Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

Meeting Agenda
Thursday, January 8, 2026
9:30 AM
Joseph P. Cresse Hearing Room 148
Betty Easley Conference Center
4075 Esplanade Way
Tallahassee, Florida 32399-0850

1. Approval of Meeting Minutes
   - 1.1. November 6, 2025
   - 1.2. December 4, 2025
2. Executive Director update
3. Discussion of renewal application for Slot Machine License
   - 3.1. 2025-079718 – PPI, Inc.
4. Discussion of amended application for Pari-Mutuel Licenses
   - 4.1. 2025-088223 – Tampa Bay Downs, Inc.
   - 4.2. 2026-000329 – Gulfstream Park Racing Association, Inc.
5. Discussion of Default Final Orders
   - 5.1. 2024-037401 – Brooks, Gerald Edward
   - 5.2. 2024-072809 – Sobrino Rodriguez, Cecilia
   - 5.3. 2025-054088 – Cepero, Manuel
   - 5.4. 2025-054403 – Stone, Sean Robert O'Boyle
   - 5.5. 2025-055766 – Melendez Rodriguez, Sergio
6. Discussion of Settlement Offers
   - 6.1. 2025-001169 – St. Petersburg Kennel Club, Inc.
   - 6.2. 2025-011098 – Diaz, Edgar David
   - 6.3. 2025-059681 – Garcia Cornejo Y Santana, Hector
7. Discussion of Request for Waivers
   - 7.1. 2025-071167 – Karcher, Christian Lewis
   - 7.2. 2025-072257 – Oranje, Zachary R.

7.3. 2025-072282 – Chrans, Derek Michael

7.4. 2025-073814 – Moyer, Robbin Elaine

7.5. 2025-073896 – Lewis, Steven

7.6. 2025-077008 – Mortimer, Jonathan Jay

7.7. 2025-080903 – Santiago, Matthew Geraldo

8. Discussion of License Denials

8.1. 2025-078132 – Hill, Sherry Ann

8.2. 2025-080536 – Santiago, Casey K.

8.3. 2025-082208 – Shotwell, Gregory Travis

9. Discussion of Final Orders pursuant to request from respondent

9.1. 2025-054235 – Perez, Matthew Luis

9.2. 2025-065351 – Fueguel, Norberto

10. Public Comment

11. Closed Attorney-Client Session

11.1. 2024-031374 – PPI, Inc.

11.2. 2025-040840 – Investment Corporation of Palm Beach d/b/a Palm Beach Kennel Club

11.3. 2025-048013 – FGCC v. bestbet St. Augustine, Inc.

11.4. Forfeiture of: Fifty-Two Thousand Six Hundred Seventy-Three Dollars in U.S. Currency, Case No. 25-CA-671

PERSON WISHING TO ADDRESS
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1. Approval of Meeting Minutes

FLORIDA GAMING CONTROL COMMISSION

PUBLIC MEETING/WORKSHOP HEARING

LOCATION

Senate Building, 110
404 South Monroe Street
Tallahassee, Florida 32399

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Thursday, November 6, 2025

9:30 a.m. - 12:07 p.m.

BEFORE: Vice Chair Julie I. Brown
Commissioner Chuck Drago
Commissioner John D'Aquila
Commissioner Tina Repp

Stenographically Reported By:
I. Iris Cooper
Stenographic Reporter

Job No.: 409227

APPEARANCES:

COMMISSION MEMBERS:

Vice Chair Julie I. Brown
Commissioner Chuck Drago
Commissioner John D'Aquila
Commissioner Tina Repp

PARTICIPANTS:

- Emily Alvarado, Chief Attorney in Litigation
- Joe Dillmore, Director, Division of Pari-Mutuel Wagering
- Brandy Humphries - Administrative Assistant II
- Christina Linton, Chief of Intelligence & Analytical Support
- Brandon McCaffery, Deputy Executive Director
- Lisa M. Mustain, Director Of Administration
- Dixie Parker, Business Manager I
- Jamie Pouncey, Program Administration
- Karen Stelter, Chief of Revenue and Auditing
- Gary Snyder, Chief of Law Enforcement
- Marc Taupier, Deputy General Counsel
- Carl Herold, Director of Law Enforcement
- Elina Valentine, General Counsel
- Alana Zimmer, Executive Director

OTHERS PRESENT:

- Various Members of the Public
- The Florida Channel

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Thereupon, the following proceeding began at 9:30 a.m.:

VICE CHAIR BROWN: Good morning, everyone. Today is Thursday, November 6, 2025. This is the Florida Gaming Control Commission's November agenda meeting. If you would please stand with us for the pledge of allegiance, which will be led by our new executive director, Ms. Alana Zimmer.

(Pledge of allegiance.)

VICE CHAIR BROWN: Thank you so much, and thank you all for traveling to this facility today. This is our first time in this room and appreciate you finding your way here to join us. We are going to begin our meeting.

I do want to note at the end of the meeting, we do have a closed attorney-client session, actually four of them, so we will be doing that as well.

But we are going to go right into our approval of meeting minutes for September 11th. May I please get a motion.

COMMISSIONER D'AQUILA: I'll make a motion to approve the minutes.

VICE CHAIR BROWN: Thank you. And a second.

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: All those in favor, say

aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. And now we're going into our executive director update. She's sitting right here with us on the lecturn.

MS. ZIMMER: Good morning, everyone. Thanks for being here. I just have a couple of things today. First of all, we wanted to welcome our new Director of Communications, Malanie Etters over here. And she comes to us from the Florida Department of Highway Safety and Motor Vehicles, so thank you, Malanie.

VICE CHAIR BROWN: Welcome.

MS. ETTERS: Thank you. I'm excited.

MS. ZIMMER: And then the only other item we had today is we need to set our schedule for the January, February, and March meetings, so we have a couple options there.

VICE CHAIR BROWN: Sure. Can we jump right into the January options?

MS. ZIMMER: Yes. We've got Wednesday the 7th and Thursday the 8th.

VICE CHAIR BROWN: Commissioners, both look amenable to me. Are you okay with it?

COMMISSIONER D'AQUILA: I'm okay with that.

COMMISSIONER DRAGO: I'm all right with it.

VICE CHAIR BROWN: Can we shoot for the 8th, if that work for you?

COMMISSIONER REPP: Yes. Absolutely.

MS. ZIMMER: And then the next one, the February options are Wednesday the 4th, Thursday the 5th, Wednesday the 11th, and Thursday the 12th.

COMMISSIONER DRAGO: 4th and 5th are good for me.

VICE CHAIR BROWN: 4th and 5th are good. National Signing Day. Yes, February 5th.

MS. ZIMMER: And then for March, we've got Wednesday the 4th, Thursday the 5th, Wednesday the 11th, and Thursday the 12th.

COMMISSIONER D'AQUILA: I suggest the 5th.

VICE CHAIR BROWN: Agree. Are you all okay with the 5th?

COMMISSIONER DRAGO: Yes.

COMMISSIONER REPP: Yes.

VICE CHAIR BROWN: Let's stay consistent.

MS. ZIMMER: We might as well do April here, too. So we've got Wednesday the 1st, April Fool's Day.

VICE CHAIR BROWN: No.

MS. ZIMMER: Thursday the 2nd, Wednesday the

8th, and Thursday the 9th.

VICE CHAIR BROWN: Is everybody okay with April the 9th, Thursday?

COMMISSIONER D'AQUILA: Yes.

COMMISSIONER REPP: Yes.

VICE CHAIR BROWN: Great. Thank you. Under your executive director report, are we going to hear from our director of law enforcement?

MS. ZIMMER: We sure are. Are you ready?

MR. HEROLD: Good morning, everybody. Happy to be here. Very excited about sharing our law enforcement operations for this past month, the month of October. Investigatively, we actually touched about 114 investigations, so those were actively being worked on.

We were involved in 17 -- hang on. We closed 20 illegal casinos across the state and seized 802 slot machines and arrested 20 persons, with a total of 41 criminal charges. We seized $338,000 in illegal funds.

The operations were all across the state. We just had two operations this past week. Our investigative tempo is really humming along right now. I'm very please with it. We're involved in three, sometimes four operations every week.

The evidence side of it, the evidence folks were involved in 17 operations. Like I say, we seized those 802 slot machines. We also were able to seize two firearms, a pistol, and a long rifle, and 21 grams of marijuana, and a set of brass knuckles were ceased.

VICE CHAIR BROWN: May I stop you. Do you have any questions?

COMMISSIONER DRAGO: No.

MR. HEROLD: From our intel and analytical side of the house, they were involved in 13 background investigations, 10 business background investigations. And they also attended 11 multi-agency intel meetings, which is fantastic.

Our investigative leads that we get in through the website and other ways, we received 300 total reports of criminal activity this past month, which is a little less than the last few months. It's been hoovering around 330, 340, but still very high.

Of those 296, all but 4 of them were from citizens. And the four that remained were from other law enforcement agencies, so very pleased with the citizen participation in this.

Warehouse space, I'll preface it with we

currently have about 33 percent warehouse space remaining, but we continue to actively and aggressively pursue disruption on all of these machines.

As soon as the criminal cases are resolved, so far over the last two months, we have destroyed about 467 machines and about 150 other pieces of evidence that no longer need to be held but are not slot machines.

Our current slot machine count for warehouses is 7,492. We do have another destruction event scheduled for the first week of December. That will be about another 150 machines, but we do have other ones that have been identified as needing to be destructed, but we're waiting for the final approval from the State Attorney's Office.

VICE CHAIR BROWN: Are you able, Director Herold, to disclose location of that event?

MR. HEROLD: Yes. This will be an event in Brevard County. We're very glad to have the investigative support and the continued support of our mission for going after illegal gambling by Sheriff Wayne Ivey, and we are going to have a very public event.

I will tease that and not really give it all

out, but there will be a very public event involving the disruption of those machines.

VICE CHAIR BROWN: We are all looking forward to joining you.

MR. HEROLD: It should be quite thrilling, I will say. As far as staffing is concerned, we have newly-promoted special agent supervisor in South Florida. That was just early this week after interviewing and advertising and everything.

We are doing an onboarding for our cyber analyst. Hopefully, we'll have that individual on by the end of this month. We're also -- we have a forensic engineer analyst type individual that we've advertised again, and we feel very confident that we're going to have someone in that. And hopefully, we can get individual onboarded as well.

We have an administrative assistant in South Florida that began on the 31st of October, so we've got that position filled. And we currently have a few agent positions, but all of those have been advertised, and we are preparing to interview for those positions.

We have one in Tallahassee, one in central, and three in the south. So we hope to get those individuals onboarded pretty quickly. We continue

to work with other law enforcement agencies. This next comment I'll direct to Commissioner D'Aquila.

We have 38 active MOUs, so we continue to do that. And then also, I'm very proud of the work that our agents have done in Governor DeSantis's illegal immigration mission.

Our guys have been very active in that and continue to do that, so we're very thankful for that as well. That's about all I have.

VICE CHAIR BROWN: Commissioners, any comments.

COMMISSIONER DRAGO: I don't have any questions?

Director Herold, these reports are fantastic, and the data is just incredible. Would it be beneficial to post it monthly on our website for the public so that they can see each month the progression, as you say?

MR. HEROLD: I will explore that and make sure that is a good idea.

VICE CHAIR BROWN: That's great.

MR. HEROLD: And also I do remember from last month, there was a question about the dashboard for the commissioners. I will say that we are working on that. Ms. Mustain, the director of

administration, has got her folks working on that. It should be up shortly. We have not let that lie.

VICE CHAIR BROWN: Thank you so much.

Commissioner D'Aquila.

COMMISSIONER D'AQUILA: Yes. Thank you for that update Director Herold. That point about the MOUs I think is just very important. And I wanted to point out, yesterday, I think it was Representative Valdez at the House Industries and Professional Activities Committee raised that very point about our commission working with several other government agencies throughout the state.

I think the progress that you have made is an incredible example of what we can do and which we already are doing with lottery, for example, but with other agencies, government agencies both in the state and also outside the state as we move forward.

So thank you for sharing that. And also, although not mentioned, I want to commend you on the work that you have done with ICE. I'll leave it at that.

MR. HEROLD: Thank you for your kind words.

VICE CHAIR BROWN: Thank you, Director Herold, for your report.

Ms. Zimmer, do you have anything else to report under the executive director update? Thank you, and welcome. I know it's been a fast and furious pace, so thank you for it.

We're going on to Discussion of Renewal Application for Slot Machine License 3.1, Ms. Pouncey.

MS. POUNCEY: Good morning. Jamie Pouncey, for the record. Case No. 2025-060710 is Dania Entertainment Center, L.L.C. They submitted their application for their slot machine business license for the 2025 fiscal year.

They have submitted all the required documents. Their fees were paid yesterday, and they have satisfied all the requirements. We recommend the commission approve their license effective November 9, 2025 to November 8, 2026.

VICE CHAIR BROWN: Thank you.

Commissioners, if there are no questions, seeing that all the statutory requirements have been complied with, can we please get a motion to approve the renewal of the slot machine license effective November 9th through November 8, 2026.

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: And a second.

COMMISSIONER REPP: Second.
VICE CHAIR BROWN: All those in favor, say aye.
(Multiple ayes.)
VICE CHAIR BROWN: Thank you, Ms. Pouncey. Item 4.1.
MS. POUNCEY: Item 4.1, Case No. 2025-077669, South Florida Racing Association, doing business as Hialeah Park Racing & Casino. They've submitted an application to add additional cardroom tables to their facility.
They currently have 28 tables and are requesting to add an additional four. They've submitted the required documents, along with the $1,000. per table fee. The Division recommends approval of this amendment.
VICE CHAIR BROWN: Thank you. Can we get a motion to approve.
COMMISSIONER D'AQUILA: Motion to approve.
VICE CHAIR BROWN: And a second.
COMMISSIONER REPP: Second.
VICE CHAIR BROWN: All those in favor, say aye.
(Multiple ayes.)
VICE CHAIR BROWN: All right. We're going

into a discussion of default final orders, Item Nos. 5.1 through 5.6. I will note, all of them are related in that they're all permanent exclusion items.

MS. ALVARADO: Good morning. Emily Alvarado, for the record. 5.1 is 2024-071799, Ingrid Pujol. In the case materials, you were provided with an administrative complaint alleging that the respondent was excluded from Casino Miami on December 24, 2024.

She is subject to exclusion from all pari-mutuel and slot machine facilities in the state of Florida pursuant to 550.0251(6) and 551.112 Florida Statutes. Respondent has been served with the administrative complaint and failed to respond within 21 days.

Therefore, the Commission should enter a final order finding that respondent was properly served, failed to respond within 21 days, that the facts in the administrative complaint are accepted as the facts in the case and concluding that respondent shall be added to the permanent exclusion list for all pari-mutuel and slot machine facilities in the state of Florida.

VICE CHAIR BROWN: Thank you, Ms. Alvarado.

This was a self-exclusion patron?

MS. ALVARADO: They were, and then they were excluded again by the facility for entering.

VICE CHAIR BROWN: Thank you.

Commissioners, are there any questions? Can we get a motion to approve the staff recommendation.

COMMISSIONER REPP: I'll make a motion to approve the staff recommendation.

VICE CHAIR BROWN: And a second.

COMMISSIONER DRAGO: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: 5.2, Ms. Alvarado.

MS. ALVARADO: 5.2 is 2025-033067, Thomas Chadrick. In the case materials, you were provided with an administrative complaint alleging that respondent was excluded from OcalaBets on April 10, 2025.

He is subject to exclusion from all pari-mutuel facilities in the state of Florida pursuant to Section 550.0251(6). Respondent was served with the administrative complaint and failed to respond within 21 days.

Therefore, the Commission should enter a final order finding that respondent was properly served and failed to respond within 21 days, that the facts in the administrative complaint are accepted as the facts in this case, and concluding that respondent will be added to the permanent exclusion list for all pari-mutuel facilities in the state of Florida.

VICE CHAIR BROWN: Thank you, Ms. Alvarado. And just to confirm, Mr. Lott who was involved in this matter, was he permanently excluded statewide?

MS. ALVARADO: There was a case open against him. I can confirm for you as if he was excluded. But I know there was a case open against him.

VICE CHAIR BROWN: Okay. Thank you.

Commissioners, if there are no questions, can we get a motion to approve the staff recommendation.

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: And a second.

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: 5.3.

MS. ALVARADO: 5.3 is 2025-035432, Darcy Perez-Amuchastegui. In the case materials, you were provided with an administrative complaint alleging that respondent was excluded from Card House Port St. Lucie on April 24, 2025.

She is therefore subject to exclusion from all pari-mutuel facilities in the state of Florida pursuant to 550.0251(6). The respondent was served with the administrative complaint and failed to respond within 21 days.

Therefore, the Commission should enter a final order finding that the respondent was properly served, that they failed to respond within 21 days, that the facts in the administrative complaint are accepted as the facts in this case, and concluding that they will be added to the permanent exclusion list for all pari-mutuel facilities in the state of Florida.

VICE CHAIR BROWN: Thank you.

Commissioners, any questions? Can we get a motion to approve the staff recommendation.

COMMISSIONER REPP: I'll make that motion.

VICE CHAIR BROWN: And a second.

COMMISSIONER D'AQUILA: Second.

VICE CHAIR BROWN: All those in favor, say

aye.

(Multiple ayes.)

VICE CHAIR BROWN: And we are on to 5.4.

MS. ALVARADO: 5.4 is 2025-037519, Zachary Kaplan. In the case materials, you were provided with an administrative complaint alleging that the respondent was excluded from Harrah's Pompano Casino on February 8, 2025.

He is subject to exclusion from all pari-mutuel and slot machine facilities in the state of Florida pursuant to 550.0251(6) and 551.112 Florida Statutes. Respondent was served with the administrative complaint and failed to respond within 21 days.

Therefore, the Commission should enter a final order finding that the respondent was properly served, failed to respond within 21 days, that the facts in the administrative complaint are accepted as the facts in this case and concluding that the respondent will be added to the permanent exclusion list for all pari-mutuel and slot machine facilities.

VICE CHAIR BROWN: Thank you, Ms. Alvarado. This is another one where the individual was self-excluded in 2020 but somehow returned.

MS. ALVARADO: Right.

VICE CHAIR BROWN: Commissioners, are there any questions on this?

COMMISSIONER DRAGO: No.

VICE CHAIR BROWN: Can we get a motion to approve the staff recommendation.

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: And a second.

COMMISSIONER D'AQUILA: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: We have two more of these, 5.5.

MS. ALVARADO: 5.5 is 2025-052746 Joane Marchese. In the case materials, you were provided with an administrative complaint alleging that the respondent was excluded from Harrah's Pompano Casino on July 9, 2025.

Therefore, subject to exclusion from all pari-mutuel and slot machine facilities in the state of Florida pursuant to 550.0251(6) and 551.112 Florida Statutes. The respondent was properly served with the AC and failed to respond within 21 days.

Therefore, the Commission should enter a final order finding that the respondent was properly served, failed to respond within 21 days, that the facts in the administrative complaint are accepted as the facts in this case and concluding that they will be added to the permanent exclusion list for all pari-mutual and slot machine facilities in the state of Florida.

VICE CHAIR BROWN: Thank you. I just want to note on the investigative report, the dates are wrong. I think it references 2015. Is that something that can be changed?

MS. ALVARADO: I can speak with our investigators about updating the report.

VICE CHAIR BROWN: Can I get a motion to approve the staff recommendation.

COMMISSIONER REPP: I'll make that motion.

VICE CHAIR BROWN: And a second.

COMMISSIONER D'AQUILA: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: And our last one is 5.6.

MS. ALVARADO: 5.6 is Elliot Press, 2025-052767. In the case materials, you have been

provided with an administrative complaint alleging that respondent was excluded from Casino at Dania Beach on July 15, 2025.

He is therefore subject to exclusion from all pari-mutuel and slot machine facilities in the state of Florida pursuant to 550.0251(6) and 551.112 Florida Statutes. Respondent was served with the administrative complaint and failed to respond within 21 days.

Therefore, the Commission should enter a final order finding that respondent was properly served, failed to respond within 21 days, that the facts in the administrative complaint are accepted as the facts in this case and concluding that they'll be added to the permanent exclusion list for all pari-mutuel and slot machine facilities in the state of Florida.

VICE CHAIR BROWN: Thank you. I know that's a lot to say. Ms. Alvarado, do you know if the respondent ever returned the $13,500?

MS. ALVARADO: We did not receive an update. I can also ask the investigators to go back and get an update on that, but I haven't received one.

VICE CHAIR BROWN: Thank you. Just to make the file complete.

Commissioners, any questions on this? Okay. Can we get a motion to approve the staff recommendation.

COMMISSIONER D'AQUILA: Motion to approve the staff recommendation.

VICE CHAIR BROWN: Thank you. And a second.

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. We are moving to the discussion of settlement offers, 6.1 through 6.4. I will note that we do have a speaker that will be calling in on Item 6.4.

MS. ALVARADO: Item 6.1 is 2024-065648, St. Petersburg Kennel Club. On May 27, 2025, a three-count administrative complaint was filed against respondent alleging that respondent violated Rule 7511.205(17) by failing to include in its surveillance activity log a notation of the reading on the video that identified the point on the recording that the offense was recorded, failing to include in the surveillance activity log the date and time the equipment malfunctioned and repair occurred, and failing to include in the

surveillance activity log the times when three instances a monitoring ended.

The facility has two prior consent orders with the same offense. The respondent filed in response to the Commission's administrative complaint on June 17, 2025 proposing settlement in this matter. And this was presented at the September 11, 2025 attorney-client meeting.

Here, the respondent is requesting that the Commission agree to the proposed terms in the consent order and will pay a $750 fine.

VICE CHAIR BROWN: Thank you.

Commissioners, are there any questions on this? If not, can we get a motion to approve the stipulation and consent order.

COMMISSIONER REPP: I'll make that motion.

VICE CHAIR BROWN: And a second.

COMMISSIONER D'AQUILA: I'll second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. Item 6.2.

MS. ALVARADO: 6.2 is 2024-072045, Samantha Lynn Brown. On March 6, 2025, the Commission issued a letter of license denial notifying

petition of its intent to deny the application based on petitioner's misdemeanor conviction, which was petty theft.

On March 25, 2025, petitioner requested an informal hearing pursuant to Section 120.572 Florida Statutes. At the informal hearing that was held on August 12, 2025, the petitioner testified to the circumstances that led to the conviction.

On October 8, the hearing officer issued a recommended order recommending denial of petitioner's application. At the October 16th Commission meeting, the Commission asked that the Division seek additional information from the petitioner and to negotiate settlement, if possible.

Here, the petitioner is requesting the adoption of the consent order granting petitioner's license with additional conditions included, that the petitioner shall notify the Commission within 48 hours of any arrest, indictment, charge, or any criminal offense and that her license will be surrendered immediately upon arrest, indictment, or charge for any criminal offense or administrative offense.

And she also did provide two reference letters

that you guys asked for her to provide more information.

VICE CHAIR BROWN: No. That's great. Thank you for your work on this and the creativity coming up with a conditional license.

Commissioners, are there questions of Ms. Alvarado?

COMMISSIONER DRAGO: No.

VICE CHAIR BROWN: Okay. Can we get a motion to approve the stipulation and consent order.

COMMISSIONER DRAGO: I'll make that motion.

VICE CHAIR BROWN: And a second.

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Passes unanimously. Item 6.3.

MS. ALVARADO: Item 6.3 is 2025-007982, Ocala Breeders' Sales Company, Inc. On August 5, 2025, an amended administrative complaint was filed against respondent alleging that respondent violated Rule 75-11.025(18) Florida Administrative Code by failing to suspend play at the tables while there was inadequate monitoring.

This facility did not have any prior violations of this rule. The respondent filed in response to the Commission's administrative complaint on August 14th and 19th of 2025 proposing settlement upon this matter.

It was presented at the October 16th Commission meeting in an attorney-client session. Here, the respondent is requesting that the Commission consider and agree to the proposed terms, which will be a $250 fine.

VICE CHAIR BROWN: Are there any questions? If not, can we get a motion to approve the stipulated and consent order.

COMMISSIONER DRAGO: Motion to approve.

VICE CHAIR BROWN: Thank you. And a second.

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. We have Item 6.4. We're going to have Ms. Alvarado present it, and then we'll have the speaker address the Commission.

MS. ALVARADO: Item 6.4 is 2025-011289, Allison Lombardi. On April 11, 2025, the

Commission issued a letter of license denial notifying petitioner of its intent to deny her application based on her two felony convictions of assault and harassment with bodily fluid.

On May 1st, the petitioner requested an informal hearing, which was held on August 12, 2025. On October 7, 2025, the hearing officer issued a recommended order recommending granting petitioner's application.

At the October 16th Commission meeting, the Commission asked that the Division seek additional information from petitioner and that we negotiate settlement.

Here, the petitioner is requesting the adoption of the consent order granting petitioner's license with additional conditions, including that petitioner shall notify the Commission within 48 hours of any arrest, indictment, or charge of any criminal offense and that her license shall be surrendered immediately upon an arrest, indictment, or charged for any criminal or administrative offense. She also provided three recommendation letters.

VICE CHAIR BROWN: Thank you, including one letter from a retired chief deputy, it looks like.

MS. ALVARADO: Yes.

VICE CHAIR BROWN: Thank you.

Ms. Parker, do we have -- we have her. Okay.

Ms. Lombardi, you have the floor. You may address the Commission. We're going to put you on a microphone so that the public can also hear.

MS. PARKER: Ms. Lombardi, go ahead.

MS. LOMBARDI: Hello.

VICE CHAIR BROWN: Hello. We got you.

MS. LOMBARDI: Sorry. It's breaking up a little bit.

VICE CHAIR BROWN: We hear you perfectly.

MS. LOMBARDI: I was just asking, you know, to be reconsidered. I did have a couple letters written from some people in Ohio who understand the case and who knew what happened. I have been working really hard.

I have two daughters that I have raised and basically from managing restaurants, serving. I also had a business here. It was a pizzeria Italian restaurant in Florida, which I had for about three years.

I had ran it by myself for a year going through a divorce. Unfortunately, I did sell it. After I did sell it, I got a job with Club 52. I

was bartending there for a little bit, but I can only work downstairs until I got the license, the pari-mutuel license.

So I was just asking if you guys can reconsider. I really did enjoy working there. Like I said, I've been a bartender, a server. I was front house manager as well, so I definitely feel like I have proven that I can be in that kind of environment.

I'm just trying to find a way to raise my family down here. It was kind of unfortunate that I had to sell my restaurant, so I'm kind of upset about that. But hopefully in the future, I'll be able to open up another one.

Maybe once my daughters graduate from high school, it might be easier for me to do that. But I am just kind of asking for reconsideration and a chance to prove myself that I am able to work in that environment with the pari-mutuel license.

VICE CHAIR BROWN: Thank you, Ms. Lombardi. I also wanted to thank you for the additional information you provided from your references. It provided, for me at least, a lot more clarity.

Commissioners, are there any questions of Ms. Lombardi?

There are no questions. Thank you. We're going to take you off the speakers.

MS. LOMBARDI: Okay. Thank you so much.

VICE CHAIR BROWN: Thank you for your time.

All right. Commissioners, is there a motion or thoughts on this item? You can approve the conditional license.

COMMISSIONER DRAGO: I'd like to make a motion to approve the conditional license.

VICE CHAIR BROWN: Thank you. For clarity, that's stipulation and consent order.

COMMISSIONER DRAGO: Including the stipulation and consent order.

VICE CHAIR BROWN: Thank you. Can we get a second.

COMMISSIONER D'AQUILA: I will second that.

VICE CHAIR BROWN: Thank you. Any discussion? All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. Passes unanimously. We are moving on to miscellaneous final orders 7.1 through 7.3.

Ms. Alvarado.

MS. ALVARADO: 7.1 is 2025-038263, Francisco Cortes. On August 13, 2025, the Division filed an

administrative complaint against respondent alleging the subject to exclusion from all pari-mutuel and slot machine facilities in the state of Florida pursuant to Section 550.0251(6) and 551.112 Florida Statutes based on his ejection from Gulfstream Park.

On October 2, 2025, the respondent filed an election of rights requesting a formal hearing, but failed to include all the information required by law. Specifically, he failed to include a statement identifying the material facts that were in dispute.

On October 9th, the Clerk of the Commission issued an order of dismissal informing respondent that the request is dismissed without prejudice and affording him 15 days to cure the defect. The respondent did not respond to the order of dismissal.

Therefore, the Commission should enter a final order dismissing the request for a hearing with prejudice, adopting the findings of facts and conclusions of law in the administrative complaint and permanently excluding respondent from all pari-mutuel and slot machine facilities in the state of Florida.

VICE CHAIR BROWN: Thank you. This one is pretty straightforward.

Commissioners, if there are no objections, can we get a motion to approve the staff recommendation as presented.

COMMISSIONER REPP: Motion to approve the staff recommendation as presented.

VICE CHAIR BROWN: And a second.

COMMISSIONER D'AQUILA: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. 7.2.

MS. ALVARADO: 7.2 is 2025-047305, Michael Sposato. On August 19, 2025, the Division filed an administrative complaint alleging that respondent is subject to exclusion from all pari-mutuel facilities and slot machine facilities in the state of Florida and subject to revocation of his slot machine/cardroom/pari-mutuel combination occupational license.

On September 30, 2025, respondent filed an election of rights form requesting a formal hearing for failing to dispute the material facts in the administrative complaint. On September 30th, the

Clerk of the Commission issued an order of dismissal informing respondent that the request for hearing was dismissed without prejudice and affording him 15 days to cure the defects in the election of rights.

He did not respond to the order of dismissal. Therefore, the Commission should enter a final order dismissing the request for hearing with prejudice, adopting the facts and conclusions of law in the administrative complaint permanently excluding respondent from all pari-mutuel and slot machine facilities in the state of Florida and individual from all pari-mutuel slot machine combination occupational license.

VICE CHAIR BROWN: Thank you.

Commissioners, if there are no questions, can we get a motion to approve the staff recommendation on 7.2.

COMMISSIONER DRAGO: I'll make that motion.

VICE CHAIR BROWN: And a second.

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Lastly, 7.3.

MS. ALVARADO: 7.3 is 2025-056582, Jack Suser. On August 28, 2025, the Division filed an administrative complaint alleging that respondent is subject to exclusion from all pari-mutuel and slot machine facilities in the state of Florida based on his ejection from PPI.

On September 23, 2025, respondent filed an election of rights requesting a formal hearing but failing to dispute the material facts. On September 24th, the Clerk of the Commission issued an order of dismissal informing him that his request was dismissed without prejudice and affording respondent 15 days to cure the defect.

On October 21st, respondent responded to the order of dismissal, but was past the 15-day deadline and continued to fail to dispute a material fact on the new election of rights.

Therefore, the Commission should enter a final order dismissing the request for hearing, adopting the finding of facts and conclusions of law in the administrative complaint, and permanently excluding him from all pari-mutuel and slot machine facilities in the state of Florida.

VICE CHAIR BROWN: Thank you.

Commissioners, can we please get a motion to

approve the staff recommendation on 7.3.

COMMISSIONER REPP: I'll make that motion.

VICE CHAIR BROWN: And a second.

COMMISSIONER D'AQUILA: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. And we're going into a discussion of recommended orders. Back to Ms. Alvarado.

MS. ALVARADO: 8.1 is 2025-009157, Gerard Tait. This case came before you following a recommended order that was issued by the hearing officer.

An informal hearing was conducted on October 8, 2025 regarding an administrative complaint seeking to the exclude respondent from all pari-mutuel and slot machine facilities in the state of Florida.

The hearing officer issued the recommended order on October 28th recommending permanent exclusion from all pari-mutual and slot machine facilities.

Here, we ask that the Commission adopt the hearing officer's recommended order and add the

respondent to the permanent exclusion list for all pari-mutuel and slot machine facilities.

VICE CHAIR BROWN: Thank you. And thank you to the hearing officer for the work on this item.

Commissioners, if there are no questions, can we get a motion to enter a final order adopting the hearing officer's recommended order.

COMMISSIONER REPP: I'll make that motion.

VICE CHAIR BROWN: And a second, please.

COMMISSIONER DRAGO: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. We are going into discussion of request for waivers, Item 9.1.

MS. ALVARADO: 2025-061795, Amanda Nicole Jefferies. Here, the applicant applied for a slot machine/cardroom/pari-mutuel combination occupational license. Upon review, it was discovered that she had one misdemeanor offense of petty theft out of Miami-Dade County from April 1, 2010.

The applicant seeks a waiver of the misdemeanor offense and issuance of a license. Here, she has paid all her court fines. She

originally answered no on her application. There are no other convictions, other than this petty theft.

Here, the Commission can decide whether to grant the waiver and issue the license. If not, we would ask that you authorize the Division to issue a letter of license denial in this case.

VICE CHAIR BROWN: Thank you.

Commissioners, any questions regarding the facts of this case?

COMMISSIONER DRAGO: I don't have any questions. I guess I just want to comment on the case and the fact that it was a petty theft back in 2010, 15 years ago. We don't think we have anything in the paperwork that I saw that indicates that she's continued that type of conduct or anything, right, Ms. Alvarado?

MS. ALVARADO: No, not that we have.

COMMISSIONER DRAGO: So I'm just pointing that out for the record. Whenever we're ready to make a motion, I would, in fact, make a motion.

VICE CHAIR BROWN: I think we are ready for your motion.

COMMISSIONER DRAGO: I make a motion to grant the waiver and issue the license.

VICE CHAIR BROWN: Thank you. Can we get a second.

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: Is there any discussion? All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Passes unanimously. Thank you. We're going to discussion of license denials, Item 10.1.

MS. ALVARADO: 2025-078078, Jason Gordon. The Division of Pari-Mutuel Wagering received a completed application for Jason Gordon for a pari-mutuel wagering general individual occupational license on September 3, 2025.

The applicant was sent deficiency letters and failed to request a waiver. Upon review of the completed application, it appears that he was convicted of the felony offense of sale, manufacturer, or delivery or possession with intent to sell or manufacture or deliver cannabis out of St. Lucie County from April 3, 2017.

He originally answered yes on his application and did disclose the felony. His probation was terminated April 2, 2019, and he has paid all his court costs and fees from 2018. He has no other

convictions. Here, we would ask the Commission to authorize the issuance of a letter of license denial.

VICE CHAIR BROWN: Thank you, Ms. Alvarado. He did not serve any time for this crime; correct?

MS. ALVARADO: No. I think he was just placed on probation.

VICE CHAIR BROWN: And as you point out, there are no other disqualifying offenses or arrests made?

MS. ALVARADO: No other disqualifying -- or convictions.

VICE CHAIR BROWN: Thank you.

Commissioners, do you have questions or thoughts on this item?

COMMISSIONER DRAGO: Again, I just -- eight years ago, no indication that he's continuing to commit any type of criminal activity. He fulfilled all his obligations as relates to his probation. He wasn't even sentenced to any jail time for it.

So I'm just inclined to, again, consider the fact that this has been quite a while and give some consideration to allowing him to get a license and be successful and get a job and make a living.

VICE CHAIR BROWN: Thank you,

Commissioner Drago. I appreciate your sentiment.

Commissioners, any other questions or thoughts? What is the license -- the position he's actually applying for? I'm just trying to find that.

MS. ALVARADO: Security officer.

VICE CHAIR BROWN: Security officer. Thank you.

Commissioner Drago, whenever you're ready to make a motion, I think we're ripe for that.

COMMISSIONER DRAGO: I would like to make a motion to grant the waiver and issue the license.

VICE CHAIR BROWN: Thank you.

MS. ALVARADO: Just for clarification, he did not apply for a waiver, so we'd just be granting the license in this instance.

COMMISSIONER DRAGO: That's exactly what I meant.

VICE CHAIR BROWN: That's what you said.

COMMISSIONER DRAGO: That's exactly what I said.

VICE CHAIR BROWN: You made that motion.

COMMISSIONER DRAGO: I made that motion, yes.

VICE CHAIR BROWN: Can we get a second, Commissioner D'Aquila -- Commissioner Repp.

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: Thank you. Any discussion? All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Passes unanimously. Onto discussion of final order pursuant to a request from the respondent, 11.1.

MS. ALVARADO: 2025-039911, Maydelis Morales. In the case materials, you were provided with an administrative complaint alleging that respondent was subject to exclusion from all pari-mutuel and slot machine facilities in the state of Florida, as well as seeking to revoke her slot machine/cardroom/pari-mutual combination occupational license based on the exclusion and the theft that led to her termination from Casino Miami.

Respondent responded to the complaint with an election of rights form indicating that she did not dispute the allegations in the administrative complaint waiving her rights to any form of a hearing and requesting that the Commission enter a final order imposing a penalty in this case.

Therefore, the Commission should enter an order adding the respondent to permanent exclusion

list for all pari-mutuel and slot machine facilities, as well as revoking her slot machine/cardroom/pari-mutual combination occupational license.

VICE CHAIR BROWN: Thank you. If there are no questions, can we please get a motion to approve the staff recommendation as presented by Ms. Alvarado.

COMMISSIONER D'AQUILA: I will make a motion to approve the staff recommendation.

VICE CHAIR BROWN: Thank you. And a second.

COMMISSIONER DRAGO: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. That item passes. We are going into a discussion of rulemaking. We do have a speaker on this Item 12.1. But first, we're going to staff to give an overview.

Ms. Valentine.

MS. VALENTINE: Agenda Item 12.1 is the final public hearing on Rule 75-4.004. In front of the commissioners in the meeting materials is the proposed language that the Commission has

previously approved, as well as comments timely submitted by a permitholder, Tampa Bay Downs.

As a brief overview of the history of the rule and the reason for the rule amendment that you all initially looked at, effective July 1, 2024, Section 550.0121 53 Florida statutes was amended to remove certain limitations on a permitholder's ability to amend the performance dates identified in the permitholder's pari-mutuel wagering operating license.

Such limitations include requiring any change to be minor involving operating permitholders located within 50 miles of the applicant. As currently enacted, Section 550.012153 provides that the Commission may approve changes to performance dates after the issuance of an operating license and that in making the determination, the Commission may consider the impact of such change in state revenue.

To reflect the change in the law, the Commission proposed amending Rule 75-4.004. The proposed change to the rule only removes any reference to such limitations which are no longer in effect.

Following the notice of proposed rule, the

Commission timely received public comments from Tampa Bay Downs, Inc. and at Tampa Bay Downs request held a public hearing on the rule. The Commission then received additional comments on the rule from Tampa Bay Downs.

The verbal and written public comments provided by counsel for Tampa Bay Downs are included in the meeting materials. As an overview of the comments, Tampa Bay Downs is requesting that Rule 75-4.004 be further amended to provide that the Commission shall approve any application for a change in performance dates, providing certain requirements are met.

In support of the request, counsel for Tampa Bay Downs states that the rule in its proposed form does not explicate any standards for the approval of an application to change performance date and that the lack of standards and requirements create uncertainty for Tampa Bay Downs as to the approval of any such application.

The rule as it existed since 2016 did not require the Commission to approve any application for a change in performance dates and did not include any standards that were unrelated to the minor change limitation.

Counsel for Tampa Bay Downs acknowledges that the proposed rule requires an applicant to submit certain forms to the Commission to apply for a change in performance date.

By law, the forms are in themselves rules, as they are documents that impose requirements and solicit information. It is the position of Commission staff that the existing statutory and rule framework provides the necessary standards for a permitholder to request an amendment of its performance dates and for the Commission to process such an application.

Specifically the rule, the forms adopted by reference therein in Section 550.0121 53 set forth the requirements that a permitholder must meet in order to change its performance dates.

In Section 120.60, Florida Statutes requires the Commission to timely process the application and notify the applicant of any deficiency. Finally, the Commission does not have and has not used unbridled discretion in approving or denying applications for changes to performance dates.

Based on the forgoing, Commission staff request that the Commission reject the language suggested in the public comments or during the

public hearing and instead approve the proposed language of the rule as originally noticed for final adoption and authorize Executive Director Zimmer to execute all documents necessary to effectuate the final adoption of the rule.

VICE CHAIR BROWN: Ms. Valentine, that was an excellent overview. It answered any questions I had and really appreciate the summary.

Commissioners, are there any questions of Ms. Valentine before we get to our speaker? I will note we have just one speaker on this item, but anybody from the public can address this as well at this time.

Mr. Rutledge, please come to the podium.

MR. RUTLEDGE: Madam Vice Chair, Commissioners, Staff, Gary Rutledge, Rutledge Ecenia, on behalf of Tampa Bay Downs. I'd like to echo your comments about Ms. Valentine. She did a great job, other than the conclusion.

Very briefly, date changes used to be very controversial when everybody had to conduct live racing. So we had a lot of provisions and statutes --

VICE CHAIR BROWN: Could you put the microphone a little bit closer.

MR. RUTLEDGE: They were very contentious proceedings because of the competition within the industry. And a few years ago, of course, there was a deregulation of dates. Greyhound tracks were prohibited from live racing in the future, and other facilities had the option to race or not jai alai, harness, et cetera.

The bottom line is the only facilities that conduct live performance now are the horse tracks, Gulfstream Park, and Tampa Bay Downs, and I think there are a couple of jai alai facilities that do.

So what you have is no real live activity, except those few places. So Tampa Bay Downs concern -- and everybody's been very cooperative with the Commission about this is what do we have to do to get a date change in the future?

We recognize that it's permissible for the Commission to do so. But as long as we submit the required documentation and the forms and we have a couple of suggestions that we provided that are in front of you that if we provide the appropriate forms and/or if we're still conducting the full schedule of live racing, if you want to mandate that that still be the case, why shouldn't we get the date changed?

So the problem with this is there's no standard, with all due respect to the Commission, as to why you would approve or disapprove this if, in fact, they want to make these changes. So we're not trying to be difficult.

We just want to make sure what we know what the rules are in this regard. and there was a process in this regard in the past where competing permitholders could file objections and so forth. None of that is applicable anymore.

And we support the change in the deletion of those requirements. But if, in fact, Tampa Bay Downs or, for that matter, Gulfstream Park were to file a submission for a date change to delete or substitute or add some dates, and they file all of the paperwork accordingly with the Commission and particularly if they're going to still maintain a full schedule of live racing under the statute, what is it that you would want further from us?

So at the conclusion of our comments that Ms. Valentine did a good job of explaining our thoughts, we want to have the suggested changes made that we articulated or alternatively for the Commission to at least come up with a standard as to what you will look at in terms of whether or not

to approve these changes in this the future.

VICE CHAIR BROWN: Thank you, Mr. Rutledge.

Commissioners, does anybody have any questions of Mr. Rutledge? Thank you.

Is there anybody else from the public that would like to address us on this item?

Ms. Valentine, if you could just respond to the concerns Mr. Rutledge has. You stated in your summary, but regarding the standards.

MS. VALENTINE: Yes, of course. As I said in my overview, under Section 120.60, the Commission is required to process an application. If the Commission notes any deficiencies within 30 days, it has to notify the permitholder.

For example, if the form, which again, the form itself sets the standards and the requirements regarding information that a permitholder must provide regarding the change in the racing date.

And if there's any deficiencies, that would if finance had caused the Commission to deny the application, Commission staff would issue a deficiency letter and would allow the permitholder to fix any such deficiency.

Once an application is complete, it can't just sit there. There is a requisite amount of time

that the Commission staff has to process the application that has to go in front of the commissioners for approval or disapproval. Otherwise, the law requires the application to be deemed approved.

And so that's the requirements on the Commission. In addition, under 550.0121(5), again, the legislature did grant the Commission discretion even through amending the statute to remove this limitation on minor racing dates and involving other permitholders.

And so for the Commission to vote to narrow the legislative discretion, that's something the Commission can do. That is not something that the Commission staff would advise commissioners to do.

And then finally the legislature did retain the requirement that the Commission could consider the impact on state revenues in approving or disapproving the change.

And so if the only requirements are that you submit an application and there is no consideration, the Commission would be removing a consideration that the legislature wanted the Commission to perhaps consider.

VICE CHAIR BROWN: Thank you. I think you did

a great job at explaining the standards and the rule. And it's very clear to me that your legal interpretation is right on point with regard to the rule.

Commissioners, do you have any questions of Ms. Valentine? Okay. We're moving to the discussions of the rule now. What we have before us is the original proposed language of the rule to consider or incorporating the public comments that were made and provided to us.

So I will open it up to the floor for the Commission to deliberate. I support Ms. Valentine's proposed language, personally.

COMMISSIONER DRAGO: I think it was very helpful. Thank you, Mr. Rutledge. And thank you, Ms. Valentine, for the information because you clarified it for me personally, and the questions I had were all answered today. So I'm satisfied as to the information that we have received at this point.

VICE CHAIR BROWN: Thank you, Commissioner Drago. Commissioners?

COMMISSIONER D'AQUILA: I agree with Commissioner Drago on this matter.

VICE CHAIR BROWN: We are ripe to make a

motion to approve the original proposed language of the rule and proceed to final adoption authorizing executive director to complete all necessary paperwork to complete the final adoption of the rule. Can we get a motion to that effect.

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: And a second.

COMMISSIONER D'AQUILA: Second.

VICE CHAIR BROWN: Any discussion? All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you for your participation in this, Mr. Rutledge.

We are going on to actually public comment. Is there anybody from the public that would like to address us? I do have a speaker here.

Mr. Dan McGinn, I believe you'd like to address us before we go to our attorney-client meeting. Good morning.

MR. MCGINN: Morning. Thank you, Chair and Commissioners. I'm here to speak on behalf of the respondent in Item 14.3, which is part of the closed attorney-client privilege section.

For the record, the respondent's name is Hector Garcia Cornejo Ysantana. I will probably

for the sake of the transcript just refer to him as respondent, if necessary.

VICE CHAIR BROWN: Thank you.

MR. MCGINN: Before we get into this, I do want to say thank you to you, General Counsel Valentine, Chief Alvarado, and Deputy Chief Taupier. We as an industry are very quick to point out when they are perceived shortcomings with the process, but we don't often speak out when things go smoothly.

And I really do appreciate the efforts they put in in kind of bringing this in hopefully towards a landing, but we'll see how the attorney-client session goes. Really what this matter is about is kind of what happens when advocacy meets legislative prerogative to involve themselves in civil affairs and the whole process there.

Underlying this matter is a debt of less than $2,000 for veterinary supplies. And what happened due to the nature of the industry and, you know, trainers and other horsemen being sometimes residents domestically, sometimes residents foreign.

The bill went to the domestic location outside

of the season. The administrative complaint was properly served overseas to the respondent, so he did respond to this. In the meantime, there was a civil matter for the debt that was filed.

There is legislative hook for debts by licensees of the Commission if the debt either deals with a license facility or some part of the racing industry, racing or jai alai. Here, it did. It's veterinary supplies.

So what happened is aspiring attorneys who recognize there's an opportunity for a cottage industry here. Your staff is very familiar with them. When they get a debt like this, they immediately contact the Commission.

The Commission does what it is obligated to do when it has that information, files an administrative complaint. So now we have parallel tracks of a civil case and an administrative case. In this instance, the underlying civil case has been resolved.

The debt has been paid. What we're hoping to do with the help of the Commission staff and their attorneys is to resolve the administrative matter and, you know, just kind of let everybody do their thing going forward.

So if there are any questions, I'd be happy to answer them. Otherwise, I don't want to take up too much time.

VICE CHAIR BROWN: Thank you, Mr. McGinn.

Commissioners, are there any questions?

Thank you for coming before us today.

With that, is there anybody from the public that would like -- it looks like Mr. Rutledge would like to.

MR. RUTLEDGE: Briefly with regards to the first matter that's going to be considered in the attorney-client session, St. Petersburg Kennel Club. Since I won't be here for the attorney-client session, I want to just give you a couple of thoughts which are set forth in correspondence on a proposed settlement.

There are two issues with regards to this administrative complaint. One has to do with an individual that was not on the surveillance list at the facility. I would just point out a couple of things.

There was quite a bit of the confusion in the original investigation. It had incorrect dates and so forth. The Commission got it squared away, and so we're not contesting that.

But there was a lot of time and energy and money that went into get that squared away on the front end. There was no question about the individual being authorized to be there.

He wasn't on the list. That's regrettable. That's unfortunate, but he was a licensed person. There was never any allegation of any untoward conduct during the surveil activity.

The second issue had to do with the damaged card. As you know, damaged cards have to be taken out of play and accounted for. It was. It was accounted for. It was sealed, the dates and so forth.

It was merely the neglect of having a table number on the envelope that had the damaged card which was replaced. And so we would ask for your consideration for our proposed settlement that will be before you in the attorney-client session. Thank you.

VICE CHAIR BROWN: Thank you, Mr. Rutledge.

Is there anybody else from the public that would like to address us before we turn over to our attorney-client meeting portion? Seeing none, I'm going to turn it over to Ms. Valentine.

MS. VALENTINE: The office of the general

counsel requests the commissioners to close the meeting so that the Office of the General Counsel may seek advice from the commissioners in a closed meeting concerning litigation style for the Florida Gaming Control Commission versus St. Petersburg Kennel Club, Inc., Case No. 2025-001169,

Florida Gaming Control Commission versus Pavlo Kapynos, Case No. 2025-033551,

Florida Gaming Control Commission versus Hector Garcia Cornejo Ysantana, Case No. 2025-059681.

And Florida Gaming Control Commission versus Arcade 20, Payment Alliance International, Inc., Vantage Technical Service, Inc., and Tri Eagle ATM Inc., Case No. 25-CA-000541.

This advice relates to litigation strategy and settlement negotiation and will require discussion of active criminal investigative or intelligence information and may therefore be discussed in private pursuant to Sections 16.716 and 286.011(8) Florida Statutes.

VICE CHAIR BROWN: Thank you, Ms. Valentine. In connection with the performance of our regulatory duties, it is necessary for the Commission to hear information that is exempt or

confidential.

Specifically, Commission counsel will be discussing litigation strategy and settlement negotiations with the Commissioners related to the aforementioned litigation style previously disclosed.

The closed meeting is scheduled for approximately 45 minutes. It could be a little bit shorter than that or a little bit longer. Those in attendance will be Vice Chair Julie Brown, Commissioner Chuck Drago, Commissioner Tina Repp, Commissioner John D'Aquila.

And under our Commission staff, Executive Director Alana Zimmer, Deputy Executive Director Brandon McCaffery, General Counsel Elina Valentine, Director of PMW Joe Dillmore, Director of Gaming Enforcement Carl Herold, Chief of Law Enforcement Gary Snyder, Deputy General Counsel Marc Taupier; Chief Attorney Emily Alvarado, Chief Attorney Renee Harkins, and Senior Attorney Justin Hundersmarck, Business Manager Dixie Parker, Administrative Assistant Brandy Humphries, and our certified court reporter.

In accordance with Sections 16.716 and 286.011(8)(c) Florida Statutes, the closed meeting

Pursuant to Sections 16.716 and 286.011(8), Florida Statues.
This portion of the transcript is confidential and exempt.

is necessary. The specific reasons for such a necessity will be recorded by our certified court reporter.

No portion of the closed meeting will be off the record. The court reporter's notes will be fully transcribed and filed with the Clerk of the Commission and then made public upon conclusion of the litigation.

I will note for the record that the time is now 10:29 a.m. I'll ask the Florida Channel to discontinue the live feed for the next 45 minutes so that the Commission may conduct the closed meeting.

We want to thank the public for being here today, and we will see you in about 45 minutes. Thank you.

(Recess 10:30 a.m. until 10:40 a.m.)

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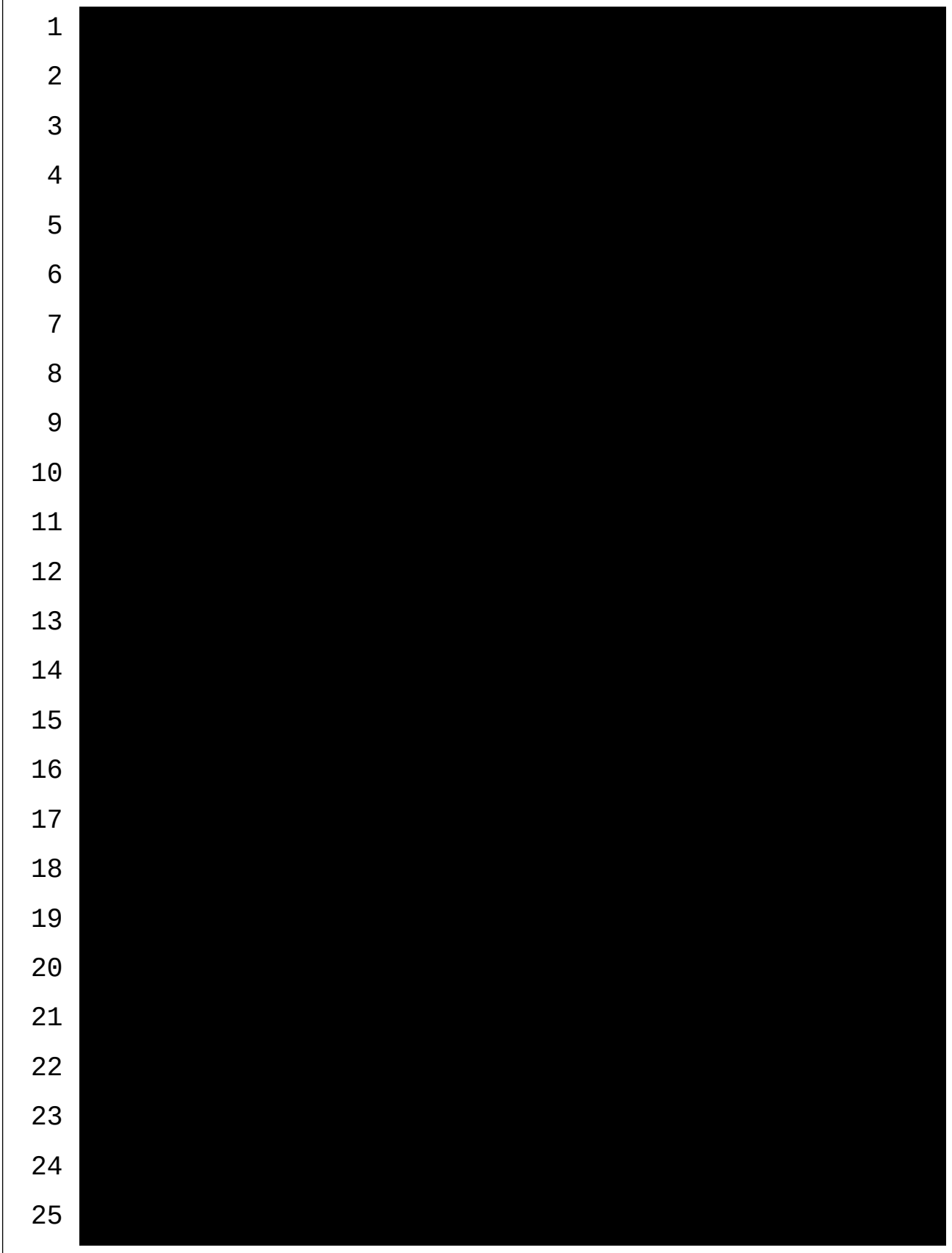

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VICE CHAIR BROWN: Good afternoon. We are back. This is the Florida Gaming Control Commission's November meeting. The time is now 12:03 p.m. The closed meeting is terminated, and our public meeting is hereby reopened.

We are going back to Items 14.1 through 14.4. I'm going to take them up individually. Commissioners, can we please get a motion on Item 14.1 after our discussions during our attorney-client meeting.

COMMISSIONER DRAGO: I'll make that motion.
VICE CHAIR BROWN: And the motion would be to authorize staff to continue negotiations?
COMMISSIONER DRAGO: Yes.
VICE CHAIR BROWN: Can we get a second.
COMMISSIONER D'AQUILA: Second.
VICE CHAIR BROWN: All those in favor, say aye.
(Multiple ayes.)
VICE CHAIR BROWN: On to 14.2. Commissioners, again, after our discussions in our attorney-client session, can we please get a motion to authorize staff to continue pre-negotiations in 14.2.
Ms. Valentine, is that the correct way to phrase it, authorize staff to continue --
MS. VALENTINE: Yes.
COMMISSIONER REPP: I'll make that motion.
VICE CHAIR BROWN: Based on our discussions. A second.
COMMISSIONER DRAGO: Second.
VICE CHAIR BROWN: All those in favor, say aye.
(Multiple ayes.)
VICE CHAIR BROWN: Thank you. 14.3, same thing. If we are so inclined, can we get a motion

to authorize staff to continue negotiations based on our discussions.

COMMISSIONER D'AQUILA: I will make that motion.

VICE CHAIR BROWN: And can we get a second.

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. And last, onto 14.4. Commissioners, is there a motion on this item? Commissioner Repp, I think.

COMMISSIONER REPP: I make a motion to designate Deputy Executive Director McCaffery, the authorized representative to attend mediation and proceed with the Commission's guidance.

VICE CHAIR BROWN: Thank you. Is there a second.

COMMISSIONER DRAGO: Second.

VICE CHAIR BROWN: Any discussion? All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. Ms. Valentine, is there anything else to address before we adjourn?

MS. VALENTINE: No.

THE COURT: Thank you. Thank you all for being here. Have a wonderful day. This meeting is adjourned.

(Proceeding concluded at 12:07 p.m.)

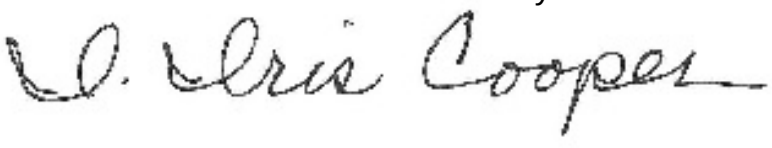
CERTIFICATE OF REPORTER

STATE OF FLORIDA

COUNTY OF LEON

I, I. IRIS COOPER, do hereby certify that I was authorized to and did stenographically report the foregoing proceeding, and that the transcript is a true and complete record of my stenographic notes.

Dated this 26th day of November, 2025.



I. Iris Cooper
Stenographic Reporter
Notary Public, State of Florida
My Commission No. 1366674
Expires: February 7, 2028

FLORIDA GAMING CONTROL COMMISSION

PUBLIC MEETING/WORKSHOP HEARING

LOCATION

Joseph P. Cresse Hearing Room 148
Betty Easley Conference Center
4075 Esplanade Way
Tallahassee, Florida 23299-0850

(Page Nos. 1 - 101)

Thursday, December 4, 2025

9:30 a.m. - 11:36 a.m.

BEFORE: Vice Chair Julie I. Brown
Commissioner Chuck Drago
Commissioner John D'Aquila
Commissioner Tina Repp

Stenographically Reported By:
I. Iris Cooper
Stenographic Reporter

Job No.: 409228

APPEARANCES:

COMMISSION MEMBERS:

Vice Chair Julie I. Brown
Commissioner Chuck Drago
Commissioner John D'Aquila
Commissioner Tina Repp

PARTICIPANTS:

- Emily Alvarado, Chief Attorney in Litigation
- Joe Dillmore, Director, Division of Pari-Mutuel Wagering
- Justin Hundersmarck, Senior Attorney
- Jamie Pouncey, Program Administration
- Marc Taupier, Deputy General Counsel
- Carl Herold, Director of Law Enforcement
- Brandon McCaffery, Deputy Executive Director
- Elina Valentine, General Counsel
- Alana Zimmer, Executive Director

OTHERS PRESENT:

- Various Members of the Public
- The Florida Channel
- Jonathan Zachem, Counsel for PPI, Inc.
- Ryan Bishop, General Manager for PPI, Inc.
- John Keenan, Director of Security, Safety and Compliance for PPI, Inc.
- Edgar Diaz, Appears by phone
- Dan McGinn, Counsel for William Walden
- William Walden

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Thereupon, the following proceeding began at 9:30 a.m.:

VICE CHAIR BROWN: Good morning, everyone, and welcome to our December 4th Florida Gaming Control Commission meeting. Please stand for the pledge of allegiance, which will be led by Commissioner Chuck Drago.

(Pledge of allegiance.)

VICE CHAIR BROWN: Thank you, Commissioner Drago. And welcome, all. As we move into closing out this year at 2025, I did just want to note before we get into our meeting a few things, express some gratitude to various groups.

First, I want to thank my colleagues here, Commissioners over the years. And particularly this year, I want to thank you for the work that you do, for always being intellectually curious, for being respectful of each other and the parties, and also for your friendship.

Second, I would like to thank our senior leadership team here, our staff members who not only work hard, they work long. But they always go above and beyond, and they do jobs even outside of their own jobs.

Especially this year, you guys have been above and beyond. And thank you to the new folks that

have been here who have gotten up to speed really quickly. And finally, I would like to thank our stakeholders, our industry folks here.

Not just here, but across the state, I want to thank you for your involvement in our proceedings. I thank you for your participation. And I also want to thank you for always keeping us informed of hot, pressing issues that we are very receptive of.

So thank you all. And we're going to get right into our meeting, unless my colleagues have anything else to add. Okay. Thanks. We're going to go into the approval of our meeting meetings from October 16, 2025. Can I please get a motion to approve.

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: Thank you. And a second.

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. And now we're getting into our executive director update. We have a few items under there and want to welcome Director Zimmer back.

MS. ZIMMER: Good morning. Thank you. First,

we've got our recommendation for slot machine license fees. Before January 1, 2026, Section 551.106 of Florida Statutes requires the Commission to evaluate the license fee and recommendations to the president of the Senate and the Speaker of the House of Representatives regarding the optimum level of slot machine license fees to adequately support the slot machine regulatory program.

The letter to the Senate president and Speaker of the House will include the following relevant points. The Commission's overall operations are primarily funded by the following moneys deposited into the Pari-Mutuel Wagering Trust Fund.

Taxes and fees on pari-mutuel permit holders conducting pari-mutuel activities under Chapter 550 Florida Statutes in operating cardrooms under Section 849.086 Florida Statutes and slot machine license fees under Chapter 551 Florida Statutes.

As a result of recent amendments to Florida law, the Pari-Mutuel Wagering Trust Fund revenue deposits have decreased by approximately $8.7 million annually.

Section 550.135 of the Florida Statutes authorizes the Commission to use slot machine license fees to fund the direct and indirect

operating expenses of the Commission's operations and to provide funding for relevant enforcement activities in accordance with authorized appropriations.

Fiscal year 2024 to 2025 total annual deposits retained in the Pari-Mutuel Wagering Trust Fund do not exceed the total $33.2 million overall FGCC agency appropriation. However, with its current cash balance, the Commission collects enough revenue to cover its direct and indirect cost in the short term.

However, should the appropriations remain at the current or increased levels, additional revenue will be necessary to cover direct and indirect expenditures of the Commission.

With these factors in mind, the Commission is unable to recommend a change to the annual slot license fee without another funding source necessary to sustain the mission and operation of the Commission.

VICE CHAIR BROWN: Thank you, Director Zimmer.

Commissioners, are there any questions or comments on that item?

COMMISSIONER DRAGO: No.

VICE CHAIR BROWN: Thank you. We can move

along to 2.2.

MS. ZIMMER: Thank you. First of all, I'd like to thank -- I'd like to update the commissioners on the anticipated change to the ownership structure of permit holder St. Petersburg Kennel Club, Incorporated.

On October 30, 2025, the Commission received notice that the ownership of Rally Development, L.L.C., the 100 percent owner of the St. Petersburg Kennel Club, will change after certain entities exercise warrants to purchase membership units in Rally Development.

Based on the information provided to the Commission, this change to the ownership structure will not result in any change in the direct ownership of St. Petersburg Kennel Club or its permit.

St. Petersburg Kennel Club will now have a new ultimate equitable owner, Christopher Spence, who will indirectly own more than 5 percent of St. Pete. Spence currently holds an occupational license with the Commission and has no disqualifying offenses that would impact his licensure with the Commission.

The other ultimate equitable owners of

St. Peters Kennel Club will not change.

VICE CHAIR BROWN: Thank you for that update.

Commissioners, any questions on 2.2? Seeing none, we can continue with the executive director update.

MS. ZIMMER: That's what we have for the executive director report, so we're finished with that.

VICE CHAIR BROWN: Would you like to move on to our director of gaming enforcement?

MS. ZIMMER: Yes, that would be great. Thank you.

VICE CHAIR BROWN: Director Herold.

MR. HEROLD: Good morning.

VICE CHAIR BROWN: Good morning.

MR. HEROLD: Nice and brisk outside, so very energetic, to say the least.

VICE CHAIR BROWN: Freezing.

MR. HEROLD: Yes. This past month in the gaming enforcement unit, we've had another successful month. Just highlight some of the items on my list here and take questions as you deem appropriate.

This last month, the investigative teams touched about 119 investigations. That's how many

they were actively working. The number of slot machines that were seized during operations this past month, 295 slot machines.

And that allowed us to either close or have an impact on nine illegal businesses across the state. We arrested 14 individuals with a total of 23 charges. And then there was $169,077 seized over this last month.

Essentially, we had 14 operations that we participated in either fully or as assisting. We seized -- well, I said we seized 295 slot machines. In total, the number of evidentiary items that were seized were 302.

They can involve other items that may not qualify as stray slot machines. And then we were -- we did not destroy any machines. However, the momentum is beginning to build with the destruction of slot machines. We are actively doing that, and there will be more.

We were fortunate, even though I'm not reporting it in this particular month's report, I am proud to say that we partnered with the Brevard County Sheriff's Office earlier this week and were able to destroy about 147 illegal slot machines in a quite spectacular fashion. That was exciting as

well.

I'll pause there for a moment.

VICE CHAIR BROWN: Thank you.

MR. HEROLD: Commissioner Drago usually has a question at this point.

VICE CHAIR BROWN: Commissioner Drago.

COMMISSIONER DRAGO: My reputation is really, really getting bad.

MR. HEROLD: Have I somehow vented your reputation a little bit?

COMMISSIONER DRAGO: I just want to say -- I want to thank also Sheriff Ivey and his coordination and work with us, in particular with Director Herold and law enforcement folks.

We had some successful operations there. And that relationship between this agency and the various agencies throughout the state to accomplish our mission has been outstanding, and I saw it with Sheriff Ivey.

He was very enthusiastic to work with us, and that says a lot for our folks and for him and his folks. So I just wanted to say that I appreciate what you guys have done and appreciate that relationship and enthusiasm from Sheriff Ivey as well.

VICE CHAIR BROWN: Thank you, Commissioner Drago.

Commissioners, any other questions or comments?

COMMISSIONER REPP: In regards to the Brevard destruction, I was there. It was incredible. Sheriff Ivey was wonderful. But I'd like to specifically thank the Ocala agents that we have that made that possible.

They're incredibly talented and dedicated, and we are deeply appreciated. And their efforts that led to us being able to destroy those machines is deeply appreciated by the Commission. Thank you.

VICE CHAIR BROWN: Thank you. And appreciate all the work that you've been doing this month. I know it's even going to pick up even more over the next few weeks, so thank you.

MR. HEROLD: Yes, we have a lot of work ahead of us, for sure. Moving on the intel and analytical side of the team. They conducted 14 subject backgrounds and six business backgrounds. They also conducted three employee backgrounds for onboarding of individuals.

They attended four intel meetings. And then as part of that, we've received 214 investigative

leads this past month. That's down from last month. It was about 300, but I'm not complaining that the number of leads have gone down.

We still out of that 214 -- you've got the breakdown there, but ten of those were able to be assigned to investigators for active investigation. Many of those were shared with local law enforcement and that kind of stuff.

Another interesting and relevant bit of information is our warehouse space. The warehouse space at this time continues to be 80,000 square feet, roughly. And we are looking for some additional warehouse space at this time.

But our available space for warehousing dropped from last month. Last month, it was 32,960 square feet. And it stands now at 26,620 square feet, which is about 33 percent of the total warehouse space that we have.

And the total number of slot machines, 7,647. Once again, the agency works very hard to try and destroy these machines and get them once they're through the legal process, criminal process, get rid of them as quickly as possible.

VICE CHAIR BROWN: Director Herold, the Brevard County destruction, that cost the state no

dollars at all; is that correct?

MR. HEROLD: And thank you for bringing that up. Commissioner Drago was talking about it, and I would also add my thanks to Sheriff Ivey and his team. But partnering with our local law enforcement to destroy these in some fashion that benefits them because it educates the public and helps carry the message for us.

But also at the same time, they bore part of the expense of destroying these machines. And I think that truly adds into the collaborative effort that we have with our partner agencies.

Not only are we sharing the workload, but we're also sharing in the destruction load. I'm very thankful for that.

VICE CHAIR BROWN: And I remember when we first started out, we contemplated that there weren't going to be cost associated with it, so I really commend you on the partnerships that have been evolving.

MR. HEROLD: Right. Yes. No. I'm truly thankful for those relationships that we have with our partner agencies. And then as the last part of my briefing is the training that we had this past month. We had one or two of the agents go to some

firearms instructor.

We have our analyst using -- getting some training on an analyst notebook piece of software, and that's simply to manage and discern some of the intel information that we've received.

And then lastly, they've received training in criminal organizations and cartels. Apparently, there's a trend, and it may have always been there. But a lot of these criminal organizations are laundering money through casinos, both legal and illegal.

VICE CHAIR BROWN: We'll just pause.

Commissioners, any questions or comments? This monthly report is even more thorough with a lot of the charts and deciphering the different categories.

And I know you all are working on with the collaboration of our director of HR and admin to make it more of a matrix so that this information could be possibly publically disseminated, too, so that they can see the different categories of enforcement.

MR. HEROLD: I agree. You know, the amount of work and the good work that we do in totality, my partners here at the table, certainly, I couldn't

do any of this and be anywhere near as successful without having this fantastic group around me and, of course, the amazing leadership from the Commission and from legislature and Governor DeSantis as well.

So it's not me, certainly. It's a group effort, and so I'm very thankful for that.

VICE CHAIR BROWN: Thank you.

Commissioners, any further comments?

That concludes your report.

MR. HEROLD: Thank you.

VICE CHAIR BROWN: Thank you.

And, Director Zimmer, are we done with the executive director report?

MS. ZIMMER: Yes.

VICE CHAIR BROWN: Thank you. We're going to move on to Item 3, PPI presentation. We have three speakers under this. And before they begin, I do want to thank them all for coming out here and working on this.

I know we tasked you with coming and sharing with us and the public some of this information, so appreciate the experts that are here on behalf of PPI.

MR. ZACHEM: Thank you, Madam Vice Chair. You

know, fortunately, we brought some people along who know a lot more than I do when I present before you on some of these subject matters, so we have some of them here today.

My name is John Zachem. It's been my privilege to represent PPI for a few years now, and we've brought with us -- first of all, I want to introduce Mr. Ryan Bishop, general manager for Pompano Harrah's and Caesars.

MR. BISHOP: Thank you, folks. First and foremost, I want to show appreciation and gratitude for allowing us to present to you today. As you said, my name is Ryan Bishop. I've been the senior vice president and general manager at Harrah's Pompano Beach for about a year and a half now.

That being said, I've been with Ceasars Entertainment my entire career. I started out as a poker dealer at the Flamingo Las Vegas when I was 21 years old. I've grown my entire career with Ceasars.

And I'm proud to say that we've always consistently been a pioneer when it comes to regulatory compliance, responsible gaming.

You know, we've always been the gold standard of that, and I'm excited to be able to show you

what that looks like here at Harrah's Pompano Beach.

So I brought John Keenan with me, my director of compliance, to go into a little bit more detail on what that looks like at Harrah's Pompano Beach. Thank you.

VICE CHAIR BROWN: Thank you, Mr. Bishop.

MR. KEENAN: Good morning, Commissioners. Thank you for the opportunity to speak today. My name is John Keenan, and I serve as director of security, safety and compliance at Harrah's Pompano Beach, part of Ceasars Entertainment.

I want to begin by emphasizing our unwavering commitment to regulatory compliance and the safety of our guests and staff. At Harrah's Pompano Beach, we take a proactive approach in identifying and addressing potential violations, particularly of those involving underage individuals.

Every violation cited by the FGCC, and prior to that the DBPR, involving minors since at least 2009 resulted in our own internal detection and self-reporting. This reflects our strength and security of our surveillance teams, our departments and operational protocols.

Before I go any further, I'd like to share my

background. I've been at Harrah's Pompano Beach since 2009, dedicating my career to ensuring compliance and building a culture of accountability and responsible gaming.

Prior to joining Harrah's, I served as slot operations specialist with a former gaming regulatory body, the DBPR. And that experience gave me a deep understanding of the regulatory standards which I have carried forward in my role here.

Before I go on to some of the performance metrics, I just want to know if you had any questions about what I just stated?

VICE CHAIR BROWN: Thank you. Seeing none, thank you.

MR. KEENAN: No problem. In 2024, which is the year in question that we're here today for and the incident, we welcomed over 1.2 million patrons. Out of that, 1,075 minors were successfully turned away by security before entering the gaming floor.

Eleven breaches did occur, which represented in .001 percent of total traffic. And two minors gamed at a slot machine at a rate of .0002 of all patrons. Although these numbers demonstrate strong performance, our goal remains zero minors on the

gaming floor.

And we continue to refine our processes and technology and training to achieve that standard. Moving on to some of the things that we've done over the years and especially recently from the re-brand to Harrah's.

We invested heavily in surveillance enhancements, including high-resolution panoramic cameras, 360 cameras to eliminate blind spots to ensure monitoring of the gaming floors, the outside, the parking garage, the new sections that we've built, and we lead the way in technology for that.

In 2024, we also made significant investment in the ID detection technology. The IDVisor Smart V2 scanners are at all entrances for my security guards.

These scanners verify identification in under a second ensuring fast and accurate age checks, which also uses color-coded alerts, green for legal age, red for underage, and yellow for IDs that are expired.

Along with that, we have audible notifications to prevent mistakes for the officers. It detects fake IDs by using advanced bar code analysis and

can integrate with the DMV databases to ensure added security.

It creates a time stamp digital record for auditing purposes, and it helps in investigations. And moving on to our zero tolerance policy when it comes to minors.

If one of our officers allows a minor to enter the gaming floor, they immediately receive a final written warning, and they undergo rigorous training after that. And we bring them in, and we talk about the incident in question.

We'll show them the surveillance footage to make sure we can coach them on what they did wrong and what they could do better. The second strike is immediate termination, and this approach reinforces accountability and compliance.

If anybody has any questions for that?

VICE CHAIR BROWN: I do have just a quick question about the 11 breaches that you cite of the minors. Are there specific reasons that those breaches happen? Are they due to false IDs or just letting them in?

MR. KEENAN: Out of the 11, I do have a breakdown of what the breaches were. A lot of them are large groups that come through. And, you know,

we go to check the ID or they hand us an ID. And the officer scans, and it doesn't scan properly.

Or they just have a lapse in judgment, and there is a human element to that, to that part. But we are working -- you know, we do audits now daily when we go and check and make sure that they're all using the ID detects for anybody under the age of 30, and I'll get into that a little later.

VICE CHAIR BROWN: Thank you.

MR. KEENAN: Of course. Any other questions?

VICE CHAIR BROWN: Commissioner Drago.

COMMISSIONER DRAGO: Are these scanners portable that the security folks are walking around with, or do they have to come through a particular station or something to be scanned?

MR. KEENAN: They're at all entrances, and they are handheld. They can be on the podium, or they can be handheld, and they can just scan IDs and passports as they come through.

VICE CHAIR BROWN: Commissioner D'Aquila.

COMMISSIONER D'AQUILA: On the groups that come in, just so I understand, does the group leader hand maybe perhaps hypothetically a group of 24 IDs to put in, or do they come in one by one?

MR. KEENAN: It would be one by one. They would slow the group down. And they would -- anybody that's under the age of 30, they would ID, scan the ID, welcome them to Harrah's Pompano Beach and answer any questions that they have. But what we do is we slow them down, and we make sure we scan every individual.

VICE CHAIR BROWN: And back to the training that you said after the first reprimand, is it one-on-one training, or is it really all of staff training on the security detail?

MR. KEENAN: We bring in that officer, and we do one-on-one training with a supervisor. We retrain them on our slide show presentation, as well as go over the incident with them and explain what the issues were.

VICE CHAIR BROWN: Thank you.

MR. KEENAN: Moving on to training, our onboarding is a two-day orientation which covers responsible gaming and Project 21 for all of our employees. After that, security officers are shadowed.

They shadow seasoned officers for at least three days, sometimes five days if they need more training. But that ensures that they go through ID

verification, and they sit there, and they ask questions, and they learn from seasoned officers as they are on post.

As well as they are reviewing all SOPs we have in security and have sign-offs for all those SOPs as well. They also attend quarterly training with me and the security manager, as well as the supervisors.

And we do gambling deterrence every March, June, September, December, and additional security post training in August. Our annual responsible gaming training, we train all team members which is approximately 400 team members in 2024.

And that results in approximately 200 hours of training for all of our employees. Each security officer completes at least eight hours annually specifically just related to underage gaming, ID detection, and on-post awareness, whether it's safety of individuals, dealing with trip and falls, and ID detection.

Moving on to Project 21, that is something that Caesars put in place in 1989. It was the first in the casino industry to focus on underage gaming, as well as alcohol service to minors. The program is a cornerstone of our compliance strategy

and reflects Caesars leadership and responsible gaming.

Project 21 is based on the principle that 21 is the legal age to gamble at Caesars properties, which includes mandatory ID checks for anybody who appears under the age of 30.

Our employee training, every team member is trained to enforce these standards, identify potential violations. The training is reinforced through onboarding, quarterly refreshers, and responsible gaming courses, like I spoke about earlier.

Project 21 is not just a policy. It's integrated into our broader responsible gaming initiatives. In responsible gaming, we have signage, public awareness campaigns through our property in all locations, ATMs, NRTs, cages, marketing, Caesars reward centers, mutuals areas, which is brochures for individuals, that deal with responsible gaming and posters around property that provide awareness for that as well.

Our technology solutions like the ID scanners I talked about and digital alerts for patrons who have self-excluded so if a patron self-voluntarily self-excludes because they have a gaming problem,

we have a detection system.

If they put a card in, it's immediate notification to security and surveillance. And we go approach the individual and escort them out the building and give them some brochures and let them know that they're still self-excluded and they're not allowed to be here.

VICE CHAIR BROWN: That's great.

MR. KEENAN: Any questions on that?

VICE CHAIR BROWN: Commissioner Drago.

COMMISSIONER DRAGO: You had mentioned that new security folks get training for a few days by a senior security person?

MR. KEENAN: Yes, sir.

COMMISSIONER DRAGO: Does that senior security person get any extra training on how to train a person? Do they get any advanced training on how you have to go about in training a person ensuring that they're understanding that you're meeting objectives, is that what training is, that type of thing?

MR. KEENAN: Yes, sir. Actually, just to become a senior security officer, you have to undergo -- you have to take a test and meet with me and the director of -- sorry -- the security

manager as well. It's like an interview process.

All of our senior officers are also EMTs or trained in CPR. They're CPR certified, and they respond to guests who have fallen in the casino. As well as they under SOP training, and they have been there -- some of my senior officers have been there before I've even arrived on property. So they're very seasoned, and they're well trained.

VICE CHAIR BROWN: Thank you.

Commissioner D'Aquila.

COMMISSIONER D'AQUILA: I don't know if this is a question for you, Mr. Keenan or Mr. Bishop. Does there appear to be under 30 standard typical in the on-premise casino industry? That might be more a question for -- I'm curious with our brethren in Vegas or --

MR. BISHOP: That has always been the Ceasars standard is under 30. At the end of the day, there is a human element to it, so what does 30 look like? We've always leaned on the side of just ask for the ID and make sure it's right. But everywhere in Nevada, the standard was always 30.

COMMISSIONER D'AQUILA: It is an industry standard in Nevada?

MR. BISHOP: It is, but it's an industry

standard that started with us, and that's -- you know, we've always been a pioneer in the gaming industry when it comes to better ways to regulate, better ways to have good relationships with commissioners and regulatory bodies.

And it's something that we've always led the pack with that, and so everybody else has kind of fallen in line. But, yeah, 30 is the standard.

COMMISSIONER D'AQUILA: Thank you.

VICE CHAIR BROWN: Thank you. And does the Project 21, it's also applicable in Nevada as well?

MR. BISHOP: Yeah. It's across our entire 54 properties in the U.S.

VICE CHAIR BROWN: Is it also focused, that aspect, on the underage drinking at the restaurants as well?

MR. BISHOP: Yeah. So Project 21 folds up onto our broader compliance and responsible gaming program, but it's focused on underage gaming and underage drinking. You know, the standards in Nevada are a little different.

Nevada underage are allowed to be on the floor. They're just not allowed to game. Whereas, in Florida, they're not allowed to be on the floor in any way, whether they game or not. So that is

one of the big distinctions between Nevada and Florida.

Nevada is you can walk through a casino if you're underage, as long as you don't play. Our standard is they can't walk through the front entrance.

You know, to John's point, when a group of 12 people come, the security guard is looking and he's doing what they can. And occasionally people go, and they can kind of slip through.

It's very, very exceedingly rare that one person walks up, the security guard looks at them and they're underage, and they let them in. There's always some type of extenuating circumstances.

You know, and when you see, you know, a little over a million people per year coming through the door, there are going to be those occasions where it was either a lapse of judgment or just distraction that's causing it.

But, you know, when you look at our training, 100 percent of our employees go through training every single year. It doesn't matter if you're a cook or you're a steward that cleans kitchens, you're going through responsible gaming, right.

And, you know that's -- we always say in meetings, whose job is it to ID? And everybody better raise their hand. It doesn't matter if you're my director of finance or if you're a security guard at the door.

We all have the same responsibility to ensure that people are gaming responsibly and of age. So it's something that we always try to keep the moral high ground, and 100 percent of our underage are always self-reported.

And that's something that I'll continue to do. It's very important to us that we meet regulations and then when we do slip up that we're very accountable to them, that we immediately self-report.

We immediately pull the tape and work with our local folks to ensure that what happened isn't going to happen again.

VICE CHAIR BROWN: Great.

COMMISSIONER DRAGO: I'm glad you mentioned that. That was going to be my next question was whether or not if the cook comes walking by on his break or whatever, he sees a kid in there that he knows to tell somebody, that he has to tell somebody, and he knows who to tell; right?

MR. BISHOP: Everybody. And when you look at the incidents that we have, it's rarely children. It's usually some people that look a little older. Like we don't have 10-year-olds that get on the casino floor, right.

It's something that we don't have much at our property for underage folks. You know, the amount of underage folks trying to access property more than doubled in '24 over '23. We built a Top Golf across the street.

We think that might have had something to do with it, a little bit younger generation. But it's something that every single person in every department, they get it on property orientation.

And they get a yearly compliance training to ensure that it's everybody's job to make sure that we're compliant and know what to do.

COMMISSIONER DRAGO: Great.

VICE CHAIR BROWN: That's great.

MR. BISHOP: Yeah. I mean, you hate to have anybody get through. But the fact that everyone that gets through, we do a really good job of catching them and catching them immediately. I know we have some details on each incident.

Almost every one of them, they're on the floor

for two minutes or four minutes. It's a very, very short amount of time. And somebody, whether it's a marketing rep or a bartender or whoever immediately goes, hey, that person does look underage.

You know, and that's -- in a lot of jurisdictions, they don't check IDs at the door, right. We check IDs at the door here in Florida. And I think it would very easy to fall into a sense of, well, they check IDs at the door.

That person must be old enough, right. And that's not how we operate. We still do it. You know, if they're -- sometimes they get a little frustrated. They get carded four times, but that's what happens when you're --

VICE CHAIR BROWN: Listen; as a parent of two under 21, I'm a big proponent of get carded, get carded, get carded.

MR. BISHOP: I wish I still got carded. I haven't got carded in ages.

VICE CHAIR BROWN: Thank you.

MR. BISHOP: Yes.

MR. KEENAN: And going back to touch on that, if somebody does get on by a security officer, the majority of people go to the cage. And they immediately get caught because they have to get a

player's card, and you have to be 21.

And they give them the ID. And then as soon as the cage recognizes that, they call security, and we get them off the floor. That's why our detention times are so quick.

And time on the floor is very important to us. So those two minutes, four minutes that Ryan is talking about are very crucial. If they don't go to the cage and they go to poker, they get caught by the poker brush, or they get caught by the poker cage.

The other day, slots called us. And they said, hey, these people look like they're underage, and we went over. We talked to them. We carded them. So it's not just security that cards. It's every single person and at the bars as well. If somebody looks underage, the bartenders, that's their job to card as well.

VICE CHAIR BROWN: Great.

MR. KEENAN: So just touching back on Caesars responsible gaming program, it's unique in the industry, and it incorporates scientific research and partial data and proactive employee engagement.

Our training empower staff to recognize and respond to concerns, especially the underage

gambling is prevented before it even starts. Project 21 sets the standard and demonstrates our commitment to protecting our guests, maintaining integrity, and supporting the communities that we have.

And to conclude, our record shows a strong commitment to compliance and partnership with the FGCC. We have acted on every recommendation. We've always seeked approval for all issues. We self-report all incidents next day.

And then sometimes in real time, I'll call the supervisor on duty. Whenever a minor gets on the floor, I'll give her a heads up, hey, we had a minor. We're investigating. You'll have the report in the morning as soon as we're done.

And that is something I started as soon as I got here because I knew as a gaming regulator, that was important to me was transparency and just giving us a heads up for when I came in the next day.

I can review the incident, and we can work together with the facility in order to get all the information. Thank you for your time and consideration. I'll answer any questions that you have.

VICE CHAIR BROWN: Commissioners, any other questions?

COMMISSIONER DRAGO: Thank you very much for coming in. I really appreciate you coming up here taking the time out of your busy day to explain this. It's clear that you have a robust training process and system for the security.

And we share the same mission, right. I mean, we both want a safe and secure environment for people to go in. We want to maintain the integrity of the industry, so we're all on the same page in terms of our goals.

So if we can do anything for you, please come to our meetings in the future. We're glad to have you and welcome you to come and speak with us. Or whatever may be going on, keep us in the loop as well. So again, thank you very much for coming up. We really do appreciate your time.

MR. KEENAN: I appreciate it.

VICE CHAIR BROWN: Thank you, Commissioner Drago.

Commissioner D'Aquila.

COMMISSIONER D'AQUILA: I just wanted to say thank you for your career commitment to legal and safe gambling, especially in the state of Florida

and, Mr. Bishop, also your commitment to the industry. We really appreciate you taking the time. This was very helpful, to say the least.

VICE CHAIR BROWN: I concur. Mr. Bishop, Mr. Keenan, Mr. Zachem, it's so insightful to hear from you directly. It's been really informative. And it sounds like you do have an incredibly robust program with Project 21. We really are grateful for your service to patrons.

Any other questions? Commissioner Repp.

COMMISSIONER REPP: Thank you for coming today. I think it's important not only for us to hear, but for the people who are watching or are going to watch this later to know that the state of Florida is working hard with our partners.

And our partners are working hard to make sure that safe, fun gambling is going on here in the state of Florida. Thank you.

MR. KEENAN: Thank you so much. I appreciate it.

VICE CHAIR BROWN: Mr. Zachem.

MR. ZACHEM: I'll be very brief, since these gentlemen did such a great job. But as I was listening to this and hearing your questions, I know you hold a commission meeting usually once a

year in South Florida.

If you have other topics from this to other areas, I know there are other facilities that would love to be able to have input and help you all out because we do believe in the partnership between the Commission, your staff, your team and you all.

We would love to work with you on any of those areas. And maybe you have ideas that can help us improve, or maybe we can inform you about some of these issues.

So if I believe it's usually in May or sometime in the summer, if you have a topic or two that you're interested in, maybe your staff could contact us.

It's obviously much easier while you're in South Florida for us to be able to be there. But we'd love to be able to work with you on different things in the future.

VICE CHAIR BROWN: That is a great idea Mr. Zachem. We are looking at each other, Director Zimmer and I. I think that would be great to have some type of workshop on industry.

MR. ZACHEM: I think it would be helpful. I think it would be very helpful. But thank you all very much. We appreciate it.

VICE CHAIR BROWN: All right. Appreciate your time. We are moving on to two renewal applications for slot machine licenses, 4.1 and 4.2.

Ms. Pouncey.

MS. POUNCEY: Good morning. Jamie Pouncey, for the record. Item 4.1 is South Florida Racing Association's application for its annual slot machine license. They have submitted all the required documents, including their fees.

And the division is recommending approval for the renewal of their slot license valid from December 22, 2025 to December 21, 2026.

VICE CHAIR BROWN: Thank you.

Commissioners, if there are no questions, can we get a motion to approve the staff recommendation.

COMMISSIONER REPP: Motion to approve staff recommendation.

VICE CHAIR BROWN: And a second.

COMMISSIONER DRAGO: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. On to 4.2.

MS. POUNCEY: Item 4.2 is Casino Miami's

application to renew its slot machine license. They have submitted the required documentation along with their fees, and the division is recommending approval of their license that will be valid from December 7, 2025 through December 6, 2026.

VICE CHAIR BROWN: Thank you, Ms. Pouncey.

Again, Commissioners, if there are no questions, can we get a motion to approve the staff recommendation.

COMMISSIONER D'AQUILA: Motion to approve.

VICE CHAIR BROWN: Thank you. And a second.

COMMISSIONER DRAGO: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: All right. We're going to move to discussion of the default final orders, 5.1 and 5.2.

MR. HUNDERSMARCK: Good morning.

VICE CHAIR BROWN: Good morning.

MR. HUNDERSMARCK: Justin Hundersmarck, for the record. Item 5.1 is Pensacola Greyhound Racing, L.L.P., Case No. 2025-031355. The case materials include the three-count administrative

complaint alleging that a routine inspection on April 9, 2025 showed that, first, respondent failed to seal the evidence bag into which a damaged card was placed.

Second, respondent failed to have supervisor sign their name on an evidence bag containing a damaged card. And third, respondent failed to permanently alter a card removed from play so that the card could not be put back into play.

The case materials include proof of service via email, which was accepted by an attorney associated with Pensacola Greyhound Racing on their behalf. On September 22, 2025, respondent did not return an election of rights by the 21-day deadline of October 13, 2025.

However, after the default, respondent's counsel provided a letter, which is included in the case materials for your review. That letter from respondent requests that the penalty imposed be a fine of $250 per count, which would be $750 total for the three counts.

Therefore, the Commission should enter an order finding that the respondent was properly served the administrative complaint, did not respond within the deadline and waived the right to

request a hearing;

That the factual allegations in the administrative complaint are accepted as the findings of fact in this case, and imposing on respondent a penalty authorized under Section 849.08614 Florida Statutes.

VICE CHAIR BROWN: Thank you, Mr. Hundersmarck. Before I get into questions from commissioners, can you just talk about the procedural defect of not responding within the appropriate time frame and your thoughts on that, lack of compliance?

MR. HUNDERSMARCK: So to elect their due process rights, they have 21 days, and they did not in this case -- it doesn't help us know how they feel about the allegations.

VICE CHAIR BROWN: In their response, their letter --

MS. ALVARADO: So they have 21 days to respond with an election of rights requesting a hearing in this case. They did not respond. Once it was placed on the agenda, they became aware that they failed to respond within the 21 days.

They sent over a letter with a suggestion of what fine they would like per count in this case,

which is $250 per count. You're not required to do that. It's not a settlement that you agreed on beforehand, but it's just a suggestion from the facility.

VICE CHAIR BROWN: Thank you. Appreciate the clarification.

Commissioners, do you have any questions for staff or comments on this item?

I will just start the discussion. This license holder -- this permit holder has four prior consent orders. Are they all of the rules or a specific rule?

MR. HUNDERSMARCK: So these were all the damage card violations, those four prior consent orders. But three of them were about rules, other than the violations alleged in the current case. None of them involved admissions of the allegations. And again, one of them was the exact same rules, but they were all relating to damaged cards.

VICE CHAIR BROWN: Yes, Commissioner.

COMMISSIONER D'AQUILA: The timing of those, how long ago were those?

MR. HUNDERSMARCK: One was February 2018. And then the most recent one was 2020, December 2020.

There was another one in January of 2020.

VICE CHAIR BROWN: And the fines have varied from $200 to $750?

MR. HUNDERSMARCK: So the past ones have ranged from $200 to $750 for one violation, most recent being $400.

VICE CHAIR BROWN: All right. Commissioners, any comments or thoughts on this? Again, we're dealing with a three-count complaint. The proposal from the permit holder is $250 per violation.

Commissioner D'Aquila.

COMMISSIONER D'AQUILA: My comment would be $250 is typically a first time, based on our history and so forth. And considering the multiple offenses and the lack of reply on time, I might suggest $750 in this particular matter.

VICE CHAIR BROWN: All right. Have a proposal of --

COMMISSIONER DRAGO: I'm sorry. I didn't hear the whole -- was that $750 per incident, Commissioner D'Aquila?

COMMISSIONER D'AQUILA: Yes.

VICE CHAIR BROWN: Any comments, Commissioner Drago, or insight into the proposal?

COMMISSIONER DRAGO: I don't have any great

insight. But I think that's a reasonable amount I think based on the facts of this case.

MS. ALVARADO: Just for the record or clarify, is that $750 per count?

COMMISSIONER D'AQUILA: Per count.

VICE CHAIR BROWN: And three counts.

Commissioner Repp, any comments or thoughts?

COMMISSIONER REPP: I would agree and concur.

VICE CHAIR BROWN: Can we get a motion, Commissioner D'Aquila.

COMMISSIONER D'AQUILA: I make a motion that we settle at $750 or the appropriate language, counsel.

MS. ALVARADO: This wouldn't be a settlement. It's a default. We would enter a final order issuing of $750 per count.

COMMISSIONER D'AQUILA: Thank you for the clarification. I would propose that we enter a default order at $750 per count for a total of $2,350.

VICE CHAIR BROWN: That sounds good. Is there a second?

COMMISSIONER DRAGO: Second.

VICE CHAIR BROWN: Any discussion? All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. We are on to 5.2.

MR. HUNDERSMARCK: Item 5.2 is Guillermo Alejandro Beker Vives, Case No. 2025-032827. The case materials include the administrative complaint alleging that respondent was a patron of and was excluded from Casino Miami.

The administrative complaint seeks permanent exclusion from all pari-mutuel facilities and any facility of a slot machine licensee in Florida. The case materials include the USPS tracking number and confirmation of delivery on September 29, 2025.

Respondent did not answer by the 21-day deadline on October 20, 2025. Therefore, the Commission should enter an order with the following findings, that the respondent was properly served the administrative complaint, did not respond within the deadline, and waived the right to request a hearing, that the factual allegations of the administrative complaint are accepted as the findings of fact in this case, and that respondent shall be permanently excluded from all pari-mutuel facilities and any facility, a slot machine licensee in Florida.

VICE CHAIR BROWN: Thank you, Mr. Hundersmarck.

If there are no questions on this item, can we please get a motion to approve the staff recommendation as presented.

COMMISSIONER D'AQUILA: I make a motion to approve the staff recommendation.

VICE CHAIR BROWN: Thank you. And a second.

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Item passes unanimously. We're on to the discussion of settlement offer, Item 6.1.

MR. HUNDERSMARCK: Item 6.1 is St. Petersburg Kennel Club, Inc., doing business as Derby Lane and/or Win! Derby, Case No. 2025-053137. On August 4, 2025, an administrative complaint was filed against respondent alleging a violation of Rule 75-11.020(1)(b) Florida Administrative Code by failing to ensure a lockbox was secured to the poker room table.

Respondent seeks the adoption of the proposed settlement and consent order to resolve this case.

Pursuant to the terms of the proposed order, respondent will pay an administrative fine of $750.

Respondent will also provide all of their staff with additional training on the requirements of Rule 75-11.020(1)(b) Florida Administrative Code.

VICE CHAIR BROWN: Thank you, Mr. Hundersmarck.

Commissioners, this is one that we took up during closed attorney-client meeting, and we want to thank our staff for getting to a place that looks good.

If there are no questions on this item, can we get a motion to approve the settlement and consent order.

COMMISSIONER REPP: I'll make that motion.

VICE CHAIR BROWN: And a second.

COMMISSIONER D'AQUILA: I will second that motion.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. And on to discussion of recommended orders. We have two of them, and we do have a speaker on Item 7.2.

MR. HUNDERSMARCK: Item No. 7.1 is Joseph Alexander Zerilli, Case No. 2025-002407. Petitioner Joseph Alexander Zerilli submitted an application for a pari-mutuel wagering general individual occupational license.

After review of the application, the Commission issued a letter of license denial notifying petitioner of its intent to deny the application and the request for waiver based on petitioner's two disqualifying felony convictions.

Those were first burglary of an unoccupied, and second grand theft in the third degree, both out of Broward County from 2004.

Petitioner requested an informal hearing, which was held on October 8, 2025. On November 20, 2025, the hearing officer recommended granting petitioner's application.

VICE CHAIR BROWN: Thank you, Mr. Hundersmarck. I'm still reading the recommendation here.

Commissioners, are there any questions of Mr. Hundersmarck?

COMMISSIONER DRAGO: No.

VICE CHAIR BROWN: So we're in a posture to either adopt the hearing officer's recommended

order, correct, or issue a letter of license denial?

MS. ALVARADO: You could either adopt the hearing officer's recommended order, or you could give us a recommendation, how you'd like to change it, I guess, what you're not adopting from the recommended order, and what penalty you would like for us to go forward with in a final order.

VICE CHAIR BROWN: Thank you.

Commissioner D'Aquila.

COMMISSIONER D'AQUILA: I'm ready to propose a motion to the hearing officer's recommendation.

VICE CHAIR BROWN: Is there a second on that?

COMMISSIONER DRAGO: Second.

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: Is there any discussion on it? All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. The item passes.

7.2, we do have a speaker on this who is on the phone telephonically. We'll go into our staff presentation first, and then we will have him speak.

MR. HUNDERSMARCK: Item 7.2 is Edgar David

Diaz, Case No. 2025-011098. Petitioner Edgar David Diaz submitted an application for a cardroom employee occupational license.

After review of the application, the Commission issued a letter of license denial notifying petitioner of its intent to deny the application based on petitioner's disqualifying felony conviction and based on a 2023 revocation of his prior occupational license.

A disqualifying conviction was for aggravated battery of a pregnant victim out of Marion County Florida in 2022. Petitioner requested an informal hearing, which was held on October 8, 2025.

On November 24, 2025, the hearing officer recommended granting petitioner's application by entering a stipulation and consent order with conditional terms of the license to include a probationary period and surrender of the license upon any criminal or administrative offenses.

VICE CHAIR BROWN: Thank you, Mr. Hundersmarck.

Commissioners, before we get to the speaker, do you have any questions of our staff?

Mr. Diaz, you have to push star 6 to unmute, but you are on the phone, if you can confirm.

MR. DIAZ: Yeah, I'm here.

VICE CHAIR BROWN: Thank you. We hear you. You have the floor, sir.

MR. DIAZ: Okay. Thank you. Good morning, Commissioners. My name is Edgar Diaz. I'd like to thank you guys first for the opportunity to be in front of you again. I wish I could be there in person. I normally am for the past few meetings that I've attended.

However, because of work, I wasn't able to attend this time in person. But this is very important to me, so I wanted to make sure I was here in any way that I could be.

VICE CHAIR BROWN: Thank you.

MR. DIAZ: Over the past few years, I've taken steps to move away from the unfortunate situation that I regret and use it as motivation to make real change in my life. I've pivoted my life and focused on making better choices.

One of the biggest accomplishments I've done so far is I've gotten to the fire safety field, which I'm very proud of. I've also moved into a better environment near the Dell.

And since, I've stayed grounded and thankfully did therapy, learned what I could. I've worked on

building healthier habits and becoming a more responsible and stable person.

Getting the gaming occupational license back would mean a lot to me and those around me. It would allow me to keep building on the progress I've made, and it would help me stay in a civil path and continue supporting myself and moving forward in a positive direction.

I've done everything that's been asked of me. I believe I'm ready to return to work in the industry. It's something I've done since I was very young, and it's something I'm very passionate about, and I hope that today the feeling is mutual.

I would like to thank you guys for all the time that you've spent looking into this throughout these hearings, as well as the hearing officer. And I just want to thank you for your time and considering of my request. I truly appreciate the opportunity to speak in front of you guys today.

VICE CHAIR BROWN: Thank you, Mr. Diaz. I do just have one question for you. And I really appreciate your participation in these proceedings. The last you appeared before us, we were talking about your probation. I know you were going to work on terminating the probation early. Has that

been completed?

MR. DIAZ: Yeah. That was completed shortly after the first hearing I had with you guys. This was in 2024, I believe, or 2023. Yes, it's been terminated for quite some time.

VICE CHAIR BROWN: Thank you.

Commissioners, are there any questions of Mr. Diaz?

COMMISSIONER DRAGO: No.

VICE CHAIR BROWN: No. Thank you again, Mr. Diaz, for your participation. You will be on mute now.

Commissioners, this is one of those cases where I have never seen this many amount of character references. This is the most I've seen talking about the rehabilitation of this individual, and I think that it's apparent the hearing officer probably took that into consideration in granting -- in recommending a conditional license. Are there any comments?

Commissioner Repp.

COMMISSIONER REPP: I'd just like to say we did see a lot of letters. I appreciate the seriousness of the charge that Mr. Diaz had pled to, but I see no other criminal charges. The fines

of probation have been taken care of.

And he also has a prior history in the industry and as a dealer without any known incidents, and he's really put a great deal of effort into pursuing this license and the seriousness of it.

And the letters of recommendations were not just -- they were very credible. They were very in depth and gave us a lot of information regarding his character. And I think in this matter and its unique set of circumstances that Mr. Diaz does not pose any threat to fair gaming or to the safety of our patrons at our local gaming facilities. And I would agree with the hearing officer's recommendation.

VICE CHAIR BROWN: Very well articulated, Commissioner Repp.

Commissioner D'Aquila.

COMMISSIONER D'AQUILA: Is that a motion?

VICE CHAIR BROWN: Sounded like one.

COMMISSIONER REPP: I'll make that motion to approve.

VICE CHAIR BROWN: Can I get a second?

COMMISSIONER D'AQUILA: I will second that motion.

VICE CHAIR BROWN: Any comments?

COMMISSIONER DRAGO: No.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. Thank you, Mr. Diaz.

And thank you to the hearing officer for her thoughtfulness and her time.

MS. ALVARADO: Before we move on, just to clarify, you would like us to enter into the granting a license with the conditions that were provided?

VICE CHAIR BROWN: That's what Commissioner Repp -- that was her motion.

COMMISSIONER REPP: The staff recommendation.

VICE CHAIR BROWN: Hearing officer recommendation.

MS. ALVARADO: I'm sorry. Hearing officer's recommendation.

VICE CHAIR BROWN: And that's correct.

Commissioner D'Aquila, with your second.

COMMISSIONER D'AQUILA: Second.

VICE CHAIR BROWN: Are we clear? And we are moving on to Item 8, discussion of request for a

waiver, actually 8.1. And we do have a speaker on this item as well.

MS. ALVARADO: Good morning. Emily Alvarado, for the record. Item 8.1 is William Parrish Walden, Case No. 2025-083794. Here, the applicant is applying for a pari-mutuel wagering professional individual occupational license.

Upon review of the completed application, it appeared that the applicant was convicted of the felony offense of robbery out of Mississippi from April of 2015.

On November 21, 2025, a waiver interview was conducted and was documented in the meeting materials. Here, the applicant is applying to be a trainer.

They have sent in two additional recommendation letters that were added as a supplemental to the meeting materials, I believe, yesterday. He did disclose the conviction on his original application.

He has completed his rehabilitation, and probation was discharged in 2017. He was denied a license when we were under DBPR in 2022. He does have other offenses, but all of them are misdemeanors and not disqualifying offenses.

So here, the Commission can decide to grant the waiver and issue the occupational license. And if not, we'd ask that you allow us to issue a letter of license denial in this case.

VICE CHAIR BROWN: Thank you, Ms. Alvarado.

And welcome, Mr. McGinn.

MR. MCGINN: Good morning. Thank you, Vice Chair. My name is Daniel McGinn. For the record, I'm with Jones Walker in Tallahassee. I certainly would like to represent Mr. Walden, but he did make the trip down here.

So prior to me giving you kind of my take on this and answering any questions the Commission may have, I'd like to allow Mr. Walden to speak.

VICE CHAIR BROWN: Certainly.

Thank you, Mr. Walden, for making the trip.

MR. WALDEN: Thank you guys for having me. Sorry. I'm nervous.

VICE CHAIR BROWN: Don't be.

MR. WALDEN: I just want to begin by expressing my gratitude to the Florida Gaming Control Commission for taking the time out of their busy schedule to review my application.

Relatively speaking, for my profession, I do a lot of public speaking. You would think that by

now I'd be better at it. But alas, I'm not, so please bear with me.

On November 23, 2020 is the day that I look back on with mixed emotions. It served as the final day in a 12-year battle of drug and alcohol addiction, 12 years that I tore through the lives of my loved ones and those that I hold dear like a wrecking ball.

It also serves as the first day of new journey in sobriety and recovery, a new life that's been 5 years and 12 days since I've ingested a mood or mind-altering substance.

I now a beautiful wife, Tessa; 2 and a half year old son, Wade; and a year-old daughter, Hailey. I train raise horses. That is my job. That's my passion. First and foremost, I love the horses. I love my job. I love the industry. I love the people I work with. I love the game.

We've only been training racehorses for a little under four years. In those four years, our horses have amassed over $7.3 million in earnings. In the last two years, we've qualified three horses for the Breeders' Cup.

We've had three graded stakes winners, including our first Grade 1 this year, including a

top filly placing in the 2025 Kentucky Oaks. I say we all -- I say we in all this because the real credit goes to the incredible men and women that are involved in my team.

I don't really want to talk about my career as a horse trainer because I believe that the immediate success and quick rise and growth of our stable in the industry serves a greater purpose, a greater purpose being a platform that allows me to publicly tell my story and reach out to those out there suffering from the disease of alcoholism and drug addiction.

I have obtained numerous speaking engagements in 2025, most notably being one of three keynote speakers of the Kentucky Recovery Rally held at the State Capital Building and also a keynote speaker at the Stable Recovery Spring Gala fundraiser, as well as numerous speaking engagement at schools through podcasts or public interviews.

I would like to close by -- sorry if I get emotional. I would like to close talking about a gentleman named Bob Hanes. Bob Hanes and I met two months ago. I had a filly running in a stake race at Laurel Park in Baltimore, Maryland.

Bob was introduced to me by a friend. Bob

lost his 23-year-old son to an overdose of heroin. I love training racehorses. That's my job. But I believe that what I'm called to do is to be a light for those out there who are struggling with what I struggled with for 12 years.

Bob and I speak twice a week. The relationship I have with Bob is not to replace the one he had with his son. But he says he feels like by speaking with me weekly, he feels a connection to the one he lost. That's what's important here, and I want that to be noted.

Like I said, I really appreciate you guys taking the time to review my application. Thanks.

VICE CHAIR BROWN: Thank you, Mr. Walden.

Mr. McGinn.

MR. MCGINN: Thank you, Chair. As Mr. Walden described, under a decade ago while under the influence, Mr. Walden committed a disqualifying offense. Once he regained his composure, he immediately turned himself in to the authorities.

And he went through the process, completed all of the requirements from the court case and continued on his way. Unfortunately, the path to sobriety is long and difficult for almost everyone, except for the luckiest of those on the path.

While working through some of these difficulties, he admittedly hit some bumps in the road, committed some non-disqualifying misdemeanors, all of which have been disclosed to the Commission and are available in the meeting materials.

Since 2020, Mr. Walden has been sober, and his record has been clean as he continues on his journey. He's currently licensed in ten states, including the major racing jurisdictions in America, Kentucky, California, New York, Louisiana. He's also licensed in Canada (inaudible).

He's been very successful in training horses and would like to bring his business to Florida. He's a frequent participant in the racetrack chaplaincy programs that he described.

As he said, he was a keynote speaker in some of their conferences. He spoke before the Kentucky legislature during their Addiction Awareness Week this past year.

He is a frequent speaker for Stable Recovery, which is the program for Back of the Track assistance for the workers who may be experiencing similar problems.

And he also during the most recent Breeders'

Cup coverage had his story told by NBC Sports. There is a video available, but I didn't want to trouble our friends with The Florida Channel by attempting to rebroadcast copyrighted material.

But it is out the. The staff does have the link available. As Ms. Alvarado was kind enough to point out, there have been supplemental character references added to this record since the initial publication last week.

As we all know, the statute at issue here that provides the waiver and that also allows the Commission to have the disqualifying offenses be reviewed and held against or granted.

It's not intended to be an ongoing punishment for whatever the initial disqualify offense was. Really what we're trying to do here is make sure that the racing in Florida is protected, there's not an issue with integrity, and that the health, safety, and welfare of the people of Florida would not be endangered by the approval.

And I think here, Mr. Walden's activities since the time of his sobriety and his ongoing licensure in other states kind of demonstrate that he would not be the sort of person who would cause issues for the state of Florida were his

application be approved.

With that, we do recommend that -- or ask that the staff approve the waiver request.

VICE CHAIR BROWN: Thank you, Mr. McGinn. Actually, you articulated my thoughts just now. But even before Mr. Walden spoke, had thoughts on this looking at all of the different licenses he's had in various states.

But I have to tell you, Mr. Walden, I was very moved by what you said, by your ability to come up here, too, and tell your story so candidly, really. And it's obvious from your background, your application, how passionate you are about the industry.

I commend you for that. But even hearing you today, you live for horses, and it's great to hear that. So I'm very supportive of Mr. McGinn's comments here today.

Commissioner D'Aquila.

COMMISSIONER D'AQUILA: If it's acceptable, I'm ready to grant the motion.

COMMISSIONER DRAGO: Can I?

VICE CHAIR BROWN: Yes.

COMMISSIONER D'AQUILA: Go ahead, Commissioner Drago.

