO/FOR: VACATE JUDG/SENT
23	09/04/2003		PAYMENT PLAN
22	09/04/2003		STAY GRANTED - DUE 01/06/2004
21	09/04/2003		ORDER: VACATE JUDGMENT AND SENTENCE
20	09/04/2003		CLOSING JUDGE PANDO, ANA MARIA
19	06/03/2000		00002 C/ 21-81(A) TRESPASS GB 8 DISP/CONV W/CTS -MODIFIED/REMOVED
18	06/03/2000		00001 C/ 21-31.1(A) DISORDERLY CONDUCT GB 8 DISP/CONV/CTS/FINE/COST - MODIFIED/REMOVED
17	06/03/2000		00002 C/ 21-81(A) TRESPASS GB 8 DISP/CONV W/CTS -MODIFIED/REMOVED
16	06/03/2000		00001 C/ 21-31.1(A) DISORDERLY CONDUCT GB 8 DISP/CONV/CTS/FINE/COST - MODIFIED/REMOVED
15	06/03/2000		CASE CLOSED
13	08/20/2003		MOTION TO VACATE JUDGMENT AND SENTENCE FILED 07/25/2003 SET FOR 09/04/2003 AT 13:52 GRANTED
12	08/15/2003		JUDGE PANDO, ANA MARIA ASSIGNED-SECTION M008
11	07/25/2003		MOTION TO/FOR: VACATE JUDG/SENT
10	03/30/2001		COURT FEE PAYMENT 136.00 RECEIPT # 0693393
9	03/30/2001		PAYMENT IN FULL
7	03/07/2001		ALTERNATE JUDGE SECTION-M, COLLECTIONS
6	03/07/2001		REPORT RE: MANDATORY HEARING SET FOR 03/30/2001 AT 10:00
5	06/15/2000	019153/03838	JUDGMENT AND SENTENCE
4	06/03/2000		STAY GRANTED - DUE 09/03/2000
3	06/03/2000		MEMORANDUM OF COSTS AMOUNT 136.00
1	06/03/2000		CLOSING JUDGE KREEGER, JUDITH L

Case Information

Court Case No: M09066792
[redacted]
Warrant Type:
Stay Due Date: 05/13/2010

File Section: M003
Arresting Agency: MIAMI

State Case No: 132009MM0667920001XX
Date Filed: 11/13/2009
Assessment Amount: 438
Judge: ROHAIDY, JOSE FRANCISCO

File Location: DESTROYED
Arrest Date: 11/12/2009

Defendant Name: CARELUS, LUKE HERARD
Date Closed: 11/13/2009
Balance Due: 0
Defense Attorney: PUBLIC DEFENDER APPOINTMENT, ASSIGN
Box Number:

Hearing

No Hearings Found

Charges

Seq No.	Charge	Charge Type	Disposition
1	RESIST OFF W/O VIOL	MISDEMEANOR	WH ADJ W/FINE & COST

Dockets

Din	Date	Book/Page	Docket
15	03/26/2024		MOTION TO VACATE JUDGMENT AND SENTENCE FILED 03/28/2024 ORAL SET FOR 03/28/2024 AT 08:30 NO RULING
13	02/23/2024		MOTION TO VACATE JUDGMENT AND SENTENCE FILED 02/06/2024 SET FOR 03/11/2024 AT 08:30 NO RULING
11	02/06/2024		COURT FEE PAYMENT 438.00 RECEIPT # 2530008
10	02/06/2024		COLLECTIONS AGENCY STATUS DELETED FROM LINEBARGER DEFENDANT PAYING IN FULL (BGLASS)
8	08/17/2010		COLLECTIONS AGENCY STATUS NEW TO LINEBARGER
7	05/17/2010		NOTICE OF FAILURE TO PAY SENT
6	11/13/2009		AFFIDAVIT FOR INDIGENT STATUS - INDIGENT
4	11/13/2009		STAY GRANTED - DUE 05/13/2010
2	11/13/2009		FIRST APPEARANCE/BAIL ARRAIGNMENT - A.M.
1	11/13/2009		CLOSING JUDGE DEEHL, ROBERT M

IN THE CIRCUIT AND COUNTY COURTS OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA

IN AND FOR MIAMI-DADE COUNTY

I, HARVEY RUVIN, CLERK OF THE CIRCUIT AND COUNTY COURT OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA, IN AND FOR MIAMI-DADE COUNTY, DO HEREBY CERTIFY THAT A DILIGENT EXAMINATION OF THE FELONY, MISDEMEANOR AND NON CRIMINAL VIOLATION FILES AND RECORDS IN MY OFFICE REGARDING:

NAME: CARELUS, LUKE HERARO
DATE OF BIRTH: [redacted]
RACE: BLACK SEX: MALE
YEARS RESEARCHED: 1990 - 2012

INDICATES THE FOLLOWING:

CASE NUMBER	CITATION/ ARREST / FILE DATE	CHARGES	DISPOSITION	DISPO DATE
M09066792	11/12/2009	RESIST OFF W/O VIOL	WH ADJ W/FINE & CO	11/13/2009
M00030120	06/02/2000	DISORDERLY CONDUCT	WH ADJ W/FINE & CO	09/04/2003
		TRESPASS	DISMISSED	09/04/2003
F98018641A	04/02/1998	FA/WEA/POSN/SCHL	WH ADJ-PROB SP CON	05/17/1999
		CONCEALED F/A /CARRY	WH ADJ-PROB SP CON	05/17/1999
		FIREARM/POSN/MINOR	WH ADJ-PROB SP CON	05/17/1999
F98018641	04/02/1998	FA/WEA/POSN/SCHL	TRANSF TO OTHER CA	06/03/1998
		CONCEALED F/A /CARRY	TRANSF TO OTHER CA	06/03/1998
		FIREARM/POSN/MINOR	TRANSF TO OTHER CA	06/03/1998
M10054862	11/02/2010	BUSINESS W/O LIC	NOLLE PROS	11/03/2010
		VENDING/RESTRICTIONS	NOLLE PROS	11/03/2010

PURSUANT TO FLORIDA RULES OF COURT (RULE 2.075), RETENTION OF COURT RECORDS, THE REQUIREMENT FOR RETAINING MISDEMEANOR CASES IS 5 YEARS, AND FELONY CASES (NOT ADJUDICATED GUILTY) IS 10 YEARS. THEREFORE, THE ABOVE FILE(S) IS/ARE UNAVAILABLE IF ANY OF THEM HAVE AN APPLICABLE DISPOSITION DATE.

WITNESS MY HAND AND THE SEAL OF THE COURT AT MIAMI, MIAMI-DADE COUNTY FLORIDA, THIS 02 DAY OF MARCH, 2012.



PAGE: 001

HARVEY RUVIN, CLERK
CIRCUIT AND COUNTY COURTS
IN AND FOR MIAMI-DADE COUNTY, FLORIDA

BY: _____ 2082
DEPUTY CLERK

Clerk of the Circuit Court
Judicial Circuit

IN THE CIRCUIT AND COUNTY COURTS OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA

IN AND FOR MIAMI-DADE COUNTY

I, HARVEY RUVIN, CLERK OF THE CIRCUIT AND COUNTY COURT OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA, IN AND FOR MIAMI-DADE COUNTY, DO HEREBY CERTIFY THAT A DILIGENT EXAMINATION OF THE MISDEMEANOR FILES AND RECORDS IN MY OFFICE REGARDING:

NAME: CARELOS, LUKE HERARO
DATE OF BIRTH: [redacted]
RACE: BLACK SEX: MALE
YEARS RESEARCHED: 2005 - 2005

INDICATES THE FOLLOWING:

CASE NUMBER	CITATION/ ARREST / FILE DATE	CHARGES	DISPOSITION	DISPO DATE
M05036046	07/01/2005	GAMES OF CHANCE	WH ADJ W/FINE & CO	08/01/2006

PURSUANT TO FLORIDA RULES OF COURT (RULE 2.075), RETENTION OF COURT RECORDS, THE REQUIREMENT FOR RETAINING MISDEMEANOR CASES IS 5 YEARS, AND FELONY CASES (NOT ADJUDICATED GUILTY) IS 10 YEARS. THEREFORE, THE ABOVE FILE(S) IS/ARE UNAVAILABLE IF ANY OF THEM HAVE AN APPLICABLE DISPOSITION DATE.

WITNESS MY HAND AND THE SEAL OF THE COURT AT MIAMI, MIAMI-DADE COUNTY, FLORIDA, THIS 02 DAY OF MARCH, 2012.

HARVEY RUVIN, CLERK
CIRCUIT AND COUNTY COURTS
IN AND FOR MIAMI-DADE COUNTY, FLORIDA

BY: A. Garcia 8082
DEPUTY CLERK

A. GARCIA
Deputy Clerk of the Circuit Court
of the Eleventh Judicial Circuit
of Florida, in and for Dade County

PAGE: 001

5/23/13 1300395SMMA

COUNTY COURT DISPOSITION ORDER IN AND FOR BROWARD COUNTY, FLORIDA WC/02/20/2013/ S:\SAM\Arraignment\02206\2013

DATE: 5/23/13 CASE NO. ~~13000177MM10A~~ 1300395SMMA SID NO. _____ BCCN NO. _____

State of Florida VS. Carelus, Luke H

Cash Bond /Surety 3178735 Amount $ 250 Estreated _____ Vacated _____ Return to Dep. _____

Cash Bond /Surety _____ Amount $ _____ Estreated _____ Vacated _____ Return to Dep. _____

- [ ] Magistrate
- [ ] Arraignment
- [X] Change of Plea
- [ ] Trial by Jury
- [ ] Trial by Court
- [ ] First VOP
- [ ] Final VOP
- [ ] Admits/ Denies Allegations
- [ ] Guilty
- [X] No Contest
- [ ] Convicted by Jury
- [ ] Convicted by Court
- [ ] Acquitted by Jury
- [ ] Acquitted by Court
- [ ] Adj. Guilty
- [X] Adj. Withheld
- [ ] Dismissed _____
- [ ] Nolle Prosequi _____
- [ ] No Information

CHARGES: 1 Trespass Other Struct/Convey

SENTENCE:
COUNT(S): _____ Reporting/Administrative Probation: _____ months with special conditions

- [ ] DUI School Level _____
- [ ] License suspended _____
- [ ] _____ days immobilization by: _____
- [ ] Random breath / urine analysis at defendant' sexpense
- [ ] Pay all outstanding fines/costs on Driver's License Record
- [ ] Time served _____ days on Count (s)
- [ ] _____ days Broward County Jail with credit for _____ days time served
- [ ] No contact directly or indirectly with victim(s) or victim's family or others listed below
- [ ] All fines / costs are separate and apart from probation
- [ ] All fines/ costs imposed are a condition of probation on Count(s): 1 [ ] 2 [ ] 3 [ ] 4 [ ] 5 [ ] 6 [ ]
- [ ] All special condition of probation must be met by the _____ month of probation _____
- [ ] DHSMV is directed to revoke defendant's license for 2 years pursuant to F.S. 322.055
- [ ] Other: No Return to Burglarized Premises
- [ ] _____ hours community service
- [ ] No alcohol or intoxicants while on probation
- [ ] _____ AA / NA meeting(s) per week
- [ ] DDS _____ hours
- [ ] Shoplifters Program _____
- [ ] PTE
- [ ] May work off fine/costs at $ _____ per hour
- [ ] May buy out community service at $ _____ per hour
- [ ] Evaluation/ treatment and therapy, if necessary
- [ ] Anger Management
- [ ] Interlock Device
- [ ] SSATE
- [ ] $ _____ COS / service imposed
- [ ] Partial payments accepted
- [ ] Fines / cost converted to civil lien / judgment
- [ ] Probation Revoked / Terminated / Reinstated
- [ ] Early termination of probation upon completion of all special conditions

FINES/COSTS ASSESSED: PER CASE: [ ] $50 VC [ ] $50 PD APP FEE [ ] $50 SA FEE [ ] $40 CONTEST FEE [ ] $10 MO FILING [ ] $1000 FA/FO COUNTY SHINJ

Count	Fine	CC %	CJC	SNI $20	AC $65	CSTF $20	CFF $30	OTF $100	CDC $15	EMTF	TC $2	DR $5	ACC R.S. $3	ACJE $2.50	Art V $10	Admin Fee $65	FAM	Total

PAY INVESTIGATIVE COSTS [ ] Crime Lab [ ] Expert Witnesses [ ] Supp. Cost of Prosecution [ ] Toxicology [ ] Law Enforcement

TOTAL AMOUNT DUE OF $ _____ MUST BE PAID BY DEFER DATE _____

Payment methods: SEE BACK for METHODS of PAYMENTS

Agency #1 _____

Agency #2 _____

JUDGE: _____ DEPUTY CLERK: _____

STATE OF FLORIDA

Recevied via email Fri.. 10/9/2026 2:05pm

Luke Heraro Carelus Statement of Arrest History

Charge: Misdemeanor Games of Chance

Date: 07/01/2005

Disposition: WH ADJ W/Fine & CO

Closed: 08/01/2006

This charge is a wrong place at the wrong time. I was working for Miami Dade County for maybe 3-4 years and I got off from work and went to my old neighborhood to hang out in the City of Miami before heading home. I upon my arrival, I seen friends from the area gather around and talk about sports and crack jokes on each other. All of a sudden, police officers pulled up and arrested almost everyone. It was alleged to be said that I and the group were rolling dice and that was not true.

The arresting officers seen dice on the ground and presumed we were gambling. I was in business attire with hard bottom shoes and was placed in the back of the police car and taken away to the jail. I did not hangout in that area anymore after that charge I was charged with.