COMMISSIONER DRAGO: Mr. Walden, can I ask you a question, quickly. I just want to say thank you for coming in, and congratulations on your sobriety. And I find it very interesting that you've made a point about going about trying to help others that suffer the same addiction that you did.

When you do that, what do you tell people the reason or how, what brought you to sobriety? Is there something that you tell other people, this is what got me to this point of sobriety?

MR. WALDEN For me, personally, yeah, I do. I try to articulate it as best I can. For most people, it's different. For me, it was pure hopelessness. I can remember the two weeks before November 23rd.

I quit lying to people. I told everybody what I was doing, and that was I was holed up in a hotel room smoking crack and shooting heroin. And I remember the last ten days, all my loved ones knew exactly what I was doing and knew that my life was in the balance, even the phone didn't ring.

I can't tell you how alone, how miserable that felt. And I decided right then and there that life was worth more. And I don't know. It was

surrender. I had to wave the white flag.

I had to completely surrender my life over to the care of those that had gone before me that were currently sober that had been through what I had been through.

And so really what I preach to youth or anybody going through what I went through is complete surrender. It can't be this partial take a little bit of your way and combine it with my way and that way my agenda and your agenda are both met. It doesn't work like that. It has to be complete surrender.

I went through 20 different treatment facilities before I finally got sober. And in 19 of those, I tried to take a little bit of my way with it. I tried to keep my agenda. And ultimately, I was unsuccessful.

To surrender to God is to surrender to those that you entrust your care with. And if you trust the process, it works. I mean, that's why we have AA and all these numerous things for, is to trust it. I don't know. That's just -- that's what's worked for me, and I've seen it work in the lives of others.

COMMISSIONER DRAGO: I appreciate that very

much. Thank you. And I think you're going to be a great spokesperson for this, in terms of helping folks because you really have a good knack for explaining exactly how you felt and what it was like and that type of thing.

So I wish you all the luck with that and helping others and with your career in and future. Thank you for coming in here and taking the time to talk with us. I know we all appreciate it.

VICE CHAIR BROWN: Thank you, and your honesty as well.

COMMISSIONER DRAGO: Right.

MR. WALDEN: Absolutely. Thank you very much.

VICE CHAIR BROWN: Commissioners.

Commissioner D'Aquila, can we please get a motion.

COMMISSIONER D'AQUILA: I'd like to grant a motion to --

VICE CHAIR BROWN: Grant the waiver.

COMMISSIONER D'AQUILA: Grant the waiver and make this gentleman a trainer, a licensed trainer in the state of Florida.

VICE CHAIR BROWN: Thank you.

And can we get a second, Commissioner Drago.

COMMISSIONER DRAGO: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you.

Again, thanks for coming up here today. Passes unanimously. We are going to move on to a discussion of license denials.

MR. HUNDERSMARCK: Item 9.1 is Marketa Antrenell Johnson, Case No. 2025-082142. The division received a completed application on September 18, 2025. The applicant is seeking a slot machine/cardroom/pari-mutuel individual combination occupational license.

Upon review of the application, it was discovered the applicant was convicted of two disqualifying offenses, first, aggravated assault with a deadly weapon, a felony out of Miami County, Florida from 2007; second, petite theft, a misdemeanor also out of Miami-Dade from 2009.

On her initial application, she marked yes for the question about whether she had been adjudicated guilty of any criminal charges. And she listed the details for all of the convictions she received as an adult.

She marked no for the question about any

adjudications of guilt for the listed dishonesty offenses from the statute, and she should have marked yes because a petite theft misdemeanor involves larceny.

When asked of the one deficiency letter to provide arrest reports for some of the charges she disclosed, she did so in an amended application. She is applying to work as a security officer at Calder Casino, which, again, requires a slot/cardroom combo license.

Due to the felony, she is not eligible for a waiver. In this case, we ask that you authorize staff to issue a letter of license denial.

VICE CHAIR BROWN: All right. Commissioners, do you have any questions of this item? I do appreciate the fact that this applicant did disclose the offenses that she thought in thorough. Are there any outstanding fines or probation that still remains?

MR. HUNDERSMARCK: In total, she has an unpaid balance of just under $1,500.

VICE CHAIR BROWN: And the last offense that occurred, was that in 2012?

MR. HUNDERSMARCK: So the last one was 2009, and then she was arrested again in 2012, but no

action was taken.

VICE CHAIR BROWN: Okay. 2009. And there have been no other incidents?

MR. HUNDERSMARCK: Since 2009, no.

VICE CHAIR BROWN: Commissioners, questions, comments?

COMMISSIONER DRAGO: I just need a little bit more clarity on the offenses, if I could. So 2009, we're talking about the petty theft?

MR. HUNDERSMARCK: Yes.

COMMISSIONER DRAGO: And that was the adjudicated withheld; right?

MR. HUNDERSMARCK: Yes.

COMMISSIONER DRAGO: And that's the last one, the last offense. Okay. I finally got it squared in my head. Thank you.

MR. HUNDERSMARCK: That's the last conviction. There was one more arrest after.

COMMISSIONER DRAGO: Got you.

VICE CHAIR BROWN: Other commissioners? A lot of time has elapsed.

COMMISSIONER DRAGO: A lot of time has elapsed. One concern I have is the fact that I think you said she still owes on her probation, her court cost, or what does she owe?

MR. HUNDERSMARCK: They're across three different convictions. Yes. The $850 was for a domestic violence/violation of injunction, court cost. There was $585.50 for the trespass after warning, a misdemeanor in 2008, and then there was $50 for the most recent arrest. So a total of $1,485.50.

VICE CHAIR BROWN: Was she on a payment plan?

MR. HUNDERSMARCK: I don't believe so. It looks like she got a payment plan removed in 2011 for the trespass property after warning, and then there's no further information. They're just listed as unpaid court cost.

VICE CHAIR BROWN: Commissioner Drago.

COMMISSIONER DRAGO: I'm just concerned about someone that has not fulfilled their obligation in terms of court cost and fines or whatever else they are over a period from 2012, 13 years. She still hasn't met her obligation to pay those fines. I find that a little disturbing.

VICE CHAIR BROWN: Commissioner D'Aquila.

COMMISSIONER D'AQUILA: I'm going to add to that. I mean, I believe this applicant is applying for a security officer position?

MR. HUNDERSMARCK: Yes, at Calder Casino.

COMMISSIONER D'AQUILA: But in follow-up to Commissioner Drago's point, she has consistently failed to pay or be complaint with the laws as it pertains to the court cost and fees that were assessed by the state?

MR. HUNDERSMARCK: Yes.

COMMISSIONER D'AQUILA: Yet is seeking a license despite that. Thank you --

VICE CHAIR BROWN: Thank you.

COMMISSIONER D'AQUILA: -- for clarifying.

VICE CHAIR BROWN: Commissioners. It's a very voluminous file so --

MR. TAUPIER: Marc Taupier, for the record. Just for clarification, the payments on her court cost went to a collection agency. So they're no longer on the Clerk of Court, but they did forward it to a collections agency. She does not have a valid license because it was D-6th for that.

COMMISSIONER DRAGO: I'm sorry, Marc. What was the last thing you said?

VICE CHAIR BROWN: Yes, that last part.

MR. TAUPIER: She does not have a valid license because of the D-6, which is failure to pay court cost.

VICE CHAIR BROWN: Going to

Commissioner D'Aquila's comments about the actual position that she's applying for as a security officer, a lot of the offenses that we have in the record appear to be violent in nature.

Although time has elapsed, it is a little bit concerning about the position she's applying for.

Commissioner Drago.

COMMISSIONER DRAGO: I would make a motion to deny the application.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: Any discussion? Technically, you're issuing a letter of license denial.

COMMISSIONER DRAGO: Exactly.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. That makes Ms. Alvarado happy. Thank you. We are moving on to Item 9.2.

MR. HUNDERSMARCK: Item 9.2 is Anisha S. Figueroa, Case No. 2025-082203. The division received a completed application on October 1, 2025. She is applying for a pari-mutuel

professional individual occupational license.

Upon review of the application, it was discovered that the applicant was convicted of one disqualifying offense, credit card theft, a felony out of Fairfax County, Virginia from 2003. This was not disclosed on the original application.

She is applying for the license in order to work as a cardroom massage therapist at Tampa Bay Downs. On her initial application, she only listed the most recent conviction from 2025, but did not list the three convictions from 18 to 24 years back in Virginia, one of which was the disqualifying credit card theft felony conviction.

After the one deficiency letter, she sent in an amended application providing the court disposition documents of the offenses that the deficiency letter asked about. But some of the sentencing information, she wrote on the criminal history page of her amended application was still incomplete or inaccurate.

Respondent turned in the waiver request form. However, she did not mark the first conviction disclosure box. But she should have marked that, yes, she has a felony conviction, and did not mark the third question, yes, about being a new

applicant of Florida who has been convicted of any felony.

A second part to a waiver request is completing a form called the release of information and request for release of information. She partially filled it out and signed it, but she did not get it notarized, which the form requires. Respondent also did not schedule or complete a waiver interview.

Therefore, her request for waiver is considered incomplete. In this case, we ask the authorized staff to issue a letter of license denial.

VICE CHAIR BROWN: Thank you, Mr. Hundersmarck.

Commissioners, any questions on this item of the staff? If not, we're ripe for a motion.

COMMISSIONER DRAGO: Can I just ask one question, if I could.

VICE CHAIR BROWN: Sure.

COMMISSIONER DRAGO: I'm just trying to get clarity again on the charges. She was convicted of battery in 2024, August of 2024?

MR. HUNDERSMARCK: So that was the arrest, and then disposition in March of 2025.

COMMISSIONER DRAGO: Right. Okay. I just wanted to make sure that I was reading that right. Thank you.

VICE CHAIR BROWN: Are there any other questions on this item? If not, we are ready for a motion to either issue a letter of license denial or grant the license.

COMMISSIONER DRAGO: I make a motion to issue a license denial.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER D'AQUILA: Second that motion.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. Passes unanimously. And we're going into discussion of rulemaking. I did want to note, Ms. Valentine, we do have a speaker on this item.

MS. VALENTINE: The first item on the rulemaking discussion is going into proposed rulemaking for Rules 75-14.022, 75-14.048, and 75-14.074.

The Commission previously authorized staff to initiate rule development for these three rules based on the change to the tax reporting

requirements for slot machine winnings by the Big Beautiful Bill, increasing the tax reporting limit from $1,200 to $2,000.

And so now before you in the meeting materials, you will see the proposed language for each rule. And the only change that we are requesting the Commission to authorize us to make is to increase the limit from $1,200 to $2,000.

This would be a benefit to all of our permit holders because it would be in line with what the federal law now requires them to report.

So at this time, staff request that the Commissioners authorize staff to move forward with filing the language of each proposed rule as set forth in the meeting materials and to authorize Executive Director Zimmer to execute all documents necessary to adopt the proposed rules as final, if the Commission does not receive any comments or request for public hearings on these rules.

VICE CHAIR BROWN: Before we go into that, I would like to hear from the speaker first.

Mr. Jonathan Zachem.

MR. ZACHEM: My comment is a little bit broader. These are slot rules in this area, and we would -- we understand what the goal and the

intention is in these areas.

When we have slot rules, we get that there's an expedited time frame, but we're going to try and make some comments. When the hearing is noticed and we've spoken to counsel to make sure to be there that we can participate.

But when we have slot rules that are open, we do ask if it's not something that's expedited such as this that we be given a little bit more time, since our participants in South Florida are so far away.

My understanding is that a good number of folks would like to have workshops when you do all open slot rules and hopefully be able to conduct them in South Florida so that they can participate. But with these, we're going to -- I think the most appropriate thing is to make our comments for the hearing that they're going to propose.

That's my understanding of the process. But if in the future we get why it's going through there, and we thank them for trying to help out. But we have some comments that we think that might help.

VICE CHAIR BROWN: Thank you, Mr. Zachem.

Ms. Valentine, would you like to respond,

please.

MS. VALENTINE: Yes. Thank you. At this time, the Commission is not planning to hold a hearing on these rules. Again, the change is only limited to updating the rules to reflect federal law on the tax reporting requirements.

And because our rules cite to the federal law and provide a lower limit, we would like to be in line with the Big Beautiful Bill and the Big Beautiful Bill Act, I should say. We do not have a hearing planned.

We would only hold a hearing if we are requested to do so. If counsel has any language that you would like to propose or for the Commission to consider or if any of our permit holders do, I would encourage them to follow the process set forth in Chapter 120 by filing a petition to initiate rulemaking.

That would get the request for any rule changes in front of the commissioners to consider. That is the appropriate venue to do so.

VICE CHAIR BROWN: Commissioner D'Aquila.

COMMISSIONER D'AQUILA: If I'm understanding this rule change that you are proposing is strictly specifically on the recent tax change and nothing

else?

MS. VALENTINE: Correct.

COMMISSIONER D'AQUILA: And if I understand correctly, Mr. Zachem, your comments pertain to the specific tax change and nothing else?

MR. ZACHEM: Both. But we're limiting it to just the tax change for this format. We spoke to counsel before, and we did previously request a workshop. They explained how they're keeping it narrow on this focus.

We understand that, and we withdrew our request for the workshop. And the first time that we saw the language, I believe, is when the records were released within the last two days, so we've had counsel taking a look at them.

And we think there might be an issue, but we're not 100 percent sure. We're still looking at it now, but we want to make sure we understand the endeavors to comply with federal law and to make sure with the tax change it gets there.

And if we have an issue, we want to be able to present that at a hearing because we want it to be compliant with federal law as well. Does that make sense, Commissioner?

COMMISSIONER D'AQUILA: I understand. Thank

you.

VICE CHAIR BROWN: Thank you.

Yes, Ms. Valentine.

MS. VALENTINE: Again, if anybody does have any comments on the language of the rule and the change of, you know, as the rules open, anybody can submit comments and request a hearing within the 21 days. But the Commission staff have not planned a hearing at this time. But if we are requested to, we will hold one.

VICE CHAIR BROWN: Ms. Valentine, can you just cite those rules clearly, for the record.

MS. VALENTINE: Yes, I will repeat them. The rules that we are requesting to be authorized to go into proposed rulemaking is Rule 74-14.022, 14.048, and 14.074.

VICE CHAIR BROWN: And the request is also to authorize staff --

MS. VALENTINE: Yes. Executive Director Zimmer to execute all documents necessary to effectuate the rule for final adoption, assuming that there are no comments or statements made during the hearing for the Commissioners to consider.

If we do receive comments or we have a request

for a public hearing and we hold one, then this will go again in front of the Commissioners for consideration as we've done before.

VICE CHAIR BROWN: That seems clear to me.

Commissioners, is it clear to you all? So assuming we don't have comments or a request for hearing, can we get a motion to the effect as presented by Ms. Valentine authorizing staff to go into rulemaking and our Executive Director Zimmer execute all necessary documents.

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: Any discussion? All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. Item 10.2.

MS. VALENTINE: Item 10.2 is both an update and a request to go into rule development, so I will start with the update. The update is based on a recent change to Florida law, each executive branch agency such as the Commission is required to review all of our rules on a five-year basis with 20 percent of the rules being reviewed in each year for the next five years.

For the Commission, that review entails 36 rules per year. And the rules identified on the agenda are the rules that we have now completed our review.

In addition, by January 1, the Commission has to prepare a report as to which of the reviewed rules need no changes, need technical changes, or need substantive changes for various reasons.

Based on our review, we have determined that all of our rules are a valid exercise of delegated legislative authority, that each rule has current statutory authority, that it does not reiterate or paraphrase statutory material, that it is in proper form, and that it is consistent with express legislative intent.

Of those 36 rules, we have determined that 29 rules just require a technical change, and that is to update our statutory grants of authority because once the Commission was enacted, Section 16.712 of the Florida Statutes have granted rulemaking authority to the Commission, and we would like to incorporate that rulemaking authority into all of our rules as we go through the review.

Three of those rules require no change, and four of those rules require what is referred to as

a substantive change, but it is not as significant as it sounds.

A substantive change is either changing references to the division to the Commission to reflect the type to transfer and the creation of the Commission, just slight rewarding for more grammatical technical, I guess, clarity.

That's pretty much it. And so from the rules that require substantive changes, we are asking the Commission to authorize staff to go into rule development. I will identify those rules.

VICE CHAIR BROWN: Is it .20.22.002 and .19?

MS. VALENTINE: Yes. 75-2.020, 75-11.022, 75-14.002, and 75-14.019.

VICE CHAIR BROWN: Ms. Valentine, when we authorize staff to go into rule development, there's a process. Could you just articulate it for the public.

MS. VALENTINE: Yes, of course. So rule development means that the agency will follow a notice of rule development. And it can, but it does not have to include the proposed language. In our case because the commissioners have not seen the proposed language, our notice of rule development for these rules will not include

proposed language.

At that time after the notice of rule development is filed and before a notice of proposed rulemaking is filed, outside entities can request a workshop if they would like to collaborate or suggest language.

By law, we have to wait seven days before we can go from notice of development to notice of proposed rulemaking. But in our case, the proposed rules will be up for the commissioners' consideration at our next meeting.

And so we will go into proposed rulemaking longer than the seven-day statutory required period. But we will still be within the time period that the statute requires between development and proposed rules.

VICE CHAIR BROWN: Thank you for the overview. So really you're asking for us to authorize staff to go into rule development for those four --

MS. VALENTINE: Yes.

VICE CHAIR BROWN: Mr. Zachem, I know you had a card here for Item 10. I didn't know if you want -- okay. Thank you.

Commissioners, are there any questions?

Thank you and your staff, too, for a lot of

work that went into this and identifying those 36 rules, too.

Commissioners, if there are no questions, can we get a motion to authorize staff to go into rule development for those four aforementioned rules.

COMMISSIONER D'AQUILA: I make a motion to authorize staff to go into development for those authorized.

VICE CHAIR BROWN: Thank you. And a second.

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you, Ms. Valentine.

We are going to public comment. Is there anybody from the public that would like to address us on any matter at all? Seeing none, we do have a closed attorney-client session that we're going to go into. With that, I would ask our Mr. Taupier to read the notice.

MR. TAUPIER: Marc Taupier, for the record. The Office of the General Counsel request that the commissioners to close the meeting so that the Office of the General Counsel may seek advice from the commissioners in a closed meeting concerning

litigation styled State of Florida versus Miguel Alberto Roye, Case No. B25017696 in the County Court of the 11th Judicial Circuit in and for Miami-Dade County, Florida.

This advice relates to litigation strategy and settlement negotiations and may therefore be discussed in private pursuant to Sections 16.716 and 286.011(8) of the Florida Statutes.

VICE CHAIR BROWN: Thank you, Mr. Taupier. Have to read the spiel here. In connection with the performance of our regulatory duties, it is necessary for us to hear information that is exempt from confidentiality.

Specifically, Commission counsel will be discussing litigation strategy and settlement negotiations with the commissioners related to the litigation that was previously mentioned.

The closed meeting is scheduled for approximately just 15 minutes. Those in attendance will be Vice Chair Julie Brown, Commissioner Chuck Drago, Commissioner Tina Repp, Commissioner John D'Aquila.

Commission staff, Executive Director, Alana Zimmer; Deputy Executive Director, Brandon McCaffery; General Counsel, Elina Valentine,

Director of Pari-Mutuel Wagering, Joe Dillmore; Director of Gaming Enforcement, Carl Herold; Chief of Law Enforcement, Gary Snyder; Deputy General Counsel, Marc Taupier; Chief Attorney, Emily Alvarado; and Senior Attorney, Justin Hundersmarck; Business Manager, Dixie Parker; and Brandy Humphries; as well as our certified court reporter.

In accordance with Sections 16.716 and 286.011(8)(c) of the Florida Statutes, the closed meeting, including the necessity for closure and the specific reasons thereby will be recorded by our certified court reporter.

No portion of the closed meeting will be off the record. The court reporter's notes will be fully transcribed and filed with the Clerk of the Commission. And a transcript will be made public upon the conclusion of the litigation.

I will note for the record that the time is now 11:04. Again, as I say, 15 minutes. So I will ask the Florida Channel to discontinue the live feed for the next 15 minutes or so, so that the Commission may conduct the meeting, and then we will reopen. Thank you, all.

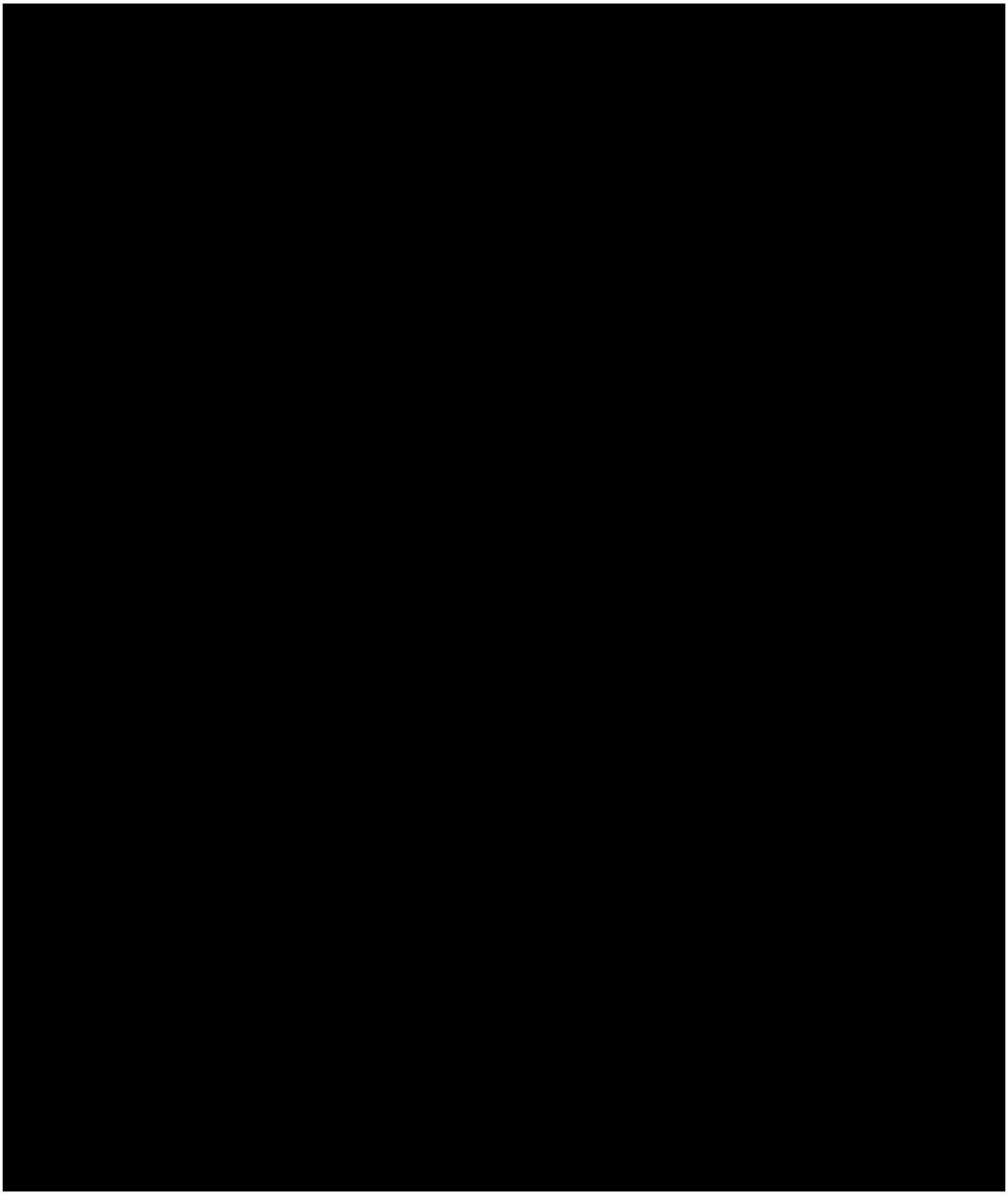
MR. HEROLD: Madam Vice Chair, Gary will not be here. He's supporting an investigative function

Pursuant to Sections 16.716 and 286.011(8), Florida Statues.
This portion of the transcript is confidential and exempt.

today.

VICE CHAIR BROWN: Thank you for that notation. And happy holidays to all.

(Recess 11:05 a.m. until 11:10 a.m. to begin closed attorney-client session.)



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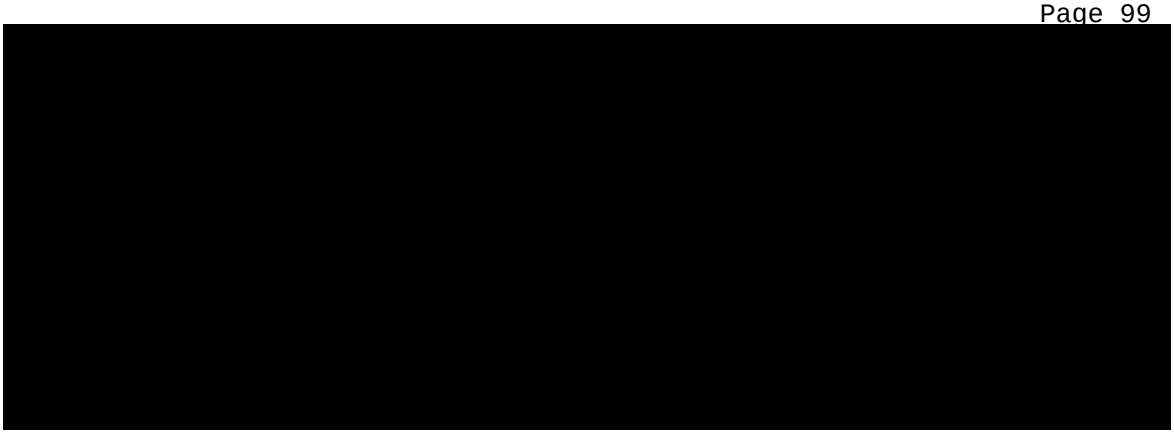

(Recess 11:31 a.m. until 11:34 a.m.)

VICE CHAIR BROWN: Good morning. The time is 11:34. We are still at the Florida Gaming Control Commission's December 4, 2025 meeting, and we have one item left after our closed attorney-client session, which is now closed.

We're back open on the record. We are on to Item 12.1, which is the State of Florida versus Roye. We are ready for a motion from the Commission, Commissioners. Commissioner Repp.

COMMISSIONER REPP: I would like to make a motion to reject the settlement offer and proceed with litigation.

VICE CHAIR BROWN: Thank you. Is there a second?

COMMISSIONER D'AQUILA: I will second that motion.

VICE CHAIR BROWN: Any discussion? All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. Commissioners, are there any other matters to address today?

Staff, do we have any other items to address?

So we do stand adjourned. Thank you, all. Have a great holiday.

(Proceeding concluded at 11:36 a.m.)

CERTIFICATE OF REPORTER

STATE OF FLORIDA

COUNTY OF LEON

I, I. IRIS COOPER, do hereby certify that I was authorized to and did stenographically report the foregoing proceeding, and that the transcript is a true and complete record of my stenographic notes.

Dated this 11th day of December, 2025.

I. Iris Cooper
Stenographic Reporter
Notary Public, State of Florida
My Commission No. 1366674
Expires: February 7, 2028

2. Executive Director update

3. Discussion of renewal application for Slot Machine License

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Re:	Request to Renew Annual Slot Machine License PPI, Inc. d/b/a Harrah's Pompano Beach (SM430) Case# 2025-079718
Date:	December 1, 2025

Executive Summary

A slot machine licensee has submitted an application to renew its annual slot machine license. The Commission should approve this request.

Background

Slot machine gaming is authorized in Miami-Dade and Broward Counties at certain pari-mutuel facilities. [1] One such facility is PPI, Inc. d/b/a Harrah's Pompano Beach ("PPI"). PPI is located in Broward County and possesses a valid harness permit. PPI was issued a pari-mutuel operating license for the 2025-2026 fiscal year.

Commission staff received PPI's application to renew its slot machine license on October 8, 2025. The application will be deemed complete upon receipt of payment.

Analysis

In addition to submitting a complete application, a slot machine licensee must satisfy numerous requirements to be entitled to a renewed slot machine license. [2] PPI has satisfied all of these requirements.

Recommendation

The Florida Gaming Control Commission should approve PPI, Inc. d/b/a Harrah's Pompano Beach's application for renewal of its slot machine license effective January 9, 2026 through January 8, 2027.

---

[1] *See generally* Art. X, § 23, Fla. Const.; § 551.101, Fla. Stat.

[2] *See, e.g.,* §§ 551.103(1)(f) (mandating $2,000.000.00 surety bond for slot machine licensees); 551.106(1)(a) (requiring payment of annual nonrefundable $2,000,000.00 license fee); 551.118 (requiring payment of annual nonrefundable regulatory fee of $250,000.00 to fund compulsive or addictive gambling prevention program); *see also* Fla. Admin. Code R. 75-14 ("Pari-Mutuel Wagering Facility Slot Machine Operations").

FLORIDA LICENSE
FOR
SLOT MACHINE OPERATIONS

WHEREAS PPI, Inc., d/b/a Harrah's Pompano Beach, Pompano Park Racing, The Isle Casino and Racing at Pompano Park, and/or Isle Casino Racing Pompano Park is the holder of a valid, active pari-mutuel permit to conduct harness racing at its facility located in Broward County, granted pursuant to Chapter 550, Florida Statutes.

WHEREAS PPI, Inc. is authorized to conduct Pari-Mutuel Wagering activities at its facility pursuant to the Laws of Florida, on approved dates.

WHEREAS the majority of voters of Broward County, Florida approved a referendum authorizing slot machines at pari-mutuel facilities within Broward County in a county-wide referendum conducted March 8, 2005.

WHEREAS PPI, Inc. has made application for licensure to conduct Slot Machine Gaming from January 9, 2026, through January 8, 2027, pursuant to Florida Statutes and Administrative Rules adopted pursuant thereto. It has been found that PPI, Inc. has fulfilled all the statutorily required conditions for licensure and is, therefore, qualified to hold a license to conduct Slot Machine Gaming as authorized under the Laws of Florida.

WHEREAS PPI, Inc. has furnished and shall maintain in effect during the term of this license, the appropriate bond as required under Chapter 551, Florida Statutes.

NOW THEREFORE BE IT KNOWN that PPI, Inc. is granted a non-transferable license, Number **SM430**, under which PPI, Inc. may, exclusively at the slot machine facility located at **PPI, Inc.**, at 1800 Southwest 3rd Street, Pompano Beach, Florida 33069, receive, possess, operate and ship approved slot machine equipment, and conduct Slot Machine Gaming.

BY RECEIVING THIS LICENSE PPI, Inc. agrees to, at all times during the term of this license, conduct its Slot Machine Gaming operation and maintain its Slot Machine Gaming facility in accordance with applicable Florida Statutes and Administrative Rules adopted pursuant thereto.

LICENSURE GRANTED this _____ of January 2026, in Tallahassee, Florida.

FOR THE STATE OF FLORIDA

_____

Alana Zimmer, Executive Director
Florida Gaming Control Commission

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE CHECKLIST

APPLICATION INFORMATION				
Permitholder Name: Pompano Park – 430 – 1003		**File 5**		
Date Received: October 8, 2025		**Case# 2025-079718**		
Reviewer'S Name: La'Kesha Jelks				
SLOT MACHINE LICENSE REQUIREMENTS				
	Requirement Description		**Date Deficiency Letter Sent**	**Date Deficiency Resolved**
1	Original application form FGCC PMW 3405.	Y		
2	Original Authorization for Release of Information form FGCC PMW 3195.	Y		
3	List of all ownership interests of five percent or greater as described in Rule 75-14.002(b)(c)(d) and (e), FAC, Form FGCC 0040.	Y		
4	A copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes (thoroughbred only).	N/A		
5	Evidence of a current surety bond in the amount of $2,000,000, payable to the Governor of the State of Florida, as specified in s. 551.103(1)(f), FS.	Y 12/7/2026		
6	Payment of the non-refundable $2,000,000 application fee for a Slot Machine License as required by Section 551.106(1), FS submitted via EFT. Payment of the non-refundable $250,000 regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS, made payable to the Division, submitted via EFT.	N	11/4/2025	
7	A list of slot machine business occupational licensees (i.e. vendors, manufacturers, distributors, service companies, etc.) with whom the permitholder intends to do business.	Y	11/4/2025	11/5/2025
8	Disclosure of the applicant's internal control procedures required by Rule 75-14.058, Florida Administrative Code.	Y	11/4/2025	12/1/2025

Application approved by:

Samuel J. Mosley — 12/22/25
Permitholder Administrator — **Date**

Shireen Anbardan — 12/17/25
Second Reviewer — **Date**

Second Reviewer — **Date**

La'Kesha Jelks

From: Jamie Pouncey
Sent: Monday, December 1, 2025 1:20 PM
To: La'Kesha Jelks; Bill Crafts
Subject: FW: Harrah's License Renewal Memo
Attachments: Harrah's License Renewal Memo-2026-27.pdf

Rcvd. Thank you

Thank you,

Florida Gaming Control Commission
flgaming.gov

Jamie Pouncey
Program Administrator
850.794.8116 Office 850.964.1705 Cell

From: Bill Crafts <Bill.Crafts@flgaming.gov>
Sent: Monday, December 1, 2025 12:25 PM
To: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Subject: Harrah's License Renewal Memo

Jamie, hello, hope all is well.
See attached Memo for the Harrah's License Renewal.
Take care.

Florida Gaming Control Commission
flgaming.gov

Bill Crafts
Chief of Slot Operations
Division of Pari-Mutuel Wagering
Office of Slot Operations
754.288.1019 Office
954.658.3156 Cell

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution, or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGER VIEW

La'Kesha Jelks

From: La'Kesha Jelks
Sent: Wednesday, November 5, 2025 11:07 AM
To: John Keenan; Jon Zachem
Cc: Jamie Pouncey
Subject: RE: PPI Slot License Renewal 2025-2026 (SM430)

Thank you.

From: John Keenan <john.keenan@islepompanopark.com>
Sent: Wednesday, November 5, 2025 10:49 AM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>; Jon Zachem <jon@zachemlaw.com>
Subject: RE: PPI Slot License Renewal 2025-2026 (SM430)

Payment of Fees
Payment of the non-refundable $2 million application fee and the $250,000 regulatory fee for the Compulsive or Addictive Gambling Prevention Program (Section 551.118, F.S.) is required by January 6, 2026.
Response: Payment will be made closer to the renewal date.

Internal Control Procedures
Disclosure of the applicant's internal control procedures required by Rule 75-14.058, Florida Administrative Code (submitted by FGCC South Florida staff).
Response: Currently awaiting approval of internal controls by the local FGCC. The IC checklist is complete and will be submitted for review once FGCC approves the changes.

Vendor License
Vendor Security 101 d/b/a Avi Integrators PMW license expired (#9837860) on 06/30/2024. Provide an active license number for the vendor.
Response: Security 101 now holds License #14394657, which is active and expires on 06/30/2028. The license number changed, which caused the confusion.

Lic Type **1050 - Slot Machine Business** Expir
Name **SECURITY 101 HOLDINGS, LLC** Extend
Rank **SBUS - Slot Machine Bus Occupational License** Renew
Lic Status **Current**

CAESARS ENTERTAINMENT | NFL
OFFICIAL SPONSOR OF THE NFL

John Keenan | *DIRECTOR SECURITY, SAFETY, AND COMPLIANCE*
O 954-633-6610
1800 SW 3rd Street | Pompano Beach, FL 33069

Caesars | Harrah's Pompano Beach
www.caesars.com

From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Tuesday, November 4, 2025 12:31 PM
To: Jon Zachem <jon@zachemlaw.com>
Cc: John Keenan <john.keenan@islepompanopark.com>; John Keenan <jkeenan1@caesars.com>
Subject: [EXTERNAL] RE: PPI Slot License Renewal 2025-2026 (SM430)

****CAUTION** - EXTERNAL EMAIL**
DO NOT open attachments or click on links from unknown senders or unexpected emails
The Original Sender of this email is LaKesha.Jelks@flgaming.gov.

Greetings,

The Slots Renewal Application submitted for PPI, Inc. (SM430) was received, however, the application remains incomplete for:

- Payment of the non-refundable $2 million application fee and payment of the non-refundable $250k regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, F.S. Please submit payment on or before January 6, 2026;
- Disclosure of the applicant's internal control procedures required by Rule 75-14.058, Florida Administrative Code (submitted by FGCC South Florida staff);
- Vendor, Security 101 d/b/a Avi Intergrators, PMW license expired (#9837860) on 06/30/2024. Provide an active license number for the vendor.

The items listed require submission to complete the renewal application. If you have any questions regarding the deficiencies, please contact me at your earliest convenience.

Best,

La'Kesha Jelks

Florida Gaming Control Commission
flgaming.gov

Government Operations Consultant III
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114
Cell: (850) 879-1505

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From: Jon Zachem <jon@zachemlaw.com>
Sent: Wednesday, October 8, 2025 12:46 PM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Subject: Fwd: PPI Slot License Renewal 2025-2026

Ms. Jelks,
Please see below message and attachment for PPI's annual slot license application. If you have any questions, or need any assistance, please let me know. Thank you and have a great day.

Jonathan Zachem, Managing Shareholder
Zachem Law, P.A.
Office (850) 633-2224
Cell (727) 418-4566

ZACHEM LAW, P.A.

Document Overview

This is a **Florida Gaming Control Commission (FGCC) Slot License Renewal Package** for **PPI, Inc. d/b/a Harrah's Pompano Beach** for the year 2025. It includes regulatory forms, ownership disclosures, operational details, surety bond continuation, and related correspondence.

Key Sections

1. License Renewal Application (FGCC PMW-3405)

- **Entity:** PPI, Inc. (Harrah's Pompano Beach)
- **Federal EIN:** [redacted]
- **Address:** 1800 SW 3rd Street, Pompano Beach, FL 33069
- **Contact:** John Keenan (Director of Security, Safety, Compliance)
- **Slot Machine Details:**
  - Location: Two-story building, approx. 50,000 sq ft
  - Machines: ~1,200
  - Operations: 24/7, 365 days/year

---

2. Officers & Directors Disclosure (FGCC PMW-3190)

- **Ownership:** Caesars Entertainment owns 100% of PPI, Inc.
- **Key Executives:**
  - **Thomas Reeg** – CEO, Director
  - **Anthony Carano** – President & COO, Director

- **Edmund Quatmann Jr.** – Secretary, EVP, Chief Legal Officer
- **John Keenan** signed and notarized the oath section.

---

3. Authorization for Release of Information (FGCC PMW-3195)

- Signed by John Keenan authorizing FGCC to access business and personal records for compliance checks.

---

4. Surety Bond Continuation

- **Issuer:** Western Surety Company
- **Bond Amount:** $2,000,000
- **Effective:** Dec 7, 2025 – Dec 7, 2026
- **Premium:** $9,600
- Includes Power of Attorney for bond execution.

---

5. Vendor License List

- Lists approved slot machine and gaming vendors (e.g., IGT, Konami, Aristocrat, Gaming Laboratories International).

---

6. County Clerk Certificate

- Confirms no recall election affecting the racing permit held by PPI, Inc.

---

7. Email Correspondence

- **Kelly Costello (FGCC)** confirms receipt of ownership info for audit engagement.
- John Keenan provided Caesars Entertainment's 100% ownership of PPI, Inc.

Critical Compliance Points

- Renewal application signed and notarized on **October 7, 2025**.
- All forms comply with **Florida Administrative Code Rules 75-4.004 and 75-14.003**.
- Surety bond and internal control documentation are mandatory for license continuation.

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

November 4, 2025

PPI, INC.
1800 SWThird Street
Pompano Beach, FL 33069-3099

RE: PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE
LICENSE NO. 430

Greetings,

The Slots Renewal Application submitted for PPI, INC. (SM430) was received, however, the application remains incomplete for:

- Payment of the non-refundable $2 million application fee and payment of the non-refundable $250k regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, F.S. Please submit payment on or before January 6, 2026;
- Disclosure of the applicant's internal control procedures required by Rule 75-14.058, Florida Administrative Code (submitted by FGCC South Florida staff);
- Vendor, Security 101 d/b/a Avi Intergrators, PMW license expired (#9837860) on 06/30/2024. Provide an active license number for the vendor. 11/5/2025

The items listed require submission to complete the renewal application. If you have any questions regarding the deficiencies, please contact me at your earliest convenience.

Best,

La'Kesha Jelks

Florida Gaming Control Commission
flgaming.gov

Government Operations Consultant III
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114
Cell: (850) 879-1505

Enclosure

La'Kesha Jelks

From: La'Kesha Jelks
Sent: Tuesday, November 4, 2025 12:31 PM
To: 'Jon Zachem'
Cc: John Keenan; 'jkeenan1@caesars.com'
Subject: RE: PPI Slot License Renewal 2025-2026 (SM430)

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Please see below message and attachment for PPI's annual slot license application. If you have any questions, or need any assistance, please let me know. Thank you and have a great day.

Jonathan Zachem, Managing Shareholder
Zachem Law, P.A.
Office (850) 633-2224
Cell (727) 418-4566

ZACHEM LAW, P.A.

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- **Slot Machine Details:**
  - Location: Two-story building, approx. 50,000 sq ft
  - Machines: ~1,200
  - Operations: 24/7, 365 days/year

---

2. Officers & Directors Disclosure (FGCC PMW-3190)

- **Ownership:** Caesars Entertainment owns 100% of PPI, Inc.
- **Key Executives:**
  - **Thomas Reeg** – CEO, Director
  - **Anthony Carano** – President & COO, Director
  - **Edmund Quatmann Jr.** – Secretary, EVP, Chief Legal Officer
- **John Keenan** signed and notarized the oath section.

3. Authorization for Release of Information (FGCC PMW-3195)

- Signed by John Keenan authorizing FGCC to access business and personal records for compliance checks.

4. Surety Bond Continuation

- **Issuer:** Western Surety Company
- **Bond Amount:** $2,000,000
- **Effective:** Dec 7, 2025 – Dec 7, 2026
- **Premium:** $9,600
- Includes Power of Attorney for bond execution.

5. Vendor License List

- Lists approved slot machine and gaming vendors (e.g., IGT, Konami, Aristocrat, Gaming Laboratories International).

6. County Clerk Certificate

- Confirms no recall election affecting the racing permit held by PPI, Inc.

7. Email Correspondence

- **Kelly Costello (FGCC)** confirms receipt of ownership info for audit engagement.
- John Keenan provided Caesars Entertainment's 100% ownership of PPI, Inc.

Critical Compliance Points

- Renewal application signed and notarized on **October 7, 2025**.
- All forms comply with **Florida Administrative Code Rules 75-4.004 and 75-14.003**.
- Surety bond and internal control documentation are mandatory for license continuation.

Harrah's®
POMPANO BEACH

PPI, Inc.
Slot License
Renewal
2025

PPI, Inc's hours of operation are 24 hours, 7 days a week.

La'Kesha Jelks

From: Jon Zachem <jon@zachemlaw.com>
Sent: Wednesday, October 8, 2025 12:46 PM
To: La'Kesha Jelks
Subject: Fwd: PPI Slot License Renewal 2025-2026
Attachments: doc01465320251007155543.pdf

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Jonathan Zachem, Managing Shareholder
Zachem Law, P.A.
Office (850) 633-2224
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- Surety bond and internal control documentation are mandatory for license continuation.

Harrah's®
POMPANO BEACH

PPI, Inc.
Slot License
Renewal
2025

PPI, Inc's hours of operation are 24 hours, 7 days a week.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.fgcc.fl.gov

INSTRUCTIONS		
This form is to be submitted in conjunction with Form FGCC PMW-3460, Authorization for Release of Information, and Form FGCC PMW-3190, Officers and Directors. All three forms must be submitted to the division one month prior to the expiration of the current slot machine license.		
ORGANIZATION INFORMATION		
Federal Employer ID Number: [redacted]		
Permitholder's Legal Name: PPI, Inc.		
Doing Business As (D/B/A) Name: Harrah's Pompano Beach		
MAILING ADDRESS		
Street Address or P.O. Box: 1800 SW 3rd Street		
City: Pompano Beach	State: FL	Zip Code: 33069
County: Broward	Country: USA	
CONTACT INFORMATION		
Contact Name: John Keenan	Title: Director of Security, Safety, Compliance	
Primary Phone Number: 954.972.2000	Primary E-Mail Address: john.keenan@islepompanopark.com	
PHYSICAL ADDRESS		
Street Address: 1800 SW 3rd Street		
City: Pompano Beach	State: FL	Zip Code (+4 optional) 33069
County: Broward		
ADDITIONAL CONTACT INFORMATION		
Alternate Phone Number 954.972.2000	Fax Number 954.633.6624	
Alternate E-Mail Address n/a		
SLOT MACHINE AREA INFORMATION		
Short Description of Physical Location of Slot Machine Area(s):		
The slot machine area is in a two story building located at 1800 SW 3rd St Pompano Beach, FL 33069/ Gaming space approximately 50,000 sq ft		
What is the number of slot machines you intend to operate during the license period? Approximately 1,200		
Name and license number of Slot Machine Operations Manager or Slot Machine Management Company: Ryan Bishop SVP/GM Slot License 14007180		
Name and address of the Custodian of Records in Florida: Ryan Bishop - 1800 SW 3rd St., Pompano Beach, FL 33069		

Lic Type	**1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo**	Expires On	**06/30/2027**
Name	**BISHOP, RYAN MATTHEW**	Extended To	
Rank	**SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo**	Renewed On	
Lic Status	**Current**		

List the dates and hours of slot machine operations: Open 24/7 365 days a year		
Does the applicant have any ownership interest in another Florida permit? If yes, disclose the name of the permit: CLI 430	Yes ☒	No ☐
Does the applicant have any ownership interest in a slot machine testing laboratory company? If yes, disclose the name of the testing laboratory:	Yes ☐	No ☒
Does the applicant have any ownership interest in a slot machine manufacturer and/or distributor? If yes, disclose the name of the slot machine manufacturer/distributor:	Yes ☐	No ☒
Has any other jurisdiction taken action regarding the applicant's gaming license? If yes, provide the name of the jurisdiction, the reason for the action, and the action taken:	Yes ☐	No ☒

Additional requirements to be submitted to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, Office of Operations, 2601 Blair Stone Road, Tallahassee, Florida 32399-1037:

1) Submission of a list of all ownership interests of five percent or greater. If a corporation, the name of the state in which incorporated and the names and addresses of the officers, directors, and shareholders holding five percent or more equity or, if a business entity other than a corporation, the names and addresses of the principals, partners, shareholders or any other person holding five percent or more equity as described in Rule 75-14.002(1)(d), (e) and (f), Florida Administrative Code (F.A.C.), on Form FGCC PMW-3190.
2) Submission of a copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes.
3) Evidence of a current surety bond in the amount of $2,000,000, payable to the Governor of the State of Florida, as specified in Section 551.103(1)(f), Florida Statutes.
4) Submission of a list of slot machine business occupational licensees (i.e., vendors, manufacturers, distributors, service companies, etc.) with whom you intend to do business.
5) Disclosure of any administrative, civil or criminal proceedings, and/or any judgments initiated by any governmental agency or any other state or federal agency that would affect the license status of the applicant or any affiliate of the applicant pursuant to Sections 550.054 and 550.1815, Florida Statutes.

Additional requirements to be submitted to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, Office of Slot Operations, North Broward Regional Service Center, 1400 West Commercial Blvd., Suite 165, Ft. Lauderdale, Florida 33309:

1) Submission of the slot machine applicant's employee training plan for the Compulsive or Addictive Gambling Prevention Program.
2) A copy of the facility's written policy for creating opportunities to purchase from minority vendors in Florida, creating employment opportunities for minority residents in Florida, opportunities for construction services provided by minority contractors, and ensuring opportunities for employment are offered on an equal, nondiscriminatory basis.
3) A copy of the licensee's current internal controls.
4) A copy of the licensee's current Surveillance Plan and Security Plan.
5) A current version of the licensee's Floor Plan as described in Rule 75-14.050, F.A.C.

ATTEST STATEMENT

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as if made under oath. To the best of my knowledge, all information contained on this application is true and correct. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 551.107, Florida Statutes

Signature of Applicant or Applicant's Representative — Date: 10/7/2025

Previous on List Next on List Return to List

No Filing History

Fictitious Name Detail

Fictitious Name

HARRAH'S POMPANO BEACH

Filing Information

Registration Number	G22000148926
Status	ACTIVE
Filed Date	12/05/2022
Expiration Date	12/31/2027
Current Owners	1
County	BROWARD
Total Pages	1
Events Filed	NONE
FEI/EIN Number	[redacted]

Mailing Address

1 CAESARS PALACE DRIVE
LAS VEGAS, NV 89109

Owner Information

PPI INC
1 CAESARS PALACE DRIVE
LAS VEGAS, NV 89109
FEI/EIN Number: [redacted]
Document Number: P94000083372

Document Images

12/05/2022 -- REGISTRATION View image in PDF format

Previous on List Next on List Return to List

No Filing History

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

PERSONAL INFORMATION

Social Security Number/Federal Employer ID Number [redacted]

IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION

Last Name	First	Middle	Title	Suffix

Birth Date (MM/DD/YYYY)

IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION

Representative's Name	Last	First	Middle	Title	Suffix
	Keenan	John	J.	Mr.	III

Permitholder Name: PPI, Inc. d/b/a Harrah's Pompano Beach

Official Capacity: Director of Security, Safety, Compliance

ATTEST STATEMENT

I, PPI, Inc. / John Keenan, do hereby instruct all law enforcement
(name of applicant/representative)
or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Florida Gaming Control Commission.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.

PPI, Inc. / John Keenan
(if individual applicant - legal name and any nickname or alias in parentheses)

Applicant/Representative Signature: _____ Date: 10/7/2025

NOTARIZATION

The foregoing application was sworn to and subscribed before me this 7 Day of October, 20 25

John Keenan
Type or print name of applicant

_____
Signature of applicant

who is personally known to me or who has produced the following as identification: [redacted]

Type of identification

Wanda E Ortiz
Signature of person taking acknowledgement

Notary Seal
(Rubber Stamp and Expiration)

WANDA E ORTIZ
NOTARY PUBLIC
MY COMMISSION EXPIRES 7-17-2027
STATE OF FLORIDA
COMMISSION NUMBER HH 421085

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.fgcc.fl.gov

Please provide information on the partners, managers, officers, or directors for your business entity below.

ORGANIZATION NAME		
Name of Organization	PPI, Inc.	Permit # 430
D/B/A or Trade Name	Harrah's Pompano Beach	

LIMITED LIABILITY CORPORATION QUESTIONS
If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information.
Member Managed ☐ Manager Managed ☐

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

MANAGEMENT INFORMATION				
Last Name: Caesars Entertainment	First:	Middle:	Title:	Suffix:
Office Held: Sole Shareholder	License #:	Percentage of Ownership: 100%		
RESIDENCE ADDRESS				
Street Address or P.O. Box: 1 Caesars Palace Drive				
City: Las Vegas	State: NV	Zip Code (+4 optional): 89109		
County (if Florida address):	Country: USA			

MANAGEMENT INFORMATION				
Last Name: Quatmann	First: Edmund	Middle: L.	Title: Jr.	Suffix:
Office Held: Secretary, Excecutive VP, Chief Legal Officer	License #: 8568010	Percentage of Ownership: 0%		
RESIDENCE ADDRESS				
Street Address or P.O. Box: 100 W. Liberty Street				
City: Reno	State: NV	Zip Code (+4 optional): 89501		
County (if Florida address):	Country: USA			

MANAGEMENT INFORMATION

Last Name	First	Middle	Title	Suffix
Carano	Anthony	L.		

Office Held	License #	Percentage of Ownership
President & COO, Director	11228384	0%

RESIDENCE ADDRESS

Street Address or P.O. Box		
100 W. Liberty Street		
City: Reno	State: NV	Zip Code (+4 optional): 89501
County (if Florida address):	Country: USA	

MANAGEMENT INFORMATION

Last Name	First	Middle	Title	Suffix
Reeg	Thomas	R.		

Office Held	License #	Percentage of Ownership
Chief Executive Officer, Director	11228374	0%

RESIDENCE ADDRESS

Street Address or P.O. Box		
100 W. Liberty Street		
City: Reno	State: NV	Zip Code (+4 optional): 89501
County (if Florida address):	Country: USA	

MANAGEMENT INFORMATION

Last Name	First	Middle	Title	Suffix

Office Held	License #	Percentage of Ownership

RESIDENCE ADDRESS

Street Address or P.O. Box		
City	State	Zip Code (+4 optional)
County (if Florida address):	Country	

OATH

I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

John Keenan	Director of Security, Safety, Compliance		10/7/2025
Name (Please Print)	Title (Please Print)	Signature	Date

State of Florida,
County of Broward
Sworn to (or affirmed) and subscribed before me this 7 day of October, 20 25.

_____ is personally known to me or produced the following as identification:

_____

Wanda E Ortiz
Notary Public
My Commission Expires: 7-17-2027

WANDA E. ORTIZ
NOTARY PUBLIC
MY COMMISSION
EXPIRES 7-17-2027
STATE OF FLORIDA
COMMISSION NUMBER HH 421085

2025 FLORIDA PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P94000083372

Entity Name: PPI, INC.

Current Principal Place of Business:

1 CAESARS PALACE DRIVE
LAS VEGAS, NV 89109

Current Mailing Address:

1 CAESARS PALACE DRIVE
LAS VEGAS, NV 89109 US

FEI Number [redacted]

FILED
Mar 29, 2025
Secretary of State
6255236885CC

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent — Date

Officer/Director Detail :

Title	DIRECTOR, PRESIDENT	Title	CFO, TREASURER
Name	CARANO, ANTHONY L.	Name	YUNKER, BRET D.
Address	1 CAESARS PALACE DRIVE	Address	1 CAESARS PALACE DRIVE
City-State-Zip:	LAS VEGAS NV 89109	City-State-Zip:	LAS VEGAS NV 89109
Title	SECRETARY	Title	DIRECTOR
Name	QUATMANN, EDMUND L. JR.	Name	REEG, THOMAS R.
Address	1 CAESARS PALACE DRIVE	Address	1 CAESARS PALACE DRIVE
City-State-Zip:	LAS VEGAS NV 89109	City-State-Zip:	LAS VEGAS NV 89109

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: BRET D. YUNKER — CHIEF FINANCIAL OFFICER — 03/29/2025

Electronic Signature of Signing Officer/Director Detail — Date

Lic Type	**1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo**	Expires On	**06/30/2027**
Name	**QUATMANN, EDMUND LAWRENCE JR**	Extended To	
Rank	**SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo**	Renewed On	**05/21/2024**
Lic Status	**Current**		

Lic Type	**1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo**	Expires On	**06/30/2028**
Name	**CARANO, ANTHONY LOUIS**	Extended To	
Rank	**SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo**	Renewed On	**06/19/2025**
Lic Status	**Current**		

Lic Type	**1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo**	Expires On	**06/30/2028**
Name	**REEG, THOMAS R**	Extended To	
Rank	**SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo**	Renewed On	**06/19/2025**
Lic Status	**Current**		

Lic Type	**1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo**	Expires On	**06/30/2028**
Name	**YUNKER, BRET DANIEL**	Extended To	
Rank	**SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo**	Renewed On	**06/20/2025**
Lic Status	**Current**		

John Keenan

From: Kelly Costello <Kelly.Costello@flgaming.gov>
Sent: Friday, July 11, 2025 3:06 PM
To: John Keenan
Cc: Mayana Bravo-Santos; Juan Colchado
Subject: [EXTERNAL] RE: 2025/2026 Tallahassee Audit Engagement

****CAUTION** - EXTERNAL EMAIL**
DO NOT open attachments or click on links from unknown senders or unexpected emails
The Original Sender of this email is Kelly.Costello@flgaming.gov.

Good afternoon,

Thank you, received.

Respectfully,

Florida Gaming Control Commission
flgaming.gov

Kelly Costello
Audit Evaluation & Review Analyst
Division of Pari-Mutuel Wagering
Office of Auditing
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399
850.394.0011

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution, or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGER VIEW

From: John Keenan <john.keenan@islepompanopark.com>
Sent: Friday, July 11, 2025 2:23 PM
To: Kelly Costello <Kelly.Costello@flgaming.gov>
Cc: Mayana Bravo-Santos <Mayana.BravoSantos@flgaming.gov>; Juan Colchado <JColchado@caesars.com>
Subject: RE: 2025/2026 Tallahassee Audit Engagement

Hi Kelly,

Please find below the ownership interest information:

Parent Company: Caesars Entertainment
Ownership: Caesars Entertainment owns 100% of PPI, Inc.

Entity Information:
PPI, Inc. d/b/a Harrah's Pompano Beach
1800 SW 3rd Street
Pompano Beach, FL 33069

Each year, I've been informed by the FGCC that the form is not required due to PPI being wholly owned by Caesars Entertainment.

Thank you,

John Keenan | *DIRECTOR SECURITY, SAFETY, AND COMPLIANCE*
O 954-633-6610
1800 SW 3rd Street | Pompano Beach, FL 33069
Caesars | Harrah's Pompano Beach
www.caesars.com

From: Kelly Costello <Kelly.Costello@flgaming.gov>
Sent: Tuesday, July 1, 2025 9:22 AM
To: John Keenan <john.keenan@islepompanopark.com>
Cc: Ryan Bishop <rbishop@caesars.com>; Mayana Bravo-Santos <Mayana.BravoSantos@flgaming.gov>
Subject: [EXTERNAL] 2025/2026 Tallahassee Audit Engagement

****CAUTION** - EXTERNAL EMAIL**
DO NOT open attachments or click on links from unknown senders or unexpected emails
The Original Sender of this email is kelly.costello@flgaming.gov.

Greetings,

I hope this email finds you well. My name is Kelly Costello, I will be conducting the 2025/2026 audit for your facility. Attached you will find the 2025/2026 audit engagement letter and general, simulcast, cardroom, and slot requests for information.

Additionally, in accordance with rule 75-4.005 F.A.C., every permitholder shall submit to the Commission written notification of ownership interest. For your convenience, we have attached the Florida Gaming Control Commission (FGCC) Annual Notification of Permitholder Ownership Interest Form. Please complete and return no later than 07/31/2025.

Finally, for large and/or sensitive documents, please use the below link to drop files into.
https://fgcc.box.com/s/u9uiiv10gff8a7cr8en96uhrb07si6q7

Should you have any questions, please feel free to reach out to us. We look forward to working with you this audit cycle.

Respectfully,

Florida Gaming Control Commission
flgaming.gov

Kelly Costello
Audit Evaluation & Review Analyst
Division of Pari-Mutuel Wagering
Office of Auditing
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399
850.394.0011

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution, or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGER VIEW

CONTINUATION CERTIFICATE

WESTERN SURETY COMPANY , Surety upon

a certain Bond No. [redacted]

dated effective 12/07/06
(MONTH-DAY-YEAR)

on behalf of PPI, INC.
(PRINCIPAL)

and in favor of The Governor of the State of Florida and Her or His Successor in Office
400 South Monroe Street Tallahassee, FL 32399
(OBLIGEE)

does hereby continue said bond in force for the further period

beginning on December 7, 2025
(MONTH-DAY-YEAR)

and ending on December 7, 2026
(MONTH-DAY-YEAR)

Amount of bond $2,000,000.00

Description of bond Slot Machine License Bond

Premium: $ 9,600.00

PROVIDED: That this continuation certificate does not create a new obligation and is executed upon the express condition and provision that the Surety's liability under said bond and this and all Continuation Certificates issued in connection therewith shall not be cumulative and that the said Surety's aggregate liability under said bond and this and all such Continuation Certificates on account of all defaults committed during the period (regardless of the number of years) said bond had been and shall be in force, shall not in any event exceed the amount of said bond as hereinbefore set forth.

Signed and dated on October 7, 2025
(MONTH-DAY-YEAR)

WESTERN SURETY COMPANY

WESTERN SURETY COMPANY CORPORATE SEAL SOUTH DAKOTA

By _____

ATTORNEY-IN-FACT Meghan Curry

Western Surety Company

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

Tracy Aston, Rosa E Rivas, Mary L Yohnke, Samantha Russell, Meghan Curry, Vanessa Fong, Individually

of Los Angeles, CA, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the Authorizing By-Laws and Resolutions printed at the bottom of this page, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 9th day of July, 2025.

WESTERN SURETY COMPANY

Larry Kasten, Vice President

State of South Dakota }
County of Minnehaha } ss

On this 9th day of July, 2025, before me personally came Larry Kasten, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is a Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires
March 2, 2026

M. BENT
NOTARY PUBLIC
SOUTH DAKOTA

M. Bent, Notary Public

CERTIFICATE

I, Paula Kolsrud, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Laws and Resolutions of the corporation printed below this certificate are still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this 7th day of October 2025

WESTERN SURETY COMPANY

Paula Kolsrud, Assistant Secretary

Authorizing By-Laws and Resolutions

ADOPTED BY THE SHAREHOLDERS OF WESTERN SURETY COMPANY

This Power of Attorney is made and executed pursuant to and by authority of the following By-Law duly adopted by the shareholders of the Company.

Section 7. All bonds, policies, undertakings, Powers of Attorney, or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, and Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or agents who shall have authority to issue bonds, policies, or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile.

This Power of Attorney is signed by Larry Kasten, Vice President, who has been authorized pursuant to the above Bylaw to execute power of attorneys on behalf of Western Surety Company.

This Power of Attorney may be signed by digital signature and sealed by a digital or otherwise electronic-formatted corporate seal under and by the authority of the following Resolution adopted by the Board of Directors of the Company by unanimous written consent dated the 27th day of April, 2022:

"RESOLVED: That it is in the best interest of the Company to periodically ratify and confirm any corporate documents signed by digital signatures and to ratify and confirm the use of a digital or otherwise electronic-formatted corporate seal, each to be considered the act and deed of the Company."

Go to www.cnasurety.com > Owner / Obligee Services > Validate Bond Coverage, if you want to verify bond authenticity.

License Type	License #	Company	Status	License Expires
Slot Machine	8237912	Gaming Labortories International, LLC	Current	6/30/2026
Slot Machine	8281949	AGS, LLC	Current	6/30/2026
Slot Machine	8915860	KGM Gaming, LLC	Current	6/30/2026
Cardroom	1498877	Gaming Partners International	Current	6/30/2026
Gaming Vendor	9837860	Security 101 dba AVI Integrators	Current	6/30/2027
Slot Machine	7793413	Graphic Control, LLC	Current	6/30/2027
Slot Machine	7977121	JCM American Corporation	Current	6/30/2027
Slot Machine	8059830 / 7928049	Ainsworth Game Technology, Inc.	Current	6/30/2027
Slot Machine	9823078	Lightning Gaming	Current	6/30/2027
Slot Business	9917853	Novomatic Americas	Current	6/30/2027
Slot Machine	9917921	Casino Tech dba Landry Holdings, LLC	Current	6/30/2027
Slot Business	11905567	GAMING ARTS, LLC	Current	6/30/2027
Slot Machine	11936144	Apogee Gaming USA inc	Current	6/30/2027
Slot Combo	7860084	NRT Technology Corp.	Current	6/30/2027
Cardroom	7951003	Genesis Gaming Solutions	Current	6/30/2027
Slot Combo	7805891	Shuffle Master, Inc. -see Bally Gaming	Current	6/30/2027
Slot Business	7830421	ARISTOCRAT	Current	6/30/2027
Slot Machine	7883140	Konami Gaming, Inc./HMS	Current	6/30/2027
Slot Machine	8552813	TCS John Huxley America,inc	Current	6/30/2027
Slot Machine	8801896	Incredible Technologies	Current	6/30/2027
Slot Machine	9943621	Multimedia Games, Inc./GCA/Everi	Current	6/30/2027
Slot Business	10019307	ZITRO	Current	6/30/2027
Slot Machine	1499535	V.S.R. Industries	Current	6/30/2027
Slot Machine	7800936	IGT/Gtech	Current	6/30/2027
Slot Machine	7830710	Reel Games, Inc.	Current	6/30/2027
Slot Machine	7945830	Giesecke & Devrient America, Inc.	Current	6/30/2027
Slot Combo	8265581	Halifax Security, Inc(NORTH AMERICAN VIDEO)	Current	6/30/2027
Slot Combo	911600	United Tote Company	Current	6/30/2028
Slot Machine	12372742	Sega Sammy Creations USA	Current	6/30/2028
Slot Machine	8759471	Interblock	Current	6/30/2028
Slot Business	11105033	BLUBERI GAMING USA	Current	6/30/2028
Slot Machine	8032829	Suzohapp Gaming Solutions	Current	6/30/2028
Slot Machine	8605145	Patriot Gaming	Current	6/30/2028

8607484492

10/7/2025

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2026**
Name	**GAMING LABORATORIES INTERNATIONAL LLC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**05/01/2023**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2026**
Name	**AGS, LLC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2026**
Name	**KGM GAMING, LLC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**07/19/2023**
Lic Status	**Current**		

Lic Type	**1010 - Cardroom Business Occupational**	Expires On	**06/30/2026**
Name	**GAMING PARTNERS INTERNATIONAL USA, INC**	Extended To	
Rank	**CBUS - Cardroom Business Occupational**	Renewed On	**08/14/2023**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2024**
Name	**AVI INTEGRATORS INC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**07/15/2021**
Lic Status	**Lic Expired**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**GRAPHIC CONTROLS ACQUISITION CORP**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**08/23/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**JCM AMERICAN CORPORATION**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**09/06/2024**
Lic Status	**Current**		

La'Kesha Jelks

From: John Keenan <john.keenan@islepompanopark.com>
Sent: Wednesday, November 5, 2025 10:49 AM
To: La'Kesha Jelks; Jon Zachem
Subject: RE: PPI Slot License Renewal 2025-2026 (SM430)

Payment of Fees
Payment of the non-refundable $2 million application fee and the $250,000 regulatory fee for the Compulsive or Addictive Gambling Prevention Program (Section 551.118, F.S.) is required by January 6, 2026.
Response: Payment will be made closer to the renewal date.

Internal Control Procedures
Disclosure of the applicant's internal control procedures required by Rule 75-14.058, Florida Administrative Code (submitted by FGCC South Florida staff).
Response: Currently awaiting approval of internal controls by the local FGCC. The IC checklist is complete and will be submitted for review once FGCC approves the changes.

Vendor License
Vendor Security 101 d/b/a Avi Integrators PMW license expired (#9837860) on 06/30/2024. Provide an active license number for the vendor.
Response: Security 101 now holds License #14394657, which is active and expires on 06/30/2028. The license number changed, which caused the confusion.

John Keenan | *DIRECTOR SECURITY, SAFETY, AND COMPLIANCE*
O 954-633-6610
1800 SW 3rd Street | Pompano Beach, FL 33069
Caesars | Harrah's Pompano Beach
www.caesars.com

From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Tuesday, November 4, 2025 12:31 PM
To: Jon Zachem <jon@zachemlaw.com>
Cc: John Keenan <john.keenan@islepompanopark.com>; John Keenan <jkeenan1@caesars.com>
Subject: [EXTERNAL] RE: PPI Slot License Renewal 2025-2026 (SM430)

****CAUTION** - EXTERNAL EMAIL**
DO NOT open attachments or click on links from unknown senders or unexpected emails
The Original Sender of this email is LaKesha.Jelks@flgaming.gov.

Greetings,

The Slots Renewal Application submitted for PPI, Inc. (SM430) was received, however, the application remains incomplete for:

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2028**
Name	**SECURITY 101 HOLDINGS, LLC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**AINSWORTH GAME TECHNOLOGY INC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**07/31/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**AINSWORTH GAME TECHNOLOGY LTD**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**02/17/2025**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**LIGHTNING SLOT MACHINES LLC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**08/09/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**NOVOMATIC AMERICAS SALES LLC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**08/27/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**LANDRY HOLDINGS, LLC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**10/15/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**GAMING ARTS, LLC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**09/04/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**APOGEE GAMING USA INC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**09/17/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**NRT TECHNOLOGY CORP**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**10/09/2024**
Lic Status	**Current**		

Lic Type	**1010 - Cardroom Business Occupational**	Expires On	**06/30/2027**
Name	**GENESIS GAMING SOLUTIONS, INC.**	Extended To	
Rank	**CBUS - Cardroom Business Occupational**	Renewed On	**08/01/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**LNW GAMING INC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**06/21/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**ARISTOCRAT TECHNOLOGIES INC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**06/25/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**KONAMI GAMING INC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**06/04/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**TCS JOHN HUXLEY AMERICA INC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**06/19/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**INCREDIBLE TECHNOLOGIES CASINO GAMES INC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**06/18/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**EVERI GAMES, INC.**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**04/19/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**ZITRO USA INC.**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**06/25/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**VSR INDUSTRIES INC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**07/11/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**IGT INC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**07/17/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**REEL GAMES INC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**07/22/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**GIESECKE+DEVRIENT CURRENCY TECHNOLOGY AMERICA INC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**07/17/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2027**
Name	**HALIFAX SECURITY INC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**07/17/2024**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2028**
Name	**UNITED TOTE COMPANY**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**07/01/2025**
Lic Status	**Lic Expired**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2028**
Name	**SEGA SAMMY CREATION USA INC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**07/09/2025**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2028**
Name	**INTERBLOCK USA L. C.**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**06/27/2025**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2028**
Name	**BLUBERI GAMING USA INC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**06/25/2025**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2028**
Name	**SUZOHAPP GAMING SOLUTIONS, LLC**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**07/01/2025**
Lic Status	**Current**		

Lic Type	**1050 - Slot Machine Business**	Expires On	**06/30/2028**
Name	**PATRIOT GAMING & ELECTRONICS, INC.**	Extended To	
Rank	**SBUS - Slot Machine Bus Occupational License**	Renewed On	**07/17/2025**
Lic Status	**Current**		

La'Kesha Jelks

From: Jamie Pouncey
Sent: Monday, December 1, 2025 1:20 PM
To: La'Kesha Jelks; Bill Crafts
Subject: FW: Harrah's License Renewal Memo
Attachments: Harrah's License Renewal Memo-2026-27.pdf

Rcvd. Thank you

Thank you,

Florida Gaming Control Commission
flgaming.gov

Jamie Pouncey
Program Administrator
850.794.8116 Office 850.964.1705 Cell

From: Bill Crafts <Bill.Crafts@flgaming.gov>
Sent: Monday, December 1, 2025 12:25 PM
To: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Subject: Harrah's License Renewal Memo

Jamie, hello, hope all is well.
See attached Memo for the Harrah's License Renewal.
Take care.

Florida Gaming Control Commission
flgaming.gov

Bill Crafts
Chief of Slot Operations
Division of Pari-Mutuel Wagering
Office of Slot Operations
754.288.1019 Office
954.658.3156 Cell

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Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

MEMORANDUM

TO: Jamie Pouncey, Licensing Administrator

FROM: Bill Crafts, Chief of Slot Operations

SUBJECT: Harrah's Pompano Beach, d/b/a Isle Casino Racing Pompano Park – Annual Internal Controls Review

DATE: December 1, 2025

The office of Slot Operations has completed our annual review of the Internal Controls of Harrah's Pompano Beach., d/b/a Isle Casino Racing Pompano Park. At this time, our assessment has shown full compliance with 551, Fla. Stat. and Fla. Admin. Code R. 75-14. We strive to continuously review all our licensed facilities systems of control and work closely with the property's management and compliance officers to ensure rigorous adherence to Florida Statute and Administrative Code. Our annual review involves a more comprehensive and robust examination of all Internal Controls as adopted by the facility.

This review was conducted by the Tax & Audit Group of the Office of Slot Operations, with their report SOIC-2026-2027-430 being issued on December 1, 2025
As always, should you have any questions or concerns pertaining to this matter, please do not hesitate to Contact me.

Bill Crafts
Chief of Slot Operations

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 2025079718 **Incident date:** 10/08/2025 **Status:** 10 - Initial Review

Lic Type: 1003 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: PPI, INC.

1800 SW THIRD STREET, POMPANO BEACH, FL 33069-3099

Respondent: FGCC, DIVISION OF PARI MUTUEL WAGERING

4070 ESPLANADE WAY, SUITE 250, TALLAHASSEE, FL 32399

Summary: On 10.8.25, The Commission received PPI, Inc.'s 2025-2026 Slots renewal application.

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
11/04/2025	R		ljelks	JELKS, LA'KESHA	ljelks	
11/04/2025	S	1003	10	Initial Review	ljelks	

4. Discussion of amended application for Pari-Mutuel License

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Re:	Tampa Bay Downs, Inc. (TBRD320) Amending 25/26 Operating License Amendment B
Case#:	2025-088223
Date:	January 1, 2026

Executive Summary

A permitholder applied to amend its operating license on December 11, 2025, by deleting performances on December 21st and December 28th, and adding performances on February 5th and 12th. [1] The Commission should approve this request with an effective date of December 19, 2025.

Background

Tampa Bay Downs, Inc. ("Tampa Bay Downs") possesses a valid thoroughbred permit. Tampa Bay Downs was issued a pari-mutuel operating license for the 2025-2026 fiscal year. The current license includes 90 performances (85 matinee and 5 charity).[2] Now, Tampa Bay Downs wants to amend its operating license by deleting performances on December 21st and December 28th, and adding performances on February 5th and 12th, resulting in a total of 90 performances (85 matinee and 5 charity) for its schedule.

Analysis

The Commission has the authority to approve changes in performance dates after a license has been issued. Tampa Bay Downs has satisfied all requirements and is requesting an amendment from the current year's license.

Recommendation

The Florida Gaming Control Commission should approve Tampa Bay Downs, Inc.'s request to amend its 2025-2026 fiscal year operating license schedule.

[1] The commission may approve changes in performance dates after a license has been issued. § 550.01215(3), Fla. Stat.

[2] "Performance" means "a series of events, races, or games performed consecutively under a single admission charge." § 550.002(25), Fla. Stat.

OPERATING LICENSE CHANGE REQUEST CHECKLIST

PERMITHOLDER: Tampa Bay Downs, Inc. (TBRD320) **FISCAL YEAR:** 2025-2026

DATE RECEIVED: December 11, 2025 **CASE NUMBER:** 2025-088223

CHANGE REQUESTED: Delete performances on Dec. 21st and Dec. 28th; add performances on Feb. 5th and Feb. 12th.

REVIEWER'S NAME: La'Kesha Jelks

Requirement		Met? Y/N	Deficiency Sent	Resolved
Original application form FGCC PMW 3060.		Y		
Original Calendar FGCC PMW 3080.		Y		
75-2.027 Each performance shall consist of a minimum of 8 races.	Mat: up to 13 Eve: N/A	Y		
Matinee start time: (prior to 6pm)	12:00pm – 12:35pm	Y		
Evening start time*: (6pm & later)	N/A	Y		
*550.375(2) A harness racing permitholder may conduct harness racing only between the hours of 7 p.m. and 2 a.m. *550.5251(1) A thoroughbred racing permitholder may not begin any race later than 7 p.m.				

PMW LICENSE FORWARDED TO THE FOLLOWING FOR FINAL REVIEW:

REV/AUD SECTION	☑	**REVIEWED**	**INITIALS:** LS	**DATE:** 12-12-2025
PERMIT ADMIN	☑	**REVIEWED**	**INITIALS:** JG	**DATE:** 12/15/25
OGC	☐	**REVIEWED**	**INITIALS:** _____	**DATE:** _____
OTHER	☐	**REVIEWED**	**INITIALS:** _____	**DATE:** _____

Notes/Comments:

Rev/Aud

_____

_____

Permit Admin

_____

_____

OGC

_____

_____

Other

_____

_____

OPERATING LICENSE CHANGE REQUEST CHECKLIST

PERMITHOLDER: Tampa Bay Downs, Inc. (TBRD320) **FISCAL YEAR:** 2025-2026

DATE RECEIVED: December 11, 2025 **CASE NUMBER:** 2025-088223

CHANGE REQUESTED: Delete performances on Dec. 21st and Dec. 28th; add performances on Feb. 5th and Feb. 12th.

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Original application form FGCC PMW 3060.		Y		
Original Calendar FGCC PMW 3080.		Y		
75-2.027 Each performance shall consist of a minimum of 8 races.	Mat: up to 13 Eve: N/A	Y		
Matinee start time: (prior to 6pm)	12:00pm – 12:35pm	Y		
Evening start time*: (6pm & later)	N/A	Y		
*550.375(2) A harness racing permitholder may conduct harness racing only between the hours of 7 p.m. and 2 a.m. *550.5251(1) A thoroughbred racing permitholder may not begin any race later than 7 p.m.				

PMW LICENSE FORWARDED TO THE FOLLOWING FOR FINAL REVIEW:

REV/AUD SECTION	☐	**REVIEWED**	**INITIALS:**	_____	**DATE:**	_____
PERMIT ADMIN	☐	**REVIEWED**	**INITIALS:**	_____	**DATE:**	_____
OGC	☐	**REVIEWED**	**INITIALS:**		**DATE:**	12/12/2025
OTHER	☐	**REVIEWED**	**INITIALS:**	_____	**DATE:**	_____

Notes/Comments:

Rev/Aud

_____

_____

Permit Admin

_____

_____

OGC

_____

_____

Other

_____

_____

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

License Number:	320
Permit Type:	TBRD
Permit County:	Hillsborough
Amendment:	B

LICENSE TO CONDUCT PARI-MUTUEL WAGERING

For:

Tampa Bay Downs, Inc.

Licensed to Operate, At the Pari-Mutuel Facility, Located At:

11225 Race Track Road
Tampa, FL 33626
Hillsborough County

Valid From: July 1, 2025
Expires On: June 30, 2026
Permitholder does intend to accept wagers on intertrack or simulcast events.

Issued and dated, this _____ day of January, 2026.

By _____
Alana Zimmer, Executive Director
Florida Gaming Control Commission

This license and attached schedule of live performances are issued in accordance with the Florida Pari-Mutuel Wagering Act and the rules promulgated thereunder. This license shall be operated at the location of a pari-mutuel permit and is subject to any and all laws of the State of Florida.

Tampa Bay Downs 2025/2026 Calendar

(Per License #320B) Page 2 of 2

JULY 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

0 Matinee | 0 Evening | 0 C/S Perf.

AUGUST 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

0 Matinee | 0 Evening | 0 C/S Perf.

SEPTEMBER 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

0 Matinee | 0 Evening | 0 C/S Perf.

OCTOBER 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

0 Matinee | 0 Evening | 0 C/S Perf.

NOVEMBER 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19 Mat	20	21	22 Mat
23	24	25	26 Mat	27	28 Mat	29 Mat
30						

5 Matinee | 0 Evening | 0 C/S Perf.

DECEMBER 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3 Mat	4	5 Mat	6 Mat
7	8	9	10 Mat	11	12 Mat	13 Mat
14	15	16	17 Mat	18	19 Mat	20 Mat
21	22	23	24 Mat	25	26 Mat	27 Mat
28	29	30	31 Mat			

13 Matinee | 0 Evening | 0 C/S Perf.

JANUARY 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
				1	2 Mat	3 Mat
4 Mat	5	6	7 Mat	8	9 Mat	10 Mat
11 Mat	12	13	14 Mat	15	16 Mat	17 Mat
18 Mat	19	20	21 Mat	22	23 Mat	24 Mat
25 Mat	26	27	28 Mat	29	30 Mat	31 Mat

18 Matinee | 0 Evening | 0 C/S Perf.

FEBRUARY 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1 Mat	2	3	4 Mat	5 Mat	6 Mat	7 C/Mat
8 Mat	9	10	11 Mat	12 Mat	13 Mat	14 Mat
15 Mat	16	17	18 Mat	19	20 Mat	21 Mat
22 Mat	23	24	25 Mat	26	27 Mat	28 Mat

17 Matinee | 0 Evening | 1 C/S Perf.

MARCH 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1 Mat	2	3	4 Mat	5	6 Mat	7 C/Mat
8 Mat	9	10	11 Mat	12	13 Mat	14 Mat
15 Mat	16	17	18 Mat	19	20 Mat	21 Mat
22 Mat	23	24	25 Mat	26	27 Mat	28 Mat
29 Mat	30	31				

16 Matinee | 0 Evening | 1 C/S Perf.

APRIL 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
			1 Mat	2	3 Mat	4 C/Mat
5	6	7	8 Mat	9	10 Mat	11 C/Mat
12 Mat	13	14	15 Mat	16	17 Mat	18 C/Mat
19 Mat	20	21	22 Mat	23	24 Mat	25 Mat
26 Mat	27	28	29 Mat	30		

13 Matinee | 0 Evening | 3 C/S Perf.

MAY 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1 Mat	2 Mat
3 Mat	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

3 Matinee | 0 Evening | 0 C/S Perf.

JUNE 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	24	25	26	27	28
29	30					

0 Matinee | 0 Evening | 0 C/S Perf.

Note: ***Full-card simulcast schedule subject to change,***
Calendar considered informational only.

Initial Date

90 Totals	85 Matinee	0 Evening	5 C/S Perf.

TAMPA BAY DOWNS

♠ RACING ♥ POKER ♣ GOLF ♦

December 11, 2025

Ms. Jamie Pouncey
Program Administrator
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission
4070 Esplanade Way, Suite 250
Tallahassee, FL 32399

Re: Schedule Change

Dear Ms. Pouncey,

For our 2025/2026 race meet at Tampa Bay Downs, Inc. we would like to amend the dates previously submitted and authorized under License #320 and #CR320.

The change is as follows:
Sunday, December 21 and 28, 2025, matinee performances are being deleted.
Thursday, February 5 and 12, 2026, matinee performances are being added.

The change results in a total of 90 performances with 85 regular and 5 charity performances. The requirement for market area Track approvals no longer apply to us with the passage of CS for SB 8-A

If you have questions or find any deficiencies, please feel free to call me at your convenience at 813/855-4401. Thank you for your assistance.

Sincerely,

Peter N. Berube
Vice President & General Manager

Enclosure: Calendar

PNB/kmg

TAMPA BAY DOWNS
THOROUGHBRED HORSE RACING
2025-2026 RACING CALENDAR

Approximate Post Time 12:15 pm

90 Live Racing Days

NOVEMBER 2025

S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

DECEMBER 2025

S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

JANUARY 2026

S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

FEBRUARY 2026

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

MARCH 2026

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

APRIL 2026

S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

MAY 2026

S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

LIVE RACING	SIMULCASTING ONLY	CLOSED

Closed: 11-27-25 (Thanksgiving), 12-25-25 (Christmas), 04-05-26 (Easter)

Gates Open at 11:00 am; Post Time Approximately 12:15 pm

Special Noon Post Times - Saturday March 07, 2026 & Saturday May 02, 2026

813.855.4401 · www.tampabaydowns.com

SUBJECT TO CHANGE WITHOUT NOTICE

100 YEARS OF THOROUGHBRED RACING
TAMPA BAY DOWNS
1926-2026

Amendment B

Tampa Bay Downs 2025/2026 Calendar

(Per License #320A) Page 2 of 2

JULY 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

0 Matinee | 0 Evening | 0 C/S Perf.

AUGUST 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

0 Matinee | 0 Evening | 0 C/S Perf.

SEPTEMBER 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

0 Matinee | 0 Evening | 0 C/S Perf.

OCTOBER 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

0 Matinee | 0 Evening | 0 C/S Perf.

NOVEMBER 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19 Mat	20	21	22 Mat
23	24	25	26 Mat	27	28 Mat	29 Mat
30						

5 Matinee | 0 Evening | 0 C/S Perf.

DECEMBER 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3 Mat	4	5 Mat	6 Mat
7	8	9	10 Mat	11	12 Mat	13 Mat
14	15	16	17 Mat	18	19 Mat	20 Mat
21	22	23	24 Mat	25	26 Mat	27 Mat
28	29	30	31 Mat			

-2 -1

13 ~~12~~ Matinee | 0 Evening | 0 C/S Perf.

JANUARY 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
				1	2 Mat	3 Mat
4 Mat	5	6	7 Mat	8	9 Mat	10 Mat
11 Mat	12	13	14 Mat	15	16 Mat	17 Mat
18 Mat	19	20	21 Mat	22	23 Mat	24 Mat
25 Mat	26	27	28 Mat	29	30 Mat	31 Mat

18 Matinee | 0 Evening | 0 C/S Perf.

FEBRUARY 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1 Mat	2	3	4 Mat	5 +1	6 Mat	7 C/Mat
8 Mat	9	10	11 Mat	12 +1	13 Mat	14 Mat
15 Mat	16	17	18 Mat	19	20 Mat	21 Mat
22 Mat	23	24	25 Mat	26	27 Mat	28 Mat

+2

17 ~~16~~ Matinee | 0 Evening | 1 C/S Perf.

MARCH 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1 Mat	2	3	4 Mat	5	6 Mat	7 C/Mat
8 Mat	9	10	11 Mat	12	13 Mat	14 Mat
15 Mat	16	17	18 Mat	19	20 Mat	21 Mat
22 Mat	23	24	25 Mat	26	27 Mat	28 Mat
29 Mat	30	31				

16 Matinee | 0 Evening | 1 C/S Perf.

APRIL 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
			1 Mat	2	3 Mat	4 C/Mat
5	6	7	8 Mat	9	10 Mat	11 C/Mat
12 Mat	13	14	15 Mat	16	17 Mat	18 C/Mat
19 Mat	20	21	22 Mat	23	24 Mat	25 Mat
26 Mat	27	28	29 Mat	30		

13 Matinee | 0 Evening | 3 C/S Perf.

MAY 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1 Mat	2 Mat
3 Mat	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

3 Matinee | 0 Evening | 0 C/S Perf.

JUNE 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	24	25	26	27	28
29	30					

0 Matinee | 0 Evening | 0 C/S Perf.

Note: Full card simulcast schedule subject to change. Calendar considered informational only.

JP 4/15/25
Initial Date

90 Totals	85 Matinee	0 Evening	5 C/S Perf.

FGCC PMW-3060 – Permitholder Application for License and Operating Dates

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

INSTRUCTIONS
This form is to be submitted in conjunction with Form FGCC PMW-3080 – Permitholder Calendar (If conducting live races/games) and Form FGCC PMW-3190 – Officers and Directors.
Check the box that designates the purpose of this form filing:
☐ Application for Annual License and Operating Dates
☑ Application for Amendment to Annual License and Operating Dates

PERMITHOLDER INFORMATION		
Permitholder Name: TAMPA BAY DOWNS, INC	Permit #: 320	FEID# or SSN*: [redacted]
Doing Business As (D/B/A): N/A		
MAILING ADDRESS		
Street Address or P.O. Box: P.O. BOX 2007		
City: Oldsmar	State: Florida	Zip Code (+4 optional): 34677
County (if Florida address):	Country:	
CONTACT INFORMATION		
Contact Name: Peter Berube	Title: VP and General Manager	
Primary Phone Number: 813-855-4401	Fax Number: 813 374 8839	
Primary E-Mail Address: pnb@tampabaydowns.com	Cell Phone Number: 813 376 1712	
PHYSICAL LOCATION OF PARI-MUTUEL FACILITY		
Street Address: 11225 Race Track Road		
City: TAMPA	State: FL	Zip Code (+4 optional): 33626

If there is a lease agreement to operate live performances at another pari-mutuel facility, the applicant shall attach a copy of the lease agreement containing the following information:
(1) The name of the applicant and the lessor;
(2) The address of the applicant and the lessor;
(3) The type of permit held by both the applicant and the lessor;
(4) The exact location where the applicant is currently permitted to conduct pari-mutuel performances;
(5) The exact location where the lessor is currently permitted to conduct pari-mutuel performances; and
(6) The exact location where the applicant intends to conduct pari-mutuel performances pursuant to the lease agreement.

*Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

ADDITIONAL INFORMATION

Attach a certificate from the Clerk of the Circuit Court or other authorized County Official certifying that the permit has not been recalled.

Has there been any change in ownership interest, officers, partners, or directors; or a change in ownership or location of the pari-mutuel facility? If changed, state fully. If none, state "No change." Use additional pages, if necessary.

NO Change

Is the applicant incorporated? Yes ☑ No ☐ If yes, under the laws of which state? Florida

Please list all officers, directors, and stockholders of record of the applicant using Form FGCC PMW-3190 – Officers and Directors.

Please document persons who are the bona fide and beneficial owners of the entire stock of the applicant using Form FGCC PMW-3190 – Officers and Directors. If corporation, list name of corporation and stockholders; if partnership, list partners.

Please list the stockholders of the applicant who are subject to a voting trust or have been pledged to a trustee or party other than the beneficial owner using Form FGCC PMW-3190 – Officers and Directors.

Have any persons listed on Form FGCC PMW-3190 – Officers and Directors ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges (other than minor traffic violations) in any state or county? Yes ☐ No ☑
If yes, list the individual(s) name, license number and title:

OPERATING SEASON INFORMATION

The applicant desires to conduct a racing/jai alai meet for the 20 25 - 20 26 season during the following period(s). Please follow instructions on calendars attached to permit application to mark days, dates, and types of performances.

☑ Yes ☐ No Permitholder intends to accept wagers on intertrack or simulcast events.

☐ Permitholder will NOT be conducting any live races/games during the above listed season. If zero performances are conducted the following operating information does NOT need to be completed. Form 3080 is also NOT required.

Opening Date(s): July 1, 2025	Closing Date(s): JUNE 30, 2026
Number of Dark Days: 274 undefined in chapter 550	Number of Live Days: 90 undefined in chapter 550

Performances

Number of Evening Performances	
Number of Matinee Performances	85
Number of Charity/Scholarship Performances	5
Total Number of Performances	90

Number of races/games during evening performances:	Number of races/games during matinee performances:
Starting time:	Starting time: 12:00 - 12:35 up to 13 races

ATTESTATION

I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida.

Peter N Berube — Signature of Applicant or Applicant's Representative

12/11/2025 — Date (MM/DD/YYYY)

Peter N. Berube — Print Applicant or Applicant's Representative Name

VP & GM — Print Title

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

PERMITHOLDER INFORMATION	
Name Tampa Bay Downs, Inc.	Permit # 320

INSTRUCTIONS

Submit this form in conjunction with the form FGCC PMW-3060 – Permitholder Application for License and Operating Dates.

Please do not overlook the cardroom section and the required application oath on page 4.

Please fill in appropriate year, and date below and on the following pages. Using the letter code below, write the type of performance in each box. Fill in the total number of performances for each month.

LETTER CODES

M = Matinee E = Evening C = Charity S = Scholarship

Example

1	2 E	3 M E	4 M	5 S M	6 C E	7 C E
8	9 M	10	11	12	13	14

July **Year: 2025**

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

M 0 E 0 C/S 0

Total 0

August **Year: 2025**

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

M 0 E 0 C/S 0

Total 0

September Year: 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

M 0 E 0 C/S 0

Total 0

October Year: 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

M 0 E 0 C/S 0

Total 0

November Year: 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19 M	20	21	22 M
23	24	25	26 M	27	28 M	29 M
30						

M 5 E 0 C/S 0

Total 5

December Year: 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
	1	2	3 M	4	5 M	6 M
7	8	9	10 M	11	12 M	13 M
14	15	16	17 M	18	19 M	20 M
21	22	23	24 M	25	26 M	27 M
28	29	30	31 M			

M 13 E 0 C/S 0

Total 13

January Year: 2026

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
				1	2 M	3 M
4 M	5	6	7 M	8	9 M	10 M
11 M	12	13	14 M	15	16 M	17 M
18 M	19	20	21 M	22	23 M	24 M
25 M	26	27	28 M	29	30 M	31 M

M 18 E 0 C/S 0

Total 18

February Year: 2026

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
1 M	2	3	4 M	5 M	6 M	7 CM
8 M	9	10	11 M	12 M	13 M	14 M
15 M	16	17	18 M	19	20 M	21 M
22 M	23	24	25 M	26	27 M	28 M

M 17 E 0 C/S 1

Total 18

March Year: 2026

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
1 M	2	3	4 M	5	6 M	7 CM
8 M	9	10	11 M	12	13 M	14 M
15 M	16	17	18 M	19	20 M	21 M
22 M	23	24	25 M	26	27 M	28 M
29 M	30	31				

M 16 E 0 C/S 1

Total 17

April Year: 2026

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
			1 M	2	3 M	4 CM
5	6	7	8 M	9	10 M	11 CM
12 M	13	14	15 M	16	17 M	18 CM
19 M	20	21	22 M	23	24 M	25 M
26 M	27	28	29 M	30		

M 13 E 0 C/S 3

Total 16

May **Year: 2026**

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
					1 M	2 M
3 M	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

M 3 E 0 C/S 0

Total 3

June **Year: 2026**

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

M 0 E 0 C/S 0

Total 0

CARDROOM OPERATORS ONLY

Hours of Cardroom Operations

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
—	—	—	—	—	—	—
—	—	—	—	—	—	—

Year Round? ☐ Yes ☐ No If No, Dates: _____

OATH

I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

Peter Berube — Name (Please Print)
VP & GM — Title (Please Print)
Peter N. Berube — Signature
12/11/2025 — Date

State of Florida,
County of Hillsborough
Sworn to (or affirmed) and subscribed before me this 11 day of December, 2025.
Peter N Berube, who is personally known to me or produces the following as identification: _____.

Kim M Gregoire
Notary Public
My Commission Expires: Aug 25, 2027

KIM M GREGOIRE
Notary Public - State of Florida
Commission # HH 391590
My Comm. Expires Aug 25, 2027
Bonded through National Notary Assn.

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 2025088223 **Incident date:** 12/11/2025 **Status:** 10 - Initial Review

Lic Type: 1001 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: TAMPA BAY DOWNS

11225 RACETRACK ROAD, TAMPA,, FL 33626

Respondent: FGCC, DIVISION OF PARI MUTUEL WAGERING

4070 ESPLANADE WAY, SUITE 250, TALLAHASSEE, 32399

Summary: On December 11, 2025, Tampa Bay Downs, Inc. (TBRD320) submitted a request to amend its 2025-2026 thoroughbred operating license.

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
12/11/2025	R		ljelks	JELKS, LA'KESHA	ljelks	
12/11/2025	S	1001	10	Initial Review	ljelks	

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Re:	Gulfstream Park Racing Association, Inc. (TBRD321) 2026-000329 Amending 25/26 Operating License Amendment A
Date:	January 6, 2026

Executive Summary

A permitholder applied to amend its operating license on January 2, 2026. The Commission should approve this request.

Background

Gulfstream Park Racing Association, Inc. d/b/a Gulfstream Park Racing and Casino ("Gulfstream Park") possesses a valid thoroughbred permit. Gulfstream Park was issued a pari-mutuel operating license for the 2025-2026 fiscal year. When Gulfstream Park applied for its operating license, it included 159 performances (154 matinee and 5 charity).[1] Now, Gulfstream Park wants to amend its operating license by canceling several performances resulting in a new total of 139 performances (134 matinee and 5 charity) for its schedule.

Analysis

The Commission shall have the authority to approve changes in performance after a license has been issued.[2] Gulfstream Park has satisfied all requirements and is requesting an amendment from the current year's license.

Recommendation

The Florida Gaming Control Commission should approve Gulfstream Park Racing Association, Inc.'s application to amend its 2025-2026 operating license schedule.

[1] "Performance" means "a series of events, races, or games performed consecutively under a single admission charge." § 550.002(25), Fla. Stat.

[2] The commission shall have the authority to approve minor changes in racing dates after a license has been issued. § 550.01215(3), Fla. Stat.

PERMITHOLDER LICENSE CHANGE REQUEST CHECKLIST

PERMITHOLDER: Gulfstream Park (TBRD321) **FISCAL YEAR:** 2025/2026

DATE RECEIVED: January 2, 2026 **CASE NUMBER:** 2026-000329

CHANGE REQUESTED: Canceled several performances (see letter to Director).

REVIEWER'S NAME: La'Kesha Jelks

Requirement		Met? Y/N	Deficiency Sent	Resolved
Original application form DBPR PMW 3060.		Y		
Original Calendar DBPR PMW 3080.		N	1/6/2026	
75D-2.027 Each performances shall consist of a minimum of 8 races.	Mat:8-15 Eve:	Y		
Matinee start time: (prior to 6pm)	11AM	Y		
Evening start time*: (6pm & later)	N/A	Y		
*550.375(2) A harness racing permitholder may conduct harness racing only between the hours of 7 p.m. and 2 a.m. *550.5251(1) A thoroughbred racing permitholder may not begin any race later than 7 p.m.				

PMW / CARDROOM LICENSE FORWARDED TO THE FOLLOWING FOR FINAL REVIEW:

REV/AUD SECTION	☒	**REVIEWED**	**INITIALS:** LKS	**DATE:** 1-6-2026
PERMIT ADMIN	☒	**REVIEWED**	**INITIALS:**	**DATE:** 1-6-26
OGC	☒	**REVIEWED**	**INITIALS:**	**DATE:** 1/6/26

Notes/Comments:

Rev/Aud Section:
Amendended calendar does agree with original Calendar- Supporting docs provided for Sept 2025

Permit Admin.

_____

_____

OGC:

_____

_____

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

License Number: 321
Permit Type: TBRD
Permit County: Broward

LICENSE TO CONDUCT PARI-MUTUEL WAGERING

For:

Gulfstream Park Racing Association, Inc.

D/B/A Gulfstream Park Racing and Casino

Licensed to Operate, At the Pari-Mutuel Facility, Located At:

901 South Federal Highway
Hallandale Beach, FL 33009
Broward County

Valid From: July 1, 2025
Expires On: June 30, 2026
Permitholder does intend to accept wagers on intertrack or simulcast events.

Issued and dated, this _____ day of January, 2026.

By _____
Alana Zimmer, Executive Director
Florida Gaming Control Commission

This license and attached schedule of live performances are issued in accordance with the Florida Pari-Mutuel Wagering Act and the rules promulgated thereunder. This license shall be operated at the location of a pari-mutuel permit and is subject to any and all laws of the State of Florida.

Gulfstream Park 2025/2026 Calendar

(Per License #321A) Page 2 of 2

JULY 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
		1	2	3	4 Mat	5 Mat
6 Mat	7	8	9	10	11 Mat	12 Mat
13 Mat	14	15	16	17	18 Mat	19 Mat
20 Mat	21	22	23	24	25 Mat	26 Mat
27 Mat	28	29	30	31		

12 Matinee | 0 Evening | 0 C/S Perf.

AUGUST 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1 Mat	2 Mat
3 Mat	4	5	6	7	8 Mat	9 Mat
10 Mat	11	12	13	14	15 Mat	16 Mat
17 Mat	18	19	20	21	22 Mat	23 Mat
24 Mat	25	26	27	28	29 Mat	30 C/Mat
31 Mat						

14 Matinee | 0 Evening | 1 C/S Perf.

SEPTEMBER 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3	4	5 Mat	6 Mat
7 Mat	8	9	10	11	12 Mat	13 Mat
14 Mat	15	16	17	18	19 Mat	20 Mat
21 Mat	22	23	24	25	26 Mat	27 Mat
28 Mat	29	30				

12 Matinee | 0 Evening | 0 C/S Perf.

OCTOBER 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
			1	2	3 Mat	4 Mat
5 Mat	6	7	8	9	10 Mat	11 Mat
12 Mat	13	14	15	16	17 Mat	18 Mat
19 Mat	20	21	22	23	24 Mat	25 Mat
26 Mat	27	28	29	30	31	

12 Matinee | 0 Evening | 0 C/S Perf.

NOVEMBER 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27 Mat	28 Mat	29 Mat
30 Mat						

4 Matinee | 0 Evening | 0 C/S Perf.

DECEMBER 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3	4 Mat	5 Mat	6 Mat
7 Mat	8	9	10	11 Mat	12 Mat	13 Mat
14 Mat	15	16	17	18 Mat	19 Mat	20 Mat
21 Mat	22	23	24 Mat	25	26 Mat	27 Mat
28 Mat	29	30	31			

16 Matinee | 0 Evening | 0 C/S Perf.

JANUARY 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
				1 Mat	2 Mat	3 Mat
4 Mat	5	6	7	8 Mat	9 Mat	10 Mat
11 Mat	12	13	14	15 Mat	16 Mat	17 Mat
18 Mat	19	20	21	22 Mat	23 Mat	24 C/Mat
25 Mat	26	27	28	29 Mat	30 Mat	31 C/Mat

17 Matinee | 0 Evening | 2 C/S Perf.

FEBRUARY 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1 Mat	2	3	4	5 Mat	6 Mat	7 Mat
8 Mat	9	10	11	12 Mat	13 Mat	14 Mat
15 Mat	16	17	18	19 Mat	20 Mat	21 Mat
22 Mat	23	24	25	26 Mat	27 Mat	28 C/Mat

15 Matinee | 0 Evening | 1 C/S Perf.

MARCH 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1 Mat	2	3	4	5 Mat	6 Mat	7 Mat
8 Mat	9	10	11	12 Mat	13 Mat	14 Mat
15 Mat	16	17	18	19 Mat	20 Mat	21 Mat
22 Mat	23	24	25	26 Mat	27 Mat	28 C/Mat
29 Mat	30	31				

16 Matinee | 0 Evening | 1 C/S Perf.

APRIL 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
			1	2 Mat	3 Mat	4 Mat
5 Mat	6	7	8	9 Mat	10 Mat	11 Mat
12 Mat	13	14	15	16 Mat	17 Mat	18 Mat
19 Mat	20	21	22	23 Mat	24 Mat	25 Mat
26 Mat	27	28	29	30		

16 Matinee | 0 Evening | 0 C/S Perf.

MAY 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

0 Matinee | 0 Evening | 0 C/S Perf.

JUNE 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	24	25	26	27	28
29	30					

0 Matinee | 0 Evening | 0 C/S Perf.

Note: ***Full-card simulcast schedule subject to change,***
Calendar considered informational only.

Initial Date

139 Totals | **134 Matinee** | **0 Evening** | **5 C/S Perf.**

La'Kesha Jelks

From: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>
Sent: Tuesday, January 6, 2026 1:36 PM
To: La'Kesha Jelks
Cc: Jamie Pouncey
Subject: Re: Gulfstream Race Date changes

Approved. Thank you!

Sent from my Verizon, Samsung Galaxy smartphone
Get Outlook for Android

From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Tuesday, January 6, 2026 1:23:04 PM
To: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>
Cc: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Subject: FW: Gulfstream Race Date changes

WARNING // THIS E-MAIL ORIGINATED FROM AN **EXTERNAL SENDER**. BE CAUTIOUS WITH LINKS AND ATTACHMENTS.

Greetings,

Please review and provide your "stamp of approval." Thank you.

Best,

La'Kesha Jelks

La'Kesha Jelks
Government Operations Consultant III
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114
Cell: (850) 879-1505

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The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGER VIEW

From: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>
Sent: Monday, January 5, 2026 4:41 PM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>

Cc: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Subject: Gulfstream Race Date changes

Hi, unfortunately there wasn't anyone at the office who could notarize the changes for the Championship meet only so I sent the original documentation from the 2nd that includes changes to May & June.

Thanks
Sheri

GULFSTREAM PARK

SHERI HOLMES STIRLING
SENIOR VICE PRESIDENT & AGM

901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009

P /1.954.457.6298
C /954.815.0027

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attachments for viruses. Stronach Group disclaims all liability for damages caused by any virus which may be transmitted by this email. Thank you.

GULFSTREAM PARK

Friday January 2, 2026

Mr. Joe Dilmore, Director, Division of Pari-mutuel Wagering
4070 Esplanade Way
Ste 250
Tallahassee, FL 32399

Delivered via e-mail

Dear Directors,

RE: Gulfstream Park Racing Association Inc. – License #321 – Request for Date Changes

Gulfstream Park respectfully requests to make the following changes to our previously approved race schedule due to a shortage of horse population stabled within our area and fewer Florida Breds.

We request the cancellation of the following Wednesdays within the Championship meet;

Wednesday January 7, 14, 21 & 28
Wednesday February 4. 11, 18 & 25
Wednesday March 4, 11, 18 & 25

And the removal of the following dates from license 321 for May & June. Some of these dates will be picked up by GPTARP with the exception of the Thursday. The GPTARP amendment is forthcoming.

April 30
June 19,20,21, 25, 26, 27, and 28 + 29

In addition, we request to move our charity day, previously approved for February 7th to January 31st to coincide with the Holy Bull stakes day.

Thank you in advance for considering our request. A revised Form 3060 and Form 3080 are attached.

Sincerely,

Sheri L. R. Holmes Stirling
Senior Vice President & AGM
Gulfstream Park Racing Assoc.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

PERMITHOLDER INFORMATION	
Name Gulfstream Park Racing Association Inc.	Permit # 321

INSTRUCTIONS

Submit this form in conjunction with the form FGCC PMW-3060 – Permitholder Application for License and Operating Dates.

Please do not overlook the cardroom section and the required application oath on page 4.

Please fill in appropriate year, and date below and on the following pages. Using the letter code below, write the type of performance in each box. Fill in the total number of performances for each month.

LETTER CODES

M = Matinee E = Evening C = Charity S = Scholarship

Example

1	2 E	3 M E	4 M	5 S M	6 C E	7 C E
8	9 M	10	11	12	13	14

July **Year: 2025**

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
		1	2	3	4 M	5 M
6 M	7	8	9	10	11 M	12 M
13 M	14	15	16	17	18 M	19 M
20 M	21	22	23	24	25 M	26 M
27 M	28	29	30	31		

M 12 E 0 C/S 0

Total 12

August **Year: 2025**

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
					1 M	2 M
3 M	4	5	6	7	8 M	9 M
10 M	11	12	13	14	15 M	16 M
17 M	18	19	20	21	22 M	23 M
24 M	25	26	27	28	29 M	30 C/M
31 M						

M 15 E 0 C/S 0

Total 15

September Year: 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
	1	2	3	4	5 M	6 C
7 M	8	9	10	11	12 M	13 M
14 M	15	16	17	18	19 M	20 M
21 M	22	23	24	25	26 M	27 M
28 M	29	30				

M 11 E _____ C/S 1

Total 12

October Year: 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
			1	2	3 M	4 M
5 M	6	7	8	9	10 M	11 M
12 M	13	14	15	16	17 M	18 M
19 M	20	21	22	23	24 M	25 M
26 M	27	28	29	30	31	

M 12 E _____ 0

Total 12

November 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27 M	28 M	29 M
30 M						

M 4 E 0 C/S 0

Total 4

December 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
	1	2	3	4 M	5 M	6 M
7 M	8	9	10	11 M	12 M	13 M
14 M	15	16	17	18 M	19 M	20 M
21 M	22	23	24 M	25	26 M	27 M
28 M	29	30	31			

M 16 E 0 C/S 0

Total 16

January Year: 2026

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
				1 M	2 M	3 M
4 M	5	6	7	8 M	9 M	10 M
11 M	12	13	14	15 M	16 M	17 M
18 M	19	20	21	22 M	23 M	24 C
25 M	26	27	28	29 M	30 M	31 C

M 17 E 0 C/S 2

Total 19

February Year: 2026

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
1 M	2	3	4	5 M	6 M	7 M
8 M	9	10	11	12 M	13 M	14 M
15 M	16	17	18	19 M	20 M	21 M
22 M	23	24	25	26 M	27 M	28 C

M 15 0 1

Total 16

March 2026

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
1 M	2	3	4	5 M	6 M	7 M
8 M	9	10	11	12 M	13 M	14 M
15 M	16	17	18	19 M	20 M	21 M
22 M	23	24	25	26 M	27 M	28 C
29 M	30	31				

M 16 E 0 C/S 1

Total 17

April 2026

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
			1	2 M	3 M	4 M
5 M	6	7	8	9 M	10 M	11 M
12 M	13	14	15	16 M	17 M	18 M
19 M	20	21	22	23 M	24 M	25 M
26 M	27	28	29	30		

M 16 E 0 C/S 0

Total 16

May **Year: 2026**

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

M 0 E 0 C/S 0

Total 0

June **Year: 2026**

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

M 0 E 0 C/S 0

Total 0

M

13						
Hours of Cardroom Operations						
Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
—	—	—	—	—	—	
—	—	—	—	—	—	—
Year Round? ☐ Yes ☑ No			If No, Dates: Card Room is closed at this time.			

OATH

I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

Sheri Holmes Stirling (Name (Please Print)) Vice President Administration (Title (Please Print)) [signature] (Signature) 01/02/2026 (Date)

State of Florida
County of Broward
Sworn to (or affirmed) and subscribed before me this 2nd day of January 2026.

Sheri Holmes Stirling, who is personally known to me or produces the following as identification: _____

[signature]
Notary Public
My Commission Expires: 9/4/28

Notary Public State of Florida
Stacy Nicks
My Commission HH 589711
Expires 9/4/2028

From: La"Kesha Jelks
To: Holmes, Sheri
Cc: Jamie Pouncey
Bcc:
Subject: RE: Gulfstream Date Change Tuesday,
Date: January 6, 2026 8:33:00 AM
Attachments: image006.png
image007.png
image008.png
image009.png
image010.png
image011.png
FGCCPMW-3080 Amended 01-02-2026.pdf
Letter to Director for Date changes 1-2-26.pdf
321-Operating-Thoroughbred-License-2025-2026(Amendment A).pdf

---

Greetings,

We received conflicting information with your request. I have attached what I found and listed it below. Please explain and/or make corrections

	New Submission	**Approved Calendar**
8/30/2025	Matinee	C/S
9/6/2025	C/S	Matinee
6/25/2026	Remove Matinee	No races scheduled
6/29/2026	No change requested	Matinees scheduled; should the request include the 29th instead of the 25th?

Best,

La'Kesha Jelks

La'Kesha Jelks
Government Operations Consultant III
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114
Cell: (850) 879-1505

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From: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>
Sent: Monday, January 5, 2026 4:30 PM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Cc: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Subject: Gulfstream Date Change

Please find attached the amended 3060 & 3080 for the requested changes by Gulfstream.

Letter to the director to follow.

Thanks
Sheri

GULFSTREAM PARK

SHERI HOLMES STIRLING
SENIOR VICE PRESIDENT & AGM

901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009

P /1.954.457.6298
C /954.815.0027

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GULFSTREAM PARK

Friday January 2, 2026

Mr. Joe Dilmore, Director, Division of Pari-mutuel Wagering
4070 Esplanade Way
Ste 250
Tallahassee, FL 32399

Delivered via e-mail

Dear Directors,

RE: Gulfstream Park Racing Association Inc. – License #321 – Request for Date Changes

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We request the cancellation of the following Wednesdays within the Championship meet;

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Wednesday February 4. 11, 18 & 25
Wednesday March 4, 11, 18 & 25

And the removal of the following dates from license 321 for May & June. Some of these dates will be picked up by GPTARP with the exception of the Thursday. The GPTARP amendment is forthcoming.

April 30
June 19,20,21, 25, 26, 27, and 28

In addition, we request to move our charity day, previously approved for February 7th to January 31st to coincide with the Holy Bull stakes day.

Thank you in advance for considering our request. A revised Form 3060 and Form 3080 are attached.

Sincerely,

Sheri L. R. Holmes Stirling
Senior Vice President & AGM
Gulfstream Park Racing Assoc.

Gulfstream Park 2025/2026 Calendar

(Per License #321) Page 2 of 2

JULY 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
		1	2	3	4 Mat	5 Mat
6 Mat	7	8	9	10	11 Mat	12 Mat
13 Mat	14	15	16	17	18 Mat	19 Mat
20 Mat	21	22	23	24	25 Mat	26 Mat
27 Mat	28	29	30	31		

12 Matinee | 0 Evening | 0 C/S Perf.

AUGUST 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1 Mat	2 Mat
3 Mat	4	5	6	7	8 Mat	9 Mat
10 Mat	11	12	13	14	15 Mat	16 Mat
17 Mat	18	19	20	21	22 Mat	23 Mat
24 Mat	25	26	27	28	29 Mat	30 C/Mat
31 Mat						

14 Matinee | 0 Evening | 1 C/S Perf.

SEPTEMBER 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3	4	5 Mat	6 Mat
7 Mat	8	9	10	11	12 Mat	13 Mat
14 Mat	15	16	17	18	19 Mat	20 Mat
21 Mat	22	23	24	25	26 Mat	27 Mat
28 Mat	29	30				

12 Matinee | 0 Evening | 0 C/S Perf.

OCTOBER 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
			1	2	3 Mat	4 Mat
5 Mat	6	7	8	9	10 Mat	11 Mat
12 Mat	13	14	15	16	17 Mat	18 Mat
19 Mat	20	21	22	23	24 Mat	25 Mat
26 Mat	27	28	29	30	31	

12 Matinee | 0 Evening | 0 C/S Perf.

NOVEMBER 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27 Mat	28 Mat	29 Mat
30 Mat						

4 Matinee | 0 Evening | 0 C/S Perf.

DECEMBER 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3	4 Mat	5 Mat	6 Mat
7 Mat	8	9	10	11 Mat	12 Mat	13 Mat
14 Mat	15	16	17	18 Mat	19 Mat	20 Mat
21 Mat	22	23	24 Mat	25	26 Mat	27 Mat
28 Mat	29	30	31			

16 Matinee | 0 Evening | 0 C/S Perf.

JANUARY 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
				1 Mat	2 Mat	3 Mat
4 Mat	5	6	7 Mat	8 Mat	9 Mat	10 Mat
11 Mat	12	13	14 Mat	15 Mat	16 Mat	17 Mat
18 Mat	19	20	21 Mat	22 Mat	23 Mat	24 C/Mat
25 Mat	26	27	28 Mat	29 Mat	30 Mat	31 Mat

22 Matinee | 0 Evening | 1 C/S Perf.

FEBRUARY 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1 Mat	2	3	4 Mat	5 Mat	6 Mat	7 C/Mat
8 Mat	9	10	11 Mat	12 Mat	13 Mat	14 Mat
15 Mat	16	17	18 Mat	19 Mat	20 Mat	21 Mat
22 Mat	23	24	25 Mat	26 Mat	27 Mat	28 C/Mat

16 Matinee | 0 Evening | 2 C/S Perf.

MARCH 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1 Mat	2	3	4 Mat	5 Mat	6 Mat	7 Mat
8 Mat	9	10	11 Mat	12 Mat	13 Mat	14 Mat
15 Mat	16	17	18 Mat	19 Mat	20 Mat	21 Mat
22 Mat	23	24	25 Mat	26 Mat	27 Mat	28 C/Mat
29 Mat	30	31				

20 Matinee | 0 Evening | 1 C/S Perf.

APRIL 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
			1	2 Mat	3 Mat	4 Mat
5 Mat	6	7	8	9 Mat	10 Mat	11 Mat
12 Mat	13	14	15	16 Mat	17 Mat	18 Mat
19 Mat	20	21	22	23 Mat	24 Mat	25 Mat
26 Mat	27	28	29	30 Mat		

17 Matinee | 0 Evening | 0 C/S Perf.

MAY 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

0 Matinee | 0 Evening | 0 C/S Perf.

JUNE 2026

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19 Mat	20 Mat
21 Mat	22	24	25	26 Mat	27 Mat	28 Mat
29 Mat	30					

7 Matinee | 0 Evening | 0 C/S Perf.

Note: Full-card simulcast schedule subject to change, Calendar considered informational only.

RM 4/15/25

Initial Date

159 Totals | 154 Matinee | 0 Evening | 5 C/S Perf.

FGCC PMW-3060 – Permitholder Application for License and Operating Dates

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

INSTRUCTIONS
This form is to be submitted in conjunction with Form FGCC PMW-3080 – Permitholder Calendar (If conducting live races/games) and Form FGCC PMW-3190 – Officers and Directors. Check the box that designates the purpose of this form filing. ☐ Application for Annual License and Operating Dates ☑ Application for Amendment to Annual License and Operating Dates

PERMITHOLDER INFORMATION			
Permitholder Name Gulfstream Park Racing Association Inc	Permit # 321	FEID# or SSN * [redacted]	
Doing Business As (D/B/A) Gulfstream Park Racing & Casino			
MAILING ADDRESS			
Street Address or P.O. Box 901 South Federal Highway			
City Hallandale Beach		State FL	Zip Code (+4 optional) 33009
County (if Florida address) Broward		Country USA	
CONTACT INFORMATION			
Contact Name Sheri Holmes Stirling		Title V P Administration	
Primary Phone Number 954-457-6298		Fax Number 954-457-6510	
Primary E-Mail Address sheri.holmes@gulfstreampark.com		Cell Phone Number 954-815-0027	
PHYSICAL LOCATION OF PARI-MUTUEL FACILITY			
Street Address 901 South Federal Highway			
City Hallandale Beach		State FL	Zip Code (+4 optional) 33009

If there is a lease agreement to operate live performances at another pari-mutuel facility, the applicant shall attach a copy of the lease agreement containing the following information:
(1) The name of the applicant and the lessor;
(2) The address of the applicant and the lessor;
(3) The type of permit held by both the applicant and the lessor;
(4) The exact location where the applicant is currently permitted to conduct pari-mutuel performances;
(5) The exact location where the lessor is currently permitted to conduct pari-mutuel performances; and
(6) The exact location where the applicant intends to conduct pari-mutuel performances pursuant to the lease agreement.

*Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

ADDITIONAL INFORMATION

Attach a certificate from the Clerk of the Circuit Court or other authorized County Official certifying that the permit has not been recalled.

Has there been any change in ownership interest, officers, partners, or directors; or a change in ownership or location of the pari-mutuel facility? If changed, state fully. If none, state "No change." Use additional pages, if necessary.

Ownership remains under the same parent company.

Is the applicant incorporated? Yes ☑ No ☐ If yes, under the laws of which state? Florida

Please list all officers, directors, and stockholders of record of the applicant using Form FGCC PMW-3190 – Officers and Directors.

Please document persons who are the bona fide and beneficial owners of the entire stock of the applicant using Form FGCC PMW-3190 – Officers and Directors. If corporation, list name of corporation and stockholders; if partnership, list partners.

Please list the stockholders of the applicant who are subject to a voting trust or have been pledged to a trustee or party other than the beneficial owner using Form FGCC PMW-3190 – Officers and Directors.

Have any persons listed on Form FGCC PMW-3190 – Officers and Directors ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges (other than minor traffic violations) in any state or county? Yes ☐ No ☑
If yes, list the individual(s) name, license number and title:

OPERATING SEASON INFORMATION

The applicant desires to conduct a racing/jai alai meet for the 20 25 - 20 26 season during the following period(s). Please follow instructions on calendars attached to permit application to mark days, dates, and types of performances.

☑ Yes ☐ No Permitholder intends to accept wagers on intertrack or simulcast events.

☐ Permitholder will NOT be conducting any live races/games during the above listed season. If zero performances are conducted the following operating information does NOT need to be completed. Form 3080 is also NOT required.

Opening Date(s):	Closing Date(s):
July 1, 2025	June 30, 2026
Number of Dark Days:	Number of Live Days:
1	159

Performances

Number of Evening Performances	
Number of Matinee Performances	134
Number of Charity/Scholarship Performances	5
Total Number of Performances	139

Number of races/games during evening performances: n/a	Number of races/games during matinee performances: 8-15
Starting time:	Starting time: 10:30 am - 1:20 pm

ATTESTATION

I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida.

Signature of Applicant or Applicant's Representative

01/02/2026
Date (MM/DD/YYYY)

Sheri Holmes Stirling
Print Applicant or Applicant's Representative Name

SR. VP & AGM
Print Title

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

PERMITHOLDER INFORMATION	
Name Gulfstream Park Racing Association Inc.	Permit # 321

INSTRUCTIONS

Submit this form in conjunction with the form FGCC PMW-3060 – Permitholder Application for License and Operating Dates.

Please do not overlook the cardroom section and the required application oath on page 4.

Please fill in appropriate year, and date below and on the following pages. Using the letter code below, write the type of performance in each box. Fill in the total number of performances for each month.

LETTER CODES

M = Matinee E = Evening C = Charity S = Scholarship

Example

1	2 E	3 M E	4 M	5 S M	6 C E	7 C E
8	9 M	10	11	12	13	14

July **Year: 2025**

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
		1	2	3	4 M	5 M
6 M	7	8	9	10	11 M	12 M
13 M	14	15	16	17	18 M	19 M
20 M	21	22	23	24	25 M	26 M
27 M	28	29	30	31		

M 12 E 0 C/S 0

Total 12

August **Year: 2025**

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
					1 M	2 M
3 M	4	5	6	7	8 M	9 M
10 M	11	12	13	14	15 M	16 M
17 M	18	19	20	21	22 M	23 M
24 M	25	26	27	28	29 M	30 M
31 M						

M 15 E 0 C/S 0

Total 15

September Year: 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
	1	2	3	4	5 M	6 C
7 M	8	9	10	11	12 M	13 M
14 M	15	16	17	18	19 M	20 M
21 M	22	23	24	25	26 M	27 M
28 M	29	30				

M 11 E _____ C/S 1

Total 12

October Year: 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
			1	2	3 M	4 M
5 M	6	7	8	9	10 M	11 M
12 M	13	14	15	16	17 M	18 M
19 M	20	21	22	23	24 M	25 M
26 M	27	28	29	30	31	

M 12 E _____ 0

Total 12

November 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27 M	28 M	29 M
30 M						

M 4 E 0 C/S 0

Total 4

December 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
	1	2	3	4 M	5 M	6 M
7 M	8	9	10	11 M	12 M	13 M
14 M	15	16	17	18 M	19 M	20 M
21 M	22	23	24 M	25	26 M	27 M
28 M	29	30	31			

M 16 E 0 C/S 0

Total 16

January Year: 2026

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
				1 M	2 M	3 M
4 M	5	6	7	8 M	9 M	10 M
11 M	12	13	14	15 M	16 M	17 M
18 M	19	20	21	22 M	23 M	24 C
25 M	26	27	28	29 M	30 M	31 C

M 17 E 0 C/S 2

Total 19

February Year: 2026

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
1 M	2	3	4	5 M	6 M	7 M
8 M	9	10	11	12 M	13 M	14 M
15 M	16	17	18	19 M	20 M	21 M
22 M	23	24	25	26 M	27 M	28 C

M 15 0 1

Total 16

March 2026

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
1 M	2	3	4	5 M	6 M	7 M
8 M	9	10	11	12 M	13 M	14 M
15 M	16	17	18	19 M	20 M	21 M
22 M	23	24	25	26 M	27 M	28 C
29 M	30	31				

M 16 E 0 C/S 1

Total 17

April 2026

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
			1	2 M	3 M	4 M
5 M	6	7	8	9 M	10 M	11 M
12 M	13	14	15	16 M	17 M	18 M
19 M	20	21	22	23 M	24 M	25 M
26 M	27	28	29	30		

M 16 E 0 C/S 0

Total 16

May **Year: 2026**

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

M 0 E 0 C/S 0

Total 0

June **Year: 2026**

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

M 0 E 0 C/S 0

Total 0

M

13

Hours of Cardroom Operations

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
—	—	—	—	—	—	
—	—	—	—	—	—	—

Year Round? ☐ Yes ☑ No If No, Dates: Card Room is closed at this time.

OATH

I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

Sheri Holmes Stirling (Name (Please Print)) Vice President Administration (Title (Please Print)) [signature] (Signature) 01/02/2026 (Date)

State of Florida,
County of Broward
Sworn to (or affirmed) and subscribed before me this 2nd day of January, 2026,

Sheri Holmes Stirling, who is personally known to me or produces the following as identification: _____

[signature]
Notary Public
My Commission Expires: 9/4/28

Notary Public State of Florida
Stacy Nicks
My Commission HH 589711
Expires 9/4/2028

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 2026000329 **Incident date:** 01/02/2026 **Status:** 10 - Initial Review

Lic Type: 1001 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: GULFSTREAM PARK RACING ASSOCIATION, INC.

901 SOUTH FEDERAL HIGHWAY, HALLANDALE, FL 33009-3099

Respondent: FGCC, DIVISION OF PARI MUTUEL WAGERING

4070 ESPLANADE WAY, SUITE 250, TALLAHASSEE, 32399

Summary: Gulfstream Park Racing Association, Inc. (TBRD321) has requested to cancel performances on Jan. 7th due to inability to fill race card.

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
01/05/2026	R		ljelks	JELKS, LA'KESHA	ljelks	
01/05/2026	S	1001	10	Initial Review	ljelks	

5. Discussion of Default Final Orders

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Through:	Justin Hundersmarck, Senior Attorney
Re:	FGCC v. Gerald Edward Brooks Case No. 2024-037401, Default Final Order
Date:	December 29, 2025

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") seeks the suspension of the pari-mutuel wagering professional individual occupational license of Gerald Edward Brooks ("Respondent"). By failing to respond to the administrative complaint, Respondent waived his right to request a hearing contesting the Division's decision. Therefore, the Florida Gaming Control Commission should enter a final order suspending Respondent's pari-mutuel wagering professional individual occupational license until the Commission receives confirmation that the financial obligation has been satisfied.

Relevant Facts

On April 17, 2024, a default final judgment of $2,993.39 was entered against Respondent for unpaid financial obligations regarding veterinary services in the County Court of the Seventeenth Judicial Circuit in and for Broward County, Florida in Case No. COCE23076923. These unpaid financial obligations related to racing conducted at a pari-mutuel facility in the state of Florida.

The Division filed an administrative complaint on July 16, 2024. The election of rights accompanying the administrative complaint made it clear that the Respondent had 21 days[1] to file a written response to the administrative complaint. The Respondent was served via email on November 18, 2025. Respondent had until December 9, 2025, to respond, and did not do so.

Analysis

[1] *See* Fla. Admin. Code R. 28-106.111(4) ("Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.")

Section 550.105(7), Florida Statutes, provides, that "[t]he commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state."

Respondent defaulted in the Seventeenth Circuit in obligations directly relating to racing in the state of Florida. Accordingly, Respondent's license may be suspended or revoked. Because Respondent failed to file a timely response to the properly served administrative complaint, he waived his right to request a hearing.

Staff Recommendation: The Commission should enter a final order suspending the pari-mutuel wagering professional individual occupational license of Respondent, Gerald Edward Brooks, until the Commission receives confirmation that the financial obligation has been satisfied.

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 7/16/2024
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2024-037401

GERALD EDWARD BROOKS,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Gerald Edward Brooks ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to chapter 550, Florida Statutes.

2. At all times material hereto, Respondent held a Pari-Mutuel Professional Individual Occupational License, number 7810065, issued pursuant to section 550.105(2)(a), Florida Statutes.

3. On or about April 17, 2024, a default judgment of $2,993.39 was entered against Respondent for unpaid financial obligations regarding veterinary services in the County Court of the Seventeenth Judicial Circuit in and for Broward County, Florida, in case number COCE23076923.

4. These unpaid financial obligations relate to racing conducted at a pari-mutuel facility in the state of Florida.

5. Pursuant to section 550.105(7), Florida Statutes:

> The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.

6. Based on the foregoing, Respondent violated section 550.105(7), Florida Statutes, by accumulating unpaid obligations or defaults in obligations that directly related to racing conducted at a pari-mutuel facility in the state of Florida.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties the Commission is authorized to impose pursuant to chapter 550.105(7), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2024-037401 is signed this 16th day of July, 2024.

/s/Emily A. Alvarado

Emily A. Alvarado
Chief Attorney
FBN: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 536-8709
Primary: Emily.Alvarado@flagaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

Ebonie Lanier

From: Microsoft Outlook <MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@flgaming.gov>
To: gebrooks427@gmail.com
Sent: Tuesday, November 18, 2025 4:17 PM
Subject: Relayed: Florida Gaming Control Commission - Gerald E. Brooks - 7810065

Delivery to these recipients or groups is complete, but no delivery notification was sent by the destination server:

gebrooks427@gmail.com (gebrooks427@gmail.com)

Subject: Florida Gaming Control Commission - Gerald E. Brooks - 7810065

Florida Gaming Control Commi...

Lic Type	**1021 - Pari-Mutuel Wagering Individual Occupational**		Status **90 Closed**	Status Date	**07/02/2024**
Complaint #	**2024037401**	Case Type **CMP - Complaint**	Disposition	Disposition Date	
Docket#		Respondent **BROOKS, GERALD EDWARD**	Responsible **bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INTR - Internal**	Priority	
Class'n	**IV-A - Financial Responsibility**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**04/17/2024**
Region	**SR - Southern Region**	Received	**06/28/2024**
Reference	**550.105**		
Entered	**07/01/2024**	Entered By	**jhodge**

Summary: **321 - GULFSTREAM PARK RACING ASSOCIATION, INC - 550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines - On June 28, 2024, a copy of a Final Default Judgment, Case #COCE-23-076923 was received via e-mail from Attorney Mark Gunderson. Per said Final Default Judgment filed on April 17, 2024, in the County Court For the 17th Judicial Circuit in and For Broward County, FL, in Division 54, PetVet Operating LLC., shall recover from Gerald Brooks, the sum of $1,546.56 in principle, filing fee of $191.43, process serve fees of $55.35 and attorney fees in the amount of $1,200.00, making a total of $2,993.34, which shall bear interest at the rate of 9.09% per year.**

Updated **07/02/2024 12:05:31** By **jhodge**

Parties | Activities | Allegations | Discipline | Violations | Compliance | Related | Disposition | Inspection | Costs | Time Tracking | Auto Assign | Attachments | History | Work Notes | Print Report

Change | Save | OK | Cancel | Back

Get Adobe Reader.

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**SOUTHERN**	**June 27, 2024**	**2024 03 7401**

Respondent:	Complainant:
BROOKS, GERALD EDWARD **965 CHERRY HILL BLVD.** **ERIE, PENNSYLVANIA 16509** **(443) 253-8338**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309** **TEL. - 954-202-3900**

License # and Type:	Profession:	Report Date:
7810065 - 1021	**Individual Animal Owner**	**July 1, 2024**

Period of Investigation:	Type of Report:
June 27, 2024, through July 1, 2024	**Final**

Alleged Violation: F.S.S. 550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines. –
(7) "The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state."

Synopsis: On June 28, 2024, a copy of a Final Default Judgment against Gerald **BROOKS**, in favor of PetVet Operating LLC., dba TFB Equine Case No. COCE-23-076923, Division 54, in the County Court of the 17th Judicial Circuit in and for Broward County, Florida, ordered on April 17, 2024, was received via e-mail from Attorney Mark Gunderson.

Per said judgment, PetVet Operating LLC., dba TFB Equine shall recover from **BROOKS** the sum of $1,546.56, in principle, filing fees of $191.43, process server fees of $55.35 and attorney fees in the amount of $1,200.00, making a total of $2,993.39, which shall bear interest at the rate of 9.09% per year **(EXHIBIT #1).**

Related Case(s):

Investigator / Date	Investigator Supervisor / Date
	Julio Minaya / July 1, 2024

Chief of Investigations / Date

Bradford D. Jones / July 2, 2024

CONTINUATION

A check of VERSA records reflect that Gerald **BROOKS** obtained a 3-year Florida PMW Occupational License as an Individual Animal Owner on October 21, 2021. This license expires on June 30, 2024. It was also noted that **BROOKS** has an unpaid administrative fine of $1,100.00 currently pending. A copy of his licensing information is attached as **EXHIBIT #2**.

In the letter from Gunderson dated June 28, 2024, he states that the original amount of $1,546.56 is for veterinary services provided by his client at a Florida Pari-Mutuel facility where **BROOKS** stables his horses. Gunderson also provided copies of the Original Complaint and invoices related to his case. These documents are attached as **EXHIBITS #1 & 3.**

On July 1, 2024, the undersigned Investigator e-mailed Gunderson informing him that the total amount of $2,993.**39** listed in the court order was incorrect. The correct amount totals $2,993.**34**. A short time later Gunderson responded via return e-mail saying "I can get the judge to amend the judgment if necessary … I suggest because of the di minimus amount that we go with the total shown of $2,993.39." A copy of this e-mail is attached as **EXHIBIT #4**.

On July 1, 2024, an Enforcement Alert was placed on **BROOKS'** license record in VERSA to reflect this unsatisfied Financial Obligation. A copy of the License Action Request Form and VERSA alert is attached as **EXHIBIT #5**.

Conclusion: BROOKS is in violation of F.S.S. 550.105(7) for failing to satisfy the Final Judgment.

Status: Case is closed by Investigations and forwarded to Legal for review.

CONTINUATION

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III. EXHIBITS

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2. Versa Check on **BROOKS……**..…… 1-3
3. Original Court Complaint & Invoices…….. 1-5
4. E-Mail to Gunderson…… 1-2
5. License Enforcement Alert…….. 1-2

Julio Minaya

From:	Mark Gunderson <mgunderson@mindspring.com>
Sent:	Friday, June 28, 2024 3:46 PM
To:	Minaya, Julio
Cc:	Trish Shelton
Subject:	Compliant - Gerald Brooks
Attachments:	2023_09_27 Complaint Brooks.pdf; 2024_06_28 Letter to FL Division PetVet Brooks.pdf

[NOTICE] **This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.**

Julio,

Attached is my client's complaint to the Division of Pari-mutuel wagering against Gerald Brooks and related relevant documents. Call with any questions.

Mark Gunderson
Attorney • CPA
2510 SW 18 Street
Ft. Lauderdale, FL 33312
(954) 288-1001 Mobile
(954)791-6154 Fax

"Greed is a powerful motivator…
many people behave badly and dishonestly, creating serious hardships for others in order to enrich themselves"
-Richard Bitner-

Confidential: This e-mail contains a communication protected by the attorney-client privilege or constitutes work product. If you do not expect such a communication please delete this message without reading it or any attachment and then notify the sender of this inadvertent delivery.

EXHIBIT #/
PAGE # /

Law Office of
Mark Gunderson, PL
Attorney ♦ CPA

2510 SW 18 Street ♦ Fort Lauderdale, Florida 33312
phone: (954) 288-1001 ♦ fax: (954) 791-6154 ♦ e-mail: mgunderson@mindspring.com

DIVISION OF PARI-MUTUEL WAGERING COMPLAINT – GERALD BROOKS

June 28, 2024

Via Certified Mail – 7022 0710 5270 1153 9504 78

Division of Pari-Mutuel Wagering
DBPR 0070 – Uniform Complaint Form
2601 Blairstone Rd.
Tallahassee, Florida 32399

Via email - Julio.Minaya@myfloridalicense.com

Julio Minaya, Investigation Supervisor
Division of Pari-Mutuel Wagering
1400 West Commercial Boulevard, Suite 165
Fort Lauderdale, FL 33065

Re: PetVet Operating LLC dba TFB Equine v. Gerald Brooks
17th Judicial Circuit - State of Florida – COCE 23076923 Division 54 - $2,993.39

Gentlemen:

On January 26, 2024 my client, PetVet Operating LLC dba TFB Equine obtained a judgment[1] against Gerald Brooks, a licensee, concerning veterinary services which my client provided at a Florida pari-mutuel facility where Mr. Bardakjian stabled his horses. Since obtaining that judgment, Mr. Brooks has failed to satisfy his obligation.

Florida laws state in relevant part,

"*...the commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults on obligations, ... directly related to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.* § 550.105(7) Fla. Stat. (2023).

***"A business applicant shall be subject to denial of its initial or renewal application as outlined in Section 550.105, F.S., based on disqualifying ... civil judgments ... of the business entity or the individuals associated with that business..."* Fla. Admin. Code 75-5.001**

Mr. Brooks holds a Pari-Mutuel Wagering Individual Occupational license issued by the State of Florida. Mr. Bardakjian has accumulated unpaid obligations and has defaulted on an obligation that is directly related to the sport of racing conducted at a pari-mutuel facility.

Accordingly, my client requests that Mr. Brooks occupational/horse racing license be suspended or revoked, in accordance with Chapter 550.107 Fla. Stat., and Fla. Admin. Code 75-5.001

Thank you for your attention to this matter.

/s/ Mark Gunderson, Esq.

Cc. Client
Gerald Brooks, 965 Cherry Hill Blvd., Erie, PA 16509

[1] Judgment attached.

EXHIBIT # /
PAGE # 2

IN THE COUNTY COURT OF THE 17TH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

CASE NO. **COCE23076923** DIVISION: **54** JUDGE: **Barner, Florence Taylor (54)**

PetVet Operating LLC

Plaintiff(s) / Petitioner(s)

v.

Gerald Brooks

Defendant(s) / Respondent(s)

_____/

FINAL DEFAULT JUDGMENT

This action was heard upon Plaintiff's Motion for Default and Final Judgment by Default and

IT IS ADJUDGED that Defendant, GERALD BROOKS is in default for failing to attend the pre-trial hearing set by this Court for April 2, 2024. Plaintiff, PETVET OPERATING, LLC dba TFB EQUINE, c/o Mark Gunderson, Esq., 2510 SW 18 Street, Fort Lauderdale, FL 33312, shall recover from Defendant, GERALD BROOKS, 965 Cherry Hill Blvd, Erie, PA 16509, the sum of $1,546.56 in principal, filing fees of $191.43, process server fees of $55.35 and attorney fees in the amount of $1,200.00, **making a total of $2,993.39** which shall bear interest at the rate of 9.09% per year, for which let execution issue forthwith.

IT IS FURTHER ORDERED AND ADJUDGED that the Defendant shall complete Florida Small Claims Rules Form 7.343 (Fact Information Sheet) and return it to the Plaintiff's attorney within 30 days from the date of this final judgment, unless the final judgment is satisfied or a motion for new trial or notice of appeal is filed. **The Defendant should NOT file the completed form 7.343 with the court.**

Jurisdiction of this case is retained to enter further orders that are proper to compel the Defendant to complete form 7.343 and return it to the Plaintiff's attorney.

EXHIBIT # / PAGE # 3

Pursuant to Rule 2.516(h)(1), the Court hereby orders counsel to furnish copies of this Order/Judgment to any party who does not have an email address shown on this document.

DONE AND ORDERED in Chambers at Broward County, Florida on 17th day of April, 2024.

COCE23076923 04-17-2024 9:47 AM

COCE23076923 04-17-2024 9:47 AM
Hon. Florence Barner
COUNTY COURT JUDGE
Electronically Signed by Florence Barner

Copies Furnished To:
Gerald Brooks , E-mail : geraldbrooks@ameritrade.com
Mark Gunderson , E-mail : mgunderson@mindspring.com

EXHIBIT # /
PAGE # 4

DBPR 0070 – Uniform Complaint Form

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION

Please submit to the appropriate address on Page 4.

Any investigation or administrative proceeding brought by the Department against the subject of your complaint will rely upon the information you provide to the Department. All allegations and supporting documentation MUST be provided to the Department at this time.

COMPLAINANT INFORMATION				
Last Name	First	Middle	Title	Suffix
Your Company/Occupation PETVET OPERATING LLC dba TFB EQUINE				
MAILING ADDRESS				
Street Address or P.O. Box PO BOX 267160				
City WESTON		State FL	Zip Code (+4 optional) 33326	
County (if Florida address) BROWARD		Country		
CONTACT INFORMATION				
Primary Phone Number 954-288-1001		Alternate Phone Number		
Primary E-Mail Address MGUNDERSON@MINDSPRING.COM				
Unlicensed Activity Complaint? Yes ☐		No X	Unknown ☐	
COMPLAINT DESCRIPTION				
SEE ATTACHED LETTER				

Attach additional sheets as necessary.

EXHIBIT # 1
PAGE # 5

PRIVATE ATTORNEY FOR COMPLAINANT (IF APPLICABLE)				
Last Name GUNDERSON, MARK, ESQ	First	Middle	Title	Suffix
ADDRESS				
Street Address or P.O. Box				
2510 SW 18 STREET				
City FORT LAUDERDALE		State FL	Zip Code (+4 optional) 33312	
County (if Florida address) BROWARD		Country		
CONTACT INFORMATION				
Primary Phone Number 954-288-1001		Alternate Phone Number		

SUBJECT OF COMPLAINT				
Last Name BROOKS, GERALD	First	Middle	Title	Suffix
License Number (if known) 7810065				
Company/Occupation HORSE/TRAINER OWNER				
MAILING ADDRESS				
Street Address or P.O. Box				
965 CHERRY HILL BLVD				
City ERIE		State PA	Zip Code (+4 optional) 16509	
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number 443-253-8338	Primary E-Mail Address geraldbrooks@ameritrade.com			
RESIDENCE ADDRESS (IF DIFFERENT THAN MAILING ADDRESS)				
Street Address				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

PRIVATE ATTORNEY FOR SUBJECT OF COMPLAINT (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
ADDRESS				
Street Address or P.O. Box				
NONE				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number		Alternate Phone Number		

EXHIBIT # /
PAGE # 6

WITNESS (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
TRISH SHELTON - MGR OF COLLECTIONS - PETVET OPERATING LLC dba TFB EQUINE				
ADDRESS				
Street Address or P.O. Box				
PO BOX 267160				
City FORT LAUDERDALE		State FL	Zip Code (+4 optional) 33330	
County (if Florida address) BROWARD		Country		
CONTACT INFORMATION				
Primary Phone Number 954-680-5886		Alternate Phone Number		

WITNESS (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number		Alternate Phone Number		

I affirm that I have provided the above information completely and truthfully to the best of my knowledge.

Complainant Sign Here: BY: /S/ MARK GUNDERSON, ESQ. Date: 6/28/2024

EXHIBIT # /
PAGE # 7

Licensee | History | Notes | Notes History | Back

License

Fed Tax # [redacted] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2024**

File # **46999** Name **BROOKS, GERALD EDWARD** Extended To

License # **7810065** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **7810065** Lic Status **Current**

Address

Street # **965** Street **CHERRY HILL BLVD**

Line 2

Line 3

City **ERIE** State **PA** Zip **16509**

Routing

Other

1st License Date **10/21/2021** Rank Date **10/21/2021** Certificate #

Method **I-S-1020** Status Date **10/21/2021** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
A	FINE - Admin Fine	03/21/2022	11/7/22:Total Fines Due: $1100
C	IOWN - Individual Animal Owner	12/15/2021	
C	TRNR - Trainer	12/29/2021	
I	TBRD - Thoroughbred	07/21/2006	
L	321 - Gulfstream Park	10/21/2021	
Y	3YR - 3 Year License	12/24/2020	

Alt Keys

EXHIBIT #2
PAGE # 1

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jminaya**

VR Home > License Search > License Home > **Basic Entity Data**

Basic Entity Data | List of Addresses | List of Names

Lic Type **1021 - PMW Professional Individual Occupational** Entity # **7810065**

Fed Tax # [redacted] Tax Type **SSN #** Name **BROOKS, GERALD EDWARD**

Last Name **BROOKS** First **GERALD** Middle **EDWARD**

Title Suffix Qualifier

Gender **M** Race **2 - White**

Driver License # Birth Date [redacted]

Mailing Address ✓ Private Address ☐ Preferred Name ✓

Street # **965** Street **CHERRY HILL BLVD**

Line 2

Line 3

City **ERIE** County **Out of State**

Zip **16509** State **Pennsylvania** Country **United States**

Routing

Phone # **443-253-8338** Ext E-Mail **christy.brooks6@icloud.com**

Insp Region Receive Email ☐

Updated **11/09/2021 11:18:21** By **tmcdowell1**

Notes | Notes History | Fix | Change | Save | OK | Cancel | Back

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EXHIBIT #2
PAGE # 2

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jminaya**

VR Home > License Search > **Display Alerts**

Fed Tax # [redacted]		Lic Type	**1021 - PMW Professional Individual Occupational**	Expires On	**06/30/2024**
File #	**46999**	Name	**BROOKS, GERALD EDWARD**	Extended To	
License #	**7810065**	Rank	**PIND - Professional Individual Occupational**	Renewed On	
Entity #	**7810065**	Lic Status	**Current**		

Description	Effective	Notes
Admin Fine	03/21/2022	✓
A fine is due and has not been paid	04/14/2022	✓
A compliance order is in default	06/15/2022	✓

OK

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EXHIBIT # 2
PAGE # 3

Filing # 182739072 E-Filed 09/27/2023 03:47:33 PM

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,

SMALL CLAIMS DIVISION

vs

GERALD BROOKS
Defendant.
_____/

COMPLAINT

Plaintiff, PETVET OPERATING, LLC dba TFB EQUINE ("Plaintiff"), sues defendant, GERALD BROOKS, ("Defendant") and alleges,

1. This is an action on an open account.

2. This Court has subject matter jurisdiction over this dispute because this complaint seeks damages of $8,000.00 or less exclusive of interest, attorneys' fees, and costs. §34.01(2) Fla. Stat.

3. Venue is proper in the 17th Judicial Circuit, in that Plaintiff's cause of action arose in Broward County Florida. §47.011 Fla. Stat.

4. Plaintiff is a resident of Broward County and otherwise *sui juris.*

5. The Court has jurisdiction over the Defendant in that Plaintiff's cause of action against Defendant arises from Defendant operating, conducting, engaging in, or carrying on a business or business venture in this state, breaching a contract in this state by failing to perform acts required by the contract to be performed in this state, and/or Defendants' substantial and not isolated activity within this state. §48.193 Fla. Stat.

6. Service is alternatively made (if applicable) via substituted service on the Secretary for the State of Florida in accordance with §48.161 Fla. Stat. or §48.181 Fla. Stat. in that Defendant(s) were residents of this state and subsequently became nonresident(s) of this state or has concealed his whereabouts.

7. **Defendant owe Plaintiff $1,546.56** that is due with interest since February 28, 2023, based on an agreement for goods and services that were sold and delivered at an agreed upon price, **directly related to horse racing being conducted at a pari-mutuel facility.** See Exhibit A.

8. Plaintiff is entitled to prevailing party attorney fees pursuant to agreement between the parties.

WHEREFORE, Plaintiff demands judgment for damages, attorney fees and costs against Defendant.

MARK GUNDERSON, P.L.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001; (954) 791-6154 Fax
mgunderson@mindspring.com
/s/ Mark Gunderson FBN: 0842141

EXHIBIT #3
PAGE # 1

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 680-5886
Fax: (954) 680-5976
Office@tfbequine.com

Gerald Brooks
965 Cherry Hill Blvd
Erie, PA 16509

Date: Aug-31-23
Client #: 268221
Page: 1

Trainer: BROOKS, GERALD

$ _____
AMOUNT REMITTED

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
	Balance Forward					**3,864.08**
*** PAYMENTS AND ADJUSTMENTS TO YOUR ACCOUNT ****						
12/1/22	Charge Reversal					-54.00
	Note: Reversed During Billing Correction For Invoice [417491] For Patient 'GOTTA GO GATOR'					
12/1/22	Charge Reversal					-185.00
	Note: Reversed During Billing Correction For Invoice [416840] For Patient 'NO MAN LEFT BEHIND'					
1/10/23	Payment - Check 2759					-3,800.00
2/28/23	Interest					1.00
3/31/23	Interest					6.06
4/30/23	Interest					6.06
6/30/23	Interest					6.11
7/31/23	Interest					6.11
8/31/23	Interest					6.14
	ANOTHERDAYGONEBY *100.00%*					
12/3/22	Dexamethasone Injection	1	41	FL		33.00
12/3/22	Adequan I.M. - 500 Mg.	1	41	FL		78.00
12/3/22	Animax Ointment-Medium 30ml	1	41	FL		30.00
12/5/22	Dexamethasone Injection	1	41	FL		33.00
12/6/22	Adequan I.M. - 500 Mg.	1	41	FL		78.00
12/7/22	Phenylbutazone Injection	1	43	FL		15.00
12/7/22	Estrone Sulfate Injection	1	43	FL		39.00
	***ANOTHERDAYGONEBY* Sub-Total**				**306.00**	
	APPRAISAL *100.00%*					
12/5/22	Dexamethasone Injection	1	41	FL		33.00

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.
Click here to make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a $100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

PetVet Operating, LLC

EXHIBIT #3
PAGE #2

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 680-5886
Fax: (954) 680-5976
Office@tfbequine.com

Gerald Brooks
965 Cherry Hill Blvd
Erie, PA 16509

Date: Aug-31-23
Client #: 268221
Page: 2

Trainer: BROOKS, GERALD

$ _____
AMOUNT REMITTED

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
12/6/22	Adequan I.M. - 500 Mg.	1	41	FL		78.00
12/7/22	Phenylbutazone Injection	1	43	FL		15.00
12/7/22	Estrone Sulfate Injection	1	43	FL		39.00
	***APPRAISAL* Sub-Total**				**165.00**	
	CAGED PIXIE *100.00%*					
12/2/22	Adequan I.M. - 500 Mg.	1	41	FL		78.00
12/3/22	Estrone Sulfate Injection	1	41	FL		39.00
12/3/22	Phenylbutazone Injection	1	41	FL		15.00
	***CAGED PIXIE* Sub-Total**				**132.00**	
	CAPRIDREY *100.00%*					
12/2/22	Adequan I.M. - 500 Mg.	1	41	FL		78.00
12/3/22	Estrone Sulfate Injection	1	41	FL		39.00
12/3/22	Phenylbutazone Injection	1	41	FL		15.00
	***CAPRIDREY* Sub-Total**				**132.00**	
	CONCRETE GLORY *100.00%*					
12/6/22	Dexamethasone Injection	1	41	FL		33.00
12/7/22	Adequan I.M. - 500 Mg.	1	43	FL		78.00
12/8/22	Phenylbutazone Injection	1	41	FL		15.00
12/8/22	Estrone Sulfate Injection	1	41	FL		39.00
	***CONCRETE GLORY* Sub-Total**				**165.00**	
	HINDENBURG *100.00%*					
12/11/22	Lasix/Salix - AM EIPH Prevention	1	41	FL		25.00

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
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Thank You

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EXHIBIT #3
PAGE #3

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Gerald Brooks
965 Cherry Hill Blvd
Erie, PA 16509

Date: Aug-31-23
Client #: 268221
Page: 3

Trainer: BROOKS, GERALD

$ _____
AMOUNT REMITTED

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
12/11/22	Banamine (Flunixin Meglumine) Injection	1	41	FL		29.00
	***HINDENBURG* Sub-Total**				**54.00**	
	LEXINATOR *100.00%*					
12/7/22	Dexamethasone Injection	1	43	FL		33.00
12/7/22	Gel-Cast	1	43	FL		33.00
12/9/22	Lasix/Salix - AM EIPH Prevention	1	41	FL		25.00
12/9/22	Estrone Sulfate Injection	1	41	FL		39.00
	***LEXINATOR* Sub-Total**				**130.00**	
	LITTLELADYISAWOMAN *100.00%*					
12/9/22	Banamine Paste	1	34	FL		55.00
12/11/22	Banamine (Flunixin Meglumine) Injection	1	41	FL		29.00
	***LITTLELADYISAWOMAN* Sub-Total**				**84.00**	
	NEVER SATISFIED *100.00%*					
12/1/22	Dexamethasone Injection	1	41	FL		33.00
12/8/22	Dexamethasone Injection	1	41	FL		33.00
12/9/22	Adequan I.M. - 500 Mg.	1	41	FL		78.00
12/10/22	Estrone Sulfate Injection	1	41	FL		39.00
12/10/22	Phenylbutazone Injection	1	41	FL		15.00
12/11/22	Triple Antibiotic Eye Ointment	1	41	FL		39.00
	***NEVER SATISFIED* Sub-Total**				**237.00**	
	OUT FOR MYSELF *100.00%*					
12/7/22	ACTH	1	43	FL		50.00

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.
Click here to make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a $100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

PetVet Operating, LLC

EXHIBIT #3
PAGE # 4

Statement

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PO BOX 267160
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Tel: (954) 680-5886
Fax: (954) 680-5976
Office@tfbequine.com

Gerald Brooks
965 Cherry Hill Blvd
Erie, PA 16509

Date: Aug-31-23
Client #: 268221
Page: 4

Trainer: BROOKS, GERALD

$ _____
AMOUNT REMITTED

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
12/9/22	Lasix/Salix - AM EIPH Prevention	1	41	FL		25.00
12/9/22	Estrone Sulfate Injection	1	41	FL		39.00
	***OUT FOR MYSELF* Sub-Total**				**114.00**	
	PUTTHEPASTBEHIND *100.00%*					
12/2/22	Adequan I.M. - 500 Mg.	1	41	FL		78.00
12/11/22	Banamine (Flunixin Meglumine) Injection	1	41	FL		29.00
	***PUTTHEPASTBEHIND* Sub-Total**				**107.00**	
	TAKING WHAT'S MINE *100.00%*					
12/9/22	Lasix/Salix - AM EIPH Prevention	1	41	FL		25.00
12/9/22	Estrone Sulfate Injection	1	41	FL		39.00
	***TAKING WHAT'S MINE* Sub-Total**				**64.00**	

Over 90 Days	Over 60 Days	Over 30 Days	Current	Total Amount
1,528.20	6.11	6.11	6.14	

PLEASE PAY THIS AMOUNT >>>>>>>> **1,546.56**

Client #:268221

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.
Click here to make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a $100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

PetVet Operating, LLC

EXHIBIT #3
PAGE #5

Julio Minaya

From: Mark Gunderson <mgunderson@mindspring.com>
Sent: Monday, July 1, 2024 12:12 PM
To: Julio Minaya; 'Minaya, Julio'
Cc: 'Trish Shelton'
Subject: RE: Compliant - Gerald Brooks

Julio,

I can get the judge to amend the judgment if necessary ... I suggest because of the di minimus amount that we go with the total shown of $2,993.39.

Mark Gunderson
Attorney • CPA
2510 SW 18 Street
Ft. Lauderdale, FL 33312
(954) 288-1001 Mobile
(954)791-6154 Fax

"Greed is a powerful motivator...
many people behave badly and dishonestly, creating serious hardships for others in order to enrich themselves"
-Richard Bitner-

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From: Julio Minaya [mailto:Julio.Minaya@flgaming.gov]
Sent: Monday, July 1, 2024 9:51 AM
To: Mark Gunderson; Minaya, Julio
Cc: Trish Shelton
Subject: RE: Compliant - Gerald Brooks

Mr. Gunderson,

Please note that the amount in the court order is off by a few cents. The court order states that the total amount due is $2,993.39 and when I added it up the actual amount is $2,993.34.

Florida Gaming Control Commission
flgaming.gov

Julio Minaya
Investigation Manager
Florida Gaming Control Commission
Division of Pari- Mutuel Wagering
1400 W Commercial Blvd
Suite 165

EXHIBIT #4
PAGE # 1

Fort Lauderdale, FL 33309
754.288.1008

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

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From: Mark Gunderson <mgunderson@mindspring.com>
Sent: Friday, June 28, 2024 3:46 PM
To: Minaya, Julio <Julio.Minaya@myfloridalicense.com>
Cc: Trish Shelton <trish@tfbequine.com>
Subject: Compliant - Gerald Brooks

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

Julio,

Attached is my client's complaint to the Division of Pari-mutuel wagering against Gerald Brooks and related relevant documents. Call with any questions.

Mark Gunderson
Attorney • CPA
2510 SW 18 Street
Ft. Lauderdale, FL 33312
(954) 288-1001 Mobile
(954)791-6154 Fax

"Greed is a powerful motivator…
many people behave badly and dishonestly, creating serious hardships for others in order to enrich themselves"
-Richard Bitner-

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EXHIBIT # 4
PAGE # 2

FLORIDA GAMING CONTROL COMMISSION

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

Louis Trombetta, Executive Director

Ron DeSantis, Governor

DIVISION OF PARI-MUTUEL WAGERING
LICENSE ACTION REQUEST FORM

To: Licensing Administrator

From: Investigator Minaya

Date: July 1, 2024

X	PLEASE **ADD ALERT**: Contact Investigations Prior to Licensing
	PLEASE **REMOVE** ALERT FROM LICENSE RECORD

License number and type: 7810065 - 1021

Last Name: BROOKS First Name: GERALD E

DOB [redacted] SS#: [redacted]

Reason for Addition/Removal of Alert: **DO NOT LICENSE!** Unsatisfied Default Final Judgment pending against Gerald Edward Brooks, in favor of PetVet Operating LLC., DBA TFB Equine Case # COCE-23-076923, Division 54, in the Circuit Court of the 17th Judicial Court in Broward County, Florida, in the amount of $2,993.39 plus 9.09% interest per year.

Responsible Party: Inv. Sup Minaya Case Number: 2024 03 7401

Approved by:_____ Date _____

Added/Removed by:_____ Date July 1, 2024

Revised 12/08

EXHIBIT #5
PAGE # 1

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering Logged in as: jminaya

VR Home > License Search > License Home > **Maintain License Modifiers - BASE_CLONE**

Search Criteria | Results | Detail

Modifier Type	**A - Alert**
Modifier	**ENFO - Enforcemt Alert**
Effective	**07/01/2024**
Expires	**-**
Additional Info :	
Prompt	
Value	
Notes	**DO NOT LICENSE! Unsatisfied Default Final Judgment pending against Gerald Edward Brooks, in favor of PetVet Operating LLC., DBA TFB Equine Case # COCE-23-076923, Division 54, in the Circuit Court of the 17th Judicial Court in Broward County, Florida, in the amount of $2,993.39 plus 9.09% interest per year.**
Updated	**07/01/2024 13:18:50**
By	**jminaya**

Notes History | Change | Delete | Save | Cancel | < | >

Add | Back

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EXHIBIT #5
PAGE # 2

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Through:	Justin Hundersmarck, Senior Attorney
Re:	FGCC v. Cecilia Sobrino Rodriguez Case No. 2024-072809, Default Final Order
Date:	December 22, 2025

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") seeks the permanent exclusion of Cecilia Sobrino Rodriguez ("Respondent") from all pari-mutuel and slot machine facilities in the state of Florida. Respondent did not file an election of rights and thereby waived her right to request a hearing contesting this matter. The Florida Gaming Control Commission should enter a final order permanently excluding Respondent from all pari-mutuel and slot machine facilities in the state of Florida.

Relevant Facts

On June 26, 2024, Respondent was a patron of Casino Miami, LLC d/b/a Casino Miami, Miami Jai-Alai, and/or Casino Miami Jai-Alai ("Casino Miami").[1] On December 24, 2024, Respondent was permanently excluded from Casino Miami.[2]

Based on Respondent's exclusion from Casino Miami, the Division filed an administrative complaint on August 12, 2025, seeking her exclusion from all pari-mutuel facilities and all facilities of a slot machine licensee in the state of Florida. The election of rights accompanying the administrative complaint made it clear that Respondent had 21 days[3] to file a written response to the administrative complaint. Respondent was served on October 27, 2025, had until November 17, 2025, to respond, and did not do so.

Analysis

[1] Casino Miami is operated by a permitholder authorized to conduct pari-mutuel wagering, slot facilities, and cardroom operations in the state of Florida.

[2] Pari-mutuel permitholders and slot machine licensees have the right to exclude patrons. §§ 550.0251(6); 551.112, Fla. Stat.

[3] *See* Fla. Admin. Code R. 28-106.111(4) ("Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.")

Florida law allows for the exclusion of Respondent from all pari-mutuel and slot machine facilities in the state.

Section 550.0251(6), Florida Statutes, provides that "[t]he commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state […]"

Further, section 551.112, Florida Statutes, provides that "[t]he commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state […]"

Therefore, Respondent's exclusion from Casino Miami, a pari-mutuel facility and facility of a slot machine licensee in this state, subjects her to permanent exclusion from all pari-mutuel facilities and all facilities of a slot machine licensee in this state.

Staff Recommendation: The Commission should enter a final order permanently excluding Respondent, Cecilia Sobrino Rodriguez, from all pari-mutuel and slot machine facilities in the state of Florida.

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 8/12/2025
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2024-072809

CECILIA SOBRINO RODRIGUEZ,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Cecilia Sobrino Rodriguez ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel, slot machine, and cardroom operations pursuant to chapters 550, 551, and 849, Florida Statutes.

2. At all times material hereto, Respondent's address was reported as 19771 Southwest 114th Avenue, Miami, Florida 33157.

3. At all times material hereto, Casino Miami, LLC d/b/a Casino Miami, Miami Jai-Alai, and/or Casino Miami Jai-Alai ("Casino Miami") was a facility operated by a permitholder authorized by the Commission to conduct pari-mutuel wagering, cardroom operations, and slot operations in the state of Florida.

4. On or about June 26, 2024, Respondent was a patron of Casino Miami.

5. On or about December 24, 2024, Respondent was permanently excluded from Casino Miami.

6. Section 550.0251(6), Florida Statutes, provides in relevant part:

> In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis added).

7. Section 551.112, Florida Statutes, provides:

> In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

(Emphasis added).

8. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida under sections 550.0251(6) and 551.112, Florida Statutes, based on her permanent exclusion from Casino Miami on or about December 24, 2024.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida, in accordance with sections 550.0251(6) and 551.112, along with any other remedy provided by chapters 550 and 551, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2024-072809 is signed on the 28th day of July 2025.

/s/ Justin Hundersmarck

Justin Hundersmarck
Senior Attorney
Florida Bar Number: 1039038
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Phone: (850) 794-8063
Fax: +1 850-536-8712
Primary: Justin.Hundersmarck@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

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Cecilia Sobrino Rodriguez

Street and Apt. No., or PO Box No.
26715 SW 140th Ave, Unit 309

City, State, ZIP+4®
Homestead, Florida 33032

AC-2024072809-OGC

PS Form 3800, January 2023 PSN 7530-02-000-9047 See Reverse for Instructions

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Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1098 - Unlicensed Complaints**			Status **90 Closed**	Status Date **04/15/2025**
Complaint #	**2024072809**	Case Type	**CMP - Complaint**	Disposition	Disposition Date
Docket#		Respondent	**SOBRINO RODRIGUEZ, CECILIA**	Responsible **bjones - JONES, BRADFORD**	**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INTR - Internal**	Priority	
Class'n	**OTHR - Other**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**06/26/2024**
Region	**SR - Southern Region**	Received	**12/26/2024**
Reference	**550.0251; 551.109**		
Entered	**12/26/2024**	Entered By	**jhodge**

Summary

273 - CASINO MIAMI, LLC - 550.0251 Powers and duties of the Florida Gaming Control Commission. - 551.109 Prohibited acts; penalties.— On June 26, 2024, a self-excluded patron by the name of Ingrid Soraya Concepcion Pujol visited Casino Miami for the purpose of playing on its slot machines. At the time of her visit, Concepcion Pujol should not have been allowed in the facility. While visiting Concepcion Pujol played and won a jackpot in the amount of $1,425.70 at a slot machine. Knowing that she was a self-excluded patron, Concepcion Pujol did not attempt to collect the jackpot but instead switched seats with a female acquaintance who claimed and cashed the jackpot. The acquaintance, Cecilia Sobrino Rodriguez, remained seated in front of the jackpot machine until Slot Attendant Oswyn Paguada and Slot Supervisor Jonathon Matthew arrived to process the jackpot. After collecting Sobrino Rodriguez pedigree information Sobrino Rodriguez was able to collect the jackpot without any issues from Slot Attendant Oswyn Paguada.

Updated **04/15/2025 13:09:47** By **jhodge**

☐ Parties ☑ Activities

☑ Allegations ☐ Discipline

☐ Violations ☐ Compliance

☐ Related ☑ Disposition

☐ Inspection

☐ Costs

☐ Time Tracking Auto Assign

☐ Attachments History

☐ Work Notes Print Report

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Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Ross Marshman, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**SOUTHERN**	**December 26, 2024**	**2024 07 2809**

SOBRINO RODRIGUEZ, CECILIA **19771 SW 114th AVE.** **MIAMI, FLORIDA 33157**	Complainant: **DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # and Type:	Profession:	Report Date:
N/A - 1098	**Patron**	**April 14, 2025**

Period of Investigation:	Type of Report:
December 26, 2024, through April 14, 2025	**Final**

Alleged Violation(s): 550.0251 Powers and duties of the Florida Gaming Control Commission.
(6) In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

551.109 Prohibited acts; penalties.—
(1) Except as otherwise provided by law and in addition to any other penalty, any person who knowingly makes or causes to be made, or aids, assists, or procures another to make, a false statement in any report, disclosure, application, or any other document required under this chapter or any rule adopted under this chapter is subject to an administrative fine or civil penalty of up to $10,000.
(3) Any person who knowingly excludes, or takes any action in an attempt to exclude, anything of value from the deposit, counting, collection, or computation of revenues from slot machine activity, or any person who by trick, sleight-of-hand performance, a fraud or fraudulent scheme, or device wins or attempts to win, for himself or herself or for another, money or property or a combination thereof or reduces or attempts to reduce a losing wager in connection with slot machine gaming commits a felony of the third degree, punishable as provided in s. 775.082, s. 775.083, or s. 775.084.

75-14.090 Prohibited Acts.
(2) No person shall conspire with, solicit, aid, abet, counsel, hire, or procure any other person or persons to engage in a violation of Chapter 551, F.S., or Chapter 75-14, F.A.C., nor shall he or she commit any such act on his or her own.

Synopsis: On June 26, 2024, a self-excluded patron by the name of Ingrid Soraya Concepcion Pujol visited Casino Miami and played on Slot Machine CD-02-3390 winning a $1,425.70 jackpot. Knowing that she was a self-excluded patron, Concepcion Pujol did not attempt to collect the jackpot but instead switched seats with a female acquaintance who claimed and cashed the jackpot. The acquaintance, Cecilia **SOBRINO RODRIGUEZ**, remained seated in front of the jackpot machine until Casino Miami Slot Attendant, Oswyn Paguada and Slot Supervisor, Jonathon Matthew arrived to process the jackpot. After collecting **SOBRINO RODRIGUEZ'S** information, the payment of the jackpot was completed by Slot Attendant Paguada awarding the funds to **SOBRINO RODRIGUEZ**.

Related Case: **2024 07 1799**

Investigations Specialist II / Date	Investigation Manager / Date:
Raul Suau / April 14, 2025	Julio Minaya / April 15, 2025

Chief of Investigations / Date

Bradford D. Jones / April 15, 2025

This case is predicated on an incident that occurred on June 26, 2024, at Casino Miami LLC during which a self-excluded patron by the name of Ingrid Soraya Concepcion Pujol convinced an acquaintance of hers, Sonia **SOBRINO RODRIGUEZ,** to cash a jackpot Pujol had won on that date on Pujol's behalf. The incident was detailed in a complaint filed on the Florida Gaming Commission website. The complaint was forwarded to FGCC Slot Specialist, Jackelyn Rodriguez, who prepared an Incident Report (FGCC case# 2024-04-9692) after investigating the incident. She noted the fact that Concepcion Pujol had played on slot machine CD-02-3390, during which time she had won a jackpot in the amount of $1,425.70 **(Exhibit #1).**

Slot Specialist Rodriguez's investigative findings, documented in her Incident Report concluded that on June 26, 2024, at 4:24 P.M., a self-excluded patron Ingrid Soraya Concepcion Pujol won a $1,435.70[1] jackpot on Slot Machine CD-02-3390. Upon winning the jackpot, Concepcion Pujol immediately swaps seats with another female accomplice later identified as Cecilia **SOBRINO RODRIGUEZ**. During the cashing out of the jackpot, Concepcion Pujol and another unidentified female not involved in this incident, are observed walking out of the facility. A short time later the unidentified female who accompanied Concepcion Pujol out of the facility is captured on camera re-entering the facility approaching Cecilia **SOBRINO RODRIGUEZ.** The two of them walk exit the facility and proceed towards the parking lot area where they meet with Concepcion Pujol. After a short time outside, all three women re-enter the facility **(Exhibit #1).**

Given these facts Cecilia **SOBRINO RODRIGUEZ** committed fraud by representing herself as the winner of this jackpot and cashing it on behalf of Ingrid Soraya Concepcion Pujol, a self-excluded patron. As a result of this fraudulent scheme committed by these individuals, this case was generated against **SOBRINO RODRIGUEZ** and PMW Case # 2027 07 1799 was generated against Ingrid Soraya Concepcion Pujol. Because of **SOBRINO RODRIGUEZ'S** actions, she was permanently excluded from Casino Miami on December 24, 2024 **(Exhibit #8, - Page 1).**

Conclusion: Because Concepcion Pujol knew of her status as a self-excluded patron, she should not have been inside the casino engaged in any gaming activity. Concepcion Pujol's knowledge of her status is supported by the fact that she switched her seat with **SOBRINO RODRIGUEZ** as failing to do so would lead to the discovery of her improper presence inside of the facility and could prevent her receipt of the jackpot funds. By exiting the facility, Concepcion Pujol removed herself from being identified and allowed **SOBRINO RODRIGUEZ** to cash the jackpot without any incident and without the facility being aware of the identity of the true winner[2] **(Exhibits #2, #3 & #5).**

Status: Case closed by Investigations and forwarded to Legal for further review.

[1]The amount of the jackpot was $1,425.70 and not $1,435.70 as indicated in Rodriguez's Incident Report. Also, Rodriguez's Investigation identified the two female patrons involved in the scam.

[2] Because Casino Miami's Internal Control procedure does not mandate that the Surveillance Department review every jackpot, winning amounts that are below $10,000.00, as was involved in this incident, went unnoticed.

CONTINUATION

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Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1003 - Permitholder Application for Annual Slot Machine License**			Status	**20 Under Investigation**	Status Date	**09/12/2024**
Complaint #	**2024049662**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**CASINO MIAMI, LLC**	Responsible	**rsuau - SUAU, RAUL**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INSP - Inspection**	Priority	
Class'n	**303 - Rules**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**06/26/2024**
Region	**SR - Southern Region**	Received	**08/26/2024**
Reference	**551.109**		
Entered	**08/26/2024**	Entered By	**tsantos**
Summary	**Casino Miami, LLC failed to verify jackpot procedures by allowing a permanently self-excluded patron to perform a fraud scheme and remain on the slot machine gaming floor.**		
Updated	**09/12/2024 08:40:52**	By	**cstubbs1**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☑ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

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EXHIBIT # 1
PAGE # 1

Florida Gaming Control Commission

Julie Brown, Vice Chair
Chuck Drago, Commissioner
John D'Aquila, Commissioner
Tina Repp, Commissioner

OFFICE OF SLOT OPERATIONS

INCIDENT REPORT

Type of Report: Violation [X] Complaint [ ]	Facility: Casino Miami, LLC	Date: 06/26/2024 Time: 1624	Case Number: **2024049662**

Respondent / Accused:	Complainant / Victim: FGCC
Name: Casino Miami, LLC Address: 3500 NW 37th Avenue City/State/Zip: Miami, FL 33142 1st Phone: 305-633-6400 2nd Phone: Profession : Permit Holder License Type : 1003 License # : 273	Name: FGCC Address: 1400 West Commercial Boulevard Suite 165 City/State/Zip: Fort Lauderdale, FL 33309 1st Phone: (754) 288-1044 2nd Phone: Profession: License #:

Witness #1 Name / Lic. #:	Address:	Contact Information:	Board: **101**
Witness #2 Name / Lic. #	Address:	Contact Information:	

Specific Rule Violation: **551.109 Prohibited acts; penalties (3) & (4), 75-14.020 Excluded Persons (7) 75-14.058 Slot Machine Licenses System of Internal Controls CM Security Internal Controls**

Summary: Casino Miami, LLC failed to verify jackpot procedures by allowing a permanently self-excluded patron to perform a fraud scheme and remain on the slot machine gaming floor.

Interviews: I, Pari-Mutual Operations Specialist Jackelin Rodriguez, received an email from Slot Operations Supervisor Kereene Lewis requesting to inquire a patron complaint reporting two female patrons committing possible fraud at Casino Miami, LLC.

On the above date and time, surveillance video footage from camera 167 confirm that permanently self- excluded patron **Ingrid Soraya CONCEPCION PUJOL** account number: 401991, was playing at the Royal Reel slot machine located in CD02-3390 and won a jackpot of $1,435.70.

Slot Specialist /Report Date Jackelin Rodriguez 08/27/24	Slot Supervisor / Date Kereene Lewis 8.27.24
Slot Manager / Date Dennis Chen 8/29/2024	Chief of Slots / Date Bill Crafts 8.29.2024

Distribution:
No Action [ ] Investigation [ ] Consent Order [ ] Respondent [X]

EXHIBIT # 1
PAGE # 2

FGCC SLOT OPERATIONS REPORT **CASE NUMBER: 2024049662**

CONTINUATION

Interviews (continued): Camera 167 at 1624 hours shows **CONCEOCION PUJOL** win a jackpot of $1,425.70 at the Royal Reel slot machine CD02-3390, she immediately swaps with a female patron who acted as accomplices later identified as **Cecilia SOBRINO RODRIGUEZ** account number: 296083 and walks away.

At 1626 hours cameras 85 and 31 show **CONCEPCION PUJOL** and an unknown female patron exit the casino through the north casino entrance. At 1629 hours the unknown female patron is seen on camera 31 and 554 walking back in through the north casino entrance towards the charlie delta area. At 1637 hours camera 167 shows Slot Attendant **Oswin Hernan PAGUADA**, license #10046529 and Slot Supervisor, **Jonathan Bert MATTHEW**, license #10653382 pay out **SOBRINO RODRIGUEZ**.

Camera 167 shows **SOBRINO RODRIGUEZ** and unknown female patron at 1639 hours walking out together through the north casino entrance as seen on camera 85 and 31. At 1640 hours camera 167 shows **SOBRINO RODRIGUEZ** and unknown female patron meet up with **CONCEPCION PUJOL** shortly after they are all seen reentering the casino through the north casino entrance.

Video footage from camera 195 shows **CONCEPCION PUJOL** playing at multiple slot machines form 1644-1734 hours.

CONCEPCION PUJOL was permanently self-excluded on 10/29/2023 from Casino Miami, LLC.

Disposition: This report was forwarded to Slot Operations Supervisor Kereene Lewis and Slot Operations Manager Dennis Chen for review.

Number of previous violations of this type:(10)

551.109

- Complaint #2020002371 Dated: 11/14/2019
- Complaint #2020001518 Dated: 11/10/2019
- Complaint #2019032982 Dated: 06/16/2019
- Complaint #2017029761 Dated: 06/10/2017
- Complaint #2017022916 Dated: 01/23/2017

75-14.020

- Complaint #2023061088 Dated: 09/27/2023
- Complaint #2024000410 Dated: 05/11/2023
- Complaint #2024027155 Dated: 07/01/2022

75-14.058

- Complaint #2024033660 Dated:03/01/2024
  Complaint #2023039258 Dated: 02/28/2023

EXHIBIT # 1
PAGE # 3

Attachments: (2)

1. Email from Slot Operations Supervisor Kereene Lewis RE: Patron complaint 4935 – Statute
2. Security Report CMJ-000002704

Surveillance Video has been saved in FGCC folder: False JP Claim 06262024

Investigator Assigned / Date	Period of Investigation	

EXHIBIT # 1
PAGE # 4

Jackelin Rodriguez

From: Kereene Lewis
Sent: Wednesday, July 10, 2024 11:15 AM
To: Jackelin Rodriguez
Subject: RE: Patron complaint 4935 - Statute

Statute

551.109 Prohibited acts; penalties.–

(3) Any person who knowingly excludes, or takes any action in an attempt to exclude, anything of value from the deposit, counting, collection, or computation of revenues from slot machine activity, or any person who by trick, sleight-of-hand performance, a fraud or fraudulent scheme, or device wins or attempts to win, for himself or herself or for another, money or property or a combination thereof or reduces or attempts to reduce a losing wager in connection with slot machine gaming commits a felony of the third degree, punishable as provided in s. 775.082, s. 775.083, or s. 775.084.

Respectfully,

Kereene Lewis, Slot Operations Supervisor
Florida Gaming Control Commission
The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution, or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGER VIEW

From: Kereene Lewis
Sent: Tuesday, July 9, 2024 1:20 PM
To: Jackelin Rodriguez <Jackelin.Rodriguez@flgaming.gov>
Subject: FW: Patron complaint 4935

Respectfully,

Kereene Lewis, Slot Operations Supervisor
Florida Gaming Control Commission
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From: Dennis Chen <Dennis.Chen@flgaming.gov>
Sent: Tuesday, July 9, 2024 10:25 AM
To: Kereene Lewis <Kereene.Lewis@flgaming.gov>
Subject: Patron complaint 4935

Good morning Kereene,
There is another Complaint.
Here is the info:

"Translation-Denouncing last week the player Cecilia Sobrino charged a jackpot to Ingrid S Concepcion Pujol In the past, players have already had problems with this fraud. The reason for complaint one is that Cecelia spends the entire day behind the players waiting for an opportunity to do this. The second reason is that if Ingrid is signed that she cannot enter of her own free will, that makes her rob the possibility of what they are playing not winning the prize, once she is discovered, the prize is lost, thus leaving the players without winning it, having the papers in order. And it is known that the casino keeps the prize. This time you have had luck but they already have 2 Ingry awards in a week. And 2 different people have collected it. The last one was collected at the CD Charlie Delta bank, Thank you and I hope the casino takes quick action on this."

Please follow up and report back to me.
Thank you.

Respectfully,

★★★★★
Florida Gaming Control Commission
flgaming.gov

Dennis Chen
Slot Operations Manager
Division of Pari-Mutuel Wagering
Office of Slot Operations
754.288.1018 Office
954.658.3155 Cell
754.315.0503 Fax

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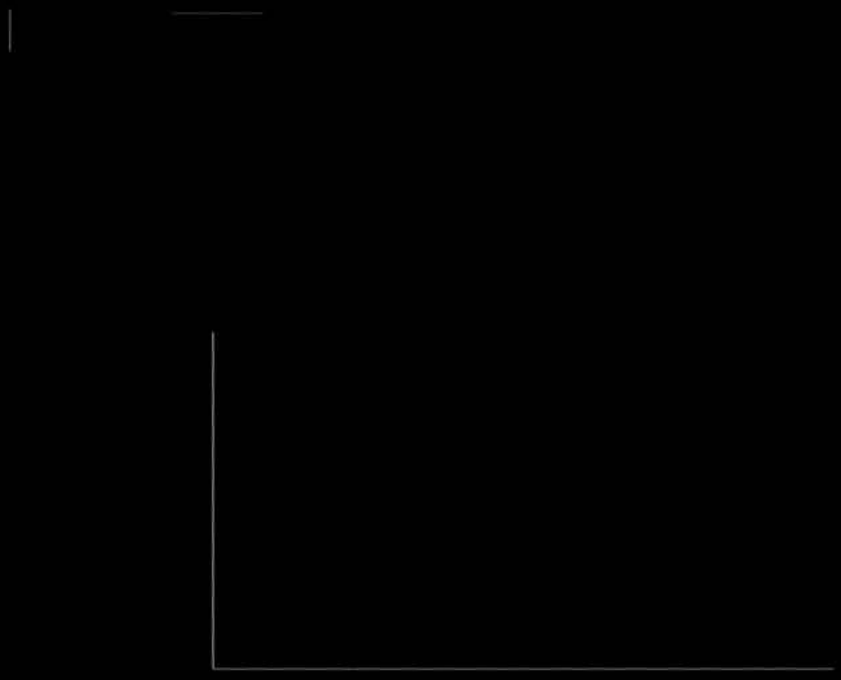
EXHIBIT # 1
PAGE # 6



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VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > Maintain Complaint > **License Home**

License

[redacted]

Type **1003 - Permitholder App for Annual Slot Licens** Expires On **12/06/2024**

File # **9** Name **CASINO MIAMI, LLC** Extended To

License # **273** Rank **SLIC - Permit holder Appl Annual Slot Mach Lic** Renewed On

Entity # **273** Lic Status **Current**

Licensee | History | Notes | Notes History | Back

Address

Street # **3500** Street **NW 37TH AVENUE**

Line 2

Line 3

City **MIAMI** State **FL** Zip **33142**

Routing

Other

1st License Date **12/12/2023** Rank Date **12/12/2023** Certificate #

Method **I-S-1020** Status Date **05/12/2011** Certificate Date

Fee Exempt **No** Birth Date Renewal Sent

Select

Action

EXHIBIT # 1
PAGE # 8

Modifiers

Type	Modifier	Effective Date	Additional Info
No items found.			

DBA Name

DBA **CASINO MIAMI**

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115425	309667	$1,488.21	MAXIMO	BRISO FERNANDEZ	27464 SW 143RD AVE UNIT 27464	26-Jun-2024		COPS	2216	
2051	309668	$3,943.22	MANUEL	PEREZ	1937 SW 131st Place Ct	26-Jun-2024		COPS	2216	
1784	309669	$3,600.00	LESBER	ESPINOZA	615 NW 21 AVENUE APT 4	26-Jun-2024		COPS	2216	
88262	309670	$3,600.00	MIGUEL	BRITO	2402 NW 13TH CT	26-Jun-2024		COPS	2216	
1784	309671	$3,600.00	LESBER	ESPINOZA	615 NW 21 AVENUE APT 4	26-Jun-2024		COPS	2216	
512526	309672	$1,259.56	CESAR AUGUSTO	VILLANUEVA APARCANA	LA TINGUINA 318	26-Jun-2024		COPS	2216	
193104	309673	$3,618.00	FELIX	FERRER	16246 SW 303 ST	26-Jun-2024		COPS	2216	
97322	309674	$3,600.00	ROBERTO	ALVAREZ	3370 NW 34TH ST	26-Jun-2024		COPS	2216	
486939	309675	$2,000.00	MARIA	RIVERO	5911 NW 3RD ST	26-Jun-2024		COPS	2216	
580593	309678	$1,200.00	GIOACCHINO	ANDOLLINA	2150 N BAYSHORE DR APT 1405	26-Jun-2024		COPS	2216	
17532	309679	$1,917.50	GUSTAVO	QUIROS PLUSKA	4101 NW 187TH ST	26-Jun-2024		COPS	2216	
2499	309680	$1,920.00	ABEL	GONZALEZ	21573 SW 124TH PL	26-Jun-2024		COPS	2216	
296083	309681	$1,425.70	CECILIA	SOBRINO RODRIGUEZ	12211 SW 185 TER	26-Jun-2024		COPS	2216	
2499	309682	$2,450.00	ABEL	GONZALEZ	21573 SW 124TH PL	26-Jun-2024		COPS	2216	
512526	309683	$1,664.10	CESAR AUGUSTO	VILLANUEVA APARCANA	LA TINGUINA 318	26-Jun-2024		COPS	2216	
108400	3096835	$1,250.00	OLIVIA	MUNOZ	3290 NW 18 ST	27-Jun-2024		COPS	2259	
2499	309686	$4,200.00	ABEL	GONZALEZ	21573 SW 124TH PL	26-Jun-2024		COPS	2216	
146145	309687	$2,500.00	FERNANDO	FUENTES	14281 SW 37 ST	26-Jun-2024		COPS	2216	
17532	309688	$1,290.00	GUSTAVO	QUIROS PLUSKA	4101 NW 187TH ST	26-Jun-2024		COPS	2216	
17532	309689	$1,225.00	GUSTAVO	QUIROS PLUSKA	4101 NW 187TH ST	26-Jun-2024		COPS	2257	

Jackpot Slip Report

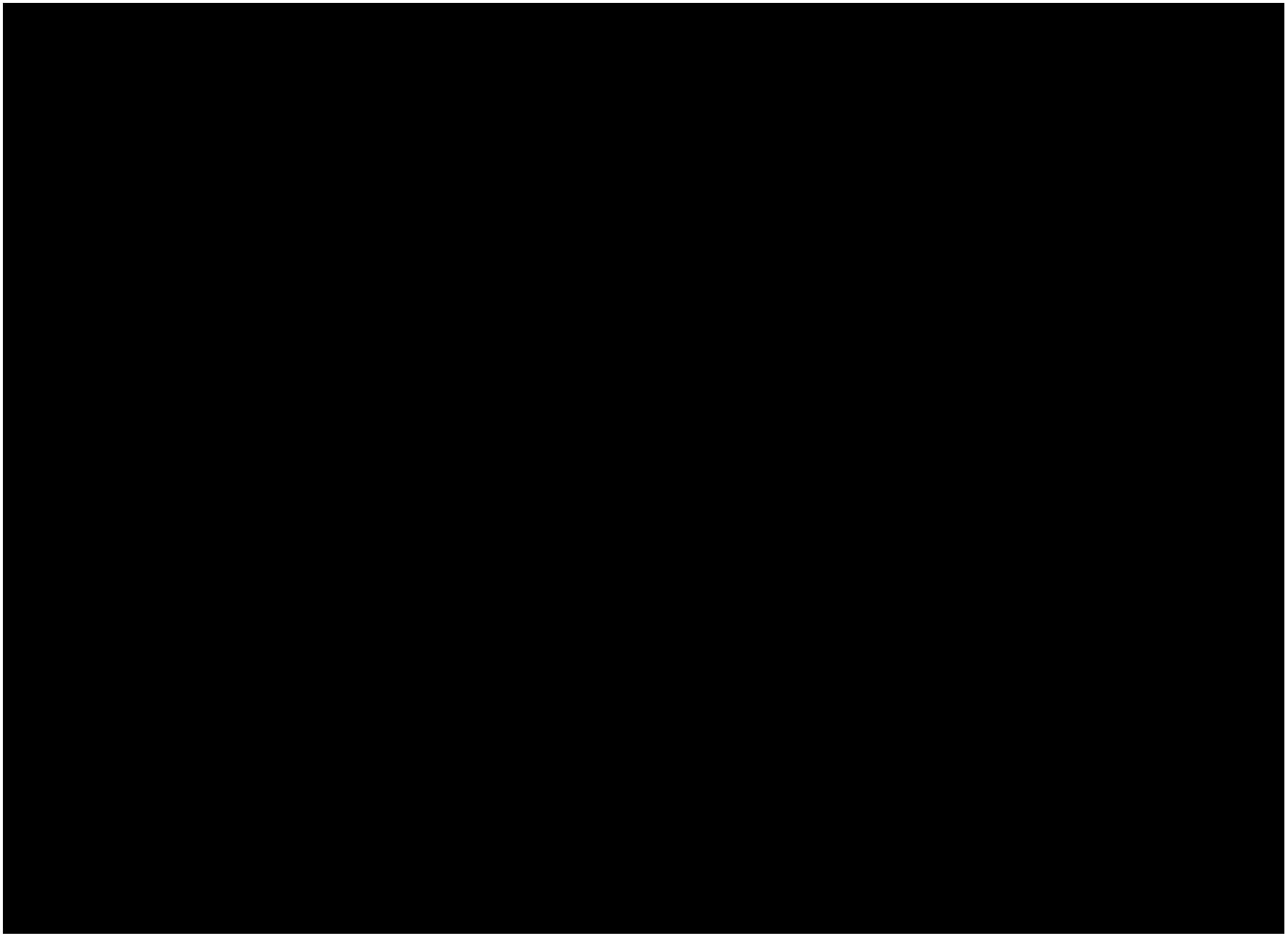
Slip Date/Time From : 23/6/2024 09:00:00 EDT To : 30/6/2024 08:59:59 EDT

Sequence	Time	Date	ASSET	Stand	Denom	Org Amount ($)	Slip Amount ($)	Window	Sh	Process Flag	Status Flag
Jackpot Type	Emp ID	Employee Name	Winning Comb		Pay Line	Cash Amount ($)	Cheque Amount ($)	APJP Net Amount ($)		APJP Tax Amount ($)	
Coins Played	Player Name		Slip Terminal	Slip Terminal Printer		Authorized By	Slot Attendant Id				
309680	16:26:14 EDT	26/6/2024	03271	EM05	0.01	1,920.00	1,920.00	JP	S	NORMAL	PROCESSED
REGULAR	02216	Maura Godinez	bns		60	1,920.00	0.00	1,920.00		0.00	
60			CM-SA-WS01	EPSON TM-U220 Receipt		-	-				
309681	16:31:17 EDT	26/6/2024	03390	CD02	0.01	1,425.70	1,425.70	JP	D	NORMAL	PROCESSED
PROGRESSIVE	02203	Oswin Paguada	5 KINGS		5	1,425.70	0.00	1,425.70		0.00	
5			CM-SA-WS02	EPSON TM-U220 Receipt		-	-				
309682	16:44:02 EDT	26/6/2024	03653	BH04	0.05	2,450.00	2,450.00	jp	S	NORMAL	PROCESSED
REGULAR	02216	Maura Godinez	bns		25	2,450.00	0.00	2,450.00		0.00	
25			CM-SA-WS01	EPSON TM-U220 Receipt		-	-				
309683	17:02:54 EDT	26/6/2024	03655	AB02	0.01	1,664.10	1,664.10	jp	D	NORMAL	PROCESSED
REGULAR	02257	Ingrid Suarez	BNS		60	1,664.10	0.00	1,664.10		0.00	
60			CM-SA-WS02	EPSON TM-U220 Receipt		-	-				
309684	17:03:43 EDT	26/6/2024	02584	DI05	0.01	1,350.72	1,350.72	jp	S	NORMAL	PROCESSED
CANCEL CREDIT	02216	Maura Godinez	cmpo		99	1,350.72	0.00	1,350.72		0.00	
99			CM-SA-WS01	EPSON TM-U220 Receipt		-	-				

EXHIBIT # 3
PAGE # 1

Select Only for Jackpots: All; Reports On : All Jackpots; Area: ALL; Current Att-pd Jackpot Seq No: 310,284; Login: ALL; Min Slip Amount: ALL; Slip Terminal: ALL; Skip Headers and Page Breaks: No; Promo Jackpots: Yes; DataSource: Report Server (2022-01-01 to Date); Report Shows: All Jackpots; Player Card: ALL; (Sorting on: 'Sequence,Denom,Slip Terminal,

EXHIBIT # 4
PAGE # 1



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PAGE # 2

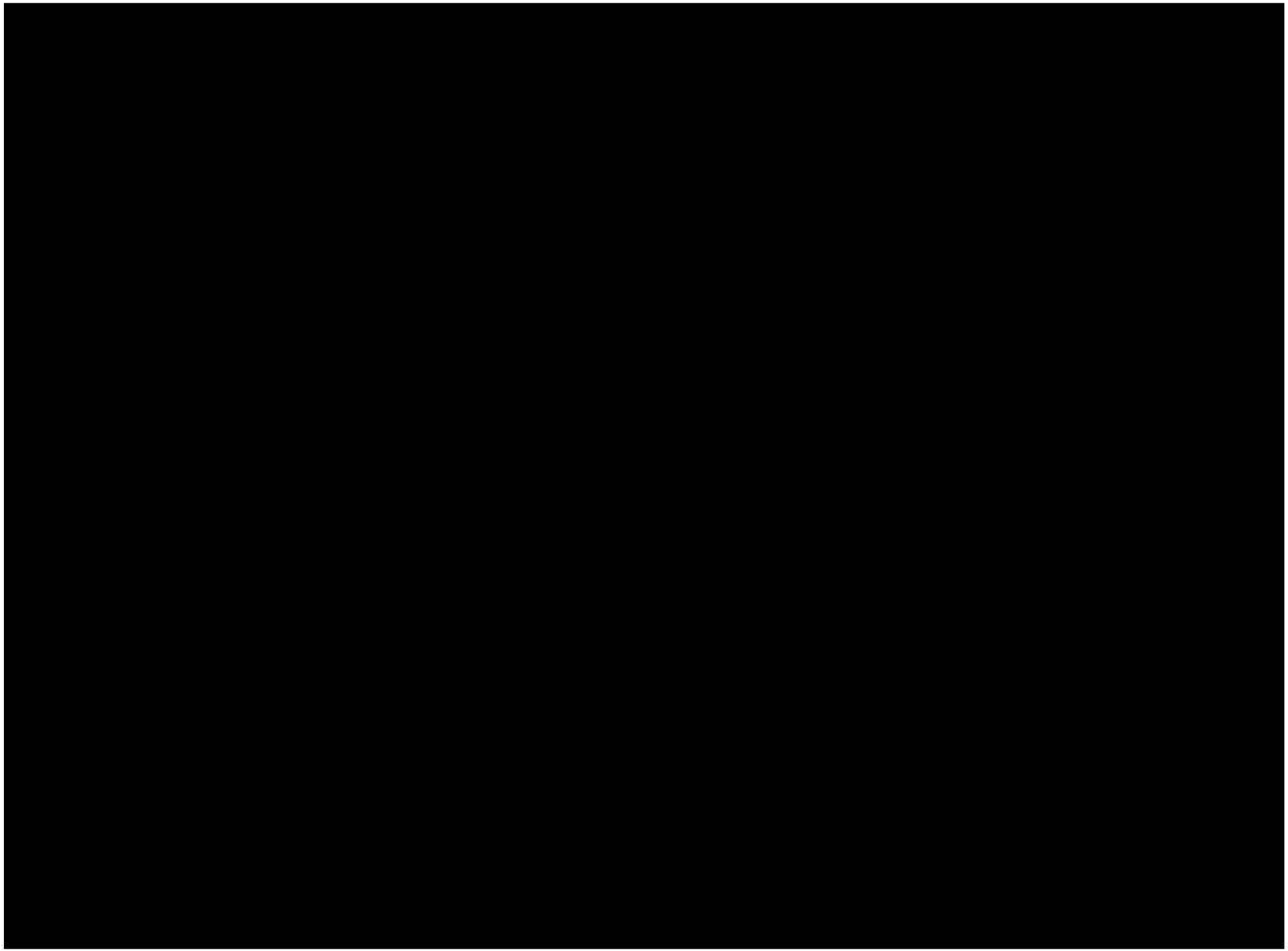
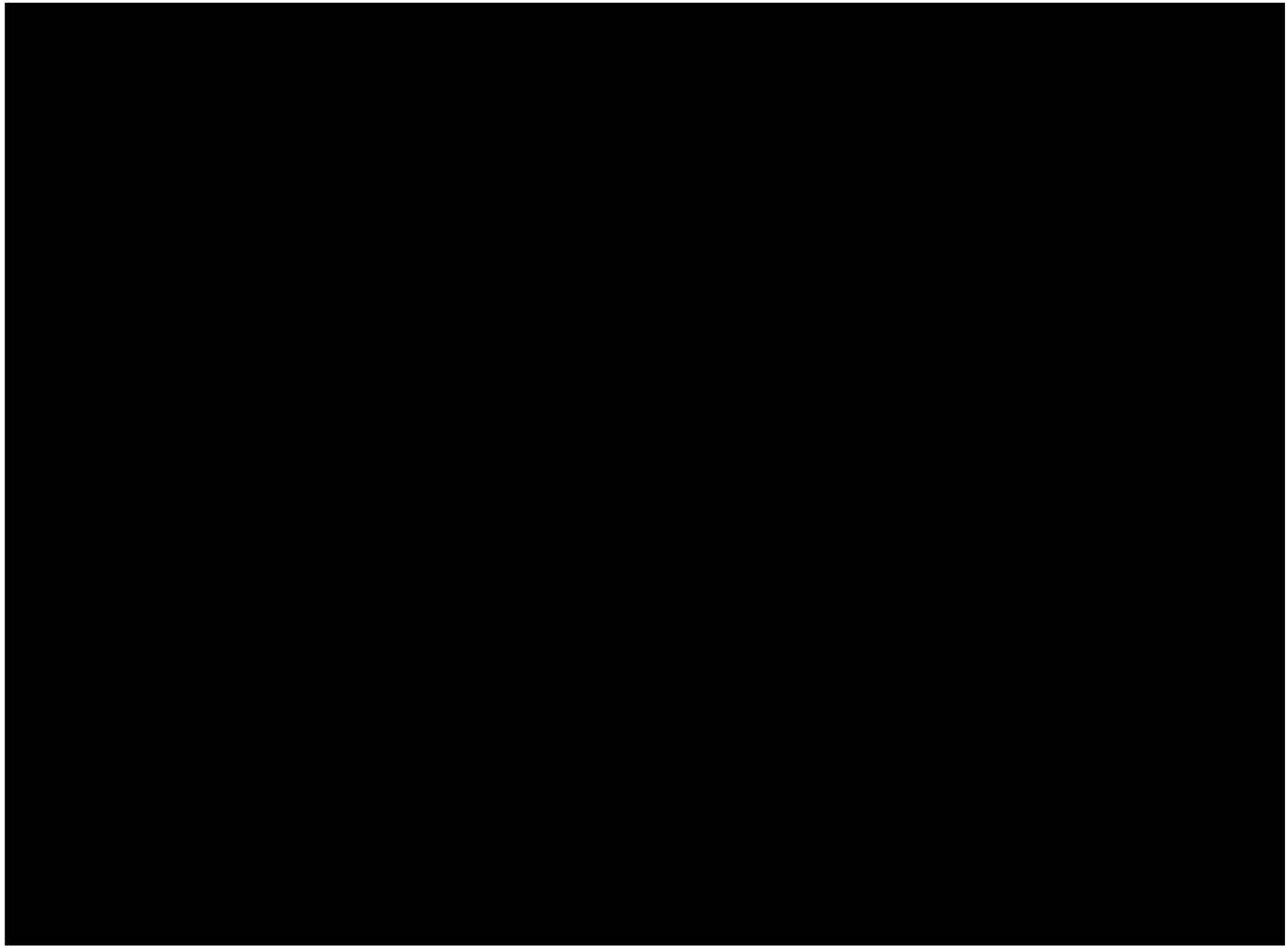
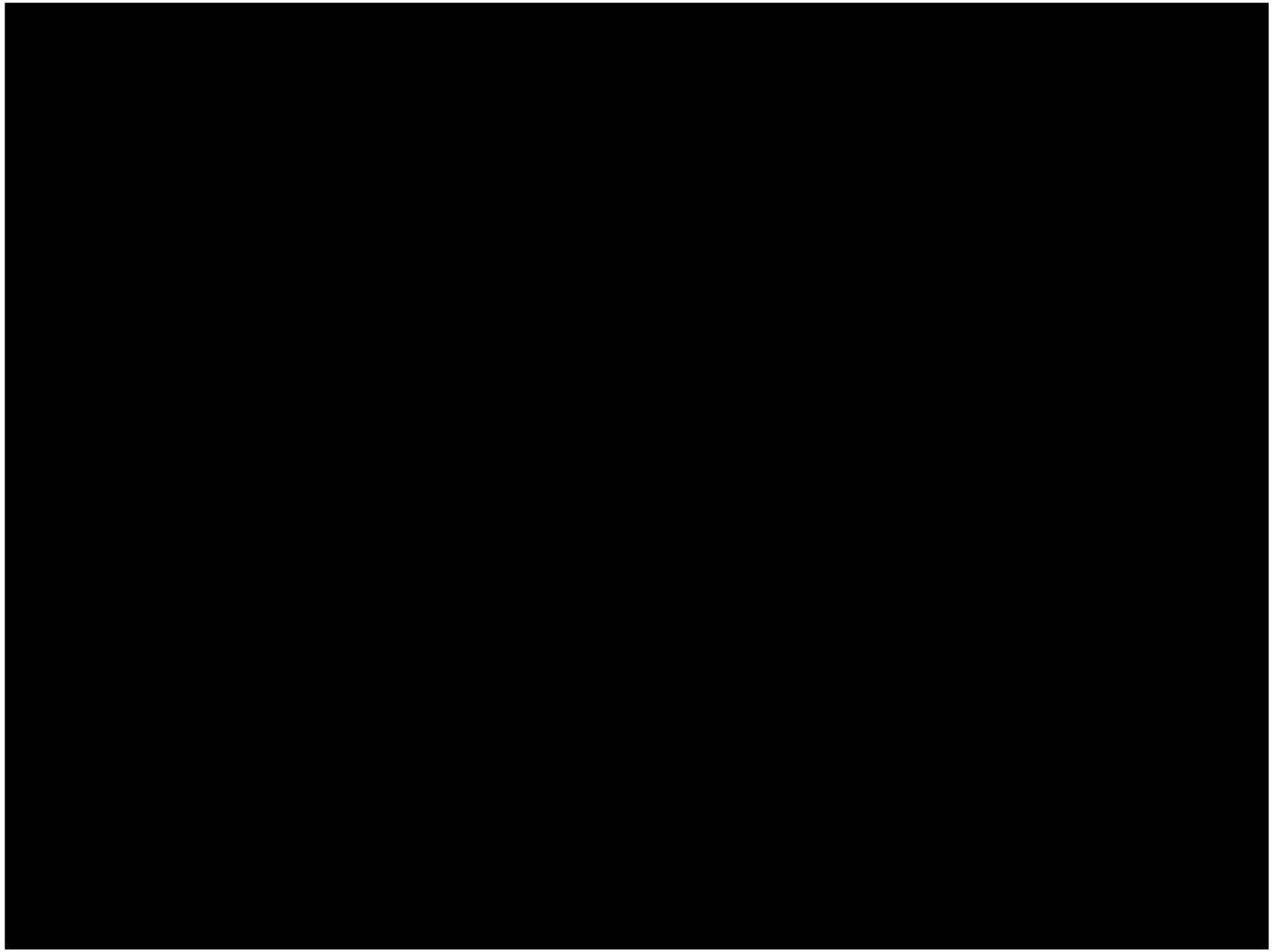


EXHIBIT # 5
PAGE # 1

EXHIBIT # 5
PAGE # 2





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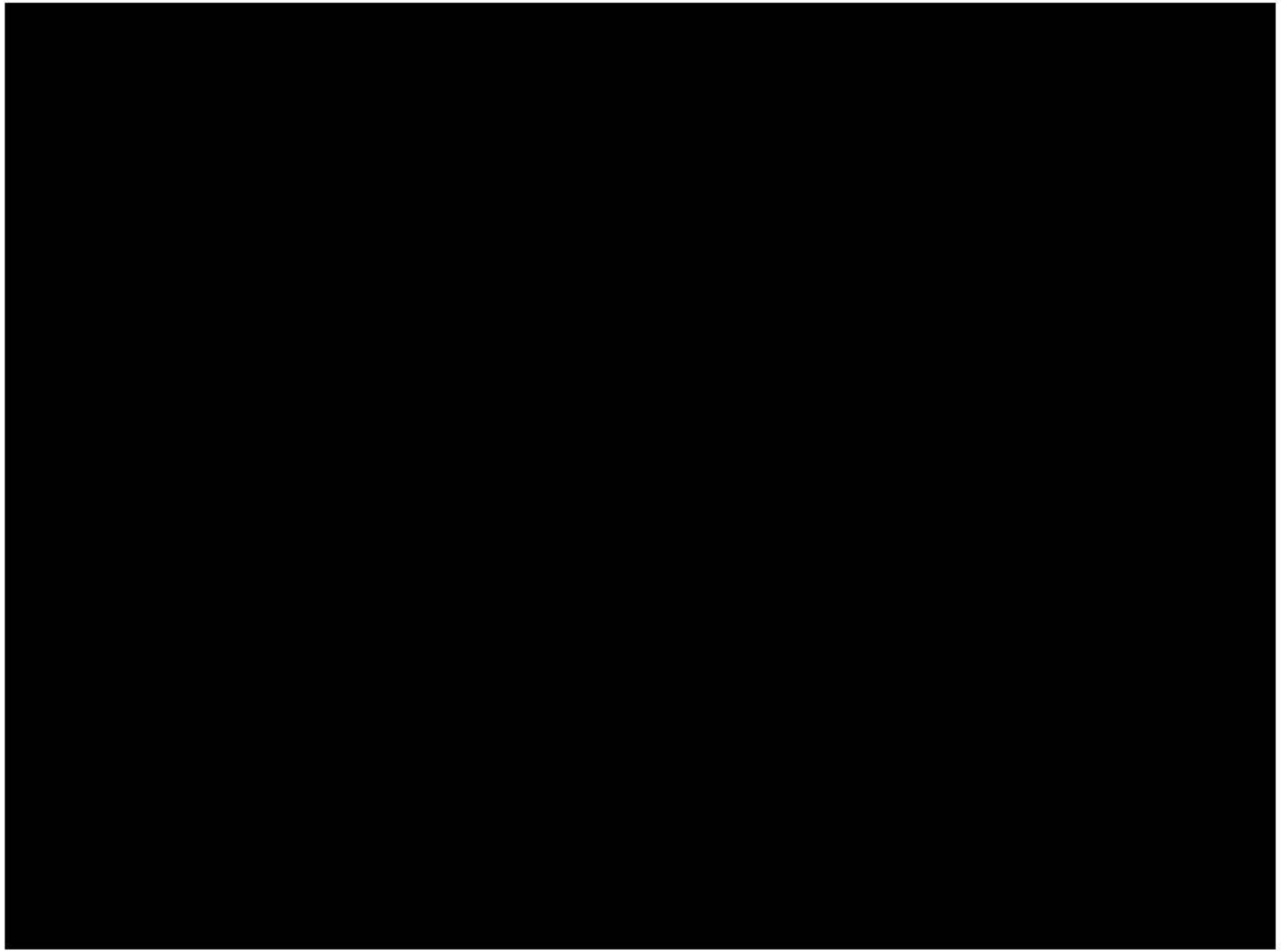


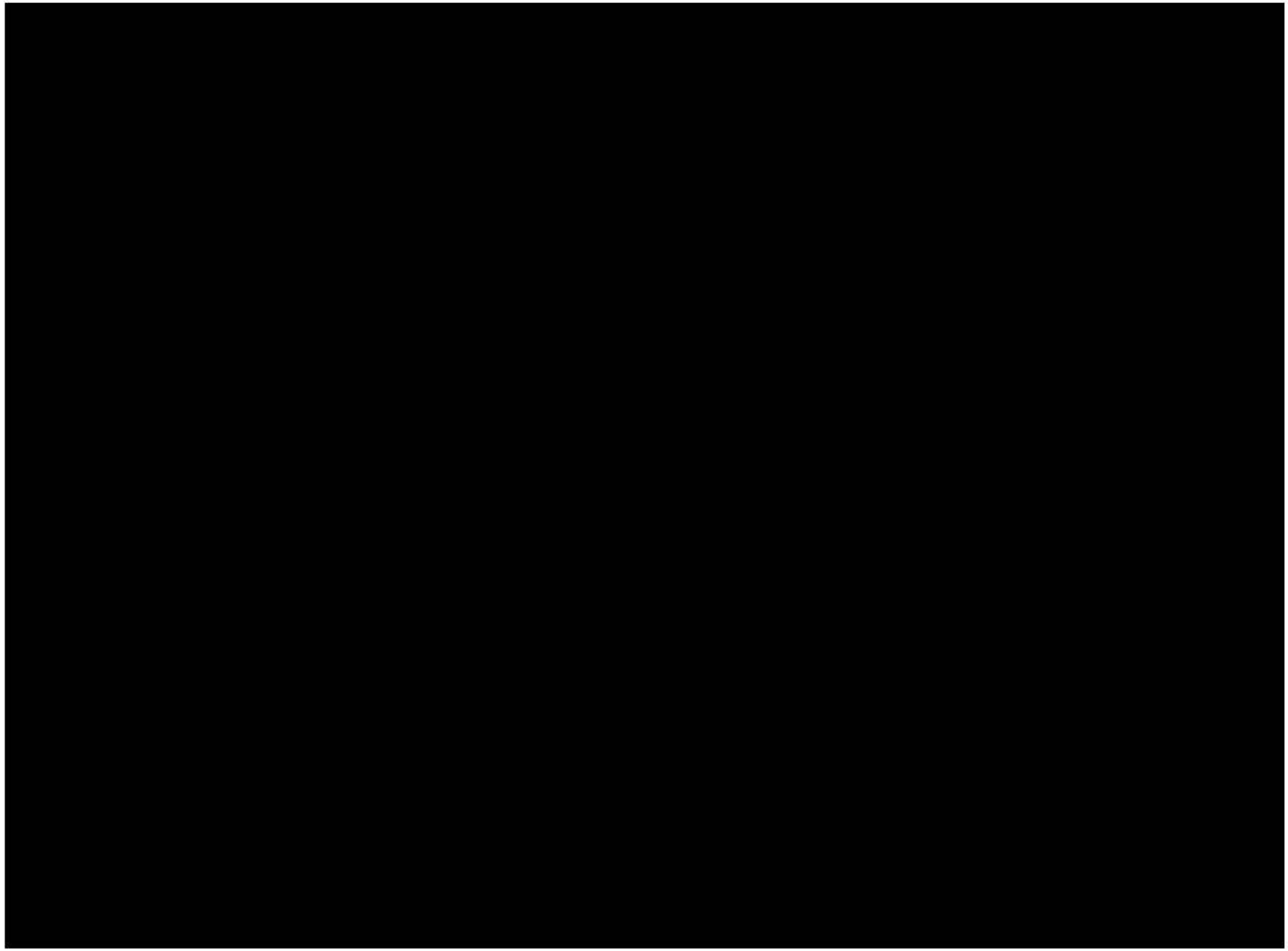
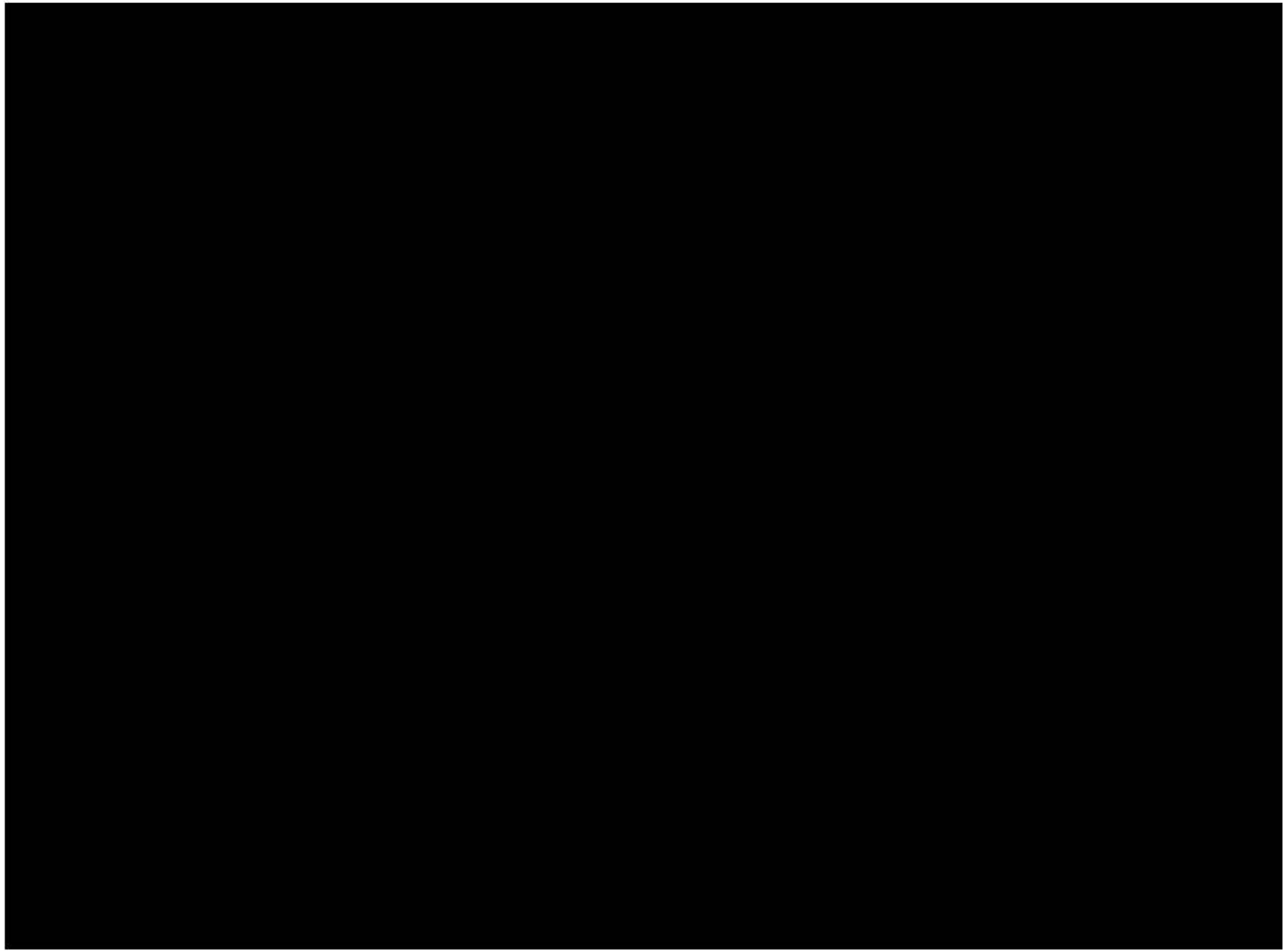
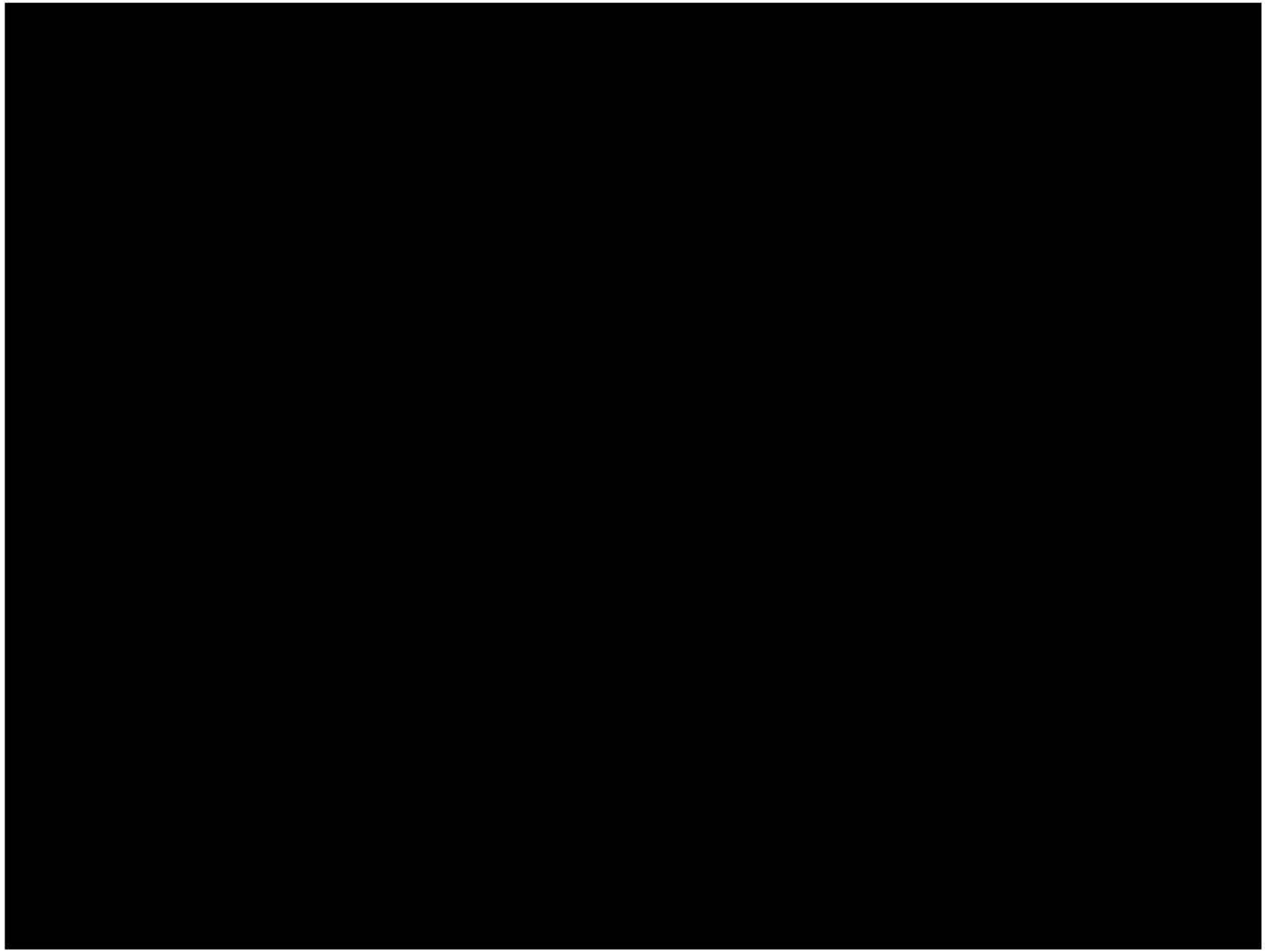