Luke H. Carelus

6-5-24

Received via email Fri., 10/9/2026 2:05pm

OFFENSE-INCIDENT REPORT

MIAMI-DADE POLICE DEPARTMENT

Agency Code: 30 | Gang Related: | Juvenile In Report: | Juvenile Warn/Dismiss: | 1. Original 2. Supplement: 1

Date of Supplement: | Agency Report Number: 33754 D

EVENT DATA

Original Day Reported: FRI | Date: 07/01/2005 | Time (mil): 20:15 | Time Dispatched (mil): 2015 | Time Arrived (mil): 2015 | Time Completed (mil): 2125

Incident Type: 1. Felony 2. Traffic Felony | 3. Misdemeanor 4. Traffic Misdemeanor | 5. Ordinance 6. Other

Incident: Day From: FRI | Date: 07/01/05 | Time (mil): 2015 | To: Day | Date | Time (mil)

	Type	Description	A-Attempted C-Committed	Statute/Ordinance Number	Misc. Entry
OFFMIS # 1	3	GAMBLING	C	849.11	39.00
OFFMIS # 2					

Incident Location (Street, Apt. Number): 130 NW 55ST | City: MIAMI | 33127 | Date: 07/01/2005 | Time: 2015 | Zone: 124

Business Name/Area Identifier: KOLIDOSCO | Forced Entry: 0. N/A 1. Yes 2. No: 0 | Occupancy: 0. N/A 1. Occupied 2. Unoccupied 3. Abandoned: 0

Location Type
01. Residence-Single 02. Apartment/Condo 03. Residence-Other 04. Hotel/Motel 05. Convenience Store 06. Gas Station 07. Liquor Sales 08. Bar/Nightclub 09. Supermarket 10. Dept/Discount Store 11. Specialty Store 12. Drug Store/Hospital 13. Bank/Financial Inst. 14. Commercial/Office Bldg. 15. Industrial/Mfg. 16. Storage 17. Gov't/Public Bldg. 18. School/University 19. Jail/Prison 20. Religious Bldg. 21. Airport 22. Bus/Rail Terminal 23. Construction Site 24. Other Structure 25. Parking Lot/Garage 26. Highway/Roadway 27. Park/Woodlands/Field 28. Lake/Waterway 29. Motor Vehicle 30. Other Mobile 99. Other: 61

# OFFMIS	# Victims	# Offenders	# Prem. Ent.	# Veh. Stolen	Type Weapon
01	01	01	000	000	00. N/A 01. Handgun 02. Rifle 03. Shotgun 04. Firearm 05. Knife/Cutting Instrument 06. Blunt Object 07. Hands/Fist/Feet 08. Poison 09. Explosives 10. Fire/Incendiary 11. Threat/Intimidation 12. Simulated Weapon 13. Drugs 88. Unknown 99. Other: 00

VICTIM/WITNESS CODES

V/W Code: V-Victim P-Proprietor W-Witness Z-Other C-Reporting Person

Victim Type: 0. N/A 1. Juvenile 2. L.E. Officer 3. Adult 4. Business 5. Government 6. Church 9. Other

Race: N-N/A W-White B-Black I-American Indian O-Oriental/Asian U-Unknown

Sex: N-N/A M-Male F-Female U-Unknown

Residence Type: 0. N/A 1. City 2. County 3. Florida 4. Out-of-State

Residence Status: 0. N/A 1. Full Year 2. Part Year 3. Non-Resident

Extent of Injury: 0. None 1. Minor 2. Serious 3. Fatal

Injury Type: 00. N/A 01. Gunshot 02. Stabbed 03. Laceration 04. Uncon scious 05. Poss. Broken Bones 06. Poss. Internal Injury 07. Loss of Teeth 08. Burns 09. Abrasions/Bruises 99. Other

Victim Relationship To Offender: 00. N/A 01. Undetermined 02. Stranger 03. Spouse 04. Ex-Spouse 05. Co-Habitant 06. Parent 07. Brother/Sister 08. Child 09. Step-Parent 10. Step-Child 11. In-Law 12. Other Family 13. Student 14. Teacher 15. Child of Boy/Girl Friend 16. Boy/Girl Friend 17. Friend 18. Neighbor 19. Sitter/Day Care 20. Employee 21. Employer 22. Landlord/Tenant 23. Acquaintance 99. Other Known

VICTIM/WITNESS

OFFMIS Indicator	V/W Code	#	V. Type	Name (Last, First, Middle or Business)	Residence Phone
1. 01 2. Both 2. 02	W	01	5	STATE OF FLA.	( )

Address (Street, Apt. Number): | City: | State: | Zip: | Business Phone: ( )

Other Contact Info. (Time Available, Interpreter, etc.): | Synopsis of Involvement:

# V/W Code V, W, or P	Race	Sex	Date of Birth or Age	Res. Type	Res. Status	Extent of Injury	Injury Type(s)	Relationship	Ethnicity	Will victim prefer charges? Yes ☐ No ☐

MIAMI-DADE POLICE DEPARTMENT RECORDS BUREAU DISCOVERY

OFFMIS Indicator	V/W Code	#	V. Type	Name (Last, First, Middle or Business)	Residence Phone
1. 01 2. Both 2. 02					( )

Address (Street, Apt. Number): | City: | State: | Zip: | Business Phone: ( )

Other Contact Info. (Time Available, Interpreter, etc.): | Synopsis of Involvement:

# V/W Code V, W, or P	Race	Sex	Date of Birth or Age	Res. Type	Res. Status	Extent of Injury	Injury Type(s)	Relationship	Ethnicity	Will victim prefer charges? Yes ☐ No ☐

SUSPECT

OFFMIS Indicator	Suspect Code	Code #	Juvenile	Name-Last, First, Middle
1. 01 2. Both 2. 02	S-Suspect A-Arrestee E-Escapee Z-Other	A01		CARELUS, LUKE HOWARD

Maiden Name: | Nickname/Street Name: | Place of Birth: MIAMI | Residence Phone: /

Last Known Address (Street, Apt. Number): 291 NW 77ST #116 | City: MIAMI | State: FL | Zip: 33169 | Business Phone: ( )

Occupation: [redacted] | Employer/School: | Address: | [redacted]

Immigration and Naturalization Number: | Other I.D. Number: | DEPS Number (Arrested): | FCIC/NCIC:

Clothing (Describe): WHITE T-SHIRT / BLUE JEANS | Scars/Marks/Tattoos (Location/Complete): N.V. | Hair Style: DREADS

Race	Sex	DOB	Height	Weight	Eye Color	Hair Color	Hair Length
B	M	[redacted]	5'2"	180	BRN	BLK	LONG

Complexion: DARK | Build: THIN | Facial Hair: | Teeth: | Speech/Voice: | Special Identifiers:

NARRATIVE

A01 WAS OBSERVED GAMBLING "THROWING DICE" FOR MONETARY GAIN IN FRONT OF LISTED LOCATION. A01 WHILE GAMBLING HAD MONEY IN HAND AND DICE. A01 ARRESTED AND RELEASED TO P.T.A. DICE IMPOUNDED AT ST.#2

ADMINISTRATIVE

Person/Unit Notified: | Time: | Related Report Number(s):

Officer Reporting: C.G. ARACIL | I.D. Number: 456117 | Unit: L133 | Agency Code: 30

Officer Reviewing (If Applicable): | I.D. Number: | Routed To: | Referred To: (INV) | Assigned: SEGADA | By: | 7/13/05

Case Status: | Clearance Type: 1. Arrest 2. Exceptional 3. Unfounded 4. Open Pend. | 1 | Adult/Juvenile: A | Date Cleared: 07/01/2005 | Jail Status: | Number Arrested: 1

Exception Type: 1. Extradition Declined 2. Arrest on Primary Offense Secondary Offense Without Prosecution 3. Death of Offender 4. Victim Refused to Cooperate 5. Prosecution Declined 6. Juvenile/No Custody | OBTS Number: | Page 1 of 2

THIS IS A REAL COPY OF AN ORIGINAL DOCUMENT ON FILE WITH THE MIAMI-DADE POLICE DEPARTMENT MIAMI, FLORIDA 33127

SLMDR-1 Rev. 1/05

Received via email Fri., 10/9/2026 2:05pm

Agency Code: 30

PROPERTY REPORT
MIAMI-DADE POLICE DEPARTMENT

Nature of Supplement | Agency Report Number: 337549D | Supplement: 1

Original Date Reported: 07/18/2005 | Primary Offense Description: GRAND THEFT | Victim's Name: STATE OF FLA.

THEFT CODES

Type Theft: 01 N/A; 02 Burglary; 03 Robbery; 04 Pocket Picking; 05 Purse Snatching; 06 Shoplifting; 07 Embezzlement; 08 From Coin Oper. Machine; 09 From Motor Vehicle; 10 From Vehicle Accessories; 11 By Computer; 12 Bicycle; 99 Other: 00

Person Code: V-Victim; R-Reporting; S-Suspect; P-Proprietor; A-Arrestee; Z-Other

Status Code: 1 Stolen; 2 Recovered; 3 Stolen and Recovered by Other Jurisdiction; 4 Lost; 5 Found; 7 Safekeeping; 8 Evidence/Seized; 9 Other

Damage Code: 0 N/A; 1 Arson; 2 Criminal Mischief; 3 During other Offense; 4 Other

Property Type: A. Auto Accessory/Part; B. Bicycle; C. Camera/Photo Equipment; D. Drug; E. Explosive/Tool; F. Food/Liquor/Consumable; G. Gun; H. Household Appliance/Goods; I. Photo/Optical; J. Jewelry/Precious Metal; K. Clothing/Fur; L. Livestock; M. Musical Instrument; N. Construction Machinery; O. Office Equipment; P. Art/Collection; Q. Computer Equipment; R. Radio/Comm; S. Sports Equipment; T. Tool/Hdwe; U. Currency/Negotiable; V. Credit Card/Non-Negotiable; W. Boat Motor; X. Structure; Y. Farm Equipment; Z. Miscellaneous

PROPERTY

Person Code #	Item #	Status	Damage	Property Type	Quantity	Name	Brand	Model Name/Number
A-101	1	8	5	2	6	DICE		

Serial Number | Owner Applied Number | Description (Size, Color, Caliber, Barrel Length, Etc.) | FCIC/NCIC

Value: $ 10 00 | Value Recovered: $ | Date Recovered

PROPERTY

Person Code #	Item #	Status	Damage	Property Type	Quantity	Name	Brand	Model Name/Number

Serial Number | Owner Applied Number | Description (Size, Color, Caliber, Barrel Length, Etc.) | FCIC/NCIC

Value: $ | Value Recovered: $ | Date Recovered

PROPERTY

Person Code #	Item #	Status	Damage	Property Type	Quantity	Name	Brand	Model Name/Number

Serial Number | Owner Applied Number | Description (Size, Color, Caliber, Barrel Length, Etc.) | FCIC/NCIC

Value: $ | Value Recovered: $ | Date Recovered

PROPERTY

Person Code #	Item #	Status	Damage	Property Type	Quantity	Name	Brand	Model Name/Number

Serial Number | Owner Applied Number | Description (Size, Color, Caliber, Barrel Length, Etc.) | FCIC/NCIC

Value: $ | Value Recovered: $ | Date Recovered

PROPERTY

Person Code #	Item #	Status	Damage	Property Type	Quantity	Name	Brand	Model Name/Number

Serial Number | Owner Applied Number | Description (Size, Color, Caliber, Barrel Length, Etc.) | FCIC/NCIC

Value: $ | Value Recovered: $ | Date Recovered

TOTALS

Property Stolen: $ | Change in Property Stolen Value: $

Property Recovered: $ | Change in Property Recovered Value: $

DRUGS CODES

Activity: P. Possess; S. Sell; G. Grow; T. Traffic; M. Smuggle; D. Deliver; E. Use; O. Dispense/Distribute; M. Manufacture/Produce/Cultivate; Z. Other

Type: A. Amphetamine; B. Barbiturate; C. Cocaine; E. Heroin; H. Hallucinogen; M. Marijuana; O. Opium/Derivative; P. Paraphernalia/Equipment; S. Synthetic; U. Unknown; Z. Other

Unit: 1. Gram; 2. Milligram; 3. Kilogram; 4. Ounce; 5. Pound; 6. Pint; 7. Liter; 8. Gallon; 9. Dose Units

DRUGS

Activity	Type	Description	Quantity	Unit	Estimated Street Value
					$ .00
					$ .00
					$ .00

PROPERTY DISPOSITION/NARRATIVE

ON FILE AT MIAMI-DADE POLICE DEPARTMENT

MIAMI-DADE RECORDS BUREAU

[signature] 6/17/24

ADMINISTRATIVE

Officer(s) Reporting: C. GANCIA | ID Number/Badge Code: 451117 | Unit: C-03 | Date: 07/01/05

Officers Reviewing (if applicable) | ID Number | Routed To | Returned To | Assigned To | By | Date

Page 2 of 2

Recevied via email Fri. 10/9/2026 2:05pm

Luke Heraro Carelus Statement of Arrest History

Charge: Misdemeanor Games of Chance

Date: 07/01/2005

Disposition: WH ADJ W/Fine & CO

Closed: 08/01/2006

This charge is a wrong place at the wrong time. I was working for Miami Dade County for maybe 3-4 years and I got off from work and went to my old neighborhood to hang out in the City of Miami before heading home. I upon my arrival, I seen friends from the area gather around and talk about sports and crack jokes on each other. All of a sudden, police officers pulled up and arrested almost everyone. It was alleged to be said that I and the group were rolling dice and that was not true.

The arresting officers seen dice on the ground and presumed we were gambling. I was in business attire with hard bottom shoes and was placed in the back of the police car and taken away to the jail. I did not hangout in that area anymore after that charge I was charged with.

Luke H. Carelus
6-5-24

Recevied email Fri.. 10/9/2026 2:05pm

OFFENSE-INCIDENT REPORT

Agency Code: 30 | Gang Related | Juvenile In Report | Juvenile Warn/Release | 1. Original 2. Supplement: 1

MIAMI-DADE POLICE DEPARTMENT

Date of Supplement | Agency Report Number: 33754 D

EVENT DATA

Original Day Reported: FRI | Date: 07/01/2005 | Time (mil): 2015 | Time Dispatched (mil): 2015 | Time Arrived (mil): 2015 | Time Completed (mil): 2123

Incident Type: 1. Felony 2. Misdemeanor 3. Ordinance 4. Traffic 5. Other | Incident Day From: FRI | Date: 07/01/05 | Time (mil): 2015 | To: Day | Date | Time (mil)

OFFENSE #1: Type 3 | Description: GAMBLING | A-Attempted C-Completed: C | Statute/Ordinance Number: 849.08 H (1) | Number of Victims: 3700

OFFENSE #2: | | | | | | |

Incident Location (Street, Apt. Number): 1340 NW 55ST | City: MIAMI | 33054 | District: 10 | Grid: 0920 | Beat: 104 | Zone

Business Name/Area Identifier: LOS IDANCO | Forced Entry: 0. N/A 1. Yes 2. No | Occupancy: 0 | Occupied: 0. N/A 1. Occupied 2. Unoccupied 3. Abandoned | 0

Location Type
01. Residence-Single 02. Apartment/Condo 03. Residence-Other 04. Hotel/Motel 05. Convenience Store 06. Gas Station 07. Liquor Sales 08. Bar/Nightclub 09. Supermarket 10. Dept/Discount Store 11. Specialty Store 12. Drug Store/Hospital 13. Bank/Financial Inst. 14. Commercial/Office Bldg. 15. Industrial/Mfg. 16. Storage 17. Gov't/Public Bldg. 18. School/University 19. Jail/Prison 20. Religious Bldg. 21. Airport 22. Bus/Rail Terminal 23. Construction Site 24. Other Structure 25. Parking Lot/Garage 26. Highway/Roadway 27. Park/Woodlands/Field 28. Lake/Waterway 29. Motor Vehicle 30. Other Mobile 99. Other | 61

# OFFINC	# Victims	# Offenders	# Prem. Ent.	# Veh. Stolen	Type Weapon
01	01	01	000	000	00. N/A 01. Handgun 02. Rifle 03. Shotgun 04. Firearm 05. Knife/Cutting Instrument 06. Blunt Object 07. Hands/Fist/Feet 08. Explosives 10. Fire/Incendiary 11. Threat/Intimidation 12. Simulated Weapon 13. Drugs 98. Unknown 99. Other	00

CODES

V/W Code: V-Victim P-Proprietor W-Witness Z-Other C-Reporting Person

Victim Type: 0. N/A 1. Juvenile 2. L.E. Officer 3. Adult 4. Business 5. Government 6. Church 8. Other

Race: N-N/A W-White B-Black I-American Indian O-Oriental/Asian U-Unknown

Sex: N-N/A M-Male F-Female U-Unknown

Residence Type: 0. N/A 1. City 2. County 3. Florida 4. Out-of-State

Residence Status: 0. N/A 1. Full Year 2. Part Year 3. Non-Resident

Extent of Injury: 0. None 1. Minor 2. Serious 3. Fatal

Injury Type: 00. N/A 01. Gunshot 02. Stabbed 03. Laceration 04. Unconscious 05. Poss. Broken Bones 06. Burns 07. Loss of Teeth 08. Abrasions/Bruises 09. Poss. Internal Injury 99. Other