EXHIBIT # 5
PAGE # 6





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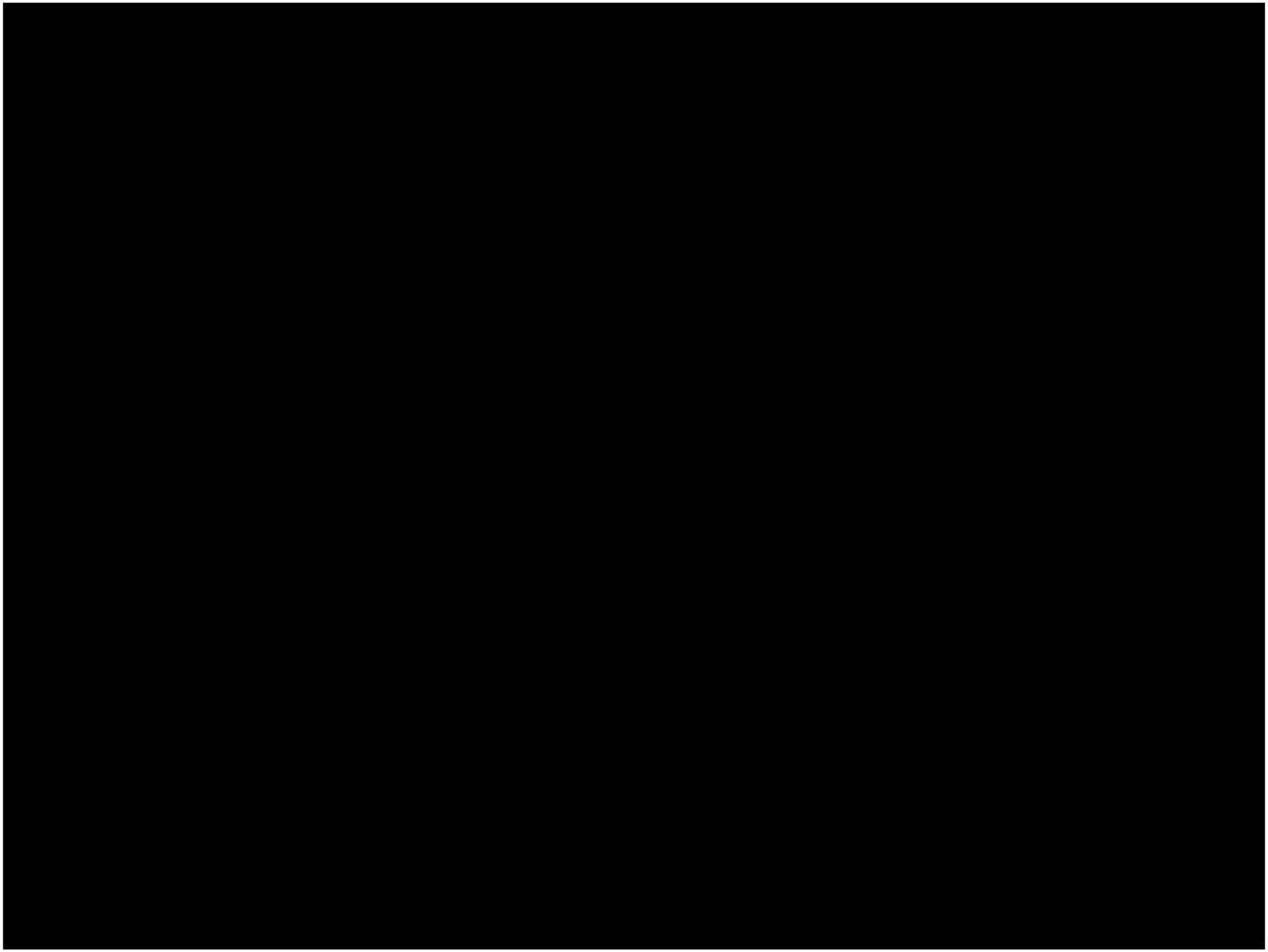
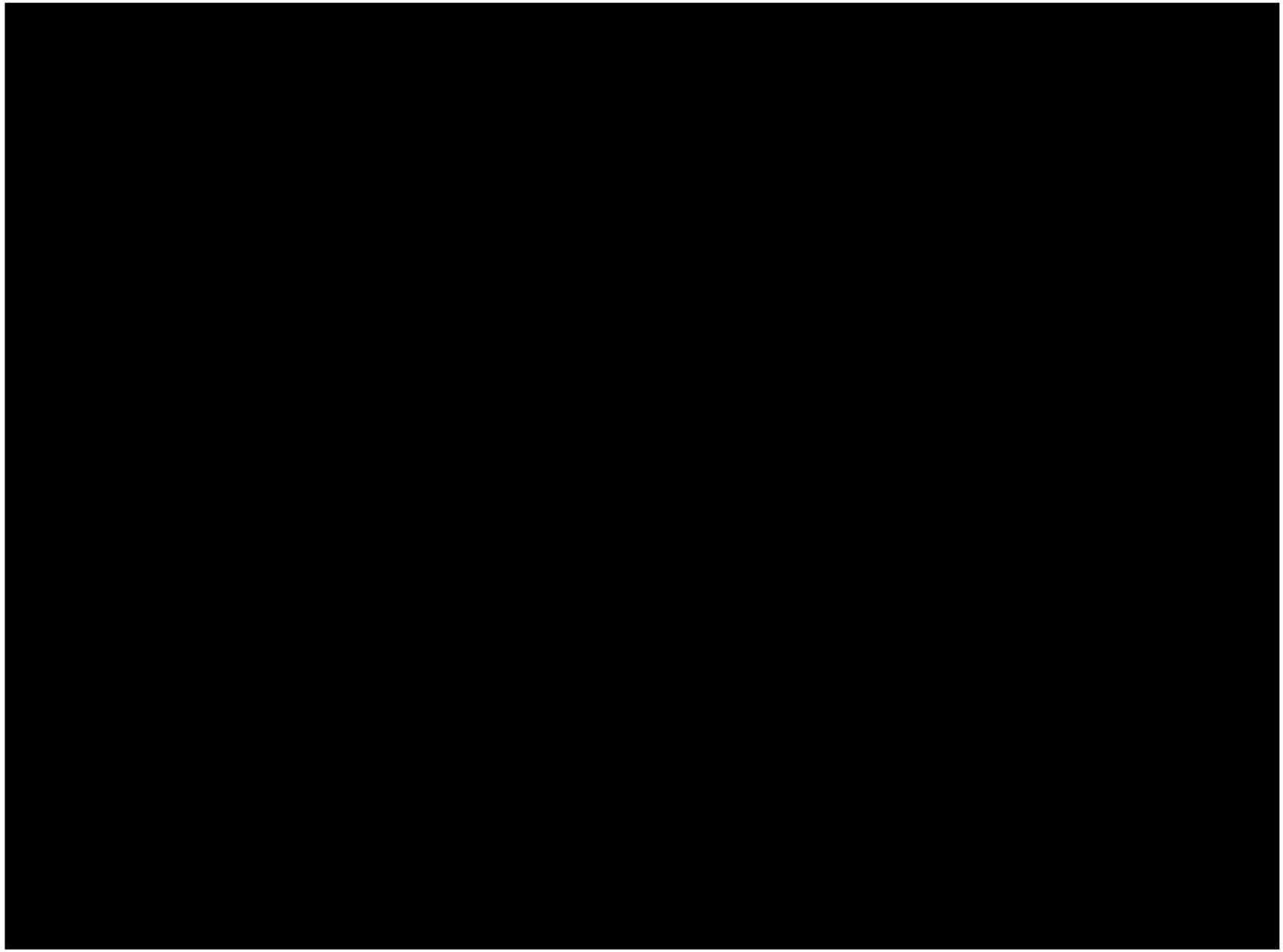
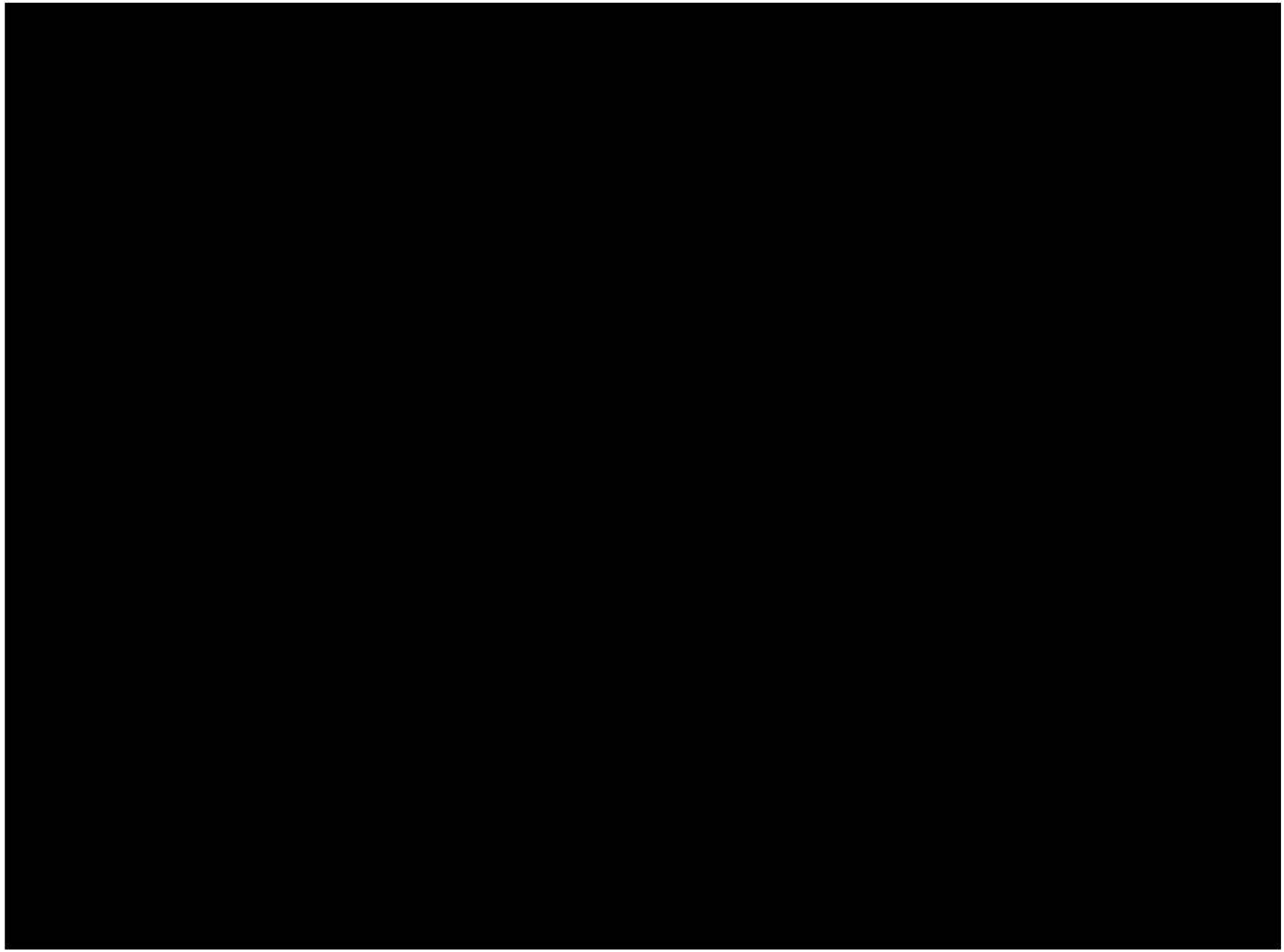
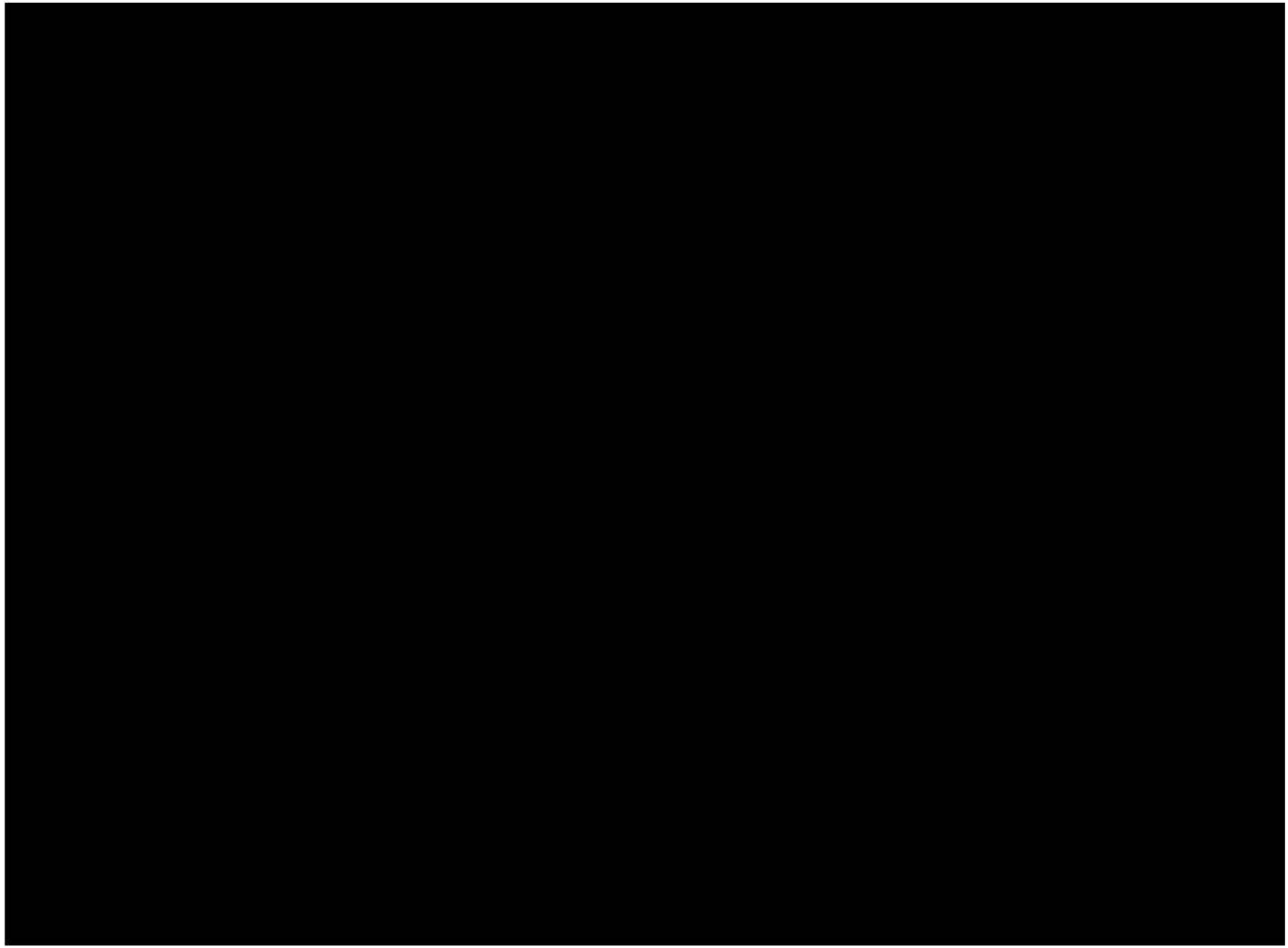
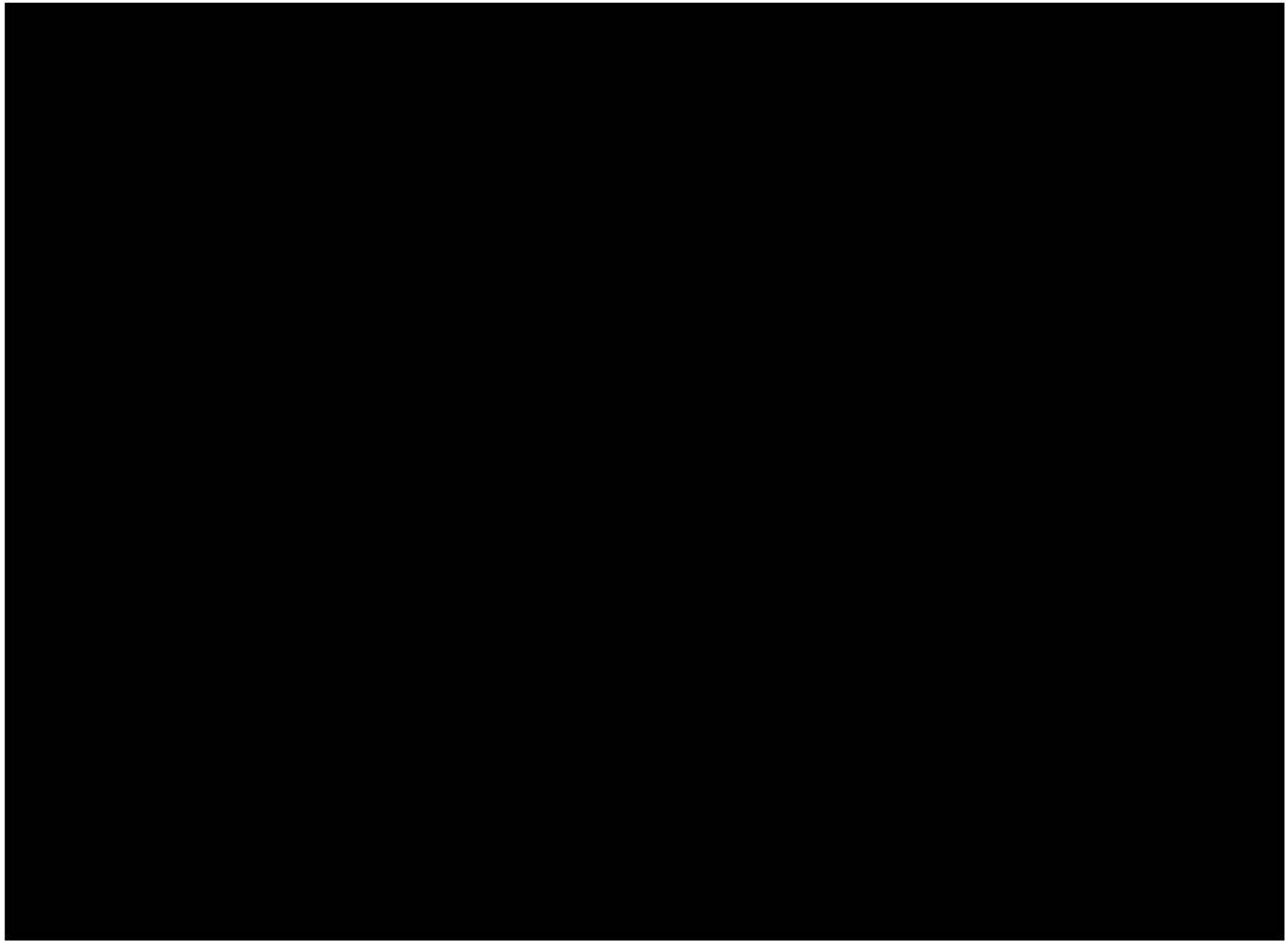
PAGE # 8

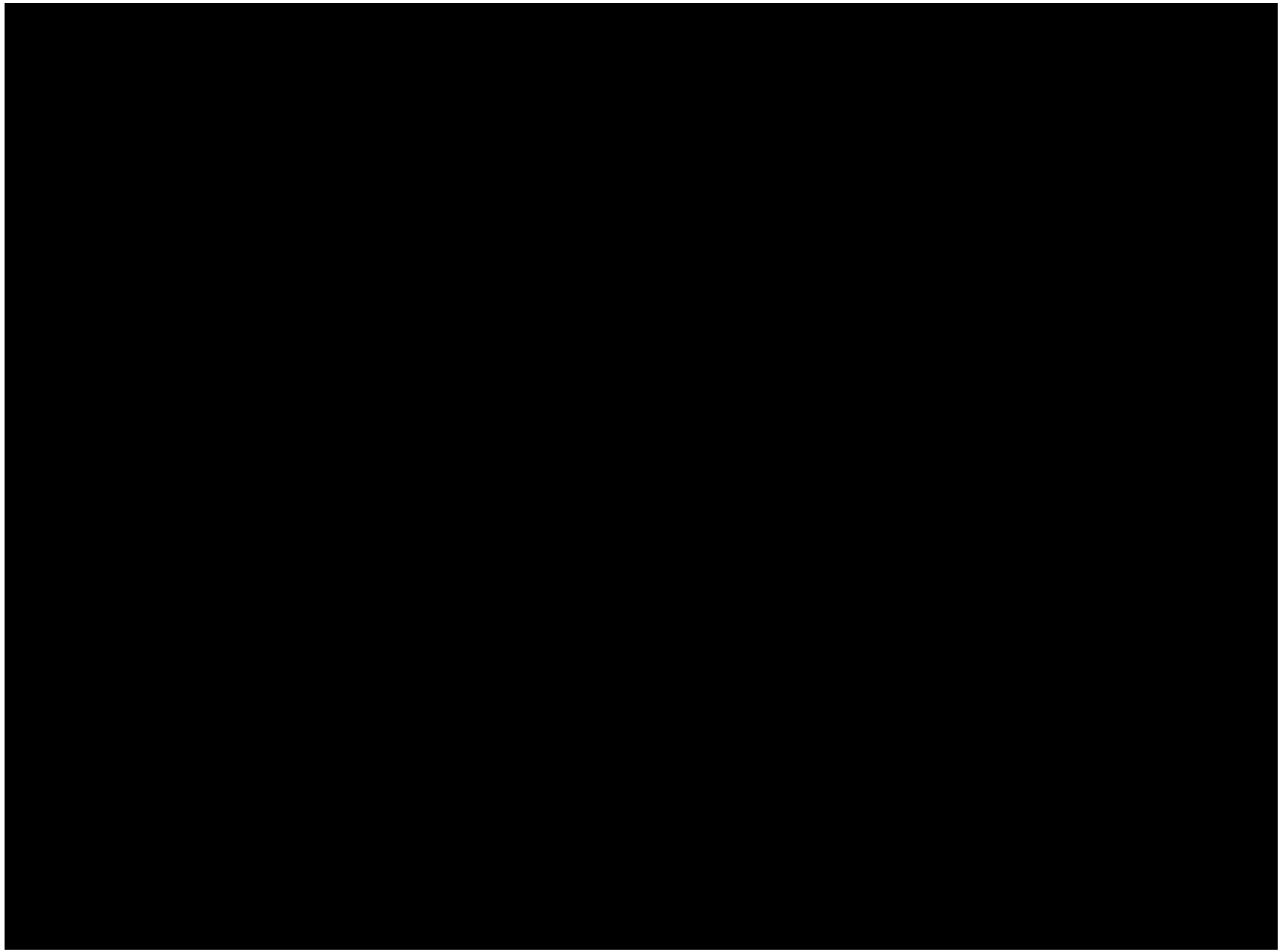


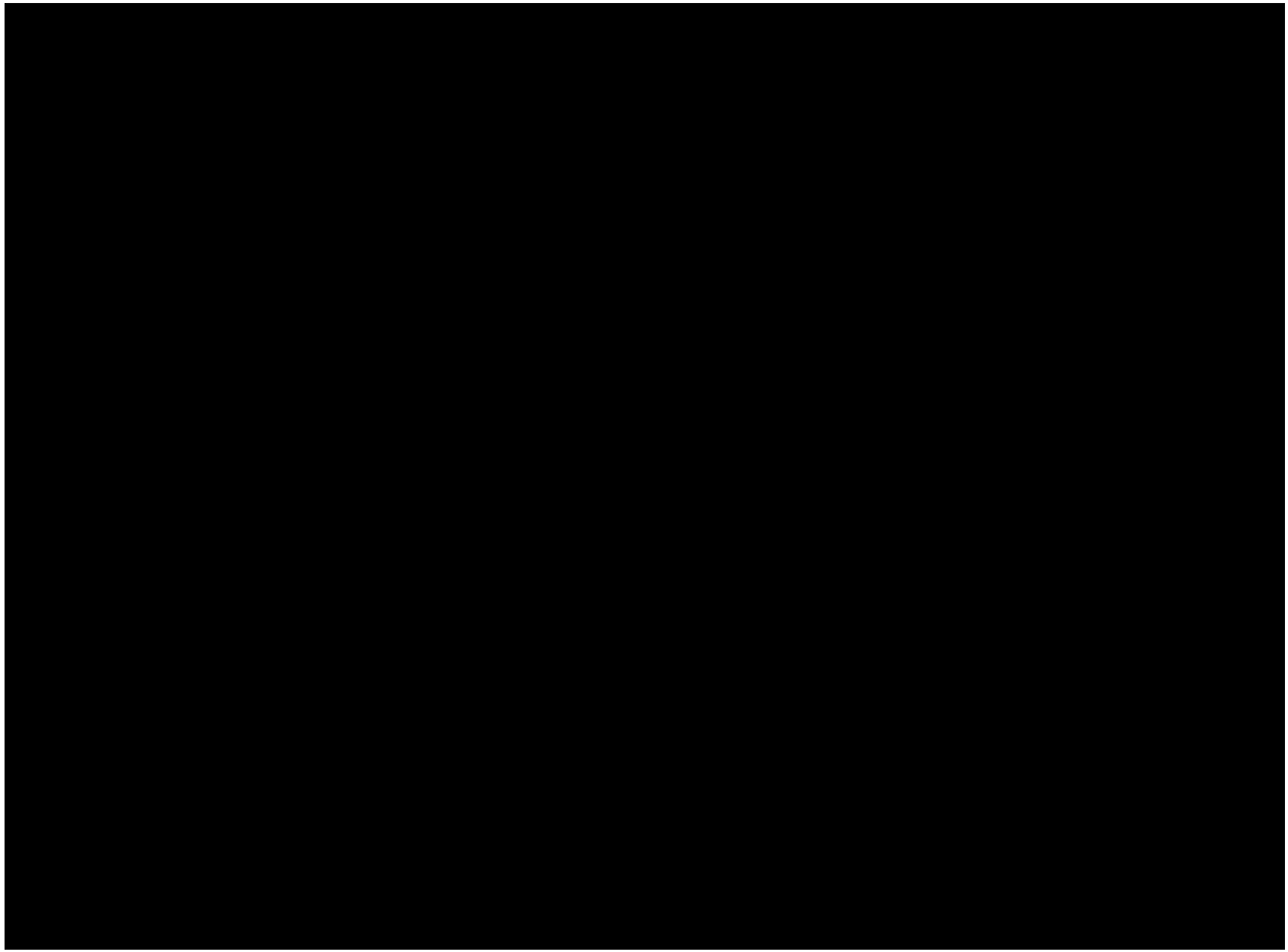


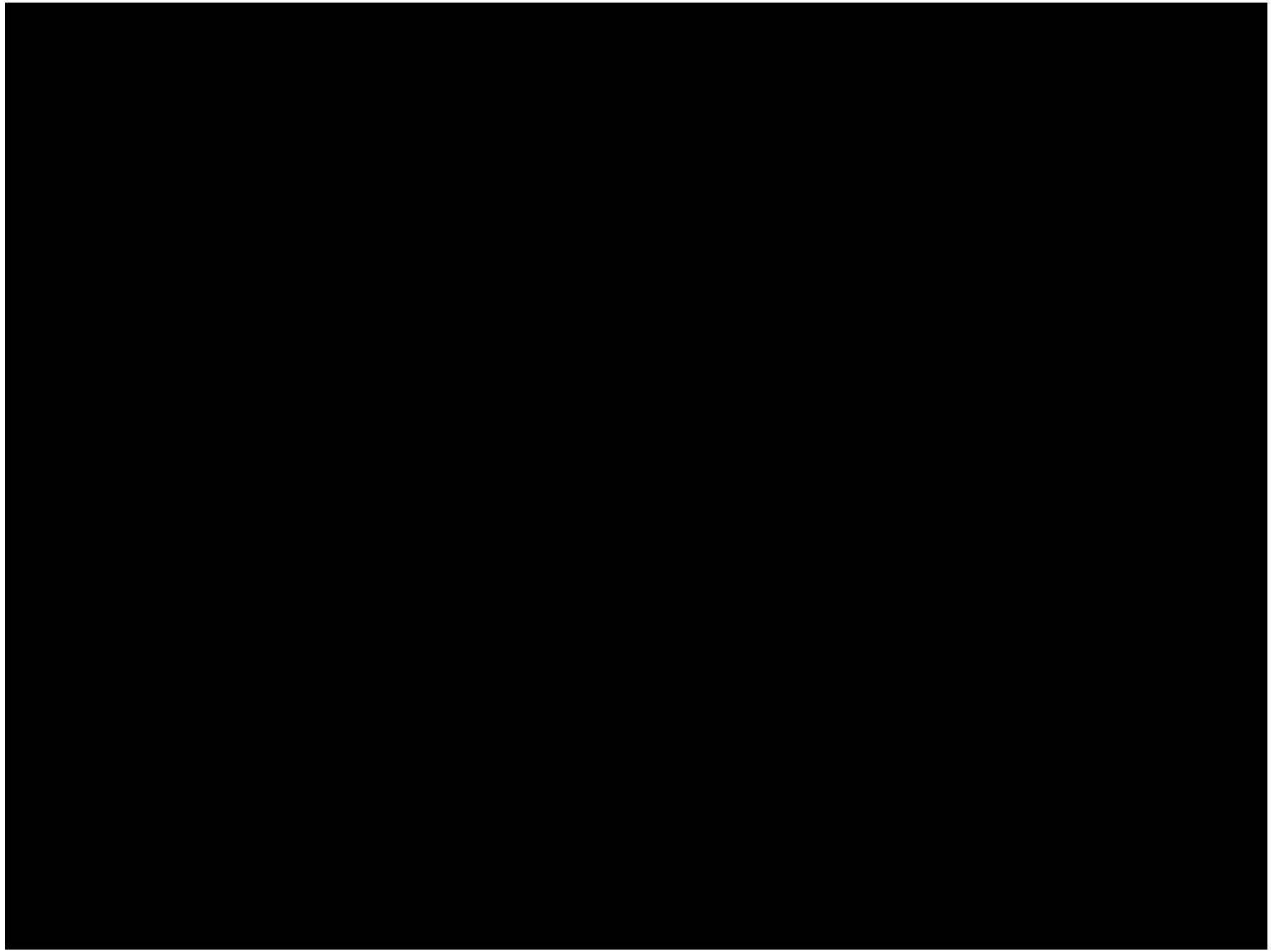


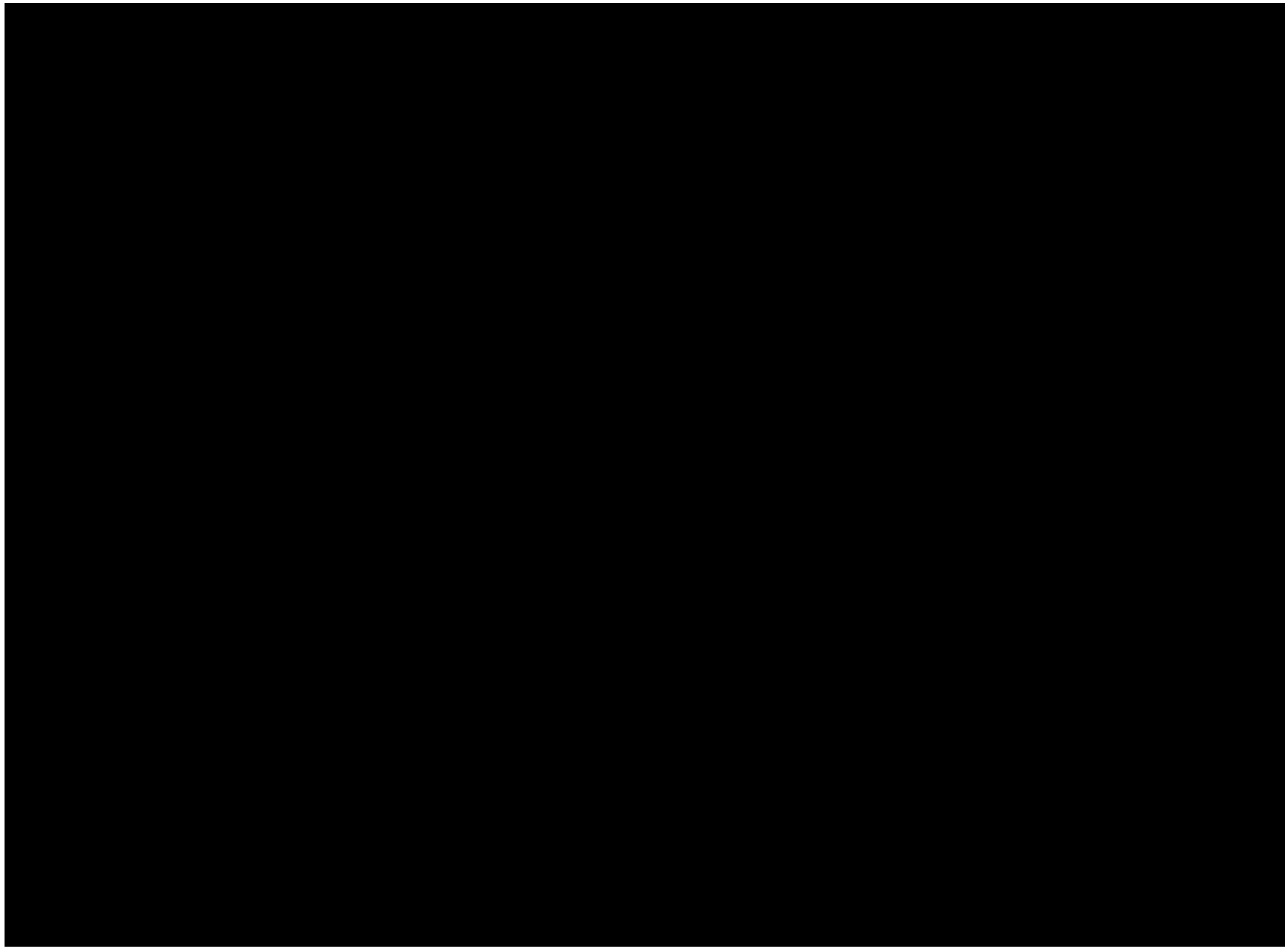


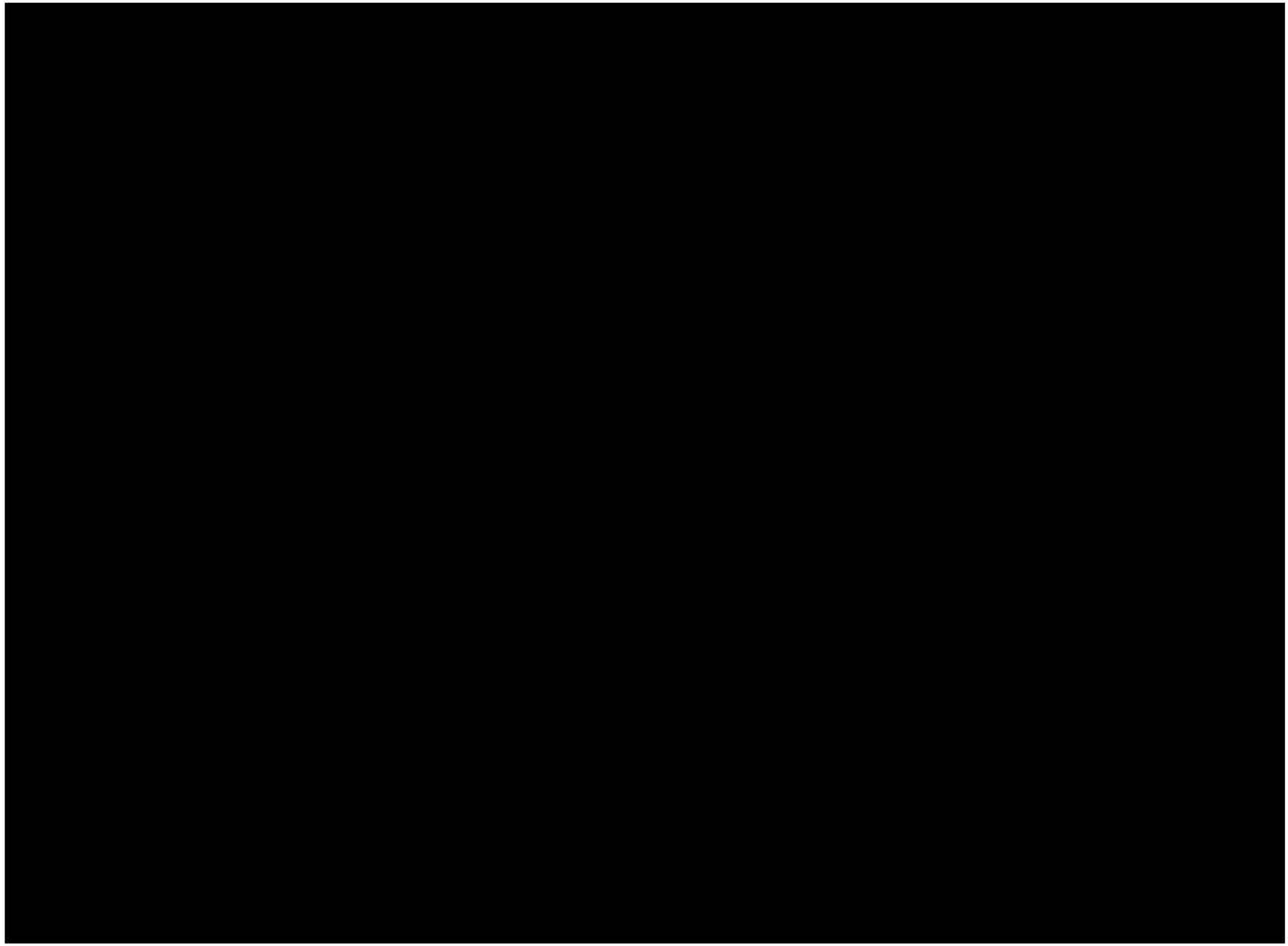












CMJ-000002704 - Patron - Self Excluded - Permanent - Reported 10/29/2023 21:47

Author: Dontrell Allen 12644399
Report Number: CMJ-000002704
Start Time: 10/29/2023 21:47
End Time: 10/29/2023 22:17
Report Group: Exclusion Reports
Report Type: Patron - Self Excluded - Permanent
Note: Permanent Self Exclusion
Location / Origin: Security Office Casino

Person

Name: Ingrid S Concepcion Pujol
Alias:
Race: Hispanic
Gender: Female
Eye color: Brown
Hair color: Black
Height: 5'04" Inches
Weight: 135 Pounds
DOB: [redacted] (49)
Keywords: Permanent Exclusion,Self-Exclusion
Drivers Lic#: [redacted] Resident Card
Comments: Ingrid S. Concepcion Pujol
1600 NW North River Dr Apt 202
Miami, FL 33125
[redacted] Resident Card
Ph: 786-290-9084
PC# 401991
Ht: 5'4
Wt: 135lbs
Hr: Black
Eyes: Brown

[redacted]

Narrative

Confidential

On Sunday October 29, 2023 at approximately 2133hrs this writer, S4 Allen, was called to the Employee Breakroom door for patron wanting to self-exclude.

Upon arrival, the patron stated that she would like to be permanently excluded. She was then escorted to SOC after notifying surveillance and MPD.

This writer verified by the Permanent Resident Card (208-038-904), that the patron is Ms. Ingrid S. Concepcion Pujol.
Ms. Concepcion Pujol stated that the reason for her request was because of money loss.

At 2136hrs , Ms. Concepcion Pujol understood and signed the self-exclusion form. The information was placed in the self-exclusion log and all the appropriate notifications were made.

At approximately 2138hrs, Ms. Concepcion Pujol was escorted off Casino Miami property by MPD Ofc. Vasquez #07305.

Surveillance was informed of Ms. Concepcion Pujol's departure from Casino Miami.

Confidential

Signature A **Date**

Signature B **Date**

Casino Miami Jai-Alai - 3500 NW 37th Ave. Miami, FL 33142
(305) 633-6400 - casinomiamijaialai.com

[12/18/2024 14:31:13] Matching Reports

Rev	Log Number	Author	Report Type	Report Number	Occurred	End Time
2	000752817	Sem Louisma 13129234	Slot Machine Jackpot 1200 - 4999 Log		23:49:00	23:59:54
	06/26/2024 - **Location / Origin:** Slot Machine Bank AP - **Camera:** 195 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine AP-04-3539 for the amount of $1,260.00					
2	000752815	Sem Louisma 13129234	Slot Machine Jackpot 1200 - 4999 Log		23:33:00	23:50:26
	06/26/2024 - **Location / Origin:** Slot Machine Bank KF - **Camera:** 185 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine KF-08-3562 for the amount of $1,260.00					
2	000752814	Sem Louisma 13129234	Slot Machine Jackpot 1200 - 4999 Log		23:22:00	23:50:25
	06/26/2024 - **Location / Origin:** Slot Machine Bank KF - **Camera:** 185 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine KF-08-3562 for the amount of $1,926.00					
2	000752813	Sem Louisma 13129234	Slot Machine Jackpot 1200 - 4999 Log		23:00:00	23:22:50
	06/26/2024 - **Location / Origin:** Slot Machine Bank BK - **Camera:** 178 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine BK-11-3553 for the amount of $2,500.00					
2	000752812	Sem Louisma 13129234	Slot Machine Jackpot 1200 - 4999 Log		22:59:00	23:22:48
	06/26/2024 - **Location / Origin:** Slot Machine Bank BK - **Camera:** 178 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine BK-09-3551 for the amount of $1,250.00					
3	000752811	Jeffry Rocky Bissainthe 10317256	Escort Employee Log		22:54:00	22:56:00
	06/26/2024 - **Location / Origin:** Poker Cage to Casino cage - **Camera:** 809,154 - MPD Officer Chijioke Anyanwu advised via radio of escorting Cage Cashier Dannier Martinez Rosabal from Poker Cage to Casino Cage					
2	000752809	Sem Louisma 13129234	Slot Machine Jackpot 1200 - 4999 Log		22:50:00	23:01:51
	06/26/2024 - **Location / Origin:** Slot Machine Bank AB - **Camera:** 207 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine AB-04-3657 for the amount of $1,684.36					
2	000752808	Sem Louisma 13129234	Slot Machine Jackpot 1200 - 4999 Log		22:49:00	23:01:51
	06/26/2024 - **Location / Origin:** Slot Machine Bank BK - **Camera:** 178 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine BK-09-3551 for the amount of $2,250.00					
2	000752807	Sem Louisma 13129234	Slot Machine Jackpot 1200 - 4999 Log		22:46:00	22:51:14
	06/26/2024 - **Location / Origin:** Slot Machine Bank BK - **Camera:** 178 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine BK-09-3551 for the amount of $1,250.00					
2	000752806	Sem Louisma 13129234	Slot Machine Jackpot 1200 - 4999 Log		22:38:00	22:43:24
	06/26/2024 - **Location / Origin:** Slot Machine Bank BK - **Camera:** 178 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine BK-09-3551 for the amount of $1,250.00					
3	000752805	Jeffry Rocky Bissainthe 10317256	Escort Employee Log		22:25:00	22:27:00
	06/26/2024 - **Location / Origin:** Poker Cage to Casino cage - **Camera:** 809,154 - MPD Officer Cherie Bryant advised via radio of escorting Cage Cashier Dannier Martinez Rosabal from Poker Cage to Casino Cage					
2	000752803	Sem Louisma 13129234	Slot Machine Jackpot 1200 - 4999 Log		22:25:00	22:39:02
	06/26/2024 - **Location / Origin:** Slot Machine Bank IB - **Camera:** 177 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine IB-05-3235 for the amount of $1,680.00					
3	000752800	Kazim Khan 12788255	Slot Machine Jackpot 1200 - 4999 Log		22:20:00	22:57:07
	06/26/2024 - **Location / Origin:** Slot Machine Bank BI - **Camera:** 177 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine IB-05-3235 for the amount of $2,160.00					
1	000752802	Sem Louisma 13129234	Employee - On and Off Duty Log		22:00:00	06:00:00
	06/26/2024 - **Location / Origin:** Surveillance Room - **Camera:** 346 - Surveillance Operator Sem Louisma on duty					
1	000752801	Kazim Khan 12788255	Employee - On and Off Duty Log		22:00:00	06:00:00
	06/26/2024 - **Location / Origin:** Surveillance Room - **Camera:** 346 - Surveillance Operator , Kazim Khan on duty					
2	000752797	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log		21:55:00	22:01:04
	06/26/2024 - **Location / Origin:** Slot Machine Bank JN - **Camera:** 166 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine JN-04-3592 for the amount of $1,224.00					
2	000752796	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log		21:54:00	22:01:00
	06/26/2024 - **Location / Origin:** Slot Machine Bank BK - **Camera:** 178 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine BK-07-3549 for the amount of $1,375.00					
2	000752795	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log		21:48:00	22:01:01
	06/26/2024 - **Location / Origin:** Slot Machine Bank AP - **Camera:** 192 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine AP-04-3539 for the amount of $1,420.00					
2	000752794	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log		21:24:00	21:49:44
	06/26/2024 - **Location / Origin:** Slot Machine Bank AL - **Camera:** 193 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine AL-07-3285 for the amount of $3,471.41					
2	000752792	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log		20:17:00	20:23:10
	06/26/2024 - **Location / Origin:** Slot Machine Bank AE - **Camera:** 206 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine AE-06-2789 for the amount of $1,788.30					
2	000752791	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log		20:14:00	20:23:08

06/26/2024 - **Location / Origin:** Slot Machine Bank JD - **Camera:** 133 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine JD-07-3712 for the amount of $1,617.00

2	000752788	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	19:50:00	19:57:13

06/26/2024 - **Location / Origin:** Slot Machine Bank DC - **Camera:** 152 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine DC-07-3732 for the amount of $2,100.00

2	000752787	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	19:31:00	19:42:48

06/26/2024 - **Location / Origin:** Slot Machine Bank BG - **Camera:** 187 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine BG-03-3662 for the amount of $1,438.75

2	000752786	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	19:27:00	19:42:47

06/26/2024 - **Location / Origin:** Slot Machine Bank BH - **Camera:** 187 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine BH-01-3650 for the amount of $2,675.00

2	000752785	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	19:24:00	19:42:46

06/26/2024 - **Location / Origin:** Slot Machine Bank BG - **Camera:** 187 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine BG-05-3664 for the amount of $1,885.00

2	000752798	Adrian Gordon 11117304	Ticket Redemption Unit Escort Log	19:14:00	20:13:00

06/26/2024 - **Location / Origin:** Casino Cage to TRU - **Camera:** 154, 175, 33, 38, 207, 204, 131, 018, 017, 101, 007, 001, 002, 143 - MPD Officer, Cherie Bryant advised via radio that she would be escorting Cage Cashier Maria Teresa Perez Llopiz from main cage to TRU #1, 2, 3, 4, 5, 6, 7 and 8 for refill.

2	000752783	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	19:13:00	19:17:00

06/26/2024 - **Location / Origin:** Slot Machine Bank BG - **Camera:** 187 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine BG-05-3664 for the amount of $1,350.00

2	000752780	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	18:45:00	19:12:52

06/26/2024 - **Location / Origin:** Slot Machine Bank KQ - **Camera:** 157 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine KQ-09-2045 for the amount of $1,250.00

2	000752779	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	18:39:00	19:12:50

06/26/2024 - **Location / Origin:** Slot Machine Bank AF - **Camera:** 203 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine AF-05-3030 for the amount of $1,825.60

2	000752778	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	18:13:00	18:30:26

06/26/2024 - **Location / Origin:** Slot Machine Bank BH - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine BH-01-3650 for the amount of $1,225.00

2	000752776	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	17:51:00	17:56:19

06/26/2024 - **Location / Origin:** Slot Machine Bank BH - **Camera:** 187 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine BH-04-3653 for the amount of $1,290.00

2	000752775	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	17:47:00	17:56:20

06/26/2024 - **Location / Origin:** Slot Machine Bank BK - **Camera:** 178 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine BK-12-3554 for the amount of $2,500.00

2	000752774	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	17:40:00	17:52:49

06/26/2024 - **Location / Origin:** Slot Machine Bank BG - **Camera:** 187 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine BG-06-3665 for the amount of $4,200.00

2	000752771	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	17:00:00	17:08:19

06/26/2024 - **Location / Origin:** Slot Machine Bank DI - **Camera:** 145 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine DI-05-2584 for the amount of $1,350.72

3	000752772	Adrian Gordon 11117304	Armor Car Escort Log	16:58:00	17:18:00

06/26/2024 - **Location / Origin:** Armor Car bay to Main and Chip Bank - **Camera:** 502, 503, 504, 506, 560, 402, 338, 405, 411, 402 - Security Officer, Jose Antonio Jackson and Security Officer, Yesenia Caridad Manzano advised via radio that they will be escorting Armor Car Guard from Armor Car to Main Bank

2	000752770	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	16:58:00	17:08:18

06/26/2024 - **Location / Origin:** Slot Machine Bank AB - **Camera:** 209 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine AB-02-3655 for the amount of $1,664.10

3	000752769	Armando Coss 14015859	Slot Machine Jackpots From 00 01 to 1199 99	16:53:00	16:59:51

06/26/2024 - **Location / Origin:** Slot Machine Bank KI - **Camera:** 177 - Slot Attendant, Oswin Paguada notified Surveillance via radio of a Jackpot at slot machine KI-06-1985 for the amount of $17.75

2	000752768	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	16:43:00	16:49:49

06/26/2024 - **Location / Origin:** Slot Machine Bank BH - **Camera:** 187 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine BH-04-3653 for the amount of $2,450.00

2	000752767	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	16:27:00	16:29:33

06/26/2024 - **Location / Origin:** Slot Machine Bank CD - **Camera:** 162 - Slot Attendant, Oswin Paguada notified Surveillance via radio of a Jackpot at slot machine CD-02-3390 for the amount of $1,425.70

3	000752766	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	16:22:00	16:37:22

06/26/2024 - **Location / Origin:** Slot Machine Bank EM - **Camera:** 103 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine EM-05-3271 for the amount of $1,920.00

2	000752765	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	16:06:00	16:29:24

06/26/2024 - **Location / Origin:** Slot Machine Bank AB - **Camera:** 209 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine AB-03-3656 for the amount of $1,917.50

2	000752764	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	15:56:00	16:09:22

06/26/2024 - **Location / Origin:** Slot Machine Bank JL - **Camera:** 155 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine JL-03-3384 for the amount of $1,200.00

2	000752763	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	15:34:00	15:43:11

06/26/2024 - **Location / Origin:** Slot Machine Bank AD - **Camera:** 209 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine AD-02-3598 for the amount of $2,000.00

3	000752762	Adrian Gordon 11117304	Escort Employee Log	15:25:00	15:27:00

06/26/2024 - **Location / Origin:** Casino Cage Laundry - **Camera:** 092 - Security Officer, Jazmine Nicole Bryant notified Surveillance via radio and advised that she would be escorting EVS Janitorial Staff, Miriam Ayorra into the casino cage in reference of dropping off count team uniforms from laundry

3	000752761	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	15:23:00	15:38:16

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 861 - Slot Attendant, Oswin Paguada notified Surveillance via radio of a Jackpot at slot machine IA-04-3736 for the amount of $3,618.00

3	000752760	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	15:22:00	15:38:16

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 861 - Slot Attendant, Oswin Paguada notified Surveillance via radio of a Jackpot at slot machine IA-03-3735 for the amount of $3,600.00

2	000752759	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	15:18:00	15:31:39

06/26/2024 - **Location / Origin:** Slot Machine Bank AG - **Camera:** 206 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine AG-12-3679 for the amount of $1,259.56

2	000752758	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	15:00:00	15:13:04

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 861 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-03-3735 for the amount of $3,600.00

2	000752757	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	14:55:00	14:56:50

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 861 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-01-3733 for the amount of $3,600.00

2	000752756	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	14:41:00	14:56:44

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 861 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-03-3735 for the amount of $3,600.00

2	000752755	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	14:35:00	14:58:12

06/26/2024 - **Location / Origin:** Slot Machine Bank BG - **Camera:** 187 - Slot Attendant, Oswin Paguada notified Surveillance via radio of a Jackpot at slot machine BG-06-3665 for the amount of $2,747.00

2	000752754	Adrian Gordon 11117304	Slot Machine Jackpot 1200 - 4999 Log	14:34:00	14:53:01

06/26/2024 - **Location / Origin:** Slot Machine Bank IB - **Camera:** 213 - Slot Attendant, Maura Godinez notified surveillance via radio of a jackpot at slot machine IB-02-3243 for the amount of $3,943.22

2	000752753	Armando Coss 14015859	Slot Machine Jackpot 1200 - 4999 Log	14:34:00	14:56:46

06/26/2024 - **Location / Origin:** Slot Machine Bank IG - **Camera:** 125 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IG-04-3163 for the amount of $1,488.21

2	000752752	Armando Coss 14015859	Slot Machine Jackpots From 00 01 to 1199 99	14:29:00	14:33:28

06/26/2024 - **Location / Origin:** Slot Machine Bank IG - **Camera:** 125 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IG-06-3165 for the amount of $124.25

2	000752749	Adrian Gordon 11117304	Slot Machine Jackpot 1200 - 4999 Log	14:22:00	14:33:27

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 861 - Slot Attendant, Maura Godinez notified surveillance via radio of a jackpot at slot machine IA-04-3736 for the amount of $3,600.00

2	000752747	Adrian Gordon 11117304	Slot Machine Jackpot 1200 - 4999 Log	14:17:00	14:33:27

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 213 - Slot Attendant, Maura Godinez notified surveillance via radio of a jackpot at slot machine IA-08-3740 for the amount of $3,600.00

2	000752748	Armando Coss 14015859	Slot Machine Jackpot 5000 - 9999 Log	14:15:00	14:33:26

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 861 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-03-3735 for the amount of $5,400.00

3	000752746	Armando Coss 14015859	Slot Machine Jackpot 5000 - 9999 Log	14:13:00	14:33:25

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 213 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-01-3733 for the amount of $5,400.00

1	000752745	Adrian Gordon 11117304	Vendor and Visitor Log	14:00:00	22:00:00

06/26/2024 - **Location / Origin:** No Vendors or Visitors reported on property - **Camera:** 345 - (00) Vendors or (00) Visitors checking in at the Security Office

1	000752744	Adrian Gordon 11117304	Employee - On and Off Duty Log	14:00:00	22:00:00

06/26/2024 - **Location / Origin:** Surveillance Room - **Camera:** 346 - Surveillance Assistant Manager, Adrian Gordon On Duty

1	000752743	Armando Coss 14015859	Equipment Check Log	14:00:00	14:05:00

06/26/2024 - **Location / Origin:** Surveillance Equipment MDF - **Camera:** 347 - Surveillance Equipment Check

1	000752742	Armando Coss 14015859	Employee - On and Off Duty Log	14:00:00	22:00:00

06/26/2024 - **Location / Origin:** Surveillance Room - **Camera:** 346 - Surveillance Operator Armando Coss On Duty

4	000752741	Rafael Torres 10169408	Slot Machine Ram Clear Log	13:40:00	13:52:00

06/26/2024 - **Location / Origin:** Slot Machine Bank AO - **Camera:** 192 - Slot Technician Manager, Eliud Torres Gonzalez advised via radio that he was conducting a Ram Clear at slot machine AO-05-3437. FGCC Pari-Mutual Operation Specialist Jackelin Rodriguez present.

2	000752740	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	13:38:00	13:52:28

06/26/2024 - **Location / Origin:** Slot Machine Bank BH - **Camera:** 183 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine BH-08-3424 for the amount of $3,010.00

3	000752739	Angelo Pardo 13169581	Escort Employee Log	13:31:00	13:34:00

06/26/2024 - **Location / Origin:** Casino Cage to Poker Cage - **Camera:** 154,175,802 - MPD Officer, Jeane Petitcar advised via radio that he will be escorting cage cashier Kathereen Racedo from Main cage to poker cage.

3	000752735	Rafael Torres 10169408	Slot Machine Jackpot 5000 - 9999 Log	13:06:00	13:27:00

06/26/2024 - **Location / Origin:** Slot Machine Bank KQ - **Camera:** 177 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine KQ-03-2039 for the amount of $5,237.13

2	000752734	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	13:05:00	13:27:30

06/26/2024 - **Location / Origin:** Slot Machine Bank KI - **Camera:** 180 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine KI-08-1979 for the amount of $1,717.59

3	000752736	Rafael Torres 10169408	Slot Machine Ram Clear Log	13:03:00	13:40:00

06/26/2024 - **Location / Origin:** Slot Machine Bank JI - **Camera:** 148 - Slot Technician Manager, Eliud Torres Gonzalez advised via radio that he was conducting a Ram Clear at slot machine JI-02-3805. FGCC Pari-Mutual Operation Specialist Jackelin Rodriguez present.

2	000752733	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	12:56:00	13:27:29

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 213 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-01-3733 for the amount of $1,800.00

2	000752732	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	12:55:00	13:27:28

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 213 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-08-3740 for the amount of $1,800.00

7	000752729	Rafael Torres 10169408	Ticket Redemption Unit Escort Log	12:46:00	13:29:00

06/26/2024 - **Location / Origin:** Casino Cage to TRU - **Camera:** 207,157,147 - MPD Officer MPD Officer, Jeane Petitcar notified Surveillance via radio of escorting Cage Cashier, Nahriyah Narvinia Beharry to TRU #'s 5 and 6 for refill

2	000752728	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	12:45:00	13:27:23

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 213 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-06-3738 for the amount of $4,500.00

2	000752727	Rafael Torres 10169408	Slot Machine Jackpot 5000 - 9999 Log	12:45:00	13:27:22

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 213 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-07-3739 for the amount of $5,400.00

2	000752726	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	12:45:00	13:27:22

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 157 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-04-3736 for the amount of $3,600.00

2	000752725	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	12:44:00	13:27:21

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 157 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-03-3735 for the amount of $3,600.00

2	000752724	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	12:43:00	13:27:20

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 157 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-05-3737 for the amount of $3,600.00

3	000752723	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	12:38:00	13:27:19

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 157 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-05-3737 for the amount of $1,800.00

3	000752721	Rafael Torres 10169408	Slot Machine Ram Clear Log	12:25:00	12:33:00

06/26/2024 - **Location / Origin:** Slot Machine Bank IE - **Camera:** 149 - Slot Technician Manager, Eliud Torres Gonzalez advised via radio that he was conducting a Ram Clear at slot machine IE-01-3804. FGCC Pari-Mutual Operation Specialist Jackelin Rodriguez present.

2	000752720	Angelo Pardo 13169581	Money - Verify Bank Deposit Log	12:25:00	12:35:00

06/26/2024 - **Location / Origin:** Casino Cage Main Bank - **Camera:** 411 - Cage Supervisor, Hugo Allan Howell Perez notified Surveillance via telephone and advised that he would be verifying the main bank deposit, Shortly thereafter, Mr. Howell Perez advised that he was finished verifying the deposit

2	000752719	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	12:23:00	12:33:27

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 213 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-08-3740 for the amount of $3,600.00

2	000752718	Angelo Pardo 13169581	Money - Verify Bank Deposit Log	12:19:00	12:25:07

06/26/2024 - **Location / Origin:** Casino Cage Chip Bank - **Camera:** 322 - Cage Supervisor, Hugo Allan Howell Perez notified Surveillance via telephone and advised that he would be verifying the chip bank deposit, Shortly thereafter, Mr. Howell Perez advised that he was finished verifying the deposit

2	000752717	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	12:14:00	12:23:50

06/26/2024 - **Location / Origin:** Slot Machine Bank KO - **Camera:** 170,169 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine KO-05-2812 for the amount of $1,308.08

2	000752715	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	12:06:00	12:23:50

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 157 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-05-3737 for the amount of $1,800.00

2	000752714	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	12:05:00	12:23:49

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 213 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-01-3733 for the amount of $1,800.00

2	000752713	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	12:05:00	12:23:48

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 213 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-08-3740 for the amount of $2,700.00

2	000752712	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	12:03:00	12:23:48

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 157 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-07-3739 for the amount of $2,700.00

2	000752711	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	12:02:00	12:23:47

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 157 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-06-3738 for the amount of $1,800.00

2	000752710	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	11:59:00	12:20:09

06/26/2024 - **Location / Origin:** Slot Machine Bank IB - **Camera:** 157 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IB-04-3242 for the amount of $1,521.00

4	000752716	Angelo Pardo 13169581	Escort Vendor or Visitor Log	11:58:00	12:27:00

06/26/2024 - **Location / Origin:** Information-Vendor I.T. Service Activity - **Camera:** 802 - Security Officer, Adrian Santiago will be escorting IT Everi Technician inside the poker cage for software update.

2	000752709	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	11:57:00	12:20:07

06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 157 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-04-3736 for the amount of $1,800.50

2	000752708	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	11:56:00	12:20:06
	06/26/2024 - **Location / Origin:** Slot Machine Bank IA - **Camera:** 861 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IA-03-3735 for the amount of $1,800.00				
2	000752707	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	11:54:00	12:20:05
	06/26/2024 - **Location / Origin:** Slot Machine Bank IF - **Camera:** 125 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine IF-09-2227 for the amount of $1,500.00				
3	000752706	Rafael Torres 10169408	Escort Vendor or Visitor Log	11:38:00	11:55:00
	06/26/2024 - **Location / Origin:** Information-Vendor Slot Technician Activity - **Camera:** 1676 - Slot Technician, Alberto Salazar advised that he was opening slot machine CD-09-3682 for Vendor, Azor Estimable for trobleshooting				
2	000752703	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	11:15:00	11:29:07
	06/26/2024 - **Location / Origin:** Slot Machine Bank BG - **Camera:** 187 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine BG-03-3662 for the amount of $1,525.00				
2	000752702	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	11:14:00	11:29:07
	06/26/2024 - **Location / Origin:** Slot Machine Bank BG - **Camera:** 187 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine BG-05-3664 for the amount of $1,237.50				
2	000752701	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	10:38:00	11:14:39
	06/26/2024 - **Location / Origin:** Slot Machine Bank BK - **Camera:** 185 - Slot Attendant, Maura Godinez notified Surveillance via radio of a Jackpot at slot machine BK-03-3681 for the amount of $4,530.60				
2	000752700	Angelo Pardo 13169581	Slot Machine Jackpot 1200 - 4999 Log	10:15:00	10:32:23
	06/26/2024 - **Location / Origin:** Slot Machine Bank CE - **Camera:** 162 - Slot Attendant, Oswin Paguada notified Surveillance via radio of a Jackpot at slot machine CE-02-3171 for the amount of $1,557.50				
11	000752699	Angelo Pardo 13169581	Poker Chip Count Log	09:50:00	10:45:00
	06/26/2024 - **Location / Origin:** Casino Cage Count Room - **Camera:** 315,404,309,310,303,307,302,304,308,306,305,340 - Poker Chip Count				
4	000752698	Rafael Torres 10169408	Escort Vendor or Visitor Log	09:40:00	09:52:00
	06/26/2024 - **Location / Origin:** Information-Vendor Slot Technician Activity - **Camera:** 209 - Slot Technician Manager, Eliud Torres Gonzalez advised that himself and Vendor, Rodani Garcia from Die Bold was replacing he monitor on AB-02.				
2	000752696	Rafael Torres 10169408	Slot Machine Jackpot 5000 - 9999 Log	09:29:00	09:52:16
	06/26/2024 - **Location / Origin:** Slot Machine Bank BC - **Camera:** 196 - Slot Attendant, Oswin Paguada notified Surveillance via radio of a Jackpot at slot machine BC-02-3450 for the amount of $5,137.61				
2	000752695	Rafael Torres 10169408	Poker Cards Pick up and Return Log	09:26:00	09:37:44
	06/26/2024 - **Location / Origin:** Casino Cage Chip Bank Window - **Camera:** 322,361 - Poker Room Supervisor, Maykel Alzuri was observed picking up the Poker Cards from Cage Supervisor Sylvia Zamorano-Soto at the Casino Cage Chip Bank Window.				
2	000752692	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	08:27:00	08:33:20
	06/26/2024 - **Location / Origin:** Slot Machine Bank IF - **Camera:** 139 - Slot Attendant, Richard Quintas notified Surveillance via radio of a Jackpot at slot machine IF-06-2228 for the amount of $4,050.00				
13	000752688	Angelo Pardo 13169581	Soft Count Slot Machine Log	08:00:00	09:47:00
	06/26/2024 - **Location / Origin:** Casino Cage Count Room - **Camera:** 315,404,309,310,303,307,302,304,308,306,305,340 - Slot Soft Count				
3	000752687	Angelo Pardo 13169581	Escort Employee Log	07:50:00	07:52:00
	06/26/2024 - **Location / Origin:** Casino Trolley Cart Room - **Camera:** 092 - Security Officer, Adrian Santiago notified Surveillance, via radio, that he would be escorting Count Team Members: Raymil Marti Martinez, Otto Marroquin Padilla and Darin Richard Ebanks inside the Cart Storage Room.				
6	000752680	Angelo Pardo 13169581	Slot Drop Collection Day 2 Log	07:25:00	07:49:00
	06/26/2024 - **Location / Origin:** DAY 2 SEC 6 JA-JB-JC-JE-IL-IK-II-IJ-IH-IG - **Camera:** 130,131,125,124,123,121,133,136,116,138,139 - Security Officer, Adrian Santiago notified surveillance, via radio, of the start of the slot drop collection at Section 6****				
5	000752679	Angelo Pardo 13169581	Slot Drop Collection Day 2 Log	07:10:00	07:24:56
	06/26/2024 - **Location / Origin:** DAY 2 SEC 5 FA-FB-FC-FD-FE-FF-FG-FI-FK-GA-FL-FM-FN-FO - **Camera:** 565,510,511,105,104,109,122,110,111,112,130,137,138 - Security Officer, Adrian Santiago notified surveillance, via radio, of the start of the slot drop collection at Section 5				
3	000752676	Angelo Pardo 13169581	Slot Drop Collection Day 2 Log	06:48:00	07:06:49
	06/26/2024 - **Location / Origin:** DAY 2 SEC 3 IF-IE-ID-IC-IB-IA-KQ-KP - **Camera:** 169,170,177,157,158,213,138,139,149,861 - Security Officer, Eddy Junior Castellano notified surveillance, via radio, of the start of the slot drop collection at Section 3				
2	000752671	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	06:25:00	06:31:47
	06/26/2024 - **Location / Origin:** Slot Machine Bank IF - **Camera:** 139 - Slot Attendant, Richard Quintas notified Surveillance via radio of a Jackpot at slot machine IF-06-2228 for the amount of $1,250.00				
3	000752675	Angelo Pardo 13169581	Slot Drop Collection Day 2 Log	06:22:00	06:46:00
	06/26/2024 - **Location / Origin:** DAY 2 SEC 2 KA-KJ-KB-KC-KD-KE-KG-KF-KI-KH-KL-KM-KO-KN - **Camera:** 169,170,175,176,177,180,181,182,184,186,188,189,191, - Security Officer, Eddy Junior Castellanos notified surveillance, via radio, of the start of the slot drop collection at Section 2				
2	000752670	Rafael Torres 10169408	Slot Machine Jackpot 1200 - 4999 Log	06:19:00	06:27:12
	06/26/2024 - **Location / Origin:** Slot Machine Bank IF - **Camera:** 139 - Slot Attendant, Richard Quintas notified Surveillance via radio of a Jackpot at slot machine IF-06-2228 for the amount of $2,000.00				
3	000752674	Angelo Pardo 13169581	Slot Drop Collection Day 2 Log	06:11:00	06:21:00
	06/26/2024 - **Location / Origin:** DAY 2 SEC 1 JO-JN-JM-JL-JG-JH-JK-JI-JD-JF-JJ - **Camera:** 135,145,155,165,175,146,148,164,168 - Security Officer, Eddy Junior Castellano notified surveillance, via radio, of the start of the slot drop collection at Section 1				
6	000752672	Angelo Pardo 13169581	Escort Employee Log	06:06:00	06:08:00
	06/26/2024 - **Location / Origin:** Casino Trolley Cart Room - **Camera:** 092 - Security Officer, Eddy Junior Castellanos notified Surveillance, via radio, that he would be escorting Count Team Members: Raymil Marti Martinez, Otto Marroquin Padilla and Darin Richard Ebanks inside the Cart Storage Room.				
5	000752669	Angelo Pardo 13169581	Key Watcher Log	06:04:00	07:49:00
	06/26/2024 - **Location / Origin:** Key Watcher Break Room - **Camera:** 342 - Slot Drop Keys				

5	000752673	Angelo Pardo 13169581	Vendor and Visitor Log		06:00:00	14:00:00
	06/26/2024 - **Location / Origin:** Vendors and Visitors reported on property - **Camera:** 344,345 - (14) Vendors or (00) Visitors checking in at the Security Office.					
1	000752668	Rafael Torres 10169408	Equipment Check Log		06:00:00	06:05:00
	06/26/2024 - **Location / Origin:** Surveillance Equipment MDF - **Camera:** 346 - Surveillance Equipment Check					
1	000752667	Rafael Torres 10169408	Employee - On and Off Duty Log		06:00:00	14:00:00
	06/26/2024 - **Location / Origin:** Surveillance Room - **Camera:** 346 - Surveillance Supervisor Rafael Torres					
1	000752666	Angelo Pardo 13169581	Employee - On and Off Duty Log		06:00:00	14:00:00
	06/26/2024 - **Location / Origin:** Surveillance Room - **Camera:** 346 - Surveillance Operator, Angelo Pardo on duty.					
5	000752659	Jeffry Rocky Bissainthe 10317256	Escort Employee Log		05:45:00	05:53:06
	06/26/2024 - **Location / Origin:** Players Club Cleaning - **Camera:** 34 - Security Officer Samoria Stringer notified Surveillance via radio of escorting EVS Janitorial Staff Fredesvina Hernandez into the Players Club to clean					
3	000752658	Jeffry Rocky Bissainthe 10317256	Escort Employee Log		05:38:00	05:43:34
	06/26/2024 - **Location / Origin:** Poker Cage Cleaning - **Camera:** 354,809 - Security Officer Samoria Stringer notified Surveillance via radio of escorting EVS Janitorial Staff Fredesvina Hernandez into the Poker cage to clean					
2	000752664	Kazim Khan 12788255	Slot Machine Jackpot 1200 - 4999 Log		05:35:00	05:42:40
	06/26/2024 - **Location / Origin:** Slot Machine Bank EE - **Camera:** 123 - Slot Attendant, Richard Quintas notified Surveillance via radio of a Jackpot at slot machine EE-05-2229 for the amount of $1,250.00					
2	000752663	Kazim Khan 12788255	Slot Machine Jackpot 1200 - 4999 Log		05:29:00	05:42:41
	06/26/2024 - **Location / Origin:** Slot Machine Bank EM - **Camera:** 122 - Slot Attendant, Richard Quintas notified Surveillance via radio of a Jackpot at slot machine EM-01-3267 for the amount of $1,356.15					
4	000752657	Jeffry Rocky Bissainthe 10317256	Escort Employee Log		05:03:00	05:36:21
	06/26/2024 - **Location / Origin:** Casino Cage Cleaning - **Camera:** 336,339,315,334,327,322,320,314 - Security Officer Samoria Stringer notified Surveillance via radio of escorting EVS Janitorial Staff Fredesvina Hernandez into the Casino cage to clean					
2	000752653	Sem Louisma 13129234	SDS Bill Drop Log		04:00:00	07:51:00
	06/26/2024 - **Location / Origin:** Surveillance Room - **Camera:** 346 - Start & Stop of SDS Bill Drop					
2	000752652	Sem Louisma 13129234	Slot Machine Jackpot 1200 - 4999 Log		03:59:00	04:40:13
	06/26/2024 - **Location / Origin:** Slot Machine Bank JD - **Camera:** 133 - Slot Attendant Richard Quintas notified Surveillance via radio of a Jackpot at slot machine JD-07-3712 for the amount of $2,808.00					
2	000752651	Sem Louisma 13129234	Slot Machine Jackpot 5000 - 9999 Log		03:58:00	04:40:12
	06/26/2024 - **Location / Origin:** Slot Machine Bank BG - **Camera:** 187 - Slot Attendant Richard Quintas notified Surveillance via radio of a Jackpot at slot machine BG-05-3664 for the amount of $6,089.00					
1	000752656	Jeffry Rocky Bissainthe 10317256	Ticket Redemption Unit Escort Log		03:56:00	04:07:00
	06/26/2024 - **Location / Origin:** Casino Cage to TRU - **Camera:** 143 - MPD Officer Edwin Sandavol notified Surveillance via radio of escorting Cage Cashier Jeidy Maria Juvier Perez to TRU #'s 5 and 6 for refill					
2	000752649	Sem Louisma 13129234	Slot Machine Jackpot 1200 - 4999 Log		03:49:00	03:57:03
	06/26/2024 - **Location / Origin:** Slot Machine Bank BG - **Camera:** 187 - Slot Attendant Richard Quintas notified Surveillance via radio of a Jackpot at slot machine BG-05-3664 for the amount of $1,725.00					
2	000752648	Kazim Khan 12788255	Slot Machine Jackpot 1200 - 4999 Log		03:27:00	05:00:57
	06/26/2024 - **Location / Origin:** Slot Machine Bank IB - **Camera:** 169 - Slot Attendant, Richard Quintas notified Surveillance via radio of a Jackpot at slot machine IB-01-3233 for the amount of $1,356.30					
3	000752647	Jeffry Rocky Bissainthe 10317256	Ticket Redemption Unit Escort Log		03:20:00	03:50:00
	06/26/2024 - **Location / Origin:** Casino Cage to TRU - **Camera:** 997,17,007 - MPD Officer Edwin Sandavol notified Surveillance via radio of escorting Cage Cashier Jeidy Maria Juvier Perez to TRU #'s 1,8,7,3,4 and 2 for refill					
2	000752645	Sem Louisma 13129234	Slot Machine Jackpot 1200 - 4999 Log		03:17:00	03:49:38
	06/26/2024 - **Location / Origin:** Slot Machine Bank AN - **Camera:** 215 - Slot Attendant Richard Quintas notified Surveillance via radio of a Jackpot at slot machine AN-09-3711 for the amount of $1,564.00					
2	000752644	Sem Louisma 13129234	Slot Machine Jackpot 1200 - 4999 Log		03:04:00	03:18:33
	06/26/2024 - **Location / Origin:** Slot Machine Bank BK - **Camera:** 178 - Slot Attendant Richard Quintas notified Surveillance via radio of a Jackpot at slot machine BK-11-3553 for the amount of $1,900.00					
2	000752642	Kazim Khan 12788255	Slot Machine Jackpot 5000 - 9999 Log		02:55:00	05:01:07
	06/26/2024 - **Location / Origin:** Slot Machine Bank BG - **Camera:** 187 - Slot Attendant, Richard Quintas notified Surveillance via radio of a Jackpot at slot machine BG-01-3660 for the amount of $8,222.07					
2	000752641	Sem Louisma 13129234	Slot Machine Jackpot 1200 - 4999 Log		02:53:00	03:18:31
	06/26/2024 - **Location / Origin:** Slot Machine Bank EM - **Camera:** 122 - Slot Attendant Richard Quintas notified Surveillance via radio of a Jackpot at slot machine EM-03-3269 for the amount of $1,250.00					
2	000752639	Sem Louisma 13129234	Slot Machine Jackpot 1200 - 4999 Log		02:00:00	03:18:30
	06/26/2024 - **Location / Origin:** Slot Machine Bank BH - **Camera:** 187 - Slot Attendant Richard Quintas notified Surveillance via radio of a Jackpot at slot machine BH-03-3652 for the amount of $1,450.00					
2	000752638	Jeffry Rocky Bissainthe 10317256	Escort Employee Log		01:43:00	01:44:00
	06/26/2024 - **Location / Origin:** Poker Cage to Casino cage - **Camera:** 809,160 - MPD Officer Edwin Sandavol advised via radio of escorting Cage Cashier Dannier Martinez Rosabal from Poker Cage to Casino Cage					
4	000752630	Jeffry Rocky Bissainthe 10317256	Poker Cards Pick up and Return Log		01:34:00	01:39:00
	06/26/2024 - **Location / Origin:** Casino Cage Chip Bank Window - **Camera:** 363,322 - Poker Dealer / Supervisor, Richard Ramos was observed returning the Poker Cards to Cage Cashier Marsha Martin at the Casino Cage Chip Bank Window.					
3	000752640	Teronica Beharry 13550540	Patron - Under Age	CMJ-000002900	01:28:00	01:35:00
	Linked Reports: CMJ-000002901 06/26/2024 - **Location / Origin:** Slot Machine Bank BA - Under Age					
3	000752655	Jeffry Rocky Bissainthe 10317256	Casino Activity Log		01:25:00	04:29:17

06/26/2024 - **Location / Origin:** Incident-All Incident Log - **Camera:** 35,200,345 - Temp-Exclusion Underage Patron, Aidan A. Pantages - was escorted out by MPD Officer Edwin Sandavol after being identified as an underage on the casino floor.

4	**000752654**	**Jeffry Rocky Bissainthe 10317256**	**Casino Activity Log**	**01:25:00**	**04:29:31**

06/26/2024 - **Location / Origin:** Incident-All Incident Log - **Camera:** 35,200,345 - Temp-Exclusion Underage Patron, Adrian James Rivera -was escorted out by MPD Officer Edwin Sandavol after being identified as an underage on the casino floor.

17	**000752650**	**Jeffry Rocky Bissainthe 10317256**	**Surv-Underage Patron Report**	**CMJ-000013798**	**01:25:00**	**04:30:00**

06/26/2024 - **Location / Origin:** Temp-Exclusion Underage Male - **Camera:** 200,345,35 - Temp-Exclusion Underage Patron, Adrian James Rivera -was escorted out by MPD Officer Edwin Sandavol after being identified as an underage on the casino floor.

11	**000752646**	**Jeffry Rocky Bissainthe 10317256**	**Surv-Underage Patron Report**	**CMJ-000013797**	**01:25:00**	**04:30:00**

06/26/2024 - **Location / Origin:** Temp-Exclusion Underage Male - **Camera:** 200,345,35 - Temp-Exclusion Underage Patron, Aidan A. Pantages -was escorted out by MPD Officer Edwin Sandavol after being identified as an underage on the casino floor.

2	**000752636**	**Sem Louisma 13129234**	**Slot Machine Jackpot 1200 - 4999 Log**	**01:21:00**	**03:18:35**

06/26/2024 - **Location / Origin:** Slot Machine Bank BK - **Camera:** 178 - Slot Attendant Richard Quintas notified Surveillance via radio of a Jackpot at slot machine BK-08-3550 for the amount of $2,000.00

4	**000752629**	**Jeffry Rocky Bissainthe 10317256**	**Escort Employee Log**	**01:15:00**	**01:16:12**

06/26/2024 - **Location / Origin:** Casino Trolley Cart Room - **Camera:** 340,337,92 - Security Officer, Eddy Junior Castellanos entered Main Cage and returning the poker trolley from Trolley Room.

2	**000752635**	**Sem Louisma 13129234**	**Slot Machine Jackpot 1200 - 4999 Log**	**01:01:00**	**01:13:00**

06/26/2024 - **Location / Origin:** Slot Machine Bank BA - **Camera:** 218 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine BA-03-1124 for the amount of $2,000.00

8	**000752632**	**Jeffry Rocky Bissainthe 10317256**	**Poker Table Drop Log**	**01:01:00**	**01:13:00**

06/26/2024 - **Location / Origin:** Poker Room - **Camera:** 801, 802, 803, 804, 805, 806, 807, 808, 809, 810, 811, 812, 820, 821, 823 - Poker Table Drop 0 Thru 19

2	**000752634**	**Sem Louisma 13129234**	**Slot Machine Jackpot 1200 - 4999 Log**	**01:00:00**	**01:13:00**

06/26/2024 - **Location / Origin:** Slot Machine Bank IB - **Camera:** 213 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine IB-01-3233 for the amount of $1,272.00

4	**000752631**	**Jeffry Rocky Bissainthe 10317256**	**Key Watcher Log**	**00:59:00**	**01:16:00**

06/26/2024 - **Location / Origin:** Key Watcher Break Room - **Camera:** 342 - Poker Drop Keys

3	**000752628**	**Jeffry Rocky Bissainthe 10317256**	**Escort Employee Log**	**00:57:00**	**00:59:00**

06/26/2024 - **Location / Origin:** Casino Trolley Cart Room - **Camera:** 340,337,92 - Security Officer, Eddy Junior Castellanos entered Main Cage and retrieving the poker trolley from Trolley Room.

2	**000752633**	**Sem Louisma 13129234**	**Slot Machine Jackpot 1200 - 4999 Log**	**00:55:00**	**01:02:04**

06/26/2024 - **Location / Origin:** Slot Machine Bank IB - **Camera:** 213 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine IB-01-3233 for the amount of $2,250.00

2	**000752626**	**Kazim Khan 12788255**	**Slot Machine Jackpot 1200 - 4999 Log**	**00:49:00**	**01:03:37**

06/26/2024 - **Location / Origin:** Slot Machine Bank BK - **Camera:** 188 - Slot Attendant, Jose Rivas notified Surveillance via radio of a Jackpot at slot machine BK-05-1487 for the amount of $2,500.00

2	**000752627**	**Sem Louisma 13129234**	**Slot Machine Jackpot 1200 - 4999 Log**	**00:48:00**	**01:02:02**

06/26/2024 - **Location / Origin:** Slot Machine Bank IB - **Camera:** 213 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine IB-01-3233 for the amount of $2,370.00

2	**000752625**	**Sem Louisma 13129234**	**Slot Machine Jackpot 1200 - 4999 Log**	**00:45:00**	**01:02:02**

06/26/2024 - **Location / Origin:** Slot Machine Bank IB - **Camera:** 213 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine IB-01-3233 for the amount of $2,550.00

2	**000752624**	**Sem Louisma 13129234**	**Slot Machine Jackpot 1200 - 4999 Log**	**00:38:00**	**01:02:01**

06/26/2024 - **Location / Origin:** Slot Machine Bank IB - **Camera:** 157 - Slot Attendant, Jose Rivas notified Surveillance via radio of a Jackpot at slot machine IB-03-3234 for the amount of $2,428.32

2	**000752623**	**Sem Louisma 13129234**	**Slot Machine Jackpot 1200 - 4999 Log**	**00:34:00**	**00:37:59**

06/26/2024 - **Location / Origin:** Slot Machine Bank BK - **Camera:** 178 - Slot Attendant, Jose Rivas notified Surveillance via radio of a Jackpot at slot machine BK-07-3549 for the amount of $2,500.00

2	**000752622**	**Kazim Khan 12788255**	**Slot Machine Jackpot 1200 - 4999 Log**	**00:03:00**	**00:11:58**

06/26/2024 - **Location / Origin:** Slot Machine Bank BK - **Camera:** 178 - Slot Attendant, Ingrid Suarez notified Surveillance via radio of a Jackpot at slot machine BK-08-3550 for the amount of $3,750.00

1	**000752662**	**Jeffry Rocky Bissainthe 10317256**	**Vendor and Visitor Log**	**22:00:00**	**06:00:00**

06/25/2024 - **Location / Origin:** No Vendors or Visitors reported on property - **Camera:** 345 - (00) Vendors or (00) Visitors checking in at the Security Office.

1	**000752661**	**Jeffry Rocky Bissainthe 10317256**	**Equipment Check Log**	**22:00:00**	**06:00:00**

06/25/2024 - **Location / Origin:** Surveillance Equipment MDF - **Camera:** 347 - Surveillance Equipment Check

1	**000752660**	**Jeffry Rocky Bissainthe 10317256**	**Employee - On and Off Duty Log**	**22:00:00**	**06:00:00**

06/25/2024 - **Location / Origin:** Surveillance Room - **Camera:** 346 - Surveillance Supervisor Jeffry Bissainthe

Security Department

Casino Miami Jai-Alai - 3500 NW 37th Ave. Miami, FL 33142
(305) 633-6400 - casinomiamijaialai.com

CMJ-000003023 - Patron - Trespass Permanent - Reported 12/24/2024 16:10

Author: Jorge Callejas 13298951
Report Number: CMJ-000003023
Start Time: 12/24/2024 16:10
End Time: 12/24/2024 16:20
Report Group: Exclusion Reports
Report Type: Patron - Trespass Permanent
Note: Permanent Trespass / Cashing JackPots not Hers
Location / Origin: Casino

Person

Name: Cecilia Sobrino Rodriguez
Alias:
Race: Hispanic
Gender: Female
Eye color: Brown
Hair color: Brown
Height: 5'02" Inches
Weight: 145 Pounds
DOB: [redacted]
Keywords: Permanently Trespassed
Drivers Lic#: [redacted]
Comments: 19771 SW 114TH AVE
Miami, FL 33157
PC# 296083

[redacted]

Narrative

On 12/24/2024 Ms. Rodriguez was Permanently Trespassed from the Casino due to cashing out Jackpots that didn't belong to her.

Signature A **Date**

Signature B **Date**

EXHIBIT # 8
PAGE # 1

Confidential

CMJ-000003024 - Patron - Trespass Permanent - Reported 12/24/2024 16:25

Author: Jorge Callejas 13298951
Report Number: CMJ-000003024
Start Time: 12/24/2024 16:25
End Time: 12/24/2024 16:35
Report Group: Exclusion Reports
Report Type: Patron - Trespass Permanent
Note: Permanent Trespass / Cashing Jackpots not Hers
Location / Origin: Casino

Person

Name: Ingrid S Concepcion Pujol
Alias:
Race: Hispanic
Gender: Female
Eye color: Brown
Hair color: Black
Height: 5'04" Inches
Weight: 135 Pounds
DOB: [redacted]
Keywords: Permanent Exclusion,Self-Exclusion
Drivers Lic#: [redacted] Resident Card
Comments: Ingrid S. Concepcion Pujol
1600 NW North River Dr Apt 202
Miami, FL 33125
[redacted] Resident Card
Ph: 786-290-9084
PC# 401991
Ht: 5'4
Wt: 135lbs
Hr: Black
Eyes: Brown

[redacted]

Narrative

On 12/24/2024 Ms. Concepcion was Permanently Trespassed from the Casino due to cashing out Jackpots that didn't belong to her.

Signature A **Date**

Signature B **Date**

Confidential

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Through:	Justin Hundersmarck, Senior Attorney
Re:	FGCC v. Manuel Cepero Case No. 2025-054088, Default Final Order
Date:	December 22, 2025

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") seeks the permanent exclusion of Manuel Cepero ("Respondent") from all pari-mutuel and slot machine facilities in the state of Florida. Respondent did not file an election of rights before the deadline and thereby waived his right to request a hearing contesting this matter. The Florida Gaming Control Commission should enter a final order permanently excluding Respondent from all pari-mutuel and slot machine facilities in the state of Florida.

Relevant Facts

On June 27, 2025, Respondent was a patron of Gretna Racing, LLC, d/b/a Magic City Casino ("Magic City Casino").[1] On June 28, 2025, Respondent was permanently excluded from Magic City Casino.[2]

Based on Respondent's exclusion from Magic City Casino, the Division filed an administrative complaint October 30, 2025, seeking his exclusion from all pari-mutuel facilities and all facilities of a slot machine licensee in the state of Florida. The election of rights accompanying the administrative complaint made it clear that the Respondent had 21 days[3] to file a written response to the administrative complaint. The Respondent was served on November 18, 2025, had until December 9, 2025, to respond, and did not do so before the deadline.

Analysis

[1] Magic City Casino is operated by a permitholder authorized to conduct pari-mutuel wagering, slot facilities, and cardroom operations in the state of Florida.

[2] Pari-mutuel permitholders and slot machine licensees have the right to exclude patrons. §§ 550.0251(6); 551.112, Fla. Stat.

[3] *See* Fla. Admin. Code R. 28-106.111(4) ("Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.")

Florida law allows for the exclusion of Respondent from all pari-mutuel and slot machine facilities in the state.

Section 550.0251(6), Florida Statutes, provides that "[t]he commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state […]"

Further, section 551.112, Florida Statutes, provides that "[t]he commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state […]"

Therefore, Respondent's exclusion from Magic City Casino, a pari-mutuel facility and facility of a slot machine licensee in this state, subjects him to permanent exclusion from all pari-mutuel facilities and all facilities of a slot machine licensee in this state.

Staff Recommendation: The Commission should enter a final order permanently excluding Respondent, Manuel Cepero, from all pari-mutuel and slot machine facilities in the state of Florida.

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 12/17/2025
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FGCC v. MANUEL CEPERO **CASE NO.: 2025-054088**

ELECTION OF RIGHTS

Please read the Administrative Complaint before choosing one of the three options. The Election of Rights is a **legally binding** document. Consult an attorney if you do not understand your options.

You can only select **ONE** of the options numbered one (1), two (2), and three (3) on the Election of Rights form. You must sign the form.

A completed Election of Rights **must be returned to the Clerk of the Commission within 21 days, by 5:00 p.m., Eastern Time, of the day you receive the attached Administrative Complaint.**

If your Election of Rights form or request for hearing is not filed with the Clerk of the Commission within 21 days of the day you received the Administrative Complaint, you will have waived your right to contest the proposed agency action and a Final Order will be issued imposing the proposed agency action set forth in the Administrative Complaint.

Please use this form unless you, your attorney, or your representative prefer to reply according to chapter 120, Florida Statutes, and chapter 28.106 of the Florida Administrative Code.

Please return your Election of Rights form to this address:

Florida Gaming Control Commission
Attention: Clerk of the Commission
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399
Telephone: (850) 794-8067 Fax: (850) 536-8712
Email: clerk@flgaming.gov

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option One (1) ☑ **I do not dispute the allegations** of material fact in the Administrative Complaint and wish to be heard at an informal proceeding pursuant to section 120.57(2), Florida Statutes, where I may submit testimony and written evidence to the Commission to show that the proposed agency action is too severe or that the sanction should be reduced.

Option Two (2) ☐ **I do dispute the allegations** of material fact in the Administrative Complaint and I request a formal hearing pursuant to Section 120.57(1) before an Administrative Law Judge of the Division of Administrative Hearings ("DOAH"). **I specifically dispute the following facts in the Administrative Complaint** (attach extra pages or write on the back if needed):

_____

_____

_____

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

In addition to the above election for formal hearing, check the box below if you wish to enter into settlement negotiations and waive the 15-day requirement for this matter to be referred to DOAH:

☑ Section 120.569(2)(a), Florida Statutes, requires the Commission to send this case to DOAH for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement.

Option Three (3) ☐ **I do not dispute the allegations** of material fact in the Administrative Complaint and **waive my right** to object and to have a hearing. I understand that by giving up the right to object and have a hearing, a Final Order will be issued that adopts the allegations of fact and conclusions of law alleged in the Administrative Complaint and imposes the Commission action set forth in the Administrative Complaint.

THIS IS A **LEGALLY BINDING DOCUMENT**. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

Manuel Cepero	~~Anthony~~ Matto
PRINTED NAME	ATTORNEY OR QUALIFIED REPRESENTATIVE
8355 SW 2nd St	
Street Address	Street Address (where service shall be made)
Miami FL 33144	
City State Zip	City State Zip
786-863-6109	
Telephone Number Facsimile Number (if any)	Telephone Number Facsimile Number (if any)
unionpharmacy6456@icloud.com	
E-mail	E-mail
MC	
SIGNATURE	

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TALLAHASSEE FL 32399-7033

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FILED
FLORIDA GAMING CONTROL COMMISSION
Date: **10/30/2025**
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2025-054088

MANUEL CEPERO,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Manuel Cepero ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel, slot machine, and cardroom operations pursuant to chapters 550, 551, and 849, Florida Statutes.

2. At all times material hereto, Respondent's address was reported as 192 Orchid Street, Tavernier, Florida 33070.

3. At all times material hereto, Gretna Racing, LLC, d/b/a Magic City Casino ("Magic City Casino") was a facility operated by a permitholder authorized by the Commission to conduct pari-mutuel wagering, cardroom operations, and slot operations in the state of Florida.

4. On or about June 27, 2025, Respondent was a patron of Magic City Casino.

5. On or about June 28, 2025, Respondent was ejected from Magic City Casino.

6. On or about June 28, 2025, Respondent was excluded from Magic City Casino, effective until February 22, 2028.

7. Section 550.0251(6), Florida Statutes, provides in relevant part:

> In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

8. Section 551.112, Florida Statutes, provides:

> In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

9. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida under sections 550.0251(6) and 551.112, Florida Statutes, based on his exclusion from Magic City Casino on or about June 28, 2025.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida, in accordance with sections 550.0251(6) and 551.112, along with any other remedy provided by chapters 550 and 551, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2025-054088 is signed on the 24th day of October 2025.

/s/ Justin Hundersmarck

Justin Hundersmarck
Senior Attorney
Florida Bar Number: 1039038
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Phone: (850) 794-8063
Fax: +1 850-536-8712
Primary: Justin.Hundersmarck@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

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Tavernier, Florida 33070
AC-2025054088-OGC
for Instructions

Lic Type	**1098 - Unlicensed Complaints**			Status	**90 Closed**	Status Date	**10/01/2025**
Complaint #	**2025054088**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**CEPERO, MANUEL**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INTR - Internal**	Priority	
Class'n	**OTHR - Other**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**06/27/2025**
Region	**SR - Southern Region**	Received	**07/21/2025**
Reference	**551.113(1)(2)(a)(b)(c)(3)**		
Entered	**07/21/2025**	Entered By	**jhodge**

Parties | Activities | Allegations | Discipline | Violations | Compliance | Related | Disposition | Inspection | Costs | Time Tracking | Auto Assign

Summary **155 - WEST FLAGLER ASSOCIATES, LTD - 551.113(1)(2)(a)(b)(c)(3) Persons prohibited from playing slot machines - On June 28, 2025, at approximately 00:55 hours, Security Shift Manager Julio Rene Sanchez was notified by surveillance of a male patron urinating outside in the parking lot next to the bicycle rack. The individual was accompanied by two other male patrons, and all appeared to be intoxicated. Upon arrival, Sanchez encountered and identified the individual urinating as Gabriel Ricardo Andreu who was accompanied by Matthew Luis Perez, and Manuel CEPERO. All three individuals were found to be under 21. Sanchez requested a video review from surveillance to determine whether any of the individuals had accessed the casino gaming floor. Surveillance confirmed that the three individuals, along with a fourth male identified as Jose Veiguela, had entered the slot gaming floor earlier. At 23:02 hours on June 27, 2025, all four underage patrons entered the Main Casino Ground Floor Entrance (Bravo 1) where two Security Officers Dareimi Palacio Hernandez and Edgard Antonio Perez failed to stop and request identification from the group and instead directed them to top of the escalators (Bravo 2) where Security Officer Jennifer Alexandra Moll was stationed. Moll stops, requests, and scans their identifications allowing access to 18- year old Gabriel Ricardo Andreu (DOB [redacted]) who provided a fake ID under his name with (DOB [redacted]), 18- year old Matthew Luis Perez (DOB [redacted]) who also provided a fake ID under his name with (DOB [redacted], 19- year old Jose Veiguela (DOB [redacted] who provided an ID that belonged to another person, Jake Fernandez (DOB [redacted], were granted entry and 18- year old Manuel CEPERO (DOB [redacted] provided a valid ID and was denied entry. At 23:06 hours CEPERO then entered through the West Casino Entrance (Bravo 4) where Security Officer Jennifer Hernandez failed to stop and request his identification, allowing him access to the slot gaming floor after being**

previously denied at the Bravo 2 post. At 23:52 hours the group of individuals re-entered the slot gaming floor through the West Casino Entrance (Bravo 4) where two Security Officers Jennifer Hernandez and Ibrain Y Fundora were stationed. Security Officer Fundora was observed checking CEPEROS and Veiguelas IDs and allows them entry while Security Officer Hernandez attempted to scan IDs for Andreu and Perez, encountering technical issues and requesting assistance from Security Officer Fundora. During the distraction, Veiguela was seen signaling Perez to proceed onto the floor. Surveillance footage confirms that all four individuals accessed and actively participated in slot machine play and were not stopped or challenged by any Magic City Staff during their presence on the gaming floor. At no point were any of the individuals observed consuming alcoholic beverages while on the gaming floor. CEPERO was excluded from Magic City Casino until his 21st birthday [redacted]

Attachments | History

Work Notes | Print Report

Updated **10/01/2025 11:57:32** By **jhodge**

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Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Joe Dillmore, Executive Director **Ron DeSantis,** Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**SOUTHERN**	**June 27, 2025**	**2025-05-4088**

Respondent:	Complainant:
CEPERO, MANUEL **192 ORCHID ST.** **TAVERNIER, FLORIDA 33070**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # and Type:	Profession:	Report Date:
N/A /1098	**Patron**	**September 23, 2025**

Period of Investigation:	Type of Report:
July 15, 2025 – September 23, 2025	**FINAL**

Alleged Violation(s): 551.113 Persons prohibited from playing slot machines.
(1) A person who has not attained 21 years of age may not play or operate a slot machine or have access to the designated slot machine gaming area of a facility of a slot machine licensee.
(2) A slot machine licensee or agent or employee of a slot machine licensee may not knowingly allow a person who has not attained 21 years of age:
(a) To play or operate any slot machine.
(b) To be employed in any position allowing or requiring access to the designated slot machine gaming area of a facility of a slot machine licensee.
(c) To have access to the designated slot machine gaming area of a facility of a slot machine licensee.
(3) The licensed facility shall post clear and conspicuous signage within the designated slot machine gaming areas that states the following: **THE PLAYING OF SLOT MACHINES BY PERSONS UNDER THE AGE OF 21 IS AGAINST FLORIDA LAW (SECTION 551.113, FLORIDA STATUTES). PROOF OF AGE MAY BE REQUIRED AT ANY TIME.**

Synopsis On June 27, 2025, at approximately 23:02 Hrs., four underage individuals entered Magic City Casino (MCC) through multiple entrances and accessed the slot floor using fraudulent IDs. Security personnel failed to properly verify identification at various checkpoints, allowing all four to engage in slot machine play. While no alcohol was served to them on the gaming floor, the individuals appeared to be under the influence of an unknown substance upon their arrival at the facility and were behaving disorderly and in a manner further suggesting they were likely minors. At no point did any employee question the visibly young appearance or increasingly disorderly behavior of the individuals, despite multiple opportunities to intervene. The individuals were caught after one of them publicly urinated in an area outside the facility immediately adjacent to the bicycle rack in the patrons parking lot. All four were subsequently excluded from the property until their 21st birthdays. Manuel **CEPERO** was one of the minors who entered the slot gaming floor bypass security screening**. CEPERO** was excluded until his 21st birthday on [redacted]

Related Case(s) 2025-05-3449,4002,4370,4235,4342,4871,4540,4560,4853

Investigations Specialist II / Date	Investigation Manager / Date:
Jackelin Rodriguez / September 23, 2025	Tyrell D. Smith / September 23, 2025

Chief of Investigations / Date

Bradford D. Jones / October 1, 2025

CONTINUATION

Further Investigation revealed that on June 27, 2025, Manuel **CEPERO** (DOB: [redacted] along with three other underage individuals, gained unauthorized access to the slot gaming floor at Magic City Casino by presenting fraudulent identification across multiple entry points. Once inside, the group engaged in slot machine play and exhibited disorderly behavior throughout the premises. **CEPERO** and his companions' actions, including public urination, as captured on surveillance footage, prompted a detailed review, which confirmed the group's entry and conduct **(Exhibit #2-Video #3).**

At approximately 23:02 hours, surveillance footage captured the four individuals drinking and sharing an unknown canned beverage outside the Main Entrance before entering **(Exhibit #2-Video1).**

The group entered via the Main Entrance without being stopped or screened by Security Officers Dareimi Palacio Hernandez, and Edgar Antonio Perez, who were both stationed at Bravo 1. Instead, they were directed to the escalators leading to (Bravo 2), where Security Officer Jennifer Alexandra Moll was stationed **(Exhibit #2-Video 2).**[1]

Upon arrival at Bravo 2, Moll was observed checking and scanning the first individual's ID who was later Identified as Manuel **CEPERO** (DOB: [redacted], his entry was denied based on his DOB. He then walks toward the rest of the group and is seen conversing with them briefly. Moll then checked and scanned the ID provided by Jose Veiguela (DOB: [redacted]), Gabriel Ricardo Andreu's ID (DOB: [redacted], and Matthew Luis Perez (DOB: [redacted] All three were allowed access to the slot gaming floor, while **CEPERO** was observed leaving via the escalators **(Exhibit #2-Video 3).**

At 23:06, **CEPERO** entered the West Casino Entrance (Bravo 4) where Security Officer Jennifer Hernandez was distracted and facing away while speaking with a housekeeping employee. At that time, she failed to notice, question or stop **CEPERO** from entering **(Exhibit #2- Video 4).**

Meanwhile, at 23:06 hours Perez was observed inserting cash and playing at slot machine 7-3-8. While Andreu was observed playing at slot machine 7-4-7 **(Exhibit #2- Video 5)**.

At 23:08 hours **CEPERO** reunites with the group. At 23:10 hours Veiguela is seen playing machine 7-4-6. While Andreu, Perez and **CEPERO** are playing together at machine 7-4-7 **(Exhibit #2-Video 5)**.

From 23:13-23:14 hours Andreu is observed entering a voucher and playing at machine 7-3-9 **(Exhibit #2- Page 12).**

At 23:15 Veiguela is seen apparently asking an unidentified patron for their drink (it appeared to be coffee). The patron handed him the cup and walked away. Veiguela was subsequently seen stumbling, appearing to be possibly intoxicated. It is undetermined at this time if alcohol was in the coffee cup or if Veiguela had consumed alcohol or any other intoxicating substance prior to entering the facility **(Exhibit #2-Video 6).**

At 23:24 hours Andreu and Perez were observed playing at machines 7-3-7 and 7-3-8. **(Exhibit #2- Page 14)**

---

[1] ***The MCC Standard Operating Procedures Manual (SOP) describes the duties for each Security position/post at Magic City. The description of duties for staff assigned to the Lower-Level Casino Entrance (Bravo 1) does not specify if the Security Officer at this post must verify the age of the guest entering (Exhibit #2-Page 33).***

CONTINUATION

From 23:16-23:19 hours, Perez played at machine 7-6-8 and Andreu played at machine 7-6-7 **(Exhibit #2- Page 13).**

At 23:26 hours, **ANDREU** moved to play at machine 7-3-4 and **CEPERO** at machine 7-3-5 Perez remained at machine 7-3-8 **(Exhibit #2- Page 15).**

At 23:38 hours, **CEPERO** played at machine 7-8-1 **(Exhibit #2- Page 16).**

At 23:41, all four were observed exiting through the Bravo 6 Entrance **(Exhibit #2- Page 17).**

At 23:42 hours Andreu and Perez were seen acting disorderly outside the upper grandstand. **(Exhibit #2-Video 7)**

At 23:52, they re-entered the facility through the Bravo 4 entrance where Security Officers Ibrain Y. Fundora and Jennifer Hernandez were stationed. **CEPERO** and Veiguela handed their ID to Fundora and were allowed entry[2] while Officer Hernandez struggled to scan Andreu's ID and requested assistance from Fundora. Meanwhile, Hernandez attempted to check Perez's ID and then went back to speak to Fundora. While Hernandez was distracted, Veiguela signaled Perez to proceed onto the slots floor without Security clearance. Perez and **CEPERO** proceeded to enter the slot gaming floor, while Veiguela and Andreu stood by until they were cleared to enter **(Exhibit #2-Video 8).**

At 23:55 hours, Perez sat and played on slot machine 4-3-9 shortly after Andreu sat and played at machine 4-3-10 **(Exhibit #2- Page 18).**

At 23:59 hours, Perez is observed playing at machine 7-6-7 and Andreu at 7-6-8 **(Exhibit #2- Page 19).**

At 00:05 hours, Veiguela was observed inserting cash and playing at machine 7-6-8 and Perez at machine 7-6-7 **(Exhibit #2- Page 20).**

At 00:06 hours **CEPERO** started playing on machine 7-6-7 and Veiguela continued to play at machine 7-6-8 **(Exhibit #2- Page 21).**

At 00:07 hours, Andreu played machine 7-6-3 and Perez played at machine 7-6-2 **(Exhibit #2- Page 22).**

At 00:10 hours, Veiguela played on machine 7-6-2 **(Exhibit #2- Page 23).**

At 00:17 hours, Perez sat and played at machine 4-3-11, shortly after he moved and played at machine 4-3-12 **(Exhibit #2- Video 9).**

At 00:19, Andreu sat and played at machine 4-3-12.**(Exhibit #2- Page 24).**

At 00:24 hours, Andreu moved to machine 4-3-9 and played **(Exhibit #2- Page 25).**

At 00:27 hours, Andreu moved to machine 4-5-7 **(Exhibit #2- Page 26).**

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[2] Veiguela was noted in surveillance video at 23:15 Hrs. as being apparently intoxicated.

CONTINUATION

From 00:32 hours-00:34 hours the group was observed entering the East Restroom located at the (Bravo 6) post **(Exhibit #2- Video 14).**

From 00:35-00:40 hours Andreu is observed entering a voucher and playing at machine 7-6-8 **(Exhibit #2- Page 30).**

At 00:24 hours Andreu moved to machine 4-3-9 and played. **(Exhibit #2- Page 25)**

At 00:40 Andreu was observed inserting a voucher and playing at machine 7-3-8 **(Exhibit #2- Page 31).**

From 00:42-00:46 hours the group was observed at the Main Cage **(Exhibit #2- Page 32).**

00:47 hours Perez is observed walking to machine 7-3-8 with a Player's Card on hand. Perez inserted the Players Card in the wrong slot, shortly after Security Officer Fundora is observed assisting the group showing them where to insert the players card. Veiguela inserts the Players Card at machine 7-3-7 and attempts to enter a PIN. After Veiguela was observed entering the Players Card at machine 7-3-9 several attempts to enter the PIN were observed by the group **(Exhibit #2-Video 15).**

At 00:50 hours, the group of individuals arrived at section 7, Perez sat and attempted to use what appeared to be a Players Club Card[3] at machine 7-4-1b while Andreu was observed throwing an unknown item across the pathway, further indicating disorderly conduct, in plain view of Security Officer, Danaidi Lorenzo Aldana who was stationed across from them at the Bravo 6 post **(Exhibit #2- Video 11).**

At 00:52 hours, Veiguela and Andreu are seen at machines 7-8-2 and 7-8-3, also attempting to use a Players Club Card[4] **(Exhibit #2- Video 10 and Exhibit #2- Page 27).**

At 00:55 hours, Andreu, Perez and **CEPERO** are seen exiting through the Delta 1 Valet entrance **(Exhibit #2-Video 12).**

At 00:56 hours, Andreu was caught urinating in public in an area outside the facility immediately adjacent to the bicycle rack **(Exhibit #2-Video 13).**

At 00:58 hours, MCC Security Manager, Julio Rene Sanchez[5] was observed approaching the three individuals along with Miami Police Department Officers **(Exhibit #2-Video 13).**

At 1:01, Veiguela appeared from the east side patron parking lot. **(Exhibit #2-Page 28).**

From 1:06-1:29 hours, all four individuals were taken to the MCC Security Office and properly identified, which verified that they were all underaged. They were then excluded until their 21st birthday **(Exhibit #2-Page 29).**

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[3] ***It was not determined how or where the Players Card was obtained.***

[4] ***The Players Club card was later determined to belong to Barbara Mesa player account #3053443465045 (Exhibit #2- Page 38).***

[5] ***The Security Report confirms that approximately at 00:55 hours Security Shift Manager Sanchez was informed by the Surveillance Department of an individual urinating on the bicycle rack located at the patrons parking lot. Upon arrival Sanchez requested their Identification and discovered Andreu, Perez and Cepero were under 21 years of age. Sanchez then proceeded to request video review from surveillance to determine whether they had accessed the slot gaming floor. Surveillance later confirmed that all four underage accessed and engaged in slot machine play (Exhibit #1- Pages 14,15 and 18 and Exhibit #2- Video 13).***

CONTINUATION

The Security Report confirms the time each Identification that the individuals presented was scanned upon entry. The history for the scanner utilized shows the following **(Exhibit #1- Pages 14,15 and 18):**

At 23:04 hours, a fraudulent New Jersey ID provided by Jose Veiguela (DOB: [redacted]) in the name of Jake Fernandez (DOB: [redacted]) indicating he was 22 years old was scanned **(Exhibit #2- Page #5).**

At 23:04 hours, the California ID scanned, provided by Gabriel Ricardo Andreu (DOB: [redacted] contained his information with a different year in the Date of Birth field (DOB [redacted]) stating he was 25 years of age, indicating he presented a fake Identification **(Exhibit #2- Page #8)**.

At 23:51 hours, scanners show a New Jersey ID provided by Matthew Luis Perez (DOB: [redacted]) also a fake ID with all his information and a different year on his Date of Birth [redacted] indicating he is 22 years of age **(Exhibit #2- Page #11).**

- Manuel Cepero was excluded unti[redacted]
- Matthew Luis Perez was excluded unti[redacted]
- Jose Veiguela was excluded until[redacted]
- Gabriel Ricardo Andreu was excluded until[redacted]

On July 16, 2025, this Investigator spoke with MCC Security Director, Victor Suarez regarding the incident outlined above and inquired whether any disciplinary action had been taken against the Security Officers involved. Mr. Suarez confirmed that only Security Officer Jennifer Hernandez received a Red Notice (Written Warning) due to inattentiveness. Specifically, Officer Hernandez failed to notice an underage patron entering the facility while she was distracted in conversation with a housekeeping employee. Mr. Suarez further asserted that the other Security Officers involved did perform ID checks as required. Although the identification presented was later discovered to be fraudulent, those officers followed proper procedures.

On September 13, 2025, this Investigator spoke with Karina Meza, Director of Player Services at MCC, regarding the attempted use of Barbara Mesa's Players Club card by the minors. Ms. Meza explained that without the associated PIN number, users are unable to access any free play or redeem accumulated points on the card. The only function available without the PIN is the ability to earn points if the card is inserted during active play. Ms. Meza provided this Investigator with the Gaming Sessions Report for Barbara Mesa. According to the report, on June 28, 2025, the Players Club card was inserted into the following gaming machines:

- Machine 07-04-01
- Machine 07-03-09
- Machine 07-03-07

In each instance, the card was inserted for only a few seconds. The report confirms that no points were earned, and no free play was used during these brief sessions while the card was in the possession of the minors **(Exhibit #2 - Page 41).**

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[6] ***Although Manuel Cepero (DOB: 02/22/2007) was initially denied access at Bravo 2 by Security Officer Jennifer Moll, no identification was scanned during his later entry. When Cepero entered Bravo 4 at 23:52, Security Officer Fundora did not possess a scanner. Therefore, the ID presented by Cepero was not recorded on the scanner's history, and it remains unknown whether Cepero presented a fraudulent ID to Fundora for reentry. No record of Cepero's ID or any other ID he could have possibly used was found on the Scanner History.***

CONTINUATION

Conclusion: On June 27-28, 2025 Manuel **CEPERO**, a minor born on [redacted] gained access to the slot gaming floor at Magic City Casino. Surveillance footage confirmed that **CEPERO** not only engaged in multiple instances of slot machine play but also attempted to use another patron's player's card. In addition, **CEPERO** and his companions displayed disruptive behavior throughout the premises. **CEPERO** was initially denied entry by Security Officer Jennifer Alexandra Moll at the Bravo 2 post after presenting a valid identification showing he was under the age of 21. Despite this, **CEPERO** successfully gained access on a second attempt via the Bravo 4 entrance, where Security Officer Jennifer Hernandez, who was engaged in conversation with a housekeeping employee and facing away from the entrance, failed to request identification or prevent his unauthorized entry. On a subsequent re-entry attempt, **CEPERO** was observed presenting an identification to Security Officer Ibrain Y Fundora, who, lacking an ID scanner at the time, manually reviewed the ID and permitted entry. Although **CEPERO** was not caught utilizing any fraudulent ID, **CEPERO's** actions constitute a clear violation. As a result, **CEPERO** has been excluded from Magic City Casino until his 21st birthday o [redacted] His participation in slot machine play constitutes a clear violation of the Florida Statute 551.113, which prohibits individuals under the age of 21 from accessing slot machine gaming areas **(Exhibit #1-Page 22).**

Status: Closed by Investigations and forwarded to Legal for review.

CONTINUATION

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Incident File Summary Report

Incident File # :	IN20250003858	**Record Creation Details**	
Date/Time Occurred:	6/30/2025 9:30:12 AM	Department:	Surveillance
Day of Week Occurred:	Monday	Owner:	3327
Date/Time Created:	6/30/2025 9:30:46 AM	Operator ID:	3327
Date/Time Closed:		Operator Name:	
Closed By:		Personnel ID:	

Location of Incident:

Property:	Magic City Casino
Location:	Entrance
Sublocation:	Main Casino Entrance

Details of Incident:

Daily Log #:	DL20250024421
Incident Type:	Underage Subject
Specific:	
Category:	
Incident Status:	Open
Synopsis:	At 23:03 on 6/27/2025, a male minor identified as Mathew Luis Perez entered the Casino Floor through the Casino Main Entrance where Security Agent Jennifer Moll was posted. Security Agent Jennifer Moll appeared to have checked/scanned Matthew Perez identification card. Manuel Cepero was observed playing machines 07-04-01, 07-04-08,07-06-07,07-03-08,07-06-02,04-03-10, 04-03-11, and 07-03-08. Manuel Cepero was excluded until one day after 21st birthday. Video saved.
Checklist:	
Narrative:	

Created On	Created By	Modified On	Modified By

Executive Brief:

Reporting Party:

Supervisor:

EXHIBIT #1

PAGE #1

Incident File Summary Report

Incident File # :	IN20250003859	**Record Creation Details**	
Date/Time Occurred:	6/30/2025 9:30:51 AM	Department:	Surveillance
Day of Week Occurred:	Monday	Owner:	3327
Date/Time Created:	6/30/2025 9:31:25 AM	Operator ID:	3327
Date/Time Closed:		Operator Name:	
Closed By:		Personnel ID:	

Location of Incident:

Property:	Magic City Casino
Location:	Entrance
Sublocation:	Main Casino Entrance

Details of Incident:

Daily Log #:	DL20250024422
Incident Type:	Underage Subject
Specific:	
Category:	
Incident Status:	Open
Synopsis:	At 23:03 on 6/27/2025, a male minor identified as Jose Veiguela entered the Casino Floor through the Casino Main Entrance where Security Agent Jennifer Moll was posted. Security Agent Jennifer Moll appeared to have checked/scanned Jose Vieguela Andreu identification card. Jose Veiguela was observed playing at machines 07-06-08, Jose Veiguela was excluded until one day after 21st birthday. Video saved.
Checklist:	
Narrative:	

Created On	Created By	Modified On	Modified By

Executive Brief:

Reporting Party:

Supervisor:

EXHIBIT #1

PAGE #2

Incident File Summary Report

Incident File # :	IN20250003860	**Record Creation Details**	
Date/Time Occurred:	6/30/2025 9:32:02 AM	Department:	Surveillance
Day of Week Occurred:	Monday	Owner:	3327
Date/Time Created:	6/30/2025 9:32:35 AM	Operator ID:	3327
Date/Time Closed:		Operator Name:	
Closed By:		Personnel ID:	

Location of Incident:

Property:	Magic City Casino
Location:	Entrance
Sublocation:	Main Casino Entrance

Details of Incident:

Daily Log #:	DL20250024423
Incident Type:	Underage Subject
Specific:	
Category:	
Incident Status:	Open
Synopsis:	At 2303 on 6/27/2025, a male minor identified as Gabriel Ricardo Andreu entered the Casino Floor through the Main Entrance where Security Agent Jennifer Moll was posted. Security Agent Jennifer Moll appeared to have checked/scanned Gabriel Andreu identification card. Gabriel Ricardo Andreu was observed playing at machines 03-07-09, 07-06-08, 07-04-07,07-03-07, 07-03-04,07-03-06, 04-03-10, 04-03-09, 04-03-10, 04-05-07, and 07-06-08. Gabriel Ricardo Andreu was excluded until one day after 21st birthday. Video saved.
Checklist:	
Narrative:	

Created On	Created By	Modified On	Modified By

Executive Brief:

Reporting Party:

Supervisor:

EXHIBIT #1

PAGE #3

Incident File Summary Report

Incident File # :	IN20250003862	**Record Creation Details**	
Date/Time Occurred:	6/30/2025 9:32:40 AM	Department:	Surveillance
Day of Week Occurred:	Monday	Owner:	3327
Date/Time Created:	6/30/2025 9:34:21 AM	Operator ID:	3327
Date/Time Closed:		Operator Name:	
Closed By:		Personnel ID:	

Location of Incident:

Property:	Magic City Casino
Location:	Entrance
Sublocation:	West Casino Entrance

Details of Incident:

Daily Log #:	DL20250024424
Incident Type:	Underage Subject
Specific:	
Category:	
Incident Status:	Open
Synopsis:	At 23:06 on 6/27/2025, a male minor identified as Manuel Cepero entered the Casino Floor through the West Casino Entrance where Security Agent Jennifer Hernandez was posted. Security Agent Jennifer Hernandez did not check Manuel Cepero identification card. Manuel Cepero was observed playing at machines 07-08-01,07-06-07,07-04-06 and 07-03-05. Manuel Cepero was excluded until one day after 21st Birthday. Video saved.
Checklist:	
Narrative:	

Created On	Created By	Modified On	Modified By

Executive Brief:

Reporting Party:

Supervisor:

EXHIBIT #1

PAGE #4

Reporting Party:

Supervisor:

EXHIBIT #1

PAGE #5

Incident File Full Report

iTrak Incident Reporting and Risk Management System Version 2023.3.3.12701

Incident Number:	IN20250003821
Date/Time Occurred:	06/28/2025 1:10:53 AM
Day of Week:	Saturday
Created by:	jsanchez

Printed By: jrivera **Printed On: 07/17/2025 at 4:33:07 PM**

EXHIBIT #1
PAGE #6

Incident File Full Report

Incident File #IN20250003821

		Record Creation Details	
Date/Time Occurred:	06/28/2025 1:10:53 AM	**Department:**	Security
Day of Week Occurred:	Saturday	**Owner:**	jsanchez
Date/Time Created:	06/28/2025 6:00:02 AM	**Operator ID:**	jsanchez
Date/Time Closed:		**Operator Name:**	
Closed By:		**Personnel ID:**	
		Card Number:	
		Job Position	
		Secondary Operator:	

Location of Incident:

Property:	Magic City Casino
Location:	PARKING LOT
Sublocation:	

Details of Incident:

Daily Log #:	DL20250024118
Incident Type:	Minor entering Casino Floor
Incident Status:	Open
Custom 3:	
Custom 4:	

Synopsis:

On Saturday June 28, 2025, at approximately 12:55AM, Security is advised by surveillance that a male patron that was intoxicated was urinating outside in the Parking lot next to the Bike Rack. Security Shift Manager Julio Sanchez responded to the Bike Rack area in which he approached 3 male patrons and asked who had urinated next to the bike rack. Afterwards one of the patrons identified as Gabirel Ricardo Andreu confessed to urinating. Security Shift Manager Sanchez along side MPD advised the male patron that due to urinating he would be excluded for 30 Days. Upon obtaining Mr. Andreu's identification it was discovered that he was not over 21 years old but instead was 18 Years old therefore he had trespassed on the Casino floor as a Minor. Security Shift Manager Sanchez then proceeded to ask Surveillacne if any of the other male patrons were on the Casino Floor alongside Mr. Andreu in which Surveillance that all 4 patrons were at on the casino floor. Security Shift Manager Sanchez then proceeded to grab all 4 male patron's identifications and escorted them to the Security Vestibule. Upon investigation all 4 Male patrons were identified as Gabriel Ricardo Andreu DOB: [redacted](18 Years Old), Mathew Luis Perez DOB: [redacted](18 Years Old), Jose Veiguela DOB[redacted]19 Years Old), Manuel Cepero DOB: [redacted](18 Years Old). Security Shift Manager Sanchez proceeded to exclude all 4 patrons 1 Day following their 21st Birthday. All 4 male patrons signed the Trespass Warning Form and then left the property.

Checklist:

Narrative:

Created On 06/28/2025 5:20:50 AM **Created By** jsanchez

Reporting Party:

Supervisor:

EXHIBIT #1
PAGE #7

Modified On 06/28/2025 8:00:08 PM **Modified By** jsanchez

On Saturday June 28, 2025, at approximately 12:55AM, Security is advised by surveillance that a male patron that was intoxicated was urinating outside in the Parking lot next to the Bike Rack. Security Shift Manager Julio Sanchez responded to the Bike Rack area in which he approached 3 male patrons and asked who had urinated next to the bike rack. Afterwards one of the patrons identified as Gabirel Ricardo Andreu confessed to urinating. Security Shift Manager Sanchez along side MPD advised the male patron that due to urinating he would be excluded for 30 Days. Upon obtaining Mr. Andreu's identification it was discovered that he was not over 21 years old but instead was 18 Years old therefore he had trespassed on the Casino floor as a Minor. Security Shift Manager Sanchez then proceeded to ask Surveillacne if any of the other male patrons were on the Casino Floor alongside Mr. Andreu in which Surveillance that all 4 patrons were at on the casino floor. Security Shift Manager Sanchez then proceeded to grab all 4 male patron's identifications and escorted them to the Security Vestibule. Upon investigation all 4 Male patrons were identified as Gabriel Ricardo Andreu DOB [redacted] 18 Years Old), Mathew Luis Perez DOB: [redacted] (18 Years Old), Jose Veiguela DOB: [redacted] (19 Years Old), Manuel Cepero DOB [redacted] 8 Years Old). Security Shift Manager Sanchez proceeded to exclude all 4 patrons 1 Day following their 21st Birthday. All 4 male patrons signed the Trespass Warning Form and then left the property.

After Surveillance video review it was seen at 11:04PM all 4 patrons enter the Casino via the Caino main entrance and heading up to the Casino Floor Main Entrance (Bravo 2) where Security Officer Jennifer Moll proceeds to grab their identification and check them with the ID Scanner and grants access to Mr. Perez, Mr. Andreu and Mr. Veiguela and denies access to Mr. Cepero advising him he can go to the Poker Room since he was 18 Years old.

After further investigation Identification Scanner History was checked in which it was discovered that 3 of the patrons, Mr. Mathew Luis Perez, Mr. Gabriel Ricardo Andreu and Mr. Jose Veiguela had used Fake Identifications to get past Security I.D. checks. Mr. Perez had an ID from New Jersey with Diver License# [redacted] DOB: [redacted] Mr. Gabriel Andreu had an ID from California Driver License [redacted] and Mr. Jose Veiguela had an ID from New Jersey under the name of Jake Fernandez License [redacted] DOB: [redacted]

Executive Brief:

Reporting Party:

Supervisor:

EXHIBIT #1
PAGE #8

Participants Involved:

Personnel

Full Name:	MOLL, JENNIFER	Property:	Magic City Casino
Role:	Security Officer I,	Department:	Security
Date Of Birth:			

Full Name:	SANCHEZ, JULIO RENE	Property:	Magic City Casino
Role:	Security Shift Manager,	Department:	Security
Date Of Birth:	[redacted]		

Subject

Full Name:	Cepero, Manuel	Company:	
Role:	Patron,		
Date Of Birth:	[redacted]		
Address:	192 Orchid ST, Tavernier, FL, 33070, USA		
Contact Info:			

Full Name:	Andreu, Gabriel Ricardo	Company:	
Role:	Patron,		
Date Of Birth:	[redacted]		
Address:	25 Deer Run, Miami Springs, FL, 33166, USA		
Contact Info:			

Full Name:	Perez, Matthew Luis	Company:	
Role:	Patron,		
Date Of Birth:	[redacted]		
Address:	5961 NW 40 ST, Virginia Garden, FL, 33166, USA		
Contact Info:			

Full Name:	Veiguela, Jose	Company:	
Role:	Patron,		
Date Of Birth:	[redacted]		
Address:	57 Whitehorn DR, Miami Springs, FL, 33166, USA		
Contact Info:			

Ban/Watch Details

Full Name	Ban/Watch	Start Date	End Date

Reporting Party:

Supervisor:

EXHIBIT #1
PAGE #9

Veiguela, Jose	Ban	06/28/2025	[redacted]
Type Of Ban:	Temporary	**Notes:**	
Reason For Ban:	Minor on the casino floor		
Identification:	Driver's License		
Cepero, Manuel	Ban	06/28/2025	[redacted]
Type Of Ban:	Temporary	**Notes:**	
Reason For Ban:	Minor on the casino floor		
Identification:	Driver's License		
Andreu, Gabriel Ricardo	Ban	06/28/2025	[redacted]
Type Of Ban:	Temporary	**Notes:**	
Reason For Ban:	Minor on the casino floor		
Identification:	Driver's License		
Perez, Matthew Luis	Ban	06/28/2025	[redacted]
Type Of Ban:	Temporary	**Notes:**	
Reason For Ban:	Minor on the casino floor		
Identification:	Driver's License		

List of Attached Records:

Record Type	Summary	Attached By	Date Attached

Reporting Party:

Supervisor:

EXHIBIT #1
PAGE #10

Daily Log	Daily Log#: DL20250024118 - Occurred Date: 06/28/2025 1:10:53 AM - Property: Magic City Casino - Location: PARKING LOT - Topic: Minor entering Casino Floor - Synopsis: On Saturday June 28, 2025, at approximately 12:55AM, Security is advised by surveillance that a male patron that was intoxicated was urinating outside in the Parking lot next to the Bike Rack. Security Shift Manager Julio Sanchez responded to the Bike Rack area in which he approached 3 male patrons and asked who had urinated next to the bike rack. Afterwards one of the patrons identified as Gabirel Ricardo Andreu confessed to urinating. Security Shift Manager Sanchez along side MPD advised the male patron that due to urinating he would be excluded for 30 Days. Upon obtaining Mr. Andreu's identification it was discovered that he was not over 21 years old but instead was 18 Years old therefore he had trespassed on the Casino floor as a Minor. Security Shift Manager Sanchez then proceeded to ask Surveillacne if any of the other male patrons were on the Casino Floor alongside Mr. Andreu in which Surveillance that all 4 patrons were at on the casino floor. Security Shift Manager Sanchez then proceeded to grab all 4 male patron's identifications and escorted them to the Security Vestibule. Upon investigation all 4 Male patrons were identified as Gabriel Ricardo Andreu DOB[redacted]18 Years Old), Mathew Luis Perez DOB:[redacted]ears Old), Jose Veiguela D[redacted]9 Years Old), Manuel Cepero D[redacted]18 Years Old). Security Shift Manager Sanchez proceeded to exclude all 4 patrons 1 Day following their 21st Birthday. All 4 male patrons signed the Trespass Warning Form and then left the property.	jsanchez	06/28/2025

Reporting Party:

Supervisor:

EXHIBIT #1
PAGE #11

Media Title
Color ID

Original Filename
Manuel Color ID.jpg

Date Attached
06/28/2025 5:58:53 AM

Attached By
jsanchez

Type
JPG

Size
754498

Media Title
Color ID

Original Filename
Jose Color ID.jpg

Date Attached
06/28/2025 5:59:15 AM

Attached By
jsanchez

Type
JPG

Size
732901

EXHIBIT #1
PAGE #12

Media Title
Color ID

Original Filename
Gabirel Color ID.jpg

Date Attached
06/28/2025 5:59:03 AM

Attached By
jsanchez

Type
JPG

Size
691180

Media Title
Trespass Warning Form

Original Filename
Jose Trespass Warning Form.pdf

Date Attached
06/28/2025 5:59:15 AM

Attached By
jsanchez

Type
PDF

Size
35412

EXHIBIT #1
PAGE #13

Media Title

Original Filename
Gabriel Fake Info.jpg

Date Attached
06/28/2025 6:04:21 AM

Attached By
jsanchez

Type
JPG

Size
2478016

Media Title
Trespass Warning Form

Original Filename
Gabriel Trespass Warning Form.pdf

Date Attached
06/28/2025 5:59:03 AM

Attached By
jsanchez

Type
PDF

Size
34418

EXHIBIT #1
PAGE #14

Media Title

Original Filename
Manuel Cepero.jpg

Date Attached
06/28/2025 5:58:53 AM

Attached By
jsanchez

Type
JPG

Size
2099206

Media Title

Original Filename
Mathew Fake Info.jpg

Date Attached
06/28/2025 6:04:21 AM

Attached By
jsanchez

Type
JPG

Size
1239664

EXHIBIT #1
PAGE #15

Media Title
Color ID

Original Filename
Mathew Color ID.jpg

Date Attached
06/28/2025 5:58:45 AM

Attached By
jsanchez

Type
JPG

Size
709532

Media Title
Trespass Warning Form

Original Filename
Manuel Trespass Warning Form.pdf

Date Attached
06/28/2025 5:58:53 AM

Attached By
jsanchez

Type
PDF

Size
33296

EXHIBIT #1
PAGE #16

Media Title

Original Filename
Mathew Luis Perez.jpg

Date Attached
06/28/2025 5:58:44 AM

Attached By
jsanchez

Type
JPG

Size
2634448

Media Title

Original Filename
Gabriel Ricardo Andreu.jpg

Date Attached
06/28/2025 5:59:03 AM

Attached By
jsanchez

Type
JPG

Size
1958538

EXHIBIT #1
PAGE #17

Media Title

Original Filename
Jose Veiguela.jpg

Date Attached
06/28/2025 5:59:14 AM

Attached By
jsanchez

Type
JPG

Size
1734571

Media Title

Original Filename
Jose Fake Info.jpg

Date Attached
06/28/2025 7:53:20 PM

Attached By
jsanchez

Type
JPG

Size
1480526

EXHIBIT #1
PAGE #18

Media Title
Trespass Warning Form

Original Filename
Mathew Trespass Warning Form.pdf

Date Attached
06/28/2025 5:58:45 AM

Attached By
jsanchez

Type
PDF

Size
32396

EXHIBIT #1
PAGE #19

MAGIC CITY
CASINO

TRESPASS WARNING

NAME / LAST NAME: Jose Velgaelo

DRIVER LICENSE [redacted] D.O.B. [redacted]

ADDRESS: 89 Whitehorn Dr CITY: Miami Springs ST: FL ZIP: 33166

RACE: Hispanic SEX: M HEIGHT: 5'9 WEIGHT: 190 HAIR: Black EYES: Brown

REASON FOR TRESPASSING: minor on the Casino Floor

The above-named person was advised to stay off MAGIC CITY CASINO property for a period of:

☐ 24 Hrs ☐ 3 Days ☐ 7 Days ☐ 30 Days ☐ 3 Months ☐ 6 Months ☐ 1 Year ☐ 2 Year ☑ Permanent [redacted]

This warning was given on 6/28/2025 at 1:18 AM hours.

If the above-named person returns onto said property during the stated period of time, it may result in his/her ARREST for Criminal Trespass, in accordance with the applicable provisions of Chapter 810 Florida Statutes. Additionally, MAGIC CITY CASINO may permanently withhold any winnings from the above-named person that were won during the stated period of time.

SUBJECT'S SIGNATURE: [signature]

CASE #: N/A ARRESTED: ☐ YES ☑ NO

SECURITY AGENT: [signature] #4419 DATE: 6/28/2025

POLICE OFFICER / WITNESS: [signature] 45390

☑ Warned by MPD referenced trespass after warning ☐ Subject refused to sign this trespass warning

EXHIBIT #1
PAGE #20

MAGIC CITY
CASINO

TRESPASS WARNING

NAME / LAST NAME: Gabriel Ricardo Andrew

DRIVER LICENSE #: [redacted] D.O.B. [redacted]

ADDRESS: 25 Deer Run CITY: Miami Springs ST: FL ZIP: 33166

RACE: Hispanic SEX: M HEIGHT: 6'0 WEIGHT: 190 HAIR: Brown EYES: Brown

REASON FOR TRESPASSING: Urinating in Public / Mini on the Casino Floor

The above-named person was advised to stay off MAGIC CITY CASINO property for a period of:

☐ 24 Hrs ☐ 3 Days ☐ 7 Days ☐ 30 Days ☐ 3 Months ☐ 6 Months ☐ 1 Year ☐ 2 Year ☑ Permanent [redacted]

This warning was given on 6/28/2025 at 1:19 AM hours.

If the above-named person returns onto said property during the stated period of time, it may result in his/her ARREST for Criminal Trespass, in accordance with the applicable provisions of Chapter 810 Florida Statutes. Additionally, MAGIC CITY CASINO may permanently withhold any winnings from the above-named person that were won during the stated period of time.

SUBJECT'S SIGNATURE: [signature]

CASE #: N/A ARRESTED: ☐ YES ☑ NO

SECURITY AGENT: [signature] #4649 DATE: 6/28/2025

POLICE OFFICER / WITNESS: [signature] 45390

☑ Warned by MPD referenced trespass after warning ☐ Subject refused to sign this trespass warning

EXHIBIT #1
PAGE #21

MAGIC CITY
CASINO

TRESPASS WARNING

NAME / LAST NAME: Manuel Cepero

DRIVER LICENSE #: [redacted] D.O.B: [redacted]

ADDRESS: 197 Orchid ST CITY: Tavernier ST: FL ZIP: 33070

RACE: Hispanic SEX: M HEIGHT: 5'10 WEIGHT: 190 HAIR: Brown EYES: Brown

REASON FOR TRESPASSING: Minor on the Casino Floor

The above-named person was advised to stay off MAGIC CITY CASINO property for a period of:

☐ 24 Hrs ☐ 3 Days ☐ 7 Days ☐ 30 Days ☐ 3 Months ☐ 6 Months ☐ 1 Year ☐ 2 Year ☑ Permanent [redacted]

This warning was given on 6/28/2018 at 1:18AM hours.

If the above-named person returns onto said property during the stated period of time, it may result in his/her ARREST for Criminal Trespass, in accordance with the applicable provisions of Chapter 810 Florida Statutes. Additionally, MAGIC CITY CASINO may permanently withhold any winnings from the above-named person that were won during the stated period of time.

SUBJECT'S SIGNATURE: MC

CASE #: N/A ARRESTED: ☐ YES ☑ NO

SECURITY AGENT: # 469 DATE: 6/28/2018

POLICE OFFICER / WITNESS: 45390

☑ Warned by MPD referenced trespass after warning ☐ Subject refused to sign this trespass warning

EXHIBIT #1
PAGE #22

MAGIC CITY
CASINO

TRESPASS WARNING

NAME / LAST NAME: Mathew Luis Perez

DRIVER LICENSE [redacted] D.O.B. [redacted]

ADDRESS: 5961 nw 40 ST CITY: Lauderdale Gardens ST: FL ZIP: 33166

RACE: Hispanic SEX: M HEIGHT: 5'6 WEIGHT: 180 HAIR: Blonde EYES: Blue

REASON FOR TRESPASSING: Under the influence

The above-named person was advised to stay off MAGIC CITY CASINO property for a period of:

☐ 24 Hrs ☐ 3 Days ☐ 7 Days ☐ 30 Days ☐ 3 Months ☐ 6 Months ☐ 1 Year ☐ 2 Year ☑ Permanent [redacted]

This warning was given on 6/28/2025 at 1:19 AM hours.

If the above-named person returns onto said property during the stated period of time, it may result in his/her ARREST for Criminal Trespass, in accordance with the applicable provisions of Chapter 810 Florida Statutes. Additionally, MAGIC CITY CASINO may permanently withhold any winnings from the above-named person that were won during the stated period of time.

SUBJECT'S SIGNATURE: [signature]

CASE #: N/A ARRESTED: ☐ YES ☑ NO

SECURITY AGENT: [signature] #4969 DATE: 6/28/2025

POLICE OFFICER / WITNESS: [signature] 45390

☑ Warned by MPD referenced trespass after warning ☐ Subject refused to sign this trespass warning

EXHIBIT #1
PAGE #23

State of Florida
Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
Investigations Section

PROPERTY RECEIPT

Complaint # Case#2025054088 Date 7/24/25 Lab # _____

Item No.	Quantity	Description
(64 GB) 1 USB	1	video for minors on 6/27/25 Incident #IN20250003858
(32GB) 1 USB	1	video for minors found on 6/27/25 Incident # 2503859, 2503860, 2503862

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.

Asbenel Bapuste
Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.

Signature – Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature Date

Received by: _____ Date: _____
Received by: _____ Date: _____
Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

Form DBPR 11-75 (Revised 01/04)

EXHIBIT #3
PAGE #1

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Justin Hundersmarck, Senior Attorney
Re: FGCC v. Sean Robert O'Boyle Stone
Case No. 2025-054403; Default Final Order
Date: December 22, 2025

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") served Sean Robert O'Boyle Stone ("Respondent") with an administrative complaint seeking to revoke or suspend his cardroom employee occupational license. Respondent did not file an election of rights and thereby waived his right to request a hearing contesting this matter. The Commission should enter a final order revoking Respondent's cardroom employee occupational license.

Relevant Facts

On or about July 16, 2025, Respondent entered a plea of nolo contendere and was convicted of Larceny – Petit Theft, Greater than $100, Less than $750, a misdemeanor, in the County Court of the Fourth Judicial Circuit in and for Clay County, Florida. Respondent did not inform the Commission of the conviction within 48 hours.

Based on the foregoing, the Division filed a three-count administrative complaint on September 23, 2025, alleging Respondent violated sections 849.086(6)(g) and 550.105(10)(d), Florida Statutes, and rule 75-11.011, Florida Administrative Code, by 1) being convicted of Larceny – Petit Theft, Greater than $100, Less than $750, 2) failing to notify the Commission within 48 hours of his disqualifying conviction, and 3) failing to notify the Commission within five days of his February 1, 2025, arrest.

The election of rights form accompanying the administrative complaint made it clear that Respondent had 21 days[1] to file a written response. Respondent was served on November 7, 2025, had until November 28, 2025, to respond, and did not do so.

---

[1] *See* Fla. Admin. Code R. 28-106.111(4) ("Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.")

Analysis

Section 849.086(6)(g), Florida Statutes, provides that:

> The commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority.

Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

Section 550.105(10)(d), Florida Statutes, provides that each licensee must agree to inform the Commission "within 48 hours if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication."

Section 550.105(5)(d), Florida Statutes, provides:

> For purposes of this subsection, the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Rule 75-11.011, Florida Administrative Code, provides:

> Any cardroom occupational licensee shall provide written notification to the division when the licensee has been arrested, indicted, or charged in any other way with a criminal offense within five days of the arrest, or if not arrested, within five days of the receipt of a charging document. Licensees are not required to report non-criminal traffic offenses.

Respondent is subject to revocation or suspension of his cardroom employee occupational license based on his disqualifying conviction, his failure to notify the Commission of the disqualifying conviction within 48 hours, and his failure to notify the Commission of his arrest within five days. Moreover, Respondent did not file a timely response to the Division's administrative complaint and has thus waived his right to request a hearing contesting this matter.

Staff Recommendation: The Commission should enter a final order revoking Respondent's cardroom employee occupational license.

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: **9/23/2025**
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2025-054403

SEAN ROBERT O'BOYLE STONE,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Sean Robert O'Boyle Stone ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.

2. At all times material hereto, Respondent held a pari-mutuel wagering cardroom employee occupational license, number 13724391, issued pursuant to section 849.086(6), Florida Statutes.

3. On or about July 16, 2025, Respondent entered a plea of nolo contendere and was convicted of Larceny – Petit Theft, Greater than $100, Less than $750, a misdemeanor, in the County Court of the Fourth Judicial Circuit in and for Clay County, Florida, in case number 2024-MM-001302.

COUNT I

4. Petitioner realleges and adopts paragraphs numbered one through three as if set forth fully herein.

5. Section 849.086(6)(g), provides that "[t]he division may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority."

6. Section 849.086(6)(f), Florida Statutes, provides that "[t]he commission shall adopt rules regarding cardroom occupational licenses. The provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

7. Section 550.105(5)(d), Florida Statutes, provides the term "convicted" means "having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere."

8. Based on the foregoing, Respondent is subject to suspension or revocation of his occupational license under section 849.086(6)(g), Florida Statutes, by entering a plea of nolo contendere and being convicted of one count of Larceny – Petit Theft, Greater than $100, Less than $750, a misdemeanor involving larceny, in Clay County, Florida on July 16, 2025.

COUNT II

9. Petitioner realleges and adopts paragraphs numbered one through three as if set forth fully herein.

10. Respondent failed to notify Petitioner within 48 hours of his July 16, 2025, conviction for Larceny – Petit Theft, Greater than $100, Less than $750 in Clay County, Florida.

11. Pursuant to section 550.105(10)(d), Florida Statutes, each licensee shall inform the Commission, within 48 hours, "if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication."

12. Based on the foregoing, Respondent violated section 550.105(10)(d), Florida Statutes, by failing to inform the Commission of his July 16, 2025, conviction for a disqualifying offense within 48 hours and is therefore subject to suspension or revocation of his occupational license under section 550.0251(10), Florida Statutes.

COUNT III

13. Petitioner realleges and adopts paragraphs numbered one through three as if set forth fully herein.

14. On or about February 1, 2025, Respondent was arrested in St. Johns County, Florida, and was charged with Larceny – Petit Theft, Greater than $100, Less than $750.

15. Respondent failed to provide written notification to Petitioner within five days of his February 1, 2025 arrest.

16. Rule 75-11.011, Florida Administrative Code, states:

> Any cardroom occupational licensee shall provide written notification to the division when the licensee has been arrested, indicted, or charged in any other way with a criminal offense within five days of the arrest, or if not arrested, within five days of the receipt of a charging document. Licensees are not required to report non-criminal traffic offenses.

17. Based on the foregoing, Respondent violated rule 75-11.011, Florida Administrative Code, by failing to provide written notification to the Commission within five days of his February 1, 2025, arrest.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order revoking or suspending Respondent's pari-mutuel wagering cardroom employee occupational license, along with any other remedy provided by sections 550.0251(10) and 849.086(14), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2025-054403 is signed on the 9th day of September 2025.

/s/ Justin Hundersmarck

Justin Hundersmarck
Senior Attorney
Florida Bar Number: 1039038
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Phone: (850) 794-8063
Fax: +1 850-536-8712
Primary: Justin.Hundersmarck@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

FLORIDA GAMING CONTROL COMMISSION,

Petitioner,

v.

SEAN ROBERT O'BOYLE STONE

Respondent.

_____/

FGCC Case No.: 2025-054403

AFFIDAVIT OF SERVICE OR DILIGENT SEARCH

COMES NOW, the Affiant, an employee of the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, State of Florida, who first being duly sworn, deposes and states:

On (date) 11/7/2025, Affiant made a diligent effort to locate Respondent, to serve: ☐ License Denial; ☒ Administrative Complaint and related papers; ☐ Subpoena(s); ☐ Final order; ESO and related papers; ___ (other) _____.

(PLEASE CHECK APPLICABLE ANSWER)

✓ Affiant made personal service on Respondent, on (date), 11/7/2025, at (location) 82 CHAPIN ST. ST. AUGUSTINE, FL 32084.

____ Affiant was unable to make service after searching for Respondent at: (a) all addresses for Respondent shown in the F.G.C.C. investigation of the case; (b) all official addresses for Respondent shown in his/her licensing records of the Division of Pari-Mutuel Wagering; (c) any others: _____

Signature of Affiant

STATE OF Florida
COUNTY OF Suwannee

Before me, appeared Charles W. Taylor; ✓ who is personally known to me or ____ whose identity I proved on the basis of _____; and who acknowledges that his/her signature appears above.

Sworn to or affirmed by Affiant before me this 7 day of November 2025.

Rachael E Vantrease
Notary Public

Rachael E. Vantrease
Type or Print Name

Notary Public State of Florida
Rachael E. Vantrease
My Commission HH 426928
Expires 7/22/2027

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1012 - Cardroom Employee Occupational**			Status	**90 Closed**	Status Date	**08/28/2025**
Complaint #	**2025054403**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**STONE, SEAN ROBERT O'BOYLE**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INTR - Internal**	Priority	
Class'n	**CHIS - Criminal History**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**07/14/2025**
Region	**CR - Central Region**	Received	**07/22/2025**
Reference	**61D-11.011**		
Entered	**07/22/2025**	Entered By	**jhodge**
Summary	**148 - ORANGE PARK KENNEL CLUB - 61D-11.011 Notification of Criminal Conviction or Charge**		
Updated	**08/29/2025 09:09:37**	By	**jhodge**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☐ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

Change | Save | OK | Cancel | Back

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Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Joe Dillmore, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**Northern**	**JULY 22, 2025**	**2025 05 4403**

RESPONDENT:	COMPLAINANT:
STONE, SEAN ROBERT O'BOYLE **3158 PHYLLIS STREET** **JACKSONVILLE, FLORIDA 32205** **TEL (904) 506-8915**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 WEST COMMERCIAL BOULEVARD, SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # and Type:	Profession:	Report Date:
13724391 - 1012	**CHIP RUNNER**	**August 28, 2025**

Period of Investigation:	Type of Report:
JULY 22, 2025 – August 28, 2025	**FINAL**

Alleged Violation(s): 849.086 Cardrooms authorized. —
(6) BUSINESS AND EMPLOYEE OCCUPATIONAL LICENSE REQUIRED; APPLICATION; FEES. — (g) The commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority.

550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines. — (10) (d) Under penalty of perjury, each person who is licensed or who is fingerprinted as required by this section must agree to inform the division within 48 hours if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

75-11.011 Notification of Criminal Conviction or Charge
Any cardroom occupational licensee shall provide written notification to the division when the licensee has been arrested, indicted, or charged in any other way with a criminal offense within five days of the arrest, or if not arrested, within five days of the receipt of a charging document. Licensees are not required to report non-criminal traffic offenses.

Synopsis: Licensee Sean Robert O'Boyle **STONE**, a Chip Runner at Best Bet Orange Park, who holds a PMW Cardroom Employee Occupational License (Lic# 13724391 / 1012), was convicted on July 16, 2025, of Larceny-Petit Theft / $100 Less Than $750 (1st Degree Misdemeanor) in Clay County, Florida. In addition, **STONE** failed to notify the Division of his conviction as required by Florida Statutes and F.A.C. Rule noted above.

Related Case:

Investigation Manager / Date:	Chief of Investigations / Date
/s/ C. Derek Washington C. Derek Washington / August 28, 2025	Bradford D. Jones / August 28, 2025

CONTINUATION

On February 1, 2025, the Division received an Applicant Hit Notification from the Florida Department of Law Enforcement (FDLE). The report indicated that on February 1, 2025, **STONE** was arrested by the St. Johns County Sheriff's Office for the following charge: (**Exhibit #1)**:

1. **Larceny- Petit Theft** ($100 Less $750 Misdemeanor 1st Degree).

A check of the VERSA License database revealed **STONE** received his PMW Cardroom Employee Occupational license on September 7, 2023, to work as a Chip Runner at Best Bet Orange Park. He is currently licensed until June 30, 2026. PMW Operations Review Specialist, Holly Chaires placed an Enforcement Alert on **STONE**'s PMW license on March 5, 2025, regarding the above arrest (**Exhibit #2)**.

Information obtained from the Florida Comprehensive Case Information System (CCIS) for Clay County, Florida (Case #2024MM001302[1]), revealed that on July 16, 2025, **STONE** pled Nolo Contendere to the charge of Larceny - Petit Theft **(**Misdemeanor 1st Degree) and was Adjudicated Guilty. He was sentenced to 12 months' probation, ordered to pay $852.50 in Restitution to Vortex Cards & Games[2]. He was also ordered to write a letter of apology and pay $475.50 in fines and court costs **(Exhibit #3)**.

On July 22, 2025, I contacted Mr. **STONE** regarding his arrest and subsequent conviction. He stated he was not aware of the requirement to notify the Commission of his arrest and conviction. He further said that he would provide a written statement detailing what happened.

On August 15, 2025, I again contacted Mr. **STONE** and informed him that I had not received his written statement. He said that he would send it via email "in a couple of days." As of the date of this report, I still have not received his written statement.

Conclusion: **STONE** has violated Florida State Statutes 849.086(6)(g), 550.105(10)(d), and F.A.C. Rule 75-11.011. This violation arises from his arrest and the subsequent conviction for the misdemeanor offense of Petit Theft, as well as his failure to notify the Commission of these events.

Status: Closed by Investigations and forwarded to Legal for review.

[1] **AVAILABLE RECORDS DO NOT PROVIDE THE SCENARIO UNDER WHICH THIS CRIME OCCURRED.**

[2] ***Internet research reveals that Vortex Cards & Games in Orange Park, Florida is a store that is a known hub for trading cards and tabletop games in the Jacksonville area. As per media reports https://www.youtube.com/watch?v=qRREDb-4KSk multiple stores of this type throughout central Florida have been the targets of recent "smash and grab" burglaries in which various types of collectable trading cards like those offered by Vortex have been stolen.***

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Florida Gaming Control Commission
Division of Pari-Mutuel Wagering

PMW Occupational License Applicant Hit/Open Case Routing Slip

RE: **STONE, SEAN ROBERT O'BOYLE** **LICENSE NO:** **13724391**
(LICENSEE NAME)

APPLICANT HIT/OPEN CASE RECEIVED: 02/01/2025
(DATE)

AH	148 - Orange Park Kennel Club, Inc.	RUNN - Chip Runner
Applicant Hit ORS	**Facility (d/b/a name)**	**Occupation/Job Title**

Pursuant to Section 550.105(5)(a) The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Section Review
Did the applicant self-report this conviction? ☐ Yes ☒ No
Conviction
☐ Felony
☐ Misdemeanor – Gambling Related/Bookmaking
☐ Animal Cruelty (Previous)
Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any racing jurisdiction? Yes ☐ No ☒
☒ Cardroom Licenses, Professions 1012, 1031 and 1032 (Fraud, Larceny, Theft, etc.)
☐ Other:
Comments: **Clay County, Florida (Case #: 102024MM001302MMAXXX)** Charge 1: 07/14/2025 – LARC - PETIT THEFT 1ST DEGREE 100 LESS 750 DOLS **(M-1) – Guilty**
☑ Referred to Investigations Initials: AH Date: 7.31.25

License Administrator Review:	☐ No Referral Required	☑ Forward to Investigations	Initials: CH

EXHIBIT #1
PAGE 1/7

ST. JOHNS COUNTY SHERIFF'S OFFICE
STONE, SEAN ROBERT O'BOYLE
Booking Information

☐ High Profile ☐ Suicidal ☐ Escape Risk ☑ Hold For: CLAY CO.

[redacted]

Booking #: SJSO25JBN000533 **MNI:** SJSO16MN033563 **Cell:** SJSO* BKG*002*003

Address: 88 CHAPIN ST SAINT AUGUSTINE,FL 32084

Phone: 904-377-3231 **DOB:** [redacted] **BIRTHPLACE:** ST AUGUSTINE,ST JOHNS,FLORIDA

CITIZENSHIP: UNITED STATES **FBI POB:** FL **MARITAL STATUS:** Unmarried

RACE:	SEX:	HGT:	WGT:	HAIR:	EYES:	SSN:
W	M	6'03"	210	DBL	BLU	[redacted]

FBI: **SID:** **DL:** [redacted] **STATE:** FL

Inmate Phone PIN::

Occupation: MOVER **Employer:** PONTE VEDRA MOVERS **Phone:**

Booked: 2/1/25 09:10 **Booked By:** DOWNARD, TIA CLAIRE

Released: **Searched By:** JENSEN, STEPHEN

Photo By: JENSEN, STEPHEN

Print By: JENSEN, STEPHEN

- I have been advised any property valued over $100 is to be released or mailed at my own expense within five (5) days.
- I understand that my phone/canteen passcode are confidential and created by me. I will not share this number with anyone. I am fully responsible for all usage and monetary obligations associated with the passcode. SJSO is not responsible for loss of funds to my account.

Inmate Signature | Officer Signature | Witness Signature

EXHIBIT #1
PAGE 5/7

ST. JOHNS COUNTY SHERIFF'S OFFICE
STONE, SEAN ROBERT O'BOYLE
Case/Charge Report

SJSO25JBN000533 **SJSO16MNI033363** **SJSO* BKG*002*003**

Court Case Number: 2024MM001302

Arrest Information:

Arrest: NA Offense: NA OBTS: 5504051825

Agency: ST. JOHNS COUNTY SHERIFF'S OFFICE Officer: DAVIS-WELCH, MARQUISE JAMAL No: SJSO21PER000168

Bond Information:

Court: Bond: **JUDGE** **$1502.00**

Comments:

Sentence Information:

☐ Gain Time ☐ Work Time ☐ Disability Time ☒ Part Time ☐ DOC

Start: - Length: -

CTS: - Credit: - Worked: -

End: - Served: -

Charges:

Counts: 1 Statute: 901.15.4 Bond:$1502.00 Charge Code:OUT-OF-COUNTY WARRANT

Status: PENDING Charge Description:OUT-OF-COUNTY WARRANT

Comments: FTA/LARC PETIT THEFT 1ST DEGREE 100 LESS 750 DOLS

CLAY CO.

Inmate Signature

Witness Signature

Officer Signature

EXHIBIT #1
PAGE 6/7

S.A. CASE NO.: 24MM051839AC

IN THE COUNTY COURT OF THE FOURTH JUDICIAL CIRCUIT IN AND FOR CLAY COUNTY, FLORIDA

CLERK NO.: 24 MM 1302

DIVISION: C

STATE OF FLORIDA

vs.

SEAN ROBERT O'BOYLE STONE

INFORMATION FOR:

1) PETIT THEFT

IN THE NAME OF AND BY AUTHORITY OF THE STATE OF FLORIDA, MELISSA W. NELSON, State Attorney for the Fourth Judicial Circuit of the State of Florida, in and for Clay County, charges that:

COUNT 1

SEAN ROBERT O'BOYLE STONE on or between July 17, 2024 and July 19, 2024, in the County of Clay and the State of Florida, did knowingly obtain or use, or endeavor to obtain or use playing cards, valued at One-Hundred Dollars ($100.00) or more but less than Seven-Hundred Fifty Dollars ($750.00), the property of Vortex Cards & Games, with intent to either temporarily or permanently deprive Vortex Cards & Games of a right to the property or benefit therefrom or appropriate the property to his own use or to the use of any person not entitled thereto, contrary to the provisions of Section 812.014(2)(e), Florida Statutes.

MELISSA W. NELSON, STATE ATTORNEY
FOURTH JUDICIAL CIRCUIT

By: Rachel C Demers
Assistant State Attorney
Fourth Judicial Circuit of Florida, in and for Clay County

STATE OF FLORIDA)
COUNTY OF CLAY)

Personally appeared before me, Rachel C. Demers, Assistant State Attorney, for the Fourth Judicial Circuit of the State of Florida, in and for Clay County, who is personally known to me, and who being first duly sworn, says that the allegations as set forth in the foregoing information are based upon facts that have been sworn to as true, and which, if true, would constitute the offense therein charged, and that this prosecution is instituted in good faith, and hereby certifies that testimony under oath has been received from the material witness(es) for the offense.

Sworn to and subscribed before me this 30th day of October, 2024.

By Joanna Long

JOANNA G. LONG
Commission # HH 431855
Expires August 9, 2027

TARA S. GREEN, Clerk of the Circuit Court, Fourth Judicial Circuit of Florida, In and For Clay County, and Clerk of the County Court, In and For Clay County, Florida.

FILED THIS _____ BY DEPUTY CLERK: Stephanie Wyatt

SUMMONS YES Ext. STATE
Race/Sex White/Male DOB [redacted]

MCL NO.(S): S812.014(2)(e), M1

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **dwashingto**

License

Fed Tax # [redacted] Lic Type **1012 - Cardroom Employee Occupational** Expires On **06/30/2026**

File # **14868** Name **STONE, SEAN ROBERT O'BOYLE** Extended To

License # **13724391** Rank **CEMP - Cardroom Employee Occupational** Renewed On

Entity # **13724391** Lic Status **Current**

Licensee | History | Notes | Notes History | Back

Address

Street # **3158** Street **PHYLLIS STREET**

Line 2

Line 3

City **JACKSONVILLE** State **FL** Zip **32205**

Routing

Other

1st License Date **09/07/2023** Rank Date **09/07/2023** Certificate #

Method **I-S-1020** Status Date **09/07/2023** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	03/05/2025	APPLICANT HIT
C	RUNN - Chip Runner	09/06/2023	
I	GHND - Greyhound	09/06/2023	
L	148 - Orange Park Kennel Club, Inc.	07/21/2025	
Y	3YR - 3 Year License	09/07/2023	

Alt Keys

BEST LIC NBR **13724391**

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EXHIBIT #2
PAGE 1/3

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **dwashingto**

VR Home > License Search > **Display Alerts**

Fed Tax #	[redacted]	Lic Type	**1012 - Cardroom Employee Occupational**	Expires On	**06/30/2026**
File #	**14868**	Name	**STONE, SEAN ROBERT O'BOYLE**	Extended To	
License #	**13724391**	Rank	**CEMP - Cardroom Employee Occupational**	Renewed On	
Entity #	**13724391**	Lic Status	**Current**		

Description	Effective	Notes
Enforcement Alert	03/05/2025	☑

OK

Get Adobe Reader.

EXHIBIT #2
PAGE 2/3

Modifier Type	**A - Alert**
Modifier	**ENFO - Enforcement**
Effective	**03/05/2025**
Expires	**-**
Additional Info :	
Prompt	
Value	**APPLICANT HIT**
Notes	**Applicant must list and provide docs for the 2/1/25 (warrant) for arrest in St Johns CO, FL. CCIS shows 11/1/2024 larc- petit theft MM open Clay Co, FL**
Updated	**03/05/2025 16:06:25**
By	**hchaires**

EXHIBIT #2
PAGE 3/3

IN THE COUNTY COURT OF THE FOURTH JUDICIAL CIRCUIT
IN AND FOR CLAY COUNTY, FLORIDA

STATE OF FLORIDA

vs.

SEAN ROBERT OBOYLE STONE
Defendant
_____/

CASE NO.: 2024MM001302
DIVISION: C

WARRANT

SEAN ROBERT OBOYLE STONE
3158 PHYLLIS STREET
JACKSONVILLE, FL 32205
(904) 506-8915

Race: White
Date of Birth: [redacted]
Weight: 200
Hair: Brown
SSN: [redacted]

Gender: Male
Height: 6-04
Eyes: Blue
DL#: [redacted]
Scars and/or Marks: NONE KNOWN

To All and Singular The Sheriffs of the State of Florida, Greetings:

This is to command you to take SEAN ROBERT OBOYLE STONE if he/she be found in your County and safely keep, so that you have his/her body before one of the Judges of our County Court, at Clay County, Florida, in GREEN COVE SPRINGS, INSTANTER to answer the charges found and now pending in said County Court for **Failure To Appear for Court**. To the charge(s) of:

			Bond Amount
812.014(2)(e)	LARC - PETIT THEFT 1ST DEGREE 100 LESS 750 DOLS	CASH/SURETY	$1,502.00

On 11/15/2024 and have then and there this writ with due return of your action endorsed thereon.
RELEASE OPTIONS FOR DEFENDANT: CASH/SURETY.

Issuance Notes: EXT CODE - D

Ordered by: RAYMOND EDWARD FORBESS Jr
11/18/2024

Issued On:

Tara S. Green
Clerk of the Circuit Clerk

By: Verlon Woodard
Deputy Clerk

IN THE COUNTY COURT OF THE FOURTH JUDICIAL CIRCUIT
IN AND FOR CLAY COUNTY, FLORIDA

Received this warrant on the _____ day of _____, 20____ and executed the SAME BY arresting one _____ the within name defendant, in the County Court of Clay County, Florida on the _____ day of _____ 20____.

By: _____
Deputy Sheriff

IN THE COUNTY COURT OF THE FOURTH JUDICIAL CIRCUIT
IN AND FOR CLAY COUNTY FLORIDA

STATE OF FLORIDA,

CASE NO.: 2024 MM 001302
DIVISION: C

vs.

Sean Stone /

PLEA OF GUILTY OR NO CONTEST AND NEGOTIATED SENTENCE

____I hereby enter my plea of guilty because I am guilty.

__✓__I hereby enter my plea of no contest because I believe it to be in my best interest.

Before entering such plea, I was advised of the nature of all the charges against me, the statutory offenses included within such charges, the range of maximum and minimum allowable punishments for each charge, all possible defenses to each charge, and all circumstances in mitigation of such charges. I have been advised of all other facts essential to a full and complete understanding of all offenses with which I have been charged, and of all offenses to which I am entering this plea. I have been advised of all direct consequences of the sentence to be imposed.

Specific Terms of Negotiated Sentence:

My sentence has been negotiated in this case in that I will be:
__✓__ Adjudicated Guilty _____Adjudication of guilt will be withheld

I will be sentenced as follows:
AG, 12 mop to comp following SC: 1) Pay $852.50 in restitution at a rate of no less than $72 per month; 2) Letter of apology, including acknowledgement of crime

The State and Defendant stipulate and agree that the Defendant is entitled to ____ days credit for time already served in this/these cases and Defendant agrees to waive any other credit.

I am represented by a lawyer whose signature appears below. I have told my lawyer all the facts and circumstances about the charges against me. My lawyer has counseled and advised me on the nature of each charge; on any and all lesser included charges; and, on all possible defenses that I might have in this case.

I have had ample time to discuss this agreement with my attorney. My attorney and I have read this agreement regarding my guilty plea together in private, and my attorney has explained all portions of this agreement to my complete understanding and satisfaction. We have fully discussed all aspects of the case, including all possible defenses to all charges, including self defense and any defense based upon any disability, disease, insanity, or intoxication. My attorney has given me the opportunity to ask questions and has answered all of my questions fully and completely. My attorney has taken all actions requested by me, or has explained to my satisfaction and agreement why such actions should not be taken, and I concur with my attorney's decisions in that regard. I am completely satisfied with the services rendered by my attorney on my behalf in this case.

I understand that I will be required to pay mandatory fees and costs that will be assessed against me and that the Court may assess additional discretionary costs. I also understand that the Court may require me to pay restitution.

Advice of Rights: I understand if the court accepts my plea(s) I give up my right to a trial, at which I would have the following rights: (1) to have a jury determine whether I am guilty or not guilty, or to have a judge make such a determination in a nonjury hearing; (2) to see and hear witnesses testify and to have my lawyer question them for me; (3) to subpoena and present witnesses and items in evidence in my defense and to present any defense I might have to a jury or judge; (4) to testify or remain silent; (5) to require the prosecutor to prove my guilt by admissible evidence beyond a reasonable doubt before I can be found guilty. I further understand that I give up my right to appeal all matters except court rulings entered after this plea is entered, an illegal sentence, or the court's jurisdiction

to hear my case, and notice of such an appeal must be filed within 30 days of the entry of my sentence. My lawyer has explained to me what an appeal is, and I understand that changing my mind about entering this plea, after it is accepted, will not require the court to let me withdraw it, nor will it make the court's sentence illegal or deprive the court of authority to sentence me.

Other than the agreement, if any, contained on this plea form, no promise or suggestion of any kind has been made to me. No one has subjected me to any force, threat, duress, intimidation or pressure to influence me in any way to enter this plea.

I have read the Information or Indictment in this case, and I understand the charges to which I am pleading. I stipulate that there is a factual basis described in court documents to support the charge(s) against me.

My lawyer has advised me of considerations bearing on the choice of which plea(s) to enter, and the advantages and disadvantages of such plea(s), and the likely results thereof, as well as possible alternatives available to me.

The Plea Agreement contained on this form was completed by me and my attorney with my full and complete consent and agreement. I fully agree with the efforts of my attorney and to the terms of the plea agreement. I believe that my lawyer has done all that a competent attorney could do to counsel and assist me. I am satisfied with the advice and help s/he has given me. Even though I have been assisted and advised by my lawyer, the decision to plead guilty is mine alone and is made by me after considering the advice and counsel of my lawyer.

JIMMY RYCE / SEX OFFENDER WARNING: I understand that if I am pleading to or if I have previously been convicted of a sexually motivated offense, as that term is defined in Section 394.912, Florida Statutes, my plea in this case may subject me to the provisions of the Jimmy Ryce Civil Commitment Act, Florida Statutes, Section 394.910, et seq., which allows the State to commit me to a secure treatment facility for an indefinite period of time.

IMMIGRATION AND NATURALIZATION WARNING: I understand that if I am not a United States Citizen, this plea and the resulting sentence will lead to my deportation pursuant to the laws and regulations governing the United States Department of Homeland Security / Immigration and Naturalization Services; and in some instances, depending on the nature of the offense, I will be mandatorily deported. I have consulted with my lawyer regarding this issue and I am satisfied with the answers he/she has given me.

DRIVER'S LICENSE SUSPENSION WARNING: I understand that if I am convicted of certain drug offenses or driving offenses, the Florida Department of Highway Safety and Motor Vehicles may administratively suspend my driving privileges without any input from the court.

I am not under the influence of any substance, drug or condition which interferes with my appreciation of the entire plea agreement into which I am entering and all consequences thereof; I have not been deprived of, and properly taking, any medication which is essential to my full, complete and unimpaired understanding of the plea agreement and these proceedings.

Entered into in open court this 14 day of July, 2025.

Defendant
Printed Name Sean Stone

Assistant State Attorney

Attorney for Defendant
Printed Name Kevin Magee

County Court Judge

CFN # 2025032985, OR BK: 4950 PG: 1105, Pages 1 / 6, Recorded 7/17/2025 12:20 PM, Doc: J
TARA S. GREEN Clerk of Court and Comptroller, Clay County, FL
Deputy Clerk hiltonb
Case# 2024MM001302 File Date 07/17/2025 07:57 AM Tara S. Green Clay County Clerk of Court

IN THE COUNTY COURT OF THE FOURTH JUDICIAL CIRCUIT,
IN AND FOR CLAY COUNTY, FLORIDA

STATE OF FLORIDA,

vs.

CASE NO.: 2024MM001302
DIVISION: C

SEAN ROBERT OBOYLE STONE,
_____/

JUDGMENT AND SENTENCE / ORDER OF PROBATION

THIS CAUSE coming on this day to be heard before me and you, the Defendant, being now present before me with his or her attorney PUBLIC DEFENDER and you having:

[] been tried and found GUILTY to the offense of:
[] entered a plea of GUILTY to the offense of:
[X] entered a plea of NOLO CONTENDERE to the offense of:

CT	Case Number	Offense	Statute	Probation	Concurrent or Consecutive
1	2024MM001302	LARC - PETIT THEFT 1ST DEGREE 100 LESS 750 DOLS	812.014(2)(e)	[] 6 Months [] 11 Months [X] 12 Months [] Other	

The Court now gives you the opportunity to be heard or show cause why sentence should not be pronounced upon you at this time. You saying nothing in bar or preclusion of sentence, the Court hereby:

SECTION 1: JUDGMENT OF GUILT

[X] The Court hereby adjudges you to be guilty of the above offense(s) That the imposition of sentence is hereby withheld, and you are placed on probation for a period of 12 months.

SECTION 2: ORDER WITHHOLDING ADJUDICATION

[] Withholds adjudication of guilt of said offense(s). That the imposition of sentence is hereby withheld, and you are placed on probation for a period of _months.

It is ORDERED and ADJUDGED:

SECTION 3: INCARCERATION DURING PORTION OF SUPERVISION:

It is hereby ordered and adjudged that you be
[] Confined to the Clay County Jail for a term of day(s) with credit for day(s) time served, as a special condition of supervision.
[] You shall serve consecutive weekends in the Clay County Jail commencing on .
[] You shall report to the Clay County Jail on by .

YOU SHALL comply with the Clay County Jail's rules, regulations, and procedures. If you fail to do so this will constitute a violation of your Probation.

[] The Court Stipulated to a BAL of less than .15 and waives all enhancements.

SPECIAL CONDITIONS

[X] 1. **You shall pay** all fines & costs in equal monthly installments prorated over the first 11 months of probation.

[] 2. Charitable donation in the amount of to

[] 3. **You shall submit** to a [] TASC Evaluation [] Mental Evaluation approved by your probation clerk. **You shall enroll** in such program(s) within days of today's date or upon your release and complete within months. Completion of any recommended follow-up treatment is required.

[] 4. **You shall enroll** in and complete an approved Batterer's Intervention Program. **You shall enroll** within days of today's date or upon your release and complete within months.

[] 5. **You shall complete** an approved [] Victim Impact Panel [] Anger Management Program [] Shoplifter's Course within months of today's date or upon your release. [] May be completed online.

[] 6. **Your driver's license is hereby suspended/revoked** for a period of month(s). (Notification of revocation as required by §322.32(1)(3)c. [] Pursuant to §322.055. [] The Court has directed the department to issue a license for driving privileges restricted to business or employment purposes only, as defined by §322.271, if the person is otherwise qualified for such a license. Please know that in no case shall a restricted license be available until 6 months of suspension of revocation period has expired.

[] 7. Pursuant to §316.193(6)(d), you shall deliver to your probation clerk the keys and tag for the vehicle driven for immobilization for a period of days.

[] 8. Pursuant to 316.193(2)(a)3; (b)1&2, (4)(c), all vehicles that are individually or jointly owned or leased and routinely operated by you shall be equipped with an ignition interlock device approved by the Department of Motor Vehicles in accordance with 316.1938 for a period of month(s) when you qualify for a permanent or restricted driver's license.

[] 9. **You shall** complete DUI school [] Level 1 [] Level 2 within months.

[] 10. **You shall** complete [] Basic [] Advanced Driver Improvement School within days.

[] 11. **You shall perform** hours of community service prorated over the first months of probation. [] May buy out community service hours at a rate of $10 per hour.

[] 12. **You shall not** [] consume nor use alcohol or drugs [] be in possession of firearms/weapons and/or ammunition during the pendency of this case/probation.
[] 13. **You shall** submit to Random Urinalysis.

[] 14 **You shall** attend Alcoholics Anonymous or equivalent meeting times per during the pendency of probation.

[] 15. **You will have**

[] no contact whatsoever (direct or indirect) with the [] victim(s) or the [] victim's family listed during the period of supervision.

[] no non-consensual contact whatsoever (direct or indirect) with [] victim(s) or the [] victim's family listed during the period of supervision.

[] no violent contact whatsoever (direct or indirect) with the [] victim(s) or the [] victim's family listed during the period of supervision.

[] The defendant shall have no contact with the victim, EXCEPT through the Talking Parents App regarding the minor children.

[] 16. **TERMINATION OF PROBATION**

[X] Early termination upon completion of special conditions
[] No automatic early termination. Defendant must motion the court.
[] Early Termination after months.

[] 17. **RESTITUTION**

[X] You will pay **restitution** to in the amount of $852.50 or in compliance with the Order of Restitution attached hereto.
[] The Court reserves jurisdiction for days to determine the amount.
[] The Court hereby orders restitution but reserves jurisdiction as to amount.

[X] 18. Complete handwritten letter of apology to ACKNOWLEDGEMENT OF CRIME MUST BE INCLUDED.

[X] 19. Other: ONCE JRO IS RECEIVED FROM SAO; HE MUST PAY AT LEAST $72 PER MONTH ON THE RESTITUTION

You are hereby placed on notice that the Court may, at any time, rescind or modify any of the conditions of your probation, or may extend the period of probation as authorized by law, or may discharge you from further supervision; and if you violate any of the conditions of your probation, you may be arrested and the Court may revoke your probation and impose any sentence which it might have imposed before placing you on probation.

The Court does now advise you that it is your right to appeal this Judgment and Sentence within thirty (30) days from this date, and you are further advised that you are entitled to the assistance of counsel in the filing and preparation of your appeal. Upon your request, and upon your showing that you are entitled to an attorney at the expense of the State, the Court will appoint one for you.

DONE AND ORDERED in open court at Green Cove Springs, Florida this July 16, 2025.

7/17/2025 7:57 AM
2024MM001302

e-Signed 7/17/2025 7:57 AM 2024MM001302
RAYMOND EDWARD FORBESS JR, COUNTY JUDGE

IN THE COUNTY COURT OF THE FOURTH JUDICIAL CIRCUIT,
IN AND FOR CLAY COUNTY, FLORIDA

STATE OF FLORIDA,

vs.

CASE NO.: 2024MM001302
DIVISION: C

SEAN ROBERT OBOYLE STONE,

_____/

ORDER/FINAL JUDGMENT FOR CHARGES, COSTS & FEES
(Fees will be assessed to each case listed on this order as specified)

IT IS ADJUDGEDTHAT YOU SHALL PAY

FINES

[X] **$50 Total of fines assessed** in sentence, pursuant to F.S. 775.083(1)(a) through (g) or Chapter 316, Florida Statutes.

[X] **$2.50** Statutorily mandated 5% surcharge/cost if fine assessed (on first line) pursuant to section 938.04, Florida Statutes.

[] $ **5.00 Emergency Med Trust Fund** (Reckless Driving 316.192(4); Leave Accident Scene with Property Damage 316.061(1)).

MANDATORY COSTS

[X] **$ 223.00 Misdemeanor Standard**

$20.00 County Crime Prevention Fund § 775.083(2); $3.00 Clearing Trust Fund §938.01(1); $50.00 Crimes Compensation Trust Fund §938.15; $65.00 Local Programs §939.185(1)(a); $60.00 Misdemeanor Offense §938.05; $2.00 Crimes Justice Education by Municipalities and Counties §938.15; $3.00 Teen Court §938.19(2) as authorized by Clay County Ordinance Chapter 2, Article 1, Section 2-1.6; $20.00 Crime Stoppers Trust Fund §938.06(1)

[] **$ 33.00 Criminal Traffic Standard** (Violations of 316)

Misdemeanor Standards; $33.00 Criminal Traffic Surcharge §318.18(13)(a) & (17)

[] **$ 352.00 Misdemeanor Assault, Battery & Stalking**

Misdemeanor Standards; $151.00 Rape Crisis Trust Fund §938.085; $201.00 Domestic Violence Trust Fund §938.08

[] **$ 183.00 Misdemeanor DUI**

Misdemeanor Standards; $135.00 DUI Cost §938.07; $33.00 Radio System Trust Fund §318.18(13)(a) & (17); $15.00 Alcohol & Drug Abuse Trust Fund §938.13

[] **$ 201.00 Domestic Violence Fund**

$201.00, Florida Statutes 938.08 – Assault, Battery, Sexual Battery, Domestic Violence, Stalking

[] **$ 151.00 Crimes Against a Minor**

[] **$ 151.00 Rape Crisis Trust Fund**

Misdemeanor Standards; $151.00 Crimes Against Minors §938.10(1) or $151.00 Rape Crisis Trust Fund §938.085

[] **$ 210.00 Misdemeanor BUI**

Misdemeanor Standards; $75.00 BUI Cost §938.07; $60.00 EMT §327.35(9); $15.00 Alcohol & Drug Abuse Trust Fund §938.13

[] **$ 15.00 Misdemeanor Drugs & Alcohol**

Misdemeanor Standards; $15.00 Alcohol & Drug Abuse Trust Fund §938.13

[] **$ 98.00 Misdemeanor Reckless Driving & Racing on Highway**
Misdemeanor Standards; §316.192; $33.00 Radio System Trust Fund §318.18(13)(a) & (17); $65.00 Department of Health Admin Trust Fund §318.18(20)

ADDITIONAL MANDATORY COSTS

[] **$ 500.00 BUI Refusal – Civil Penalty,** 327.35215(1)
[] **$ 5,000 Prostitution, Solicit another – Additional Civil Penalty,** 796.07(2)(f)
[X] **$ 50.00 Indigency Application Fee/Public Defender,** if not previously collect or waived, 27.52(1)(b)
[X] **$ 50.00 Indigency Defense Cost/Public Defender Fee**, 938.29 as determined locally
[X] **$100.00 Prosecution Costs**, 938.27[] **$ 50.00 Cost of Investigation to** , 938.27

VOP FEES (Including any and all previously assessed VOP fees)

[] **$ 50.00 Indigency Application Fee/Public Defender**, if not previously collected or waived, 27.52(1)(b)
[] **$ Indigency Defense Cost/Public Defender Fee**, 938.29 as determined locally
[] **$ Prosecution Costs**, 938.27

DISCRETIONARY COSTS

[] **$ 100.00 Operating Trust Fund of the FDLE**, 938.055, for violations of 893.13 offenses.
[] **Other:**

YOU WILL PAY all fines & costs assessed or sign a payment plan contract at a cost of $25.00 UPON RELEASE FROM JAIL. Failure to pay as ordered will result in this Judgment being recorded as a lien and a $10.00 recording fee assessed in accordance with statutes 939.185(1)(d), 28.24(12).

FINES/COSTS/FEES TO BE TAKEN FROM CASH BOND. (939.17) YOU ARE RESPONSIBLE FOR ANY REMAINING BALANCE. BOND, IF APPLICABLE, IS HEREBY CANCELLED IN ACCORDANCE WITH F.S. 903.31, UNLESS THE BOND IS IN FORFEITURE. FAILURE TO PAY AS ORDERED WILL RESULT IN SUSPENSION OF YOUR DRIVER'S LICENSE (322.245). ANY UNPAID BALANCE WILL BE TURNED OVER TO A COLLECTION AGENCY ONCE 90 DAYS PAST DUE (28.246(6)).

DONE AND ORDERED in Open Court at Green Cove Springs, Florida, .

7/17/2025 7:57 AM
2024MM001302

e-Signed 7/17/2025 7:57 AM 2024MM001302

JUDGE RAYMOND EDWARD FORBESS JR

IN THE COUNTY COURT OF THE FOURTH JUDICIAL CIRCUIT
IN AND FOR CLAY COUNTY, FLORIDA

THE STATE OF FLORIDA,

vs.

CASE NO.: 2024MM001302
DIVISION: C

SEAN ROBERT OBOYLE STONE,
Defendant(s).
_____/

FINGERPRINTS

[redacted]

I hereby certify that the above and foregoing fingerprints are the fingerprints of the defendant, SEAN ROBERT OBOYLE STONE, and that they were placed thereon by said defendant in my presence in Open Court this 14 day of July, 2025.

Fingerprints taken by:

L Bernwell 7863
Signature

Deputy
Title

EXHIBIT #3
PAGE 10/10

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Through:	Justin Hundersmarck, Senior Attorney
Re:	FGCC v. Sergio Melendez Rodriguez Case No. 2025-055766, Default Final Order
Date:	December 22, 2025

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") seeks the permanent exclusion of Sergio Melendez Rodriguez ("Respondent") from all pari-mutuel and slot machine facilities in the state of Florida. Respondent did not file an election of rights and thereby waived his right to request a hearing contesting this matter. The Florida Gaming Control Commission should enter a final order permanently excluding Respondent from all pari-mutuel and slot machine facilities in the state of Florida.

Relevant Facts

On May 27, 2024, Respondent was a patron of Casino Miami, LLC d/b/a Casino Miami, Miami Jai-Alai, and/or Casino Miami Jai-Alai ("Casino Miami").[1] On June 2, 2024, Respondent was permanently excluded from Casino Miami.[2] On September 15, 2024, Respondent was a patron of South Florida Racing Association, LLC d/b/a Hialeah Park Racing & Casino ("Hialeah Park").[3] On September 16, 2024, Respondent was permanently excluded from Hialeah Park.

Based on Respondent's exclusions from Casino Miami and Hialeah Park, the Division filed an administrative complaint October 31, 2025, seeking his exclusion from all pari-mutuel facilities and all facilities of a slot machine licensee in the state of Florida. The election of rights accompanying the administrative complaint made it clear that Respondent had 21 days[4] to file a written response to the administrative

[1] Casino Miami is operated by a permitholder authorized to conduct pari-mutuel wagering, slot facilities, and cardroom operations in the state of Florida.
[2] Pari-mutuel permitholders and slot machine licensees have the right to exclude patrons. §§ 550.0251(6); 551.112, Fla. Stat.
[3] Hialeah Park Racing & Casino is operated by a permitholder authorized to conduct pari-mutuel wagering, slot facilities, and cardroom operations in the state of Florida.
[4] *See* Fla. Admin. Code R. 28-106.111(4) ("Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.")

complaint. Respondent was served on November 10, 2025, had until December 1, 2025, to respond, and did not do so.

Analysis

Florida law allows for the exclusion of Respondent from all pari-mutuel and slot machine facilities in the state.

Section 550.0251(6), Florida Statutes, provides that "[t]he commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state […]"

Further, section 551.112, Florida Statutes, provides that "[t]he commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state […]"

Therefore, Respondent's exclusions from Casino Miami and Hialeah Park, both of which are pari-mutuel facilities and facilities of slot machine licensees in this state, subject him to permanent exclusion from all pari-mutuel facilities and all facilities of a slot machine licensee in this state.

Staff Recommendation: The Commission should enter a final order permanently excluding Respondent, Sergio Melendez Rodriguez, from all pari-mutuel and slot machine facilities in the state of Florida.

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 10/31/2025
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2025-055766

SERGIO MELENDEZ RODRIGUEZ,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Sergio Melendez Rodriguez ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel, slot machine, and cardroom operations pursuant to chapters 550, 551, and 849, Florida Statutes.

2. At all times material hereto, Respondent's address was reported as 961 East 45th Street, Hialeah, Florida 33013.

COUNT I

3. Petitioner realleges and adopts paragraphs numbered one and two as if set forth fully herein.

4. At all times material hereto, Casino Miami, LLC was a facility operated by a permitholder authorized by the Commission to conduct pari-mutuel wagering, cardroom operations, and slot operations in the state of Florida.

5. On or about May 27, 2024, Respondent was a patron of and was ejected from Casino Miami, LLC.

6. On or about June 2, 2024, Respondent was permanently excluded from Casino Miami, LLC.

7. Section 550.0251(6), Florida Statutes, provides in relevant part:

> In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis supplied).

8. Section 551.112, Florida Statutes, provides:

> In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

(Emphasis supplied).

9. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida under sections 550.0251(6) and 551.112, Florida Statutes, based on his permanent exclusion from Casino Miami, LLC on or about June 2, 2024.

COUNT II

10. Petitioner realleges and adopts paragraphs numbered one and two as if set forth fully herein.

11. At all times material hereto, Hialeah Park Racing & Casino was a facility operated by a permitholder authorized by the Commission to conduct pari-mutuel wagering, cardroom operations, and slot operations in the state of Florida.

12. On or about September 15, 2024, Respondent was a patron of Hialeah Park Racing & Casino.

13. On or about September 16, 2024, Respondent was ejected and permanently excluded from Hialeah Park Racing & Casino.

14. Section 550.0251(6), Florida Statutes, provides in relevant part:

> In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis supplied).

15. Section 551.112, Florida Statutes, provides:

> In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

(Emphasis supplied).

16. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida under sections 550.0251(6) and 551.112, Florida Statutes, based on his permanent exclusion from Hialeah Park Racing & Casino on or about September 16, 2024.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida, in accordance with sections 550.0251(6) and 551.112, along with any other remedy provided by chapters 550 and 551, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2025-055766 is signed on the 29th day of October 2025.

/s/ Justin Hundersmarck

Justin Hundersmarck
Senior Attorney
Florida Bar Number: 1039038
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Phone: (850) 794-8063
Fax: +1 850-536-8712
Primary: Justin.Hundersmarck@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

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HIALEAH, FL 33013
November 10, 2025, 2:35 pm

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961 East 45th Street
Hialeah, Florida 33013
AC – 2025055766 – OGC

PS Form 3800, January 2023 PSN 7530-02-000-9047 See Reverse for Instructions

Lic Type	**1098 - Unlicensed Complaints**		Status **90 Closed**	Status Date	**08/28/2025**
Complaint #	**2025055766**	Case Type **CMP - Complaint**	Disposition	Disposition Date	
Docket#		Respondent **RODRIGUEZ MELENDEZ, SERGIO**	Responsible **bjones - JONES, BRADFORD**		**Private Case**

Com plaint | Res ondent | Com plainant | Addt'l Info

Source **INTN - Internal** Security Level **1** p

Form **INTR p Internal** p Priority

Class'n **IV-E p Other** p Complexity **R p Regular** p

Security **STND p Standard** p Incident **06/28/2025**

Region **SR p Southern Region** p Received **07/28/2025** p

Reference **551.112**

Entered **07/28/2025** p Entered By **cstubbs1** p

Summary **155 - Flagler/Magic City Casino p F.S. 551.112 Exclusion of certain persons - On June 28, 2025, Magic City Casino patron Gary Andrew Dash reported that his wallet had been stolen, after he left it on a slot machine. Surveillance reviewed video footage and discovered that the wallet was taken by Sergio Rodriguez MELENDEZ. MELENDEZ was approached by security and questioned about the wallet he had taken. MELENDEZ initially denied taking the wallet but later admitted he had taken the wallet and spent the $12.00 that was inside. When Security requested the return the wallet, MELENDEZ advised he left the wallet at another slot machine. A review of surveillance footage found where MELENDEZ had left Mr. Dash's wallet. MELENDEZ was escorted to the security vestibule, advised of the rules and regulations of the casino and informed that he was being excluded from the casino for 1 year. MELENDEZ refused to sign the trespass warning form and left the casino.**

Updated **08/29/2025 08:43:10** p By **cstubbs1**

Parties p | Activities p
Allegations p | Disci line
Violations p | Com liance
Related p | Dis osition
Inspection
Costs
Time Tracking p | Auto Assign
Attachments p | History
Work Notes p | Print Re ort

Change p | Save p | OK p | Cancel p | Back

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Joe Dillmore, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**SOUTHERN**	**June 28, 2025**	**2025 05 5766**

Respondent:	Complainant:
MELENDEZ RODRIGUEZ, SERGIO **961 E. 45TH STREET** **HIALEAH, FLORIDA 33013**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # and Type:	Profession:	Report Date:
N/A - 1098	**Patron**	**August 14, 2025**

Period of Investigation:	Type of Report:
June 28, 2025, through August 14, 2025	**Final**

Alleged Violation: FSS 551.112 Exclusions of certain persons. — In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

Synopsis: On June 28, 2025, a Magic City Casino (MCC) patron, Gary Andrew Dash, reported that his wallet had been stolen, after he left it on a slot machine. Surveillance reviewed casino footage and discovered that the wallet was taken by Sergio **MELENDEZ RODRIGUEZ**. **MELENDEZ RODRIGUEZ** was approached by MCC Security and questioned about the wallet he had taken. At first **MELENDEZ RODRIGUEZ** denied taking the wallet but later admitted he had taken it and spent the $12.00 that were inside. When Security requested that he surrender the wallet, **MELENDEZ RODRIGUEZ** informed security that he had left the wallet at another slot machine. Surveillance further reviewed video footage and was able to locate where **MELENDEZ RODRIGUEZ** had left Mr. Dash's wallet. **MELENDEZ RODRIGUEZ** was escorted to the security vestibule, advised of the rules and regulations of the casino and informed him that he was being excluded from the casino for 1 year. **MELENDEZ RODRIGUEZ** refused to sign the Trespass Warning Form and left the casino **(Exhibit # 1).**

Related Case(s):

Investigations Specialist II / Date	Investigation Manager / Date:
Anjeanet Hankins / August 14, 2025	Julio Minaya / August 15, 2025

Chief of Investigations / Date

Bradford D. Jones / August 28, 2025

CONTINUATION

According to Magic City Casino Security Report #IN20250003834, on Saturday, June 28, 2025, patron Gary Andrew Dash reported that he had lost his wallet, after he left it at a slot machine. MCC Security requested Surveillance to conduct a review. Moments later, Surveillance advised Security Shift Manager Julio Sanchez that another male patron had grabbed Mr. Gary's wallet from the slot machine. Surveillance advised that the male in question was still on the gaming floor and in section 8 area 09-32-09. Security Shift Manager approached the male patron, later identified as Sergio **MELENDEZ RODRIGUEZ.** Security Shift Manager Sanchez questioned **MELENDEZ RODRIGUEZ** as to the location of the wallet. As previously stated, **MELENDEZ RODRIGUEZ** initially denied taking the wallet but when confronted by Sanchez that he was captured on surveillance footage picking up the wallet, he admitted to taking the money that was inside of the wallet and dropping the wallet off on another slot machine in section 9. Sanchez instructed **MELENDEZ RODRIGUEZ** to take him to the area where he had left the wallet and once there, the wallet was recovered and returned to Mr. Dash **(Exhibit # 1).**

An independent video surveillance review by this Investigator from Magic City Casino on June 28, 2025, revealed the following:

- At 04:57 A.M., **MELENDEZ RODRIGUEZ** goes into the women's restroom and exits a few seconds later.

- At 05:01 A.M., **MELENDEZ RODRIGUEZ** is approached by Security but continues to play on a slot machine.

- From 05:02 A.M., to 05:05 A.M., **MELENDEZ RODRIGUEZ** is escorted by Security through the casino and out of the front exit.

- At 05:05 A.M., in the Magic City Casino parking lot, **MELENDEZ RODRIGUEZ** is approached by Miami PD. **MELENDEZ RODRIGUEZ** had a conversation with MPD officers and then walked away, towards the exit of the parking lot.

MELENDEZ RODRIGUEZ was excluded from the facility for 1 year. Commencing on June 28, 2025, and ending on June 28, 2026. **(Exhibit # 1, - Page 4)**

In addition to the above matter, **MELENDEZ RODRIGUEZ** has also been permanently excluded from Casino Maimi and Hialeah Park Racing & Casino. The following is related to **MELENDEZ RODRIGUEZ'S** permanent exclusion from Casino Miami and Hialeah Park:

According to Casino Miami Security Report #CMJ-000002883, on May 27, 2024, Security Officer Allen was contacted in reference to a $4.00 voucher that had been stolen from a slot machine, when the patron using the machine went to cash out a separate voucher. Surveillance reviewed video footage and determined that the money had been stolen by **MELENDEZ RODRIGUEZ.** Previously, (on an unspecified date), Security Officer Callejas was searching for **MELENDEZ RODRIGUEZ** related to a different voucher theft. Security Officer Allen approached **MELENDEZ RODRIGUEZ** and advised him that he was being temporarily trespassed until further decision by management. Security Officer Allen escorted him to the security vestibule, where **MELENDEZ RODRIGUEZ** refused to sign the trespass form and was escorted out of the casino by an MPD Officer.

CONTINUATION

An independent video surveillance review by this Investigator from Casino Miami on May 27, 2024, revealed the following:

At 1:24 P.M., **MELENDEZ RODRIGUEZ** walks over to a slot machine. **MELENDEZ RODRIGUEZ** looks around then begins to press buttons on the slot machine. Shortly thereafter he walks away with 2 vouchers in his hand.

On June 2, 2024, **MELENDEZ RODRIGUEZ** was Permanently Trespassed from Casino Miami by management for this incident **(Exhibit # 3, - Page 2).**

On September 15, 2024, Hialeah Park Security Report #IN20240001728 documents that Dunia Ramos Diaz reported that her cellphone and social security card were stolen from her slot machine. Surveillance was notified and revealed that an individual identified as Sergio **MELENDEZ RODRIGUEZ** took the items and left the premises. A B.O.L.O was later placed on him should he return. According to Hialeah Park Security Report #IN20240001735, **MELENDEZ RODRIGUEZ** returned to the casino but was approached by Security Supervisor Nicholas Benvenga and Guest Service Representative (GSR) Supervisor Alberto Riveron and questioned about the stolen property. **MELENDEZ RODRIGUEZ** admitted to taking the cellphone and leaving it outside. He took Security Supervisor Benvenga and GSR Supervisor Riveron to where he said he had left the phone, but it was not there. Surveillance performed a video review of the area and determined that at no point did **MELENDEZ RODRIGUEZ** leave a cellphone in that area. Responding Hialeah Police Department (HPD) Officers advised **MELENDEZ RODRIGUEZ** that he was being trespassed from Hialeah Park Racing & Casino and should he return, he would be arrested. **MELENDEZ RODRIGUEZ** acknowledged the warning and vacated the premises. This interaction with HPD was documented under HPD Case# 2024025072 **(Exhibit # 2, - Pages 11 - 13). MELENDEZ RODRIGUEZ** was permanently excluded from Hialeah Park Racing & Casino on September 16, 2024 **(Exhibit # 2, Page 14)**.

Conclusion: **MELENDEZ RODRIGUEZ** has committed multiple acts of theft in at least three facilities licensed by the Florida Gaming Control Commission. As a result of **MELENDEZ RODRIGUEZ'S** actions, he has received lifetime exclusions from two facilities and a one-year exclusion from the third.

Status: Case Closed by Investigations and forwarded to Legal for review.

CONTINUATION

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Incident File Full Report

iTrak Incident Reporting and Risk Management System Version 2023.3.3.12701

Incident Number:	IN20250003834
Date/Time Occurred:	06/28/2025 2:11:27 AM
Day of Week:	Saturday
Created by:	jsanchez

Printed By: kmejia **Printed On: 07/21/2025 at 7:42:56 AM**

Incident File Full Report

Incident File #IN20250003834

		Record Creation Details	
Date/Time Occurred:	06/28/2025 2:11:27 AM	**Department:**	Security
Day of Week Occurred:	Saturday	**Owner:**	jsanchez
Date/Time Created:	06/28/2025 10:02:12 PM	**Operator ID:**	jsanchez
Date/Time Closed:		**Operator Name:**	
Closed By:		**Personnel ID:**	
		Card Number:	
		Job Position	
		Secondary Operator:	

Location of Incident:

Property:	Magic City Casino
Location:	Section 8
Sublocation:	

Details of Incident:

Daily Log #:	DL20250024127
Reference:	EXCLUSION
Incident Type:	Theft
Incident Status:	Open
Custom 3:	
Custom 4:	

Synopsis:

On Saturday June 28, 2025, at approximately 2:00AM a male patron later identified as Andrew Dash Gray approached the Security Vestibule stating he had lost his wallet. Security advised Surveillance in which they began to conduct a review. Moments later Surveillance advised Security Shift Manager Julio Sanchez that another male patron had grabbed Mr. Grays wallet from a slot machine he accidently left behind and that the male patron was located at 09-32-09.

Security Shift Manager Sanchez approached the male patron at Section 8 and proceeded to ask him where was the wallet that he had found and not turned in. The male patron later identified as Sergio R Melendez Rodriguez stated he never grabbed a wallet and that he did not know what wallet Security Shift Manager Sanchez was speaking of, in which Security Shift Manager Sanchez stated that he was seen on camera with a wallet that did not belong to him. Mr. Melendez Rodriguez then proceeded to tell Security Shift Manager Sanchez that he initially took the wallet but then took the money that was inside it and left it at a machine in Section 9. Security Shift Manager Sanchez then advised Mr. Melendez Rodriguez to show him where he left the wallet. The wallet was then found on top of a slot machine at Section 9 and returned to Mr. Gray. Mr. Melendez Rodriguez was escorted to the Security Vestibule and advised the rules and regulations of the Casino in regard to Theft and was advised he was being excluded for 1 Year. Mr. Melendez Rodriguez refused to sign the Trespass Warning Form and left the property.

Checklist:

Reporting Party:	**Supervisor:**

Narrative:

Created On 06/28/2025 9:52:58 PM **Created By** jsanchez

Modified On 06/28/2025 9:52:58 PM **Modified By** jsanchez

On Saturday June 28, 2025, at approximately 2:00AM a male patron later identified as Andrew Dash Gray approached the Security Vestibule stating he had lost his wallet. Security advised Surveillance in which they began to conduct a review. Moments later Surveillance advised Security Shift Manager Julio Sanchez that another male patron had grabbed Mr. Grays wallet from a slot machine he accidently left behind and that the male patron was located at 09-32-09.

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Mr. Melendez Rodriguez was escorted to the Security Vestibule and advised the rules and regulations of the Casino in regard to Theft and was advised he was being excluded for 1 Year. Mr. Melendez Rodriguez refused to sign the Trespass Warning Form and left the property.

Executive Brief:

Reporting Party:	**Supervisor:**

Participants Involved:

Personnel

Full Name: SANCHEZ, JULIO RENE
Property: Magic City Casino
Role: Security Shift Manager,
Department: Security
Date Of Birth: [redacted]

Subject

Full Name: Melendez Rodriguez, Sergio R
Company:
Role: Patron,
Date Of Birth: [redacted]
Address:
Contact Info:

Full Name: Gray, Andrew Dash
Company:
Role: Patron,
Date Of Birth: [redacted]
Address: 2730 Cardena ST, Coral Gables, FL, 33134, USA
Contact Info:

Ban/Watch Details

Full Name	Ban/Watch	Start Date	End Date
Melendez Rodriguez, Sergio R	Ban	06/28/2025	06/28/2026

Type Of Ban: Temporary
Notes:
Reason For Ban: Theft
Identification: Permanent Resident Card

List of Attached Records:

Record Type	Summary	Attached By	Date Attached

Reporting Party:

Supervisor:

Daily Log	Daily Log#: DL20250024127 - Occurred Date: 06/28/2025 2:11:27 AM - Property: Magic City Casino - Location: Section 8 - Topic: Attempted Theft - Reference: EXCLUSION - Synopsis: On Saturday June 28, 2025, at approximately 2:00AM a male patron later identified as Andrew Dash Gray approached the Security Vestibule stating he had lost his wallet. Security advised Surveillance in which they began to conduct a review. Moments later Surveillance advised Security Shift Manager Julio Sanchez that another male patron had grabbed Mr. Grays wallet from a slot machine he accidently left behind and that the male patron was located at 09-32-09. Security Shift Manager Sanchez approached the male patron at Section 8 and proceeded to ask him where was the wallet that he had found and not turned in. The male patron later identified as Sergio R Melendez Rodriguez stated he never grabbed a wallet and that he did not know what wallet Security Shift Manager Sanchez was speaking of, in which Security Shift Manager Sanchez stated that he was seen on camera with a wallet that did not belong to him. Mr. Melendez Rodriguez then proceeded to tell Security Shift Manager Sanchez that he initially took the wallet but then took the money that was inside it and left it at a machine in Section 9. Security Shift Manager Sanchez then advised Mr. Melendez Rodriguez to show him where he left the wallet. The wallet was then found on top of a slot machine at Section 9 and returned to Mr. Gray. Mr. Melendez Rodriguez was escorted to the Security Vestibule and advised the rules and regulations of the Casino in regard to Theft and was advised he was being excluded for 1 Year. Mr. Melendez Rodriguez refused to sign the Trespass Warning Form and left the property.	jsanchez	06/28/2025

Reporting Party:

Supervisor:

Media Title

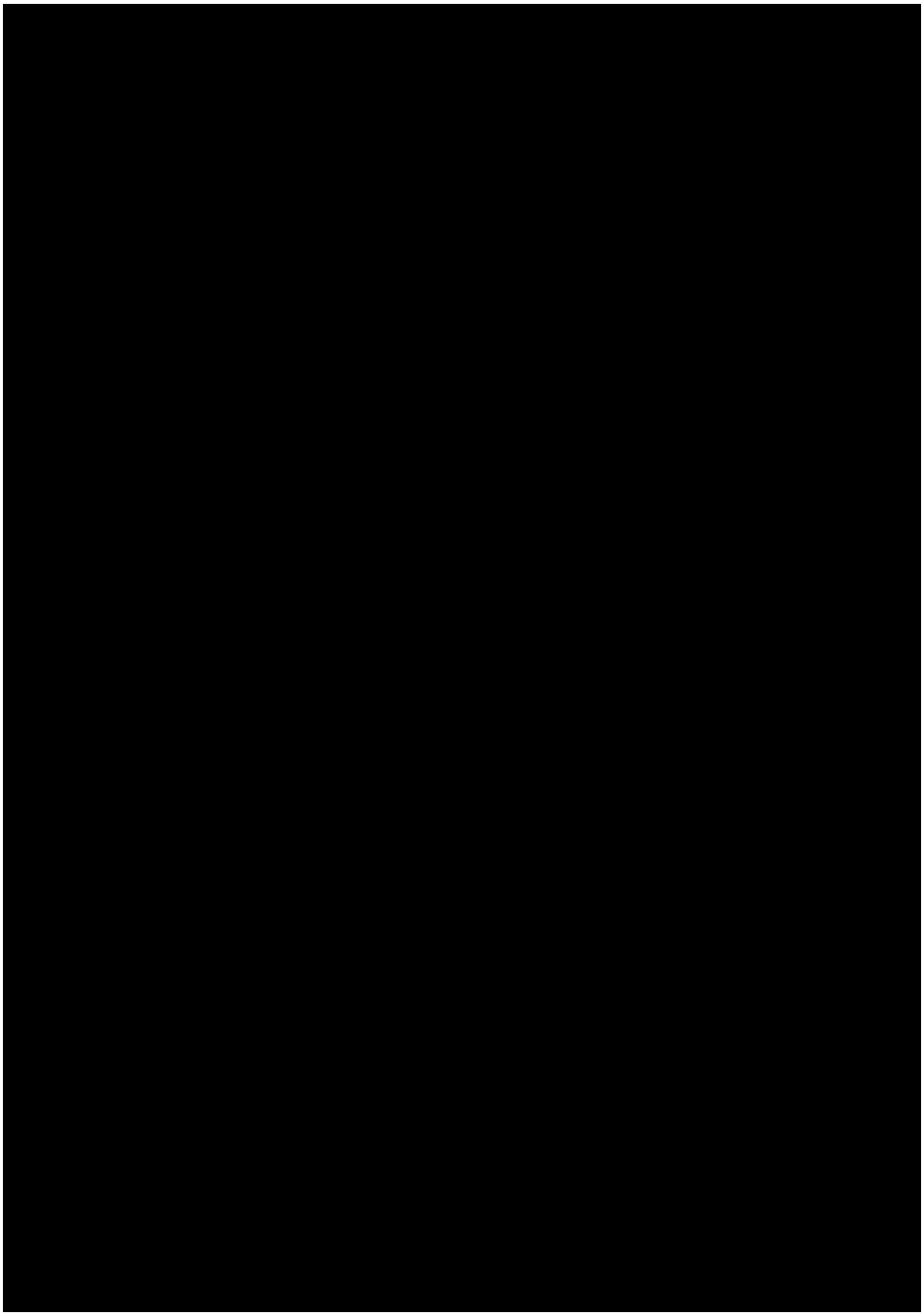
Original Filename
Andrew Color ID.jpg

Date Attached
06/28/2025 9:54:53 PM

Attached By
jsanchez

Type
JPG

Size
519642



Media Title
Color ID

Original Filename
Sergio Color ID.jpg

Date Attached
06/28/2025 9:56:09 PM

Attached By
jsanchez

Type
JPG

Size
538637

Media Title
Trespass Warning Form

Original Filename
Sergio Trespass Warning Form.pdf

Date Attached
06/28/2025 9:56:14 PM

Attached By
jsanchez

Type
PDF

Size
31596

Media Title

Original Filename
Sergio R. Melendez Rodriguez.jpg

Date Attached
06/28/2025 9:54:53 PM

Attached By
jsanchez

Type
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Size
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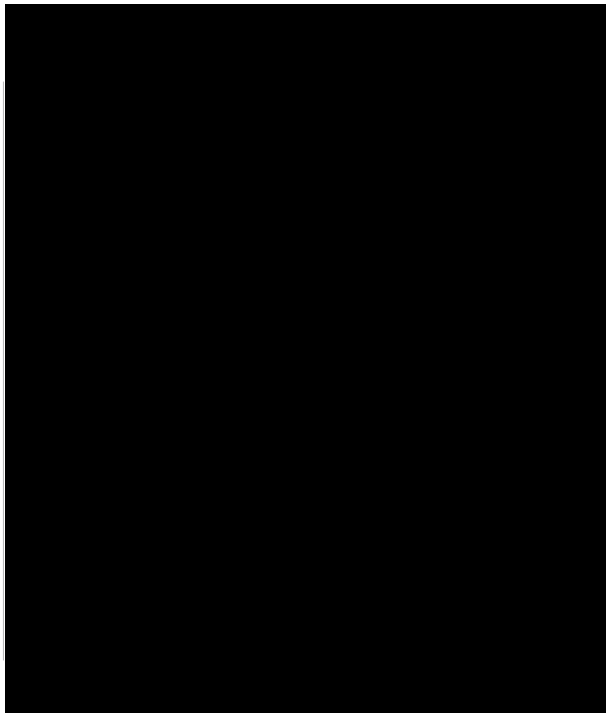

MAGIC CITY
CASINO

TRESPASS WARNING

NAME / LAST NAME: Sesar Melendez Rodriguez

DRIVER LICENSE #: [redacted] D.O.B. [redacted]

ADDRESS: N/A CITY: N/A ST: N/A ZIP: N/A

RACE: Hispanic SEX: M HEIGHT: 5'11 WEIGHT: 190 HAIR: Black EYES: Brown

REASON FOR TRESPASSING: Theft

The above-named person was advised to stay off MAGIC CITY CASINO property for a period of:

☐ 24 Hrs ☐ 3 Days ☐ 7 Days ☐ 30 Days ☐ 3 Months ☐ 6 Months ☑ 1 Year ☐ 2 Year ☐ Permanent

This warning was given on 6/28/2025 at 2:18 AM hours.

If the above-named person returns onto said property during the stated period of time, it may result in his/her ARREST for Criminal Trespass, in accordance with the applicable provisions of Chapter 810 Florida Statutes. Additionally, MAGIC CITY CASINO may permanently withhold any winnings from the above-named person that were won during the stated period of time.

SUBJECT'S SIGNATURE: Refused to sign

CASE #: N/A ARRESTED: ☐ YES ☑ NO

SECURITY AGENT: #419 DATE: 6/28/2025

POLICE OFFICER / WITNESS: 12553

☑ Warned by MPD referenced trespass after warning ☑ Subject refused to sign this trespass warning

Incident i e e ort

Incident i e #IN20240001728

ecord Creation Detai s

Date/Time Occ rred:	9/15/2024 4:30:00 AM	**De artment:**	Security Dept
Day of Week Occ rred:	Sunday	**Owner:**	nbenvenga
Date/Time Created:	9/15/2024 4:57:22 AM	**O erator ID:**	nbenvenga
Date/Time C osed:	9/16/2024 9:20:19 AM	**O erator Name:**	
C osed By:	jramirez	**Personne ID:**	
		Card N mber:	
		Job Position	
		Secondary O erator:	

Location of Incident:

Pro erty:	Hialeah Park Casino
Location:	Bravo Section
S b ocation:	Security Office

Detai s of Incident:

Dai y Log #:	DL20240039877
eference:	Phone Theft
Incident Ty e:	Stolen Phone
S ecific:	BOLO
Category:	Theft
Incident Stat s:	Closed
Syno sis:	Phone Theft / BOLO
Check ist:	

Narrative:

Created On	Created By	Modified On	Modified By
9/15/2024 4:57:23 A	nbenvenga	9/16/2024 9:12:3 A	dsantos

At 4:30 AM, casino guest Dunia Ramos Diaz reported to the Security Dispatch that her cellphone and social security card were stolen from her slot machine (B#1503). Ms. Diaz stated she left the items unattended, and upon returning, discovered they were missing. Surveillance was notified and revealed that at 3:34 AM, an individual identified as Mr. Sergio R. Melendez Rodriguez, dressed in black shirt and shorts, took the items and subsequently left the premises. A Be On the Lookout (BOLO) report will be filed for Mr. Rodriguez. In the event of his ret rn, he will face permanent exclusion. Nothing Further.

Victim's Contact: (786)-277-9956

Exec tive Brief: BOLO/Phone Theftt

e orting Party:	S ervisor:

Partici ants Invo ved:

Personne

Name:	iveron, Alberto	Property:	Hialeah Park Casino
ole:	GSR Supervisor	Department:	

Date Of Birth:

Name:	Benvenga, Nicholas	Property:	Hialeah Park Casino
ole:	Security Supervisor	Department:	

Date Of Birth:

S bject

Name:	Melendez Rodriguez, Sergio R.	Company:	
ole:	B.O.L.O, Theft		

Date Of Birth:

Address:

Contact Info:

Name:	Diaz, Dunia Ramos	Company:	
ole:	Victim		

Date Of Birth:

Address:

Contact Info:

e orting Party:	S ervisor:

Media Title
surveillance

Original Filename
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Date Attached
9/15/2024 6:15:27 AM

Attached By
nbenvenga

Type
JPG

Size
408071

Media Title
surveillance

Original Filename
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Date Attached
9/15/2024 6:15:14 AM

Attached By
nbenvenga

Type
JPG

Size
403290

Media Title
Victim

Original Filename
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Date Attached
9/15/2024 5:18:06 AM

Attached By
nbenvenga

Type
JPG

Size
841018

Media Title
Surveillance

Original Filename
UploadedFile_16912822-ea72-4826-86ff-49a424722ad6.jpg

Date Attached
9/15/2024 5:58:10 AM

Attached By
nbenvenga

Type
JPG

Size
446452

Media Title
Surveillance

Original Filename
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Date Attached
9/15/2024 6:16:34 AM

Attached By
nbenvenga

Type
JPG

Size
165476

Media Title
Surveillance

Original Filename
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Date Attached
9/15/2024 6:18:54 AM

Attached By
nbenvenga

Type
JPG

Size
265133

Media Title
Slot Machine

Original Filename
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Date Attached
9/15/2024 5:18:29 AM

Attached By
nbenvenga

Type
JPG

Size
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Media Title
Victim ID

Original Filename
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Date Attached
9/15/2024 5:18:16 AM

Attached By
nbenvenga

Type
JPG

Size
1193153

Media Title
Victim

Original Filename
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Date Attached
9/15/2024 5:17:57 AM

Attached By
nbenvenga

Type
JPG

Size
1237666

Media Title
surveillance

Original Filename
UploadedFile_f1594452-8eb7-4e1e-81a2-04a53b7d0989.jpg

Date Attached
9/15/2024 6:15:01 AM

Attached By
nbenvenga

Type
JPG

Size
388404

Media Title
BOLO ID

Original Filename
UploadedFile_51b195fe-6e3a-4cda-99f6-23f4f3161afa.jpg

Date Attached
9/15/2024 5:59:36 AM

Attached By
nbenvenga

Type
JPG

Size
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Media Title
Surveillance

Original Filename
UploadedFile_43a5363e-16cb-4864-83d3-c34749cd4985.jpg

Date Attached
9/15/2024 5:58:21 AM

Attached By
nbenvenga

Type
JPG

Size
294331

Incident i e e ort

Incident i e #IN20240001735

ecord Creation Detai s

Date/Time Occ rred:	9/15/2024 11:58:00 AM	**De artment:**	Security Dept
Day of Week Occ rred:	Sunday	**Owner:**	nbenvenga
Date/Time Created:	9/16/2024 1:07:43 AM	**O erator ID:**	nbenvenga
Date/Time C osed:	9/16/2024 3:11:35 PM	**O erator Name:**	
C osed By:	jramirez	**Personne ID:**	
		Card N mber:	
		Job Position	
		Secondary O erator:	

Location of Incident:

Pro erty:	Hialeah Park Casino
Location:	Alpha Section
S b ocation:	North Entrance

Detai s of Incident:

Dai y Log #:	DL20240039994
eference:	Trespass
Incident Ty e:	Trespass
S ecific:	theft
Category:	Permanent
Incident Stat s:	Closed
Syno sis:	BOLO returned - Trespass
Check ist:	

Narrative:

Created On	Created By	Modified On	Modified By
9/16/2024 1:07:44 A	nbenvenga	9/16/2024 1:43:32 F	dsantos

At 11:58 PM, Security Supervisor Nicholas Benvenga was notified by Security Supervisor Isaac Cortez of a B.O.L.O. at the casino, under reference (IR1728). Supervisor Benvenga joined GSR Supervisor Alberto Riveron at the north door security post and together they approached Mr. Sergio R. Melendez Rodriguez at slot machine # A-3201. I inquired about a cellphone reported missing the previous day from the bravo section. Mr. Rodriguez admitted to taking the cellphone and carrying it for some time before allegedly leaving it outside. He was escorted to the alleged location, but the cellphone was not found. Surveillance review confirmed that at no point did Mr. Rodriguez leave the cellphone in the specified area. On-site HPD officer Rolando Rios contacted an off-site officer to issue a trespass order. Subseq ently, Off-site HPD Officer C. Tejeda arrived to manage the situation. Mr. Rodriguez was informed that he was being trespassed from Hialeah Park Casino and that any return would result in arrest for trespassing. Mr. Rodriguez acknowledged the warning and vacated the remises. Nothing Further.

e orting Party:	S ervisor:

Incident i e e ort

Incident i e #IN20240001735

Case# 2024-025072
Off-Site HPD: C. Tejeda

Exec tive Brief: Trespass

Partici ants Invo ved:

Personne

Name:	iveron, Alberto	Property:	Hialeah Park Casino
ole:	GSR Supervisor	Department:	

Date Of Birth:

Name:	Benvenga, Nicholas	Property:	Hialeah Park Casino
ole:	Security Supervisor	Department:	

Date Of Birth:

S bject

Name:	Melendez Rodriguez, Sergio R.	Company:	
ole:	Subject		

Date Of Birth:

Address:

Contact Info:

e orting Party:	S ervisor:

Media Title
Subject

Original Filename
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Attached By
nbenvenga

Type
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Size
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Subject

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Attached By
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Type
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Size
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Subject

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9/16/2024 1:21:41 AM

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Type
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ID

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Attached By
nbenvenga

Type
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Size
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Trespass card

Original Filename
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Attached By
nbenvenga

Type
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Size
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Subject

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Date Attached
9/16/2024 1:20:55 AM

Attached By
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Type
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Size
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Media Title
slot machine

Original Filename
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Attached By
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Type
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Size
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Media Title
Exclusion Form

Original Filename
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Attached By
nbenvenga

Type
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Size
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Media Title
Subject

Original Filename
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Date Attached
9/16/2024 1:21:15 AM

Attached By
nbenvenga

Type
JPG

Size
1214251

Casino Mia i ai ai 3500 NW 37th ve. Mia i, FL 33142
(305) 633-6400 casino ia ijaia ai.co

CMJ-000002883 - Patron - Trespass Permanent - Reporte 06/02/2024 15:34

Author: orge Ca ejas 13298951
Report N mber: CMJ 000002883
Start Time: 06/02/2024 15:34
En Time: 06/02/2024 15:40
Report Gro p: Exc usion Reports
Report Type: Patron Trespass Per anent
Note: Voucher Theft / Loitering
Lo ation / Origin: Slot Machine Bank B
Linke Reports: **CMJ-000005811**

Person

Name: Sergio Melendez Rodriguez
Alias:
Ra e: Hispanic
Gen er: Ma e
Eye olor: Brown
Hair olor: Black
Height: 6'00" Inches
Weight: 140 Pounds
DOB: [redacted](28)
Keywor s: Sto en Voucher,Suspicious ctivity,Theft
Drivers Lic#: [redacted] Residents Card
Comments: Sergio Melendez Rodriguez
Genera ddress
Mia i, FL 33142
[redacted]idents Card
Pc#555557
Ht: 6'0
Wt: 140lbs
Hr: Black
Eyes: Brown

[redacted]

Narrative

Confidential

On Monday May 27, 2024 this writer S3 en was ca ed to B02 for a patron with a missing voucher. The patron stated that he had eft $4.00 in the achine and went to TRU 6 to cash out a seperate voucher. When he returned his oney was cashed out.

Surveillance i ediate y contacted S3 Allen and infor ed hi that the patron who took the oney was Mr. Sergio Melendez Rodriguez. He was the sa e patron S1 Callejas had been looking for prevous y for the sa e reason. S3 llen et with Mr. Rodriguez at the C1 casino entrance and let him know that he was to be i ediately temporari y trespassed unti further decision by anagement. S3 en then escorted Mr. Rodriguez to SOC. t approxi ate y 13:37, he did not want to sign the trespass for and was then see out by MPD Ofc Derosier. Surveillance was infor ed of his departure from Casino Mia i property.

UPDATE: On 6/2/2024Mr. Me endez was Permanent y Tresoassed fro Casino Mia i by Manage ent due to above incident.

Ad endums

Jorge Callejas 13298951 - 06/02/2024 15:39

UPDATE: On 6/2/2024Mr. Me endez was Permanent y Tresoassed fro Casino Mia i by Manage ent due to above incident.

Signature A **Date** **Signature B** **Date**

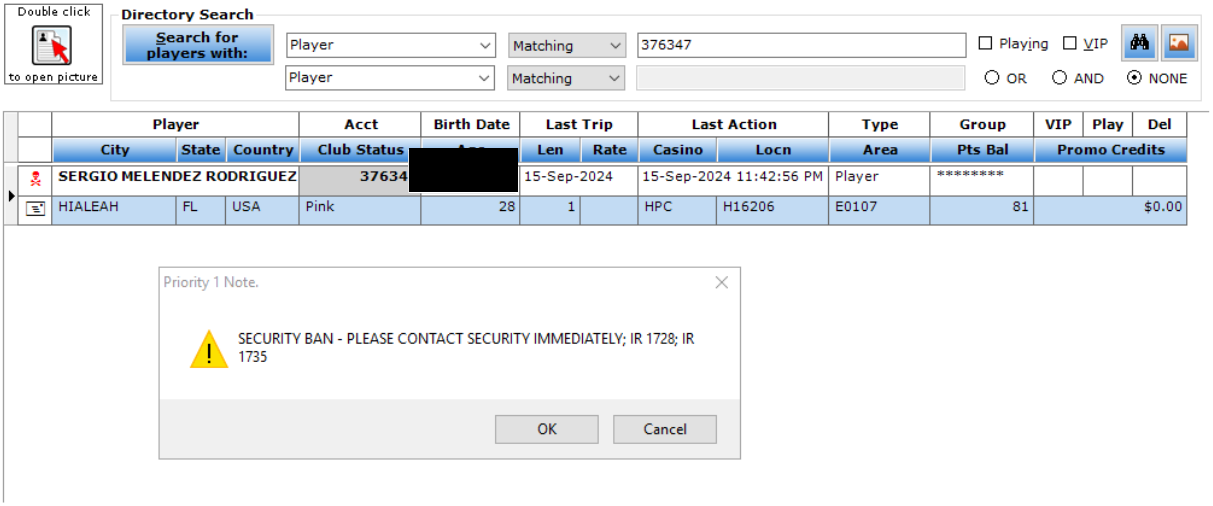
Confidential

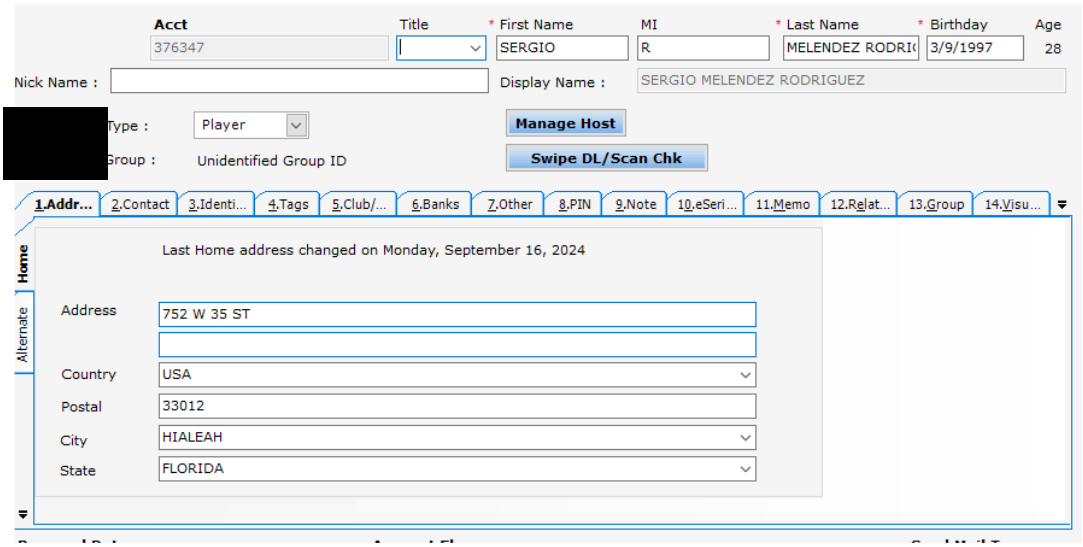
From: Goldin, Michael
To: Anjeanet Hankins
Subject: 376347 sergio melendez rodriguez
Date: Friday, July 25, 2025 1:26:12 PM
Attachments: image.png
image.png
image.png

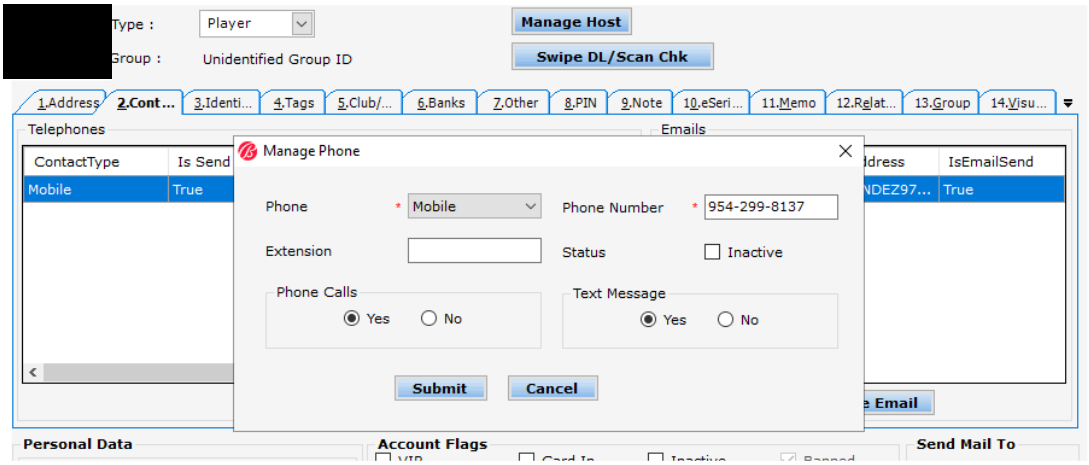
You don't often get email from mgoldin@hialeahpark.com. Learn why this is important

Hi Anjeanet,

Let me know what else you need. Have a good weekend.