Victim Relationship To Offender: 00. N/A 01. Undetermined 02. Stranger 03. Spouse 04. Ex-Spouse 05. Co-Habitant 06. Parent 07. Brother/Sister 08. Child 09. Step-Parent 10. Step-Child 11. In-Law 12. Other Family 13. Student 14. Teacher 15. Child of Boy/Girl Friend 16. Boy/Girl Friend 17. Friend 18. Neighbor 19. Sitter/Day Care 20. Employee 21. Employer 22. Landlord/Tenant 23. Acquaintance 99. Other Known

VICTIM/WITNESS

OFF/INC Indicator: 1. #1 2. Both 3. #2 | V/W Code: V | # 01 | V. Type: 5 | Name (Last, First, Middle or Business): STATE OF FLA. | Residence Phone

Address (Street, Apt. Number) | City | State | Zip | Business Phone

Other Contact Info. (Time Available, Interpreter, etc.) | Synopsis of Involvement

# V/W Code V, W, or P	Race	Sex	Date of Birth or Age	Res. Type	Res. Status	Extent of Injury	Injury Type(s)	Relationship	Ethnicity	Will victim prefer charges? Yes ☐ No ☐

OFF/INC Indicator: 1. #1 2. Both 3. #2 | V/W Code | # | V. Type | Name (Last, First, Middle or Business) | Residence Phone

Address (Street, Apt. Number) | City | State | Zip | Business Phone

Other Contact Info. (Time Available, Interpreter, etc.) | Synopsis of Involvement

# V/W Code V, W, or P	Race	Sex	Date of Birth or Age	Res. Type	Res. Status	Extent of Injury	Injury Type(s)	Relationship	Ethnicity	Will victim prefer charges? Yes ☐ No ☐

SUSPECT

OFF/INC Indicator: 1. #1 2. Both 3. #2: 1 | Suspect Code: S-Suspect A-Arrestee | Escapee 3-Other | Code: A01 | # | Juvenile | Name (Last, First, Middle): CARELUS, LUKE HOWARD

Maiden Name | Nickname/Street Name | Place of Birth: MIAMI | Residence Phone

Last Known Address (Street, Apt. Number): 291 NW 77ST #116 | City: MIAMI | State: FL | Zip: 33169 | Business Phone

Occupation | Employer/School | Address | Social Security Number: [redacted]

[redacted] | Immigration and Naturalization Number | Other I.D. Number | OBTS Number (Arrested) | FCIC/NCIC

Clothing (Description): WHITE TANK TOP / BLUE JEANS | Scars/Marks/Tattoos (Location/Description): N.V.I | Hair Style: BRAIDS

Race	Sex	[redacted]	Height	Weight	Eye Color	Hair Color	Hair Length
B	M	[redacted]		180	BRN	BLK	LONG

Complexion: DARK | Build: THIN | Facial Hair | Teeth | Speech/Voice | Special Instructions

NARRATIVE

AOI WAS OBSERVED GAMBLING "THROWING DICE" FOR MONETARY GAIN IN FRONT OF LISTED LOCATION. AOI WHILE GAMBLING HAD MONEY IN HAND AND DICE. AOI ARRESTED AND ACCOMP TO P.T.A. DICE IMPOUNDED AT ST.#2

ADMINISTRATIVE

Person/Unit Notified | Time | Related Report Number(s)

Officer's Name: C.G. ARIAS | I.D. Number: 453117 | Unit: R-133 | Agency Code: 30

Officer Reviewing (If Different) | I.D. Number | Routed To | Reviewed By | Assigned To: (INFO) SEGUIA | By | Date: 07/13/05

Case Status | Clearance Type: 1. Arrest 2. Exceptional 3. Unfounded 4. Open Pend. | 1 | A-Adult J-Juvenile: A | Date Cleared: 07/01/2005 | Jail Status | Felony Arrests: 7

Exception Type: 1. Extradition Declined 2. Arrest on Primary Offense Secondary Offense Without Prosecution 3. Death of Offender 4. VW Refused to Cooperate 5. Prosecution Declined 6. Juvenile/No Custody

OBTS Number | Page 1 of 2

THIS IS A REDACTED COPY OF AN ORIGINAL DOCUMENT ON FILE WITH THE MIAMI-DADE POLICE DEPARTMENT MIAMI, FLORIDA 33172

MIAMI-DADE POLICE DEPARTMENT RECORDS BUREAU

82-82.09-1 Rev. 9/05

Received via email Fri., 10/9/2026 2:06pm

PROPERTY REPORT
MIAMI-DADE POLICE DEPARTMENT

Agency Code: 30 | Agency Report Number: 337549D | Supplement: 1

Date of Supplement:

Original Date Reported: 07/18/2005 | Primary Offense Description: GAMBLING | Victim ID Name: STATE OF FLA.

CRIME

Type Theft: 01. N/A; 02. Burglary; 03. Robbery; 04. Shoplifting; 05. Pocket Picking; 06. Purse Snatching; 07. Embezzlement; 08. From Coin Oper. Machine; 09. From Motor Vehicle; 10. From Vehicle; 11. By Employee; 12. Other; 13. Other: 00

Person Code: V-Victim; S-Suspect; P-Proprietor; A-Amputee; Z-Other

Status Code: 1. Stolen; 2. Recovered; 3. Stolen and Recovered; 4. Recovered for Other Jurisdiction; 5. Lost; 6. Found; 7. Embezzlement; 8. Evidence/Seized; 9. Other

Damage Code: 0. N/A; 1. Arson; 2. Criminal Mischief; 3. During other Offense; 9. Other

Property Type: A. Auto Accessory/Parts; B. Bicycle; C. Camera/Photo Equipment; D. Drug; E. Equipment/Tool; F. Food/Liquor/Consumable; G. Gun; H. Household Appliance/Goods; I. Rec/Other; J. Jewelry/Precious Metal; K. Clothing/Fur; L. Livestock; M. Musical Instrument; N. Construction Machinery; O. Office Equipment; P. Art/Collection; Q. Computer Equipment; R. Radio/Stereo; S. Sports Equipment; T. Television/VCR; U. Currency/Negotiable; V. Credit Card/Non-Negotiable; W. Boat Motor; X. Structure; Y. Farm Equipment; Z. Miscellaneous

PROPERTY

Person Code #	Item #	Status	Damage	Property Type	Quantity	Name	Brand	Model Name/Number
A-01	1	8	5	2	6	DICE		

Serial Number | Owner Applied Number | Description (Size, Color, Caliber, Barrel Length, Etc.) | FOIC/HOIC

Value: $10.00 | Value Recovered | Date Recovered

PROPERTY

Person Code # | Item # | Status | Damage | Property Type | Quantity | Name | Brand | Model Name/Number

Serial Number | Owner Applied Number | Description (Size, Color, Caliber, Barrel Length, Etc.) | FOIC/HOIC

Value | Value Recovered | Date Recovered

PROPERTY

Person Code # | Item # | Status | Damage | Property Type | Quantity | Name | Brand | Model Name/Number

Serial Number | Owner Applied Number | Description (Size, Color, Caliber, Barrel Length, Etc.) | FOIC/HOIC

Value | Value Recovered | Date Recovered

PROPERTY

Person Code # | Item # | Status | Damage | Property Type | Quantity | Name | Brand | Model Name/Number

Serial Number | Owner Applied Number | Description (Size, Color, Caliber, Barrel Length, Etc.) | FOIC/HOIC

Value | Value Recovered | Date Recovered

PROPERTY

Person Code # | Item # | Status | Damage | Property Type | Quantity | Name | Brand | Model Name/Number

Serial Number | Owner Applied Number | Description (Size, Color, Caliber, Barrel Length, Etc.) | FOIC/HOIC

Value | Value Recovered | Date Recovered

TOTALS

Property Stolen: $ | Change in Property Stolen Value: $

Property Recovered: $ | Change in Property Recovered Value: $

CODES

Activity: P. Possess; S. Sell; B. Buy; T. Traffic; N. Smuggle; D. Deliver; U. Use; I. Dispense/Distribute; M. Manufacture/Produce/Cultivate; Z. Other

Type: A. Amphetamine; B. Barbiturate; C. Cocaine; E. Heroin; H. Hallucinogen; M. Marijuana; O. Opium/Derivative; P. Paraphernalia/Equipment; S. Synthetic; U. Unknown; Z. Other

Unit: 1. Gram; 2. Kilogram; 3. Kilogram; 4. Ounce; 5. Pound; 6. Ton; 7. Liter; 8. Milliliter; 9. Dose Units/Item

DRUGS

Activity	Type	Description	Quantity	Unit	Estimated Street Value
					$ .00
					$ .00
					$ .00

PROPERTY DISTRIBUTION/NARRATIVE

ON FILE WITH THE MIAMI-DADE POLICE DEPARTMENT

M Tenkman 6/17/24

MIAMI-DADE RECORDS BUREAU

ADMINISTRATIVE

Officer(s) Reporting: C. Cancel | ID Number/Organization Code: 9361/117 | Unit: L-03 | Date: 07/21/05

Officer Reviewing (if applicable) | ID Number | Routed To | Referred To | Assigned To | By | Date

Page 2 of 2

82.02.06-4 Rev. 01/03

Recevied via email Fri.. 10/9/2026 2:05pm

Luke Heraro Carelus Statement of Arrest History

Charge: FA/WEA/POSN/SCHL CONCEALED F/A CARRY FIREARM/POSN/MINOR

Date: 04/02/1998

Disposition: WH AJ-PROB SP CON

Closed: 05/17/1999

I was involved in a fight at Miami Northwestern High school when I was 17 years old on 04/01/1998 around 7:30 am on school property. The incident occurred on 04/01/1998 and I was arrested on 04/02/1998 at my home residence in Miami, FL. This was the first time I was in any trouble with the law and I did not know what to do at that time. On the same day 04/01/98, I went back to the school around 10am with a few more friends and seen the student I had the fight with in the hallway and words were exchanged. The school security guard heard the commotion and escorted all of us out the building. I went home and I didn't tell anyone about the fight I had at school. The next day 04/02/1998, I was arrested at my home around 7:30am.

I went back to the school with the school police officer and assistant principal to give a statement regarding the fight that happened a day before. It was alleged that I had a gun and I told the police officer and assistant principal that I did not have a gun or ever owned a gun. I was then booked into juvenile detention center for processing. I spent 21 days in juvenile jail and was released on 04/23/1998. I was expelled from Miami Northwestern Senior High and was told that I needed to finish my education at Miami Douglas McArthur Senior High School North.

The case was on going and I attended court every time for over a year and finally and the result of the case was 12 month probation, anger management class, community service (100 hours), no court cost or fine. I complied with all the judge requirements.

This felony charge never prevented me from working for Miami Dade County, United Parcel Services, Gaming Industry and Owning a gun license or working for any other major company/corporation.

Luke H. Carelus

6-5-24

Recevied via email Fri.. 10/9/2026 2:05pm

COMPLAINT/ARREST AFFIDAVIT

OBTS Number | ☐ Felony ☐ Misdemeanor ☐ Traffic ☐ Juvenile ☐ Warrant | Jail ID 51830 | Police Case No. 319932-V

IDB No. | Agency Code | Municipal P.D. Det. ID No. | MDPD Records and ID No. 673363 | Court Case No. 98-18641A

DEFENDANT'S NAME: CARELUS, LUKE J [redacted] | Sex M | Race B | Ethnic | Height 69 | Weight 125 | Hair BLK

LOCAL ADDRESS: 1051 NW 46 ST | Street | City | State | Zip | Phone | Area

PERMANENT ADDRESS: SAME AS ABOVE | Street | City | State | Zip | Phone — | Address Source ☐ Verbal ☐ Wlan's I.D. ☐ Driver's License ☐ Other

BUSINESS ADDRESS: — | Street | City | State | Zip | Phone — | Occupation NONE | Place of Birth

DRIVER'S LICENSE NO. | State | Social Security No. | Scars, Tattoos, Unique Physical Features

Weapon Seized? Type ☐ Yes ☐ No | Arrest Date 06/04/98 | Arrest Time 11:15 ☐ A.M. ☐ P.M. | Arrest Location (include name of business) 1351 NW 12 ST (6-8) | GRID

Def. has Concealed Weapons Permit. PERMIT # W- | For Robbery, Burglary, T/A Viol: Suspected history of drug involvement? ☐ Yes ☐ Unk. | No. Cases Cleared | Influence of Drugs ☐ Yes ☐ No ☐ Unk. | Influence of Alcoh. ☐ Yes ☐ No ☐ Unk. | Citizenship | Resid Type: ☐ City ☐ State ☐ Out of State ☐ Florida

CO-DEFENDANTS | Last | First | Middle | DOB mo/day/yr | ☐ In Custody ☐ Felony ☐ Juvenile ☐ At Large ☐ Misdemeanor

1. — | 2.

CODE: DRUG ACTIVITY: S. Sell, B. Buy, T. Traffic, P. Possess, R. Smuggle, D. Deliver, E. Use, N. Dispense/Distribute, Z. Other, M. Manufacture/Produce/Cultivate | DRUG TYPE: N. N/A, A. Amphetamine, B. Barbiturate, C. Cocaine, E. Heroin, H. Hallucinogen, M. Marijuana, O. Opium/Deriv., P. Paraphernalia/Equipment, S. Synthetic, U. Unknown, Z. Other

SIGNAL: ☐ 100 ☐ 150 ☐ 200 ☐ 250 ☐ 300 ☐ 400

	CHARGES	Activity	Type	Counts	STATUTE	D.V.	CAPIAS	BW	FTA	VIOLATION OF SECT. OF THE CODE OF
1.	F/A W/A/Poss SCHL	N	N	1			98-18641A			
2.	C.C.F.	N	M	1			" "			
3.	F/Arm Poss MinorN	N	M	1			" "			
4.										

The undersigned certifies and swears that he has just and reasonable grounds to believe, and does believe that the above named Defendant On the 4th day of JUNE 19 98 At 11:15 (Time) ☐ A.M. ☐ P.M. 1351 NW 12 ST (Location, include name of business)

committed the following violation of law: Narrative: (Be specific)

THE DEF WAS ARRESTED IN OPEN COURT BY ORDER OF JUDGE CRESPO TO BE TO BE BOOKED AND RELEASE TO PTS

PTS ATTACHED

NO WARRANTS

THIS IS A TRUE COPY OF AN ORIGINAL DOCUMENT
OFFICE OF THE MIAMI-DADE POLICE DEPARTMENT
MIAMI, FLORIDA 33172

SIGNATURE | DATE 6/04/98 | PAGE 1 OF 1

Hold for Other Agency | Agency | Verified by | Officer's Name (Print) ARNOLD W | Officer's Signature | Department Name MDPD | Court ID Number/Loc. Code 1060

☐ HOLD FOR BOND HEARING. DO NOT BOND OUT (Officer Must Appear at Bond Hearing)

Sworn to and subscribed before me, the undersigned authority, this 4 day of JUNE 98

Deputy Clerk of the Court or Notary Public

☐ I understand that should I willfully fail to appear before the court as required by this notice to appear that I may be held in contempt of court and a warrant for my arrest shall be issued. Furthermore, I agree that notice concerning the time, date, and place of any hearings should be sent to the above address. I agree to notify the Clerk of the Court (Juvenile Court if a juvenile) in writing of any change in my address.