Michael Goldin
mGoldin@HialeahPark.com

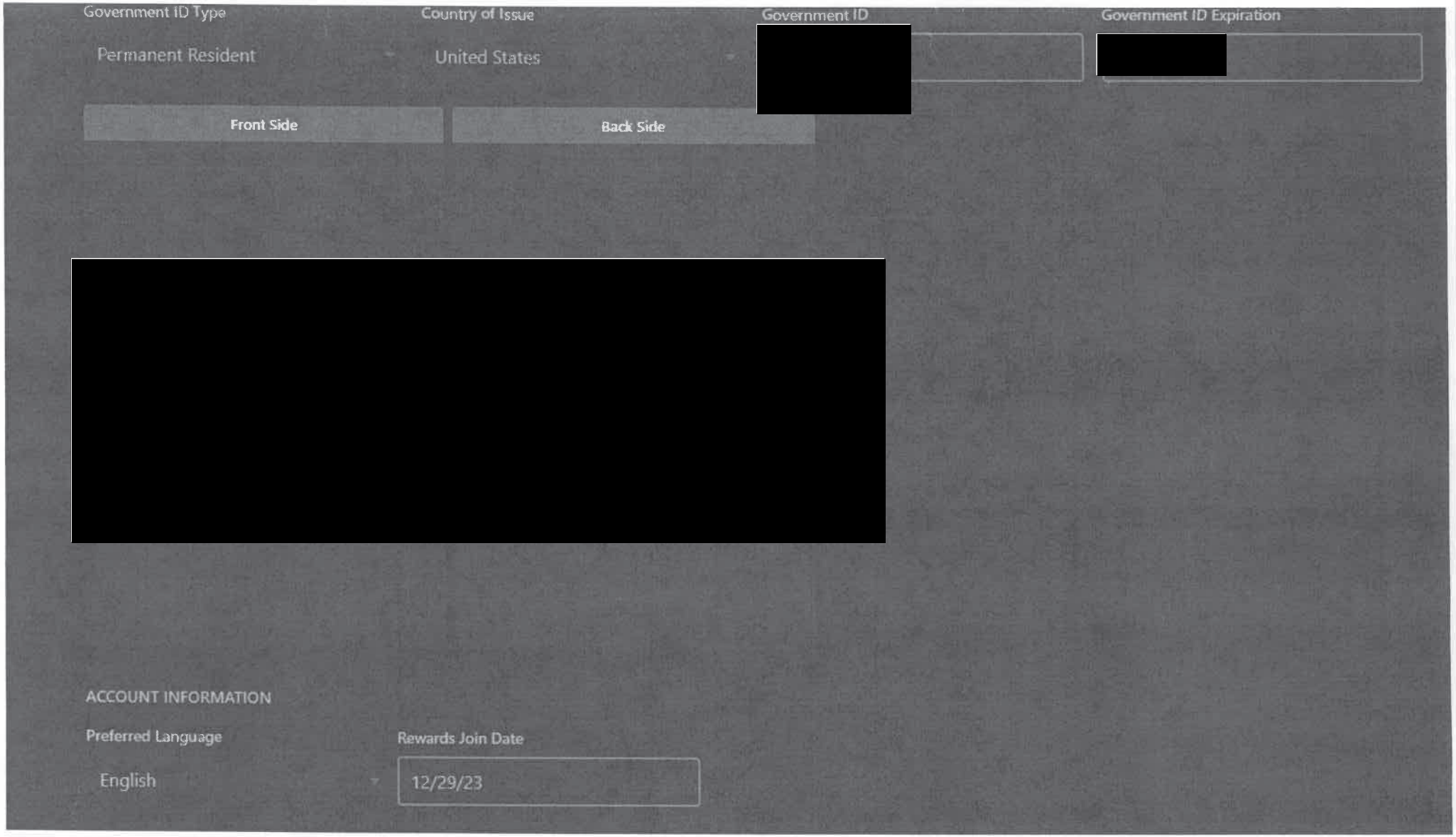


Exhibit # 4
Page 3 of 6

Magic

Profile & Contact

Edit Profile

SERGIO R MELENDEZ RODRIGUEZ

Acct #: [redacted] | Player ID: TJbQMCJF Play Banned

Rewards Join Date: 12/29/23

Last Updated: 10/17/24, 7:52:48 PM EDT

[redacted]

Front Side Back Side

PERSONAL INFORMATION

Title	Legal First Name *	Middle Name	Legal Last Name *	Suffix
	SERGIO	R	MELENDEZ RODRIGUEZ	

Gender	Birth Date *	Age
Male	[redacted]	28

Preferred Location *

Miami

ACCOUNT INFORMATION

Preferred Language: English

Rewards Join Date: 12/29/23

CONTACT INFORMATION

Contact Email: kingsergio097@gmail.com

Receive email ✓ Primary email ✓

Phone *: Mobile | +1 | 954-299-8137

Receive Text Messages ✓ Receive Calls ✓

Primary phone ✓

PO box can be used, but it cannot be the sole address provided, as we cannot send tax documents to a PO box

Address Type: Home

Street Address *: 961 E 45TH STREET

Street Address 2:

City *: HIALEAH

State/Province *: Florida

Country *: United States

Postal Code *: 33013

Receive mail

Miami Casino

Results 1 to 1 of 1

Last Name: MELENDEZ-RODRIGUEZ; **First Name:** SERGIO;
Middle Name: R; **DOB:** [redacted]

ALL	NAME	SSN	ADDRESS	PHONE(S)	INDICATORS
1.	SERGIO ROBERTO MELENDEZ SERGIO R MELENDEZ SERGIO MELENDEZ SERGIO ROBERTO MELENDEZ RODRIGUEZ SERGIO R MELENDEZRODRIGU SERGIO MELENDEZRODRIGUEZ SERGIO RODRIGUEZ **DOB** [redacted] Age: 28 Gender - Male *View Sources (~5)	SSN: [redacted] LexID: 190434439396 DL: xxxx-xxx-xx-xxx-x DL State: FL Issue Date: Feb 15 Exp Date: Mar 23	961 E 45TH ST HIALEAH, FL 33013-2461, MIAMI-DADE COUNTY Jul 2024 - Mar 2025 ✓ Probable current address	954-299-8137 - EDT SERGIO MELENDEZRODRIGUEZ (CURRENT LISTING NAME) CURRENT DA Jul 2024 - Jul 2024	criminal
			10380 NW 130TH ST HIALEAH, FL 33018-1161, MIAMI-DADE COUNTY Mar 2022 - Jun 2025	305-828-2029 - EDT TORO LUCILA D (CURRENT LISTING NAME) POSSIBLE NON-DA May 2009 - Jul 2025	
			752 W 35TH ST HIALEAH, FL 33012-5131, MIAMI-DADE COUNTY Jul 2024 - Aug 2024		
			16606 NW 73RD AVE MIAMI LAKES, FL 33014-7108, MIAMI-DADE COUNTY Feb 2015 - Feb 2020		
			7986 W 29TH LN APT 202 HIALEAH, FL 33018-5157, MIAMI-DADE COUNTY Jun 2024		
			2817 NW 99TH ST MIAMI, FL 33147-2027, MIAMI-DADE COUNTY Jun 2021 - Jun 2024		
			8851 NW 119TH ST UNIT 1208 HIALEAH, FL 33018-7904, MIAMI-DADE COUNTY Mar 2023 - Feb 2024	305-709-7624 - EDT SERGIO MELENDEZRODRIGUEZ (CURRENT LISTING NAME) POSSIBLE CELL PHONE Mar 2023 - Mar 2023	
			14265 VAN NUYS BLVD APT 108 ARLETA, CA 91331-5153, LOS ANGELES COUNTY May 2017 - Apr 2023		
			3191 W 79TH PL HIALEAH, FL 33018-3849, MIAMI-DADE COUNTY Jan 2021 - Dec 2021		

ALL	NAME	SSN	ADDRESS	PHONE(S)	INDICATORS
			1487 NW 100TH ST MIAMI, FL 33147-1819, MIAMI-DADE COUNTY Feb 2020 - Dec 2021		
			2187 NW 99TH TER MIAMI, FL 33147-1382, MIAMI-DADE COUNTY Oct 2020		
			16201 RICHMOND AVE MARKHAM, IL 60428-4636, COOK COUNTY Jan 2018		

Results 1 to 1 of 1

Last Name: MELENDEZ-RODRIGUEZ; **First Name:** SERGIO;
Middle Name: R; **DOB:** [redacted]

Your DPPA Permissible Use: Court, Law Enforcement, or Government Agencies

Your Secondary DPPA Permissible Use: Not Parking Violations, Traffic/Toll Violations, nor Immigration Enforcement

Your GLBA Permissible Use: Law Enforcement Purposes

Your DMF Permissible Use: No Permissible Purpose

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Investigations

PROPERTY RECEIPT

Complaint # 2025-05-5766 Date 7/24/25 Lab # _____

Item No.	Quantity	Description
	1	USB Sergio Melendez
		2025055766

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.

Ashanti Baptiste
Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.

Anjeanet Hawkins
Signature – Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

_____ _____
Signature Date

Received by: _____ Date: _____
Received by: _____ Date: _____
Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

Form FGCC 11-75 (Revised 07/22)

MAGIC CITY CASINO

Evidence Receipt

Date 07-24-2025

Time _____

Received By: Name Anjeanet Hankins Title _____

Signature Anjeanet Hankins

Print _____

Description #2503929-Trespassing, #2503928-Trespassing, #2503837-Exclusion, #2503713-Trespassing

Supervisor Ashanti Bynum Employee # 4281

6. Discussion of Settlement Offers

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Through:	Justin Hundersmarck, Senior Attorney
Re:	FGCC v. St. Petersburg Kennel Club, Inc. d/b/a Derby Lane and/or Win! Derby; Case No. 2025-001169; Consent Orders
Date:	December 29, 2025

Executive Summary

St. Petersburg Kennel Club, Inc. d/b/a Derby Lane and/or Win! Derby ("Respondent") seeks the adoption of the proposed settlement and consent order to resolve Case No. 2025-001169. Pursuant to the terms of the proposed order, the Respondent will agree to pay an administrative fine of $2,375 to the Florida Gaming Control Commission.

Relevant Facts.

On September 12, 2025, an amended administrative complaint was filed against Respondent alleging four violations of rule 75-11.012(8), Florida Administrative Code, by failing to ensure that access to the surveillance room was limited to authorized personnel listed on the surveillance room access list, and one violation of rule 75-11.014(4)(b), Florida Administrative Code, by failing to mark the table number on an envelope containing a damaged card that had been withdrawn from play. This facility had one prior consent order for a violation of 75-11.012(8), Florida Administrative Code, and three prior consent orders for failure to follow damaged card procedures, specifically rule 75-11.014(b)(12), Florida Administrative Code.[1]

Respondent filed a response to the Commission's amended administrative complaint on October 3, 2025, proposing settlement of this matter, having previously returned an election of rights form on August 8, 2025, requesting a hearing involving disputed issues of material fact in response to the administrative complaint filed on July 21, 2025. This proposal for settlement was presented at the November 6, 2025, Commission Meeting in an Attorney-Client Session.

[1] Case No. 2023-029445 resulted in an administrative fine of $750.
Case No. 2020-039998 resulted in an administrative fine of $500
Case No. 2022-003824 resulted in an administrative fine of $250
Case No. 2022-016422 resulted in an administrative fine of $250.

Relevant Law

Rule 75-11.012(8), Florida Administrative Code, provides:

> Cardroom operators shall establish, and list in their internal controls, security controls that limit access into the cashiers' cage(s), count room, vault, and surveillance room. This list shall include the position titles of all employees who have access to these areas. A current list of employees, including full names and license numbers, authorized to enter each secure area […] shall be posted on the inside door of the entrance to each specific area, in the security office, and in the surveillance room at all times.

Rule 75-11.014(4)(b), Florida Administrative Code, provides in relevant part:

> Any deck of cards in which it is determined damaged cards exist shall be withdrawn from play immediately upon identification of the damage as follows:
> […]
> 2. The sealed envelope or evidence bag shall be marked with the table number, the date, and time the deck was withdrawn from play […]

Section 849.086(14), Florida Statutes, provides that "the commission may impose an administrative fine not to exceed $1,000 for each violation… of this section or any rules adopted pursuant thereto."

Under section 120.57(4), Florida Statutes, the Commission may resolve matters such as this case informally through a negotiated settlement. As part of settlement negotiations, Respondent is requesting that the Commission consider and agree to the proposed terms set forth in the Consent Order, whereby Respondent will agree to pay an administrative fine of $2,375, effective from the date the Final Order is filed with the Clerk of the Commission.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2025- 001169

ST. PETERSBURG KENNEL CLUB, INC, d/b/a
DERBY LANE and/or WIN! DERBY,

Respondent.
_____/

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (hereinafter the "Commission") and St. Petersburg Kennel Club, Inc, d/b/a Derby Lane and/or Win! Derby (hereinafter "Respondent"), each individually, a "party," and collectively as "parties," hereby agree and stipulate as follows:

WHEREAS, the Commission has jurisdiction over this matter and Respondent; and

WHEREAS, on September 12, 2025, the Commission filed an administrative complaint against Respondent, alleging four violations of rule 75-11.012(8), Florida Administrative Code, by failing to ensure that access to the surveillance room was limited to authorized personnel listed on the surveillance room access list and a violation of rule 75-11.014(4)(b), Florida Administrative Code, by failing to mark the table number on an envelope containing a damaged card that had been withdrawn from play (the "Administrative Complaint"); and

WHEREAS, the parties have negotiated and agreed that the best interest of all the parties will be served by a settlement of these proceedings in lieu of further litigation.

STIPULATION

NOW THEREFORE, in consideration of the mutual promises and recitals herein, the parties hereby agree and stipulate to the following:

1. All recitals herein are true and correct and are incorporated herein.

2. All parties agree that the above "whereas" clauses incorporated herein are binding findings of the parties.

3. The Commission is authorized by section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.

4. Each party has entered into the terms of this Stipulation and Consent Order voluntarily. Respondent is aware that it is entitled to the advice of counsel and has either sought the advice of counsel or, by execution of this Stipulation and Consent Order, is knowingly waiving the right to have the advice of counsel. Respondent acknowledges that the Commission has not made any promise, nor has it in any other way encouraged Respondent to enter into this Stipulation and Consent Order without the advice of counsel.

5. The parties acknowledge and agree that this Stipulation and Consent Order constitutes the final order in this case and that this Stipulation and Consent Order is enforceable under sections 120.69 and chapter 550, Florida Statutes, as final agency action.

6. Respondent, for itself and its related or resulting organizations, successors, transferees, attorneys, heirs, and executors or administrators, discharges the Commission and its agents, representatives, and attorneys, of and from all claims, demands, actions, causes of action, suits, damages, losses and expenses of any and every nature whatsoever, arising out of or in any way related to this matter and the Commission's actions, including, but not limited to, any claims that were or may be asserted in any federal or state court or administrative forum, including any claims arising out of this agreement, by or on behalf of Respondent or its

related or resulting organizations.

7. Each party shall bear its own costs and attorney's fees.

8. **FINE**: Respondent agrees to and shall pay to the Commission the total sum of **TWO THOUSAND THREE HUNDRED SEVENTY-FIVE DOLLARS ($2,375.00)**. The fines shall be assessed as followed:

I. **Count I:** $500.00

II. **Count II:** $500.00

III. **Count III:** $500.00

IV. **Count IV:** $500.00

V. **Count V:** $375.00

9. The payment shall be received by the Commission within thirty (30) days of filing of the Final Order Adopting the Settlement and Consent (the "Final Order") with the Commission. **The payment must be in the form of a certified check, cashier's check, or money order, made payable to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (NO PERSONAL CHECKS).**

10. Respondent must mail the executed Stipulation and Consent Order to: **the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 4070 Esplanade Way, Tallahassee, Florida 32399-1035, Attention: Emily Alvarado.**

11. By executing this Stipulation and Consent Order, the Respondent admits to the facts and legal conclusions raised in the Administrative Complaint, and the Commission continues to assert the validity thereof. Nothing in this Stipulation and Consent Order shall be deemed to preclude the Commission from imposing a penalty against Respondent for any future act(s) or omission(s) constituting either a violation of Florida law or the Florida Administrative Code, and this Consent Order may be considered as aggravation of any future proceedings involving Respondent.

12. The parties acknowledge and agree that this Stipulation and Consent Order is subject to the approval of the Commission. The Stipulation and Consent Order will have no force or effect unless and until

the Commission files a Final Order adopting this Stipulation and Consent Order. Should this Stipulation and Consent Order be rejected, no statement made in furtherance thereof by Respondent may be used as direct evidence against Respondent in any proceeding.

13. Upon the Commission's adoption of this Stipulation and Consent Order, Respondent agrees to waive any and all appeals and proceedings relating to these proceedings to which it may be entitled, including, but not limited to, an informal proceeding under section 120.57(2), Florida Statutes; a formal proceeding under section 120.57(1), Florida Statutes; appeals under section 120.68, Florida Statutes; and declaratory and all writs of relief in any court or quasi- court of competent jurisdiction; and agrees to waive compliance with the form of the Final Order (findings of fact and conclusions of law) to which it may be entitled, provided, however, that this agreement shall not be deemed a waiver by either party of its right to judicial enforcement of the Stipulation and Consent Order.

14. Venue for any action brought to interpret, enforce, or challenge the terms of this Stipulation and Consent Order and its corresponding Final Order shall lie solely in the Circuit Court of Florida, in and for Leon County, Florida.

15. This Stipulation and Consent Order is executed by the parties for the purpose of avoiding further administrative action with respect to the matters addressed herein. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Stipulation and Consent Order. Should the Commission not accept this Stipulation and Consent Order, it is agreed that presentation to and consideration of this Stipulation and Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

16. This Stipulation and Consent Order may be signed in counterparts, and copies shall be treated as original.

17. This Stipulation and Consent Order is binding upon all parties.

18. The parties agree that this serves as notice that the signed Stipulation and Consent Order will be placed

on the next available Commission meeting. If Respondent would like to attend, Respondent is responsible for checking the Florida Gaming Control Commission website at https://flgaming.gov for the meeting materials, agenda, and contact information. Respondent is not required to attend the Commission meeting.

19. Respondent authorizes the Commission to correct any typographical errors or make any non-material changes to this Stipulation and Consent Order after it is signed.

[Signature pages to follow]

Respondent, St. Petersburg Kennel Club, Inc, d/b/a Derby Lane and/or Win! Derby requests that the Commission enter a Final Order approving and incorporating this Stipulation and Consent Order in resolution of this matter.

Signed this 12th day of December, 2025.

ST. PETERSBURG KENNEL CLUB, INC. d/b/a DERBY LANE AND/OR WIN! DERBY
Respondent

Signed on behalf of St. Petersburg Kennel Club, Inc, d/b/a Derby Lane and/or Win! Derby by:

Adam Thomas
Printed Name

Secretary
Title

STATE OF FLORIDA

COUNTY OF Pinellas

The foregoing instrument was acknowledged before me, by means of ☒ physical presence or ☐ online notarization, this 12th day of December, 2025, by a duly authorized representative of St. Petersburg Kennel Club, Inc, d/b/a Derby Lane and/or Win! Derby, who is personally known to me or who produced the following as identification:

Cheryl A. Hahn
Notary Public

My commission expires:

CHERYL A HAHN
Public-State of Florida
Commission # HH 528347
Expires
2028

CHERYL A HAHN
Notary Public-State of Florida
Commission # HH 528347
My Commission Expires
May 16, 2028

This Stipulation and Consent Order for the Florida Gaming Control Commission Case Number 2025-001169 is APPROVED for legal sufficiency on this _____ day of _____ 2025.

_____

Emily Alvarado
Chief Attorney
The Florida Gaming Control Commission

Rutledge | Ecenia

119 South Monroe Street, Suite 202
Tallahassee, FL 32301

PO Box 551
Tallahassee, FL 32302

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: **10/03/2025**
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

September 29, 2025

VIA HAND DELIVERY

Justin Hundersmarck, Senior Attorney
Florida Gaming Control Commission
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399

Re: St. Petersburg Kennel Club, Inc. Case No. 2025-001169

Dear Justin:

This letter contains my client's proposal for settlement of the above referenced case in response to the Amended Administrative Complaint dated August 20, 2025 and received by our office on September 15, 2025. We propose a fine of $250. Please note that the individual not listed on the surveillance access list was a properly licensed person. The investigative report indicates that on January 6, four instances were listed where the individual was not on the surveillance room access list. There was an oversight in this regard, and as mentioned above, the individual present was properly licensed and there was no inappropriate conduct on the surveilled dates.

With regards to the instance of an envelope containing a damaged card but not marking the table number of the envelope containing the damaged card, the facility otherwise properly removed and accounted for the damaged card notwithstanding the envelope not containing the table number.

Thanks in advance to the Commission and your consideration of this proposal.

Sincerely,

Gary R. Rutledge

GRR/vw

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: **9/12/2025**
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2025-001169

ST. PETERSBURG KENNEL CLUB, INC.,
d/b/a DERBY LANE and/or WIN! DERBY,

Respondent.
_____/

AMENDED ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Amended Administrative Complaint against St. Petersburg Kennel Club, Inc., d/b/a Derby Lane and/or Win! Derby ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.

2. At all times material hereto, Respondent held a pari-mutuel wagering permit pursuant to section 550.054, and license pursuant to section 550.0115, both issued by the Commission.

3. At all times material hereto, Respondent held a valid cardroom license number 151 issued by the Commission under section 849.086, Florida Statutes, and operated a cardroom within the meaning of section 849.086(2)(c), Florida Statutes, at its Facility (the "Cardroom").

4. The Cardroom is a Facility operated by the Respondent at all times material hereto, and is located at 10490 Gandy Boulevard, St. Petersburg, Florida 33702.

COUNT I

5. Petitioner realleges and adopts paragraphs numbered one through four as if set forth fully herein.

6. During a routine inspection of the Cardroom surveillance footage on January 6, 2025, Commission staff observed that Respondent allowed an employee not listed on the Surveillance Access List to enter the surveillance room and observe the chip count on or about December 28, 2024.

7. Rule 75-11.012(8), Florida Administrative Code, provides:

> Cardroom operators shall establish, and list in their internal controls, security controls that limit access into the cashiers' cage[s], count room, vault, and surveillance room. This list shall include the position titles of all employees who have access to these areas. A current list of employees, including full names and license numbers, authorized to enter each secure area […] shall be posted on the inside door of the entrance to each specific area, in the security office, and in the surveillance room at all times.

8. Based on the foregoing, Respondent violated rule 75-11.012(8), Florida Administrative Code, by failing to ensure that access to the surveillance room was limited to authorized personnel listed on the surveillance room access list.

COUNT II

9. Petitioner realleges and adopts paragraphs numbered one through four as if set forth fully herein.

10. During a routine inspection of the Cardroom surveillance footage on January 6, 2025, Commission staff observed that Respondent allowed an employee not listed on the Surveillance Access List to enter the surveillance room and observe the chip count on or about December 29, 2024.

11. Rule 75-11.012(8), Florida Administrative Code, provides:

> Cardroom operators shall establish, and list in their internal controls, security controls that limit access into the cashiers' cage(s), count room, vault, and surveillance room. This list shall include the position titles of all employees who have access to these areas. A current list of employees, including full names and license numbers, authorized to enter each secure area […] shall be posted on the inside door of the entrance to each specific area, in the security office, and in the surveillance room at all times.

12. Based on the foregoing, Respondent violated rule 75-11.012(8), Florida Administrative Code, by failing to ensure that access to the surveillance room was limited to authorized personnel listed on the surveillance room access list.

COUNT III

13. Petitioner realleges and adopts paragraphs numbered one through four as if set forth fully herein.

14. During a routine inspection of the Cardroom surveillance footage on January 6, 2025, Commission staff observed that Respondent allowed an employee not listed on the Surveillance Access List to enter the surveillance room and observe the chip count on or about January 4, 2025.

15. Rule 75-11.012(8), Florida Administrative Code, provides:

> Cardroom operators shall establish, and list in their internal controls, security controls that limit access into the cashiers' cage(s), count room, vault, and surveillance room. This list shall include the position titles of all employees who have access to these areas. A current list of employees, including full names and license numbers, authorized to enter each secure area […] shall be posted on the inside door of the entrance to each specific area, in the security office, and in the surveillance room at all times.

16. Based on the foregoing, Respondent violated rule 75-11.012(8), Florida Administrative Code, by failing to ensure that access to the surveillance room was limited to authorized personnel listed on the surveillance room access list.

COUNT IV

17. Petitioner realleges and adopts paragraphs numbered one through four as if set forth fully herein.

18. During a routine inspection of the Cardroom surveillance footage on January 6, 2025, Commission staff observed that Respondent allowed an employee not listed on the Surveillance Access List to enter the surveillance room and observe the chip count on or about January 5, 2025.

19. Rule 75-11.012(8), Florida Administrative Code, provides:

> Cardroom operators shall establish, and list in their internal controls, security controls that limit access into the cashiers' cage(s), count room, vault, and surveillance room. This list shall include the position titles of all employees who have access to these areas. A current list of employees, including full names and license numbers, authorized to enter each secure area […] shall be posted on the inside door of the entrance to each specific area, in the security office, and in the surveillance room at all times.

20. Based on the foregoing, Respondent violated rule 75-11.012(8), Florida Administrative Code, by failing to ensure that access to the surveillance room was limited to authorized personnel listed on the surveillance room access list.

COUNT V

21. Petitioner realleges and adopts paragraphs numbered one through four as if set forth fully herein.

22. During a routine inspection of the Cardroom on January 6, 2025, Commission staff observed that Respondent did not mark the table number on an envelope containing a damaged card that had been withdrawn from play.

23. Rule 75-11.014(4)(b), Florida Administrative Code, provides:

> Any deck of cards in which it is determined damaged cards exist shall be withdrawn from play immediately upon identification of the damage as follows:
> […]
> 2. The sealed envelope or evidence bag shall be marked with the table number, the date, and time the deck was withdrawn from play
> […]

24. Based on the foregoing, Respondent violated rule 75-11.014(4)(b), Florida Administrative Code, by failing to mark the table number on an envelope containing a damaged card that had been withdrawn from play.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in section 849.086(14), Florida Statutes, and/or the rules promulgated thereunder.

This Amended Administrative Complaint for FGCC Case Number 2025-001169 is signed on the 20th day of August 2025.

/s/ Justin Hundersmarck

Justin Hundersmarck
Senior Attorney
Florida Bar Number: 1039038
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Phone: (850) 794-8063
Fax: +1 (850) 536-8712
Primary: Justin.Hundersmarck@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

Rutledge | Ecenia

119 South Monroe Street, Suite 202
Tallahassee, FL 32301

PO Box 551
Tallahassee, FL 32302

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 8/19/2025
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

August 15, 2025

VIA HAND DELIVERY

Justin Hundersmarck, Senior Attorney
Florida Gaming Control Commission
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399

Re: St. Petersburg Kennel Club, Inc. Case No. 2025-001169

Dear Justin:

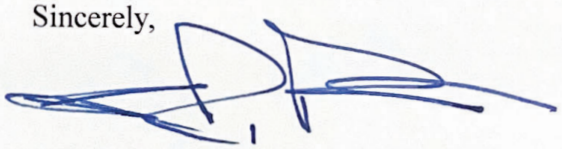
In furtherance of my prior communication, this letter contains my client's proposal for settlement of the above-referenced case.

We propose a fine of $250. Please note that with regards to the table number not being included on the envelope containing a damaged card, that the card and envelope were appropriately "punched" with the appropriate date regarding same notwithstanding the fact that the envelope did not contain a table number.

With regards to the counts involving the surveillance room, there appear to be a number of issues. The allegation regarding an individual not being on the surveillance list on December 25, 2024 is obviously an error. The facility was not open on that day and the individual was not working except on weekends anyway. It should be noted that the former employee not listed on the surveillance access list was at all times property licensed and that any concerns about him not being on the list were ministerial and did not present any problems.

Thanks in advance to the Commission and your consideration of this proposal.

Sincerely,



Gary R. Rutledge

GRR/vw

Ebonie Lanier

From: Gary Rutledge <Gary@rutledge-ecenia.com>
Sent: Monday, August 11, 2025 10:51 AM
To: Emily Alvarado; Gary Rutledge
Cc: Ebonie Lanier
Subject: RE: Election of Rights

You don't often get email from gary@rutledge-ecenia.com. Learn why this is important

Emily,

Good morning to you as well. We do waive the 15-day requirement and look forward to attempting to resolve these cases amicably. Thanks.

Gary

From: Emily Alvarado <Emily.Alvarado@flgaming.gov>
Sent: Monday, August 11, 2025 10:36 AM
To: Gary Rutledge <Gary@rutledge-ecenia.com>
Cc: Ebonie Lanier <Ebonie.Lanier@flgaming.gov>
Subject: Election of Rights

Good Morning Gary,

I wanted to confirm if it was your intention to waive the 15 day requirement in order to pursue settlement in these two cases:

1. St. Petersburg Kennel Club, Inc., 2025-001169
2. Sarasota Kennel Club, Inc., 2025-047829

Please let me know so I do not send this to DOAH if that is not what you intended at this point.

Thank you,

flgaming.gov

Emily A. Alvarado
Chief Attorney in Litigation
Office of the General Counsel
850.794.8066 Office 850.964.1710 Cell
850.536.8709 Fax

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution, or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGELY LW

Rutledge | Ecenia

119 South Monroe Street, Suite 202
Tallahassee, FL 32301

PO Box 551
Tallahassee, FL 32302

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: **8/08/2025**
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

August 8, 2025

Via HAND DELIVERY

Justin Hundersmarck, Senior Attorney
Florida Gaming Control Commission
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399

Re: St. Petersburg Kennel Club, Inc. Case No. 2025-001169

Dear Justin,

Please find attached my client's Election of Rights form.

With regards to the above-referenced case, please be advised that my client contests that there were unauthorized personnel listed on the surveillance room access list and that the table number on an envelope contained a damaged card.

Notwithstanding these contentions, under separate cover, I will be forwarding you a proposal for resolution.

Sincerely,

Gary R. Rutledge

GRR/vw
Enclosure

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FGCC v. ST. PETERSBURG KENNEL CLUB, INC.,D/B/A DERBY LANE AND/OR WIN! DERBY,

CASE NO.: 2025-001169

ELECTION OF RIGHTS

Please read the Administrative Complaint before choosing one of the three options. The Election of Rights is a **legally binding** document. Consult an attorney if you do not understand your options.

You can only select **ONE** of the options numbered one (1), two (2), and three (3) on the Election of Rights form. You must sign the form.

A completed Election of Rights **must be returned to the Clerk of the Commission within 21 days, by 5:00 p.m., Eastern Time, of the day you receive the attached Administrative Complaint.**

If your Election of Rights form or request for hearing is not filed with the Clerk of the Commission within 21 days of the day you received the Administrative Complaint, you will have waived your right to contest the proposed agency action and a Final Order will be issued imposing the proposed agency action set forth in the Administrative Complaint.

Please use this form unless you, your attorney, or your representative prefer to reply according to chapter 120, Florida Statutes, and chapter 28.106 of the Florida Administrative Code.

Please return your Election of Rights form to this address:

Florida Gaming Control Commission
Attention: Clerk of the Commission
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399
Telephone: (850) 794-8067 Fax: (850) 536-8712
Email: clerk@flgaming.gov

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option One (1) ☐ **I do not dispute the allegations** of material fact in the Administrative Complaint and wish to be heard at an informal proceeding pursuant to section 120.57(2), Florida Statutes, where I may submit testimony and written evidence to the Commission to show that the proposed agency action is too severe or that the sanction should be reduced.

Option Two (2) ☒ **I do dispute the allegations** of material fact in the Administrative Complaint and I request a formal hearing pursuant to Section 120.57(1) before an Administrative Law Judge of the Division of Administrative Hearings ("DOAH"). **I specifically dispute the following facts in the Administrative Complaint** (attach extra pages or write on the back if needed):

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

_____

_____

_____

In addition to the above election for formal hearing, check the box below if you wish to enter into settlement negotiations and waive the 15-day requirement for this matter to be referred to DOAH:

☐ Section 120.569(2)(a), Florida Statutes, requires the Commission to send this case to DOAH for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement.

Option Three (3) ☐ **I do not dispute the allegations** of material fact in the Administrative Complaint and **waive my right** to object and to have a hearing. I understand that by giving up the right to object and have a hearing, a Final Order will be issued that adopts the allegations of fact and conclusions of law alleged in the Administrative Complaint and imposes the Commission action set forth in the Administrative Complaint.

THIS IS A **LEGALLY BINDING DOCUMENT**. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

_____	Gary R. Rutledge
PRINTED NAME	ATTORNEY OR QUALIFIED REPRESENTATIVE
_____	1195 S. Monroe Street, Suite 202
Street Address	Street Address (where service shall be made)
_____	Tallahassee FL 32301
City State Zip	City State Zip
_____	(850)681-0788
Telephone Number Facsimile Number (if any) Number (if any)	Telephone Number Facsimile
_____	gary@rutledge-ecenia.com
E-mail	E-mail
_____	_____
SIGNATURE	

YOU MUST LET THE COMMISSION KNOW IF YOUR E-MAIL OR MAILING ADDRESS CHANGES

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: **7/21/2025**
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2025-001169

ST. PETERSBURG KENNEL CLUB, INC.,
d/b/a DERBY LANE and/or WIN! DERBY,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against St. Petersburg Kennel Club, Inc., d/b/a Derby Lane and/or Win! Derby ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.

2. At all times material hereto, Respondent held a pari-mutuel wagering permit pursuant to section 550.054, and license pursuant to section 550.0115, both issued by the Commission.

3. At all times material hereto, Respondent held a valid cardroom license number 151 issued by the Commission under section 849.086, Florida Statutes, and operated a cardroom within the meaning of section 849.086(2)(c), Florida Statutes, at its Facility (the "Cardroom").

4. The Cardroom is a Facility operated by the Respondent at all times material hereto, and is located at 10490 Gandy Boulevard, St. Petersburg, Florida 33702.

COUNT I

5. Petitioner realleges and adopts paragraphs numbered one through four as if set forth fully herein.

6. During a routine inspection of the Cardroom surveillance footage on January 6, 2025, Commission staff observed that Respondent allowed an employee not listed on the Surveillance Access List to enter the surveillance room and observe the chip count on or about December 25, 2024.

7. Rule 75-11.012(8) Florida Administrative Code, provides:

> Cardroom operators shall establish, and list in their internal controls, security controls that limit access into the cashiers' cage[s], count room, vault, and surveillance room. This list shall include the position titles of all employees who have access to these areas. A current list of employees, including full names and license numbers, authorized to enter each secure area […] shall be posted on the inside door of the entrance to each specific area, in the security office, and in the surveillance room at all times.

8. Based on the foregoing, Respondent violated rule 75-11.012(8), Florida Administrative Code, by failing to ensure that access to the surveillance room was limited to authorized personnel listed on the surveillance room access list.

COUNT II

9. Petitioner realleges and adopts paragraphs numbered one through four as if set forth fully herein.

10. During a routine inspection of the Cardroom surveillance footage on January 6, 2025, Commission staff observed that Respondent allowed an employee not listed on the Surveillance Access List to enter the surveillance room and observe the chip count on or about December 28, 2024.

11. Rule 75-11.012(8) Florida Administrative Code, provides:

> Cardroom operators shall establish, and list in their internal controls, security controls that limit access into the cashiers' cage(s), count room, vault, and surveillance room. This list shall include the position titles of all employees who have access to these areas. A current list of employees, including full names and license numbers, authorized to enter each secure area […] shall be posted on the inside door of the entrance to each specific area, in the security office, and in the surveillance room at all times.

12. Based on the foregoing, Respondent violated rule 75-11.012(8), Florida Administrative Code, by failing to ensure that access to the surveillance room was limited to authorized personnel listed on the surveillance room access list.

COUNT III

13. Petitioner realleges and adopts paragraphs numbered one through four as if set forth fully herein.

14. During a routine inspection of the Cardroom surveillance footage on January 6, 2025, Commission staff observed that Respondent allowed an employee not listed on the Surveillance Access List to enter the surveillance room and observe the chip count on or about January 4, 2025.

15. Rule 75-11.012(8) Florida Administrative Code, provides:

> Cardroom operators shall establish, and list in their internal controls, security controls that limit access into the cashiers' cage(s), count room, vault, and surveillance room. This list shall include the position titles of all employees who have access to these areas. A current list of employees, including full names and license numbers, authorized to enter each secure area […] shall be posted on the inside door of the entrance to each specific area, in the security office, and in the surveillance room at all times.

16. Based on the foregoing, Respondent violated rule 75-11.012(8), Florida Administrative Code, by failing to ensure that access to the surveillance room was limited to authorized personnel listed on the surveillance room access list.

COUNT IV

17. Petitioner realleges and adopts paragraphs numbered one through four as if set forth fully herein.

18. During a routine inspection of the Cardroom surveillance footage on January 6, 2025, Commission staff observed that Respondent allowed an employee not listed on the Surveillance Access List to enter the surveillance room and observe the chip count on or about January 5, 2025.

19. Rule 75-11.012(8) Florida Administrative Code, provides:

> Cardroom operators shall establish, and list in their internal controls, security controls that limit access into the cashiers' cage(s), count room, vault, and surveillance room. This list shall include the position titles of all employees who have access to these areas. A current list of employees, including full names and license numbers, authorized to enter each secure area […] shall be posted on the inside door of the entrance to each specific area, in the security office, and in the surveillance room at all times.

20. Based on the foregoing, Respondent violated rule 75-11.012(8), Florida Administrative Code, by failing to ensure that access to the surveillance room was limited to authorized personnel listed on the surveillance room access list.

COUNT V

21. Petitioner realleges and adopts paragraphs numbered one through four as if set forth fully herein.

22. During a routine inspection of the Cardroom on January 6, 2025, Commission staff observed that Respondent did not mark the table number on an envelope containing a damaged card that had been withdrawn from play.

23. Rule 75-11.014(4)(b), Florida Administrative Code, provides:

> Any deck of cards in which it is determined damaged cards exist shall be withdrawn from play immediately upon identification of the damage as follows:
> […]
> 2. The sealed envelope or evidence bag shall be marked with the table number, the date, and time the deck was withdrawn from play
> […]

24. Based on the foregoing, Respondent violated rule 75-11.014(4)(b), Florida Administrative Code, by failing to mark the table number on an envelope containing a damaged card that had been withdrawn from play.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in section 849.086(14), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2025-001169 is signed on the 16th day of July 2025.

/s/ Justin Hundersmarck

Justin Hundersmarck
Senior Attorney
Florida Bar Number: 1039038
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Phone: (850) 794-8063
Fax: +1 (850) 536-8712
Primary: Justin.Hundersmarck@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1002 - Cardroom License**			Status	**90 Closed**	Status Date	**02/05/2025**
Complaint #	**2025001169**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**ST. PETERSBURG KENNEL CLUB, INC.**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INTR - Internal**	Priority	
Class'n	**V-C - Cardroom Violations**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**12/28/2024**
Region	**CR - Central Region**	Received	**01/06/2025**
Reference	**75-11.012; 75-11.014**		
Entered	**01/07/2025**	Entered By	**cstubbs1**
Summary	**151 - ST PETERSBURG KENNEL CLUB, INC - On January 6, 2025, during an inspection of the cardroom at the St. Petersburg Kennel Club (Derby Lane), it was discovered that the surveillance access list did not include Security Guard James John Bubser as an authorized employee allowed to access the surveillance area. Despite this, Guard Bubser entered the surveillance room on four occasions to observe the count being performed. Additionally, further inspection revealed a damaged card envelope that contained a card which did not indicate on the envelope the table number from which it had been removed.**		
Updated	**02/05/2025 15:27:58**	By	**cstubbs1**

☐ Parties ☑ Activities
☐ Allegations ☐ Discipline
☐ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
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Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Joe Dillmore, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: **PMW**	Region: **CENTRAL**	Date of Complaint: **JANUARY 7, 2025**	Case Number: **2025 00 1169**

Respondent:	Complainant:
ST. PETERSBURG KENNEL CLUB, INC. **10490 GANDY BLVD.** **ST. PETERSBURG, FLORIDA 33702** **TEL (727) 812-3236**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # and Type:	Profession:	Report Date:
151 / 1002	**PERMIT HOLDER**	**JANURY 13, 2025**

Period of Investigation:	Type of Report:
JANUARY 6, 2025 – JANUARY 13, 2025	**FINAL**

Alleged Violation: **75-11.012 Duties of Cardroom Operators.**

(8) Cardroom operators shall establish, and list in their internal controls, security controls that limit access into the cashiers' cage(s), count room, vault, and surveillance room. This list shall include the position titles of all employees who have access to these areas. A current list of employees, including full names and license numbers, authorized to enter each secure area and shall be posted on the inside door of the entrance to each specific area, in the security office, and in the surveillance room at all times.

75-11.014 Cards.

(4)(b) Any deck of cards in which it is determined damaged cards exist shall be withdrawn from play immediately upon identification of the damage as follows:

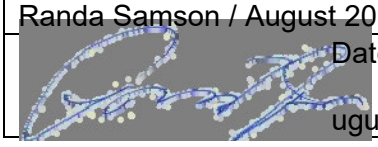
1. The entire deck of cards containing the damaged card or cards shall be removed from play before card play may resume at the card table and the damaged card or cards shall be placed in a sealed envelope or evidence bag,

2. The sealed envelope or evidence bag shall be marked with the table number, the date, and time the deck was withdrawn from play

Synopsis: On January 6, 2025, during an inspection of the cardroom at the St. Petersburg Kennel Club (Derby Lane), it was discovered that the Surveillance Access List did not include Security Guard James John Bubser as an authorized employee allowed to access the surveillance area. Despite this, Guard Bubser entered the surveillance room on four occasions to observe the count being performed. Additionally, further inspection revealed a damaged card envelope that contained a card which did not indicate on the envelope the table number from which it had been removed.

Related Case(s): 2020 00 3824, 2020 03 9998, 2022 01 6422, and 2023 02 9445

Investigations Specialist II / Date	Investigation Manager / Date:
/s/ Randa Samson Randa Samson / August 20, 2025	/s/ C. Derek Washington C. Derek Washington / August 20, 2025



Date

ugust 20, 2025

On January 6, 2025, I conducted an inspection of the cardroom at the **ST. PETERSBURG KENNEL CLUB (DERBY LANE)**. During this inspection, I carefully reviewed all authorized employee access lists displayed in the Cashier's Cage, Count Room, Vault, Security Office, and Surveillance Room.

When I reached the Surveillance Room, I noticed that the required access list did not include Security Guard James John **BUBSER** (PMW LIC #11313490) as an authorized employee allowed to enter. Nevertheless, he had been granted access to the surveillance room to observe the count on four occasions: December 28, 2024, December 29, 2024, January 4, 2025, and January 5, 2025 **(EXHIBIT #2)**.

I promptly brought this discrepancy to the attention of **ST. PETERSBURG KENNEL CLUB (DERBY LANE)** Poker Room Shift Manager, Tony Lawrence Carter (PMW LIC# 7898586), who stated he would have it corrected. I also examined the handling and storage procedures for damaged cards. During this inspection, I found an envelope containing a damaged card. However, the envelope did not specify the table number from which the card had been taken **(EXHIBIT #3).**

ST. PETERSBURG KENNEL CLUB (DERBY LANE) has violated Florida Administrative Code Rule 75-11.012(8) by allowing an employee in the Surveillance Room who was not named on the Authorized Employee Access List. Additionally, they have violated Rule 75-11.014(4)(b)(2) by failing to provide the necessary information on the damaged card envelope.

A review of the Versa Regulation Enforcement Database revealed **ST. PETERSBURG KENNEL CLUB (DERBY LANE)** has one prior violation of F.A.C. Rule 75-11.012(8) in which an employee accessed the Surveillance Room without being listed on the access list. Additionally, there were also three prior violations of F.A.C. Rule 75-11.014 (b)(2) for failure to follow damaged card procedures.

- **F.A.C. Rule 75-11.012(8):**

    1. **Case #2023 02 9445**, Consent Order issued, Seven Hundred Fifty Dollar ($750) fine imposed. **(EXHIBIT #4)**

- **F.A.C. Rule 75-11.014 (b)(2)**

    1. **Case #2020 03 9998,** Consent Order issued (multiple infractions), Five Hundred Dollar ($500) fine imposed. **(EXHIBIT #5)**
    2. **Case #2022 00 3824,** Consent Order issued, Two Hundred Fifty Dollar ($250) fine imposed. **(EXHIBIT #5)**
    3. **Case #2022 01 6422,** Consent Order issued, Two Hundred Fifty Dollar ($250) fine imposed. **(EXHIBIT #5)**

Status: Case closed by Investigations and forwarded to Legal for review.

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FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > License Search > **License Home**

Licensee | History | Notes | Notes History | Back

License

Fed Tax # [redacted] Lic Type **1002 - Cardroom License** Expires On **06/30/2025**

File # **34** Name **ST. PETERSBURG KENNEL CLUB, INC.** Extended To

License # **151** Rank **CLIC - Cardroom Operating License** Renewed On

Entity # **151** Lic Status **Current**

Address

Street # **10490** Street **GANDY BOULEVARD**

Line 2

Line 3

City **ST. PETERSBURG** State **FL** Zip **33702**

Routing

Other

1st License Date **03/18/2024** Rank Date **03/18/2024** Certificate #

Method **I-S-1020** Status Date **07/14/2008** Certificate Date

Fee Exempt **No** Birth Date Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
L	151 - St. Petersburg Kennel Club, Inc.	03/20/2006	

DBA Name

DBA **DERBY LANE**

Alt Keys

BEST LIC NBR **CLI151**

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EXHIBIT #1
PAGE 1/1

INDIVIDUAL AUTHORIZED ACCESS

SURVEILLANCE ROOM

EMPLOYEE F. NAME	EMPLOYEE L. NAME	POSITION	LICENSE #
JEFF	GAMBER	Director Of Gaming & Wagering	3906422
ADAM	HLAS	Compliance Officer	7980316
TONY	CARTER	Shift Manager	7898586
JASON	HOGGATT	Shift Manager	7777471
JERRY	ZIMMERMAN	Vice President/Treasurer	3376372
JANET	KIEFER	Office Manager	12478649
CASEY	WEINERT	Supervisor	13096758
PAUL	CAIN	Supervisor	8973973
NICHOLAS	HERY	Supervisor	8216204
DANIEL	LIZCANO	Supervisor	8156946
DENNIS	MONAHAN	Supervisor	332389
CURTIS	EDWARDS	Supervisor	8285796
CURTIS	DOERR	Supervisor	10930609
JON	MAY	Supervisor	7295115
RICK	MCPHEE	Supervisor	149850
ISAAC	EDWARDS	Supervisor	12719105
SHAYLA	BALDWIN	SUPERVISOR	13147232
TOBY	OETKEN	Supervisor	7245954
DANIEL	SULLIVAN	Supervisor	10833946
GREG	BROMELOW	Security Manager	10243156
ORIALY	MELENDEZ-SANCHEZ	Security	10947377
BOB	DICKSON	Security	12951400
EDWARD	DIEBERT	Security	8938502
VERNON	HAUGHBROOK	Security	435376
RITA	HILLIARD	Security	9935058
PAUL	LECLAIR	Security	9963044
LENNON	PEOPLES	Security	8290514
MIKE	BROWNING	Security	13734201
CHARES	TROMBELEY	Security	8804613
TYLER	KELLY	SECURITY	13510111
PAUL	KEGLOVICH	SECURITY	12932563
FREDERICK	WITT	SECURITY	10073662
KEN	DEACON	SECURITY	1102503
MICHAEL	PONTONE	Maintenance	1640312
PATRICK	BRENNAN	Chief Information Officer	2005301
SONDRA	HALBERT	Controller	1523981
GEORGE	MAGANIARIS	Electrician	13303298
MARIO	VACCARO	Electrician	8479857
TERI	FARLOW	IT Tech	2010202
PAT	SMITH	Customer Service Manager	85676
CHARLES	BEERSDORF	Director of Maintenance	10944175

AUTHORIZED BY: JEFF GAMBER- DIRECTOR OF GAMING & WAGERING

EXHIBIT #2
PAGE 1/5

SIGNATURE

17-Oct-24
DATE

SURVEILLANCE LOG

TE: 1-04-25

nted Name of Person(s) Performing Surveillance

Name Jim Bibser ✓ State License #: 11313490 Time in: 0754 Time out: 1303

Name Carter Tony. State License #: 7898586 Time in: 810 Time out: 1316

Name DAN SULLIVAN State License #: 10833946 Time in: 1342 Time out: 1344

Time Surveillance Starts: 0758 Time Surveillance Ends: 1303

DVR#/Camera# used: ID-613 Time Frame of Occurrence: _____

Name of Individual(s), Area, Room, and/or Table being Monitored: Gregg - Kirk - Hailey

Reason for Monitoring: Chip Count

Description of Activity being Viewed:

0758 - Door Opened All Entered
0800 - Count Began
0934 - Gregg UNABLE to Lock M-6 Box - Put it on top of the Boxes - Cart
0952 - Chip Down - Up Chip Gregg
1025 - Door Opened for Supervisor Count
1037 - ALL EXITED - Rest Room Break
1051 - ALL Returned - Count Resumed - Jack Pot Boxes
1221 - Door Opened for Racks
1245 - Door Opened for Supervisor Count
1303 - Floor Cleared By S-1 (Sgt LeClair)

Camera & DVR Check.
All looks Good to go.

WELL CHECK

EXHIBIT #2
PAGE 2/5

PTZ camera views have been returned to original set viewing areas ☐ _____ Initials

SURVEILLANCE LOG

TE: 1/05/25

nted Name of Person(s) Performing Surveillance

Name Jim Bobzien ✓ State License #: 113134900 Time in: 0750 Time out: 1227

Name _____ State License #: _____ Time in: _____ Time out: _____

Name _____ State License #: _____ Time in: _____ Time out: _____

Time Surveillance Starts: 0804 Time Surveillance Ends: 1227

DVR#/Camera# used: ID : 013 Time Frame of Occurrence: _____

Name of Individual(s), Area, Room, and/or Table being Monitored: Bob - Maverick - WES

Reason for Monitoring: Chip Count

Description of Activity being Viewed:

0804 - Door Opened - ALL Entered
0805 - Count Began
1013 - Door Opin for Supervison Count
1022 - ALL Exited - Rest Room Break
1039 - ALL Returned - Count Resumed - JACKPOT Boxes
1046 - Chip Down - Up Chip - Maverick
1111 - Door Opened for RACKS
1217 - Door opened for Supervison Count
1227 - Floor Cleared By S-1 (#Sgt Paul LeClair)

EXHIBIT #2
PAGE 3/5

PTZ camera views have been returned to original set viewing areas ☐ _____ Initials

SURVEILLANCE LOG

TE: 12/28/24

nted Name of Person(s) Performing Surveillance

Name Jim Bobsen ✓ State License #: 1131490 Time in: 0750 Time out: 1315

Name _____ State License #: _____ Time in: _____ Time out: _____

Name _____ State License #: _____ Time in: _____ Time out: _____

Time Surveillance Starts: 0800 Time Surveillance Ends: 1315

DVR#/Camera# used: ID: 013 Time Frame of Occurrence: _____

Name of Individual(s), Area, Room, and/or Table being Monitored: Bregg - Haiky - Kirk

Reason for Monitoring: Chip Count

Description of Activity being Viewed:

0758 - Door Opened - All Entered
0802 - Count Began
1006 - Door Opened for Supervisor Count
1015 - All Exited - Rest Room Break
1029 - All Returned - Count Resumed - Jack Pot Boxes
1214 - Door Opened for Racks
1235 - Door Opened for Supervisor Count
1315 - Floor Cleared By S/o Haughbrook (DC-3)

EXHIBIT #2
PAGE 4/5

PTZ camera views have been returned to original set viewing areas ☐ _____ Initials

SURVEILLANCE LOG

TE: 12/29/24

nted Name of Person(s) Performing Surveillance

Name Jim Bibbee ✓ State License #: 12313490 Time in: 0758 Time out: 1255

Name _____ State License #: _____ Time in: _____ Time out: _____

Name _____ State License #: _____ Time in: _____ Time out: _____

Time Surveillance Starts: 0820 Time Surveillance Ends: 1255

DVR#/Camera# used: ED: 013 Time Frame of Occurrence: _____

Name of Individual(s), Area, Room, and/or Table being Monitored: MAVERICK - WES - CHRIS - BRICE

Reason for Monitoring: Chip Count

Description of Activity being Viewed:

0823 - ALL ENTERED COUNT BEGAN
0852 - Chip Down - up Chip Chris
0909 - Chip Down - up Chip Wes
1018 - Door Opened for Supervisor Count
1027 - ALL Exited - Rest Room Break
1043 - ALL RETURNED - Count Resumed - Jackpot Boxes
1030 - Chris Departed - BRICE Replaced Him *
1208 - Chip Down - up Chip - Brice
1210 - Chip Down - up Chip - MAVERICK
1245 - Door Opened for Supervisor Count
1255 - Floor Cleared By DC-3 - S/o Haughbrook

EXHIBIT #2
PAGE 5/5

PTZ camera views have been returned to original set viewing areas ☐ _____ Initials

EXHIBIT #3
PAGE 1/1

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v. FGCC Case No.: 2023-029445

ST. PETERSBURG KENNEL CLUB, INC.,

Respondent.
_____/

STIPULATION AND CONSENT ORDER

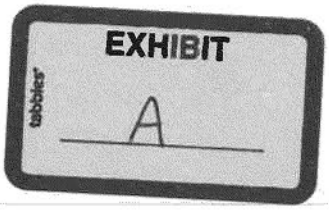
The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Division"), and St. Petersburg Kennel Club, Inc. ("Respondent"), stipulate and agree to the following terms and issuance of this Stipulation and Consent Order ("Consent Order"):

1. At all times material hereto, Respondent held a Cardroom permit and license, number 151-1002, issued by the Division.

2. This Consent Order is to be entered in resolution of the two-count Administrative Complaint filed in FGCC Case Number 2023-029445, alleging that:

a. On May 27, 2023, Respondent was in violation of Rule 75-11.012(8) Florida Administrative Code, by failing to have the most current employee access list in the surveillance room.

b. On May 20, 2023, Respondent was in violation of Rule 75-11.012(8), Florida Administrative Code, by allowing an employee to access the count room during the count when they were not on the authorized list.



3. Aggravation: This consent order is entered into in consideration of Respondent's disciplinary history including one prior violation on rule 75-11.012(8), Florida Administrative Code.

STIPULATION

WHEREAS the Division and Respondent ("Parties"), desire to resolve this matter, the following terms are stipulated:

4. The Division has jurisdiction over this matter and the Parties.

5. The Division is authorized by section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.

6. Each of the Parties has entered into the terms of this Consent Order voluntarily. Respondent is aware that it is entitled to the advice of counsel, and has either sought the advice of counsel or by execution of this Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that the Division has not made any promise, nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.

7. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Division in this action.

8. The Parties acknowledge and agree to waive any further administrative and judicial review.

9. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.

EXHIBIT #4
PAGE 2/10

10. Respondent neither admits, nor denies committing the violation set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future proceedings involving Respondent.

11. **FINE**: Respondent agrees to, and must pay to the Division, the sum of SEVEN HUNDRED **FIFTY DOLLARS ($750.00)**, at the time Respondent submits an executed copy of this Consent Order. **The payment must be in the form of a certified check, cashier's check, or money order, made payable to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (NO PERSONAL CHECKS).** Division's adoption of this Consent Order constitutes acknowledgment of receipt of payment of the above amount.

12. Respondent must mail this Consent Order and the payment to: **Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 2601 Blair Stone Road, Tallahassee, Florida 32399-1035, Attention: Donna Fleming.** Please note FGCC Case Number 2023-029445 on the face of the payment.

13. The Parties acknowledge and agree that this Consent Order is subject to approval by the Florida Gaming Control Commission ("Commission"). The Consent Order will have no force and effect unless the Commission files a Final Order adopting this Consent Order. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

14. Upon the Commission's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order, and waives the right to seek attorneys' fees or costs from the Division in connection with these proceedings.

EXHIBIT #4
PAGE 3/10

15. This Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Consent Order. Should the Commission not accept this Consent Order, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

16. This Consent Order may be signed in counterparts, and copies shall be treated as original.

17. The Parties agree that this serves as notice that the signed Consent Order will be placed on the next available Commission meeting.

18. Respondent is not required to attend the Commission meeting. If Respondent would like to attend, he/she is responsible for checking the Florida Gaming Control Commission website at www.fgcc.fl.gov, for the meeting materials, agenda, and contact information.

19. Respondent authorizes the Division to correct any typographical errors or make any non-material changes to this Consent Order after it is signed.

[Signature pages to follow]

EXHIBIT #4
PAGE 4/10

WHEREFORE, **ST. PETERSBURG KENNEL CLUB, INC.**, requests that the Commission enter a Final Order approving and incorporating this Consent Order in resolution of this matter.

SIGNED this 21st day of June, 2023.

ST. PETERSBURG KENNEL CLUB, INC., Respondent

Signed on behalf of St. Petersburg Kennel Club, Inc. by:

Adam Has
Printed Name

Secretary
Title

STATE OF Florida
COUNTY OF Pinellas

The foregoing instrument was acknowledged before me, by means of ☑ physical presence or ☐ online notarization, this 21st day of June, 2023, by Adam Has, who is personally known to me or who produced the following as identification: _____

Linda L. Frontel
Notary Public

My commission expires:

LINDA L. FRONTEL
MY COMMISSION # GG 936252
EXPIRES: March 31, 2024
Bonded Thru Notary Public Underwriters

APPROVED this 19 day of July, 2023.

Emily Alvarado

EMILY ALVARADO
DEPUTY CHIEF ATTORNEY
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission

EXHIBIT #4
PAGE 6/10

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 6/14/2023
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-029445

ST. PETERSBURG KENNEL CLUB, INC.,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against St. Petersburg Kennel Club, Inc. ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.

2. At all times material hereto, Respondent held a Cardroom permit and license, number 151-1002, issued by Petitioner.

COUNT I

3. Petitioner realleges and adopts paragraphs numbered one and two as if set forth fully herein.

4. On or about May 27, 2023, Respondent failed to have an the most current employee access list in the surveillance room.

5. Rule 75-11.012(8), Florida Administrative Code, provides, in pertinent part, that "[a] current list of employees, including full names and license numbers, authorized to enter each

secure area and shall be posted on the inside door of the entrance to each specific area, in the security office, and in the surveillance room at all times."

6. Based on the foregoing, Respondent violated rule 75-11.021(8), Florida Administrative Code, by failing to have an the most current employee access list in the surveillance room.

COUNT II

7. Petitioner realleges and adopts paragraphs numbered one and two as if set forth fully herein.

8. On or about May 20, 2023, Respondent allowed an employee to access the count room during the count who was not on the authorized list.

9. Rule 75-11.012(8), Florida Administrative Code, provides in pertinent part, that the "[c]ardroom operators shall establish, and list in their internal controls, security controls that limit access into the cashiers' cage(s), count room, vault, and surveillance room. This list shall include the position titles of all employees who have access to these areas."

10. Based on the foregoing, Respondent violated rule 75-11.012(8), Florida Administrative Code, by allowing an employee to access the count room during the count when they were not on the authorized list.

EXHIBIT #4
PAGE 8/10

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in section 849.086(14)(c), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-029445 is signed this 13th day of June, 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

EXHIBIT #4
PAGE 9/10

NOTICE OF RIGHTS

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

EXHIBIT #4
PAGE 10/10

FILED
Department of Business and Professional Regulation
Senior Deputy Agency Clerk
CLERK Brandon Nichols
Date 12/7/2020
File # 2020-06854

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

DEPARTMENT OF BUSINESS
AND PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v. DBPR Case No.: 2020-039998

ST. PETERSBURG KENNEL CLUB, INC.,

Respondent.
_____/

STIPULATION AND CONSENT ORDER

The Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering ("Division"), and St. Petersburg Kennel Club Inc. ("Respondent"), stipulate and agree to the following terms and issuance of this Stipulation and Consent Order ("Consent Order"):

1. At all times material hereto, Respondent held a Pari-Mutuel Wagering Cardroom Operator License, number 151-1002, and a Permit to Conduct Pari-Mutuel Wagering, number 151-1000 issued by the Division.

2. This Consent Order is to be entered in resolution of DBPR Case Number 2020-039998, alleging that Respondent violated Rule 61D-11.014, *Florida Administrative Code*, by:

a. Not properly sealing two (2) damaged cards removed from play on July 24, 2020.

b. Not properly signing two (2) damaged cards removed from play on July 24, 2020.

c. Not ensuring that a damaged card removed from play was permanently altered on July 24, 2020.

d. Not properly sealing two (2) damaged cards removed from play on August 1, 2020.

e. Not properly signing two (2) damaged cards removed from play on August 1, 2020.

f. Not documenting the time of withdrawal of a damaged card removed from play on August 1, 2020.

g. Not documenting the table number of a damaged card removed from play on August 1, 2020.

STIPULATION

WHEREAS the Division and Respondent ("Parties"), desire to resolve this matter, the following terms are stipulated:

3. The Division has jurisdiction over this matter and the Parties.

4. The Division is authorized by section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.

5. Each of the Parties has entered into the terms of this Consent Order voluntarily. Respondent is aware that it is entitled to the advice of counsel, and has either sought the advice of counsel or by execution of this Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that the Division has not made any promise, nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.

6. This Consent Order is enforceable under section 120.69, and chapter 550, Florida Statutes, as a final agency action.

7. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Division in this action.

EXHIBIT #5
PAGE 2/14

8. The Parties acknowledge and agree that this Consent Order constitutes the final order in this case, and they also acknowledge and agree to waive any further administrative and judicial review.

9. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.

10. Respondent neither admits, nor denies committing the violations set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future proceedings involving Respondent.

11. **FINE**: Respondent agrees to, and must pay to the Division, the sum of **FIVE HUNDRED DOLLARS ($500.00)** at the time Respondent submits an executed copy of this Consent Order. **The payment must be in the form of a certified check or money order, made payable to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering**. Division's adoption of this Consent Order constitutes acknowledgment of receipt of payment of the above amount.

12. Respondent must mail this Consent Order and the payment to: **Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, 2601 Blair Stone Road, Tallahassee, Florida 32399-1035, Attention: Patricia Broadway.** Please note DBPR Case Number 2020-039998 on the face of the payment.

13. The Parties acknowledge and agree that this Consent Order is subject to the approval of the Director of the Division of Pari-Mutuel Wagering or other authorized agent of the Department of Business and Professional Regulation ("Director"). The Consent Order will have no force and effect unless signed by the Parties. Should this Consent Order be rejected, no

EXHIBIT #5
PAGE 3/14

statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

14. Upon the Division's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order, and waives the right to seek attorneys' fees or costs from the Division in connection with these proceedings.

15. This Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Director to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Consent Order. Should the Director not accept this Consent Order, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Director shall not unfairly or illegally prejudice the Director from further participation, consideration, or resolution of these proceedings.

16. This Consent Order may be signed in counterparts, and copies shall be treated as original.

17. Respondent authorizes the Division to correct any typographical errors or make any non-material changes to this Consent Order after it is signed.

[Signature pages to follow]

Respondent, ST. PETERSBURG KENNEL CLUB, INC., agrees and consents to the terms and conditions of this Stipulation and Consent Order in DBPR Case Number 2020-039998, this 17 day of NOV, 2020.

ST. PETERSBURG KENNEL CLUB, INC.,
Respondent

Signed on behalf of St. Petersburg Kennel Club Inc.
by:

STEPHEN P. HLAS
Printed Name

VP. VICE PRESIDENT
Title

STATE OF FLORIDA

COUNTY OF PINELLAS

The foregoing instrument was acknowledged before me, by means of ☒ physical presence or ☐ online notarization, this 17th day of NOVEMBER, 2020, by STEPHEN P. HLAS, who is personally known to me or who produced the following as identification: _____

Linda L. Frontel
Notary Public
My commission expires:

LINDA L. FRONTEL
MY COMMISSION # GG 936252
EXPIRES: March 31, 2024
Bonded Thru Notary Public Underwriters

This Stipulation and Consent Order in DBPR Case Number 2020-039998 is APPROVED for legal sufficiency this 1st day of December, 2020.

MEGAN S. SILVER, CHIEF ATTORNEY
Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation

ORDER

IT IS HEREBY ORDERED THAT:

The foregoing Stipulation and Consent Order shall constitute the agreed resolution of this matter and the Final Order of the Division in DBPR Case Number 2020-039998, once it is filed with the Agency Clerk.

DONE AND ORDERED this 1 day of December, 2020, in Tallahassee, Florida.

LOUIS TROMBETTA, DIRECTOR
Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation
2601 Blair Stone Road
Tallahassee, Florida 32399-1035

EXHIBIT #5
PAGE 6/14

CERTIFICATE OF SERVICE

I hereby certify this 7th day of December, 2020, that a true copy of the foregoing has been furnished by electronic mail to:

St. Petersburg Kennel Club Inc.
c/o Gary Rutledge, Esquire
Rutledge-Ecenia
119 South Monroe Street, Suite 202
P. O. Box 551
Tallahassee, Florida 32302-0551
gary@rutledge-ecenia.com

Brandon M. Nichols

AGENCY CLERK'S OFFICE
Department of Business and Professional Regulation

FILED
Department of Business and Professional Regulation
Senior Deputy Agency Clerk
CLERK: Brandon Nichols
Date: 6/24/2022
File #: 2022-05176

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

DEPARTMENT OF BUSINESS
AND PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

DBPR Case No.: 2022-003824
2022-014518
2022-016422

ST. PETERSBURG KENNEL CLUB, INC,

Respondent.
_____/

STIPULATION AND CONSENT ORDER

The Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering ("Division"), and St. Petersburg Kennel Club, Inc. ("Respondent"), stipulate and agree to the following terms and issuance of this Stipulation and Consent Order ("Consent Order"):

1. At all times material hereto, Respondent held a Pari-Mutuel Wagering and Cardroom License, number 151-1002, issued by the Division.

2. This Consent Order is to be entered in resolution of:

a. DBPR Case Number 2022-003824, alleging that on January 16, 2022, Respondent was in violation of Rule 61D-11.014(4)(b), Florida Administrative Code, by failing to mark the time, correct date, or the table number on a damaged card envelope.

b. DBPR Case Number 2022-014518, alleging that on March 27, 2022, Respondent was in violation of Rule 61D-11.0175, Florida Administrative Code, by failing to count each drop box separately.

c. DBPR Case Number 2022-016422, alleging that on April 2, 2022, Respondent was in violation of Rule 61D-11.014(4)(b), Florida Administrative Code, by failing to mark the time on a damaged card envelope.

STIPULATION

WHEREAS the Division and Respondent ("Parties"), desire to resolve this matter, the following terms are stipulated:

3. The Division has jurisdiction over this matter and the Parties.

4. The Division is authorized by Section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.

5. Each of the Parties has entered into the terms of this Consent Order voluntarily. Respondent is aware that it is entitled to the advice of counsel, and has either sought the advice of counsel or by execution of this Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that the Division has not made any promise, nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.

6. This Consent Order is enforceable under Section 120.69, and Chapter 550, Florida Statutes, as a final agency action.

7. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Division in this action.

8. The Parties acknowledge and agree that this Consent Order constitutes the final order in this case, and they also acknowledge and agree to waive any further administrative and judicial review.

9. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.

10. Respondent neither admits, nor denies committing the violation set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future proceedings involving Respondent.

11. **FINE**: Respondent agrees to, and must pay to the Division, the total sum of **SEVEN HUNDRED FIFTY DOLLARS ($750.00)**, at the time Respondent submits an executed copy of this Consent Order. The fines are assessed as follows:

a. **2022-003824: $250.00**

b. **2022-014518: $250.00**

c. **2022-016422: $250.00**

12. **The payment must be in the form of a certified check, cashier's check, or money order, made payable to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering (NO PERSONAL CHECKS).** Division's adoption of this Consent Order constitutes acknowledgment of receipt of payment of the above amount.

13. Respondent must mail this Consent Order and the payment to: **Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, 2601 Blair Stone Road, Tallahassee, Florida 32399-1035, Attention: Donna Fleming.** Please note DBPR Case Numbers 2022-003824, 2022-014518, and 2022-016422 on the face of the check.

14. The Parties acknowledge and agree that this Consent Order is subject to the approval of the Director of the Division of Pari-Mutuel Wagering or other authorized agent of the Department of Business and Professional Regulation ("Director"). The Consent Order will

have no force and effect unless signed by the Parties. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

15. Upon the Division's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order, and waives the right to seek attorneys' fees or costs from the Division in connection with these proceedings.

16. This Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Director to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Consent Order. Should the Director not accept this Consent Order, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Director shall not unfairly or illegally prejudice the Director from further participation, consideration, or resolution of these proceedings.

17. This Consent Order may be signed in counterparts, and copies shall be treated as original.

18. Respondent authorizes the Division to correct any typographical errors or make any non-material changes to this Consent Order after it is signed.

Respondent, ST. PETERSBURG KENNEL CLUB, INC, agrees and consents to the terms and conditions of this Stipulation and Consent Order in DBPR Case Numbers 2022-003824, 2022-014518, and 2022-016422, this 20th day of June, 2022.

ST. PETERSBURG KENNEL CLUB, INC
Respondent

Signed on behalf of St. Petersburg Kennel Club, Inc. by:

Adam Hlas
Printed Name

Secretary
Title

STATE OF FL
COUNTY OF Pinellas

The foregoing instrument was acknowledged before me, by means of ☑ physical presence or ☐ online notarization, this 20th day of June, 2022, by Adam Hlas, who is personally known to me or who produced the following as identification: _____

Linda L. Frontel
Notary Public

My commission expires:

LINDA L. FRONTEL
MY COMMISSION # GG 936252
EXPIRES: March 31, 2024
Bonded Thru Notary Public Underwriters

2022-003824
2022-014518
2022-016422

This Stipulation and Consent Order in DBPR Case Numbers 2022-003824, 2022-014518, and 2022-016422 is APPROVED for legal sufficiency this 24th day of June, 2022.

MARC TAUPIER, CHIEF ATTORNEY
Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation

ORDER

IT IS HEREBY ORDERED THAT:

The foregoing Stipulation and Consent Order shall constitute the agreed resolution of this matter and the Final Order of the Division in DBPR Case Numbers 2022-003824, 2022-014518, and 2022-016422 once it is filed with the Agency Clerk.

DONE AND ORDERED this 24 day of JUNE, 2022, in Tallahassee, Florida.

JOE DILLMORE, DIRECTOR
Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation
2601 Blair Stone Road
Tallahassee, Florida 32399-1035

CERTIFICATE OF SERVICE

I hereby certify this 24th day of June, 2022, that a true copy of the foregoing has been furnished by U.S. mail to:

St. Petersburg Kennel Club, Inc.
c/o: Gary Rutledge, Esquire
Rutledge-Ecenia, P.A.
P.O. Box 551
Tallahassee, FL 32302-0551

Brandi M. Nichols

AGENCY CLERK'S OFFICE
Department of Business and Professional Regulation

EXHIBIT #5
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Bookmark for Memo and Supporting Documents

MEMORANDUM

To: The Florida Gaming Control Commission
From: The Office of the General Counsel
Re: Edgar David Diaz v. FGCC
Case No. 2025-011098, Proposed Settlement
Date: January 6, 2026

Executive Summary

Edgar David Diaz ("Petitioner") submitted an application for a cardroom employee occupational license (the "Application"). The Commission issued a Letter of License Denial based on Petitioner's disqualifying offenses. At Petitioner's timely request, the Commission held an informal hearing. The hearing officer's Recommended Order, approved by the Commissioners at the December 6, 2025, public meeting, recommended that the Commission grant Petitioner's Application and issue the license subject to conditions and limitations set forth in a stipulation and consent order.

Relevant Facts

On May 15, 2025, the Commission issued a Letter of License Denial, notifying Petitioner of its intent to deny the Application based on Petitioner's felony conviction. Specifically, Petitioner was convicted of Aggravated Battery of a Pregnant Victim.

On June 30, 2025, Petitioner timely requested an informal hearing under section 120.57(2), Florida Statutes. The informal hearing was held on October 8, 2025. Petitioner presented testimony of his rehabilitation and his prior applications for licensure with the Commission. On November 24, 2025, the hearing officer issued her recommended order, finding that Petitioner established good moral character or rehabilitation and recommending that:

> the Florida Gaming Control Commission enter a Final Order GRANTING Petitioner's application for a Pari-Mutuel Wagering Professional Individual Occupational License by entering into a Stipulation and Consent order authorized by section 120.57, Florida Statutes, articulating the conditional terms of Petitioner's license to include a probationary period

> and surrender of license upon any subsequent criminal or administrative offense.

At the December 6, 2025, Commission meeting, the Commissioners voted unanimously to adopt the Recommended Order's findings of fact, conclusions of law, and the hearing officer's recommendation. Thereafter, Commission staff proposed a Stipulation and Consent Order, containing conditions and limitations recommended by the hearing officer, to Petitioner. Petitioner agrees to enter into a Stipulation and Consent Order containing conditions and limitations.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides in relevant part:

> . . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

Section 550.105(5)(d), Florida Statutes, provides in relevant part:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Under section 120.57(4), Florida Statutes, the Commission may resolve matters such as this case informally through a negotiated settlement.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

EDGAR DAVID DIAZ,

Petitioner,

v.

FGCC Case No.: 2025-011098

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner.
_____/

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (hereinafter the "Commission") and Edgar David Diaz (hereinafter "Petitioner"), each individually, a "party," and collectively as "parties," hereby agree and stipulate as follows:

WHEREAS, the Commission has jurisdiction over this matter and Petitioner; and

WHEREAS, on May 15, 2025, the Commission filed a Letter of License Denial seeking to deny Petitioner's application for a cardroom employee occupational license (the "Letter of License Denial"); and

WHEREAS, the parties have negotiated and agreed that the best interest of all the parties will be served by a settlement of these proceedings in lieu of further litigation.

STIPULATION

NOW THEREFORE, in consideration of the mutual promises and recitals herein, the parties hereby agree and stipulate to the following:

1. All recitals herein are true and correct and are incorporated herein.

2. All parties agree that the above "whereas" clauses incorporated herein are binding findings of the parties.

3. The Commission is authorized by section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.

4. Each party has entered into the terms of this Stipulation and Consent Order voluntarily. Petitioner is aware that it is entitled to the advice of counsel and has either sought the advice of counsel or, by execution of this Stipulation and Consent Order, is knowingly waiving the right to have the advice of counsel. Petitioner acknowledges that the Commission has not made any promise, nor has it in any other way encouraged Petitioner to enter into this Stipulation and Consent Order without the advice of counsel.

5. The parties acknowledge and agree that this Stipulation and Consent Order constitutes the final order in this case and that this Stipulation and Consent Order is enforceable under sections 120.69 and 849.086, and chapter 550, Florida Statutes, as final agency action.

6. Petitioner, for itself and its related or resulting organizations, successors, transferees, attorneys, heirs, and executors or administrators, discharges the Commission and its agents, representatives, and attorneys, of and from all claims, demands, actions, causes of action, suits, damages, losses and expenses of any and every nature whatsoever, arising out of or in any way related to this matter and the Commission's actions, including, but not limited to, any claims that were or may be asserted in any federal or state court or administrative forum, including any claims arising out of this agreement, by or on behalf of Petitioner or its related or resulting organizations.

7. Each party shall bear its own costs and attorney's fees.

8. **LICENSURE**:

(a) The Division agrees (1) to withdraw the Letter of License Denial and (2) subject to the conditions herein specified, to grant Petitioner's application for a cardroom employee occupational license.

(b) The Letter of Denial filed in this case shall be deemed withdrawn as of the date this Stipulation and Consent Order is filed with the Agency Clerk. Likewise, Petitioner's license, subject to conditions stated herein, shall be deemed granted as of the date this Stipulation and Consent Order is filed with the Agency Clerk.

9. **PROBATION**: For the time period that begins on the date of this Stipulation and Consent Order and ends on the last day of Petitioner's licensure cycle immediately following Respondent's current licensure cycle, Petitioner agrees to the following:

(1) Petitioner shall notify the Commission within forty-eight (48) hours of any arrest, indictment, or charge for any criminal offense.

(2) Immediately upon Petitioner's arrest, indictment, or charge for any criminal offense or charge for any administrative offense, the License is deemed surrendered by Respondent and will be canceled by the Commission.

10. Petitioner must mail the executed Stipulation and Consent Order to: **the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 4070 Esplanade Way, Tallahassee, Florida 32399-1035, Attention: Emily Alvarado.**

11. By executing this Stipulation and Consent Order, the Petitioner admits to the facts and legal conclusions raised in the Letter of License Denial, and the Commission continues to assert the validity thereof. Nothing in this Stipulation and Consent Order shall be deemed to preclude the Commission from imposing a penalty against Petitioner for any future act(s) or omission(s) constituting either a violation of Florida law or the Florida Administrative Code, and this Consent Order may be considered as aggravation of any future

proceedings involving Petitioner.

12. The parties acknowledge and agree that this Stipulation and Consent Order is subject to the approval of the Commission. The Stipulation and Consent Order will have no force or effect unless and until the Commission files a Final Order adopting this Stipulation and Consent Order. Should this Stipulation and Consent Order be rejected, no statement made in furtherance thereof by Petitioner may be used as direct evidence against Petitioner in any proceeding.

13. Upon the Commission's adoption of this Stipulation and Consent Order, Petitioner agrees to waive any and all appeals and proceedings relating to these proceedings to which it may be entitled, including, but not limited to, an informal proceeding under section 120.57(2), Florida Statutes; a formal proceeding under section 120.57(1), Florida Statutes; appeals under section 120.68, Florida Statutes; and declaratory and all writs of relief in any court or quasi- court of competent jurisdiction; and agrees to waive compliance with the form of the Final Order (findings of fact and conclusions of law) to which it may be entitled, provided, however, that this agreement shall not be deemed a waiver by either party of its right to judicial enforcement of the Stipulation and Consent Order.

14. Venue for any action brought to interpret, enforce, or challenge the terms of this Stipulation and Consent Order and its corresponding Final Order shall lie solely in the Circuit Court of Florida, in and for Leon County, Florida.

15. This Stipulation and Consent Order is executed by the parties for the purpose of avoiding further administrative action with respect to the matters addressed herein. In this regard, Petitioner authorizes the Commission to review and examine all materials concerning Petitioner, prior to or in conjunction with consideration of this Stipulation and Consent Order. Should the Commission not accept this Stipulation and Consent Order, it is agreed that presentation to and consideration of this Stipulation and Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

16. This Stipulation and Consent Order may be signed in counterparts, and copies shall be treated as original.

17. This Stipulation and Consent Order is binding upon all parties.

18. The parties agree that this serves as notice that the signed Stipulation and Consent Order will be placed on the next available Commission meeting. If Petitioner would like to attend, Petitioner is responsible for checking the Florida Gaming Control Commission website at https://flgaming.gov for the meeting materials, agenda, and contact information. Petitioner is not required to attend the Commission meeting.

19. Petitioner authorizes the Commission to correct any typographical errors or make any non-material changes to this Stipulation and Consent Order after it is signed.

[Signature pages to follow]

Petitioner, Edgar David Diaz, requests that the Commission enter a Final Order approving and incorporating this Stipulation and Consent Order in resolution of this matter.

Signed this 9th day of December 2025.

EDGAR DAVID DIAZ
Petitioner

STATE OF FLORIDA

COUNTY OF Volusia

The foregoing instrument was acknowledged before me, by means of ☑ physical presence or ☐ online notarization, this 9th day of December, 2025, by a duly authorized representative of Edgar David Diaz, who is personally known to me or who produced the following Florida drivers license as identification: [redacted]

Paula Pomfret
Notary Public

PAULA POMFRET
Notary Public - State of Florida
Commission # HH 623652
My Comm. Expires Dec 26, 2028

My commission expires: 12/26/28

This Stipulation and Consent Order for the Florida Gaming Control Commission Case Number 2025-011098 is APPROVED for legal sufficiency this ____ day of _____, 2025.

Emily Alvarado
Chief Attorney
The Florida Gaming Control Commission

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 11/24/2025
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

EDGAR DAVID DIAZ

FGCC Case No.: 2025-011098

Petitioner,

v.

FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING,

Respondent.
_____/

HEARING OFFICER'S RECOMMENDED ORDER

THIS MATTER came before Renee Harkins, designated Hearing Officer for the Florida Gaming Control Commission ("Commission"), on October 8, 2025, in Tallahassee, Florida, in accordance with the provisions of sections 120.569 and 120.57(2), Florida Statutes, for consideration of Edgar David Diaz's ("Petitioner's") petition for a hearing in response to the Commission's Letter of License Denial filed in FGCC Case Number 2025-011098. The Commission was represented by Emily Alvarado, Chief Attorney. Petitioner appeared *pro se* and the hearing was held telephonically. Both sides were permitted to present witnesses, proffer items into evidence, and otherwise fully participate in the hearing.

PRELIMINARY STATEMENT

1. On or about January 3, 2025, the Commission received an application from Petitioner for a Cardroom Employee Occupational License. On the application, he answered "yes" to the question, "Have you ever been convicted of, or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" Petitioner disclosed a March

2022 felony conviction for aggravated battery and a 2022 misdemeanor offense for pretrial violation in Marion County, Florida.

2. On or about January 29, 2025, the Commission sent Petitioner a Deficiency Letter, requesting that he provide court documents and arrest records for the 2022 offense. Petitioner submitted the requested documents on or about February 4, 2025.

3. On or about May 15, 2025, the Commission filed a Letter of License Denial, seeking to deny Petitioner's license application based upon his criminal disposition.

4. On or about June 30, 2025, Petitioner requested an informal hearing pursuant to section 120.57(2), Florida Statutes.

The October 8, 2025, Informal Hearing

5. A hearing pursuant to section 120.57(2), Florida Statutes, was held October 8, 2025, during which the Commission presented the issues raised in the Letter of License Denial. The Hearing Officer granted the Commission's motion to have the Letter of License Denial and the investigative packet admitted on the record.

6. The investigative packet contains a letter of license denial from the Florida Division of Pari-Mutuel Wagering ("Division") filed on May 15, 2025, informing Petitioner of the Division's decision to deny his re-application for a Cardroom Employee Occupational License. The denial is based upon Petitioner's 2023 revocation of his license and his arrest and entry of a plea of nolo contendere for felony aggravated battery, a disqualifying offense under section 849.086(6)(g), Florida Statutes.

7. Additionally, the letter of license denial provides that Petitioner's arrest and entry of a plea of nolo contendere "is a disqualifying offense under Section 550.105(5), Florida Statutes. Your request for waiver has been denied based on your failure to meet the requirements of Section 550.105(5)(c), Florida Statutes."

8. At the hearing, Petitioner testified that he is passionate about his career as a dealer and wants to return to the profession. Petitioner maintained that he is remorseful for his actions that led to his arrest and incarceration and has demonstrated rehabilitation in several ways.

9. Petitioner testified that he respectfully requests a clear path from the Commission on how to renew his Cardroom Employee Occupational License. Petitioner stated that he has the support of his former employers and coworkers, his girlfriend of two years and her father, all of whom have written character reference letters on his behalf. He stated that he has offers of employment once his license is granted.

9. Petitioner appeared before the Commission in October 2024 and testified that the Commissioners were unwilling to grant renewal of his license while he was still on probation. Petitioner stated that he respected the Commission's decision, finished probation early and waited to come back to a subsequent meeting.

10. Petitioner stated that he returned a second time in May 2025, after successfully terminating his probation early, and was told not enough time had passed since his conviction. Petitioner stated that the Commission expressed a willingness to renew but did not articulate any conditions; he stated that he is hopeful that he can get clarity in the renewal of his license.

11. Petitioner testified that this arrest is his only encounter with law enforcement and the only legal issue ever in his life. He maintained that he took a plea because he did not want to

risk prison time. Petitioner stated he understands the findings and does not dispute the operative facts. Petitioner stated that he started his career in high school, became licensed in 2019 and worked in the same poker room until his revocation in 2023. Petitioner testified that since his arrest he has engaged in reflection and personal growth to totally start his life over.

12. Petitioner testified that he has had no contact with the victim since 2022. He has completed court ordered therapy, paid all financial obligations related to this case, and finished all community service. Petitioner stated that most recently he completed Florida State Fire College. Petitioner stated that he plans to take a test to become a safety equipment dealer. Petitioner testified that he has moved from Ocala to Daytona Beach and has begun attending church services. Petitioner stated that he has engaged in additional therapy sessions when he can afford them. Petitioner stated that being a poker dealer is the profession he is most interested in and looks forward to the day he can return to it.

FINDINGS OF FACT

13. On or about July 12, 2022, Petitioner entered an open plea of nolo contendere to one felony count of aggravated battery, for which adjudication was withheld, in Marion County, Florida (Case No. 422022CF000845FGAXXX).

14. Petitioner disclosed his arrest on his application for renewal of his licensure and provided the Commission with the requisite court disposition records for disclosed dispositions, as required by the form.

CONCLUSIONS OF LAW

15. The Hearing Officer has jurisdiction over this matter and the parties pursuant to section 120.57(2), Florida Statutes.

16. The Commission has jurisdiction over this matter pursuant to chapters 120 and 550, Florida Statutes. The commission has the authority to deny a license application if an applicant has had a license revoked by this state pursuant to sections 550.105(5) and 849.086(6)(f), F.S.

17. The Commission is the state agency charged with regulating pari-mutuel wagering operations pursuant to chapters 550 and 849, Florida Statutes.

18. Section 550.105(5)(b), Florida Statutes, states in pertinent part:

> The commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the commission governing the conduct of persons connected with racetracks and frontons. In addition, the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

19. Pursuant to section 550.105(5)(d), Florida Statutes, the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial or entry of a plea of guilty or nolo contendere.

20. Pursuant to section 849.086(6)(g), Florida Statutes, Petitioner's 2022 entry of nolo contendere to the crime of felony battery is a disqualifying offense.

21. Section 550.105(5)(c), Florida Statutes, provides, in pertinent part, that "[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived."

22. In the present case, Petitioner has a disqualifying felony criminal disposition entered July 12, 2022.

23. Petitioner established good moral character or rehabilitation as set out in section 550.105(5)(c), Florida Statutes.

24. There is competent substantial evidence to support the conclusions of law.

RECOMMENDED ORDER

WHEREFORE, based upon the Findings of Fact and Conclusions of Law, it is hereby recommended that the Florida Gaming Control Commission enter a Final Order GRANTING Petitioner's application for a Pari-Mutuel Wagering Professional Individual Occupational License by entering a Stipulation and Consent order authorized by section 120.57, Florida Statutes, articulating the conditional terms of Petitioner's license to include a probationary period and surrender of license upon any subsequent criminal or administrative offense. This Recommended Order in FGCC Case Number 2025-011098 is submitted on this 24th day of November 2025.

Renee Harkins

Renee Harkins
Hearing Officer
Florida Gaming Control Commission

CERTIFICATE OF SERVICE

I hereby certify this 24TH day of NOVEMBER 2025 that a true copy of the foregoing "Hearing Officer's Recommended Order" has been provided by email to:

Edgar Diaz
Petitioner
2351 North Williamson Boulevard
Daytona Beach, Florida 32117
Edg484@gmail.com

Emily Alvarado
Counsel for Respondent
Emily.Alvarado@flgaming.gov

CLERK OF THE COMMISSION
Florida Gaming Control Commission

IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT IN AND FOR MARION COUNTY, FLORIDA

STATE OF FLORIDA,
Plaintiff,

CASE NO.: 2022-CF-000845

vs.

EDGAR DAVID DIAZ,
Defendant.
_____/

ORDER ON UNOPPOSED MOTION FOR EARLY TERMINATION OF PROBATION

THIS COURT having reviewed the Defendant's Unopposed Motion for Early Termination of Probation, the court file and being otherwise informed in the premises, it is hereby

ORDERED and ADJUDGED that the Unopposed Motion for Early Termination of Probation, and the same is hereby

___ GRANTED. *The Defendant's Supervised Probation is hereby terminated.*

____ DENIED.

DONE AND ORDERED in Chambers at the Marion County Courthouse in Ocala, Florida, this the 19 day of December, 2024.

_____
HONORABLE PETER M. BRIGHAM
CRIMINAL JUSTICE DIVISION

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a true and correct copy of the foregoing has been furnished by hand/mail/e-mail/interoffice delivery to the State Attorney and to Luz Root, at lroot@smithandeulo.com this the 19 day of December, 2024.

_____
JUDICIAL ASSISTANT

FGCC

I am writing to support my roommate of two years, Edgar Diaz, who recently lost his poker license. In the time I have known Edgar, he has demonstrated himself to be both hardworking and very dedicated to his pursuits. His passion for poker is evident, and he approaches the game with the same commitment and diligence that he applies to all aspects of his life.

Edgar is an individual of high integrity and trustworthiness. Living with him, I have seen firsthand how he handles challenges openly and honestly. He consistently follows through on his commitments and maintains high ethical standards, making him a reliable and respectable person.

I sincerely believe that Edgar deserves to have his poker license reinstated. His strong character, integrity, and passion for the game make him a truly deserving candidate. Thank you for considering my perspective on Edgars character.

Sincerely,

Kristin Boetefuer
231-546-2634
Kristin4199@gmail.com

Character Statement of Edgar Diaz

I met Edgar Diaz when I first left the Marine Corps in 2017. He would come over my parent's house with my little brother and their group of friends. He was always respectful and pleasant to be around. When he graduated high school, he started working at Oxford Downs with me (I was in a management position.) He was always an amazing employee and treated everyone well. He always went above and beyond what we expected of him. Soon after he started his now ex-girlfriend started working with us as well Kristine. They had a really rough falling out and I know Edgar was found guilty of his crimes but I don't think that should prohibit him from moving forward from his past and being eligible to make a living. I know he loves his job, the industry, and the state of Florida. I hope to whoever reads this character statement they think about giving him another chance to make a living with the job he loves. I know if it was ever possible, I would hire him back immediately.

To whom It May Concern,

Poker Room Manager at Oxford Downs Justin Donnelly

To Whom It May Concern,

I met Mr. Diaz four years ago when my oldest daughter moved to Florida and met Edgar at their previous place of employment, Oxford Downs. My daughter moved into a spare bedroom Mr. Diaz had, and I never felt safer for my daughter who, at a young age, moved so far away from home.

My youngest daughter is currently dating Edgar, and they have been in a relationship for over two years. He is one of the kindest young men I've ever met. I have personally witnessed how recent events have affected his life, and I truly hope you reconsider your decision regarding his license. This is his livelihood, and there is no one more deserving of a new start.

If you have any questions, please don't hesitate to give me a call at 231-642-6093.

Thank you for your time.

Sincerely,
Kip Watkins

Matthew Howard

Cell Phone: (386)334-5864

Former Poker Dealer at Daytona Beach Racing and Card Club

I have known Edgar Diaz for almost 2 years, and he has been nothing but good to me. We met at Daytona Beach Racing and Card Club and clicked immediately and became friends. When he told me that he went to jail, I couldn't believe it. That seemed so out of character for him, he has always been positive and a straight edge guy. Not only that, but very passionate about poker. In my opinion, I believe he deserves to get his license back. I have been working in the poker industry for 8 years and there has been maybe a handful of people who are as professional and knowledgeable as Edgar on the game of poker. He is an asset not a liability as a poker dealer.

Sincerely,

Matthew Howard

Character Reference Letter

Francisco Garcia-Perez
Firetronics

October 1, 2025

To Whom It May Concern,

My name is Francisco Garcia-Perez, and I am the direct supervisor of Edgar Diaz at Firetronics. I have also known Edgar personally and professionally for several years, having previously worked alongside him at the Daytona Beach Poker Room.

In his role at Firetronics, Edgar performs work that is vital to the safety of businesses and the public. He is entrusted with sensitive responsibilities, often working independently inside multiple businesses, where he consistently demonstrates professionalism, reliability, and sound judgment under pressure. These responsibilities are only assigned to individuals with proven integrity and strong character—qualities that Edgar has displayed time and again.

I can say with complete confidence that Edgar is a person of good character who takes his responsibilities seriously and is committed to doing the right thing. It has been a privilege to work with him, and I believe his qualities reflect positively on him both as an employee and as an individual.

Sincerely,

Francisco Garcia-Perez
Supervisor, Firetronics
Phone: +1 (407) 745-7329
Email: fgarcia1190@gmail.com

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was attempted on June 24th, June 25th, and June 27th, and the applicant did not answer.

On July 1st, a letter was sent to the applicant to set up a waiver interview. He has not responded to this letter. Therefore, now you can

consider whether to grant the waiver in this case or issue a letter of license denial.

VICE CHAIR BROWN: Thank you.

Commissioners, are there any questions on 7.2? If not, can we get a motion to issue a letter of license denial.

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER D'AQUILA: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: All right. Thank you. We're jumping over to 7.4, in which we have a speaker. I will ask Mr. Edgar Diaz to come to the podium over to my right. Our staff is going to present an overview before you are given an opportunity to speak.

Ms. Alvarado.

MS. ALVARADO: Item No. 7.4 is 2024-040616, Edgar David Diaz. Here the applicant applied for a cardroom employee occupational license. And upon review of the application, it was discovered that there was a disqualifying offense of aggravated battery of a pregnant victim in 2022.

The licensee's license was also revoked in 2023 due to this conviction. Here the applicant is seeking a waiver of the felony offense and issuance of a cardroom employee occupational license.

Like the other past two, now you have the opportunity to either grant this waiver or issue a letter of license denial in this case.

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Edgar David Diaz. Here the applicant applied for a cardroom employee occupational license. And upon review of the application, it was discovered that there was a disqualifying offense of aggravated battery of a pregnant victim in 2022.

The licensee's license was also revoked in 2023 due to this conviction. Here the applicant is seeking a waiver of the felony offense and issuance of a cardroom employee occupational license.

Like the other past two, now you have the opportunity to either grant this waiver or issue a letter of license denial in this case.

VICE CHAIR BROWN: Thank you.

Mr. Diaz, thank you for coming to appear before us and participate.

MR. DIAZ: Of course.

VICE CHAIR BROWN: You are given an opportunity to speak.

MR. DIAZ: I am rather nervous.

VICE CHAIR BROWN: Please don't be, please.

MR. DIAZ: Well, I wrote it down just so I wouldn't have to, you know, just do it off the top of my head. But, hello. Thank you guys for having me here today. My name is Edgar Diaz. I thank you for the opportunity to speak on my behalf.

I started working at a poker room when I was still in high school as a host, and soon it turned into more than just a job for me. It turned into a passion after I started dealing.

It was a way for me to pay my bills and still

pursue a higher education, which I'm currently still trying to do. And -- sorry. I can take care of my needs and go to school while having a hobby to pay the bills. It was my true passion.

And it all sounds great. However, I was young. And while I'm not here to make excuses for myself, I still want to say that we all have our

started working at a poker room when I was
still in high school as a host, and soon it turned into more than just a job for me. It turned into a passion after I started dealing.

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pursue a higher education, which I'm currently still trying to do. And -- sorry. I can take care of my needs and go to school while having a hobby to pay the bills. It was my true passion.

And it all sounds great. However, I was young. And while I'm not here to make excuses for myself, I still want to say that we all have our mistakes, and this was mine. I was in an on-and-off-again relationship with someone who clearly wasn't a good fit.

But being naive, I never thought anything bad would ever come of it. However, I would later find myself in jail because of it. And without going into too much detail, after four months, I was out and I had to start my life over again.

At the time, I wasn't aware that I had to report anything to you guys. And for that, I'm sorry. I'm very regrettable. If I'd known, I would have. After a few months, I got enough money to move cities, started over, and everything was going well.

I started a new poker room and started paying all my debts that I had fallen behind on. And I found a bunch of good and positive things that were going for me. I even found my girlfriend, who is

here today to support me and who has helped me so much along my journey.

I would find myself -- I would find out later that my arrest would be examined by the FGCC. And after the proceedings, my license was revoked. I lost my livelihood and struggled to make ends meet and re[redacted]dealing poker

found a bunch of good and positive things that were
going for me. I even found my girlfriend, who is

here today to support me and who has helped me so much along my journey.

I would find myself -- I would find out later that my arrest would be examined by the FGCC. And after the proceedings, my license was revoked. I lost my livelihood and struggled to make ends meet and rediscovered what a blessing dealing poker really was to me in my life.

Since then, I have managed to pay all my court fees and finish paying and completing my therapy and completing my community service hours while being in perfect standing with the law and the institution whose job it is to supervise me.

I truly believe that through my experiences going through what I did, I have changed from the better and truly learned and rehabilitated. I'm not done yet. There's still a lot more I want to do. However, I do feel stuck for the loss that I got or the loss of the job that I enjoyed and that I was good at.

I would ask the councilmembers to please see my situation, know that I'm truly sorry and full of regret and that I have learned from my experiences. And I feel that if presented with the opportunity to regain my occupational license, I would treasure

and not squander it.

I hope you take my words and commitment into consideration and know that the four-hour drive here, the struggle with employment, and other things I've done to get -- other things I've done and gone through since the incident years ago is only a small gesture of what this means to me.

Thank you very much for your time, and have a good day.

[redacted], Mr. Diaz.

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I hope you take my words and commitment into consideration and know that the four-hour drive here, the struggle with employment, and other things I've done to get -- other things I've done and gone through since the incident years ago is only a small gesture of what this means to me.

Thank you very much for your time, and have a good day.

VICE CHAIR BROWN: Thank you, Mr. Diaz.

Commissioners, are there any questions for our staff or for Mr. Diaz? I will just say I am somewhat sympathetic with everyone, the fact that everyone does make mistakes, and there are different facts and patterns and he say, she say, and all of that that goes into consideration.

I know you still have probation. Your probation is not completed, and I know that isn't until next year, mid next year. But I understand that you are in good standing with the law. It doesn't look like there are any other offenses that you've committed.

MR. DIAZ: No. And I would like to add that I'm currently working with a lawyer, as well as my probation office, to file for early termination.

VICE CHAIR BROWN: That being said, thank you. I don't think the commissioners have any questions, but thank you for your testimony here today.

MR. DIAZ: Thank you guys very much.

VICE CHAIR BROWN: Commissioners, any thoughts on this waiver? Commissioner D'Aquila.

COMMISSIONER D'AQUILA: Clarification, there was only this one instance?

I know you still have probation. Your probation is not completed, and I know that isn't until next year, mid next year. But I understand that you are in good standing with the law. It doesn't look like there are any other offenses that you've committed.

MR. DIAZ: No. And I would like to add that I'm currently working with a lawyer, as well as my probation office, to file for early termination.

VICE CHAIR BROWN: That being said, thank you. I don't think the commissioners have any questions, but thank you for your testimony here today.

MR. DIAZ: Thank you guys very much.

VICE CHAIR BROWN: Commissioners, any thoughts on this waiver? Commissioner D'Aquila.

COMMISSIONER D'AQUILA: Clarification, there was only this one instance?

MS. ALVARADO: Yes.

COMMISSIONER D'AOUILA: Counsel, is that correct?

MS. ALVARADO: Yes.

COMMISSIONER D'AQUILA: And I've read the brief. It appears that all fees and fines have been complied with?

MS. ALVARADO: Yes.

VICE CHAIR BROWN: Thank you. Just to follow up, the actual position that the applicant is applying for, is it a dealer, or is it a poker dealer?

MS. ALVARADO: Yes, poker dealer at Daytona Beach.

VICE CHAIR BROWN: Not a security officer?

MS. ALVARADO: No.

VICE CHAIR BROWN: Commissioner.

COMMISSIONER DRAGO: These are always tough. And we certainly are trying to do whatever we can to help people get jobs and work and support their

applying for, is it a dealer, or is it a poker dealer?

MS. ALVARADO: Yes, poker dealer at Daytona Beach.

VICE CHAIR BROWN: Not a security officer?

MS. ALVARADO: No.

VICE CHAIR BROWN: Commissioner.

COMMISSIONER DRAGO: These are always tough. And we certainly are trying to do whatever we can to help people get jobs and work and support their families and so forth. And then, of course, we still have the challenge of protecting the public.

So it's always a very difficult route to go when we try to make these decisions based on the facts. And in this case, it's a very serious offense, obviously, that can't be ignored when we consider these kinds of things.

I'm not offering any judgment on him at all. I'm just looking at in terms of our responsibility for protecting the public in these types of situations and also look at the fact that the offense has nothing to do with larceny or any thefts or any kinds of offenses within the casino or against the public, casino public.

I also consider that in terms of the type of offense in protecting the public and try not to get too bogged down in the particular offense because this is a very heinous, serious offense, in my mind, and it's not easy to get around.

So I'm just saying that from a non-judgmental perspective of whether or not we feel that this offense and being convicted of this offense would

in any way put the public and the casinos in any danger.

And thank you for coming in. I know it's not easy to do what you just did. Certainly, I think the Commission considers that and your concern for

or against the public, casino public.

I also consider that in terms of the type of offense in protecting the public and try not to get too bogged down in the particular offense because this is a very heinous, serious offense, in my mind, and it's not easy to get around.

So I'm just saying that from a non-judgmental perspective of whether or not we feel that this offense and being convicted of this offense would

in any way put the public and the casinos in any danger.

And thank you for coming in. I know it's not easy to do what you just did. Certainly, I think the Commission considers that and your concern for your livelihood and your love for the position that you want to regain.

I thank you for your courage to come up here and talk about something that you probably want to forget about. So those are my comments before we make a decision on what we want to do with this.

VICE CHAIR BROWN: As usual, Commissioner Drago, you could not articulate it more perfectly with all of the comments that you just made. And it's something that I struggle with, too, because the offense is somewhat heinous.

The applicant has completed an intervention program, paid all court costs and fees, 80 community service hours, served his time as well. The only thing is if we were to be inclined to grant the waiver, I would like to see the probation period expire.

I would be open to more of a conditional until the probationary period was expired. Is that something that we are inclined -- have done?

MR. TAUPIER: Marc Taupier, for the record. You guys can issue a conditional license until such

period expire.

I would be open to more of a conditional until the probationary period was expired. Is that something that we are inclined -- have done?

MR. TAUPIER: Marc Taupier, for the record. You guys can issue a conditional license until such time and proof that probation has been satisfied to which conditions could come off. I think there would need to be a discussion amongst the commissioners on what conditions you would like to see.

Seeing all of this, I would recommend maybe a discussion to where any type of violation, whether it be a full-blown violation where they have full rights or just a technical violation would immediately suspend the license until that was taken care of. But I believe that conditions are something that you all would have to decide on.

COMMISSIONER DRAGO: If we were to deny it today, when could he come back and apply again?

MR. TAUPIER: Hearing that he is trying to do early termination, that usually happens, typically. And it's not the rule for all, but typically happens at the halfway point to which seeing his progress, he's well over that.

I don't know what the timeline is for him if they are ready to do that motion. But if he wants to come back or reapply once that is done and he can show us that he's off probation and that is

something that you guys want to see, we can go that way and deny it today. But again, that's something that's up to you guys.

COMMISSIONER DRAGO: So we can deny it today, and he can come back at any time?

MR. TAUPIER: He could.

COMMISSIONER DRAGO: Understanding what we're saying here today when he reaches whatever that

happens at the halfway point to which seeing his progress, he's well over that.

I don't know what the timeline is for him if they are ready to do that motion. But if he wants to come back or reapply once that is done and he can show us that he's off probation and that is

something that you guys want to see, we can go that way and deny it today. But again, that's something that's up to you guys.

COMMISSIONER DRAGO: So we can deny it today, and he can come back at any time?

MR. TAUPIER: He could.

COMMISSIONER DRAGO: Understanding what we're saying here today when he reaches whatever that point is, he could reapply. So he would not be granted any conditional license or anything. In the meantime, it's denied. But there's nothing that says he can't come back for another year or two years or anything like that; right?

MR. TAUPIER: Absolutely. There's no restriction on someone applying for a license, so he could come back tomorrow, if you denied it today, and reapply.

COMMISSIONER DRAGO: Understand. Thank you.

VICE CHAIR BROWN: Thank you. That was a good clarification. Commissioners, any thoughts?

COMMISSIONER REPP: I would also like to add that I would be more comfortable, I think, if the probation was completed and wrapped up.

VICE CHAIR BROWN: That's kind of where I'm at, too.

Commissioners.

COMMISSIONER DRAGO: Ready for a motion?

VICE CHAIR BROWN: I think we're ripe.

COMMISSIONER DRAGO: I would make a motion that we deny. And I think that the applicant can

COMMISSIONER REPP: I would also like to add that I would be more comfortable, I think, if the probation was completed and wrapped up.

VICE CHAIR BROWN: That's kind of where I'm at, too.

Commissioners.

COMMISSIONER DRAGO: Ready for a motion?

VICE CHAIR BROWN: I think we're ripe.

COMMISSIONER DRAGO: I would make a motion that we deny. And I think that the applicant can listen to what we're saying here and what we're talking about and make his own judgment as to if and when he wants to reapply.

VICE CHAIR BROWN: I would agree with that. Commissioner.

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: Is there any discussion?

I just want to reiterate what we've said today. You've heard us, and hoping a swift termination of your probation.

With that, all those in favor, say aye.

(Multiple ayes.)

VICE CHAIR BROWN: Thank you. Thank you for coming up.

All right. We are moving on to 7.5, please.

MS. ALVARADO: 7.5 is 2024-045735, Pamela Bruce. Here the applicant applied for a cardroom employee occupational license. And upon review of the application, it was discovered that she had a disqualifying misdemeanor offense of retail theft

in the November of 2023.

The applicant is seeking the waiver of this misdemeanor offense and issuance of her cardroom employee occupational license. A waiver interview was conducted on August 13, 2024 and was documented in the meeting materials.

You'll hear the Commission can decide again to

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currently held a license with any state regulatory body?

MR. HUNDERSMARCK: She had a Florida license. It expired. Her conviction was discovered in the process of reissuing it, and she also has a Mississippi license.

VICE CHAIR BROWN: Thank you. Commissioners, do you have any questions on this item?

COMMISSIONER DRAGO: I don't.

VICE CHAIR BROWN: Okay. If there are no questions, can I get a motion, please? Commissioner Drago.

COMMISSIONER DRAGO: I make a motion to adopt the hearing officer's recommended order of deny.

VICE CHAIR BROWN: Thank you. Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: Any discussion? All those in

favor, say aye.

(Aye.)

VICE CHAIR BROWN: All right. We are moving on to requests for waivers, 7.1 through 7.3.

MS. ALVARADO: Item 7.1 is 2025-011098, Edgar David Diaz. Here, the applicant applied for a card room employee occupational license. Upon review of the application, it was discovered that he had a disqualifying felony of aggravated battery of a pregnant victim out of Marion County, Florida, from July 14th, 2022. The applicant previously held a card room employee occupational license, which was revoked on May 5th, 2023, due to this felony conviction.

The applicant reapplied for a card room employee occupational license, which was denied at the October 4th, 2024, commission meeting. The applicant conducted a waiver interview on February 26th, 2025, and that was documented in the meeting materials.

Here, the commission can decide to grant the waiver and issue the applicant the occupational license. And if you decide not to, I'd ask that you authorize a letter of license denial in this case.

VICE CHAIR BROWN: Thank you, Ms. Alvarado. We do have the speaker present, I believe. Mr. Diaz, if you're here?

MR. DIAZ: Yeah.

VICE CHAIR BROWN: Please come to the podium and state your name for the record.

MR. DIAZ: Edgar Diaz for the record.

VICE CHAIR BROWN: Thank you, Mr. Diaz.

MR. DIAZ: Sorry, I just wrote something down. I'm not really good at public speaking. Good morning. Last time I was here or in Tallahassee, we discussed

[redacted] me complete

MS. ALVARADO: Item 7.1 is 2025-011098, Edgar David Diaz. Here, the applicant applied for a card room employee occupational license. Upon review of the application, it was discovered that he had a disqualifying felony of aggravated battery of a pregnant victim out of Marion County, Florida, from July 14th, 2022. The applicant previously held a card room employee occupational license, which was revoked on May 5th, 2023, due to this felony conviction.

The applicant reapplied for a card room employee occupational license, which was denied at the October 4th, 2024, commission meeting. The applicant conducted a waiver interview on February 26th, 2025, and that was documented in the meeting materials.

Here, the commission can decide to grant the waiver and issue the applicant the occupational license. And if you decide not to, I'd ask that you authorize a letter of license denial in this case.

VICE CHAIR BROWN: Thank you, Ms. Alvarado. We do have the speaker present, I believe. Mr. Diaz, if you're here?

MR. DIAZ: Yeah.

VICE CHAIR BROWN: Please come to the podium and state your name for the record.

MR. DIAZ: Edgar Diaz for the record.

VICE CHAIR BROWN: Thank you, Mr. Diaz.

MR. DIAZ: Sorry, I just wrote something down. I'm not really good at public speaking. Good morning. Last time I was here or in Tallahassee, we discussed how the Gaming Commission preferred to see me complete my probation before considering my possible reinstatement of the license. And I'm proud to say that I successfully -- I've successfully completed my probation. I'm in good standing with the law in every sort of way.

I've completed all terms of my rehabilitation. And for what it's worth, I continue to maintain that the allegations were completely untrue. This experience has been truly transformative for me. I've grown as a person, and I hope that the growth is evident to you as well. I thank you guys for the -- or I'd like to thank you for the opportunity to appear before you guys again here today, and I genuinely appreciate you guys' time and consideration.

VICE CHAIR BROWN: Thank you, Mr. Diaz. Commissioners, are there questions of Mr. Diaz?

COMMISSIONER DRAGO: Mr. Diaz, have you -- you said you completed your probation, right?

MR. DIAZ: Yes, sir.

COMMISSIONER DRAGO: Does that include the fees

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probation. I'm in good standing with the law in every sort of way.

I've completed all terms of my rehabilitation. And for what it's worth, I continue to maintain that the allegations were completely untrue. This experience has been truly transformative for me. I've grown as a person, and I hope that the growth is evident to you as well. I thank you guys for the -- or I'd like to thank you for the opportunity to appear before you guys again here today, and I genuinely appreciate you guys' time and consideration.

VICE CHAIR BROWN: Thank you, Mr. Diaz. Commissioners, are there questions of Mr. Diaz?

COMMISSIONER DRAGO: Mr. Diaz, have you -- you said you completed your probation, right?

MR. DIAZ: Yes, sir.

COMMISSIONER DRAGO: Does that include the fees that you owed?

MR. DIAZ: Yes.

COMMISSIONER DRAGO: Okay. So everything is done. Everything is clear. You're not under probation any longer?

MR. DIAZ: No, no. I've been off for the past few months.

COMMISSIONER DRAGO: Okay. And when did you pay off the fees? Recently?

MR. DIAZ: It was October of last year, I believe.

COMMISSIONER DRAGO: Okay. And how long have you been off probation you said?

MR. DIAZ: Since then.

COMMISSIONER DRAGO: Since then?