☐ You may not appear in court, but must follow the instructions on the reverse side hereof.

Signature of Defendant / Juvenile and Parent or Guardian

MIAMI-DADE POLICE DEPARTMENT RECORDS BUREAU

32.02.09-9 Rev. 1/96

MDPD RECORDS COPY

Recevied via email Fri. 10/9/2026 2:05pm

Transport Officer (s)_____/_____ ID#_____ Dept#_____ Taken To_____

Transport Officer (s)_____/_____ ID#_____ Dept#_____ Taken To_____

Transport Officer (s)_____/_____ ID#_____ Dept#_____ Taken To_____

Does defendant have any signs/complaints of injury? ☐ Yes ☐ No Indicate any signs or complaints of injury regardless whether they happened prior to, during, or after arrest, or whether they have any connection to the arrest at all.

(If Yes, complete below data/notify supervisor.)

Explain:_____

Name and Rank of Supervisor Notified:_____

Defendants Vehicle

YEAR	MAKE	MODEL	TAG	STATE	VIN	COLOR

OWNER/DRIVER/DESIGNEE RELEASE FORM & DISCLAIMER OF LIABILITY

Owner/Driver/Designee (O/D/D) must read and sign disclaimer of liability if vehicle is left at scene, or removed, or released to O/D/D at scene.

Released to:_____/_____ Left on Scene?

(Print Name - Signature) D/L #_____ State ☐ YES ☐ NO

MIAMI DADE RECORDS BUREAU

The undersigned certifies that he/she is the legal owner/driver/designee of the vehicle described above. In consideration of being permitted to leave the vehicle mentioned at the location mentioned, or removed, or released, the undersigned hereby releases and discharges Metropolitan Dade County and all of its agents and employees for any damage to, or damage caused, theft of, or theft from, the vehicle described above.

Signature of Owner/Driver/Designee

Signature of Officer Witnessing

IF YOUR VEHICLE IS PARKED MORE THAN 48 HOURS, IT IS SUBJECT TO BE REMOVED.

Recevied via email Fri.. 10/9/2026 2:05pm

MIAMI-DADE COUNTY
CORRECTION AND REHABILITATION DEPARTMENT
JAIL BOOKING RECORD

673367

[redacted]

98-0051830
RIGHT THUMB PRINT

[redacted]

JAIL NUMBER	DEFENDANT NAME	RACE	SEX
980051830	CARELUS, LUKE II	B	M

ADDRESS		HEIGHT	WEIGHT	HAIR	EYES	SOCIAL SECURITY NUMBER
1051 NW 46 ST		601	125	BLK	BRO	

CITY AND STATE		RESIDENCE	TOR	TU	BOOKED DATE	CELL LOCATION
MIAMI	FL	DADE	1115	1146	06/24/98	P12CA

COURT CASE NUMBER	PRINT	ARREST LOCATION
-	Y	1351 NW 12 ST 6-8

DATE	SEC.	INPUT NUMBER	CHARGE, DESCRIPTION / PENALTIES	NO.	CASE / WARR. NUMBER	BOND AMOUNT
06/24/98			F/A/WEAP/POSS/SCHOOL/CCF/F/ARM/POSS/ MINOR	TC	F98-018641A	

DISPOSITIONS

06/24/98F98186411A TO BE BOOKED AND RELEASED TO PTS PER JUDGE CRESPO

06/24/98PER JUDGE CRESPO

MIAMI DADE P.D. RECORDS BUREAU DADE COUNTY FL

COMMENTS

THIS IS A REDACTED COPY OF THE ORIGINAL DOCUMENT
CONTROLLED BY THE MIAMI-DADE POLICE DEPARTMENT
MIAMI, FLORIDA 33132

SIGNATURE

6/17/24
DATE

AKA'S

RELEASED
CJS

MOTHERS MAIDEN NAME

FATHERS NAME

RELEASE INFO

BOND AMOUNT

BONDSMAN NAME
PTS

BONDSMANS

BONDING COMPANY

PASS NUMBER

RELEASED BY
A. Williams PSO

DATE OF RELEASE
6-24-98

TIME OF RELEASE
14:10

POWER NUMBER

Recevied via email Fri.. 10/9/2026 2:05pm

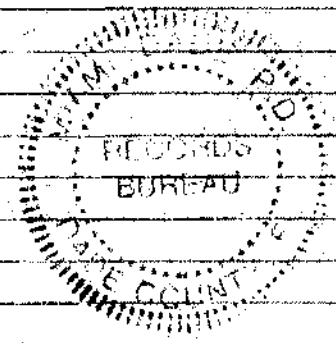
I HEREBY AUTHORIZE THE SHERIFF, HIS JAILER, OR DEPUTIES TO OPEN AND INSPECT ALL MAIL MATTER OR PACKAGES WHICH MAY BE ADDRESSED TO ME AS LONG AS I AM A PRISONER IN HIS CUSTODY

DATE

X Luke Carey
PRISONER'S—SIGNATURE

DATE	NO.	CHARGES	CPC	FSS/CASE/WARR	BOND

DATE	DISPOSITIONS



4. 98800 4804

MIAMI POLICE DEPARTMENT

INCIDENT NUMBER 09213971

GENERAL

1 REPORT SIGNAL: 32	2 LOCATION OF INCIDENT: 1100 NW 71ST MIAMI FL 33150	APT. #	3 INC. DATE / TIME / DAY: 04/01/98 – 0955 – W			
4 DATE OF DISPATCH: 04-01-98	5 TIME DISP.: 1300	6 ON SCENE: 1300	7 IN SERVICE: 1330	8 UNIT #: 58 332		
9 PIN # / OFFICER: 289 A. COLLIER	10 PIN # / OFFICER:	11 OFFICER ASSAULTED: ○ YES ● NO				
12 TYPE OF OFFENSE/INCIDENT: CCF SCH GROUNDS – AGG ASSAULT	13 COPY TO	14 CROSS REF INC. #: Cal 05 96	15 INV. PIN #	16 24 DESK PIN #	17 TIME	
18 ATTEMPTED — VI.1 ○ VI.2 ○ VI.3 ○ / COMMITTED — VI.1 ● VI.2 ○ VI.3 ○	19 FORCED ENTRY (structure only): ○ YES ○ NO ○ N/A	20 NO. OF STRUCTURES ENTERED				
21 STATUTE #1: 790-115	22 STATUTE #2: 784-021	23 STATUTE #3:				

H (C) (O) REFUGEE RELATED
○ HATE CRIME
○ MEDICAL RELATED
○ DOMESTIC VIOLENCE
○ TOURIST CRIME
○ DISASTER RELATED
○ MDT HIT
○ GANG RELATED
○ CIVIL DISTURBANCE

24 LOCATION TYPE: (1) RESIDENCE—SINGLE (2) APARTMENT/CONDO (3) RESIDENCE—OTHER (4) HOTEL/MOTEL (5) CONVENIENCE STORE (6) GAS STATION (7) LIQUOR SALES (8) BAR/NIGHTCLUB (9) SUPERMARKET (10) DEPT./DISCOUNT STORE (11) SPECIALTY STORE (12) DRUG STORE/HOSPITAL (13) BANK/FINANCIAL INST. (14) COMMERCIAL/OFFICE BLDG. (15) INDUSTRIAL/MFG. (16) STORAGE (17) GOVT/PUBLIC BLDG. (18) SCHOOL/UNIVERSITY (19) JAIL/PRISON (20) RELIGIOUS BLDG. (21) AIRPORT (22) BUS/RAIL TERMINAL (23) CONSTRUCTION SITE (24) OTHER STRUCTURE (25) PARK. LOT/GARAGE (26) HIGHWAY/ROADWAY (27) PARK/FIELD/WOODLANDS (28) LAKE/WATERWAY (29) MOTOR VEHICLE (30) OTHER MOBILE (99) OTHER KNOWN

VICTIM

1 VICTIM TYPE / WILL VICTIM PROSECUTE?

	JUVENILE	LAW ENFORCEMENT	ADULT	BUSINESS	GOVERNMENT	CHURCH	OTHER	WILL VICTIM PROSECUTE?
VICTIM #1	(1)	(2)	(3)	(4)	(5)	(6)	(9)	● YES ○ NO
VICTIM #2	(1)	(2)	(3)	(4)	(5)	(6)	(9)	○ YES ○ NO
VICTIM #3	(1)	(2)	(3)	(4)	(5)	(6)	(9)	○ YES ○ NO

2 TOTAL NUMBER OF VICTIMS: 01

3 VICTIM'S RELATIONSHIP TO OFFENDER

	NA	UNDETERMINED	STRANGER	SPOUSE	EX-SPOUSE	CO-HABITANT	PARENT	BROTHER/SISTER	CHILD	STEP-PARENT	STEP-CHILD	IN-LAW	OTHER FAMILY
VICTIM #1	(00)	(01)	(02)	(03)	(04)	(05)	(06)	(07)	(08)	(09)	(10)	(11)	(12)
VICTIM #2	(00)	(01)	(02)	(03)	(04)	(05)	(06)	(07)	(08)	(09)	(10)	(11)	(12)
VICTIM #3	(00)	(01)	(02)	(03)	(04)	(05)	(06)	(07)	(08)	(09)	(10)	(11)	(12)

	STUDENT	TEACHER	CHILD OF BOY/GIRL FRIEND	BOY/GIRL FRIEND	FRIEND	NEIGHBOR	SITTER/DAY CARE	EMPLOYEE	EMPLOYER	ACQUAINTANCE	OTHER
VICTIM #1	(13)	(14)	(15)	(16)	(17)	(18)	(19)	(20)	(21)	(22)	(99)
VICTIM #2	(13)	(14)	(15)	(16)	(17)	(18)	(19)	(20)	(21)	(22)	(99)
VICTIM #3	(13)	(14)	(15)	(16)	(17)	(18)	(19)	(20)	(21)	(22)	(99)

FILED IN THE CIRCUIT COURT OF THE ELEVENTH JUDICIAL CIRCUIT IN AND FOR MIAMI-DADE COUNTY, FLORIDA
APR 10

PER #1

1 VICT. ○ P.R. ○ WITN. ○ | 2 LAST NAME, FIRST, MIDDLE / BUSINESS: HENRY WINSTON ANTHONY | VICTIM OF DOMESTIC VIOLENCE? (Y) | VIC PAMPHLET GIVEN (Y) | DV PAMPHLET GIVEN (Y) | 3 A/J | 4 R/S: B/M | 5 DOB/AGE: [redacted]

6 SS #: | 7 RESIDENCE ADDRESS: 4019 NW 46 ST / APT. # / CITY: MIAMI / STATE: FL / ZIP: 33142 / COUNTRY

8 VICTIM OF STATUTE # 1 2 3 | 9 RESIDENCE STATUS: (00) N/A (01) FULL YEAR (02) PART YEAR (03) NON-RESIDENT | 9A RESIDENCE TYPE: (00) N/A (01) CITY (02) COUNTY (03) FLORIDA (04) OUT OF STATE

10 BUSINESS ADDRESS / SCHOOL / CITY / ZIP: 1100 NW 71 ST MIAMI FL 33150 | 11 DAY/TIME AVAIL.: M-F 0730 1430 | 12 OCCUPATION/TITLE: STUDENT | 13 RES. PHONE: (305) 751-0701 | 14 BUS. PHONE: (305) 836-0991

PER #2

1 VICT. ○ P.R. ○ WITN. ● | 2 LAST NAME, FIRST, MIDDLE / BUSINESS: JEAN ROCHNER | VICTIM OF DOMESTIC VIOLENCE? (Y) | VIC PAMPHLET GIVEN (Y) | DV PAMPHLET GIVEN (Y) | 3 A/J | 4 R/S: B/M | 5 DOB/AGE: [redacted]

6 SS #: | 7 RESIDENCE ADDRESS: N/A / APT. # / CITY / STATE / ZIP / COUNTRY

8 VICTIM OF STATUTE # 1 2 3 | 9 RESIDENCE STATUS: (00) N/A (01) FULL YEAR (02) PART YEAR (03) NON-RESIDENT | 9A RESIDENCE TYPE: (00) N/A (01) CITY (02) COUNTY (03) FLORIDA (04) OUT OF STATE

10 BUSINESS ADDRESS / SCHOOL / CITY / ZIP: 1100 NW MIAMI FL 33150 | 11 DAY/TIME AVAIL.: M-F 0730 1430 | 12 OCCUPATION/TITLE: SECURITY MONITOR | 13 RES. PHONE: ( ) 759-2106 | 14 BUS. PHONE: (305) 836-0991

PER #3

1 VICT. ○ P.R. ○ WITN. ○ | 2 LAST NAME, FIRST, MIDDLE / BUSINESS | VICTIM OF DOMESTIC VIOLENCE? (N) | VIC PAMPHLET GIVEN (N) | DV PAMPHLET GIVEN (N) | 3 A/J | 4 R/S | 5 DOB/AGE

6 SS #: | 7 RESIDENCE ADDRESS / APT. # / CITY / STATE / ZIP / COUNTRY

8 VICTIM OF STATUTE # 1 2 3 | 9 RESIDENCE STATUS: (00) N/A (01) FULL YEAR (02) PART YEAR (03) NON-RESIDENT | 9A RESIDENCE TYPE: (00) N/A (01) CITY (02) COUNTY (03) FLORIDA (04) OUT OF STATE

10 BUSINESS ADDRESS / SCHOOL / CITY / ZIP | 11 DAY/TIME AVAIL. | 12 OCCUPATION/TITLE | 13 RES. PHONE ( ) | 14 BUS. PHONE ( )

INJURY

1 EXTENT OF INJURY

	NONE	MINOR	SERIOUS	FATAL
VICTIM #1	(0)	(1)	(2)	(3)
VICTIM #2	(0)	(1)	(2)	(3)
VICTIM #3	(0)	(1)	(2)	(3)

2 LOCATION ON BODY: VI. #1 ARM; VI. #2; VI. #3

3 HOSPITAL/CLINIC: VI. #1 UNKNOWN; VI. #2; VI. #3 | SQUAD #

4 INJURY TYPE

	N/A	GUNSHOT	STABBED	LACERATION	UNCONSCIOUS	POSS. BROKEN BONES	POSS. INTERNAL INJURY	LOSS OF TEETH	BURNS	ABRASIONS/BRUISES	OTHER
VICTIM #1	(00)	(01)	(02)	(03)	(04)	(05)	(06)	(07)	(08)	(09)	(99)
VICTIM #2	(00)	(01)	(02)	(03)	(04)	(05)	(06)	(07)	(08)	(09)	(99)
VICTIM #3	(00)	(01)	(02)	(03)	(04)	(05)	(06)	(07)	(08)	(09)	(99)

WITNESSES

	1 PERSON INTERVIEWED	2 A/J	3 AGE	4 RES. ADDRESS	CITY	APT. #	5 BUS. ADDRESS	RES. PHONE	BUS. PHONE
#1								( )	( )
#2								( )	( )

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SUSPECT / MISSING PERSON #1

1 LAST NAME, FIRST, MIDDLE	2 RESIDENCE ADDRESS / APT. # / CITY / ZIP CODE	3 RES. PHONE	4 BUS. PHONE
CARELUS LUKE HERARD	1057 NW 46 ST MIAMI FL 33142	(305) 757-1580	(305) 836-0981

5 BUSINESS ADDRESS / CITY / ZIP CODE	6 USUAL OCCUPATION	7 INJURED	8 CITIZENSHIP
1100 NW 71 ST MIAMI FL 33150	STUDENT	YES NO FATAL	USA

9 NICKNAME	10 A/J	12 RACE/SEX	13 HGT.	14 WGT.	15 EYE COLOR	16 HAIR COLOR
UNKNOWN	[redacted]	B/M	6'1"	180	BRN	BLK

17 CLOTHING, JEWELRY, MANNERISMS, CHARACTERISTICS	18 SCARS, MARKS, TATTOOS, DEFORMITIES: DESCRIBE & LOCATION ON BODY
BLUE PANTS - RED & BLUE SHIRT	NONE VISIBLE

SUSPECT / MISSING PERSON #2

1 LAST NAME, FIRST, MIDDLE	2 RESIDENCE ADDRESS / APT. # / CITY / ZIP CODE	3 RES. PHONE	4 BUS. PHONE
BRUNSON LACEDRICK LAMONT	4724 NW 23RD CT MIAMI FL 33142	(305) 633-6391	(305) 836-0981

5 BUSINESS ADDRESS / CITY / ZIP CODE	6 USUAL OCCUPATION	7 INJURED	8 CITIZENSHIP
1100 NW 71 ST MIAMI FL 33150	STUDENT	YES NO FATAL	USA

9 NICKNAME	10 A/J	12 RACE/SEX	13 HGT.	14 WGT.	15 EYE COLOR	16 HAIR COLOR
UNKNOWN	[redacted]	B/M	6'1"	190	BRN	BLK

17 CLOTHING, JEWELRY, MANNERISMS, CHARACTERISTICS	18 SCARS, MARKS, TATTOOS, DEFORMITIES: DESCRIBE & LOCATION ON BODY

1 HAIR LENGTH	2 HAIR STYLE	3 FACIAL HAIR	4 COMPLEXION	5 TEETH	6 APP/DEMEANOR	7 SPEECH	8 R/L HANDED
1 - LONG	1 - AFRO/NATURAL	1 - CLEAN-SHAVEN	1 - LIGHT	1 - BROKEN	1 - DIRTY	1 - ACCENT	1 - RIGHT
2 - MEDIUM	2 - BRAIDED	2 - FULL BEARD	2 - MEDIUM	2 - BUCK TEETH	2 - FLASHY	2 - RAPID	2 - LEFT
3 - SHORT	3 - BUSHY	3 - FU MANCHU	3 - DARK	3 - DECAYED	3 - NEAT	3 - SLOW	3 - UNKNOWN
4 - RECEDING	4 - DIRTY/GREASY	4 - GOATEE	4 - RUDDY	4 - DIRTY	4 - ANGRY	4 - LOUD	
5 - BALDING	5 - DREADLOCKS	5 - GOATEE	5 - TANNED	5 - GOLD	5 - CALM	6 - SOFT	
6 - BALD	6 - PROCESSED	6 - LOWER LIP	6 - MULATTO	6 - GOLD LINED	6 - COCKY	6 - LISPS	
	7 - STYLED	7 - MUSTACHE	7 - ALBINO	7 - GOLD DESIGN	7 - DRUNK	7 - NASAL	9 BUILD
	8 - UNCOMBED	8 - SIDEBURNS	8 - ACNE	8 - MISSING	8 - NERVOUS	8 - RASPY	1 - LIGHT
	9 - WAVY/CURLY	9 - UNSHAVEN	9 - FRECKLED	9 - VERY WHITE	9 - VIOLENT	9 - STUTTER	2 - MEDIUM
	0 - OTHER	0 - OTHER	0 - OTHER	0 - OTHER	0 - OTHER	0 - OTHER	5 - HEAVY

1 MISSING PERSON CODE	2 TYPE MISSING	3 RECOVERY INFORMATION
1 - MISSING	1 - RUNAWAY	0 - N/A
2 - RECOVER OOT MISSING	2 - PARENTAL	1 - VOLUNTARY
3 - MISSING AND RECOVERED	3 - INVOLUNTARY	2 - LOCATED—NOT RETURNED
	4 - DISABLED	3 - HOSPITALIZED
	5 - ENDANGERED	4 - HRS CUSTODY
	6 - DISASTER VICTIM	5 - LAW ENFORCEMENT CUSTODY
	7 - VOLUNTARY-ADULT	6 - RETURNED TO PARENT/GUARDIAN
	8 - UNKNOWN	7 - DECEASED
		9 - OTHER

4 FOUL PLAY SUSPECTED?	5 PERSON MISSING PREVIOUSLY?
1 - YES	1 - YES
2 - NO	2 - NO
	3 - UNKNOWN

1 VEHICLE CODES			
S - STOLEN	X - SEIZED	F - FAILED TO RETURN	
A - ABANDONED	E - REC'VD FOR OTHER AGENCY	V - MPD STOLEN AND/OR RECVD	
I - IMPOUNDED	R - RETURNED TO OWNER	O - OTHER (ARSON/DAMAGE)	
U - USED IN CRIME	V - VICTIM/LARC OR ATT-ATT 22 (IF DAMAGED, USE "O").		

2 VEHICLE/VESSEL TYPE		
1 - AUTO	4 - CAMPER/RV	7 - BOAT
2 - TRUCK/VAN	5 - BUS	8 - AIRCRAFT
3 - MOTORCYCLE	6 - TRAILER	9 - OTHER

3 VIN # / HULL #	4 DECAL #	5 HOW WAS VIN/HULL ACQUIRED?

6 MAKE	7 MODEL	8 YR.	9 BODY STYLE	10 LIC. #/TAG #/VESSEL REG.	11 YR.	12 STATE

13 VEHICLE COLOR

COLOR RANGE

TOP	BOTTOM		TOP	BOTTOM	
1	1	BLACK	10	10	RED/MAROON
2	2	DARK BLUE	11	11	PINK
3	3	LT. BLUE/AQUA/TURQUOISE	12	12	BEIGE/TAN
4	4	DARK BROWN	13	13	WHITE/CREAM
5	5	LT. BROWN/BRONZE/COPPER	14	14	YELLOW
6	6	PURPLE	15	15	ORANGE/GOLD
7	7	DARK GREEN	99	99	OTHER/UNKNOWN
8	8	LT. GREEN/LIME/OLIVE			
9	9	GRAY/SILVER			

14 SPECIAL VEHICLE FEATURES

CODE FEATURE

1 LEVEL ALTERED	10 DAMAGE TO REAR
2 STICKER/DECAL ON BODY/BUMPER	11 DAMAGE TO SIDE
3 STICKER/DECAL ON WINDOW	12 BODY PART(S) DIFFERENT COLORS
4 RUST OR PRIMER SPOTS	13 VINYL TOP
5 PAINTED INSCRIPTION ON BODY	14 EXTRA ANTENNA(S) OR MIRROR
6 DECORATIVE PAINT	15 SPECIAL RIMS/TIRES
7 MISSING PARTS	16 LOUD MUFFLER
8 WINDOW BROKEN	99 OTHER/DESCRIBE BELOW
9 DAMAGE TO FRONT	

TOTAL # OF VEHICLES

0	0
1	1
2	2
3	3
4	4
5	5
6	6
7	7
8	8
9	9

15 ADDITIONAL DESCRIPTION	16 TAG COMES BACK TO: NAME, ADDRESS, STATE, ETC.

17 DOORS LOCKED?	18 WINDOWS CLOSED?	19 KEY IN IGNITION?	20 FINANCED BY	21 DATE OF LAST PAYMENT	22 INSURANCE COMPANY
YES NO	YES NO	YES NO			

RECOVERY

23 PERSON LAST DRIVING VEH.	24 R/S	25 DOB/AGE	26 RESIDENCE ADDRESS (ZIP) PHONE	27 BUSINESS ADDRESS (ZIP) PHONE

28 LOCATION OF RECOVERY OF M.V.	29 RECOVERY MILEAGE	30 STRIPPED? YES NO	31 DISPOSITION OF VEHICLE T - TOWED O - OWNER

32 CONDITION G - GOOD P - POOR F - FAIR S - STRIPPED	33 VEHICLE TOWED BY/WHERE?	34 IF DOT RECOVERY — MSG. # AUTHORITY

1 ID REQUESTED?	2 SCENE PROCESSED?	3 WAS PHYSICAL EVIDENCE OBTAINED FROM THE SCENE?
YES NO	YES NO	YES NO

4 LATENTS	5 PHOTOS	6 EVIDENCE TO PROPERTY UNIT	7 ID TECHNICIAN / PIN # / UNIT #	8 FIRST OFFICER ON SCENE / PIN # / UNIT #
YES NO	YES NO	YES NO		

DRUG ACTIVITY			DRUG TYPE		
N - N/A	K - DISPENSE/DISTRIBUTE	S - SELL	N - N/A	E - HEROIN	P - PARAPHERNALIA EQUIPMENT
B - BUY	M - MANUFACTURE/PRODUCE/CULTIVATE	T - TRAFFIC	A - AMPHETAMINE	H - HALLUCINOGEN	S - SYNTHETIC
D - DELIVER	P - POSSESS	U - UNKNOWN	B - BARBITURATE	M - MARIJUANA	U - UNKNOWN
E - USE	R - SMUGGLE	Z - OTHER	C - COCAINE	O - OPIUM/DERIVATIVE	Z - OTHER

05 PD-C-705736

OFFICER ASSAULTED/KILLED

DATE: 10/9/2005 INCIDENT NUMBER: 05-289712

1 INCIDENT TYPE

(1) OFFICER KILLED FELONIOUS
(2) OFFICER KILLED ACCIDENT OR NEGLIGENCE
(3) OFFICER ASSAULTED, NO INJURY
(4) OFFICER ASSAULTED, MINOR INJURY
(5) OFFICER ASSAULTED, SERIOUS INJURY

2 OFFICER ACTIVITY

(1) RESPONDING TO DISTURBANCE
(2) BREAKING AND ENTERING IN PROGRESS OR PURSUING B. AND E. SUSPECT
(3) ROBBERY IN PROGRESS OR PURSUING ROBBERY SUSPECT
(4) ATTEMPTING OTHER ARREST
(5) DOMESTIC DISTURBANCE
(6) HANDLING, TRANSPORTING, CUSTODY OF PRISONERS
(7) INVESTIGATING SUSPICIOUS PERSONS OR CIRCUMSTANCES
(8) AMBUSH, NO WARNING
(9) MENTALLY DERANGED
(10) TRAFFIC PURSUIT OR STOP
(11) CIVIL DISORDER
(12) OTHER

98 APR -2 PM 2:11
CLERK, CIRCUIT COURTS
DADE COUNTY, FLA.
JUVENILE DIVISION

3 TYPE OF ASSIGNMENT

(1) ONE PERSON, VEHICLE, ALONE
(2) ONE PERSON, VEHICLE, ASSISTED
(3) TWO PERSON, VEHICLE
(4) DETECTIVE OR SPECIAL ASSIGNMENT, ALONE
(5) DETECTIVE OR SPECIAL ASSIGNMENT, ASSISTED
(6) TRAFFIC/MOTORCYCLE OFFICER
(7) OTHER, ALONE
(8) OTHER, ASSISTED

4 (ANSWER ALL QUESTIONS)

	Y	N	UNK	N/A
WAS OFFICER WEARING BODY ARMOR?	○	○	○	
DID ARMOR PREVENT SERIOUS INJURY OR FATALITY?	○	○	○	○
WAS OFFICER AWARE OFFENDER HAD WEAPON?	○	○	○	○
DID OFFENDER USE OFFICER'S WEAPON?	○	○	○	○
WAS A FIREARM DISCHARGED BY OFFENDER?	○	○	○	○

5 WAS OFFENDER INJURED? ○ YES ○ NO ○ UNK

EXTENT OF INJURIES TO OFFENDER
(00) N/A (02) MODERATE (04) FATAL
(01) MINOR (03) SERIOUS

DISTANCE FROM OFFICER IN FEET (ESTIMATE) 5

WAS A FIREARM DISCHARGED BY THE OFFICER? ○ ○ ○

6 OFFICER EXPERIENCE (IN YEARS) 1 AGE 21

7 OFFICER ASSAULTED BY OFFENDER/SUSPECT #

PROP. CODE: S - STOLEN, F - FOUND, D - DAMAGE (INC. VALUE), R - RECV'D FOR OTHER AGENCY, P - PERSONAL, H - RETURNED TO OWNER, K - PHOTOS TAKEN PROPERTY RETURNED TO OWNER, E - EVIDENCE/SEIZED, Y - TAPD STOLEN & REC., A - ARSON (INC. VALUE)

1 PROPERTY RECEIPT #

PROPERTY

2 PROP. CODE	3 VIC #	4 QUAN.	5 DESCRIPTION OF ARTICLE SERIAL #, MODEL, BRAND NAME, ETC.	6 VALUE	2 PROP. CODE	3 VIC #	4 QUAN.	5 DESCRIPTION OF ARTICLE SERIAL #, MODEL, BRAND NAME, ETC.	6 VALUE

WEAPON

1 WEAPON TYPE USED

(00) N/A
(01) HANDGUN
(02) RIFLE
(03) SHOTGUN
(04) FIREARM
(05) KNIFE/CUTTING INST.
(06) BLUNT OBJECT
(07) HANDS/FISTS/FEET
(08) POISON
(09) EXPLOSIVES
(10) FIRE/INCENDIARY
(11) THREAT/INTIMIDATION
(12) SIMULATED
(13) DRUGS
(88) UNKNOWN
(99) OTHER (NARR.)

2 WEAPON FEATURES

01 - CHROME/NICKEL
02 - BLUE STEEL
03 - AUTOMATIC
04 - REVOLVER
05 - SHORT BARREL
06 - LONG BARREL
07 - DOUBLE BARREL
08 - SINGLE BARREL
09 - SAWED OFF
10 - PUMP
11 - BOLT ACTION
12 - ALTERED STOCK
13 - LG. BORE
14 - SM BORE
99 - OTHER DESCRIBE

38 Revolver

	3 CALIBER/GAUGE	4 MAKE	5 SERIAL #	6 BARREL LENGTH	7 MODEL #	8 COLOR	9 TYPE OF GRIPS	10 BUTT #
1	38 Revolver							
2	38 Revolver							

NARRATIVE:

ON THE DATE AND TIME THE VICTIM AND WITNESS ADVISED THAT S-1 DISPLAYED A FIREARM ON THE SECOND FLOOR OF NORTHWESTERN SR HIGH SCHOOL TO THE VICTIM. EARLIER DURING THE DAY THE VICTIM AND SUBJECT HAD A FIGHT. SUBJECT #1 WAS WITH S #2 AT THE TIME HE DISPLAYED THE FIREARM S1 PASSED THE FIREARM TO S #2 AND FLED SCHOOL GROUNDS.