MR. DIAZ: Or since, I think a month after -- since around November.

COMMISSIONER DRAGO: Okay, thank you.

VICE CHAIR BROWN: Commissioners, any other questions? Thank you, Mr. Diaz.

MR. DIAZ: Thank you.

VICE CHAIR BROWN: Thank you. All right, Commissioners, are there questions of staff? Okay. Now is the time to either discuss or make a motion.

COMMISSIONER DRAGO: If I could just --

VICE CHAIR BROWN: Please.

COMMISSIONER DRAGO: -- I guess a comment in terms of the way I'm thinking is that, I appreciate Mr. Diaz coming here and clarifying some things. Especially for me, it was the probation and the fines that were owed. So I'm glad that that got clarified. I still have the concern that this occurred -- what year did this occur?

MS. ALVARADO: 2011.

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probation any longer?
MR. DIAZ: No, no. I've been off for the past few months.
COMMISSIONER DRAGO: Okay. And when did you pay off the fees? Recently?
MR. DIAZ: It was October of last year, I believe.
COMMISSIONER DRAGO: Okay. And how long have you been off probation you said?
MR. DIAZ: Since then.
COMMISSIONER DRAGO: Since then?
MR. DIAZ: Or since, I think a month after -- since around November.
COMMISSIONER DRAGO: Okay, thank you.
VICE CHAIR BROWN: Commissioners, any other questions? Thank you, Mr. Diaz.
MR. DIAZ: Thank you.

VICE CHAIR BROWN: Thank you. All right, Commissioners, are there questions of staff? Okay. Now is the time to either discuss or make a motion.
COMMISSIONER DRAGO: If I could just --
VICE CHAIR BROWN: Please.
COMMISSIONER DRAGO: -- I guess a comment in terms of the way I'm thinking is that, I appreciate Mr. Diaz coming here and clarifying some things. Especially for me, it was the probation and the fines that were owed. So I'm glad that that got clarified. I still have the concern that this occurred -- what year did this occur?
MS. ALVARADO: 2022.
COMMISSIONER DRAGO: Yeah. Such a short period of time, it's difficult for me to make a determination of whether or not Mr. Diaz has shown good moral conduct and rehabilitated since that time. So I think there would be -- for me, there would need to be more time since this offense occurred, especially when you think of the seriousness of the offense, which is. And I think we discussed that the last time.
The nature of the offense is such that it's very, very serious to all of us. I think when we sit up here and try to do what we think is best for protecting the citizens of the state of Florida as

well as being as fair as we possibly can and ensuring that people can work within the state of Florida. So my concern is that I don't think there's been enough time has passed, especially since the end of probation, but also since this offense occurred.
VICE CHAIR BROWN: Thank You, Commissioner Drago. Are there any other comments, Commissioners? Commissioner Drago, with that, can you please make a motion?

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I still have the concern that this occurred -- what year did this occur?

MS. ALVARADO: 2022.

COMMISSIONER DRAGO: Yeah. Such a short period of time, it's difficult for me to make a determination of whether or not Mr. Diaz has shown good moral conduct and rehabilitated since that time. So I think there would be -- for me, there would need to be more time since this offense occurred, especially when you think of the seriousness of the offense, which is. And I think we discussed that the last time.

The nature of the offense is such that it's very, very serious to all of us. I think when we sit up here and try to do what we think is best for protecting the citizens of the state of Florida as

well as being as fair as we possibly can and ensuring that people can work within the state of Florida. So my concern is that I don't think there's been enough time has passed, especially since the end of probation, but also since this offense occurred.

VICE CHAIR BROWN: Thank You, Commissioner Drago. Are there any other comments, Commissioners? Commissioner Drago, with that, can you please make a motion?

COMMISSIONER DRAGO: I guess my motion would be to deny the waiver, correct?

MS. ALVARADO: Yes.

COMMISSIONER DRAGO: So I'll make a motion to deny the waiver.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: Is there any discussion? All those in favor, say aye.

(Aye.)

VICE CHAIR BROWN: All right. Passes unanimously. Thank you. Item 7.2.

MS. ALVARADO: Item 7.2 is 2025-011130, Kerrey Cobb. The applicant here applied for a PMW General Individual Occupational License. Upon review of the application, it was discovered that she had the

disqualifying offensive possession of methamphetamine out of Thomas County, Georgia, from October 1st, 2024.

A waiver interview was conducted on March 11th, 2025, and is documented in the meeting materials here. The applicant answered yes to having been convicted of a disqualifying offense, enlisted the one felony on there.

The commission here can decide to grant the waiver and issue the occupational license, or they can decide not to waive the felony and issue a letter of li[redacted]

To Whom it May Concern,

Edgar David Diaz was one of my employees for four years and was an outstanding employee. He started as host/brush, and was perfect for the job. He has an outgoing personality and a drive for hard work. He was a perfect host that never once had a write up or a negative employee evaluation. He had ambition and drive because after two years of hosting he stepped up to the plate and became a dealer. In those two years as a dealer he was again a great employee. I never once had to punish him or have an evaluation with him. Edgar and I became very close throughout his career because he reminded me of my children. He is a great kid and has a good head on his shoulders. So I was shocked to hear about his unfortunate situation that made him leave my employment, because I know that's simply not him. Regardless of every obstacle that was thrown his way, he landed back on his feet. Even though he's no longer under my employment, I'm truly happy that he pursed his passion for poker elsewhere! Please don't just take my word for it. Please contact these two supervisors and they will say the same!

Hernan Crespo (Floor Manager) 219-256-2833
Jason Ray (Dual-Rate) 219-677-2229

Sincerely,
Darold Donnelly
Director of Operations
219-730-5457

DIAZ, EDGAR DAVID
Case No. 2025-011098
Informal Hearing Packet

Documents Included in Case File

Exhibit 1..... Notice of Hearing

Exhibit 2..... Election of Rights

Exhibit 3…..... Letter of License Denial

Exhibit 4..... Report of Investigation

September 26, 2025

Edgar David Diaz
2351 North Williamson Blvd., 12-104
Daytona Beach, Florida

RE: DIAZ, EDGAR DAVID v. FGCC
Case No.: 2025-011098

Enclosed please find a Notice of Hearing for the informal hearing that has been scheduled in the above-referenced case. **Your hearing is scheduled to be heard on Wednesday, October 8, 2025, between 9:30 AM and 2:00 PM (Eastern Time).** Please read the Notice of Hearing for more details about the date, time, location and instructions for the hearing. A copy of the Commission's case file has been mailed to your address of record. Please ensure that you have this case file available during the hearing, as you may need to refer to it throughout the hearing.

You may also provide written or oral evidence or have witnesses testify on your behalf. Any evidence that you wish to present to the Hearing Officer and any names and contact information of witnesses you plan to call at the hearing should be emailed to Renee.Harkins@flgaming.gov at least 7 days before the date of the hearing. If you do not have an email address, please contact me for an alternative method to provide the requested information.

Please note: If you choose not to attend the hearing in person or by video conference, we will be conducting the hearing telephonically; you will be contacted **between 9:30 AM and 2:00 PM (EST) at the following number: (352) 421-0677.** Please contact me as soon as possible to notify me of a correct number at which to reach you. Failure to answer the telephone, promptly return a missed call, or hold an open line will result in the hearing proceeding without you.

Below please find information about the informal hearing process:

1. The Informal Hearing is held on the date and time noted in the Notice of Hearing.
2. A Final Order will be issued within approximately 90 days after the date of the hearing. The Final Order is the **final** agency action and will describe the resolution of your case.

Should you have any questions or need any assistance, please feel free to contact me via telephone or email at 850-794-8072 or Ebonie.Lanier@flgaming.gov.

Sincerely,

/s/ *Ebonie Lanier*

Ebonie Lanier
Administrative Assistant III
(850) 794-8072

Enclosures: Notice of Hearing and Case File

EXHIBIT
1

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

EDGAR DAVID DIAZ,

Petitioner,

v.

FGCC Case No..: 2025-011098

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Respondent.
_____/

NOTICE OF TELEPHONIC HEARING

TO: Edgar David Diaz
2351 North Williamson Blvd., 12-104
Daytona Beach, Florida 32117
edg484@gmail.com

YOU ARE HEREBY NOTIFIED that the Commission's designated Hearing Officer will conduct a hearing in this matter, pursuant to Section 120.57(2), Florida Statutes. If you wish to present oral or written evidence, you must attend the hearing. The hearing is scheduled for **Wednesday, October 8, 2025 The Hearing Officer will call you at (352) 421-0677 sometime between 9:30 AM – 2:00 PM (EST)**. Please be available to take the Hearing Officer's call. Failure to answer the telephone, promptly return a voicemail, or hold an open line may result in the hearing proceeding without you.

You may elect to attend the hearing in person or by video conference. If you wish to do so, you must contact the Commission by email at Ebonie.Lanier@flgaming.gov or telephone at (850) 794-8072, at least seven (7) days prior to your hearing date. If you do not elect to attend by video conference or in person, the hearing will automatically be held by telephone only. You may also provide written or oral evidence or have witnesses testify on your behalf. Any evidence that you wish to present to the Hearing Officer and any names and contact information of witnesses you plan to call

at the hearing should be emailed to Renee.Harkins@flgaming.gov, and Emily.Alvarado@flgaming.gov at least 7 days before the date of the hearing. If you do not have an email address, please contact me for an alternative method to provide the requested information.

If you cannot attend the hearing and wish to request a continuance for good cause, you must notify the Hearing Officer at Renee.Harkins@flgaming.gov and Opposing Counsel at Emily.Alvarado@flgaming.gov at least five (5) days prior to your hearing date. Continuance requests made within five (5) days of the hearing can only be granted for emergencies.

I HEREBY CERTIFY that a true and correct copy of the foregoing has been furnished to: the above-named parties via certified mail, on this 24th day of September, 2025.

By: /s/ *Ebonie Lanier*

Ebonie Lanier
Administrative Assistant III
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399
Telephone: (850) 794-8072
Facsimile: (850) 536-8712
Ebonie.Lanier@flgaming.gov

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this hearing is asked to advise the agency at least 48 hours before the hearing by contacting Ebonie Lanier at (850) 794-8072. If you are hearing or speech impaired, please contact the agency by calling 1-800-955-8771.

6/30/2025

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

DIAZ, EDGAR DAVID v. FGCC **CASE NO.: 2025-011098**

ELECTION OF RIGHTS

Please read the Letter of License Denial before choosing one of the three options. The Election of Rights is a **legally binding** document. Consult an attorney if you do not understand your options.

You can only select **ONE** of the options numbered one (1), two (2), and three (3) on the Election of Rights form. You must sign the form.

A completed Election of Rights **must be returned to the Clerk of the Commission within 21 days, by 5:00 p.m., Eastern Time, of the day you receive the attached Letter of License Denial.**

If your Election of Rights form or request for hearing is not filed with the Clerk of the Commission within 21 days of the day you received the Letter of License Denial, you will have waived your right to contest the proposed agency action and a Final Order will be issued imposing the proposed agency action set forth in the Letter of License Denial.

Please use this form unless you, your attorney, or your representative prefer to reply according to chapter 120, Florida Statutes, and chapter 28.106 of the Florida Administrative Code.

Please return your Election of Rights form to this address:

Florida Gaming Control Commission
Attention: Clerk of the Commission
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399
Telephone: (850) 794-8067 Fax: (850) 536-8709
Email: clerk@flgaming.gov

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option One (1) ☒ **I do not dispute the allegations** of material fact in the Letter of License Denial and wish to be heard at an informal proceeding pursuant to section 120.57(2), Florida Statutes, where I may submit testimony and written evidence to the Commission to show that the proposed agency action is too severe or that the sanction should be reduced.

Option Two (2) ☐ **I do dispute the allegations** of material fact in the Letter of License Denial and I request a formal hearing pursuant to Section 120.57(1) before an Administrative Law Judge of the Division of Administrative Hearings ("DOAH"). **I specifically dispute the following facts in the Letter of License Denial** (attach extra pages or write on the back if needed):

_____

_____

_____

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

In addition to the above election for formal hearing, check the box below if you wish to enter into settlement negotiations and waive the 15-day requirement for this matter to be referred to DOAH:

☐ Section 120.569(2)(a), Florida Statutes, requires the Commission to send this case to DOAH for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement.

Option Three (3) ☐ **I do not dispute the allegations** of material fact in the Letter of License Denial and **waive my right** to object and to have a hearing. I understand that by giving up the right to object and have a hearing, this letter will act as the Final Order. Pursuant to section 120.68, Florida Statutes, any party adversely affected by this Final Order has the right to seek its judicial review by the filing of an original Notice of Appeal pursuant to rules 9.110 and 9.190, Florida Rules of Appellate Procedure, with the Clerk of the Commission, 4070 Esplanade Way, Suite 250, Tallahassee, Florida 32399 (email: clerk@flgaming.gov), and by filing a copy of the Notice of Appeal accompanied by the applicable filing fees with the appropriate Florida District Court of Appeal. The Notice of Appeal must be filed (received) by the Clerk of the Commission within thirty (30) days after the date this Order is filed with the is filed with the Clerk.

THIS IS A **LEGALLY BINDING DOCUMENT**. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

Edgar Diaz
PRINTED NAME

ATTORNEY OR QUALIFIED REPRESENTATIVE

2351 N Williamson Blvd 12-104
Street Address

Street Address (where service shall be made)

Daytona Beach FL 32117
City State Zip

City State Zip

352-421-0677
Telephone Number Facsimile Number (if any)
Number (if any)

Telephone Number Facsimile

Edg484@gmail.com
E-mail

E-mail

SIGNATURE

YOU MUST LET THE COMMISSION KNOW IF YOUR E-MAIL OR MAILING ADDRESS CHANGES

From: Edgar Diaz
To: Clerk
Subject: Edgar Diaz
Date: Monday, June 30, 2025 4:13:25 PM
Attachments: Informal hearing 2 .pdf
Informal hearing form .pdf

> You don't often get email from edg484@gmail.com. Learn why this is important

Case #2025-011098

EXHIBIT
3

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: **5/15/2025**
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

May 15, 2025

Mr. Edgar David Diaz
2351 North Williamson Boulevard, 12-104
Daytona Beach, Florida 32117

Letter of License Denial

Dear Mr. Diaz:

This Letter of License Denial ("Denial") serves to inform you that your application for a Cardroom Employee Occupational License and Request for Waiver is denied in accordance with the provisions of chapters 550 and 849, Florida Statutes, and the rules promulgated thereto. This Denial is based upon your following criminal disposition:

> 07/12/2022 – Aggravated Battery of a Pregnant Victim (F) – Adjudication Withheld – Marion County, Florida (Case No. 422022CF000845CFAXXX)

This conviction is a disqualifying offense under sections 550.105(5) and 849.086(6)(g), Florida Statutes. A withheld adjudication of guilt is considered a conviction for licensing under sections 550.105(5) and 849.086(6)(f), Florida Statutes.

Furthermore, this Denial is based upon the revocation of your Cardroom Employee Occupational License, number 11937341 ordered in FGCC Case No. 2022-040422 on May 5, 2023. The Florida Gaming Control Commission has the authority to deny a license application if an applicant has had a license revoked by this state pursuant to sections 550.105(5) and 849.086(6)(f), Florida Statutes.

Your Request for Waiver has been denied based on your failure to meet the requirements of section 550.105(5)(c), Florida Statutes.

You are advised you may not work in any position requiring licensure or be within a restricted area at a pari-mutuel facility without an appropriate valid occupational license.

Pursuant to sections 120.569 and 120.57, Florida Statutes, you may request a hearing to challenge the Commission's decision within twenty-one (21) days of receipt of this Denial, as provided for in rule 28-106, Florida Administrative Code, and the attached Notice of Rights.

If you do not request a hearing within twenty-one (21) days of your receipt of this Denial, this Denial will become the Commission's Final Order. If this Denial becomes a Final Order, you will have 30 days to file for an appeal pursuant to section 120.68, Florida Statutes.

Sincerely,

Melba Apellaniz
Clerk of the Commission

Attachments: Notice of Rights and Election of Rights Form

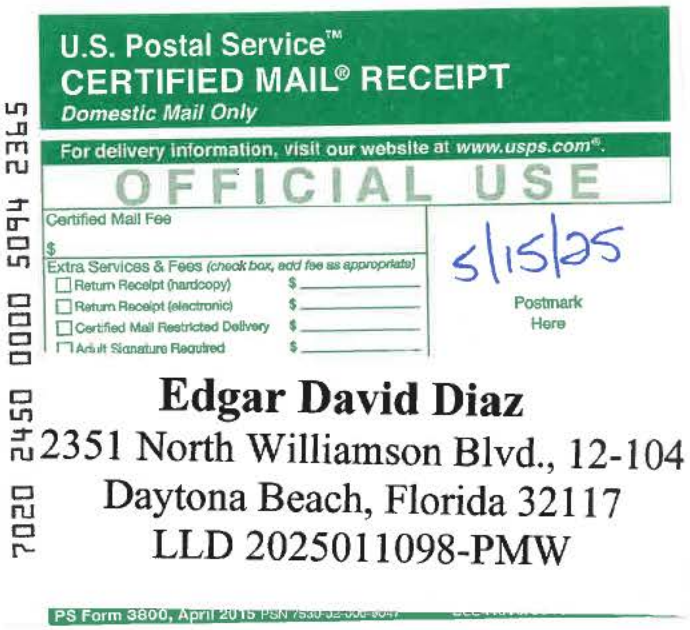
CERTIFICATE OF SERVICE

I hereby certify this 15TH day of MAY, 2025, that a true copy of the foregoing has been furnished by certified U.S. mail to:

Edgar David Diaz
2351 North Williamson Boulevard, 12-104
Daytona Beach, Florida 32117

CLERK OF THE COMMISSION
Florida Gaming Control Commission

Case No. 2025-011098



NOTICE OF RIGHTS

This is an action that may affect your substantial interests. Mediation of this administrative dispute is not available. However, pursuant to sections 120.569 and 120.57, Florida Statutes, you may request a hearing on this matter, provided a written request is filed with the agency. The request must comply with the requirements of rules 28-106.111, and 28-106.201 or 28-106.301, Florida Administrative Code. The written request must be received by the Division within 21 days of your receipt of this notice.

If the Division determines there are disputed issues of material fact, the case will be forwarded to the Division of Administrative Hearings for a formal hearing. If there are no disputed issues of material fact, the Division will schedule an informal hearing. You will be notified of the date, time and place of the hearing. In either case, you have the burden of establishing entitlement to the license.

In a formal hearing, you have the right to appear in person on your own behalf, to be represented by an attorney, to bring witnesses and present evidence, to cross-examine any witnesses produced against you by the State, and to have subpoenas issued on your behalf.

In an informal hearing, you have the right to appear in person on your own behalf, to be represented by an attorney, and to submit whatever information you desire to show entitlement to the license.

If a hearing pursuant to sections 120.569 and 120.57, Florida Statutes, is not requested within 21 days, the foregoing Letter of License Denial will become a Final Order. Any party adversely affected by this Final Order has the right to seek its judicial review under section 120.68, Florida Statutes, by the filing of an original Notice of Appeal pursuant to rules 9.110 and 9.190, Florida Rules of Appellate Procedure, with the Clerk of the Commission, 4070 Esplanade Way, Suite 250, Tallahassee, Florida 32399 (email: clerk@flgaming.gov), and by filing a copy of the Notice of Appeal accompanied by the applicable filing fees with the appropriate Florida District Court of Appeal. The Notice of Appeal must be filed (received) by the Clerk of the Commission within thirty (30) days after the date this Order is filed with the Clerk.

In all the above proceedings, practice is governed by chapter 120, Florida Statutes, and rule 28-106, Florida Administrative Code.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

DIAZ, EDGAR DAVID v. FGCC **CASE NO.: 2025-011098**

ELECTION OF RIGHTS

Please read the Letter of License Denial before choosing one of the three options. The Election of Rights is a **legally binding** document. Consult an attorney if you do not understand your options.

You can only select **ONE** of the options numbered one (1), two (2), and three (3) on the Election of Rights form. You must sign the form.

A completed Election of Rights **must be returned to the Clerk of the Commission within 21 days, by 5:00 p.m., Eastern Time, of the day you receive the attached Letter of License Denial.**

If your Election of Rights form or request for hearing is not filed with the Clerk of the Commission within 21 days of the day you received the Letter of License Denial, you will have waived your right to contest the proposed agency action and a Final Order will be issued imposing the proposed agency action set forth in the Letter of License Denial.

Please use this form unless you, your attorney, or your representative prefer to reply according to chapter 120, Florida Statutes, and chapter 28.106 of the Florida Administrative Code.

Please return your Election of Rights form to this address:

Florida Gaming Control Commission
Attention: Clerk of the Commission
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399
Telephone: (850) 794-8067 Fax: (850) 536-8709
Email: clerk@flgaming.gov

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option One (1) ☐ **I do not dispute the allegations** of material fact in the Letter of License Denial and wish to be heard at an informal proceeding pursuant to section 120.57(2), Florida Statutes, where I may submit testimony and written evidence to the Commission to show that the proposed agency action is too severe or that the sanction should be reduced.

Option Two (2) ☐ **I do dispute the allegations** of material fact in the Letter of License Denial and I request a formal hearing pursuant to Section 120.57(1) before an Administrative Law Judge of the Division of Administrative Hearings ("DOAH"). **I specifically dispute the following facts in the Letter of License Denial** (attach extra pages or write on the back if needed):

_____

_____

_____

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

In addition to the above election for formal hearing, check the box below if you wish to enter into settlement negotiations and waive the 15-day requirement for this matter to be referred to DOAH:

☐ Section 120.569(2)(a), Florida Statutes, requires the Commission to send this case to DOAH for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement.

Option Three (3) ☐ **I do not dispute the allegations** of material fact in the Letter of License Denial and **waive my right** to object and to have a hearing. I understand that by giving up the right to object and have a hearing, this letter will act as the Final Order. Pursuant to section 120.68, Florida Statutes, any party adversely affected by this Final Order has the right to seek its judicial review by the filing of an original Notice of Appeal pursuant to rules 9.110 and 9.190, Florida Rules of Appellate Procedure, with the Clerk of the Commission, 4070 Esplanade Way, Suite 250, Tallahassee, Florida 32399 (email: clerk@flgaming.gov), and by filing a copy of the Notice of Appeal accompanied by the applicable filing fees with the appropriate Florida District Court of Appeal. The Notice of Appeal must be filed (received) by the Clerk of the Commission within thirty (30) days after the date this Order is filed with the is filed with the Clerk.

THIS IS A **LEGALLY BINDING DOCUMENT.** SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

PRINTED NAME	ATTORNEY OR QUALIFIED REPRESENTATIVE
Street Address	Street Address (where service shall be made)
City State Zip	City State Zip
Telephone Number Facsimile Number (if any) Number (if any)	Telephone Number Facsimile
E-mail	E-mail
SIGNATURE	

YOU MUST LET THE COMMISSION KNOW IF YOUR E-MAIL OR MAILING ADDRESS CHANGES

ROUTING SLIP
REQUEST FOR WAIVER

RE: DIAZ, EDGAR DAVID – LIC # 11937341 Case No: 2025 01 1098
(APPLICANT'S NAME – LICENSE #)

1012 – DEALER
Occupation Code and Job Title

143 -Daytona Beach Kennel Club
Facility (d/b/a name)

Waiver Referral Date: FEBRUARY 19, 2025
(DATE)

Investigations Section: Reviewed by Bradford D. Jones 3/24/2025
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: CH 03/27/2025 _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, have unpaid fines, been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [✓] No If yes, in what jurisdiction? _____

Investigative Findings:

March 3, 2022	Marion County Sheriff's Office, FL. – Aggravated Battery of a Pregnant Female Person – Felony – Pled Nolo Contendere – Adjudication Withheld on July 14, 2022 – Sentenced to 120 days in County Jail with credit for 110 days time served, followed by 36 months' Probation, 80 hours Community Service, also ordered to successfully complete Batterer's Intervention Program and pay $1190.50 in Court Costs and Fines - On December 19, 2024, DIAZ was granted early termination of probation and all Fines/Court Cost related to the foundational conviction have been paid. – However, $2,388.50 of a $2,770.50 assessment for the costs associated with his incarceration remains unpaid.
October 4, 2024	Request for Waiver was denied.

*Please attach Routing Slip to front of case file

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

Ross Marshman, Executive Director **Ron DeSantis,** Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	CENTRAL	FEBRUARY 18, 2025	2025 01 1098

Respondent:	Complainant:
DIAZ, EDGAR DAVID 2351 N. WILLIAMSON BOULEVARD, 12-104 DAYTONA BEACH, FLORIDA 32117 Phone: (352) 421-0677	DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BOULEVARD, SUITE 165 FT. LAUDERDALE, FLORIDA 33309

License # / Type:	Profession:	Report Date:
11937341 / 1012	Cardroom Dealer	March 13, 2025

Period of Investigation:	Type of Report:
FEBRUARY 18, 2025 – MARCH 13, 2025	Final

Basis of Investigation: This investigation is predicated upon submission of Edgar **DIAZ's** State of Florida Pari-Mutuel Wagering Occupational License Application dated, January 3, 2025, and Waiver Request Form dated, February 4, 2025.

On, January 3, 2025, **DIAZ** applied for renewal of his PMW Individual Occupational License to work as Dealer at Daytona Beach Kennel Club, Inc. (143). On his application, he answered "Yes" to the question, on page 2 of the application, "Have you ever been convicted of, or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" **DIAZ** listed a March 2022 Felony conviction for Battery in Marion County, Florida. He also listed a 2022 Misdemeanor offense for Pretrial Violation in Marion County, Florida. **DIAZ**, also answered "Yes" to the question, "Have you ever had a racing or gaming license revoked or denied in this or any other state or country?" **DIAZ** disclosed that his license was revoked in Florida for failure to report the 2022 arrest and subsequent conviction.

On January 29, 2025, PMW Licensing sent **DIAZ** a Deficiency Letter, requesting that he provide court documents and arrest records for the March 3, 2022, offense.

On, February 4, 2025, **DIAZ** submitted the requested court documents and arrest records.

Related Case: 2022 04 0422 & 2024 04 0616

Investigations Specialist II / Date	Approved by Investigation Manager / Date:
/s/ *Lee Ann Rounds* Lee Ann Rounds / March 13, 2025	/s/ *C. Derek Washington* C. Derek Washington / March 18, 2025

Chief of Investigations / Date

Bradford D. Jones

Bradford D. Jones / March 24, 2025

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 03/03/2022	**Arresting Agency:** Marion County Sheriff's Office, FL.				
OFFENSE					
	Charge(s)	**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Aggravated Battery of a Pregnant Female Person	2nd Degree Felony	Nolo Contendere	Adjudication WH	07/14/2022
2					
3					
4					

SENTENCE
Confined in County Jail for 120 days with credit for 110 days jail time, followed by 36 months' probation. 80 hours community service, successfully complete Batterer's Intervention Program and pay $1190.50 in court costs and fines. (**Case #422022CF000845, Marion County Court)**

Additional Information: On March 25, 2022, **DIAZ** was re-arrested for violating a misdemeanor pre-trial condition that prohibited him from coming within 500 feet of the premises where the alleged victim lives or works. He violated this order by continuing to work at the cardroom facility where the victim was employed. As a result, **DIAZ's** bond was revoked on April 4, 2022, and he was incarcerated for 110 days with 48 days credited.

On December 19, 2024, **DIAZ** was granted early termination of probation and all fines/court case paid. However, with regard to the Misdemeanor arrest for Pretrial Release Condition Violation (Case #2022-MM-001855), the Florida Comprehensive Case Information System (CCIS) shows **DIAZ** still owes a $2,388.50 assessment for the cost of his incarceration.

When questioned about the outstanding amount of $2,388.50, **DIAZ** explained that this sum is the cost of his incarceration which is owed to the jail. He asserted that the outstanding balance does not have any legal implications for him, and he has as much time as he needs to pay it off without any consequences. Additionally, he mentioned that all his legal and court fees have been fully paid, as has his probation.

DIAZ stated that he spoke with the Clerk of Courts in Ocala, who informed him that they would provide a quote for the amount due whenever he decides to pay it off. According to **DIAZ**, because it is technically considered a lien, he will also be responsible for paying interest on the outstanding amount.

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?	X	
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type: **PMW Cardroom Employee Occupational License (1012)**			
Date Licensed: **01/04/2019**	Expiration Date: **06/30/2024**	License #: **11937341**	Agency or Jurisdiction: FGCC

	YES	NO
Has License ever been suspended or revoked?	X	
Was any derogatory information received?	X	

Additional Comments: **DIAZ** previously held a PMW Cardroom Employee Occupational license, which was initially issued on January 30, 2019, and renewed on May 13, 2021. **DIAZ's** license was revoked (Case #2022 04 0422) on May 5, 2023, due to his felony conviction of Battery Upon a Pregnant Victim, in addition to his failure to notify the Commission of his arrest and subsequent verdict of Adjudication Withheld.

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

3. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

WAIVER INTERVIEW

	YES	NO
Was a Waiver Interview Conducted?	X	

Date of Interview:	Location of Interview:
February 26, 2025	Ocala Bets

	YES	NO
Was the applicant cooperative?	X	
Additional Comments:		

SUMMARY OF INTERVIEW:

During my interview, **DIAZ** explained that he has now completed probation, and has reapplied for a cardroom license to work as a Dealer at Daytona Beach Kennel Club.

In discussion about **DIAZ's** felony conviction, he reiterated the statements he made during his last waiver interview in July, 2024. **DIAZ** provided an account of the events leading to his arrest for the felony offense of Aggravated Battery of a Pregnant Female Person. According to **DIAZ**, he was in an on-again, off-again relationship with his high school girlfriend for four years until March 2022. They were both employed as Dealers at Oxford Downs, and she moved into his home towards the end of 2021 due to her pregnancy. However, an argument ensued on March 1, 2022, between 3:00 AM and 4:00 AM when **DIAZ** discovered that the child was not his. **DIAZ** explained that during the argument, his girlfriend became physically aggressive, kicking him in the jaw and throwing items at him. In an attempt to calm her down, he admitted to restraining her on the bed. Both of them sustained bruises from the altercation[1]. Despite agreeing to end their relationship, they continued to stay together for the next two days as if nothing had happened. **DIAZ** was subsequently arrested on March 3, 2022, for Battery Upon a Pregnant Victim.

DIAZ further elaborated that the day following his arrest, he was released on bail. Two weeks later, his supervisor at Oxford Downs who was aware of the situation, called both **DIAZ** and the victim, who was also employed at the cardroom, into the office. During this meeting, it was agreed that their work schedules would be structured so that they would not have contact with each other. Specifically, **DIAZ** would work on day shifts while the victim would work night shifts. However, the supervisor was not informed of an automatic injunction that had been issued due to the alleged domestic violence, which legally prevented **DIAZ** from being within a certain distance of the victim's residence and place of employment. **DIAZ** also stated that he was unaware of this injunction. Subsequently, a friend of his ex-girlfriend, who was also employed at the poker room, reported to law enforcement that **DIAZ** was working at the cardroom. As a result, he was arrested once more, his bond was revoked, and he subsequently served 110 days in jail while awaiting his court date.

DIAZ expressed his deep regret over the incident and stated that he only knows the gaming industry and enjoys his career as a dealer. He highlighted his desire to continue in this career and emphasized that he had no intention to cause harm to his girlfriend, only to calm her down. He requests the Commission to consider his character references, emphasizing that he had never been in trouble before or since this incident.

[1] ***During this 2nd interview, DIAZ continued to adamantly deny ever hitting his girlfriend.***

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed no rulings against **DIAZ**. **(EXHIBIT #4)**

Previously on May 22, 2024, **DIAZ** applied for a Cardroom Employee Occupational License (1012) and Request for Waiver. On October 4, 2024, his application and request for waiver was denied, based on his felony conviction.

Case Status: Investigations case closed, and case forwarded to Licensing.

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DIAZ, EDGAR DAVID

Case # 2025-011098

License Type: PMW Cardroom Employee Occupational (1012)
Occupation: Dealer

Waiver Received: Yes [✓] No[ ]

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

DIAZ, EDGAR DAVID- 11937341 (Applicant's Name– License #)

2025-011098 Case No:

INITIAL APPLICATION RECEIVED:	1/3/2025
Application Deemed Complete:	2/7/2025
If no Request for Waiver received, 90-Day Deadline:	**5/8/2025**

Waiver Requested: ☐No ☒Yes (Pursuant to s.120.60, F.S. the processing timeline requirement has been waived.)
- Waiver Requested 2/7/2025

TL — **Application Processor**

143- Daytona Beach Kennel Club — **Facility (d/b/a name)**

Dealer — **Occupation Code / Job Title/Job Title**

Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Administrator Review

Conviction

Did the application accurately reflect the Criminal History Record initially? ☒Yes ☐No

Was a deficiency letter issued to request information related to the offense(s)? ☒Yes ☐No

☒ Felony(s): 1 Count(s) ☐ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking

☐ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)

☐ Animal Cruelty (Pari-Mutuel Professons)

Comments:

Arrest Date	Location	Charge	Level	Court Case #	Disposition (or Unknown)
03/03/2022	Marion County, FL	Aggravated Battery	Felony	2002-CF-000845	Guilty

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number: | Business Name:

Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐

Date Deficiency Letter Issued: | Initials:

Comments:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☑ No ☐ ☐ **Approval**

Forward to ☑ **Investigations** ☐ **Legal** ☐ **Commission** Initials: CH Date 2/18/2025

Comments: License Revoked FGCC case# 2022-040422

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **charris**

03/27/2025

VR Home > License Search > **License Home**

License

Fed Tax # [redacted] Lic Type **1012 - Cardroom Employee Occupational** Expires On **06/30/2024**

File # **11389** Name **DIAZ, EDGAR DAVID** Extended To

License # **11937341** Rank **CEMP - Cardroom Employee Occupational** Renewed On **05/13/2021**

Entity # **11937341** Lic Status **Applic Denied**

Licensee | History | Notes | Notes History | Back

Address

Street # **2351** Street **N. WILLIAMSON BLVD. 12-104**

Line 2

Line 3

City **DAYTONA BEACH** State **FL** Zip **32117**

Routing

*Previously Licensed

*No Temp Issued

*No ARCI Findings

*CCIS findings

*No additional enforcement cases.

Other

1st License Date **01/30/2019** Rank Date **05/13/2021** Certificate #

Method **I-S-1024** Status Date **10/04/2024** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	03/04/2022	LICENSE REVOKED - 5/5/23
C	DEAL - Dealer	05/22/2024	
I	GHND - Greyhound	05/22/2024	
L	143 - Daytona Beach Kennel Club, Inc.	05/22/2024	
Y	3YR - 3 Year License	01/04/2019	

Alt Keys

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 202501 1098 **Incident date:** 01/03/2025 **Status:** 106 Sent to Directors office

Lic Type: 1012 **Disposition:**

Case Type: Complaint

Responsible: elanier - LANIER, EBONIE

Complainant: DIVISION OF PARI-MUTUEL WAGERING

1400 W COMMERCIAL BLVD, STE 165, FORT LAUDERDALE, FL 33309

Respondent: DIAZ, EDGAR DAVID

2351 N. WILLIAMSON BLVD. 12-104, DAYTONA BEACH, FL 32117

Summary: 143 DAYTONA BEACH KENNEL CLUB, INC

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
02/18/2025	A	79	440	Incoming Correspondence	cstubbs1	DIAZ, EDGAR DAVID
02/19/2025	A	10	15	Assigned to Investigator	lmoore	DIAZ, EDGAR DAVID
02/26/2025	A	10	50	Interview Respondent	lmoore	DIAZ, EDGAR DAVID
02/24/2025	A	79	435	Telephone	lmoore	DIAZ, EDGAR DAVID
03/27/2025	R		elanier	LANIER, EBONIE	charris	
03/24/2025	R		charris	HARRIS, CYNTORIA	cstubbs1	
03/24/2025	R		bjones	JONES, BRADFORD	bjones	

State of Florida

Department of Business and Professional Regulation

Chronology Report

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	02/19/2025	R		moore	ROUNDS, LEEANN	cstubbs'	
	02/19/2025	S	1012	20	Under Investigation	cstubbs'	
	03/24/2025	S	1012	165	Supervisor Review	bjones	
	02/19/2025	S	1012	10	Initial Review	cstubbs'	
	03/24/2025	S	1012	90	Closed	cstubbs'	
	03/27/2025	S	1012	106	Sent to Directors office	cparris	
	03/24/2025	S	1012	104	Sent to Licensing Section	cstubbs'	

Allegation:	Code	Description
	WAVR	Waiver of Crim. Conv. or Other Offenses

Violation:	Code	Description	Respondent
	WAVR	61D-5.005	DIAZ, EDGAR DAVID

Licenses

Licensee Search

Licensee:Edgar David Diaz

No Picture Available

Reports on Edgar David Diaz

Comprehensive Ruling Report
Drug Ruling Report (Last 5 Years)
Comprehensive Licensee Report

Identification Information

Add a New Identification Record

Date of Birth	Federal ID/SSN	Country	Sex
[redacted]	[redacted]	USA	Unknown

Name Information

Add a New Name Record

Name Type	Prefix	First Name	Middle Name	Last Name	Suffix
Current Legal Name		Edgar	David	Diaz	

Address Information

Address Type	Street Address	City	State	Zip Code

Phone Information

Phone Number Type	Phone Number

License Information

Add a New License Record

License Number	License Type	Issued Date	Expiration Date	Licensing Commission
11937341	Other/OTB/Casino	1/30/2019	6/30/2021	Florida Division of Pari-Mutuel Wagering
	Other/OTB/Casino	1/30/2019	6/30/2024	Florida Division of Pari-Mutuel Wagering
	Other/OTB/Casino	1/4/2019	4/4/2019	Florida Division of Pari-Mutuel Wagering

Fingerprint Information

Add a New Fingerprint Record

Processing Commission	Date Taken	Status	Notes	RCI Card Submitted Date

Ruling Number	Ruling Type	Ruling Date	Fine	Fine Paid?	Suspension Start	Suspension End

Applicable Reports

Comprehensive Ruling Report
Comprehensive Licensee Report

03/27/2025 CH

03/27/2025 CH

03/27/2025 CH

FAQ | Help | Sign Out

VR Home Inbox Entity Application License Cash Exam Inspection Enforcement Report

Complaint Search Change Recording License Type Delete Complaint Mass Activity Update Mass Discipline Update Mass Status Update Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1012 - Cardroom Employee Occupational**			Status	**104 Sent to Licensing Section**	Status Date	**03/24/2025**
Complaint #	**2025011098**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**DIAZ, EDGAR DAVID**	Responsible	**charris - HARRIS, CYNTORIA**		**Private Case**

Complaint Respondent Complainant Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**		Parties	✓	Activities
Form	**WALK - Walk-in**	Priority	**1**	✓	Allegations		Discipline
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**	✓	Violations		Compliance
Security	**STND - Standard**	Incident	**01/03/2025**		Related	✓	Disposition
Region	**CR - Central Region**	Received	**02/18/2025**		Inspection		
Reference					Costs		
Entered	**02/19/2025**	Entered By	**cstubbs1**		Time Tracking		Auto Assign
Summary	**143 - DAYTONA BEACH KENNEL CLUB, INC**				Attachments		History
Updated	**03/24/2025 16:22:50**	By	**cstubbs1**		Work Notes		Print Report

Change Save OK Cancel Back

Get Adobe Reader

2025 FEB -7 PM 1:15

FLORIDA GAMING CONTROL COMMISSION

FGCC PMW-3180 – Request for Waiver

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

TO: Edgar David Diaz [redacted] 11937341
Full Legal Name of Applicant | Date of Birth | Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Executive Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

☒ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

☐ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Florida Gaming Control Commission will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

[signature] 2/4/25
Signature of Applicant **Date**

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM FGCC PMW-3195 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

RECEIVED

2025 JAN -6 PM 2:58

FLORIDA GAMING CONTROL COMMISSION

JAN 0 3 2025

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION

Social Security Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]		☒ Male ☐ Female

Last Name	First	Middle	Suffix
Diaz	Edgar	David	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☒ No

If yes, list the name or names used: _____

Race/Ethnicity (optional)
☐ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☐ White or Caucasian ☒ Hispanic/Latino ☐ Other

Current Mailing Address			Email Address (optional)
2351 N Williamson Blvd Apt. 12-104			
City	**State**	**Zip Code (+4 optional)**	**Country, if other than USA**
Daytona Beach	FL	32117	
Primary Phone Number			**Secondary/Cell Phone Number (optional)**
352-421-0677			
Current Street Address			
2351 N Williamson Blvd Apt. 12-104			
City	**State**	**Zip Code (+4 optional)**	**Country, if other than USA**
Daytona Beach	FL	32117	

Type of Occupational License applying for:
☐ Pari-Mutuel General Individual ☐ Pari-Mutuel Professional Individual
☐ Cardroom Employee
Occupation: Dealer

Facility where employed and/or doing business: DBKC

Does your position require access to the Cardroom? ☒ Yes ☐ No

Is this your first time applying for a racing/gaming license in Florida? ☐ Yes ☒ No

Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? ☐ Yes ☒ No

Do you own or lease animals intended for racing in Florida? ☒ No ☐ Yes, complete the following:

Stable Name, Kennel Name, or Business Name _____

Trainer Name (horse or greyhound racing only) _____

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code 1012 License # 1193134 File # 15706 App # 18700

Association Code 143 Date Received 1-3-2025 Entered By TL License Year 24/27

License Fee 100.00 FP Date 1-3-2025 FP Fee 36.00 Total Fee 136.00

Off Temp Waiver Requested ARCI Enforcement Minor

2025 JAN -6 PM 2:58

FLORIDA GAMING
CONTROL COMMISSION

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

ALL License Applicants Must Submit:

- ☐ Completed Form DBPR PMW-3120 – Print clearly and complete all sections that are not optional in black or blue ink.
- ☐ Additional Pages – If necessary to respond to any application questions.
- ☐ Supporting Legal Documentation – If necessary to respond to background information questions in application.
- ☐ Three (3) Year Licensing Fee – Make checks or money orders payable to DBPR.
  - ☐ Pari-Mutuel General Occupational License - $15.00* * does not include fingerprint fee
  - ☐ Pari-Mutuel Professional Occupational License - $80.00*
  - ☐ Cardroom Employee Occupational License - $100.00*
- ☐ Fingerprints – Choose One Option:
  - ☐ Electronic Fingerprints: Electronic fingerprints must be submitted to the Division through a law enforcement agency or an FDLE approved Livescan Service Provider. Submit electronic fingerprints with the following ORI number: FL920630Z.

    IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved Livescan Service Provider.
  - ☐ Fingerprint Card: Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Division at the address or phone number below. Completed fingerprint cards must be mailed to the Division with your application.

    IMPORTANT: Fingerprint card processing fees must be paid to the Division. Please see http://www.fdle.state.fl.us/Criminal-History-Records/Obtaining-Criminal-History-Information.aspx for the current fee amount.
  - ☐ Fingerprint Resubmission for Renewal and Upgrade Applicants: Applicants timely renewing or upgrading a license need only provide the Division a fingerprint resubmission processing fee. Visit our website or contact us for the current fee amount.

    IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

Please mail your completed application, documentation and required fee(s) to:
Department of Business and Professional Regulation
Pari-Mutuel Wagering, Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.487.1395

2025 JAN -6 PM 2:58

FLORIDA GAMING

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☒ No	Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☒ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
7/4/2022	Marion	FL	Battery	Felony	Probation
3/25/2022	Marion	FL	Violation of pretrial	Misdemeanor	

☒ Yes ☐ No	Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.
☐ Yes ☒ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

Revoked in Florida for failure to report arrest.
Completed my probation.

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Signature of Applicant

1/3/2026
Date

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > License Search > **License Home**

Licensee | History | Notes | Notes History | Back

License

Fed Tax # [redacted] Lic Type **1012 - Cardroom Employee Occupational** Expires On **06/30/2024**

File # **11389** Name **DIAZ, EDGAR DAVID** Extended To

License # **11937341** Rank **CEMP - Cardroom Employee Occupational** Renewed On **05/13/2021**

Entity # **11937341** Lic Status **Applic Denied**

Address

Street # **2351** Street **N. WILLIAMSON BLVD. 12-104**

Line 2

Line 3

City **DAYTONA BEACH** State **FL** Zip **32117**

Routing

Other

1st License Date **01/30/2019** Rank Date **05/13/2021** Certificate #

Method **I-S-1024** Status Date **10/04/2024** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	03/04/2022	LICENSE REVOKED - 5/5/23
C	DEAL - Dealer	05/22/2024	
I	GHND - Greyhound	05/22/2024	
L	143 - Daytona Beach Kennel Club, Inc.	05/22/2024	
Y	3YR - 3 Year License	01/04/2019	

Alt Keys

EXHIBIT #1
PAGE 6/7

BEST LIC NBR **11937341**

Get Adobe Reader.

EXHIBIT #1
PAGE 7/7

Probable Cause Affidavit

ORIGINAL

MARION COUNTY SHERIFF'S OFFICE
692 NW 30TH AVE
OCALA, FL 34475

22F845W

Report Date / Time	Report Number	Case Number/Cad Number	Reporting Officer Name
3/3/2022 03:46 PM	MCSO55ARR71675S	MCSO22OFF004336 / 2203030483	VASQUEZ, CHRISTOPHER

Originating Agency ORI	Occur Date Time Range	OBTS	Clearance
FL0420000	03/01/2022 04:00:00 -	4203199873	

Location of Occurrence

County	Location Type	Location Description
MARION	RESIDENCE	HOME

Street Number	Street	Apt/Lot/Bldg	City	State	Zip Code
8882	SE 64TH AVE		OCALA	FL	34472

Suspect

First Name	Middle Name	Last Name	Suffix	Race	Sex	Height	Weight	Hair	Eyes
EDGAR	DAVID	DIAZ		H	MALE	510	170	BLK	BRO

MNI #	SSN	Date of Birth	Age	Place of Birth	Drivers License or other ID	State	ID Type
		[redacted]	21	Columbia	[redacted]	FL	E

Address
* RESIDENCE / 8882 SE 64TH AVE , OCALA, FL 34472 / 689-212-5580

Probable Cause Affidavit Data

Question	Answer
Occupation and Employer	Oxford Downs
Alias	None
Under the Influence of	None
Domestic Related	Yes
Primary Language	English
Immigration Status	US Citizen
Vehicle Company / Address	

Arrest

Arrest Date/Time	Arrest Location Type	Arrest Location Description
3/3/2022 3:42:06 PM	RESIDENCE	HOME

Street Number	Street	Apt/Lot/Bldg	County	City	State	Zip Code
8882	SE 64TH AVE		MARION	OCALA	FL	34472

Charge :

Counts	Charge	Bond Amount	
1	784.045.1b	$0.00	☑ No Bond

EXHIBIT #2
PAGE 1-36

MCCC - CASE INTAKE
MAR 4 '22 AM7:02

ORIGINAL

Report Date / Time	Report Number	Case Number/Cad Number	Reporting Officer Name
3/3/2022 03:46 PM	MCSO55ARR71675S	MCSO22OFF004336 / 2203030483	VASQUEZ, CHRISTOPHER

Originating Agency ORI	Occur Date Time Range	OBTS	Clearance
FL0420000	03/01/2022 04:00:00 -		

Charge Degree	Charge Level	General Offense Code
S	FELONY	AGGRAV BATTERY

Charge Description

OFFENDR KNEW/SHOULD HAVE KNOWN VICT PREGNANT

Bond Set by Charges

Bond Amount	
	☑ No Bond

Bond Type(s)

Probable Cause

Before Me, the undersigned authority personally appeared C.VASQUEZ ID# 6370

who being duly sworn, alleges, on information and belief, that on the 3RD_day of MARCH 2022

in Marion County, Florida the defendant did:

Commit the offense of AGGRAVATED BATTERY ON A PREGNANT PERSON_ to wit:

ON 3/3/2022 WHILE ASSIGNED AS THE TELEPHONE RESOURCE OFFICER(TRO) I MADE CONTACT WITH VICTIM [redacted] AT THE OPERATIONS CENTER IN REFERENCE TO A DOMESTIC BATTERY.

THE VICTIM ADVISED ON 3/1/2022 AT APPROXIMATELY 0400 HOURS SHE WAS IN BED WITH HER BOYFRIEND/DEFENDANT EDGAR DIAZ WHEN HE THEN GOT ON TOP OF HER AND STARTED TO HIT HER MULTIPLE TIMES. THE VICTIM ADVISED THE INCIDENT OCCURRED AT [redacted] IN MARION COUNTY FLORIDA. THE VICTIM ADVISED THAT THE DEFENDANT WOKE HER UP AT 0400 HOURS IN THE MORNING AND GOT INTO AN ARGUMENT WITH HER ABOUT WHO THE FATHER WAS OF THE CHILD SHE WAS PREGNANT WITH. THE VICTIM ADVISED THE DEFENDANT DID NOT BELIEVE THAT HE WAS THE FATHER AND WANTED TO GET INTO THE VICTIMS PHONE.

THE VICTIM ADVISED THAT SHE DID NOT LET HIM GET INTO HER PHONE AND ADVISED THAT IS WHEN THE DEFENDANT WOULD START TO HIT HER WITH CLOSED FIST IN HER FACE WHILE HE WAS ON TOP OF HER. THE VICTIM ADVISED THE DEFENDANT HIT HER APPROXIMATELY 12 TIMES. THE VICTIM ADVISED THAT SHE THEN LET HIM INTO THE PHONE, AND HE STARTED TO LOOK INTO IT. THE VICTIM ADVISED THAT HE THEN FOUND OUT STUFF THAT HAPPENED WHILE THEY WERE NOT TOGETHER, AND HE GOT MAD AND STARTED TO PUNCH HER AGAIN ALL OVER HER BODY. THE VICTIM THEN STATED THE DEFENDANT THEN POURED WATER ON HER AND PROCEEDED TO PUSH HER OFF THE BED AND AGAIN STARTED TO HIT HER WHILE SHE WAS ON THE FLOOR.

EXHIBIT #2
PAGE 2-36

MCCC - CASE INTAKE
MAR 4 '22 AM 7:02

ORIGINAL

Report Date / Time	Report Number	Case Number/Cad Number	Reporting Officer Name
3/3/2022 03:46 PM	MCSO55ARR71675S	MCSO22OFF004336 / 2203030483	VASQUEZ, CHRISTOPHER

Originating Agency ORI	Occur Date Time Range	OBTS	Clearance
FL0420000	03/01/2022 04:00:00 -		

THE VICTIM ADVISED THE REASON WHY SHE COULD NOT CONTACT LAW ENFORCEMENT SOONER WAS BECAUSE THE DEFENDANT KEPT THE PHONE AND WOULD NOT GIVE IT TO HER, THE VICTIM ADVISED YESTERDAY ON 3/2/2022 SHE DROPPED OFF THE DEFENDANT AT WORK AND THAT IS WHEN SHE LEFT THE RESIDENCE. THE VICTIM ADVISED THAT SHE WAS 16 WEEKS PREGNANT AND ALSO ADVISED THAT THE DEFENDANT WAS THE FATHER OF THE CHILD.

I OBSERVED THE VICTIM AND SAW THAT SHE DID HAVE MULTIPLE BRUISES ALL OVER HER BODY. THE VICTIM DID HAVE BRUISING ON THE LEFT SIDE OF HER TEMPLE OVER HER LEFT EYE AND ALSO HAD SWELLING AND BRUISING OVER HER RIGHT EYE AS WELL. THE VICTIM ALSO HAD BRUISING ON THE TOP PORTION OF HER RIGHT EAR. THE VICTIM ALSO HAD BRUISING ON HER RIGHT ARM BY THE TRICEPS AREA AS WELL AS THE TOP OF HER FOREARM. ON THE VICTIMS LEFT HAND SHE HAD BRUISING IN BETWEEN HER INDEX FINGER AND HER THUMB.

DEPUTY J.LANE ID# 6302 MADE CONTACT WITH THE DEFENDANT AT THE INCIDENT LOCATION. THE DEFENDANT WAS READ HIS MIRANDA RIGHTS AND ADVISED THAT HE DID WANT TO SPEAK WITH LAW ENFORCEMENT. THE DEFENDANT DID ADVISED THAT HE DID ASK THE VICTIM FOR HER PHONE AND ADVISED THAT HE ASKED HER ABOUT THE CHILD'S FATHER. THE DEFENDANT ADVISED THE VICTIM DID NOT WANT TO TALK AND ADVISED THAT HE WAS NOT ON TOP OF HER AND ADVISED THAT HE WAS SITTING ON A CHAIR NEXT TO HER. THE DEFENDANT ADVISED THE VICTIM WAS STARTING TO YELL AND THEN JUST KICKED HIM ON HIS MOUTH. THE DEFENDANT FIRST STATED THAT HE FIRST GRABBED THE VICTIM BY HER ARMS AND THAT IS WHEN SHE KICKED HIM. BUT THEN STATED SHE KICKED HIM BEFORE THE INCIDENT STARTED. THE DEFENDANT ADVISED THAT THE VICTIM THEN MIGHT HAVE FELL OFF THE BED BUT COULD NOT REMEMBER.

DUE TO THE STATEMENTS MADE AND EVIDENCE PRESENT THE DEFENDANT WAS PLACED UNDER ARREST AND TRANSPORTED TO THE MARION COUNTY JAIL WITHOUT INCIDENT.

THE VICTIM ADVISED THAT SHE DID NOT NEED ANY MEDICAL ATTENTION AND ADVISED THAT SHE WOULD NOT BE STAYING AT THE INCIDENT LOCATION.

Officer Name / Rank / ID #	Involvement On Report / Reporting Role	Officer Agency / Org/Unit
DICE, E SERGEANT 2682	APPROVING SUPERVISOR	MARION COUNTY SHERIFF'S OFFICE LAW ENFORCEMENT
VASQUEZ, CHRISTOPHER DEPUTY I 6370	REPORTING OFFICER	MARION COUNTY SHERIFF'S OFFICE LAW ENFORCEMENT

EXHIBIT #2
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ORIGINAL

Report Date / Time	Report Number	Case Number/Cad Number	Reporting Officer Name
3/3/2022 03:46 PM	MCSO55ARR71675S	MCSO22OFF004336 / 2203030483	VASQUEZ, CHRISTOPHER

Originating Agency ORI	Occur Date Time Range	OBTS	Clearance
FL0420000	03/01/2022 04:00:00 -		

The undersigned certifies and swears that he/she has just and reasonable grounds to believe that the above named Defendant, committed violation(s), of law, on the below date(s) and time(s), as listed in the probable cause associated with this report:

Reporting Officer

Officer Name	Office Rank	Officer ID No
VASQUEZ, CHRISTOPHER	DEPUTY I	6370

Officer Agency

MARION COUNTY SHERIFF'S OFFICE

Officer Signature

Sworn and subscribed before me, the undersigned authority

This the 3 day of March 2022

DEPUTY OF THE COURT, NOTARY OR LAW ENFORCEMENT

Lac Jaded 6302

COUNTY / CIRCUIT JUDGE

MARION COUNTY SHERIFF'S OFFICE

CASE NUMBER: MCSO 22 OFF 004336

DEFENDANT'S NAME: EDGAR DAIZ **DOB:** [redacted]

DEFENDANT'S ADDRESS: 8882 SE 64TH AVE

SHIELD VICTIM CONTACT/EXCLUSION ZONE FORM

The Marion County Sheriff's Office has established the SHIELD (Surviving Harmful Interactions through Electronic Location Devices) Program in order to provide an alternative method to deter domestic violence offenders from violating pre-trial release conditions, thus granting victims another tool for protection. This program allows low risk, court ordered, pre-trial defendants to be mapped and tracked with a GPS ankle monitor. The defendant can only be placed into the SHIELD program by a court order issued by a judge: Therefore, the defendant in your case may not be selected for participation in the program. By providing the below information, the Judge can set exclusion zones where the defendant cannot go while on the SHIELD Program.

VICTIM'S NAME: [redacted] **DOB:** [redacted]

VICTIM'S ADDRESS: _____

VICTIM'S PHONE: MOBILE [redacted] **HOME** _____ **WORK** _____

VICTIM'S EMPLOYER: _____

VICTIM'S SCHOOL: _____

VICTIM'S CHILDREN: _____

CHILDREN'S SCHOOL/DAYCARE: _____

_____

_____

OTHER LOCATIONS VICTIM(S) FREQUENT: _____

_____

VICTIM'S SIGNATURE: _____ **DATE:** 03/03/2022

ATTACH THIS FORM TO THE PROBABLE CAUSE AFFIDAVIT

MCCC - CASE INTAKE
MAR 4 '22 AM 7:02

CASE NUMBER: 22004336

NAME OF INMATE: Diaz, Edgar OBTS: 4203199873

Indication of: Alcohol: ☐ Drug: ☐	Weapon Seized: ☐	Juvenile Disposition:	
Jail Log: (To be completed by booking officer)		Jail Inmate #:	
Date Booked: 3/3/22	Time Booked: 1725	Booking Officer: 6464	Fingerprinted By: 3012
Photographed By: 3012	Bin Number:	Advised of Rights By:	
Check for Warrants: ☑ NCIC ☑ PCIC ☑ Local	Holds: ☐ Yes ☑ No	Agency of Hold:	
Attorney (if known):	Religion: Christian	Maritial Status: ☑ S ☐ M ☐ D ☐ Sep	
Telephone call logged: Time AM PM # ( ) Allowed			
Next Of Kin: [redacted]	Relation: Roommate	Relation Phone:	
Relation Address:			
Bond Date:	Returnable Court Date:	Returnable Court Time:	Release Date:
Release Time: AM/PM	Releasing Officer:	Bond, Charge A:	Charge B:
Charge C:	Charge D:	Charge E:	Bond Type: ☐ ROR ☐ SURETY ☐ CASH
Name Address of Bondsman:			☐ BAIL BOND ☐ CERT ☐ Other

EXHIBIT #2
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MCCC - CASE INTAKE
MAR 4 '22 AM7:02

ORIGINAL

IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
OF THE STATE OF FLORIDA, IN AND FOR MARION COUNTY

STATE OF FLORIDA CASE NO 2022-CF-000845-A

vs.

EDGAR DAVID DIAZ (A) H/M, [redacted]

MCCC - CASE INTAKE
MAR 31 '22 AM9:57

INFORMATION

IN THE NAME AND BY THE AUTHORITY OF THE STATE OF FLORIDA:

WILLIAM M GLADSON, State Attorney for the Fifth Judicial Circuit of the State of Florida, in and for Marion County prosecuting for the State of Florida, by and through the undersigned Assistant State Attorney, in the said County, under oath, information makes that, in the County of Marion, and the State of Florida:

COUNT I: AGGRAVATED BATTERY OF PREGNANT FEMALE PERSON (F2)
784.045(1)(b) and 784.045(2)

EDGAR DAVID DIAZ on or about March 1, 2022, did actually and intentionally touch or strike [redacted] a human female person, who was pregnant at the time, against the will of said person, or by intentionally causing bodily harm to her, and, at the time of the commission of said battery, EDGAR DAVID DIAZ knew or should have known that [redacted] was pregnant, in violation of Florida Statutes 784.045(1)(b) and 784.045(2)

STATE OF FLORIDA, COUNTY OF MARION

Personally appeared before me, WILLIAM M GLADSON, State Attorney for the Fifth Judicial Circuit, State of Florida, in and for Marion County, or his duly designated Assistant State Attorney, who first being sworn, says that the allegations as set forth in the foregoing information are based upon facts that have been sworn to as true, and which if true, would constitute the offense therein charged. Prosecution instituted in good faith and subscribed under oath, certifying he or she has received testimony under oath from the material witness or witnesses of the offense.

Cynthia Harper

CYNTHIA HARPER
Assistant to William M Gladson State Attorney,
Fifth Judicial Circuit of Florida
Florida Bar No. 98139

EXHIBIT #2
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M-2022-08713-A

Sworn to and subscribed before me this 30th day of March, 2022.

Affiant Personally Known to Notary Public

ALAXZANDREA C. OWER
Commission # HH 223586
Expires February 2, 2026

FCIC REFERENCE NUMBERS:
1) AGGRAVATED BATTERY OF PREGNANT FEMALE PERSON [redacted]

EXHIBIT #2
PAGE 8-36

STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA

STATE OF FLORIDA,
-vs-
EDGAR DAVID DIAZ
Defendant.

UCN: 422022CF000845CFAXXX
Case Number: 22CF000845AX
OBTS#:
4203199873
4203201132

Judgment

☐ PROBATION VIOLATOR/COMMUNITY CONTROL VIOLATOR
☐ RESENTENCE ☐ RETRIAL

The defendant EDGAR DAVID DIAZ being personally before the court represented by Luz Maria Root, the attorney of record and the state represented by CINDY HARPER and having entered a plea of Nolo-Contendere to the following crime(s):

CNT #	CHARGE		LVL DGR
1	784.045.1B	AGGRAVATED BATTERY UPON PREGNANT VICTIM	Felony Second Degree

☐ The PROBATION/COMMUNITY CONTROL previously ordered in this case is revoked.
☐ The PRIOR ADJUDICATION OF GUILT IN THIS CASE IS CONFIRMED and no cause having been shown why the defendant should not be adjudicated guilty.

It is ordered that Adjudication of Guilt be Withheld.
and having entered a plea of Nolo-Contendere, regardless of adjudication, to attempts or offenses relating to aggravated battery, the defendant shall be required to submit blood specimens or other biological specimens approved by FDLE.

STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA

STATE OF FLORIDA,
-vs-
EDGAR DAVID DIAZ
Defendant.

UCN: 422022CF000845CFAXXX
Case Number: 22CF000845AX

Sentence

(As To Count 1)

The defendant, being personally before this court, accompanied by the defendants' attorney of record, Luz Maria Root and having been ADJ WITHHELD BY JUDGE herein, and the court having given the defendant an opportunity to be heard and to offer matters in mitigation of sentence, and to show cause why the defendant should not be sentenced as provided by law, and no cause being shown,

(Check applicable provision)

☐ and the court having on 07/12/2022 deferred imposition of sentence until this date 07/12/2022

☐ and the court having previously entered a judgment in this case on _____ now resentences the defendant

☐ and the court having placed the defendant on probation/community control and having subsequently revoked the defendant's probation/community control

IT IS SENTENCE OF THE COURT that:

The Defendant is hereby committed to the custody of the Sheriff of MARION County Florida.

☐ The defendant pay a fine pursuant to section 775.083, Florida Statutes, plus a 5% surcharge pursuant to section 938.04 Florida Statutes.

☐ The defendant is sentenced as a youthful offender in accordance with section 958.04, Florida Statutes.

TO BE IMPRISONED:

For a term of Minimum 0.000 year(s) 0.000 month(s) 0.000 day(s) Maximum 120.000 day(s)

In the event the defendant is ordered to serve additional split sentences, all incarcerations portions shall be satisfied before the defendant begins service to the supervision terms.

COMMUNITY CONTROL/PROBATION:

Community Control
For a term of 0.000 year(s) 0.000 month(s) 0.000 day(s)
Probation
For a term of 36.000 month(s)

STATE OF FLORIDA

-VS-

EDGAR DAVID DIAZ
Defendant

IN THE FIFTH JUDICIAL CIRCUIT COURT, IN AND FOR MARION COUNTY

CASE NUMBER **22CF000845AX**

DC NUMBER **F21591/CSEN/056**

Local Jurisdiction Identification Number: _____

ORDER OF PROBATION

MCCC - CRIMINAL
JUL 14 '22 PM2:20

This cause coming before the Court to be heard, and you, the defendant, being now present before the court, and you having

☐ entered a plea of guilty to
☒ **entered a plea of nolo contendere to**
☐ been found guilty by jury verdict of
☐ been found guilty by the court trying the case without a jury of

Count 1 AGGRAVATED BATTERY UPON PREGNANT VICTIM

SECTION 1: JUDGMENT OF GUILT

☐ The court hereby adjudges you to be guilty of the above offense(s).

Now, therefore, it is ordered and adjudged that the imposition of sentence is hereby withheld and that you be placed on Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.

SECTION 2: ORDER WITHHOLDING ADJUDICATION

☒ **Now, therefore, it is ordered and adjudged that the adjudication of guilt is hereby withheld and that you be placed on Probation for a period of SEE SECTION 3 under the supervision of the Department of Corrections, subject to Florida law.**

SECTION 3: INCARCERATION DURING PORTION OF SUPERVISION SENTENCE

It is hereby ordered and adjudged that you be:

☐ committed to the Department of Corrections
for a term of _____ prison with credit for _____ jail time, followed by Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.
or

☒ **confined in the County Jail**
for a term of 120 DAYS with credit for 110 DAYS jail time. After you have served BALANCE of the term, you shall be placed on Probation for a period of 36 MONTHS under the supervision of the Department of Corrections, subject to Florida law.
or

☐ confined in the County Jail
for a term of _____ with credit for _____ jail time, as a special condition of supervision.

EXHIBIT #2
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IT IS FURTHER ORDERED that you shall comply with the following standard conditions of supervision as provided by Florida law:

(1) You will report to the probation officer as directed.

(2) You will pay the State of Florida the amount of **$50.00** per month, as well as 4% surcharge, toward the cost of your supervision in accordance with s. 948.09, F.S., unless otherwise exempted in compliance with Florida Statutes.

(3) You will remain in a specified place. You will not change your residence or employment or leave the county of your residence without first procuring the consent of your officer.

(4) You will not possess, carry or own any firearm. You will not possess, carry, or own any weapon without first procuring the consent of your officer.

(5) You will live without violating any law. A conviction in a court of law is not necessary for such a violation of law to constitute a violation of your probation, community control, or any other form of court ordered supervision.

(6) You will not associate with any person engaged in any criminal activity.

(7) You will not use intoxicants to excess or possess any drugs or narcotics unless prescribed by a physician, an advanced practice registered nurse, or a physician assistant. Nor will you visit places where intoxicants, drugs or other dangerous substances are unlawfully sold, dispensed or used.

(8) You will work diligently at a lawful occupation, advise your employer of your probation status, and support any dependents to the best of your ability, as directed by your officer.

(9) You will promptly and truthfully answer all inquiries directed to you by the court or the officer, and allow your officer to visit in your home, at your employment site or elsewhere, and you will comply with all instructions your officer may give you.

(10) You will pay restitution, court costs, and/or fees in accordance with special conditions imposed or in accordance with the attached orders.

(11) You will submit to random testing as directed by your officer or the professional staff of the treatment center where you are receiving treatment to determine the presence or use of alcohol or controlled substances.

(12) You will submit a DNA sample, as directed by your officer, for DNA analysis as prescribed in ss. 943.325 and 948.014, F.S.

(13) You will submit to the taking of a digitized photograph by the department. This photograph may be displayed on the department's website while you are on supervision, unless exempt from disclosure due to requirements of s. 119.07, F.S.

(1) You will report in person within 72 hours of your release from incarceration to the probation office in **MARION** County, Florida, unless otherwise instructed by the court or department. (This condition applies only if section 3 on the previous page is checked.) Otherwise, you must report immediately to the probation office located at 5640 SW 6TH PLACE, SUITE 100, OCALA, FL 34474, (352)732-1324.

SPECIAL CONDITIONS

☒ **2. You will make restitution to the following victim(s), as directed by the court, until the obligation is paid in full:**
NAME: _____
TOTAL AMOUNT: $____
Additional instructions ordered, including specific monthly amount, begin date, due date, or joint & several: ____

☒ **6. You will submit to urinalysis testing on a RANDOM basis to determine the presence of alcohol or illegal drugs. You will be required to pay for the tests unless exempt by the court.**

☒ **8. You will successfully complete 80 hours of community service at a rate of _____, at a work site approved by your officer.**
Additional instructions ordered: WITHIN THE FIRST 32 MONTHS OF SUPERVISION.

☒ **13. You will have no contact (direct or indirect) with VICTIM during the period of supervision.**

SPECIAL CONDITIONS – CONTINUED

☒ **18. You must successfully complete Batterer's Intervention Program, and be responsible for the payment of any costs incurred while receiving said treatment, unless waived. If convicted of a Domestic Violence offense, as defined in s. 741.28, F.S., you must attend and successfully complete a batterer's intervention program, unless otherwise directed by the court.**
Additional instructions ordered: COMPLETE WITHIN THE FIRST 32 MONTHS OF SUPERVISION.

☒ **23. Pay $1 per month during the term of probation or community control to supplement rehabilitative efforts through First Step Funds, pursuant to s. 948.039(2), F.S.**

☒ **27. Other: DEFENDANT IS TO REPORT TO PROBATION AND THE COLLECTIONS DEPARTMENT.**

☒ **28. Other: DEFENDANT IS TO REPORT TO PROBATION AND THE COLLECTIONS DEPARTMENT.**

Effective for offenders whose crime was committed on or after September 1, 2005, there is hereby imposed, in additional to any other provision in this section, mandatory electronic monitoring as a condition of supervision for those who:

- Are placed on supervision for a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older; or
- Are designated as a sexual predator pursuant to s. 775.21; or
- Has previously been convicted of a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older.

You are hereby placed on notice that should you violate your probation or community control, and the conditions set forth in s. 948.063(1) or (2) are satisfied, whether your probation or community control is revoked or not revoked, you shall be placed on electronic monitoring in accordance with F.S. 948.063.

Effective for offenders who are subject to supervision for a crime that was committed on or after May 26, 2010, and who has been convicted at any time of committing, or attempting, soliciting, or conspiring to commit, any of the criminal offenses listed in s. 943.0435(1)(h)1.a.(I), or a similar offense in another jurisdiction, against a victim who was under the age of 18 at the time of the offense; the following conditions are imposed in addition to all other conditions:

(a) A prohibition on visiting schools, child care facilities, parks, and playgrounds, without prior approval from the offender's supervising officer. The court may also designate additional locations to protect a victim. The prohibition ordered under this paragraph does not prohibit the offender from visiting a school, child care facility, park, or playground for the sole purpose of attending a religious service as defined in s. 775.0861 or picking up or dropping off the offender's children or grandchildren at a child care facility or school.

(b) A prohibition on distributing candy or other items to children on Halloween; wearing a Santa Claus costume, or other costume to appeal to children, on or preceding Christmas; wearing an Easter Bunny costume, or other costume to appeal to children, on or preceding Easter; entertaining at children's parties; or wearing a clown costume; without prior approval from the court.

Effective for offenders whose crime was committed on or after October 1, 2014, and who is placed on probation or community control for a violation of chapter 794, s. 800.04, s. 827.071, s. 847.0135(5), or s. 847.0145, in addition to all other conditions imposed, is prohibited from viewing, accessing, owning, or possessing any obscene, pornographic, or sexually stimulating visual or auditory material unless otherwise indicated in the treatment plan provided by a qualified practitioner in the sexual offender treatment program. Visual or auditory material includes, but is not limited to, telephone, electronic media, computer programs, and computer services.

YOU ARE HEREBY PLACED ON NOTICE that the court may at any time rescind or modify any of the conditions of your probation, or may extend the period of probation as authorized by law, or may discharge you from further supervision. If you violate any of the conditions of your probation, you may be arrested and the court may revoke your probation, adjudicate you guilty if adjudication of guilt was withheld, and impose any sentence that it might have imposed before placing you on probation or require you to serve the balance of the sentence.

IT IS FURTHER ORDERED that when you have been instructed as to the conditions of probation, you shall be released from custody if you are in custody, and if you are at liberty on bond, the sureties thereon shall stand discharged from liability. (This paragraph applies only if section 1 or section 2 is checked.)

IT IS FURTHER ORDERED that you pay:
Court Costs, Fees, and Fines, as imposed at sentencing, in the total amount of: $ 1,190.50

Payments processed through the Department of Corrections will be assessed a 4% surcharge pursuant to s. 945.31, F.S.
Pursuant to s. 948.09, F.S., you will be assessed an amount of $2.00 per month for each month of supervision for the Training Trust Fund Surcharge.

☐ Court Costs/Fines Waived
☐ Court Costs/Fines in the amount of _____ converted to _____ community service hours
☐ Court Costs/Fines in the amount of _____ reduced to civil judgment.

SPECIFIC INSTRUCTIONS FOR PAYMENT: FINES/COURT COST TO BE PAID TO THE MARION COUNTY CLERK'S OFFICE

IT IS FURTHER ORDERED that the clerk of this court file this order in the clerk's office and provide certified copies of same to the officer for use in compliance with the requirements of law.

DONE AND ORDERED, on 14 July 2022

NUNC PRO TUNC 07/12/2022

PETER M BRIGHAM, Circuit Judge

I acknowledge receipt of a copy of this order and that the conditions have been explained to me and I agree to abide by them.

Date: _____ _____

_____
Defendant

Instructed by: _____ _____ _____
Supervising Officer

EXHIBIT #2
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IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT,
OF THE STATE OF FLORIDA, IN AND FOR MARION COUNTY

STATE OF FLORIDA CASE NO. 2022-CF-000845-A

VS

EDGAR DAVID DIAZ
Defendant

RESTITUTION ORDER

Defendant is responsible for the full amount unless otherwise specified herein.
Restitution is ordered as follows:
$2,000.00

To: Victim (An alternate name or agency may be provided)

[redacted]

MCCC - CRIMINAL
JUL 14 '22 PM2:20

_____ Restitution must be paid to the Crimes Compensation Trust Fund in the amount awarded to victim.
_X____ Restitution is to be paid through the Probation Supervisor, to the victim or the victim's designee with an additional 4% fee to the Probation Supervisor for handling, processing and forwarding said restitution to the victim(s).
_____ Restitution to be paid through the Clerk of the Court, to the victim or the victim's designee with a $3.50 per payment to the Clerk of the Court for handling, processing and forwarding said restitution to the victim(s).
_____ Restitution is not ordered.
_____ Restitution is reduced to judgment lien.

This order of restitution may be recorded by the victim or aggrieved party and enforced in the same manner as a civil judgment pursuant to F.S. 55.03 and 55.10.

DONE AND ORDERED at MARION County, Florida this 14 day of July, 2022

[redacted]

PETER MATTHEW BRIGHAM Judge
For which let execution issue

EXHIBIT #2
PAGE 16-36

Corrections Offender Network

Supervised Population Information Detail

(This information was current as of 8/14/2022)

DC Number:	F21591
Name:	DIAZ, EDGAR DAVID
Race:	WHITE
Sex:	MALE
Birth Date:	[redacted]
Supervision Begin Date:	07/18/2022
Current Location:	TAVARES (http://prod.fdc-wpws001.fdc.myflorida.com/c
Current Status:	ACTIVE
Supervision Type:	PROBATION FELONY
Scheduled Termination Date:	07/17/2025

VINELink CLICK HERE for Custody Status Updates

(https://www.vinelink.com/vinelink/servlet/SubjectSearch?siteID=10000&agency=900&offenderID=F21591)

Current Verified PERMANENT Address:

901 NW 22ND ST
OCALA, FL 34475

Aliases:

EDGAR DAVID DIAZ

Note: The offense descriptions are truncated and do not necessarily reflect the crime for which the offender is on supervision. Please refer to the court documents or the Florida Statutes for further information or definition.

Current Community Supervision History:

Offense Date	Offense	Sentence Date	County	Case No.	Community Supervision Length
03/03/2022	AGGRAV.BATTERY,VICTIM PREGNANT	07/12/2022	MARION	2200845	3Y 0M 0D

First Previous Next Last Return to List New Search Record: 1 of 1

The Florida Department of Corrections updates this information regularly, to ensure that it is complete and accurate, however this information can change quickly. Therefore, the information on this site may not reflect the true current location, status, scheduled termination date, or other information regarding an offender.

This database contains public record information on felony offenders sentenced to the Department of Corrections. This information includes offenders sentenced or released to state supervision or offenders received for supervision from another sate as the result of an Interstate Compact transfer. Information contained herein includes current supervision offenses. Offense types include related crimes such as attempts, conspiracies and solicitations to commit crimes. Information on offenders sentenced to county jail, county probation, or any other form of supervision is not contained. The information is derived from court records provided to the Department of Corrections and is made available as a public service to interested citizens. The Department of Corrections makes no guarantee as to the accuracy or completeness of the information contained herein. Any person who believes information provided is not accurate may contact the Department of Corrections.

For questions and comments, you may contact the Department of Corrections, Bureau of Probation and Parole Field Services, at TAVARES Circuit Office (http://prod.fdc-wpws001.fdc.myflorida.com/cc/05.html). This information is made available to the public and law enforcement in the interest of public safety.

Search Criteria: (/OffenderSearch/search.aspx?TypeSearch=AO) Last Name: diaz First Name: edgar Search Aliases: YES Offense Category: Supervision Type: ALL Supervision Status: ALL County of Supervision: ALL Current Location: ALL

Current Status Definitions: **Active** - offender is being actively supervised by the probation officer in the community. **Active Suspense** - offender is temporarily unavailable for direct supervision during the supervision term, due to being in custody in jail or another facility, but is still being monitored by a probation officer for release, arrest, etc. **Absconder** - offender absconds from supervision (his/her whereabouts are unknown and the offender is not available for supervision) and warrant is issued for violation.

Return to Corrections Offender Information Network (../OffenderSearch/InmateInfoMenu.aspx)

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Client: Edgar D. Diaz
DOB: [redacted]
Provider: Tanisha Mori

Program Status Notification Form

Participant:

- Successfully Completed

Program(s):

- Batterers Intervention
6/30/23

Provider

Jessica Conroy

Signed by Jessica Conroy
PhD, LMHC, MCAP
June 30, 2023 at 10:21 am
IP address: 216.53.205.34

Contact

Client

Edgar Diaz

Signed by Edgar Diaz
Client
July 18, 2023 at 12:15 pm
IP address: 162.236.224.163

Contact

RECEIVED
2024 MAY 28 PM 3:51
FLORIDA GAMING
CONTROL COMMISSION

Created on Jun 30, 2023 at 10:21 am. Last updated on Jun 30, 2023 at 10:21 am.
Locked and Signed by Jessica Conroy on Jun 30, 2023 at 10:21 am.

IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT IN AND FOR MARION COUNTY, FLORIDA

STATE OF FLORIDA,
Plaintiff,

CASE NO.: 2022-CF-000845

vs.

EDGAR DAVID DIAZ,
Defendant.
_____/

ORDER ON UNOPPOSED MOTION FOR EARLY TERMINATION OF PROBATION

THIS COURT having reviewed the Defendant's Unopposed Motion for Early Termination of Probation, the court file and being otherwise informed in the premises, it is hereby

ORDERED and ADJUDGED that the Unopposed Motion for Early Termination of Probation, and the same is hereby

PAK GRANTED. *The Defendant's Supervised Probation is hereby terminated.*

____ DENIED.

DONE AND ORDERED in Chambers at the Marion County Courthouse in Ocala, Florida, this the 19 day of December, 2024.

HONORABLE PETER M. BRIGHAM
CRIMINAL JUSTICE DIVISION

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a true and correct copy of the foregoing has been furnished by hand/mail/e-mail/interoffice delivery to the State Attorney and to Luz Root, at lroot@smithandeulo.com this the 19 day of December, 2024.

JUDICIAL ASSISTANT

Filing # 213259513 E-Filed 12/20/2024 08:42:00 AM

FLORIDA DEPARTMENT OF CORRECTIONS

GOVERNOR
RON DESANTIS

SECRETARY
RICKY DIXON

Date: 12/19/2024

RE: TERMINATION OF SUPERVISION
DC# F21591
DOCKET/UC NO(S) 422022CF000845AXXXXX
COUNTY Marion
SCHEDULED TERM 12/19/2024

Edgar Diaz
2351 North Williamson Boulevard/Bldg 12/Room104
Daytona Beach, Florida, 32117

Dear Mr. Diaz,

You are hereby notified that you completed your term(s) of supervision on 12/19/2024, as referenced above, and are no longer under the supervision of the Department of Corrections.

During your term of supervision, 0 days of earned incentives were applied, as authorized in Florida Statute 948.05(2)(c) and/or (d).

Pursuant to Florida Statute 98.0751, if you were convicted of a felony offense, other than murder or a sex offense, you may be eligible to have your voting rights restored immediately upon the completion of all terms of your sentence, including, but not limited to any unpaid restitution, court costs, fees or fines.

Individuals who do not qualify for automatic restoration of voting rights under Florida Statute 98.0751, will not be qualified to vote until their civil rights are restored as outlined in Article IV, Section 8 of the Florida Constitution.

For additional information related to the restoration of voting rights process under Florida Statute 98.0751, contact the Supervisor of Elections in your county of release.

If you were adjudicated guilty and on supervision for a felony offense, please review the rules of Executive Clemency for information regarding the restoration of civil rights process for the following types of clemency: **RESTORATION OF CIVIL RIGHTS, PARDONS, PARDONS WITHOUT FIREARM AUTHORITY, FIREARM AUTHORITY, REMISSION OF FINES AND FORFEITURES.** This information and the application can be accessed on the following website: https://www.fcor.state.fl.us/clemencyOverview.shtml or call (850) 488-2952 or 1-800-435-8286.

Sincerely,
Isaiah Triumph

501 SOUTH CALHOUN STREET
TALLAHASSEE, FLORIDA 32399-2500
FDC.MYFLORIDA.COM

EXHIBT #2
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Original: Offender
Copy: Offender File
Clerk of Court (if required)
FCOR (if required)

Revised 8/22

Electronically Filed Marion Case # 22CF000845AX 12/20/2024 08:42:00 AM

Right Side – Offender File

MISDEMEANOR ARREST

IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA

[redacted]
Petitioner,

Case Number: 22DR000769AX

vs.

EDGAR DAVID DIAZ.
Respondent

FINAL JUDGMENT OF INJUNCTION FOR PROTECTION AGAINST DATING VIOLENCE (AFTER NOTICE)

The Petition for Injunction for Protection Against Dating Violence under Section 784.046, Florida Statutes, and other papers filed in this Court have been reviewed. The Court has jurisdiction of the parties and the subject matter. The term Petitioner as used in this injunction includes the person on whose behalf this injunction is entered.

It is intended that this protection order meet the requirements of 18 U.S.C. Section 2265 and therefore intended that it be accorded full faith and credit by the court of another state or Indian tribe and enforced as if it were the order of the enforcing state or of the Indian tribe.

RECD '22 APR 5 12:44
SHERIFF-MARION CO, FL

SECTION I. HEARING

This cause came before the Court for a hearing to determine whether an Injunction for Protection Against Dating Violence in this case should be (✓) issued ( ) modified ( ) extended.

The hearing was attended by (✓) Petitioner (✓) Respondent
(✓) Petitioner's Counsel (✓) Respondent's Counsel

SECTION II. FINDINGS

On March 25, 2022, a notice of this hearing was served on Respondent together with a copy of Petitioner's petition to this Court and the temporary injunction, if issued. Service was within the time required by Florida law, and Respondent was afforded an opportunity to be heard.

After hearing the testimony of each party present and of any witnesses, or upon consent of Respondent, the Court finds, based on the specific facts of this case, that Petitioner is a victim of dating violence and/or Petitioner has reasonable cause to believe he or she is in imminent danger of becoming a victim of an act of dating violence by Respondent, and that an immediate and present danger of dating violence exists to Petitioner or to a member of Petitioner's immediate family.

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GREGORY C HARRELL CLERK & COMPTROLLER MARION CO
CFN# 2022049245 BK 7745 Pgs 1417-1421 04/05/2022 04:40:05 PM
REC FEE INDEX

SECTION III. INJUNCTION AND TERMS

This injunction shall be in full force and effect until (✓) further order of the Court or ( ) *{date}* _____. This injunction is valid and enforceable throughout all counties in the State of Florida. The terms of this injunction may not be changed by either party alone or by both parties together. Only the Court may modify the terms of this injunction. Either party may ask the Court to change or end this injunction

Willful violation of the terms of this injunction, such as refusing to vacate the dwelling which the parties share, going to Petitioner's residence, place of employment, school, or other place prohibited in this injunction, telephoning, contacting or communicating with Petitioner, if prohibited by this injunction, or committing an act of dating violence against Petitioner constitutes a misdemeanor of the first degree punishable by up to one year in jail, as provided by Sections 775.082 and 775.083, Florida Statutes.

Any party violating this injunction shall be subject to civil or indirect criminal contempt proceedings, including the imposition of a fine or imprisonment, and also may be charged with a crime punishable by a fine, jail, or both, as provided by Florida Statutes.

ORDERED and ADJUDGED:

1. **Violence Prohibited.** Respondent shall not commit, or cause any other person to commit, any acts of violence against Petitioner, including assault, aggravated assault, battery, aggravated battery, sexual assault, sexual battery, stalking, aggravated stalking, kidnapping, or false imprisonment, or any criminal offense resulting in physical injury or death. Respondent shall not commit any other violation of the injunction through an intentional unlawful threat, word or act to do violence to the Petitioner.

2. **No Contact. Respondent shall have no contact with Petitioner unless otherwise provided in this section.**
   a. Unless otherwise provided herein, Respondent shall have no contact with Petitioner. Respondent shall not directly or indirectly contact Petitioner in person, by mail, e-mail, fax, telephone, through another person, or in any other manner. Further, Respondent shall not contact or have any third party contact anyone connected with Petitioner's employment or school to inquire about Petitioner or to send any messages to Petitioner. Unless otherwise provided herein, **Respondent shall not go to, in, or within 500 feet of:** Petitioner's current residence *{list address}* [redacted]
   or any residence to which Petitioner may move; Petitioner's current or any subsequent place of employment *{list address of current employment}_OXFORD DOWNS-17996 US 301, SUMMERFIELD, FL 34491*
   _____
   or place where Petitioner attends school *{list address of school}*_____
   _____;
   or the following other places (if requested by Petitioner) where Petitioner or

EXHIBT #2
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GREGORY C HARRELL MARION COUNTY FL
CFN# 2022049245 OR BK 7745 PG 1418 Pgs 1417-1421 04/05/2022 04:40:05 PM

Petitioner's minor child(ren) go often:
[redacted]

[Initial if applies; write N/A **if not** applicable]

b. V Respondent may not knowingly come within 100 feet of Petitioner's automobile at any time.

c. ___Other provisions regarding contact:_____

3. **Firearms.**

[Initial **all** that apply; write N/A **if does not** apply]

a. V Respondent shall not use or possess a firearm or ammunition.

b. V Respondent shall surrender any firearms and ammunition in the Respondent's possession to the Marion County Sheriff's Department.

c. ___Other directives relating to firearms and ammunition:_____

4. **Mailing Address or Designated E-Mail Address(es).** Respondent shall notify the Clerk of the Court of any change in either his or her mailing address, or designated e-mail address(es), within 10 days of the change. All further papers (excluding pleadings requiring personal service) shall be served by either mail to Respondent's last known mailing address or by e-mail to Respondent's designated e-mail address(es). Service shall be complete upon mailing or e-mailing.

5. **Additional order(s) necessary to protect Petitioner from dating violence:**

SECTION IV. OTHER SPECIAL PROVISIONS

(This section to be used for inclusion of local provisions approved by the chief judge as provided in Florida Family Law Rule 12.610.)

SECTION V. DIRECTIONS TO LAW ENFORCEMENT OFFICER IN ENFORCING THIS INJUNCTION

{Unless ordered otherwise by the judge, all provisions in this injunction are considered mandatory provisions and should be interpreted as part of this injunction.)

EXHIBT #2
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GREGORY C HARRELL MARION COUNTY FL
CFN# 2022049245 OR BK 7745 PG 1419 Pgs 1417-1421 04/05/2022 04:40:05 PM

1. **This injunction is valid and enforceable in all counties of the State of Florida.** Violation of this injunction should be reported to the appropriate law enforcement agency. Law enforcement officers of the jurisdiction in which a violation of this injunction occurs shall enforce the provisions of this injunction and are authorized to arrest without a warrant pursuant to Section 901.15, Florida Statutes, for any violation of its provision, which constitutes a criminal act under Section 784.047, Florida Statutes.
2. Should any Florida law enforcement officer having jurisdiction have probable cause to believe that Respondent has knowingly violated this injunction, the officer may arrest Respondent, confine him/her in the county jail without bail, and shall bring him/her before the Initial Appearance Judge on the next regular court day so that Respondent can be dealt with according to law. The arresting agent shall notify the State Attorney's Office immediately after arrest. THIS INJUNCTION IS ENFORCEABLE IN ALL COUNTIES OF FLORIDA AND LAW ENFORCEMENT OFFICERS MAY EFFECT ARRESTS PURSUANT TO SECTION 901.15(6), FLORIDA STATUTES.
3. **Reporting alleged violations.** If Respondent violates the terms of this injunction and there has not been an arrest, Petitioner may contact the Clerk of the Circuit Court of the county in which the violation occurred and complete an affidavit in support of the violation or Petitioner may contact the State Attorney's office for assistance in filing an action for indirect civil contempt or indirect criminal contempt. Upon receiving such a report, the State Attorney is hereby appointed to prosecute such violations by indirect criminal contempt proceedings, or the State Attorney may decide to file a criminal charge, if warranted by the evidence.
4. Respondent, upon service of this injunction, shall be deemed to have knowledge of and to be bound by all matters occurring at the hearing and on the face of this injunction.
5. The temporary injunction, if any, entered in this case is extended until such time as service of this injunction is effected upon Respondent.

DONE AND ORDERED in Marion County, Florida on April 5, 2022.

Jennifer Bass
Circuit Judge

EXHIBIT #2
PAGE 26/36

GREGORY C HARRELL MARION COUNTY FL
CFN# 2022049245 OR BK 7745 PG 1420 Pgs 1417-1421 04/05/2022 04:40:05 PM

COPIES TO:
Petitioner ____ by U.S. Mail
__✓__ by hand delivery in open court
____ by e-mail to designated e-mail address(es)
Respondent ____ by U.S. Mail
____ by hand delivery in open court
__✓__ forwarded to Sheriff for service
____ State Attorney's Office
____ Other _____

I CERTIFY the foregoing is a true copy of the original as it appears on file in the office of the Clerk of the Circuit Court of Marion County, Florida, and that I have furnished copies of this order as indicated above.

Gregory C. Harrell
Clerk of Court and Comptroller

Deputy Clerk

CLERK OF COURT AND COMPTROLLER
MARION COUNTY, FLORIDA

ACKNOWLEDGMENT

I, [redacted] acknowledge receipt of a certified copy of this Injunction for Protection.

Petitioner

EXHIBT #2
PAGE 27/36

ACKNOWLEDGMENT

I, EDGAR DAVID DIAZ, acknowledge receipt of a certified copy of this Injunction for Protection.

Respondent

GREGORY C HARRELL MARION COUNTY FL
CFN# 2022049245 OR BK 7745 PG 1421 Pgs 1417-1421 04/05/2022 04:40:05 PM

Probable Cause Affidavit

ORIGINAL

MARION COUNTY SHERIFF'S OFFICE
692 NW 30TH AVE
OCALA, FL 34475

22-MM-1855

Report Date / Time	Report Number	Case Number/Cad Number	Reporting Officer Name
3/25/2022 02:13 PM	MCSO55ARR57349S	MCSO22OFF005921 /	E.SERRANO

Originating Agency ORI	Occur Date Time Range	OBTS	Clearance
FL0420000	03/25/2022 00:00:00 - 03/25/2022 00:00:00	4203200674	

Location of Occurrence

County	Location Type	Location Description
MARION	BUSINESS	[redacted]

Street Number	Street	Apt/Lot/Bldg	City	State	Zip Code
[redacted]					

Suspect

First Name	Middle Name	Last Name	Suffix	Race	Sex	Height	Weight	Hair	Eyes
EDGAR	DAVID	DIAZ		WHITE	MALE		0		

MNI #	SSN	Date of Birth	Age	Place of Birth	Drivers License or other ID	State	ID Type
MCSO20MNI012567		[redacted]	21		[redacted]	FL	E

Address
* RESIDENCE / 901 NW 22ND STREET , OCALA, FL 34475 / 352-789-2990

Probable Cause Affidavit Data

Question	Answer
Occupation and Employer	[redacted]
Alias	NONE
Under the Influence of	None
Domestic Related	Yes
Primary Language	ENGLISH
Immigration Status	N
Vehicle Company / Address	N

Arrest

Arrest Date/Time	Arrest Location Type	Arrest Location Description
3/25/2022 2:17:01 PM	BUSINESS	[redacted]

Street Number	Street	Apt/Lot/Bldg	County	City	State	Zip Code
[redacted]						

Charge :

Counts	Charge	Bond Amount	
1	741.29.6	$0.00	☑ No Bond

MCCC - JUV DELINQUENCY
MAR 28 '22 AM8:42
EXHIBT #2
PAGE 28/36

ORIGINAL

Report Date / Time	Report Number	Case Number/Cad Number	Reporting Officer Name
3/25/2022 02:13 PM	MCSO55ARR57349S	MCSO22OFF005921 /	E.SERRANO

Originating Agency ORI	Occur Date Time Range	OBTS	Clearance
FL0420000	03/25/2022 00:00:00 - 03/25/2022 00:00:00		

Charge Degree	Charge Level	General Offense Code
F	MISDEMEANOR	CONDIT RELEASE VIOLATION

Charge Description
PRE TRIAL RELEASE COND VIOL FOR DOMEST VIOL

Bond Set by Charges

Bond Amount	
	☑ No Bond

Bond Type(s)

Probable Cause

BEFORE ME, THE UNDERSIGNED AUTHORITY PERSONALLY APPEARED DETECTIVE SERRANO M5831 WHO BEING DULY SWORN, ALLEGES, ON INFORMATION AND BELIEF, THAT ON THE 25th DAY OF MARCH 2022, IN MARION COUNTY, FLORIDA THE DEFENDANT DID COMMIT THE OFFENSE OF VIOLATION OF PRETRIAL RELEASE CONDITION FOR DOMESTIC VIOLENCE TO WIT:

ON 3/03/2022, DEFENDANT EDGAR DAVID DIAZ WAS PLACED UNDER ARREST FOR AGGRAVATED BATTERY ON A PREGNANT PERSON AGAINST VICTIM [redacted] THE DEFENDANT ATTENDED FIRST APPEARANCE WITH HONORABLE JUDGE LANDT ON 3/4/2022. THE DEFENDANT WAS ISSUED AN ORDER REQUIRING SPECIAL CONDITIONS FOR DOMESTIC VIOLENCE. THE DEFENDANT ACKNOWLEDGED THAT HE UNDERSTOOD THE ORDER BY SIGNING THE DOCUMENT.

CONDITION (3) THE DEFENDANT SHALL NOT COME WITHIN 500 FEET OF THE PREMISES WHERE THE ALLEGED VICTIM LIVES OR WORK, NOR GO TO THE PLACES WHERE THE ALLEGED VICTIM FREQUENTS ON A REGULAR BASIS. THE DEFENDANT SHALL NOT KNOWINGLY COME WITHIN 100 FEET OF THE ALLEGED VICTIM'S MOTOR VEHICLE.

ON 3/4/2022, THE DEFENDANT WAS RELEASED FROM THE MARION COUNTY JAIL. ON 3/25/2022, I WAS PROVIDED INFORMATION THAT THE DEFENDANT IS CURRENTLY EMPLOYED WITH [redacted] T WAS FURTHER REPORTED THAT THE VICTIM ALSO WORKS AT THIS ESTABLISHMENT. PRIOR TO MAKING CONTACT WITH THE DEFENDANT, CONTACT WAS ESTABLISHED WITH THE VICTIM BY PHONE. THE VICTIM STATED THAT SHE STILL WORKS AT [redacted] AS WELL AS THE DEFENDANT.

ON 3/25/2022, I RESPONDED TO [redacted]. CONTACT WAS MADE WITH MANAGEMENT WHO ESCORTED ME TO THE DEFENDANT WHO WAS WORKING AS A DEALER AT A POKER TABLE. THE DEFENDANT WAS TAKEN INTO CUSTODY WITHOUT INCIDENT. THE DEFENDANT STATED THAT HE WAS AWARE OF THE ORDER, AND WAS FAMILIAR WITH CONDITION (3). THE DEFENDANT THOUGHT IT WAS OK TO WORK AT THE VICTIM'S WORKPLACE AS LONG AS IT WAS A DIFFERENT SHIFT.

MCCC - JUV DELINQUENCY
MAR 28 '22 AM8:42

EXHIBT #2
PAGE 29/36

ORIGINAL

Report Date / Time	Report Number	Case Number/Cad Number	Reporting Officer Name
3/25/2022 02:13 PM	MCSO55ARR57349S	MCSO22OFF005921 /	E.SERRANO

Originating Agency ORI	Occur Date Time Range	OBTS	Clearance
FL0420000	03/25/2022 00:00:00 - 03/25/2022 00:00:00		

THE DEFENDANT WAS PLACED UNDER ARREST AND WAS TRANSPORTED TO THE MARION COUNTY JAIL WITHOUT INCIDENT.

Officer Name / Rank / ID #	Involvement On Report / Reporting Role	Officer Agency Org/Unit
RODRIGUEZ, T SERGEANT 5348	APPROVING SUPERVISOR	MARION COUNTY SHERIFF'S OFFICE MAJOR CRIMES DEPARTMENT
E.SERRANO CORPORAL 5831	REPORTING OFFICER	MARION COUNTY SHERIFF'S OFFICE MAJOR CRIMES DEPARTMENT

The undersigned certifies and swears that he/she has just and reasonable grounds to believe that the above named Defendant, committed violation(s), of law, on the below date(s) and time(s), as listed in the probable cause associated with this report:

Reporting Officer

Officer Name	Office Rank	Officer ID No
E.SERRANO	CORPORAL	5831

Officer Agency
MARION COUNTY SHERIFF'S OFFICE

Officer Signature E S

Sworn and subscribed before me, the undersigned authority
This the 25 day of March, 2022
DEPUTY OF THE COURT, NOTARY OR LAW ENFORCEMENT

M. Wright M5538

EXHIBT #2
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MCCC - JUV DELINQUENCY
MAR 28 '22 AM8:42

MARION COUNTY SHERIFF'S OFFICE

CASE NUMBER: MCSO 22 OFF 005921

DEFENDANT'S NAME: EDGAR DIAZ **DOB:** [redacted]

DEFENDANT'S ADDRESS: 901 NW 22ND STREET

SHIELD VICTIM CONTACT/EXCLUSION ZONE FORM

The Marion County Sheriff's Office has established the SHIELD (Surviving Harmful Interactions through Electronic Location Devices) Program in order to provide an alternative method to deter domestic violence offenders from violating pre-trial release conditions, thus granting victims another tool for protection. This program allows low risk, court ordered, pre-trial defendants to be mapped and tracked with a GPS ankle monitor. The defendant can only be placed into the SHIELD program by a court order issued by a judge: Therefore, the defendant in your case may not be selected for participation in the program. By providing the below information, the Judge can set exclusion zones where the defendant cannot go while on the SHIELD Program.

VICTIM'S NAME: [redacted] **DOB:** [redacted]

VICTIM'S ADDRESS: _____

VICTIM'S PHONE: MOBILE [redacted] **HOME** _____ **WORK** _____

VICTIM'S EMPLOYER [redacted]

VICTIM'S SCHOOL: NONE

VICTIM'S CHILDREN: NONE

CHILDREN'S SCHOOL/DAYCARE: _____

OTHER LOCATIONS VICTIM(S) FREQUENT: _____

VICTIM'S SIGNATURE: _____ **DATE:** _____

ATTACH THIS FORM TO THE PROBABLE CAUSE AFFIDAVIT

MCSO Form #15-532 Rev. 01/19/17

Billy Woods, *Sheriff*

MCSO - JUV DELINQUENCY
MAR 25 '22 AM 8:49

CASE NUMBER: 2200921

NAME OF INMATE: Diaz, Edgar OBTS: 4203200674

Indication of: Alcohol: Drug:		Weapon Seized:	Juvenile Disposition:
Jail Log: (To be completed by booking officer)			Jail Inmate #:
Date Booked: 3/25/22	Time Booked: 1537	Booking Officer: 6169	Fingerprinted By: 6282
Photographed By: 6282	Bin Number:	Advised of Rights By: Advised	
Check for Warrants: ~~NCIC~~ ~~PCIC~~ ~~Local~~	Holds: Yes ~~No~~	Agency of Hold:	
Attorney (if known): Private	Religion: Christian	Maritial Status: ~~S~~ M D Sep	
Telephone call logged: Time AM PM # ( )			
Next Of Kin: none	Relation:	Relation Phone:	
Relation Address:			
Bond Date:	Returnable Court Date:	Returnable Court Time:	Release Date:
Release Time: AM/PM	Releasing Officer:	Bond, Charge A:	Charge B:
Charge C:	Charge D:	Charge E:	Bond Type: ROR SURETY CASH
Name Address of Bondsman:			BAIL BOND CERT Other

EXHIBIT #2
PAGE 32/36

MCCC - JUV DELINQUENCY
ORIGINAL

Filing # 146979826 E-Filed 04/04/2022 01:02:29 PM

IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT,
OF THE STATE OF FLORIDA, IN AND FOR MARION COUNTY

STATE OF FLORIDA

VS

EDGAR DAVID DIAZ
Defendant.
_____/

CASE NO. 2022-CF-000845-A

CHARGES: AGGRAVATED BATTERY OF PREGNANT FEMALE PERSON

ORDER TO REVOKE BOND

THIS CAUSE coming to be heard before me this day upon the foregoing Motion to Revoke Bond, filed herein by the State of Florida and dated , and the Court being fully advised in the premises, it is therefore,

ORDERED AND ADJUDGED that the Defendant's bond be revoked and that the Sheriff of MARION County shall take said Defendant (**EDGAR DAVID DIAZ,** W/M, DOB [redacted] LAST KNOWN ADDRESS:901 NW 22 ST, OCALA, FL 34475) into custody and detain said Defendant at the MARION County Jail, pending further order of this Court as this Court finds probable cause to believe Defendant committed a new crime while on pretrial release in the above styled cause.

IT IS FURTHER ORDER AND ADJUDGED that the Defendant be held on a **NO BOND** status until further Order of this Court.

DONE AND ORDERED at Ocala, MARION County, Florida, this 4th day of March, 2022.

PETER MATTHEW BRIGHAM
CIRCUIT JUDGE

Certified A True Copy
of 2 page document
this 5th day of 4 2022
GREGORY C. HARRELL
Clerk of Court and Comptroller
By _____ D.C.

EXHIBT #2
PAGE 33/36

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a copy of the above has been furnished to EDGAR DIAZ 901 NW 22 ST OCALA, FL 34475, Office of the State Attorney 110 NW 1 Ave, Suite 5000 Ocala, FL 34475 eservicemarion@sao5.org, by hand or mail delivery or electronic service, this 4 day of ~~March~~ April, 2022.

Judicial Assistant/~~Deputy Clerk~~

Received this document on the 4 as of April AD, 20 22 and executed it on the 6 day of April AD, 20 22 by arresting/serving the within named Diaz, Edgar and having him in custody/served on this the day 6 of April AD, 20 22

William Woods
Sheriff of Marion County, Florida
By: S. Ortiz #6074
Deputy Sheriff

EXHIBT #2
PAGE 34/36

COUNTY COURT OF THE FIFTH JUDICIAL CIRCUIT,
IN AND FOR MARION COUNTY, FLORIDA

STATE OF FLORIDA
vs.
EDGAR DAVID DIAZ
DOB: [redacted]
RACE: White
GENDER: Male

Case Number: 22MM001855AX
Agency Case Number: S22005921

JUDGMENT & SENTENCE

Judge: R JAMES MCCUNE
State Attorney: STATE ATTORNEY
Defense Attorney: Luz Maria Root

Event Date: 05/11/2022
Hearing Type: CHANGE OF PLEA
Defendant Present with Attorney

Arrest Data:

Date:	Booking #:	Agency Case #:	OBTS #:
03/25/2022	S22005921	S22005921	4203200674

741.29.6	VIOLATE DOMESTIC VIOLENCE PRETRIAL RELEASE	Misdemeanor First Degree	03/25/2022

MOTIONS/WAIVERS:

CONTINUANCES:

PROSECUTOR PHASE:	COURT PHASE/PLEA/DISPOSITION:
	SEQ: 1 Action: ADJ GUILTY CONVICTED on 05/11/2022 Plea: Nolo-Contendere Trial: None

SENTENCE/PROVISIONS/ADDITIONAL SENTENCE NOTES:

SEQ: 1 MAX CONFINEMENT County Jail FOR 48 DYS
SEQ: 1 CREDIT TIME SERVED FOR 48 DYS

MONETARY OBLIGATIONS:

Date	Amount	Description	Balance
05/11/2022	50.00	MISDEMEANOR FINE	50.00

EXHIBT #2
PAGE 35/36

05/11/2022	130.00	MISDEMEANOR COURT COSTS	130.00
05/11/2022	50.00	COSTS OF PROSECUTION	50.00
05/11/2022	2.50	5% SURCHARGE MISDEMEANOR	2.50
05/11/2022	93.00	COUNTY CRIMINAL PER COUNT COSTS	93.00
05/11/2022	2400.00	COST OF INCARCERATION-COUNTY	2400.00
05/11/2022	13.00	RECORDING FEE-CHARGE COST FEE PAGE	13.00

DOCKETS:

MONIES TO MSB WITHIN 90 DAYS

COST FOR INCARCERATION IS ORDERED AT A RATE OF $50.00 PER DAY AS A CIVIL RESTITUTION LIEN. THE CIVIL RESTITUTION LIEN IS NOT A PART OR FACTOR IN THE DEFENDANT'S SENTENCE AND THE DEFENDANT'S DRIVERS LICENSE SHALL NOT BE SUSPENDED FOR FAILURE TO PAY THE CIVIL LIEN PURSUANT TO 960.293(2)

SUSPEND DEFENDANTS DRIVERS LICENSE UNTIL ALL FINANCIAL OBLIGATIONS ARE PAID PURSUANT TO FS 322.245. EXCEPT FOR CIVIL RESTITUTION LIEN

R JAMES MCCUNE

I HEREBY ACKNOWLEDGE receipt of a copy of this form and understand that I must comply with all applicable conditions noted above.

x

Defendant's Signature

8882 SE 64TH AVE
OCALA, FL 34472
Mailing Address

Filed in open court on May 11, 2022.

for TP

Deputy Clerk

EXHIBT #2
PAGE 36/36

Comprehensive Ruling Report

Rulings Against: Edgar David Diaz

Legal Name: Edgar David Diaz
Birth Date:

0 Total Ruling(s) Listed

"Multiple medication violation points and point totals are for advisory and informational purposes only to indicate the existence of regulatory medication violation determinations made by racing regulatory entities in order to notify officials of possible aggravating factors that should be reviewed by officials prior to taking regulatory action. Confirmation of violations should be made directly with the racing regulatory entity responsible."

No Rulings

Comprehensive Licensee Report

ARCI Licensee: Edgar David Diaz

Identification Information

DOB: [redacted]
Country: USA
Sex: Unknown

Licensee's Names

Current Legal Name: Edgar David Diaz

Licensee's Addresses

None

Licensee's Phone Numbers

None

Fingerprint History

None

License History

License Number:	11937341	License Type:	Other/OTB/Casino
Commission:	Florida Division of Pari-Mutuel Wagering	State/Province:	Florida
Issue Date:	1/30/2019	Expire Date:	6/30/2021
Division:	Horse	Breed:	Unknown

License Number:	11937341	License Type:	Other/OTB/Casino
Commission:	Florida Division of Pari-Mutuel Wagering	State/Province:	Florida
Issue Date:	1/30/2019	Expire Date:	6/30/2024
Division:	Horse	Breed:	Unknown

License Number:	11937341	License Type:	Other/OTB/Casino
Commission:	Florida Division of Pari-Mutuel Wagering	State/Province:	Florida
Issue Date:	1/4/2019	Expire Date:	4/4/2019
Division:	Horse	Breed:	Unknown

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

January 29, 2025

Mr. Edgar David Diaz
2351 North Williamson Blvd. 12-104
Daytona Beach, Florida 32117

RE: Application No. 78803, Entity 11937341
1012 - Cardroom Employee Occupational

Dear Mr. Diaz:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete. Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must provide the court documents and arrest report records for the following charges:
  - Marion County, Florida Arrest(s) – 03/03/2022

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.794-8130.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

If you fail to provide the Florida Gaming Control Commission with a complete application by 04/30/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.794-8130.

Thank you,

TL/RK
Operations Analyst II

FLORIDA GAMING CENTER

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: **10/04/2024**
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

October 3, 2024

Mr. Edgar David Diaz
2351 North Williamson Boulevard, 12-104
Daytona Beach, Florida 32117

Letter of License Denial

Dear Mr. Diaz:

This Letter of License Denial ("Denial") serves to inform you that your application for a Cardroom Employee Occupational License and Request for Waiver is denied in accordance with the provisions of chapters 550 and 849, Florida Statutes, and the rules promulgated thereto. This Denial is based upon your following criminal disposition:

> 07/12/2022 – Aggravated Battery of a Pregnant Victim (F) – Adjudication Withheld – Marion County, Florida (Case No. 422022CF000845CFAXXX)

This conviction is a disqualifying offense under sections 550.105(5) and 849.086(6)(g), Florida Statutes. A withheld adjudication of guilt is considered a conviction for licensing under sections 550.105(5) and 849.086(6)(f), Florida Statutes.

Furthermore, this Denial is based upon the revocation of your Cardroom Employee Occupational License, number 11937341 ordered in FGCC Case No. 2022-040422 on May 5, 2023. The Florida Gaming Control Commission has the authority to deny a license application if an applicant has had a license revoked by this state pursuant to sections 550.105(5) and 849.086(6)(f), Florida Statutes.

Your Request for Waiver has been denied based on your failure to meet the requirements of section 550.105(5)(c), Florida Statutes.

You are advised you may not work in any position requiring licensure or be within a restricted area at a pari-mutuel facility without an appropriate valid occupational license.