Received via email Fri Apr 6 2006 2:05pm

OFFICER ASSAULTED/KILLED

PIN # 259

INCIDENT NUMBER 0912137K

1 INCIDENT TYPE
1. OFFICER KILLED FELONIOUS
2. OFFICER KILLED ACCIDENT OR NEGLIGENCE
3. OFFICER ASSAULTED, NO INJURY
4. OFFICER ASSAULTED, MINOR INJURY
5. OFFICER ASSAULTED, SERIOUS INJURY

2 OFFICER ACTIVITY
1. RESPONDING TO DISTURBANCE
2. BREAKING AND ENTERING IN PROGRESS OR PURSUING B. AND E. SUSPECT
3. ROBBERY IN PROGRESS OR PURSUING ROBBERY SUSPECT
4. ATTEMPTING OTHER ARREST
5. CIVIL DISORDER
6. DOMESTIC DISTURBANCE
7. HANDLING, TRANSPORTING, CUSTODY OF PRISONERS
8. INVESTIGATING SUSPICIOUS PERSONS OR CIRCUMSTANCES
9. AMBUSH, NO WARNING
10. APPREHENDING MENTALLY DERANGED
11. TRAFFIC PURSUIT OR STOP
12. OTHER

APR -2

CLERK, CIRCUIT & COUNTY COURTS
DADE COUNTY, FLA.
JUVENILE DIVISION

3 TYPE OF ASSIGNMENT
1. ONE PERSON, VEHICLE, ALONE
2. ONE PERSON, VEHICLE, ASSISTED
3. TWO PERSON, VEHICLE
4. DETECTIVE OR SPECIAL ASSIGNMENT, ALONE
5. DETECTIVE OR SPECIAL ASSIGNMENT, ASSISTED
6. TRAFFIC/MOTORCYCLE OFFICER
7. OTHER, ALONE
8. OTHER, ASSISTED

4 (ANSWER ALL QUESTIONS)

	Y	N	UNK	N/A
WAS OFFICER WEARING BODY ARMOR?				
DID ARMOR PREVENT SERIOUS INJURY OR FATALITY?				
WAS OFFICER AWARE OF OFFENDER HAD WEAPON?				
DID OFFENDER USE OFFICER'S WEAPON?				
WAS A FIREARM DISCHARGED BY OFFENDER?				

DISTANCE FROM OFFICER IN FEET (ESTIMATE) 6

WAS A FIREARM DISCHARGED BY THE OFFICER? Y N UNK

5 WAS OFFENDER INJURED? YES NO UNK.

EXTENT OF INJURIES TO OFFENDER
99 N/A 02 MODERATE 04 FATAL
01 MINOR 03 SERIOUS

6 OFFICER EXPERIENCE (IN YEARS) _____ **AGE** _____

7 OFFICER ASSAULTED BY OFFENDER/SUSPECT # _____

PROPERTY

PROP CODE: 1 - STOLEN, 2 - FOUND, 3 - DAMAGE (INC. VALUE), 4 - RECVD FOR OTHER AGENCY, 5 - PERSONAL, 6 - RETURNED TO OWNER, 7 - PHOTOS TAKEN PROPERTY RETURNED TO OWNER, 8 - EVIDENCE/SEIZED, 9 - MPD STOLEN & REC., 10 - ARSON (INC. VALUE)

1 PROPERTY RECEIPT #

2 PROP CODE	3 VIC #	4 QUAN.	5 DESCRIPTION OF ARTICLE SERIAL #, MODEL, BRAND NAME, ETC.	6 VALUE	2 PROP CODE	3 VIC #	4 QUAN.	5 DESCRIPTION OF ARTICLE SERIAL #, MODEL, BRAND NAME, ETC.	6 VALUE
							--	"	

WEAPON

1 WEAPON TYPE USED
00 - N/A, 01 - HANDGUN, 02 - RIFLE, 03 - SHOTGUN, 04 - FIREARM, 05 - KNIFE/CUTTING INST., 06 - BLUNT OBJECT, 07 - HANDS/FISTS/FEET, 08 - POISON, 09 - EXPLOSIVES, 10 - FIRE/INCENDIARY, 11 - THREAT/INTIMIDATION, 12 - SIMULATED, 13 - DRUGS, 98 - UNKNOWN, 99 - OTHER (NARR.)

2 WEAPON FEATURES
01 - CHROME/NICKEL, 02 - BLUE STEEL, 03 - AUTOMATIC, 04 - REVOLVER, 05 - SHORT BARREL, 06 - LONG BARREL, 07 - DOUBLE BARREL, 08 - SINGLE BARREL, 09 - SAWED OFF, 10 - PUMP, 11 - BOLT ACTION, 12 - ALTERED STOCK, 13 - LG. BORE, 14 - SM. BORE, 99 - OTHER DESCRIBE

38 REVOLVER

	3 CALIBER/GAUGE	4 MAKE	5 SERIAL #	6 BARREL LENGTH	7 MODEL #	8 COLOR	9 TYPE OF GRIPS	10 BUTT #
1	38 REVOLVER							
2	38 REVOLVER							

NARRATIVE:

ON THIS DATE AND TIME THE VICTIM AND WITNESS ADVISED THAT S-1 DISPLAYED A FIREARM ON THE SECOND FLOOR OF NORTHWESTERN SR HIGH SCHOOL TO THE VICTIM. EARLIER DURING THE DAY THE VICTIM AND SUBJECT HAD A FIGHT. SUBJECT #1 WAS WITH S #2 AT THE TIME HE DISPLAYED THE FIREARM S/ PASSED THE FIREARM TO S-- #2 AND FLED SCHOOL GROUNDS.

[FILE] Received via email Fri., 10/9/2026 2:05pm

COMPLAINT/ARREST AFFIDAVIT

98004804

DOS ARR-2 PM 12:10 DOS RD. C-10536

DADE COUNTY, FLA. JUVENILE DIVISION #26

OBTS Number | ☑ Felony ☐ Misdemeanor ☐ Traffic ☑ Juvenile ☐ Warrant | Police Case No. 092 1397-X

IDS No. | Agency Code 63 | Municipal Code | MDPD Records and ID No. | Court Case No.

DEFENDANT'S NAME (Last / First / Middle)	Sex	Race	Ethnic	Height	Weight	Hair	Eyes
CARELUS LUKE HERARD	M	B		6'1	180	BLK	BR

LOCAL ADDRESS (Street / City / State / Zip)	Phone	Alias
1051 NW 46 ST MIAMI FL 33142	685-4594 757-1580	UNKNOWN

PERMANENT ADDRESS (Street / City / State / Zip)	Phone	Address Source
SAME AS ABOVE		☐ Verbal ☐ Voter's I.D. ☐ Driver's License ☑ Other SCHOOL

BUSINESS ADDRESS (Street / City / State / Zip)	Phone	Occupation	Place of Birth
1100 NW 71ST MIAMI FL 33150	836-0991	SCHOOL	MIAMI, FL

DRIVER'S LICENSE NO. (State) [redacted] | Scars, Tattoos, Unique Physical Features: NONE VISIBLE

Weapon Seized? Type ☐Yes ☑No	Arrest Date mo/day/yr	Arrest Time ☑ A.M. ☐ P.M.	Arrest Location (include name of business)	GRID
	04/02/98	9:25	1100 NW 71 ST MIAMI NORTHWESTERN SR HIG	

If Def. has Concealed Weapons Permit. PERMIT # W- NO	For Robbery, Burglary, F/A Viol: Suspected history of drug involvement? ☐ Yes ☒ Unk.	No. Cases Cleared 1	Influence of Drugs ☐ Yes ☑ No ☐ Unk.	Influence of Alcoh. ☐ Yes ☒ No ☐ Unk.	Citizenship USA	Resid. Type: ☒ City ☐ Florida ☐ Dade Out ☐ Sta

CO-DEFENDANTS

	Last / First / Middle	DOB	
1.	BRUNSON LACEDRICK LAMONT	[redacted]	☐ In Custody ☐ Felony ☐ Juveni ☐ At Large ☐ Misdemeanor
2.		/ /	☐ In Custody ☐ Felony ☐ Juven ☐ At Large ☐ Misdemeanor

CODE — DRUG ACTIVITY: N. N/A, P. Possess, S. Sell, B. Buy, T. Traffic, R. Smuggle, D. Deliver, E. Use, K. Dispense/Distribute, Z. Other, M. Manufacture/Produce/Cultivate — DRUG TYPE: N. N/A, A. Amphetamine, B. Barbiturate, C. Cocaine, E. Heroin, H. Hallucinogen, M. Marijuana, O. Opium/Derv., P. Paraphernalia/Equipment, S. Synthetic, U. Unknown, Z. Other

SIGNAL: ☐100 ☐150 ☐200 ☐250 ☐300 ☐400

	CHARGES	Activity	Type	Counts	STATUTE	D.V.	☐AC ☐CAPIAS ☐BW ☐FW ☐PWO CIT#	VIOLATION OF SEC
1.	C C FIREARM SCH GROUNDS	N	N	1	790.115		F	21-81 A
2.	AGG ASSAULT	N	N	1	784.021			
3.								OF THE CODE O
4.								DADE COU

The undersigned certifies and swears that he has just and reasonable grounds to believe, and does believe that the above named Defendant

On the 01 day of APRIL, 19 98, At 950 ☑ A.M. ☐ P.M. (Time) 1100 NW 71 ST NORTHWESTERN SR (Location, include name of business) HIGH SCH

committed the following violation of law: Narrative: (Be specific) ON THE DATE AND TIME ABOVE VICTIM AND WITNESS STATED THAT THE SUBJECT #1 DISPLAYED A HANDGUN AFTER BEING IN A FIGHT EARLIER DURING THE DAY. MIRANDA RIGHTS WERE READ, SUBJECT #1 ADVISED THAT HE POINTED TO THE GUN WITH HIS RIGHT HAND AND THEN HANDED THE GUN TO CO-SUBJECT BRUNSON AND FLED SCHOOL GROUNDS. SUBJECT ARRESTED AND TRANSPORTED TO JAC.

PAGE ____ OF ____

Hold for Other Agency

Agency _____ Verified by _____

I Swear that the above Statement is correct and true to the best of my knowledge and belief

ANTHONY COLLIER
Officer's Name (Print)

Officer's Signature

DOS P.D.
Department Name

289 (63)
Court ID Number/Loc. Code

☐ HOLD FOR BOND HEARING DO NOT BOND OUT (Officer Must Appear at Bond Hearing).

Sworn to and subscribed before me, the undersigned authority, this 2nd day of April, 19 98

Deputy of the Courts/a Notary Public

☐ I understand that should I willfully fail to appear before the court as required by this notice to appear that I may be held in contempt of court and a warrant for my arrest shall be issued. Furthermore, I agree that notice concerning the time, date, and place of all court hearings should be sent to the address I agree that it is my responsibility to notify Clerk of the Court (Juveniles notify Family Division Juvenile Section) anytime that my address changes.

☐ You need not appear in court, but must comply with the instructions reverse side hereof

Luke Carelus
Signature of Defendant / Juvenile and Parent or Guardian

MIAMI, FLORIDA

SUPPLEMENTARY REPORT

4a. ORIG. OFFENSE / INCIDENT: CC Firearm SCH Cannon	4. UCR CLASSIFICATION:	10. LOCATION / ORIG. INCIDENT: 1100 NW 71ST MIAMI FL	7a. ZONE:	7b. AREA:	1. CASE NUMBER: 98-21397-X
5a. OFFENSE CHANGED TO:	5b. UCR CLASS. CHANGED TO:	61. RECOVERY / INFO. ADDRESS:	72a. ZONE:	72b. AREA:	74. ALSO SEE CASE NO.: 98-1053
14. VICTIM'S NAME (FIRM NAME IF BUSINESS): Henry, Winston		9. DATE ORIG. INCIDENT / TIME: 04-01-98 - 0955 hrs	3. DATE THIS REPORT / TIME: 04/1/98		0940
11. OFFENDER: Brunson, Lacedrick	SEX - RACE - AGE: M - B - 16	HGT. / WGT.: 510 / 180	70. WILL VIC. PROS? YES ☑ NO ☐	INCARCERATED YES ☑ NO ☐	WHERE: 1100 NW 71ST MIAMI, FL
13c. STOLEN PROPERTY RECOVERED / LICENSE OR SERIAL NO. / VALUE			42. RECEIPT NO.	71. CHARGES FILED YES ☐ NO ☐	DATE: INCLUDE CHGS. IN NARRATIVE

13b. IF BOX ON THIS LINE IS CHECKED, AN ITEMIZED ACCOUNT MUST BE LISTED AT BEGINNING OF NARRATIVE WITH OFFENSE NO'S. RECOVERY: FULL ☐ PART. ☐ VALUE CHANGE: YES ☐ NO ☐ ADDITIONAL LOSS: YES ☐ NO ☐ MULTIPLE CASES CLEARED: YES ☐ NO ☐

IF VEHICLE RECOVERED	23. MESSAGE NUMBER	24. METHOD OF THEFT	58a. KEY IN IGNITION YES ☐ NO. ☐	32a. MILEAGE REC.	47. WHERE IMPOUNDED
25. EVID. OF STRIPPING YES ☐ NO ☐	MOTOR WARN YES ☐ NO ☐	26. LATENTS OBTAINED YES ☐ NO ☐	CR. SCENE SEARCH YES ☐ NO ☐ BY WHOM	PERS. PROP. IN CAR YES ☐ NO ☐	48. PROP. RECPT.# / 47a. CLN. CK. #

33. NARRATIVE: (LIST COLOR OF INVOLVED VEHICLES AND CLOTHING DESCRIPTION OF INVOLVED SUSPECTS)

On 04/01/98 The Subject took A Firearm from the Co Subject Luke Cornelius on 4/02/98 the Co Subject was arrested on 4/14/98 Contact was made with the Subject and he was arrested and transported to JDS.

STATUS OF CASE FOR CIS USE	CASE CLEARED YES ☐ NO ☑	BY ARREST ☐ ADULT ☐ JUV. ☐ EXCEPTIONAL ☐	UNDER 18 ☐	35. RECEIVED / ISSUED ☐	36. NUMBER	37. MONTH / AUTHORITY	WANTED CARD ☐ / INFO. CARD ☐ PREPARED ☐ CANCELLED ☐
	38. FURTHER POLICE ACTION REQUIRED YES ☐ NO ☑	CASE REASSIGNED YES ☐ NO ☐	39. TO WHOM	40. DATE	REQUEST TICKLER BE RESET FOR:		

2a. Veh. Call No.	29. REPORTING OFFICER	75. EMP. NO.	76. UNIT	19. SUPERVISOR APPROVING/DATE	PERSON REPORTING (SIGNATURE)
	K. Ruffin				

For reporting investigation of an offense, arrest, or incident already on record by way of an Arrest or Case Report. Also used in reporting any additional data uncovered pertaining to the original report.

FORM POLICE NO. 1 REV.

Received via email 10/8/2026 2:05pm

Recevied via email Fri.. 10/9/2026 2:05pm

COMPLAINT/ARREST AFFIDAVIT

Dcps Police C-10536

OBTS Number | ☐ Felony ☐ Misdemeanor ☐ Traffic ☐ Juvenile ☐ Warrant | Jail No. | Police Case No 092139?-X

IDS No. | Agency Code 63 | Municipal P.D. Def. ID No. | MDPD Records and ID No. | Court Case No

DEFENDANT'S NAME (Last / First / Middle)	Sex	Race	Ethnic	Height	Weight	Hair	Eyes
BRUNSON LACEDRICK LAMONT [redacted]	M	B		5'10	180	BLK	BRN

LOCAL ADDRESS (Street / City / State / Zip)	Phone	Alias
4724 NW 23 CT Miami FL 33142	633-6391	"CED"

PERMANENT ADDRESS (Street / City / State / Zip)	Phone	Address Source
SAME AS ABOVE		☐ Verbal ☐ Voter's I.D. ☐ Driver's License ☒ Other

BUSINESS ADDRESS (Street / City / State / Zip)	Phone	Occupation	Place of Birth
1100 NW 71ST MIAMI FL 33150	836-0991	STUDENT	MIAMI, FL

DRIVER'S LICENSE NO / State: [redacted]

Scars, Tattoos, Unique Physical Features: RIGHT LEG "CED" - LEFT LEG "RED"

Weapon Seized? Type ☐Yes ☐No	Arrest Date mo/day/yr	Arrest Time ☒A.M. ☐P.M.	Arrest Location (include name of business)	GRID
	04/13/98	9:40	1100 NW 71ST NORTHWESTERN SR HIGH	

If Def. has Concealed Weapons Permit. PERMIT # W-	For Robbery, Burglary, F/A Viol: Suspected history of drug involvement? ☐Yes ☐Unk	No. Cases Cleared	Influence of Drugs ☐Yes ☐No ☐Unk.	Influence of Alcoh. ☐Yes ☐No ☐Unk.	Citizenship	Resid. Type: ☐City ☐State ☐Florida ☐Out of State

CO-DEFENDANTS

	Last	First	Middle	
1.	CARELUS	LUKE	HERARD [redacted]	☒ In Custody ☐ Felony ☒ Juvenile ☐ At Large ☐ Misdemeanor
2.	N/A			☐ In Custody ☐ Felony ☐ Juvenile ☐ At Large ☐ Misdemeanor

CODE — DRUG ACTIVITY: N N/A; P Possess; S Sell; B Buy; T. Traffic; R. Smuggle; D. Deliver; E. Use; K Dispense/ Distribute; Z. Other; M. Manufacture/ Produce/ Cultivate. DRUG TYPE: N. N/A; A. Amphetamine; B. Barbiturate; C. Cocaine; E. Heroin; H. Hallucinogen; M. Marijuana; O. Opium/Deriv; P. Paraphernalia/ Equipment; S. Synthetic; U Unknown; Z. Other

SIGNAL: ☐100 ☐150 ☐200 ☐250 ☐300 ☐400

	CHARGES	Activity	Type	Counts	STATUTE	D.V.	☐AC ☐CAPIAS ☐BW ☐FW ☐PWO CIT#	VIOLATION OF SECT.
1.	C.C. FIREARM ON SCHOOL GROUNDS	N	N	1	790.115			21-81-A
2.								
3.								
4.								OF THE CODE OF DADE COUNTY

The undersigned certifies and swears that he has just and reasonable grounds to believe, and does believe that the above named Defendant

On the 01 day of APRIL, 19 98, At 955 ☒A.M. ☐P.M. (Time) 1100 NW 71 ST NORTHWESTERN SR (Location, include name of business) HIGH SCHOOL

committed the following violation of law: Narrative, (Be specific) ON THE DATE AND TIME THE CO-SUBJECT DISPLAY A FIREARM IN FRONT OF THE VICTIM AFTER HAVING A FIGHT THE SUBJECT WAS WITH THE CO-SUBJECT THE SUBJECT TOOK THE FIREARM FROM THE CO-SUBJECT AND FLED WITH THE CO-SUBJECT. MIRANDA RIGHTS READ PER CARD SUBJECT TRANSPORTED TO MY STATION AND THEN TO JAC.

PAGE 1 OF 1

Hold for Other Agency

Agency _____ Verified by _____

I Swear that the above Statements is correct and true to the best of my knowledge and belief

Anthony Collier
Officer's Name (Print)

Officer's Signature

DCPS POLICE — 289 — 63
Department Name — Court ID Number/Loc. Code

☐ HOLD FOR BOND HEARING DO NOT BOND OUT (Officer Must Appear at Bond Hearing).

Sworn to and subscribed before me, the undersigned authority, this 14 day of April, 19 98

Sgt [illegible]
Deputy of the Court or Notary Public

☐ I understand that should I willfully fail to appear before the court as ordered by this notice to appear that I may be held in contempt of court and a warrant for my arrest shall be issued. Furthermore, I agree that notice concerning the time, date and place of all court hearings should be sent to the above address. I agree that it is my responsibility to notify Clerk of the Court (Juveniles notify Family Division Juvenile Section) anytime that my address changes.

☐ You need not appear in court, but must comply with the instructions on the reverse side hereof.

Signature of Defendant - Juvenile and Parent or Guardian

Florida Gaming Control Commission

JULIE I. BROWN, CHAIR
TINA REPP, VICE CHAIR
JOHN D'AQUILA, COMMISSIONER
WILLIAM SPICOLA, COMMISSIONER
PETER CUDERMAN, COMMISSIONER

July 24, 2026

Mr. Luke Heraro Carelus
4601 North West 25th Street
Lauderhill, Florida 33313

RE: Application No. 56332, Entity 1473894
1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo

Dear Mr. Carelus:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Fingerprint card processing fee $36.00 is due.
- Fingerprint submission is required. Two fingerprint cards are enclosed for your convenience. We recommend submitting both fingerprint cards so that a second set is available if the first is determined to be illegible by the Federal Bureau of Investigation (FBI).

  If you choose to have your fingerprints processed through a live scan vendor, you must provide the Transaction Control Number (TCN) to our office upon receipt so that we may monitor the status of your fingerprint results

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850-794-8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 11/20/2026, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 10/07/2026.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850-794-8130.

Thank you,

BF
Operations Analyst II

Florida Gaming Control Commission

JULIE I. BROWN, CHAIR
TINA REPP, VICE CHAIR
JOHN D'AQUILA, COMMISSIONER
WILLIAM SPICOLA, COMMISSIONER
PETER CUDERMAN, COMMISSIONER

July 27, 2026

Mr. Luke Heraro Carelus
4601 North West 25th Street
Lauderhill, Florida 33313

RE: Application No. 56332, Entity 1473894
1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo

Dear Mr. Carelus:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please complete the attached PMW-3410 - Slot Machine Individual Occupational License Application form. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records.

  You must list the information on your application for the following charges:

  - Miami-Dade County, FL Arrest(s) – 11/02/2010

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850-794-8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 11/23/2026, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 10/07/2026.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850-794-8130.

Thank you,

BF
Operations Analyst II

Florida Gaming Control Commission

JULIE I. BROWN, CHAIR
TINA REPP, VICE CHAIR
JOHN D'AQUILA, COMMISSIONER
WILLIAM SPICOLA, COMMISSIONER
PETER CUDERMAN, COMMISSIONER

August 10, 2026

Mr. Luke Heraro Carelus
4601 North West 25th Street
Lauderhill, Florida 33313

RE: Application No. 56332, Entity 1473894
1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo

Dear Mr. Carelus:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must provide arrest reports.

  You must provide the arrest reports for the following charges:
  - Miami-Dade County, FL Arrest(s) – 4/2/1998
  - Miami-Dade County, FL Arrest(s) – 7/1/2005

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850-794-8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 11/23/2026, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 10/07/2026.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850-794-8130.

Thank you,

BF
Operations Analyst II

9. Discussion of Final Order pursuant to request from respondent

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Through:	Emily Alvarado, Chief Attorney
Re:	FGCC v. Christopher Ryan O'Cull Case No. 2026-053592; Final Order
Date:	October 22, 2026

Executive Summary

The Division of Pari-Mutuel Wagering (Division) served Christopher Ryan O'Cull (Respondent), with an Administrative Complaint alleging a violation of rule 75-11.004(8)(a) of the Florida Administrative Code. The Administrative Complaint seeks to impose one or more of the penalties specified in section 849.086(14), Florida Statutes. Respondent returned an Election of Rights indicating that a final order should be entered imposing the action set forth in the Administrative Complaint. Therefore, the Division requests that the Florida Gaming Control Commission enter a final order imposing one or more of the penalties specified in section 849.086(14), Florida Statutes.

Relevant Facts

At all times material hereto, Respondent worked as a cardroom dealer at dealer at South Marion Real Estate Holdings, LLC d/b/a Oxford Downs. On or about July 22, 2026, Respondent failed to clear his hands on one or more occasions when cash, chips, or tokens were exchanged with or provided to a player.

Based on these facts, the Division filed a one-count Administrative Complaint on September 2, 2026, seeking to impose one or more of the penalties specified in section 849.086(14), Florida Statutes. The Division completed service. On September 14, 2026, Respondent returned an Election of Rights. Respondent indicated on the Election of Rights that he did not dispute the allegations contained within the Administrative Complaint, waived his right to any form of administrative hearing, and that the Commission should enter a final order imposing the action set forth in the Administrative Complaint.

Analysis

Rule 75-11.004(8)(a), Florida Administrative Code, states, in pertinent part, that dealers shall "[c]lear their hands when cash, chips, or tokens are exchanged with or provided to a player."

Pursuant to section 849.086(14)(c), Florida Statutes, "[…] the commission may impose an administrative fine not to exceed $1,000 for each violation against any person who has violated or failed to comply with the provisions of this section or any rules adopted pursuant thereto."

Staff Recommendation: The Division of Pari-Mutuel Wagering requests that the Florida Gaming Control Commission enter a final order imposing one or more of the penalties specified in section 849.086(14), Florida Statutes.

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 9/14/2026
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

2026 SEP 14 AM 11:42
FLORIDA GAMING CONTROL COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FGCC v. O'CULL, CHRISTOPHER RYAN **CASE NO.: 2026-053592**

ELECTION OF RIGHTS

Please read the Administrative Complaint before choosing one of the three options. The Election of Rights is a **legally binding** document. Consult an attorney if you do not understand your options.

You can only select **ONE** of the options numbered one (1), two (2), and three (3) on the Election of Rights form. You must sign the form.

A completed Election of Rights **must be returned to the Clerk of the Commission within 21 days, by 5:00 p.m., Eastern Time, of the day you receive the attached Administrative Complaint.**

If your Election of Rights form or request for hearing is not filed with the Clerk of the Commission within 21 days of the day you received the Administrative Complaint, you will have waived your right to contest the proposed agency action and a Final Order will be issued imposing the proposed agency action set forth in the Administrative Complaint.

Please use this form unless you, your attorney, or your representative prefer to reply according to chapter 120, Florida Statutes, and chapter 28.106 of the Florida Administrative Code.

Please return your Election of Rights form to this address:

Florida Gaming Control Commission
Attention: Clerk of the Commission
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399
Telephone: (850) 794-8067 Fax: (850) 536-8712
Email: clerk@flgaming.gov

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option One (1) ☐ **I do not dispute the allegations** of material fact in the Administrative Complaint and wish to be heard at an informal proceeding pursuant to section 120.57(2), Florida Statutes, where I may submit testimony and written evidence to the Commission to show that the proposed agency action is too severe or that the sanction should be reduced.

Option Two (2) ☐ **I do dispute the allegations** of material fact in the Administrative Complaint and I request a formal hearing pursuant to Section 120.57(1) before an Administrative Law Judge of the Division of Administrative Hearings ("DOAH"). **I specifically dispute the following facts in the Administrative Complaint** (attach extra pages or write on the back if needed):

_____

_____

_____

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

In addition to the above election for formal hearing, check the box below if you wish to enter into settlement negotiations and waive the 15-day requirement for this matter to be referred to DOAH:

☐ Section 120.569(2)(a), Florida Statutes, requires the Commission to send this case to DOAH for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement.

Option Three (3) ☑ **I do not dispute the allegations** of material fact in the Administrative Complaint and **waive my right** to object and to have a hearing. I understand that by giving up the right to object and have a hearing, a Final Order will be issued that adopts the allegations of fact and conclusions of law alleged in the Administrative Complaint and imposes the Commission action set forth in the Administrative Complaint.

THIS IS A **LEGALLY BINDING DOCUMENT**. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

Christopher O'Neill	
PRINTED NAME	ATTORNEY OR QUALIFIED REPRESENTATIVE
4401 SE 26th Ter. Rd.	
Street Address	Street Address (where service shall be made)
Ocala FL 34480	
City State Zip	City State Zip
(352)-322-7078	
Telephone Number Facsimile Number (if any)	Telephone Number Facsimile Number (if any)
Superduty300@gmail.com	
E-mail	E-mail
Christopher O'Neill	
SIGNATURE	SIGNATURE

YOU MUST LET THE COMMISSION KNOW IF YOUR E-MAIL OR MAILING ADDRESS CHANGES

[redacted]

Christopher O'Neil
Name
4401 SE 26th Ter. Rd.
Address
Ocala, Fl. 34480
City State Zip
☐ Please check box if address has changed

JACKSONVILLE FPDC 320
11 SEP 2026 PM 3 L



Florida Gaming Control Commission
Attn: Clerk of Commission
4070 Esplanade Way, Suite 250
Tallahassee, Fl. 32399

RECEIVED
2026 SEP 14 AM 11:41
FLORIDA GAMING
CONTROL COMMISSION

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 9/02/2026
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2026-053592

CHRISTOPHER RYAN O'CULL,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Christopher Ryan O'Cull ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.

2. At all times material hereto, Respondent held a Cardroom Employee Occupational License, number 12876363, issued pursuant to section 849.086(6), Florida Statutes.

3. At all times material hereto, Respondent worked as a cardroom dealer at South Marion Real Estate Holdings, LLC d/b/a Oxford Downs.

4. At all times material hereto, Oxford Downs was a facility operated by a permitholder authorized to conduct pari-mutuel wagering and cardroom operations in the state of Florida under chapters 550 and 849, Florida Statutes.

5. On or about July 22, 2026, Respondent failed to clear his hands on one or more occasions when cash, chips, or tokens were exchanged with or provided to a player.

6. Rule 75-11.004(8)(a) of the Florida Administrative Code, states, in pertinent part, that dealers shall "[c]lear their hands when cash, chips, or tokens are exchanged with or provided to a player."

7. Based on the foregoing, Respondent violated rule 75-11.004(8)(a) by failing to clear his hands when cash, chips, or tokens were exchanged with or provided to a player on or about July 22, 2026.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in section 849.086(14), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2026-053592 is signed this 1st day of September 2026.

/s/ Emily A. Alvarado

Emily A. Alvarado
Chief Attorney
FBN: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 536-8709
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

7020 2450 0000 5096 3711

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Extra Services & Fees *(check box, add fee as appropriate)*
- ☐ Return Receipt (hardcopy) $
- ☐ Return Receipt (electronic) $
- ☐ Certified Mail Restricted Delivery $
- ☐ Adult Signature Required $
- ☐ Adult Signature Restricted Delivery $

Postage
$

9.2.26

Postmark Here

Christopher Ryan O'Cull
4401 SE 26th Terrace Road
Ocala, FL 34480
AC-2026-053592-OGC

PS Form 3800, April 2015 PSN 7530-02-000-9047 See Reverse for Instructions

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1000 - Permit to Conduct Pari-Mutuel Wagering**			Status	**90 Closed**	Status Date	**08/24/2026**
Complaint #	**2026053592**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**O'CULL, CHRISTOPHER RYAN**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INTR - Internal**	Priority	
Class'n	**V-C - Cardroom Violations**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**07/22/2026**
Region	**NR - Northern Region**	Received	**07/30/2026**
Reference	**75-11.004(8)(A)**		
Entered	**07/30/2026**	Entered By	**cstubbs1**
Summary	**545 - South Marion Real Estate Holdings - FSS 75-11.004(8)(A) Dealer Responsibilities - On July 22, 2026, during a routine facility visit to Oxford Downs Cardroom, and while viewing live Surveillance video from July 22, 2026, I observed Dealer, CHRISTOPHER O'CULL, fail to clear his hands while exchanging chips and currency with or to a player**		
Updated	**08/25/2026 09:17:41**	By	**cstubbs1**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☐ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

Change | Save | OK | Cancel | Back

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Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 145
Fort Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Ala a Zimmer, Executive Di ecto

Ron DeSantis, Gove no

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	D te of Compl int:	C se Numbe :
PMW	**CENTRAL**	**JULY 30, 2026**	**2026 0563592**

Respondent:	Compl in nt:
O'CULL, CHRISTOPHER RYAN **4401 SE 26TH TERRACE ROAD** **OCALA, FLORIDA 34480** **PHONE: 352-322-7078**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 WEST COMMERCIAL BOULEVARD, SUITE 145** **FT. LAUDERDALE, FLORIDA 33309**

License Number and Type:	Position:	Report Date:
1287636 / 0012	**POKER DEALER**	**August 28, 2026**

Date of Investigation:	Type of Report:
JULY 22, 2026 – AUGUST 20, 2026	**FINAL**

Alleged Violation(s): 75-11.004 Dealer Responsibilities.

(8) Dealers shall:

(a) Clear their hands when cash, chips, or tokens are exchanged with a player.

Synopsis: During July 22, 2026, during outdoor facility observations, Investigator C. Dunkley observed Poker Dealer **O'CULL, CHRISTOPHER** failing to clear his hands when exchanging cash with a player.

Related Case(s): 2026 0563592

Investigator's Name:	Chief of Investigations:
/s/ C. Dunkley	Bradford Beiswenger
C. Dunkley, Investigator	Bradford Beiswenger, Chief of Investigations
August 28, 2026	August 28, 2026

FGCC INVE IG A IVE E O R i **C E NUMBE : 2026 05 3592**

CON INU ION

Invest gat ve Act v ty:

On July 22, 2026, Invest gator Rounds conducted a B weekly Inspect on at the Oxford Downs Cardroom. Dur ng her v s t, she rev ewed the recorded surve llance footage from July 22, 2026, randomly watch ng Dealer Chr stopher R. **O'CULL** (PMW L cense #12876363), who was ass gned to Table #6 from approx mately 10:30 AM to 11:00 AM. Wh le observ ng **O'CULL**, she noted that he repeatedly fa led to clear h s hands when exchang ng ch ps w th or prov d ng ch ps to players at the table **(EXHIBI #2)**.

After rev ew ng the surve llance v deo, she spoke w th Poker Room D rector Hyun **KOH** (PMW L cense #8950903) and nformed her of **O'CULL'S** v olat on. **KOH** rev ewed the recorded surve llance v deo of the Dealer's v olat on and prov ded h s name. She further stated that she would ssue a wr tten counsel ng and prov de a copy wh ch s attached as **EXHIBI #4.**

Conclusion: Dealer Chr stopher **O'CULL** was found n v olat on of F.A.C. Rule 75-11.004(8)(a) for fa l ng to properly clear h s hands dur ng ch p exchanges and wh le ssu ng ch ps to players. A rev ew of the Versa Regulat on Enforcement database dent f ed one pr or v olat on of the same rule (Case #2025 06 5640), for wh ch **O'CULL** rece ved a Wr tten Warn ng from the fac l ty and a verbal warn ng from the D v s on **(EXHIBI #5)**.

tatus: Closed by Invest gat ons and forwarded to Legal for rev ew**.**

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I. INV STIGATIV R PORT COV RSH T……1-1

II. INV STIGATIV R PORT……..1-1 E

III. EXHIBITS

1. **O'CULL'S** License……..……..……..1-1
2. Surveillance Video Property Receipt……1-1
3. **KOH'S** License..……..……1-1
4. Disciplinary Action……1-2
5. Prior Violation ……..1-6

E

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

License

Fed Tax # [redacted] Lic Type **1012 - Cardroom Employee Occupational** Expires On **06/30/2027**

File # **13055** Name **O'CULL, CHRISTOPHER RYAN** Extended To

License # **12876363** Rank **CEMP - Cardroom Employee Occupational** Renewed On **06/07/2024**

Entity # **12876363** Lic Status **Current**

Licensee | History | Notes | Notes History | Back

Address

Street # **4401** Street **SE 26TH TER RD**

Line 2

Line 3

City **OCALA** State **FL** Zip **34480**

Routing

Other

1st License Date **08/25/2021** Rank Date **06/07/2024** Certificate #

Method **I-S-1024** Status Date **08/25/2021** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DEAL - Dealer	07/29/2021	
I	QHRS - Quarter-Horse	07/29/2021	
L	545 - South Marion Real Estate Holding, LLC	07/29/2021	
Y	3YR - 3 Year License	07/29/2021	

Alt Keys

BEST LIC NBR **12876363**

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EXHIBIT #1

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Investigations Section

PROPERTY RECEIPT

Complaint # 2026 05 3592 Date 7-31-26 Lab # _____

Item No.	Quantity	Description
1	1	Dealer Christopher O'Cull did not clear his hands. On 7-22-26 at table # 6 from 10:30AM until 11:38AM.

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.

Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.

Signature – Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature Date

Received by: _____ Date: _____
Received by: _____ Date: _____
Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

EXHIBIT #2

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **dwashingto**

VR Home > License Search > **License Home**

Licensee | History | Notes | Notes History | Back

License

Fed Tax # [redacted] Lic Type **1012 - Cardroom Employee Occupational** Expires On **06/30/2027**

File # **6435** Name **KOH, HYUN** Extended To

License # **8950903** Rank **CEMP - Cardroom Employee Occupational** Renewed On **06/21/2024**

Entity # **8950903** Lic Status **Current**

Address

Street # **10342** Street **SE 162ND PLACE RD**

Line 2

Line 3

City **SUMMERFIELD** State **FL** Zip **34491**

Routing

Other

1st License Date **07/25/2018** Rank Date **06/21/2024** Certificate #

Method **I-S-1024** Status Date **07/25/2018** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	CMAN - Cardroom Manager	05/14/2021	
C	DEAL - Dealer	06/30/2011	
I	QHRS - Quarter-Horse	06/07/2018	
L	545 - South Marion Real Estate Holding, LLC	05/24/2024	
Y	3YR - 3 Year License	06/19/2012	

Alt Keys

BEST LIC NBR **8950903**

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EXHIBIT #3

Derek Washington

From: Hyun Koh <hkoh@betoxford.com>
Sent: Thursday, August 20, 2026 8:34 AM
To: Derek Washington
Subject: Fwd: Chris O'Cull
Attachments: CHRIS O.pdf

> You don't often get email from hkoh@betoxford.com. Learn why this is important

Hyun Koh
Director of Poker Operations
Oxford Downs
hkoh@betoxford.com
954-558-7783
352-347-2273 Ext.101

---------- Forwarded message ---------
From: **Hyun Koh** <hkoh@betoxford.com>
Date: Sat, Aug 8, 2026 at 12:14 PM
Subject: Chris O'Cull
To: <leeann.rounds@flgaming.gov>

Please see attached for the requested document.

Thanks,

Hyun Koh
Director of Poker Operations
Oxford Downs
hkoh@betoxford.com
954-558-7783
352-347-2273 Ext.101

EXHIBIT #4
PAGE 1/2

Performance Documentation

Last Name: O'Cull **First Name:** Christopher
Employee ID: _____ **Position:** Poker Dealer

Type of Entry:

- ☐ Commendation
- ☐ Informational Entry
- ☐ Verbal Warning
- ☒ Written Warning
- ☐ Final Written Warning
- ☐ Separation of Employment
- ☐ Suspension Pending Investigation

Nature of Entry:

- ☐ Attendance
- ☒ Policy/Performance
- ☐ Variance

Prior Documentation (Dates and Type of Entry): 8/28/2025, Performance

WHAT the actual observed behavior was including where, when:
On Wednesday, July 22nd, 2026, during your dealing on T6 from 10:30 am to 11:00 am, it was observed that you did not clear your hands at all after handling cash and chips.

WHY this is important (violation of which policy, impact on Oxford Downs.):
Clearing your hands after every transaction involving cash, chips, or token is a requirement of both company Internal Control and state regulations. Adhering to this policy is crucial. A state investigator has noted for the 2nd time that you didn't clear your hands. Please be advised that any future violation may result in a fine from the state.

HOW behavior needs to be corrected: It is important to clear your hands after every transaction when cash, chips or tokens are exchanged with or provided to a player.

CONSEQUENCE if behavior continues: Final Written Warning/ Suspension

Employee Comments:

Employee Signature: Christopher OCull **Date:** 8-8-2026

Supervisor Signature: _____ **Date:** _____

Manager Signature: Hyun Koh **Date:** 8/6/2026

EXHIBIT #4
PAGE 2/2

Lic Type	**1012 - Cardroom Employee Occupational**			Status	**90 Closed**	Status Date	**10/07/2025**
Complaint #	**2025065640**	Case Type	**CMP - Complaint**	Disposition	**100 No Action Taken**	Disposition Date	**10/07/2025**
Docket#		Respondent	**O'CULL, CHRISTOPHER RYAN**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INTR - Internal**	Priority	
Class'n	**V-C - Cardroom Violations**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**08/26/2025**
Region	**SR - Southern Region**	Received	**09/05/2025**
Reference	**75-11.004(8)(a)**		
Entered	**09/05/2025**	Entered By	**cstubbs1**
Summary	**545 - "SOUTH MARION REAL ESTATE HOLDINGS, LLC" - F.S.S. 75-11.004(8)(a) Dealer Responsibilities - On August 26, 2025, during a routine Biweekly Inspection at Oxford Downs Cardroom, and while reviewing recorded Surveillance video from August 26, 2025, I observed Dealer, CHRISTOPHER O'CULL, fail to clear his hands while exchanging chips and currency with players.**		
Updated	**10/08/2025 10:33:06**	By	**cstubbs1**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☐ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

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EXHIBIT #5
PAGE 1/6

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Joe Dillmore, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**CENTRAL**	**SEPTEMBER 5, 2025**	**2025 06 5640**

Respondent:	Complainant:
O'CULL, CHRISTOPHER RYAN **4401 SE 26TH TERRACE ROAD** **OCALA, FLORIDA 34480** **PHONE: 352-322-7078**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 WEST COMMERCIAL BOULEVARD, SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # and Type:	Profession:	Report Date:
12876363 / 1012	**POKER DEALER**	**SEPTEMBER 15, 2025**

Period of Investigation:	Type of Report:
AUGUST 26, 2025 – SEPTEMBER 15, 2025	**FINAL**

Alleged Violation(s) 75-11.004 Dealer Responsibilities: (8) Dealers shall: (a) Clear their hands when cash, chips, or tokens are exchanged with or provided to a player.

Synopsis: On August 26, 2025, during a Biweekly Inspection at the Oxford Downs Cardroom, I reviewed the recorded surveillance footage from that date. In the footage, I observed Dealer **CHRISTOPHER O'CULL** failing to clear his hands multiple times while exchanging chips and currency with players.

Related Case:

Investigations Specialist II / Date	Investigation Manager / Date:
/s/ *Lee Ann Rounds* Lee Ann Rounds / September 15, 2025	/s/ C. Derek Washington C. Derek Washington / September 16, 2025

Chief of Investigations / Date

Bradford D. Jones / October 7, 2025

CONTINUATION

Investigative Activity:

On August 26, 2025, I conducted a Biweekly Inspection at the Oxford Downs Cardroom. During this visit, I reviewed the recorded surveillance footage from that date. While conducting the video review, I followed the activities of Dealer, Christopher **O'CULL** (PMW License #12876363), who was assigned to Table #29 from approximately 9:53 AM to 10:30 AM. While observing **O'CULL**, I noted that he repeatedly failed to clear his hands when exchanging chips and currency with players **(EXHIBIT #2).**

On August 29, 2025, while conducting a routine facility visit at the Oxford Downs Cardroom, I spoke with Cardroom Manager Hyun **KOH (**PMW License #8950903). We discussed the violation I found on August 26, 2025, involving **O'CULL**. She stated she had taken disciplinary action against **O'CULL.** I was provided with a copy of a signed Oxford Downs Performance Document, confirming that **O'CULL** had received a written warning for this violation. She further stated that she is reminding all dealers of the need to pay more attention to their responsibilities when dealing **(EXHIBIT #3).**

Conclusion: Dealer Christopher **O'CULL** violated F.A.C. Rule 75-11.004 (8)(a) by failing to adequately clear his hands while exchanging chips and currency with players. A review of the Versa Regulation Enforcement database revealed no prior violations associated with **O'CULL.** Given that this is his first violation and that facility management has taken corrective measures in the form of a written warning, a verbal warning from this office is warranted and was given.

Status: Case closed by Investigations. No further action is required.

EXHIBIT #5
PAGE 3/6

TABLE OF CONTENTS

I. INVESTIGATIVE REPORT COVERSHEET……1-1

II. INVESTIGATIVE REPORT……..1-1

III. EXHIBITS

1. Surveillance Video/Property Receipt……1-1
2. Cardroom Manager's License……...1-1
3. Performance Documentation……..1-2
4. Respondent License……..1-1

EXHIBIT #5
PAGE 4/6

INCIDENT STATEMENT

When completing this form, it is imperative that you include as much detail as possible (i.e., names, times, dates, and locations). Please remember to sign your name on this statement. You may use additional sheets of paper if necessary.

NAME OF WITNESS(S):

COMPLAINANT(S): Florida Gaming Control Commission

SUBJECT(S): Poker Dealer Christopher Ocull

TYPE OF INCIDENT: Poker Dealer Failed to Clear Hands

DATE OF INCIDENT: 8-26-2025

TIME OF INCIDENT: 10:00:00 - 10:32:10 hrs

LOCATION OF INCIDENT: Main Poker Room Table # 29

SUMMARY: On todays date at around 1107 hrs F.G.C.C Investigator LeeAnn Rounds arrived at Oxford Downs to conduct a bi-weekly inspection.

Rounds requested me to review an opening of a table which Table #29 was chosen. While reviewing table #29 Dealer Christopher Ocull Rounds seen that Ocull was not clearing his hands during the game time frame being 10:00:00 – 10:32:10 hrs.

Rounds initiated a complaint against Ocull and my finding were referred to Director of Poker Operations Hyun Koh.

(See Video of Incident)

Security Officer
Tyler Mosley

Performance Documentation

Last Name: O'Cull **First Name:** Chris
Employee ID: _____ **Position:** Poker Dealer

Type of Entry:

- ☐ Commendation
- ☐ Informational Entry
- ☐ Verbal Warning
- ☒ Written Warning
- ☐ Final Written Warning
- ☐ Separation of Employment
- ☐ Suspension Pending Investigation

Nature of Entry:

- ☐ Attendance
- ☒ Policy/Performance
- ☐ Variance

Prior Documentation (Dates and Type of Entry): N/Aa

WHAT the actual observed behavior was including where, when: Please see the attached reporta

WHY this is important (violation of which policy, impact on Oxford Downs.):
Clearing your hands after every transaction involving cash, chips, or token is a requirement of both company Internal Control and state regulations. Adhering to this policy is crucial.

HOW behavior needs to be corrected: It is important to clear your hands after every transaction when cash, chips or tokens are exchanged with or provided to a player.

CONSEQUENCE if behavior continues: Written Warning/ Final Written warninga

Employee Comments:

Employee Signature: Christopher O'Cull **Date:** 08-29-2025
Supervisor Signature: _____ **Date:** 8-29-25
Manager Signature: Hyun Koh **Date:** 8/28/2025

EXHIBIT #5
PAGE 6/6