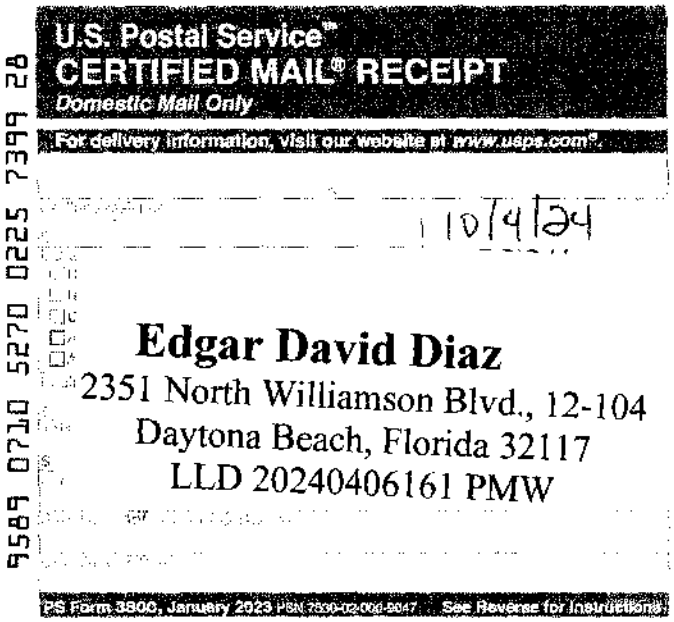
Pursuant to sections 120.569 and 120.57, Florida Statutes, you may request a hearing to challenge the Commission' decision within twenty-one (21) days of receipt of this Denial, as provided for in rule 28-106, Florida Administrative Code, and the attached Notice of Rights.

If you do not request a hearing within twenty-one (21) days of your receipt of this Denial, this Denial will become the Commission's Final Order. If this Denial becomes a Final Order, you will have 30 days to file for an appeal pursuant to section 120.68, Florida Statutes.

Sincerely,

Melba Apellaniz
Clerk of the Commission

Attachment: Notice of Rights



CERTIFICATE OF SERVICE

I hereby certify this 4th day of October, 2024, that a true copy of the foregoing has been furnished by certified U.S. mail to:

Edgar David Diaz
2351 North Williamson Boulevard, 12-104
Daytona Beach, Florida 32117

CLERK OF THE COMMISSION
Florida Gaming Control Commission

Case No. 2024-040616

NOTICE OF RIGHTS

This is an action that may affect your substantial interests. Mediation of this administrative dispute is not available. However, pursuant to sections 120.569 and 120.57, Florida Statutes, you may request a hearing on this matter, provided a written request is filed with the agency. The request must comply with the requirements of rules 28-106.111, and 28-106.201 or 28-106.301, Florida Administrative Code. The written request must be received by the Commission within 21 days of your receipt of this notice.

If the Commission determines there are disputed issues of material fact, the case will be forwarded to the Division of Administrative Hearings for a formal hearing. If there are no disputed issues of material fact, the Commission will schedule an informal hearing. You will be notified of the date, time and place of the hearing. In either case, you have the burden of establishing entitlement to the license.

In a formal hearing, you have the right to appear in person on your own behalf, to be represented by an attorney, to bring witnesses and present evidence, to cross-examine any witnesses produced against you by the State, and to have subpoenas issued on your behalf.

In an informal hearing, you have the right to appear in person on your own behalf, to be represented by an attorney, and to submit whatever information you desire to show entitlement to the license.

If a hearing pursuant to sections 120.569 and 120.57, Florida Statutes, is not requested within 21 days, the foregoing Letter of License Denial will become a Final Order. Any party adversely affected by this Final Order has the right to seek its judicial review under section 120.68, Florida Statutes, by the filing of an original Notice of Appeal pursuant to rules 9.110 and 9.190, Florida Rules of Appellate Procedure, with the Clerk of the Commission, 4070 Esplanade Way, Suite 250, Tallahassee, Florida 32399 (email: clerk@flgaming.gov), and by filing a copy of the Notice of Appeal accompanied by the applicable filing fees with the appropriate Florida District Court of Appeal. The Notice of Appeal must be filed (received) by the Clerk of the Commission within thirty (30) days after the date this Order is filed with the is filed with the Clerk.

In all the above proceedings, practice is governed by chapter 120, Florida Statutes, and rule 28-106, Florida Administrative Code.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FGCC v. DIAZ, EDGAR DAVID **CASE NO.: 2024-040616**

ELECTION OF RIGHTS

Please read the Letter of License Denial before choosing one of the three options. The Election of Rights is a **legally binding** document. Consult an attorney if you do not understand your options.

You can only select **ONE** of the options numbered one (1), two (2), and three (3) on the Election of Rights form. You must sign the form.

A completed Election of Rights **must be returned to the Clerk of the Commission within 21 days, by 5:00 p.m., Eastern Time, of the day you receive the attached Letter of License Denial.**

If your Election of Rights form or request for hearing is not filed with the Clerk of the Commission within 21 days of the day you received the Letter of License Denial, you will have waived your right to contest the proposed agency action and a Final Order will be issued imposing the proposed agency action set forth in the Letter of License Denial.

Please use this form unless you, your attorney, or your representative prefer to reply according to chapter 120, Florida Statutes, and chapter 28.106 of the Florida Administrative Code.

Please return your Election of Rights form to this address:

Florida Gaming Control Commission
Attention: Clerk of the Commission
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399
Telephone: (850) 794-8067 Fax: (850) 536-8709
Email: clerk@flgaming.gov

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option One (1) ☐ **I do not dispute the allegations** of material fact in the Letter of License Denial and wish to be heard at an informal proceeding pursuant to section 120.57(2), Florida Statutes, where I may submit testimony and written evidence to the Commission to show that the proposed agency action is too severe or that the sanction should be reduced.

Option Two (2) ☐ **I do dispute the allegations** of material fact in the Letter of License Denial and I request a formal hearing pursuant to Section 120.57(1) before an Administrative Law Judge of the Division of Administrative Hearings ("DOAH"). **I specifically dispute the following facts in the Letter of License Denial** (attach extra pages or write on the back if needed):

_____

_____

_____

EXHIBIT #4
PAGE 9/30

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

In addition to the above election for formal hearing, check the box below if you wish to enter into settlement negotiations and waive the 15-day requirement for this matter to be referred to DOAH:

☐ Section 120.569(2)(a), Florida Statutes, requires the Commission to send this case to DOAH for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement.

Option Three (3) ☐ **I do not dispute the allegations** of material fact in the Letter of License Denial and **waive my right** to object and to have a hearing. I understand that by giving up the right to object and have a hearing, this letter will act as the Final Order. Pursuant to section 120.68, Florida Statutes, any party adversely affected by this Final Order has the right to seek its judicial review by the filing of an original Notice of Appeal pursuant to rules 9.110 and 9.190, Florida Rules of Appellate Procedure, with the Clerk of the Commission, 4070 Esplanade Way, Suite 250, Tallahassee, Florida 32399 (email: clerk@flgaming.gov), and by filing a copy of the Notice of Appeal accompanied by the applicable filing fees with the appropriate Florida District Court of Appeal. The Notice of Appeal must be filed (received) by the Clerk of the Commission within thirty (30) days after the date this Order is filed with the is filed with the Clerk.

THIS IS A **LEGALLY BINDING DOCUMENT**. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

PRINTED NAME	ATTORNEY OR QUALIFIED REPRESENTATIVE
Street Address	Street Address (where service shall be made)
City State Zip	City State Zip
Telephone Number Facsimile Number (if any)	Telephone Number Facsimile Number (if any)
E-mail	E-mail
SIGNATURE	

YOU MUST LET THE COMMISSION KNOW IF YOUR E-MAIL OR MAILING ADDRESS CHANGES

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 5/05/2023
File Number: 2023-00088
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING

Petitioner,

v.

FGCC Case No.: 2022-040422

EDGAR DAVID DIAZ,

Respondent.
_____/

FINAL ORDER

This matter appeared before the Florida Gaming Control Commission at a duly-noticed public meeting on May 2, 2023, for final agency action pursuant to sections 120.569 and 120.57(2), Florida Statutes. After a complete review of the records in this matter, the Commission makes the following findings of fact and conclusions of law:

FINDINGS OF FACT

The Findings of Fact contained in the Recommended Order are hereby adopted as the Findings of Fact of the Commission.

CONCLUSIONS OF LAW

The Conclusions of Law contained in the Recommended Order are hereby adopted as the Conclusions of Law of the Commission.

ORDERED and ADJUDGED:

1. The Hearing Officer's Recommended Order is adopted in full.

2. Respondent's Cardroom Employee Occupational License, number 11937341-1012, is hereby **REVOKED**.

This Final Order shall take effect upon being filed with the Clerk of the Commission.

DONE AND ORDERED this 5th day of MAY, 2023.

FLORIDA GAMING CONTROL COMMISSION

CLERK OF THE COMMISSION
On Behalf of
Charles "C.B." Upton, Chairman
Julie Brown, Commissioner
John D'Aquila, Commissioner
Charles Drago, Commissioner
Tina Repp, Commissioner

NOTICE OF RIGHT TO APPEAL

Any party to this proceeding has the right to seek its judicial review under section 120.68, Florida Statutes, by the filing of an original notice of appeal pursuant to rules 9.110 and 9.190, Florida Rules of Appellate Procedure, with the Clerk of the Commission, 2601 Blair Stone Road, Tallahassee, Florida 32399-2202 (Email: clerk@flgaming.gov), and by filing a copy of the notice of appeal accompanied by the applicable filing fees with the appropriate Florida district court of appeal. The notice of appeal must be filed (received) by the Clerk of the Commission within thirty (30) days after the date this Order is filed with the Clerk of the Commission.

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this 5th day of May, 2023, a true and correct copy of this Final Order has been sent via U.S. Mail to:

Edgar David Diaz
901 Northwest 22nd Street
Ocala, Florida 34475

CLERK OF THE COMMISSION
Florida Gaming Control Commission

CC: Ebonie Lanier

FILED
FLORIDA GAMING CONTROL COMMISSION
Date 5/01/2023
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v. FGCC Case No.: 2022-040422

EDGAR DAVID DIAZ,

Respondent.
/

HEARING OFFICER'S RECOMMENDED FINAL ORDER

THIS MATTER came before Elizabeth K. Stinson, designated Hearing Officer for the Florida Gaming Control Commission ("Commission"), on February 27, 2023, in Tallahassee, Florida, in accordance with the provisions of sections 120.569 and 120.57(2), Florida Statutes, for consideration of the Commission's Administrative Complaint filed against Edgar David Diaz ("Respondent"), in FGCC Case Number 2022-040422 ("Administrative Complaint"). The Commission was represented by Emily A. Alvarado, Deputy Chief Attorney. Respondent appeared *pro se* and the hearing was held telephonically. Both sides were permitted to present witnesses, offer items into evidence, and otherwise fully participate in the hearing.

PRELIMINARY STATEMENT

1. Respondent currently holds a Cardroom Employee Occupational License, number 11937341-1012, issued by the Commission.

2. On September 12, 2022, the Commission filed a three-count Administrative Complaint against Respondent alleging violations of sections 550.105(5)(b), and

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550.105(10)(d), Florida Statutes, and rule 61D-11.011, based on his July 12, 2022, felony conviction, his failure to notify the Commission of his March 3, 2022, arrest within five days, and his failure to notify the Commission of his July 12, 2022, conviction within 48 hours.

3. On or about December 14, 2022, Respondent requested an informal hearing pursuant to section 120.57(2), Florida Statutes.

4. Prior to the hearing, Respondent submitted a letter purporting to be from his past employer David Donnelly.

5. A hearing pursuant to section 120.57(2), Florida Statutes, was held on February 27, 2023.

The February 27, 2023 Informal Hearing

6. At the hearing, the Commission presented the issues raised in its Administrative Complaint. The Hearing Officer granted the Commission's motion to accept the Findings of Fact in the Administrative Complaint as the undisputed facts in the case and accepted the investigative report into the record.

7. Respondent testified regarding the facts leading to his conviction, stating that the incident did not occur as stated in the arrest report.

8. Respondent stated that he and the victim were in and out of a romantic relationship when he found out she was pregnant. Respondent allowed the victim to move into his home. After finding out that he may not be the father of the baby, Respondent asked the victim to leave. A few days following this incident, Respondent testified that the victim contacted the police to file a report.

9. Respondent testified that he was out on bail for a little while in between his arrest

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and his plea of nolo contendere on July 12, 2022.

10. Respondent testified that he pled nolo contendere to the charge of Battery on a Pregnant Victim, a felony, because it would be his word against the victim's, and that he did not want to risk going to prison.

11. Respondent further stated that when he was released from jail on July 18, 2022, he did not return to his job as a dealer but began working other jobs, including working as a horse hand in Ocala.

12. Respondent testified that he has been employed by Daytona Beach Kennel Club from October 2022 to the present.

13. When asked if he received reference letters from Daytona Beach Kennel Club, he stated that he did not ask his new employer for any letters of reference.

14. Respondent explained that he has been in the poker industry since the age of nineteen. He started as a host and then became a dealer at Oxford Downs.

15. Respondent also testified that the victim worked with him at Oxford Downs.

16. Respondent stated that David Donnelly was his boss at Oxford Downs, and he became his friend and was close to his family.

17. Respondent further stated that he was placed on probation for three years, and required to complete battery prevention classes, 80 hours of community service, drug testing, and Respondent could not return to Oxford Downs.

18. Respondent stated that he was unaware of the requirement to notify the Commission of his arrest or conviction and explained that he was in jail and could not comply with that notification requirement.

FINDINGS OF FACT

19. Petitioner is the state agency charged with regulating pari-mutuel wagering, cardrooms, and slot machine facilities, pursuant to chapters 550, 551, and 849, Florida Statutes.

20. Respondent currently holds a Cardroom Employee Occupational License, number 11937341-1012, issued by Petitioner.

21. On March 3, 2022, Respondent was arrested in Marion County, Florida and was charged with Aggravated Battery upon a Pregnant Victim.

22. On July 12, 2022, Respondent pled nolo contendere for Aggravated Battery upon a Pregnant Victim out of Marion County, Florida in case number 22CF000845AX.

23. Respondent failed to provide written notification of his arrest to the Commission within 48 hours for Aggravated Battery upon a Pregnant Victim.

24. Respondent failed to provide written notification of his plea of nolo contendere for Aggravated Battery upon a Pregnant Victim within 5 days to the Commission.

25. There is competent substantial evidence to support the foregoing findings of fact.

CONCLUSIONS OF LAW

26. The Hearing Officer has jurisdiction over the subject matter and the Parties pursuant to chapter 120, Florida Statutes.

27. Section 550.105(5)(b), Florida Statutes, provides:

> The commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the commission governing the conduct of

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> persons connected with racetracks and frontons. In addition, the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

28. Section 550.105(5)(c), Florida Statutes, states, in pertinent part, that "[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the director of the commission."

29. Section 550.105(5)(d), Florida Statutes, provides the term "convicted" means "having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere."

30. Section 550.105(10)(d), Florida Statutes, states that each licensee shall inform the Commission, within 48 hours, "if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication."

31. Section 849.086(6)(f), Florida Statutes, states, "[t]he provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

32. Rule 61D-11.011, Florida Administrative Code, states:

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> Any cardroom occupational licensee shall provide written notification to the division when the licensee has been arrested, indicted, or charged in any other way with a criminal offense within five days of the arrest, or if not arrested, within five days of the receipt of a charging document. Licensees are not required to report non-criminal traffic offenses.

33. Respondent was arrested on March 3, 2022, entered a plea of nolo contendere to a disqualifying felony offense on July 12, 2022, and failed to inform the Commission of his arrest within five days and conviction within 48 hours.

34. Even though Respondent testified that it was physically impossible for Respondent to notify the Commission within five days of his arrest or within 48 hours of his conviction due to Respondent's incarceration, Respondent never notified the Commission of either his arrest or conviction, and the Administrative Complaint was filed two months later on September 12, 2022.

35. Additionally, Respondent's plea of nolo contendere was to the crime of Aggravated Battery on a Pregnant Victim, a felony offense pursuant to Section 550.105(5)(c), Florida Statutes.

36. Pursuant to section 550.105(5)(b), Florida Statutes, the Commission may revoke Respondent's Cardroom Employee Occupational License due to Respondent's felony conviction for Aggravated Battery on a Pregnant Victim.

37. Respondent's plea of nolo contendere to a felony was less than a year ago and Respondent is still currently on probation.

38. At the informal hearing, Respondent did not take responsibility for the crime for which he pled nolo contendere, or his failure to report his arrest or conviction to the Commission.

39. Respondent failed to establish good moral character or rehabilitation as set out

in section 550.105(5)(c), Florida Statutes.

40. There is competent substantial evidence to support the conclusions of law.

RECOMMENDED ORDER

Based upon the Findings of Fact and Conclusions of Law, it is hereby recommended that the Florida Gaming Control Commission issue a Final Order revoking Respondent's Cardroom Employee Occupational License, number 11937341-1012.

This Hearing Officer's Recommended Order in FGCC Case Number 2022-040422 is hereby submitted this 28th day of April, 2023.

/s/ Elizabeth K. Stinson
Elizabeth K. Stinson
Florida Gaming Control Commission

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CERTIFICATE OF SERVICE

I hereby certify this 1st day of MAY, 2023, that a true copy of the foregoing "Hearing Officer's Recommended Order" has been provided by US MAIL to:

Edgar David Diaz
901 Northwest 22nd Street
Ocala, Florida 34475
Ed[illegible]484@gmail.com

Clerk of the Commission

In 2016 I met [redacted] we started dating in 2017 and were on and off for several years up till the end of 2020 when we finally split up. In the time we were dating I started working at Oxford Downs at the start of 2019 and got her a job there a little over a year later. Around August of 2022 we started to talk again and she asked me to be with her again and I said yes. Only a month later I decided it wasn't a good idea and broke it off with her. Two or three weeks later she reaches out and tells me that she was pregnant and that the child was mine because I was the only person she had been with for months. In trying to do the right thing I told her I would try and be there for her and wanted to take responsibility. In mid October we went to a free women's clinic where they said the pregnancy was 4-8 weeks along. Understanding what they had told me and the timeline I came to the conclusion that everything seemed correct. However, just two weeks later we would go to a different OBGYN under her healthcare plan and they told a different story. That the pregnancy was in fact 12-14 weeks, this puzzled me because the timeline just didn't add up. When I would ask her about the timeline of the pregnancy she would get irritated and shrug me off. I then decided to ask around and see if I could find anything out, seeing as we had some common friends. In the meantime I would play the part I thought I should. I told her she should move into my house and leave her apartment so we could do things right and so I could be there to help her. I told her to work less and I helped her pay her bills, and I would do anything she needed to make her feel comfortable and happy. I was excited I had always wanted to be a father and I felt like I was doing the right thing. Everything came crashing down at the end of February 2022, after asking around and wearing some people down I finally got a close friend of hers to tell me the truth and show me evidence of her deception. Soon I had screenshots of her admitting to sleeping around with people just before we had started to talk again in August 2022, I got the names of the four different guys, as well as proof that two of the relationships had continued a few weeks into her coming to be with her news of her pregnancy. I felt heartbroken and I didn't know what to do. I felt crushed and I could bring myself to confront her right away. March 1st at around 3 am, I decided to talk to her. She was asleep and I had just come home from work so I woke her up and asked her about everything. She looked shocked but denied everything so I showed her the evidence I had and then she broke down crying. She began to say that it wasn't true and that she swore the child was mine and when I wouldn't budge she became angry. In the heat of arguing I said some admittedly distasteful things to her. The entire time we talked I was at the foot of the bed and she was sat up against the headboard. While I was arguing back she moved quickly and kicked me on my jaw and proceeded to get up and start yelling. I told her she had to leave and she started to throw things at me. As she started to fight me I grabbed her by her arms and restrained her on the bed. I never lost focus and knew she was pregnant and that I didn't want to hurt her. I just wanted her not to hurt me and for her to leave. She calmed down quickly and started to cry again asking me to please just talk to her and to believe her. At this point I was exhausted and just wanted to sleep. I told her we'd talk in the morning and left her alone. Come morning I had to drop off my rent to my landlady, she asked to come with me and I said okay. We talked in the car and she begged me to stay with her and I replied saying that she would have to do a paternity test and only if it showed that it was mine would I be involved but only with the child and never again with her. She agreed and I spent the day running errands and later with her, we acted as if nothing had happened. March 2nd we went out early with my roommate and mutual friend [redacted] I had to wash the cars and so they went alone to

the target while I cleaned both our cars and met up with them later. I drove her car after I had finished with it and met them to finish shopping. I had my work clothes because we both worked that night. She dropped me off and I expected to see her later at work. I wore a mask because my face had a bruise on it. While at work my manager pulled me to the side and said that there had been a report of us fighting and that I had to go home for the night and neither of us could work for the time being. I got a ride home and considered going to the police but a mutual friend convinced me not to so I didn't. The next day, March 3rd, I was arrested around 2pm. I was bailed out and went home the next day. We were both called the next week to work to give a statement of the situation. Two weeks later we were contacted by our boss Darold Donnelly to see if we'd come to an agreement to work separate schedules, since it was a 24 hour poker room, we would be working on opposite ends of the work day and never see each other. While I thought I was complying with the pretrial release order I hadn't yet gotten a lawyer and was unaware that I couldn't go to work seeing as we both had the same place as employment I was in violation. I didn't try to contact her or even see her but regardless I was arrested March 25th 2022 for violating my pretrial release. I was in jail from that day till July 18th 2022. On my lawyers advice I took a plea because the specific county I was in is notorious for fleecing inmates and being a he said she said situation I was better off taking a plea. The judge was kind enough to give me a withhold on justification, meaning I wouldn't be a felon. Ever since I got out I've been as straight edge as I was before. I finished my community service, completed my therapy, and paid off my court fees. While I'm currently still paying off the probation fees I'm complying with everything ordered to me and I've never missed a probation appointment or failed a drug test. I moved from Ocala to Daytona and have been on the path to rebuild all that I lost. I've learned a lot in this time. I take everything that happened to me as a lesson and I'm a better person for it. I've become more aware and learned that I should walk away. I'll never put myself in the situation where something like that can happen again. I love dealing poker and I would want nothing more than to be able to work in the industry again. I've been in the industry since I was 19 and I can imagine doing anything else. I appreciate the opportunity to have my gaming license reinstated and thank you for your time and consideration. Thank you.

Sincerely, Edgar Diaz

In 2016 I met [redacted] we started dating in 2017 and were on and off for several years up till the end of 2020 when we finally split up. In the time we were dating I started working at Oxford Downs at the start of 2019 and got her a job there a little over a year later. Around August of 2022 we started to talk again and she asked me to be with her again and I said yes. Only a month later I decided it wasn't a good idea and broke it off with her. Two or three weeks later she reaches out and tells me that she was pregnant and that the child was mine because I was the only person she had been with for months. In trying to do the right thing I told her I would try and be there for her and wanted to take responsibility. In mid October we went to a free women's clinic where they said the pregnancy was 4-8 weeks along. Understanding what they had told me and the timeline I came to the conclusion that everything seemed correct. However, just two weeks later we would go to a different OBGYN under her healthcare plan and they told a different story. That the pregnancy was in fact 12-14 weeks, this puzzled me because the timeline just didn't add up. When I would ask her about the timeline of the pregnancy she would get irritated and shrug me off. I then decided to ask around and see if I could find anything out, seeing as we had some common friends. In the meantime I would play the part I thought I should. I told her she should move into my house and leave her apartment so we could do things right and so I could be there to help her. I told her to work less and I helped her pay her bills, and I would do anything she needed to make her feel comfortable and happy. I was excited I had always wanted to be a father and I felt like I was doing the right thing. Everything came crashing down at the end of February 2022, after asking around and wearing some people down I finally got a close friend of hers to tell me the truth and show me evidence of her deception. Soon I had screenshots of her admitting to sleeping around with people just before we had started to talk again in August 2022, I got the names of the four different guys, as well as proof that two of the relationships had continued a few weeks into her coming to be with her news of her pregnancy. I felt heartbroken and I didn't know what to do. I felt crushed and I could bring myself to confront her right away. March 1st at around 3 am, I decided to talk to her. She was asleep and I had just come home from work so I woke her up and asked her about everything. She looked shocked but denied everything so I showed her the evidence I had and then she broke down crying. She began to say that it wasn't true and that she swore the child was mine and when I wouldn't budge she became angry. In the heat of arguing I said some admittedly distasteful things to her. The entire time we talked I was at the foot of the bed and she was sat up against the headboard. While I was arguing back she moved quickly and kicked me on my jaw and proceeded to get up and start yelling. I told her she had to leave and she started to throw things at me. As she started to fight me I grabbed her by her arms and restrained her on the bed. I never lost focus and knew she was pregnant and that I didn't want to hurt her. I just wanted her not to hurt me and for her to leave. She calmed down quickly and started to cry again asking me to please just talk to her and to believe her. At this point I was exhausted and just wanted to sleep. I told her we'd talk in the morning and left her alone. Come morning I had to drop off my rent to my landlady, she asked to come with me and I said okay. We talked in the car and she begged me to stay with her and I replied saying that she would have to do a paternity test and only if it showed that it was mine would I be involved but only with the child and never again with her. She agreed and I spent the day running errands and later with her, we acted as if nothing had happened. March 2nd we went out early with my roommate and mutual friend [redacted] I had to wash the cars and so they went alone to

the target while I cleaned both our cars and met up with them later. I drove her car after I had finished with it and met them to finish shopping. I had my work clothes because we both worked that night. She dropped me off and I expected to see her later at work. I wore a mask because my face had a bruise on it. While at work my manager pulled me to the side and said that there had been a report of us fighting and that I had to go home for the night and neither of us could work for the time being. I got a ride home and considered going to the police but a mutual friend convinced me not to so I didn't. The next day, March 3rd, I was arrested around 2pm. I was bailed out and went home the next day. We were both called the next week to work to give a statement of the situation. Two weeks later we were contacted by our boss Darold Donnelly to see if we'd come to an agreement to work separate schedules, since it was a 24 hour poker room, we would be working on opposite ends of the work day and never see each other. While I thought I was complying with the pretrial release order I hadn't yet gotten a lawyer and was unaware that I couldn't go to work seeing as we both had the same place as employment I was in violation. I didn't try to contact her or even see her but regardless I was arrested March 25th 2022 for violating my pretrial release. I was in jail from that day till July 18th 2022. On my lawyers advice I took a plea because the specific county I was in is notorious for fleecing inmates and being a he said she said situation I was better off taking a plea. The judge was kind enough to give me a withhold on justification, meaning I wouldn't be a felon. Ever since I got out I've been as straight edge as I was before. I finished my community service, completed my therapy, and paid off my court fees. While I'm currently still paying off the probation fees I'm complying with everything ordered to me and I've never missed a probation appointment or failed a drug test. I moved from Ocala to Daytona and have been on the path to rebuild all that I lost. I've learned a lot in this time. I take everything that happened to me as a lesson and I'm a better person for it. I've become more aware and learned that I should walk away. I'll never put myself in the situation where something like that can happen again. I love dealing poker and I would want nothing more than to be able to work in the industry again. I've been in the industry since I was 19 and I can imagine doing anything else. I appreciate the opportunity to have my gaming license reinstated and thank you for your time and consideration. Thank you.

Sincerely, Edgar Diaz

Edgar Diaz started with the company while he was still attending school (Jan 6th 2019 as a host). I had spoken with Edgar's parents about him working and going through school. They had no problem with him doing both. His work ethic was: He never called out of work, always the politest one in the room. Always had a smile on his face. The Host job was so easy for him, we also used him in the cage. Then in early 2021 he started out Dealing for us and never looked back.

Once Edgar Diaz had gotten into trouble and was out of jail (out on Bond) I called [redacted] (girlfriend) to my office and had a long talk with her about what happened. After the long talk, I said I was going to work the two of you on different shifts so that you guys would never see each other. She was fine with that. I also called Edgar to my office and had the same conversation with him as well. Then in about a weeks' time the police were sent out to arrest Edgar and at that time he was taken into custody and has not worked a day at Oxford Downs.

I have seen a lot of employees come and go and this is by far one of the nicest employees I have ever seen. But if you can find it in your heart to give him another chance (become a dealer), you will not be disappointed.

Thank You for all you do.

Darold Donnelly
Director of Operations
Oxford Downs
ddonnelly@betoxford.com
352-347-2273

To Whom It May Concern,

I met Mr. Diaz four years ago when my oldest daughter moved to Florida and met Edgar at their previous place of employment, Oxford Downs. My daughter moved into a spare bedroom Mr. Diaz had, and I never felt safer for my daughter who, at a young age, moved so far away from home.

My youngest daughter is currently dating Edgar, and they have been in a relationship for over two years. He is one of the kindest young men I've ever met. I have personally witnessed how recent events have affected his life, and I truly hope you reconsider your decision regarding his license. This is his livelihood, and there is no one more deserving of a new start.

If you have any questions, please don't hesitate to give me a call at 231-642-6093.

Thank you for your time.

Sincerely,
Kip Watkins

Character Statement of Edgar Diaz

I met Edgar Diaz when I first left the Marine Corps in 2017. He would come over my parent's house with my little brother and their group of friends. He was always respectful and pleasant to be around. When he graduated high school, he started working at Oxford Downs with me (I was in a management position.) He was always an amazing employee and treated everyone well. He always went above and beyond what we expected of him. Soon after he started his now ex-girlfriend started working with us as well Kristine. They had a really rough falling out and I know Edgar was found guilty of his crimes but I don't think that should prohibit him from moving forward from his past and being eligible to make a living. I know he loves his job, the industry, and the state of Florida. I hope to whoever reads this character statement they think about giving him another chance to make a living with the job he loves. I know if it was ever possible, I would hire him back immediately.

To whom It May Concern,

Poker Room Manager at Oxford Downs Justin Donnelly

Dear Members of the Florida Gaming Commission,

I'm writing to express my support for Edgar Diaz in his pursuit of having his poker license reinstated. I've known Edgar for seven years and had the pleasure of working alongside him for five of those years. During this time, I have come to appreciate his character more than anything else. He is a kind, honest, and reliable person who always treats others with respect and fairness.

Beyond his involvement in poker, Edgar genuinely cares for his friends and community. He has a way of bringing people together and making everyone feel welcome and valued. I believe reinstating his poker license would not only benefit him but would also positively impact those around him. Thank you for considering his application.

Warm regards,
Emily Donnelly

MEMORANDUM

To: The Florida Gaming Control Commission
From: Office of the General Counsel
Re: Hector Garcia Conejo Y Santana; Case No. 2025-059681; Proposed Settlement
Date: January 6, 2026

Executive Summary

The Commission filed an administrative complaint seeking suspension of the pari-mutuel professional individual occupational license of Hector Garcia Conejo Y Santana ("Respondent") under section 550.105(7), Florida Statutes. Respondent initially requested a formal hearing. After repaying his unpaid financial obligations, Respondent seeks to resolve this case in lieu of further litigation. Under the terms of the proposed settlement and consent order, Respondent agrees to pay a $500 administrative fine, and the Commission agrees not to take any further action against Respondent's license in this matter.

Background

On August 21, 2025, the Commission filed an administrative complaint alleging that Respondent incurred unpaid financial obligations for veterinary services, based on a judgment of default entered against Respondent on December 23, 2024 in the county court of the Seventeenth Judicial Circuit in and for Broward County, Florida, case number COCE-24-02267.

On September 8, 2025, Respondent requested a formal hearing with the Division of Administrative Hearings ("DOAH"), disputing that Respondent accrued unpaid financial obligations for veterinary services. The Commission referred this case to DOAH on September 17, 2025.

Thereafter, Respondent filed a Motion for Sanctions under section 57.106, Florida Statutes, requesting that DOAH issue sanctions against the Commission based on his assertion that he did not default in his financial obligations for veterinary services. Respondent also sent the Commission notice of a Second Motion for Sanctions. On October 15, 2025, Respondent filed a Withdrawal of Motion for Sanctions under Section 57.105 with DOAH, withdrawing his Motion for Sanctions and admitting that he had accrued unpaid financial obligations that formed the basis of the Administrative Complaint. Respondent also withdrew the notice to the

Commission of a second motion for sanctions. This was presented at the November 6, Commission meeting in a closed attorney-client session. Respondent now seeks the adoption of the proposed settlement and consent order, which seeks to issue a $500 administrative fine and Respondent will agree to not take any further action against Respondent's license in this matter.

Analysis

Section 550.105(7), Florida Statutes, provides, that "[t]he commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state."

Respondent defaulted in the Seventeenth Circuit in obligations directly relating to racing in the state of Florida. Accordingly, Respondent's license may be suspended or revoked.

Under section 120.57(4), Florida Statutes, the Commission may resolve matters such as this case informally through a negotiated settlement. As part of settlement negotiations, Petitioner is requesting that the Commission consider and agree to the proposed terms set forth in the Consent Order, whereby Respondent will agree to a $500 administrative fine, and Respondent will agree to not take any further action against Respondent's license in this matter effective from the date the Final Order is filed with the Clerk of the Commission.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

FGCC CASE NO.: 2025-059681

v.

HECTOR GARCIA CONEJO Y SANTANA,

Respondent.
_____/

CONSENT ORDER

Petitioner Florida Gaming Control Commission, Division of Pari-mutuel Wagering ("Commission") and Respondent Hector Garcia Conejo y Santana ("Respondent"), each, individually, a "party" and collectively the "parties"), pursuant to the consent proceedings authorized by section 120.57(4), Florida Statutes, stipulate and agree as follows:

WHEREAS, Respondent is the licensee for the State of Florida Pari-mutuel Wagering Individual Occupational License No. PIN4952854 ("License");

WHEREAS, the Commission has jurisdiction over this matter and Respondent;

WHEREAS, the Commission filed an administrative complaint against the Respondent, alleging violation of section 550.105(7), Florida Statutes, based on Respondent's accumulated unpaid obligation or default on an obligation directly relating to the sport of jai alai or racing being conducted at a pari-mutuel facility within Florida; and

WHEREAS, the parties have negotiated and agreed that the best interests of all the parties will be served by a settlement of these proceedings in lieu of further litigation.

THEREFORE, the Commission and Respondent stipulate and agree to the resolution of the dispute between them based on the following understandings and on the following terms:

1. All recitals herein are true and correct and are incorporated herein.

2. All parties agree that the above "whereas" clauses incorporated herein are binding findings of the parties.

3. The Commission is authorized by section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.

4. Each party has entered into the terms of this Stipulation and Consent Order voluntarily. Respondent is aware that it is entitled to the advice of counsel and has either sought the advice of counsel or, by execution of this Stipulation and Consent Order, is knowingly waiving the right to have the advice of counsel. Respondent acknowledges that the Commission has not made any promise, nor has it in any other way encouraged Respondent to enter into this Stipulation and Consent Order without the advice of counsel.

5. The parties acknowledge and agree that this Stipulation and Consent Order constitutes the final order in this case and that this Stipulation and Consent Order is enforceable under section 120.69 and chapter 550, Florida Statutes, as final agency action.

6. Respondent admits to the findings of fact and conclusions of law set forth in the administrative complaint, including that the debt at issue in this matter existed.

7. Respondent represents, affirms, and avows that the outstanding debt and the related civil matter between Respondent and a third-party were resolved.

8. Respondent agrees to timely resolve any obligations that directly relate to jai alai or racing being conducted at a licensed Florida pari-mutuel facility.

9. The Commission agrees to take no additional action against Respondent or Respondent's license in this matter.

10. **FINE**: Respondent agrees to and shall pay to the Commission the sum of **FIVE HUNDRED DOLLARS ($500.00)**. The payment shall be received by the Commission within thirty (30) days of filing of the Final Order Adopting the Settlement and Consent (the "Final Order") with the Commission. **The payment**

must be in the form of a certified check, cashier's check, or money order, made payable to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (NO PERSONAL CHECKS).

11. Respondent, for itself and its related or resulting organizations, successors, transferees, attorneys, heirs, and executors or administrators, discharges the Commission and its agents, representatives, and attorneys, of and from all claims, demands, actions, causes of action, suits, damages, losses and expenses of any and every nature whatsoever, arising out of or in any way related to this matter and the Commission's actions, including, but not limited to, any claims that were or may be asserted in any federal or state court or administrative forum, including any claims arising out of this agreement, by or on behalf of Respondent or its related or resulting organizations.

12. Each party shall bear its own costs and attorney's fees.

13. Nothing stated in this Consent Order shall be construed to operate as an affirmation or endorsement of the reasoning set forth in any motions filed by Respondent in response to the Administrative Complaint in this matter.

14. Nothing in this Stipulation and Consent Order shall be deemed to preclude the Commission from imposing a penalty against Respondent for any future act(s) or omission(s) constituting either a violation of Florida law or the Florida Administrative Code, and this Consent Order may be considered as aggravation of any future proceedings involving Respondent. Respondent agrees to reimburse the Commission for any and all expenses incurred by the Commission to enforce the provisions of this Consent Order should Respondent breach its terms.

15. The parties acknowledge and agree that this Consent Order is subject to the approval of the Commission. This Consent Order shall have no force or effect unless and until the Commission files a Final Order adopting this Consent Order. Should the Commission reject this Consent Order, no statement made within can be used as evidence against Respondent in any subsequent proceeding.

16. This Consent Order shall be binding upon the parties, their successors, assigns and legal representatives.

17. This Consent Order embodies the whole agreement of the parties. There are no promises, terms, conditions, or allegations other than those contained herein or in an attachment hereto, and this document with attachments shall supersede all previous communications, representations, and/or agreements, whether written or verbal, between the parties hereto.

18. The Consent Order may be modified only in writing executed by all parties. Any modification must be approved by the Commission prior to taking effect.

19. Upon the Commission's adoption of this Stipulation and Consent Order, Respondent agrees to waive any and all appeals and proceedings relating to these proceedings to which it may be entitled, including, but not limited to, an informal proceeding under section 120.57(2), Florida Statutes; a formal proceeding under section 120.57(1), Florida Statutes; appeals under section 120.68, Florida Statutes; and declaratory and all writs of relief in any court or quasi- court of competent jurisdiction; and agrees to waive compliance with the form of the Final Order (findings of fact and conclusions of law) to which it may be entitled, provided, however, that this agreement shall not be deemed a waiver by either party of its right to judicial enforcement of the Stipulation and Consent Order. Each party shall bear its own legal costs and attorney fees.

20. No claim or cause of action shall be made against the Commission or Respondent pertaining to this action other than an action to enforce the terms of this Consent Order. Venue for any action seeking to enforce the terms of this Consent Order shall lie solely in the Second Judicial Circuit, in and for Leon County, Florida.

21. Respondent authorizes the Commission to correct any scrivener's errors and make any non-material change to this Consent Order via motion without Respondent's prior approval. The Commission must serve a copy of any such motion to Respondent.

22. This Stipulation and Consent Order is executed by the parties for the purpose of avoiding further administrative action with respect to the matters addressed herein. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Stipulation and Consent Order. Should the Commission not accept this Stipulation and Consent Order, it is agreed that presentation to and consideration of this Stipulation and Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

23. This Stipulation and Consent Order may be signed in counterparts, and copies shall be treated as original.

24. The Parties agree that this Consent Order shall be placed on the next available Commission Meeting Agenda. Should Respondent wish to provide public comment regarding this Consent Order, it is Respondent's responsibility to provide such comment in writing to the Commission prior to the date of the meeting and/or to attend the meeting and comply with the Commission's procedures for providing verbal comments.

25. This Consent Order, if approved by the Commission shall become effective on the date of the filing of the Final Order adopting its terms with the Clerk of the Commission.

Respondent, Hector Garcia Conejo Y Santana requests that the Commission enter a Final Order approving and incorporating this Stipulation and Consent Order in resolution of this matter.

Signed this 3rd day of December, 2025.

Daniel J. McGinn, for

HECTOR GARCIA CONEJO Y SANTANA
Respondent

Daniel J. McGinn
Printed Name

Counsel for Respondent
Title

STATE OF FLORIDA

COUNTY OF Leon

The foregoing instrument was acknowledged before me, by means of ☑ physical presence or ☐ online notarization, this 3rd day of December, 2025, by a duly authorized representative of Hector Garcia Conejo Y Santana, who is personally known to me or who produced the following as identification:

_____

Theresa Waller
Notary Public

My commission expires:

THERESA WALLER
Commission # HH 370982
Expires May 11, 2027

This Stipulation and Consent Order for the Florida Gaming Control Commission Case Number 2025-059681 is APPROVED for legal sufficiency this ____ day of _____, 2025.

_____

Emily Alvarado
Chief Attorney
The Florida Gaming Control Commission

STATE OF FLORIDA
DIVISION OF ADMINISTRATIVE HEARINGS

FLORIDA GAMING CONTROL COMMISSION, DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

vs. Case No. 25-5053

HECTOR GARCIA CORNEJO Y SANTANA,

Respondent.
_____/

ORDER CLOSING FILE AND RELINQUISHING JURISDICTION

This cause having come before the undersigned on a Joint Motion to Relinquish Jurisdiction in which it is represented that there are no longer any disputed issues of material fact for determination, and the undersigned being fully advised, it is, therefore,

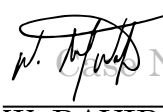
ORDERED that:

1. The motion hearing scheduled for November 4, 2025, and the final hearing scheduled for January 13, 2026, are cancelled;

2. The file of the Division of Administrative Hearings is closed;

3. Jurisdiction is relinquished to the Florida Gaming Control Commission, Division of Pari-mutuel Wagering.

DONE AND ORDERED this 15th day of October, 2025, in Tallahassee, Leon County, Florida.



W. DAVID WATKINS
Administrative Law Judge
DOAH Tallahassee Office

Division of Administrative Hearings
2001 Drayton Drive
Tallahassee, Florida 32311
(850) 488-9675
www.doah.state.fl.us

COPIES FURNISHED:

David S. Romanik, Esquire
(eServed)

Emily Ann Alvarado, Esquire
(eServed)

Marc Daniel Taupier, Esquire
(eServed)

STATE OF FLORIDA
DIVISION OF ADMINISTRATIVE HEARINGS

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

DOAH Case No.: 25-5053PL
FGCC Case No.: 2025-059681

v.

HECTOR GARCIA CORNEJO Y SANTANA,

Respondent.
_____/

JOINT MOTION TO RELINQUISH JURISDICTION

Respondent, Hector Garcia Cornejo y Santana, through undersigned counsel, respectfully moves the Administrative Law Judge to enter an order relinquishing jurisdiction in the above-styled case, and in support thereof, states as follows:

1. This matter is presently scheduled for final hearing on January 13, 2026, via Zoom conference.

2. Since the filing of this matter, the Parties agreed to enter into settlement negotiations to resolve the underlying matter. All settlement agreements must be approved by the Florida Gaming Control Commission.

3. Additionally, Respondent agrees that the underlying outstanding debt at issue in this matter existed, and the Parties agree there are no material facts in dispute in this matter.

4. Moreover, Respondent contemporaneously filed with this court a Withdrawal of Motion for Sanctions under Section 57.105. Absent Respondent's motion, and with both parties recognizing that there are no material facts in dispute in this matter, there are no pending motions or hearing requests that are ripe to be addressed.

5. Thus, as the Parties agree to pursue settlement negotiations, there are no material

Filed October 15, 2025 11:48 AM Division of Administrative Hearings

facts in dispute, and have no ripe pleadings remaining before this court, there is no longer a basis for the Division of Administrative Hearings to maintain jurisdiction over this matter nor a need to proceed with a formal administrative hearing. As such, the Parties request that the matter be relinquished back to the Florida Gaming Control Commission, Division of Pari-mutuel Wagering.

6. Counsel for Respondent conferred with counsel for Petitioner, and is authorized to represent that Petitioner joins in this motion.

WHEREFORE, the Parties respectfully request the Administrative Law Judge enter an Order relinquishing jurisdiction in this matter to the Florida Gaming Control Commission.

Respectfully submitted on this 15th day of October, 2025.

S/David S. Romanik
David S. Romanik FBN 212199
David S. Romanik, P.A.
Counsel for Respondent
P.O. Box 993
Ocala, Fl 34478
954-610-4441
davidromanik@mac.com

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this 15th day of October, 2025, a true and correct copy of the foregoing was electronically filed with the Agency Clerk for the Division of Administrative Hearing and electronically served on Petitioner's counsel, Emily Alvarado at emily.alvarado@flgaming.gov, and Marc Taupier at marc.taupier@flgaming.gov.

S/David S. Romanik

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: **8/28/2025**
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FGCC Case No. 2025-059681

FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERNG,

Petitioner,

v.

HECTOR GARCIA CONEJO Y SANATANA,

Respondent.
_____/

MOTION FOR SANCTIONS UNDER SECTION 57.105

Respondent HECTOR GARCIA CONEJO Y SANATANA, by and through his undersigned counsel, moves for the imposition against the Petitioner and its attorneys, equally, the full range of the sanctions authorized under §57.105, Fla. Stat. (2025), including an award of reasonable attorneys' fees to Respondent in having to retain counsel to defend himself the Administrative Complaint filed against Respondent on August 21, 2025 in Case No.2025-059681; and in support hereof states:

1. In relevant part, §57.105(1), Fla. Stat. (2025) provides as follows:

> **Section 57.105—Attorney's fee; sanctions for raising unsupported claims or defenses; exceptions; service of motions; damages for delay of litigation.—**
>
> **(1) Upon the court's initiative or motion of any party, the court shall award a reasonable attorney's fee, including prejudgment interest, to be paid to the prevailing party in equal amounts by the losing party and the losing party's attorney on any claim or defense at any time during a civil proceeding or action in which the court finds that the losing party or the losing party's attorney knew or should have known that a claim or defense when initially presented to the court or at any time before trial:**
>
> **(a) <u>Was not supported by the material facts necessary to establish the claim or defense</u>; or**

(b) <u>Would not be supported by the application of then-existing law to those material facts</u>.

2. On August 21, 2025, Petitioner filed an Administrative Complaint against Respondent seeking to suspend the owner's racing license previously issued by Petitioner to Respondent for an alleged violation of §550.105(7) allegedly caused by the existence of an unpaid Final Judgment issued against Respondent in Broward County Small Claims Court Case No. COCE-24-022567 (the "Broward County Case") on December 23, 2024.

3. On June 14, 2025, the plaintiff in the Broward County Case dismissed the Broward County Case without prejudice, which dismissal had the effect of voiding the Final Judgment so that, after June 14, 2025, there has been no existing Final Judgement that would support that a §550.015(7) violation had occurred. Apparently, the plaintiff in the Broward County Case elected not to inform Petitioner of this development.

4. On August 4, 2025, the Judge in the Broward County Case scheduled a hearing on the plaintiff's lawsuit for October 8, 2025, again confirming that there currently is no existing Final Judgement that would support that a §550.105(7) violation had occurred.

5. At the time that the Administrative Complaint was initially filed, Petitioner, through the exercise of due diligence, including the availability of an on-line search of the court records for the Broward County Case, knew or should have known that the Final Judgment upon which the relief in the Administrative Complaint was based had been voluntarily dismissed and discharged two months earlier in the Broward County Case; and, accordingly, the Administrative Complaint, when initialing filed, was not supported by the material fact -- the existence of an unpaid judgment related to horse racing activity -- necessary to establish that the relief sought in the Administrative Complaint was proper.

6. Despite Petitioner's lack of due diligence before the filing of the Administrative

Complaint, on August 26, 2025, Respondent's undersigned counsel email to Petitioner's counsel a copy of the Notice of Dismissal of the Broward County Case, a copy of which is attached as Exhibit 1. Upon receipt of the Notice of Dismissal, Petitioner and its counsel knew or should have known that Final Judgment upon which the relief in the Administrative Complaint was sought was no longer in existence; and, therefore, the Administrative Complaint was not supported by the material facts necessary to establish the relief sought therein after June 14, 2025.

7. A copy of this Motion was served by email on Petitioner through its agency clerk and its attorney of record at least twenty-one (21) days before the filing of this Motion as required by §57.105(4).

8. Respondent has retained David S. Romanik to represent him in this matter and she has agreed to pay his attorney a reasonable fee for his services.

WHEREFORE, if the Administrative Complaint is not withdrawn on or before twenty-one (21) days after the service of this motion, then Respondent prays for the entry of an order imposing on the Petitioner and its attorneys, equally, all of the sanctions authorized by §57.105, including an award of reasonable attorneys' fees for Respondent's undersigned attorney.

Dated this 27th day of August, 2025.

S/David S. Romanik
David S. Romanik (FBN 212199)
David S. Romanik, P.A.
Counsel for Respondent
Post Office Box 993
Ocala, Florida 34478
Telephone: 954/610-4441
Email: davidromanik@mac.com

CERTIFICATE OF SERVICE

On August 27, 2025, a true copy of the foregoing Notice was sent by email to Petitioner's Agency Clerk, Melba L. Apellaniz @ Melba.Apellaniz@flgaming.gov, and to Petitioner's attorney of record, Joseph Call @joseph.call@flgaming.gov.

S/David S. Romanik

EXHIBIT 1

Instr# 119639687 , Page 1 of 1, Recorded 06/20/2024 at 11:15 AM
Broward County Commission

Filing # 200596224 E-Filed 06/14/2024 02:46:06 PM

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

HECTOR GARCIA-CORNEJO
Defendant.
_____/

SMALL CLAIMS DIVISION
COCE-24-022567

NOTICE OF VOLUNTARY DISMISSAL WITHOUT PREJUDICE

YOU ARE NOTIFIED that Plaintiff, PETVET OPERATING, LLC dba TFB EQUINE dismisses its action against HECTOR GARCIA-CORNEJO without prejudice.

CERTIFICATE OF SERVICE

I CERTIFY that that a true and correct copy of the foregoing was filed with the Court, and served via the Florida Courts eFiling Portal, in accordance with rule 2.516, Florida Rules of Judicial Administration this 14th day of June 2024.

MARK GUNDERSON, P.L.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001
(954) 791-6154 Fax
mgunderson@mindspring.com
/s/ Mark Gunderson
FBN: 0842141

*** FILED: BROWARD COUNTY, FL BRENDA D. FORMAN, CLERK 06/14/2024 02:46:04 PM.****

STATE OF FLORIDA
DIVISION OF ADMINISTRATIVE HEARINGS

DOAH Case No.: 25-005053PL
FGCC Case No.: 2025-059681

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,
Petitioner,
v.
HECTOR GARCIA CORNEJO Y SANTANA
Respondent.
_____/

WITHDRAWAL OF MOTION FOR SANCTIONS UNDER SECTION 57.105

Respondent HECTOR GARCIA CONEJO Y SANATANA, by and through his undersigned counsel, hereby withdraws with prejudice the Motion for Sanctions under Section 57.105 served on Petitioner on August 27, 2025 and filed in this proceeding on September 29, 2025.

Dated this 15th day of October, 2025.

S/David S. Romanik

David S. Romanik FBN 212199
David S. Romanik, P.A.
Counsel for Respondent
P.O. Box 993
Ocala, Fl 34478
954-610-4441
davidromanik@mac.com

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this 15th day of October, 2025, a true and correct copy of the foregoing was filed with the Agency Clerk for the Division of Administrative Hearing and on Petitioner's counsel, Emily Alvarado at emily.alvarado@flgaming.gov and Marc Taupier at marc.taupier@flgaming.gov.

S/David S. Romanik

Filed October 15, 2025 11:47 AM Division of Administrative Hearings

STATE OF FLORIDA
DIVISION OF ADMINISTRATIVE HEARINGS

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

DOAH Case No.: 25-005053PL
FGCC Case No.: 2025-059681

HECTOR GARCIA CORNEJO Y SANTANA

Respondent.
_____/

COMMISSION'S RESPONSE TO RESPONDENT'S MOTION FOR SANCTIONS AND COMMISSION'S RENEWED MOTION TO RELINQUISH JURISDICTION

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (the "Commission"), by and through its undersigned counsel, responds in opposition to Respondent's Motion for Sanctions and states as follows:

BACKGROUND

1. On December 23, 2024, the county court of the 17th Judicial Circuit of Florida entered an order against Respondent, holding that Respondent accumulated an unpaid financial obligation to PetVet Operating LLC (the "Judgment of Default"). *See PetVet Operating, LLC v Hector Gacia Cornejo,* No. COCE24022567 (Fla. Broward Cty. Ct. 2024); *see also* Ex. A at 000047. A certified copy of the record is attached as Exhibit A.

2. As of the filing of this Response, the Judgment of Default has not been vacated or reversed and therefore remains in full legal effect. *See PetVet Operating, LLC v Hector Gacia Cornejo,* No. COCE24022567 (Fla. Broward Cty. Ct. 2024); *see also* Ex. B. (certified copy of the docket).

Filed October 6, 2025 4:11 PM Division of Administrative Hearings

3. On August 21, 2025, based on the Judgment of Default, the Commission filed an administrative complaint against Respondent, alleging that Respondent incurred unpaid financial obligations for veterinary services and was therefore subject to discipline under section 550.105(7), Florida Statutes (the "Administrative Complaint"). *See* Admin. Complaint in FGCC v. Hector Y Santana Garcia Cornejo Case No 2025-059681.

4. On September 8, 2025, Respondent submitted an Election of Rights requesting a formal administrative hearing and alleging, *inter alia*, that Respondent does not have a delinquency in racing debts and that there is no judgment of default entered against Respondent in the 17th Judicial Circuit of Florida. *See* Election of Rights in FGCC v. Hector Y Santana Garcia Cornejo, DOAH Case No. 2025-059681.

5. At Respondent's request, the Commission timely referred this case to the Division of Administrative Hearings on September 17, 2025.

6. On September 23, 2025, Petitioner filed a Motion to Relinquish Jurisdiction, asserting that, despite Respondent's claims in the Election of Rights, no genuine issue of material fact existed in this matter because the Judgment of Default, contrary to Respondent's claims, was not vacated or reversed and remains in full legal effect. *See* Mot. To Relinquish Jurisdiction.

7. The Motion to Relinquish was denied on September 26, 2025. *See* Order Denying Mot. To Relinquish Jurisdiction.

8. On September 29, 2025, Respondent filed a Motion for Sanctions Under Section 57.105 (the "First Motion for Sanctions"). *See* Mot. For Sanctions Under §57.105, Fla. Stat.

I. COMMISSION'S RESPONSE TO MOTION FOR SANCTIONS UNDER §57.105, FLA. STAT.

A. The Judgement of Default was not voided on June 14, 2025.

9. In Paragraph 3 of the First Motion for Sanctions, Respondent claims that on "June 14, 2025, the plaintiff in the Broward County Case[1] dismissed the Broward County Case without prejudice, which dismissal had the effect of voiding the Final Judgement so that, after June 14, 2025, there has been no existing Final Judgment that would support that a §550.015(7) violation had occurred." (emphasis added). *See* Mot. For Sanctions Under §57.105, Fla. Stat. ¶ 3.

10. Respondent's claim is wrong. Neither the plaintiff nor the court in the Broward County Case dismissed the Broward County Case on June 14, 2025. *See PetVet Operating, LLC v Hector Gacia Cornejo,* No. COCE24022567 (Fla. Broward Cty. Ct. 2024); *see also* Ex. B.

11. Instead, the plaintiff in the Broward County Case court initially dismissed the Broward County Case on June 14, 2024. *See* Ex. A at 000026.

12. Then, on July 23, and July 29, 2024, the plaintiff in the Broward County case filed an Ex parte Motion to Reinstate Case. *See* Ex. A at 000028 and 000029.

13. On August 4, 2024, the judge in the Broward County ordered that the voluntary dismissal be set aside and reopened the matter. *See* Ex. A at 000033.

14. Finally, on December 23, 2024, the Broward County Case court entered the Judgment of Default against Respondent, holding that Respondent accumulated an unpaid financial obligation to PetVet Operating LLC. *See* Ex. A. at 000047; *see also* Ex. B.

[1] The term "Broward County Case," as used in Respondent's First Motion for Sanctions, refers to *PetVet Operating, LLC v Hector Gacia Cornejo,* No. COCE24022567 (Fla. Broward Cty. Ct. 2024). For ease of reference, the term "Broward County Case" in this Response also refers to *PetVet Operating, LLC v Hector Gacia Cornejo,* No. COCE24022567 (Fla. Broward Cty. Ct. 2024).

15. There is no docket entry for June 14, 2025. Contrary to Respondent's claims in Paragraph 3, the Broward County Case court did not dismiss the Judgment of Default on June 14, 2025. *See* Ex. B.

16. In fact, Respondent has admitted that when the Commission filed the Administrative Complaint, the Judgment of Default was in full legal effect.

17. On September 29, 2025, counsel for Respondent sent the undersigned, via electronic mail, a notice of a Second Motion for Sanctions Under Section 57.105 (the "Second Motion for Sanctions").[2] A copy of Respondent's counsel's email, titled "SERVICE OF COURT DOCUMENT," and the Second Motion for Sanctions are attached hereto as Exhibit C.

18. Exhibit 1 to the Second Motion for Sanctions is a document titled Stipulation for Payment of Final Judgment in *PetVet Operating, LLC v Hector Gacia Cornejo,* No. COCE24022567 (the "Stipulation"). *See* Ex. C at 000060.

19. Respondent entered into the Stipulation on September 23, 2025, six days before filing the First Motion for Sanctions. *Id*.

20. In the Stipulation, Respondent stipulates that:

> 1. [Respondent] is indebted to [PetVet Operating, LLC] *via judgment* in the amount of $1,807.52, plus interest at the rate of 9.50% *of the judgment date*.
>
> a. [Respondent] agrees to pay, and Plaintiff agrees to accept payment of $800.00 in full and final settlement (waiving any remaining balance including interest) if paid on or before September 30, 2025, time being of the essence…(emphasis added).

Id.

[2] The Second Motion for Sanctions Under 57.105 contains numerous misstatements and misrepresentations of the applicable facts and law, each of which the Commission will address at length when Respondent files the Second Motion for Sanctions.

21. Further, in the Second Motion for Sanctions, Respondent acknowledges that there is an existing outstanding debt relating to racing that Respondent paid in full after the issuance of the Administrative Complaint. *See* Ex. C, ¶ 3 (Referring to Exhibit 1, Respondent's counsel writes that "[o]n September 29, 2025, Respondent's counsel sent to Petitioner's counsel by email copies... showing that the... indebtedness of Respondent to the plaintiff in the Broward County Case – in the amount of $800 – has been paid in full.") Pursuant to Exhibit 1 of the Second Motion for Sanctions, Respondent paid the debt in full on September 28, 2025. *See* Ex. C at 000061.

22. Therefore, contrary to Respondent's claim in Paragraph 3, at all times relevant to this matter, the Judgment of Default that forms the basis of the Administrative Complaint is in full legal effect.

B. The Certified Docket does not indicate a hearing scheduled for October 8, 2025.

23. In Paragraph 4 of the First Motion for Sanctions, Respondent claims that "on August 4, 2025, the Judge in the Broward County Case scheduled a hearing on the plaintiff's lawsuit for October 8, 2025, again confirming that there currently is no existing Final Judgement that would support that a §550.105(7) violation had occurred." (emphasis added). *See* Mot. For Sanctions Under §57.105, Fla. Stat. ¶ 4.

24. This statement is wrong. There is no hearing scheduled for October 8, 2025, in *PetVet Operating, LLC v Hector Gacia Cornejo,* No. COCE24022567 on the Broward County certified docket. *See* Ex. B at 000053.

25. Instead, a hearing was scheduled for October 8, 2024, 76 days before the Broward County Case court entered the Judgment of Default. *See id.*

C. The Certified Record does not show that the December 23, 2024, Final Judgment in the Broward County Case had been voluntarily dismissed.

26. In Paragraph 5 of the Motion for Sanctions, Respondent claims that

> At the time that the Administrative Complaint was initially filed, Petitioner, through the exercise of due diligence, including the availability of an on-line search of the court records for the Broward County Case, knew or should have known that *the Final Judgment upon which the relief in the Administrative Complaint was based had been voluntarily dismissed and discharged two months earlier in the Broward County Case*; and, accordingly, the Administrative Complaint, when initialing filed, was not supported by the material fact -- the existence of an unpaid judgment related to horse racing activity -- necessary to establish that the relief sought in the Administrative Complaint was proper. (emphasis added).

See Mot. For Sanctions Under §57.105, Fla. Stat. ¶ 5.

27. This statement is wrong. There are no documents in the certified record in the Broward County Case that evidence a dismissal, voluntary or otherwise, of the Judgment of Default before the date the Administrative Complaint was initially filed. *See* Ex. A. The Administrative Complaint was initially filed on August 21, 2025. At the time, the Judgment of Default was in full legal effect. *See PetVet Operating, LLC v Hector Gacia Cornejo,* No. COCE24022567 (Fla. Broward Cty. Ct. 2024); *see also* Ex. B.

D. The Notice of Dismissal provided by Petitioner's counsel was entered on June 14, 2024.

28. In Paragraph 6 of the Motion for Sanctions, Respondent claims that on August 26, 2025, Respondent's counsel emailed to Petitioner's counsel a copy of the Notice of Dismissal of the Broward County Case, on which Petitioner should have known that the Judgment of Default that forms the basis of the Administrative Complaint was not support by the material facts necessary to establish the relief sought therein after June 14, 2025. *See* Mot. For Sanctions Under §57.105, Fla. Stat. ¶ 6.

29. The Notice of Dismissal provided by Respondent's counsel to the undersigned on August 26, 2025, is dated June 14, 2024. But on December 23, 2024, 193 days after the filing of the Notice of Dismissal provided by Respondent to the undersigned, the Broward County court

entered the Judgment of Default that forms the basis of the Administrative Complaint. *See PetVet Operating, LLC v Hector Gacia Cornejo,* No. COCE24022567 (Fla. Broward Cty. Ct. 2024); *see also* Ex. B.

30. Finally, Respondent's motion for sanctions is premature. Under section 57.105(1), Florida Statutes, a court awards a reasonable attorney's fee, including prejudgment interest, to the prevailing party. Respondent has not prevailed in the cause before this Court. This motion is thus premature.

E. Request for Attorney's Fees under section 120.569(2)(e), Florida Statutes.

31. Because Respondent filed the First Motion for Sanctions, signed by Respondent's counsel, for an improper purpose, the Commission is seeking an award of costs and attorney's fees under section 120.595(2)(e), Florida Statutes, incurred by the Commission in responding to the First Motion for Sanctions.

32. At the time Respondent filed the First Motion for Sanctions, signed by Respondent's counsel, Respondent and Respondent's counsel knew, or should have known, that the Default of Final Judgment was in full legal effect and that Respondent accumulated an unpaid financial obligation that formed the basis of the Administrative Complaint.

33. On September 23, 2025, Respondent entered into a Stipulation, wherein he agreed that: he is indebted to the plaintiff via judgment for $1,807.52 plus interest at the rate of 9.50% of the judgment date, and that he agrees to pay and plaintiff agrees to accept payment of $800.00 in full and final settlement (waiving any remaining balance including interest) if paid on or before September 30, 2025. *See* Ex. C at 000060.

34. On September 28, 2025, Respondent's counsel emailed the undersigned to demand dismissal of the Administrative Complaint. A copy of the electronic correspondence titled

"Cornejo" and the attachment to the email titled Stipulation for Payment of the Final Judgment are attached hereto as composite Exhibit D.

35. In the Cornejo email, Respondent's counsel admits that a final judgment of default was entered against his client and that his client was planning to satisfy the outstanding debt. *See* Ex. D at 000066.

36. The Stipulation of the Final Judgment attached to the Cornejo email is identical to the Stipulation discussed in paragraphs 18 through 20 above. *See* Ex. D at 000067; *see also* Ex. C at 000060.

37. This Stipulation was again sent via email to the Commission on September 29, 2025, attached to the Second Motion for Sanctions. *See* Ex. C at 000060.

38. Thus, on September 29, 2025, Respondent and Respondent's counsel knew that any claim that the Judgment of Default was not in full legal effect at the time the Administrative Complaint was issued was a false claim.[3]

39. Yet Respondent filed the First Motion for Sanctions on September 29, 2025, incorrectly claiming just that. *See* Mot. For Sanctions Under §57.105, Fla. Stat. ¶ 5.

40. Section 120.569(2)(e), Florida Statutes, authorizes the presiding officer to award an appropriate sanction, including costs and attorneys' fees, against Respondent's counsel if a motion or a pleading is filed for "any improper purposes."

41. Under section 120.569(2)(e), Florida Statutes, improper purposes include harassment, unnecessary delay, frivolous purpose, or needless increase in the cost of litigation.

[3] The Second Motion for Sanctions further demonstrates Respondent's counsel's knowledge of the incorrect claims in the First Motion for Sanctions, as the Second Motion for Sanctions acknowledges the existence of the debt and instead relies on different claims by Respondent.

42. "Under the plain language of the statute, once the ALJ found the petition was filed for an improper purpose, she was required to impose a sanction." *Palafox, LLC v. Diaz,* 334 So. 3d 353, 355 (Fla. 4d DCA 2022); *See also Cf. Suarez v. Bank of N.Y. Mellon Trust Co.*, 325 So. 3d 205, 208 (Fla. 2d DCA 2021).

43. In *Procacci Commercial Realty, Inc. v. Department of Health & Rehabilitative Services*, 690 So. 2d 603, 608 (Fla. 1st DCA 1997), the First District Court of Appeal adopted an objective standard that requires a court to ask, in the absence of direct evidence of a counsel's state of mind, whether an ordinary person standing in the counsel's shoes would have prosecuted the claim.

44. An ordinary person standing in Respondent's counsel's shoes would not file a Motion for Sanctions that is based solely on claims that the ordinary person knows to be wrong.

45. Based on the Cornejo email sent by Respondent's counsel the day before Respondent filed the First Motion for Sanctions, demanding that the Commission dismiss the Administrative Complaint, it appears that the purpose of the First Motion for Sanctions was intended to needlessly increase the cost of litigation and to secure the Commission's compliance with Respondent's demands.

46. The Commission has expended significant resources, including attorney and investigator time, to respond to Respondent's First Motion for Sanctions.

47. Therefore, the Commission requests that this Court find that the First Motion for Sanctions was filed for an improper purpose and impose any sanctions on Respondent's counsel the court deems proper.

II. RENEWED MOTION TO RELINQUISH JURISDICTION

48. Based on additional information provided by Respondent and Respondent's counsel to the undersigned, the Commission renews its motion to relinquish jurisdiction because there are no material facts in dispute in this matter. *See* Ex. C; *see also* Ex. D.

49. Section 120.57(1), Florida Statutes, provides the applicable procedures that apply in hearings involving disputed issues of material fact.

50. Section 120.57(1)(i), Florida Statutes, specifically provides that:

> When, in any proceeding conducted pursuant to this subsection, a dispute of material fact no longer exists, any party may move the administrative law judge to relinquish jurisdiction to the agency. An order relinquishing jurisdiction shall be rendered if the administrative law judge determines from the pleadings, depositions, answers to interrogatories, and admissions on file, together with supporting and opposing affidavits, if any, that no genuine issue as to any material fact exists. If the administrative law judge enters an order relinquishing jurisdiction, the agency may promptly conduct a proceeding pursuant to subsection (2), if appropriate, but the parties may not raise any issues of disputed fact that could have been raised before the administrative law judge.

51. If there is no genuine issue as to any material fact, the Division of Administrative Hearing must relinquish jurisdiction back to the Agency. *See Fairpay Sols. v. State, Agency for Health Care Admin.*, 969 So. 2d 455, 458 (Fla. 1st DCA 2007).

52. The Administrative Complaint seeks to impose one or more penalties under Section 550.105(7) based on Respondent's unpaid obligations or defaults in obligations that directly relate to racing conducted at a pari-mutuel facility. *See* Admin. Complaint in FGCC v. Hector Y Santana Garcia Cornejo Case No 2025-059681.

53. Accordingly, the facts material to the intended Agency action in this cause are solely whether Respondent had an unpaid obligation entered against him that relates to racing being conducted at a pari-mutuel facility within this state.

54. Respondent accumulated an unpaid financial obligation relating to racing conducted at a pari-mutuel facility within this state. *See PetVet Operating, LLC v Hector Gacia Cornejo,* No. COCE24022567 (Fla. Broward Cty. Ct. 2024); *see also* Ex. A and B.

55. Further, Respondent has now admitted that he accumulated an unpaid financial obligation related to racing being conducted at a pari-mutuel facility within this state. *See* Ex. C; *see also* Ex D.

56. Although, Respondent has now claimed that he has satisfied this unpaid obligation as of September 28, 2025, that fact is immaterial in this case.

WHEREFORE, based on the above, the Commission respectfully requests the Administrative Law Judge (1) deny Respondent's Motion for Sanctions; (2) impose an appropriate sanction against Respondent's counsel for filing the First Motion for Sanctions for an improper purpose under section 120.569(2)(e), Florida Statutes; (3) relinquish jurisdiction in this case and refer this case back to the Commission for a hearing under section 120.57(2), Florida Statutes; and (4) any such relief that this Administrative Law Judge finds just and proper.

Respectfully submitted this 6th day of October 2025.

/s/Emily A. Alvarado

Emily A. Alvarado
Chief Attorney
FBN: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: +1 (850) 536-8712
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a true and correct copy of the foregoing document has been provided to the following addressee via electronic mail on this 6th day of October 2025:

David S. Romanik
c/o David S. Romanik, Esq.
PO Box 993.
Ocala, Florida 34478-0993
davidromanik@mac.com

/s/ Emily A. Alvarado
Emily A. Alvarado
Chief Attorney
FBN: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: +1 (850) 536-8712
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

EXHIBIT A

FORM 1.997. CIVIL COVER SHEET

The civil cover sheet and the information contained in it neither replace nor supplement the filing and service of pleadings or other documents as required by law. This form must be filed by the plaintiff or petitioner with the Clerk of Court for the purpose of reporting uniform data pursuant to section 25.075, Florida Statutes. (See instructions for completion.)

I. CASE STYLE

IN THE CIRCUIT/COUNTY COURT OF THE SEVENTEENTH JUDICIAL CIRCUIT, IN AND FOR BROWARD COUNTY, FLORIDA

PetVet Operating LLC dba TFB Equine
Plaintiff

Case # _____
Judge _____

vs.
Hector Garcia-Cornejo
Defendant

II. AMOUNT OF CLAIM

Please indicate the estimated amount of the claim, rounded to the nearest dollar. The estimated amount of the claim is requested for data collection and clerical processing purposes only. The amount of the claim shall not be used for any other purpose.

- ☒ $8,000 or less
- ☐ $8,001 - $30,000
- ☐ $30,001- $50,000
- ☐ $50,001- $75,000
- ☐ $75,001 - $100,000
- ☐ over $100,000.00

III. TYPE OF CASE (If the case fits more than one type of case, select the most definitive category.) If the most descriptive label is a subcategory (is indented under a broader category), place an x on both the main category and subcategory lines.

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the ___ day of _____, 20__
Brenda D. Forman, Clerk of Courts
By: _____
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
17TH JUDICIAL CIRCUIT
FLORIDA
BROWARD COUNTY

**** FILED: BROWARD COUNTY, FL BRENDA D. FORMAN, CLERK 04/14/2024 04:29:06 PM.****

CIRCUIT CIVIL

☐ Condominium
☐ Contracts and indebtedness
☐ Eminent domain
☐ Auto negligence
☐ Negligence—other
- ☐ Business governance
- ☐ Business torts
- ☐ Environmental/Toxic tort
- ☐ Third party indemnification
- ☐ Construction defect
- ☐ Mass tort
- ☐ Negligent security
- ☐ Nursing home negligence
- ☐ Premises liability—commercial
- ☐ Premises liability—residential

☐ Products liability
☐ Real Property/Mortgage foreclosure
- ☐ Commercial foreclosure
- ☐ Homestead residential foreclosure
- ☐ Non-homestead residential foreclosure
- ☐ Other real property actions

☐Professional malpractice
- ☐ Malpractice—business
- ☐ Malpractice—medical
- ☐ Malpractice—other professional

☐ Other
- ☐ Antitrust/Trade regulation
- ☐ Business transactions
- ☐ Constitutional challenge—statute or ordinance
- ☐ Constitutional challenge—proposed amendment
- ☐ Corporate trusts
- ☐ Discrimination—employment or other
- ☐ Insurance claims
- ☐ Intellectual property
- ☐ Libel/Slander
- ☐ Shareholder derivative action
- ☐ Securities litigation
- ☐ Trade secrets
- ☐ Trust litigation

COUNTY CIVIL

☒ Small Claims up to $8,000
☐ Civil
☐ Real property/Mortgage foreclosure

☐ Replevins
☐ Evictions
  ☐ Residential Evictions
  ☐ Non-residential Evictions
☐ Other civil (non-monetary)

COMPLEX BUSINESS COURT

This action is appropriate for assignment to Complex Business Court as delineated and mandated by the Administrative Order. Yes ☐ No ☒

IV. REMEDIES SOUGHT (check all that apply):
☒ Monetary;
☐ Nonmonetary declaratory or injunctive relief;
☐ Punitive

V. NUMBER OF CAUSES OF ACTION: [ ]
(Specify)

1

VI. IS THIS CASE A CLASS ACTION LAWSUIT?
☐ yes
☒ no

VII. HAS NOTICE OF ANY KNOWN RELATED CASE BEEN FILED?
☒ no
☐ yes If "yes," list all related cases by name, case number, and court.
No

VIII. IS JURY TRIAL DEMANDED IN COMPLAINT?
☐ yes
☒ no

IX. DOES THIS CASE INVOLVE ALLEGATIONS OF SEXUAL ABUSE?
☐ yes
☒ no

I CERTIFY that the information I have provided in this cover sheet is accurate to the best of my knowledge and belief, and that I have read and will comply with the requirements of Florida Rule of Judicial Administration 2.425.

Signature: s/ Mark Gunderson
Attorney or party

Fla. Bar # 842141
(Bar # if attorney)

Mark Gunderson
(type or print name)

04/14/2024
Date

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,

SMALL CLAIMS DIVISION

vs

HECTOR GARCIA-CORNEJO
Defendant.
_____/

COMPLAINT

Plaintiff, **PETVET OPERATING dba TFB EQUINE** ("Plaintiff"), sues defendant, **HECTOR GARCIA-CORNEJO**, ("Defendant") and alleges,

1. This is an action on an open account.

2. This Court has subject matter jurisdiction over this dispute because this complaint seeks damages of $8,000.00 or less exclusive of interest, attorneys' fees, and costs. §34.01(2) Fla. Stat.

3. Venue is proper in the 17th Judicial Circuit, in that Plaintiff's cause of action arose in Broward County Florida. §47.011 Fla. Stat.

4. Plaintiff is a resident of Broward County and otherwise *sui juris.*

5. The Court has jurisdiction over the Defendant in that Plaintiff's cause of action against Defendant arises from Defendant operating, conducting, engaging in, or carrying on a business or business venture in this state, breaching a contract in this state by failing to perform acts required by the contract to be performed in this state, and/or Defendants' substantial and not isolated activity within this state. §48.193 Fla. Stat.

6. Service is alternatively made (if applicable) via substituted service on the Secretary for the State of Florida in accordance with §48.161 Fla. Stat. or §48.181 Fla. Stat. in that Defendant(s) were residents of this state and subsequently became nonresident(s) of this state or has concealed his whereabouts.

7. **Defendant owes Plaintiff $806.04** that is due with interest since March 31, 2024, based on an agreement for goods and services that were sold and delivered at an agreed upon price, **directly related to horse racing being conducted at a pari-mutuel facility**. See Exhibit A.

8. Plaintiff is entitled to prevailing party attorney fees pursuant to agreement between the parties.

WHEREFORE, Plaintiff demands judgment for damages, attorney fees and costs against Defendant.

MARK GUNDERSON, P.L.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001; (954) 791-6154 Fax
mgunderson@mindspring.com
/s/ Mark Gunderson FBN: 0842141

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears of record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 23 day of April, 2024
Brenda D. Forman, Clerk of Courts
Deputy Clerk

IN GOD WE TRUST 17TH JUDICIAL CIRCUIT & COUNTY COURT BROWARD COUNTY FLORIDA

**** FILED: BROWARD COUNTY, FL BRENDA D. FORMAN, CLERK 04/14/2024 04:29:06 PM.****

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 680-5886
Fax: (954) 680-5976
Office@tfbequine.com

Hector Garcia-Cornejo
Cornejo Racing Inc.
8685 SW 55th Ct
Ocala, FL 34476-9457

Trainer: DAVID, CARLOS

Date: Mar-31-24
Client #: 268732
Page: 1

$ _____
AMOUNT REMITTED

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
	Balance Forward					**0.00**
*** PAYMENTS AND ADJUSTMENTS TO YOUR ACCOUNT ****						
7/31/23	Interest					3.12
8/31/23	Interest					3.12
9/30/23	Interest					3.14
11/30/23	Interest					3.16
12/31/23	Interest					3.16
1/31/24	Interest					3.17
2/29/24	Interest					3.19
3/31/24	Interest					3.20
	GIRVIN STAR *100.00%*					
5/4/23	Butecort Sweat Paste	0.06	02	FL		6.00
5/8/23	Lasix/Salix - AM EIPH Prevention	1	10	FL		25.00
5/10/23	Tranquilize - Dormosedan	1	10	FL		37.00
5/11/23	Ketoprofen	1	17	FL		45.00
5/11/23	Adequan I.M. - 500 Mg.	1	17	FL		85.00
5/11/23	Lactated Ringers & DMSO IV	1	17	FL		58.50
5/12/23	Phenylbutazone Injection	1	17	FL		18.00
5/12/23	Enterolyte X 2 Via Stomach Tube	1	17	FL		58.50
5/12/23	Vitamin B-12	1	17	FL		35.00
5/12/23	Vitamin C	1	17	FL		41.50
5/12/23	L-Arginine	1	17	FL		33.00
5/13/23	Tranquilize - Acepromazine	1	35	FL		34.00
5/15/23	DR/CR Xray Knee - RF	1	10	FL		263.00
5/15/23	Digital Image Archive/Storage	1	10	FL		13.50

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.
Click here to make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a $100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

PetVet Operating, LLC

Exhibit A 2/2

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 680-5886
Fax: (954) 680-5976
Office@tfbequine.com

Hector Garcia-Cornejo
Cornejo Racing Inc.
8685 SW 55th Ct
Ocala, FL 34476-9457

Trainer: DAVID, CARLOS

Date: Mar-31-24
Client #: 268732
Page: 2

$ _____
AMOUNT REMITTED

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
5/18/23	Acepromazine Maleate Anh Oral Solution 100ml	0.06	10	FL		8.16
5/19/23	Acepromazine Maleate Anh Oral Solution 250ml	0.06	10	FL		13.38
5/19/23	Biozide Gel	0.06	10	FL		1.59
5/27/23	Phenylbutazone Powder - 2.2 lb. jar	0.06	02	FL		4.65
	***GIRVIN STAR* Sub-Total**				**780.78**	

Over 90 Days	Over 60 Days	Over 30 Days	Current	Total Amount
796.48	3.17	3.19	3.20	

PLEASE PAY THIS AMOUNT >>>>>>>> 806.04

Client #:268732

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.
Click here to make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a $100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

PetVet Operating, LLC

PETVET OPERATING, LLC
dba TFB EQUINE
PLAINTIFF

VS.

HECTOR GARCIA-CORNEJO
DEFENDANT

IN THE COUNTY COURT IN AND
FOR BROWARD COUNTY, FLORIDA
CASE NO. COCE-24-022567
JUDGE
DIV.

SUMMONS/NOTICE TO APPEAR FOR PRETRIAL CONFERENCE

SMALL CLAIMS

STATE OF FLORIDA – NOTICE TO PLAINTIFF(S) AND DEFENDANT(S)

HECTOR GARCIA CORNEJO
8685 SW 55TH CT
OCALA, FL 34476

YOU ARE HEREBY NOTIFIED that you are required to appear in person or by attorney at the Broward County Courthouse in Courtroom _____, located at _____, _____ on _____ at _____ for a **PRETRIAL CONFERENCE** before a Judge of this court.

IMPORTANT – READ CAREFULLY
THE CASE WILL NOT BE TRIED AT THAT TIME.
DO NOT BRING WITNESSES--APPEAR IN PERSON OR BY ATTORNEY.

The defendant(s) must appear in court on the date specified in order to avoid a default judgment. The plaintiff(s) must appear to avoid having the case dismissed for lack of prosecution. A written MOTION or ANSWER to the court by the plaintiff(s) or the defendant(s) shall not excuse the personal appearance of a party or its attorney in the PRETRIAL CONFERENCE. The date and time of the pretrial conference CANNOT be rescheduled without good cause and prior court approval.

A corporation may be represented at any stage of the trial court proceedings by an officer of the corporation or any employee authorized in writing by an officer of the corporation. Written authorization must be brought to the Pretrial Conference.

The purpose of the pretrial conference is to record your appearance, to determine if you admit all or part of the claim, to enable the court to determine the nature of the case, and to set the case for trial if the case cannot be resolved at the pretrial conference. You or your attorney should be prepared to confer with the court and to explain briefly the nature of your dispute, state what efforts have been made to settle the dispute, exhibit any documents necessary to prove the case, state the names and addresses of your witnesses, stipulate to the facts that will require no proof and will expedite the trial, and estimate how long it will take to try the case.

Mediation may take place at the pretrial conference. Whoever appears for a party must have full authority to settle. Failure to have full authority to settle at this pretrial conference may result in the imposition of costs and attorney fees incurred by the opposing party.

Pretrial Information: Appear at
201 Southeast 6th Street,Fort Lauderdale, FL 33301
on 05/21/2024 at 9:00 AM
in Central Ctroom 10165.

**** FILED: BROWARD COUNTY, FL BRENDA D. FORMAN, CLERK 04/16/2024 12:25:20 PM.****

If you admit the claim, but desire additional time to pay, you must come and state the circumstances to the court. The court may or may not approve a payment plan and withhold judgment or execution or levy.

RIGHT TO VENUE. The law gives the person or company who has sued you the right to file in any one of several places as listed below. However, if you have been sued in any place other than one of these places, you, as the defendant(s), have the right to request that the case be moved to a proper location or venue. A proper location or venue may be one of the following: (1) where the contract was entered into; (2) if the suit is on an unsecured promissory note, where the note is signed or where the maker resides; (3) if the suit is to recover property or to foreclose a lien, where the property is located; (4) where the event giving rise to the suit occurred; (5) where any one or more of the defendants sued reside; (6) any location agreed to in a contract; (7) in an action for money due, if there is no agreement as to where the suit may be filed, where payment is to be made.

If you, as the defendant(s), believe the plaintiff(s) has/have not sued in one of these correct places, you must appear on your court date and orally request a transfer, or you must file a **WRITTEN** request for transfer in affidavit form (sworn to under oath) with the court 7 days prior to your first court date and send a copy to the plaintiff(s) or plaintiff(s) attorney, if any.

A copy of the statement of claim shall be served with this summons.

DATED at _____ Florida, on APR 17 2024

Filed by: Mark Gunderson, Esq.
Address: 2510 SW 18 Street
Fort Lauderdale, FL 33312
954-288-1001

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 3 day of Oct 20 24
Brenda D. Forman, Clerk of Courts
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT IN GOD WE TRUST 17TH JUDICIAL CIRCUIT FLORIDA BROWARD COUNTY

BRENDA D. FORMAN AS CLERK OF THE COURT

By _____

CLERK OF CIRCUIT & COUNTY COURT IN GOD WE TRUST FLORIDA

BRENDA D. FORMAN

If you are a person with a disability who needs any accommodation in order to participate in this proceeding, you are entitled, at no cost to you, to the provision of certain assistance. Please contact Diana Sobel, Room 20140, 201 S.E. Sixth Street, Fort Lauderdale, Florida 33301, 954-831-7721 at least 7 days before your scheduled court appearance, or immediately upon receiving this notification if the time before the scheduled appearance is less than 7 days; if you are hearing or voice impaired, call 711.

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

HECTOR GARCIA-CORNEJO
Defendant.
_____/

SMALL CLAIMS DIVISION
COCE-24-022567

NOTICE OF UNAVAILABILITY

PLEASE TAKE NOTICE that the undersigned attorney, Mark Gunderson, Esq., counsel of record for Plaintiff, in the above action, will be unavailable from May 8, 2024, up through and including May 20, 2024. The undersigned respectfully requests that no trials, depositions, hearings, discovery, or pleadings requiring an immediate response, or any other cause be set or scheduled in the above-captioned case, during the above-referenced time period, and that this notice serve as a motion for protective order from any such matters.

CERTIFICATE OF SERVICE

I CERTIFY that that a true and correct copy of the foregoing was filed with the Court, and served via the Florida Courts eFiling Portal, in accordance with rule 2.516, Florida Rules of Judicial Administration this 16h day of April 2024.

MARK GUNDERSON, P.L.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001; (954) 791-6154 Fax
mgunderson@mindspring.com
/s/ Mark Gunderson
FBN: 0842141

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 23 day of OCT 20
Brenda D. Forman, Clerk of Courts
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
17TH JUDICIAL CIRCUIT
FLORIDA
BROWARD COUNTY

2

In the County Court in and for Broward County, Florida

Date: 05/21/2024

Time: 9:00 AM

Div 52 - Giuseppina Miranda

PetVet Operating LLC ✓
Plaintiff
vs.

Hector Garcia Cornejo
Defendant

Case No. COCE-24-022567

ORDER ON PRETRIAL CONFERENCE

✓ THE CLERK SHALL RESET PRETRIAL PENDING SERVICE, RULE 1.070(j) HEREBY INVOKED
JULY 30 2024 @ 10:01

___ A (DEFAULT) IS HEREBY ENTERED AGAINST THE DEFENDANT(S), AND THE (PLAINTIFF SHALL SUBMIT) (CLERK SHALL PREPARE) A (AGREED) JUDGMENT FOR PLAINTIFF **[SEE RIGHT]**

___ THIS CASE IS DISMISSED (WITH) (WITHOUT) PREJUDICE; PLAINTIFF REQUEST OR DID NOT APPEAR

___ THIS CASE IS DISMISSED AS SETTLED (IN FULL) (BY STIPULATION FOR PAYMENTS)

___ THE COURT RETAINS JURISDICTION TO ENFORCE THE TERMS OF SETTLEMENT

___ THE PARTIES HAVE ___ DAYS TO SUBMIT/FILE BY E-ORDER JOINT STIP INVOKING RULES OF CIV PROC, CONSISTENT W/DIV ONLINE PROCEDURES

___ OTHER

PRINCIPAL $_____

PREJUDGMENT INTEREST $_____

COSTS $_____

ATTY FEES $_____

INTEREST RATE _____%

JUDGE'S NOTES OR SPECIAL INSTRUCTIONS TO CLERK:

no return of service

DONE AND ORDERED THIS MAY 21 2024

County Court Judge
Giuseppina Miranda

Filed In Open Court
CLERK OF THE COUNTY COURT
ON MAY 21 2024
BY

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
17TH JUDICIAL CIRCUIT
FLORIDA
BROWARD COUNTY

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears of record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the ___ day of ___ 20___
Brenda D. Forman, Clerk of Courts
Deputy Clerk

In the County Court in and for Broward County, Florida

PetVet Operating LLC
Plaintiff
vs.

Hector Garcia Cornejo
Defendant

Case No: COCE-24-022567

Judge: Miranda, Giuseppina
Division: 52

Notice of Resetting Pretrial Hearing

You are hereby notified on this the 28th day of May, 2024, that the above styled case has been reset for pretrial hearing for the 7/30/2024 at 10:01 AM at:

Broward County Courthouse
Central Ctroom 10165
201 S.E. 6th Street
Fort Lauderdale, FL 33301

To wit: Per Judge

Please be governed accordingly.

Copies Furnished To:
Plaintiff_____
Plaintiff's Atty____X___
Defendant(s)_____
Defendant's Atty_____

Clerk of Courts

Deputy Clerk

If you are a person with a disability who needs any accommodation in order to participate in this proceeding, you are entitled, at no cost to you, to the provision of certain assistance. Please contact Diana Sobel, Room 20140, 201 S.E. Sixth Street, Fort Lauderdale, Florida 33301, 954-831-7721 at least 7 days before your scheduled court appearance, or immediately upon receiving this notification if the time before the scheduled appearance is less than 7 days; if you are hearing or voice impaired, call 711.

STATE OF FLORIDA
BROWARD COUNTY
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WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 28 day of May 2024
Brenda D. Forman, Clerk of Courts
Deputy Clerk

PetVet Operating LLC
2510 SW 18 ST
Fort Lauderdale FL 33312

Mark Gunderson
2510 SW 18th St
Ft Lauderdale FL 33312

RETURN OF NON-SERVICE

State of Florida **County of Broward** **17th Judicial Circuit Court**

Case Number: COCE-24-022567 Court Date: 5/21/2024 9:00 am

Plaintiff:
PETVET OPERATING, LLC
dba TFB EQUINE

vs.

Defendant:
HECTOR GARCIA-CORNEJO

For:
MARK GUNDERSON
MARK GUNDERSON PL
2510 SW 18TH STREET
FT. LAUDERDALE, FL 33312

Received by Coast to Coast Process Service, Inc. on the 6th day of May, 2024 at 4:11 pm to be served on **HECTOR GARCIA CORNEJO, 8685 SW 55TH CT, OCALA, FL 34476**

I, Michael W. Jones, do hereby affirm that on the **25th day of May, 2024** at **2:51 pm, I:**

NON-SERVED the **SUMMONS/NOTICE TO APPEAR FOR PRETRIAL CONFERENCE & COMPLAINT WITH EXHIBIT A** for the reason that I failed to find **HECTOR GARCIA CORNEJO** or any information to allow further search. Read the comments below for further details.

Additional Information pertaining to this Service:
5/25/2024 2:51 pm Attempted service at 8685 SW 55TH CT, OCALA, FL 34476. Per Carla, defendant is not known here.

I certify that I am over the age of 18, have no interest in the above action, and am a Certified Process Server, in good standing, in the judicial circuit in which the process was served. Under penalties of perjury, I declare that I have read the forgoing Verified Return of service and the facts stated in it are True. Pursuant to F.S. 92.525(2), Notary not required.

Michael W. Jones
C.P.S. ID# 89-6-3

Coast to Coast Process Service, Inc.
4302 HOLLYWOOD BLVD.
#293
HOLLYWOOD, FL 33021
(786) 715-9299

Our Job Serial Number: CTZ-2024001524

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the ___ day of ___ 20___
Brenda D. Forman, Clerk of Courts
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
17TH JUDICIAL CIRCUIT
FLORIDA
BROWARD COUNTY

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IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

HECTOR GARCIA-CORNEJO
Defendant.
_____/

SMALL CLAIMS DIVISION
COCE-24-022567

AFFIDAVIT OF DILIGENT SEARCH AND INQUIRY – HECTOR GARCIA CORNEJO

STATE OF FLORIDA
COUNTY OF BROWARD

The undersigned officer of the court, MARK GUNDERSON, ESQ., deposes and says:

1. I am a member of the bar of this Court and attorney for Plaintiff in the above-entitled action and I am familiar with all the facts and circumstances in this action.

2. I have made diligent search and inquiry to discovery the residence or whereabouts of HECTOR GARCIA-CORNEJO to effect personal service, and have,

(a) Made diligent inquiry and exerted an honest and conscientious effort appropriate to the circumstances to acquire the information necessary to effectuate personal service;
(b) In seeking to effectuate personal service, I reasonably employed the knowledge at my command, including knowledge obtained pursuant to paragraph (a); and
(c) Made an appropriate number of attempts to serve the party, taking into account the particular circumstances, during such times when and where such party is reasonably likely to be found, as determined through resources reasonably available to me seeking to secure service of process."

3. The following are the searches and effort made,

__X__ Inquiry of Social Security Information
__X__ Telephone listings in the last known locations of defendant's residence
__X__ Statewide directory assistance search
__X__ Internet people finder search *(Tracers)*
__X__ Voter Registration in the area where defendant was last known to reside
__X__ Nationwide Masterfile Death Search
__X__ Tax Collector's records in area where defendant was last known to reside
__X__ Tax Assessor's records in area where defendant was last known to reside
__X__ Department of Motor vehicle records in the state of defendant's last known address

*** FILED: BROWARD COUNTY, FL BRENDA D. FORMAN, CLERK 05/30/2024 03:13:01 AM.****

__X___ Driver's License records search in the state of defendant's last known address
__X___ Department of Corrections records in the state of defendant's last known address
__X___ Federal Prison records search
__X___ Regulatory agencies for professional or occupational licensing
__X___ Inquiry to determine if defendant is in military service
__X___ Last known employment of defendant

4. I have also attempted to serve process by process server: Coast To Coast Process Service, Inc, 4302 Hollywood Blvd #293, Hollywood, FL 33021 (786) 715-9299.

5. Defendant's current residence is in some state or country other than Florida. HECTOR GARCIA CORNEJO last known address is 8685 SW 55th Ct., Ocala, FL 34476.

6. Defendant having residence in Florida, has been absent from Florida for more than 60 days prior to the date of this affidavit, or conceals him (her) self so that process cannot be served personally upon him, and I believe there is no person in the state other than the Secretary of State upon whom service of process would bind this absent or concealed person.

Under penalties of perjury, I declare that I have read the foregoing affidavit and the facts stated in it are true. Dated May 21, 2024.

/s/ Mark Gunderson, Esq.
Name of Affiant

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 23 day of OCT 20 23
Brenda D. Forman, Clerk of Courts
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
17TH JUDICIAL CIRCUIT
FLORIDA
BROWARD COUNTY

Filing # 199428414 E-Filed 05/30/2024 03:13:04 AM

PETVET OPERATING LLC dba
TFB EQUINE

PLAINTIFF

VS.

HECTOR GARCIA CORNEJO

DEFENDANT

IN THE COUNTY COURT IN AND
FOR BROWARD COUNTY, FLORIDA
CASE NO. COCE-24-022567
JUDGE
DIV.

ALIAS

SUMMONS/NOTICE TO APPEAR FOR PRETRIAL CONFERENCE

IN ACCORDANCE WITH §48.161 & §48.181 Fla. Stat.

SMALL CLAIMS

STATE OF FLORIDA – NOTICE TO PLAINTIFF(S) AND DEFENDANT(S)

HECTOR GARCIA CORNEJO
C/O SECRETARY OF STATE
PO BOX 6327, TALLAHASSEE, FL 32314

YOU ARE HEREBY NOTIFIED that you are required to appear in person or by attorney at the Broward County Courthouse in Courtroom _____, located at _____, _____ on _____ at _____ for a **PRETRIAL CONFERENCE** before a Judge of this court.

IMPORTANT – READ CAREFULLY
THE CASE WILL NOT BE TRIED AT THAT TIME.
DO NOT BRING WITNESSES--APPEAR IN PERSON OR BY ATTORNEY.

The defendant(s) must appear in court on the date specified in order to avoid a default judgment. The plaintiff(s) must appear to avoid having the case dismissed for lack of prosecution. A written MOTION or ANSWER to the court by the plaintiff(s) or the defendant(s) shall not excuse the personal appearance of a party or its attorney in the PRETRIAL CONFERENCE. The date and time of the pretrial conference CANNOT be rescheduled without good cause and prior court approval.

A corporation may be represented at any stage of the trial court proceedings by an officer of the corporation or any employee authorized in writing by an officer of the corporation. Written authorization must be brought to the Pretrial Conference.

The purpose of the pretrial conference is to record your appearance, to determine if you admit all or part of the claim, to enable the court to determine the nature of the case, and to set the case for trial if the case cannot be resolved at the pretrial conference. You or your attorney should be prepared to confer with the court and to explain briefly the nature of your dispute, state what efforts have been made to settle the dispute, exhibit any documents necessary to prove the case, state the names and addresses of your witnesses, stipulate to the facts that will require no proof and will expedite the trial, and estimate how long it will take to try the case.

Mediation may take place at the pretrial conference. Whoever appears for a party must have full authority to settle. Failure to have full authority to settle at this pretrial conference may result in the imposition of costs and attorney fees incurred by the opposing party.

Pretrial Information: Appear at
201 Southeast 6th Street,Fort Lauderdale, FL 33301
on 07/30/2024 at 10:01 AM
in Central Ctroom 10165.

*** FILED: BROWARD COUNTY, FL BRENDA D. FORMAN, CLERK 05/30/2024 03:13:01 AM.****

If you admit the claim, but desire additional time to pay, you must come and state the circumstances to the court. The court may or may not approve a payment plan and withhold judgment or execution or levy.

RIGHT TO VENUE. The law gives the person or company who has sued you the right to file in any one of several places as listed below. However, if you have been sued in any place other than one of these places, you, as the defendant(s), have the right to request that the case be moved to a proper location or venue. A proper location or venue may be one of the following: (1) where the contract was entered into; (2) if the suit is on an unsecured promissory note, where the note is signed or where the maker resides; (3) if the suit is to recover property or to foreclose a lien, where the property is located; (4) where the event giving rise to the suit occurred; (5) where any one or more of the defendants sued reside; (6) any location agreed to in a contract; (7) in an action for money due, if there is no agreement as to where the suit may be filed, where payment is to be made.

If you, as the defendant(s), believe the plaintiff(s) has/have not sued in one of these correct places, you must appear on your court date and orally request a transfer, or you must file a **WRITTEN** request for transfer in affidavit form (sworn to under oath) with the court 7 days prior to your first court date and send a copy to the plaintiff(s) or plaintiff(s) attorney, if any.

A copy of the statement of claim shall be served with this summons.

DATED at _____ Florida, on MAY 31 2024

Filed by: Mark Gunderson, Esq.
Address: 2510 SW 18 Street
Fort Lauderdale, FL 33312
954-288-1001

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the ___ day of _____ 20___
Brenda D. Forman, Clerk of Courts
By _____
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT IN GOD WE TRUST 17TH JUDICIAL CIRCUIT FLORIDA BROWARD COUNTY

BRENDA D. FORMAN AS CLERK OF THE COURT

By _____ **BRENDA D. FORMAN**

If you are a person with a disability who needs any accommodation in order to participate in this proceeding, you are entitled, at no cost to you, to the provision of certain assistance. Please contact Diana Sobel, Room 20140, 201 S.E. Sixth Street, Fort Lauderdale, Florida 33301, 954-831-7721 at least 7 days before your scheduled court appearance, or immediately upon receiving this notification if the time before the scheduled appearance is less than 7 days; if you are hearing or voice impaired, call 711.

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

HECTOR GARCIA-CORNEJO
Defendant.
_____/

SMALL CLAIMS DIVISION
COCE-24-022567

AFFIDAVIT OF SERVICE OF PROCESS

MARK GUNDERSON, ESQ. says he is attorney for plaintiff in this action. Affiant mailed or caused a copy of the process and complaint in this action to be served online with the Secretary of State of Florida. It was received and accepted by the Secretary of State as shown by the notice of acceptance attached. Affiant mailed the notice of service of process, the complaint, and summons, copies of which are attached, to defendant, HECTOR GARCIA-CORNEJO via US Mail and certified mail, return receipt requested. The certified receipt (attached) is that which was provided to affiant by the United States Postal Service.

Under penalties of perjury, I declare that I have read the foregoing affidavit and the facts stated in it are true.

Dated: June 4, 2024

/s/ Mark Gunderson, Esq.
Name of Affiant

MARK GUNDERSON, P.L.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001
(954) 791-6154 Fax
/s/ Mark Gunderson
FBN: 0842141

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 3 day of OCT 2024
Brenda D. Forman, Clerk of Courts
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
17TH JUDICIAL CIRCUIT
FLORIDA
BROWARD COUNTY

FLORIDA DEPARTMENT OF STATE

Division of Corporations

June 3, 2024

Mark Gunderson

Pursuant to Chapter 48.161,48.181 Florida Statutes, substitute service of process was accepted for Hector Garcia Cornejo in case number COCE-24-022567 and was filed on June 1, 2024 at 8:11 AM.

Plaintiff(s)
PetVet Operating LLC dba TFB Equine
v.
Defendant(s)
Hector Garcia Cornejo

The Secretary of State does not forward documentation to the defendant. All inquiries on behalf of this defendant should be made to the attorneys involved.

Frederica F. McCloud
Processor of Service

Division of Corporations
P.O. Box 6327, Tallahassee, Florida 32314

Letter No. 240601009SOP.01

Filing # 199428414 E-Filed 05/30/2024 03:13:04 AM

PETVET OPERATING LLC dba
TFB EQUINE

PLAINTIFF

VS.

HECTOR GARCIA CORNEJO

DEFENDANT

IN THE COUNTY COURT IN AND
FOR BROWARD COUNTY, FLORIDA
CASE NO. COCE-24-022567
JUDGE
DIV.

ALIAS

SUMMONS/NOTICE TO APPEAR FOR PRETRIAL CONFERENCE

IN ACCORDANCE WITH §48.161 & §48.181 Fla. Stat.

SMALL CLAIMS

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HECTOR GARCIA CORNEJO
C/O SECRETARY OF STATE
PO BOX 6327, TALLAHASSEE, FL 32314

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in Central Ctroom 10165.

*** FILED: BROWARD COUNTY, FL BRENDA D. FORMAN, CLERK 05/30/2024 03:13:01 AM.****

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If you, as the defendant(s), believe the plaintiff(s) has/have not sued in one of these correct places, you must appear on your court date and orally request a transfer, or you must file a **WRITTEN** request for transfer in affidavit form (sworn to under oath) with the court 7 days prior to your first court date and send a copy to the plaintiff(s) or plaintiff(s) attorney, if any.

A copy of the statement of claim shall be served with this summons.

DATED at _____Florida, on MAY 31 2024

Filed by: Mark Gunderson, Esq.
Address: 2510 SW 18 Street
Fort Lauderdale, FL 33312
954-288-1001

BRENDA D. FORMAN AS
CLERK OF THE COURT

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
FLORIDA
BROWARD COUNTY

By_____ **BRENDA D. FORMAN**

If you are a person with a disability who needs any accommodation in order to participate in this proceeding, you are entitled, at no cost to you, to the provision of certain assistance. Please contact Diana Sobel, Room 20140, 201 S.E. Sixth Street, Fort Lauderdale, Florida 33301, 954-831-7721 at least 7 days before your scheduled court appearance, or immediately upon receiving this notification if the time before the scheduled appearance is less than 7 days; if you are hearing or voice impaired, call 711.

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

SMALL CLAIMS DIVISION
COCE-24-022567

HECTOR GARCIA-CORNEJO
Defendant.
_____/

COMPLAINT

Plaintiff, PETVET OPERATING dba TFB EQUINE ("Plaintiff"), sues defendant, HECTOR GARCIA-CORNEJO, ("Defendant") and alleges,

1. This is an action on an open account.

2. This Court has subject matter jurisdiction over this dispute because this complaint seeks damages of $8,000.00 or less exclusive of interest, attorneys' fees, and costs. §34.01(2) Fla. Stat.

3. Venue is proper in the 17th Judicial Circuit, in that Plaintiff's cause of action arose in Broward County Florida. §47.011 Fla. Stat.

4. Plaintiff is a resident of Broward County and otherwise *sui juris.*

5. The Court has jurisdiction over the Defendant in that Plaintiff's cause of action against Defendant arises from Defendant operating, conducting, engaging in, or carrying on a business or business venture in this state, breaching a contract in this state by failing to perform acts required by the contract to be performed in this state, and/or Defendants' substantial and not isolated activity within this state. §48.193 Fla. Stat.

6. Service is alternatively made (if applicable) via substituted service on the Secretary for the State of Florida in accordance with §48.161 Fla. Stat. or §48.181 Fla. Stat. in that Defendant(s) were residents of this state and subsequently became nonresident(s) of this state or has concealed his whereabouts.

7. **Defendant owes Plaintiff $806.04** that is due with interest since March 31, 2024, based on an agreement for goods and services that were sold and delivered at an agreed upon price, **directly related to horse racing being conducted at a pari-mutuel facility**. See Exhibit A.

8. Plaintiff is entitled to prevailing party attorney fees pursuant to agreement between the parties.

WHEREFORE, Plaintiff demands judgment for damages, attorney fees and costs against Defendant.

MARK GUNDERSON, P.L.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001; (954) 791-6154 Fax
mgunderson@mindspring.com
/s/ Mark Gunderson FBN: 0842141

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 680-5886
Fax: (954) 680-5976
Office@tfbequine.com

Hector Garcia-Cornejo
Cornejo Racing Inc.
8685 SW 55th Ct
Ocala, FL 34476-9457

Trainer: DAVID, CARLOS

Date: Mar-31-24
Client #: 268732
Page: 1

$ _____
AMOUNT REMITTED

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
	Balance Forward					**0.00**
*** PAYMENTS AND ADJUSTMENTS TO YOUR ACCOUNT ****						
7/31/23	Interest					3.12
8/31/23	Interest					3.12
9/30/23	Interest					3.14
11/30/23	Interest					3.16
12/31/23	Interest					3.16
1/31/24	Interest					3.17
2/29/24	Interest					3.19
3/31/24	Interest					3.20
	GIRVIN STAR *100.00%*					
5/4/23	Butecort Sweat Paste	0.06	02	FL		6.00
5/8/23	Lasix/Salix - AM EIPH Prevention	1	10	FL		25.00
5/10/23	Tranquilize - Dormosedan	1	10	FL		37.00
5/11/23	Ketoprofen	1	17	FL		45.00
5/11/23	Adequan I.M. - 500 Mg.	1	17	FL		85.00
5/11/23	Lactated Ringers & DMSO IV	1	17	FL		58.50
5/12/23	Phenylbutazone Injection	1	17	FL		18.00
5/12/23	Enterolyte X 2 Via Stomach Tube	1	17	FL		58.50
5/12/23	Vitamin B-12	1	17	FL		35.00
5/12/23	Vitamin C	1	17	FL		41.50
5/12/23	L-Arginine	1	17	FL		33.00
5/13/23	Tranquilize - Acepromazine	1	35	FL		34.00
5/15/23	DR/CR Xray Knee - RF	1	10	FL		263.00
5/15/23	Digital Image Archive/Storage	1	10	FL		13.50

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.
Click here to make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a $100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

PetVet Operating, LLC

Exhibit A 2/2

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 680-5886
Fax: (954) 680-5976
Office@tfbequine.com

Hector Garcia-Cornejo
Cornejo Racing Inc.
8685 SW 55th Ct
Ocala, FL 34476-9457

Trainer: DAVID, CARLOS

Date: Mar-31-24
Client #: 268732
Page: 2

$ _____
AMOUNT REMITTED

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
5/18/23	Acepromazine Maleate Anh Oral Solution 100ml	0.06	10	FL		8.16
5/19/23	Acepromazine Maleate Anh Oral Solution 250ml	0.06	10	FL		13.38
5/19/23	Biozide Gel	0.06	10	FL		1.59
5/27/23	Phenylbutazone Powder - 2.2 lb. jar	0.06	02	FL		4.65
	***GIRVIN STAR* Sub-Total**				**780.78**	

Over 90 Days	Over 60 Days	Over 30 Days	Current	Total Amount
796.48	3.17	3.19	3.20	

PLEASE PAY THIS AMOUNT >>>>>>>> **806.04**

Client #:268732

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.
Click here to make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a $100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

PetVet Operating, LLC

000025

9589 0710 5270 1153 9503 17

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Domestic Mail Only

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Certified Mail Fee

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Extra Services & Fees (check box, add fee as appropriate)

- ☐ Return Receipt (hardcopy) $
- ☐ Return Receipt (electronic) $
- ☐ Certified Mail Restricted Delivery $
- ☐ Adult Signature Required $
- ☐ Adult Signature Restricted Delivery $

Postage

$

Total Postage and Fees

$

Postmark Here

Sent To **Hector Garcia-Cornejo**

Street and Apt. No., or PO Box No. **8685 SW 55th Ct.**

City, State, ZIP+4® **Ocala, FL 34476**

PS Form 3800, January 2023 PSN 7530-02-000-9047 See Reverse for Instructions

Instr# 119639687 , Page 1 of 1, Recorded 06/20/2024 at 11:15 AM
Broward County Commission

Filing # 200596224 E-Filed 06/14/2024 02:46:06 PM

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

HECTOR GARCIA-CORNEJO
Defendant.
_____/

SMALL CLAIMS DIVISION
COCE-24-022567

NOTICE OF VOLUNTARY DISMISSAL WITHOUT PREJUDICE

YOU ARE NOTIFIED that Plaintiff, PETVET OPERATING, LLC dba TFB EQUINE dismisses its action against HECTOR GARCIA-CORNEJO without prejudice.

CERTIFICATE OF SERVICE

I CERTIFY that that a true and correct copy of the foregoing was filed with the Court, and served via the Florida Courts eFiling Portal, in accordance with rule 2.516, Florida Rules of Judicial Administration this 14th day of June 2024.

MARK GUNDERSON, P.L.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001
(954) 791-6154 Fax
mgunderson@mindspring.com
/s/ Mark Gunderson
FBN: 0842141

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 20 day of Oct 20
Brenda D. Forman, Clerk of Courts
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
17TH JUDICIAL CIRCUIT
FLORIDA
BROWARD COUNTY

*** FILED: BROWARD COUNTY, FL BRENDA D. FORMAN, CLERK 06/14/2024 02:46:04 PM.****

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

HECTOR GARCIA-CORNEJO
Defendant.
_____/

SMALL CLAIMS DIVISION
COCE-24-022567

PLAINTIFF'S EXPARTE MOTION TO REINSTATE CASE
(Service on Secretary of State)

Plaintiff, PETVET OPERATING, LLC dba TFB EQUINE ("Plaintiff"), by and through the undersigned counsel, hereby moves this Honorable Court to reinstate the above-captioned case, and in support thereof states as follows:

1. Background
    a. On April 14, 2024, Plaintiff filed a Complaint against Defendant in the above-captioned case, and service was effected through the Secretary of State on June 4, 2024.
    b. On June 17, 2024, Plaintiff filed a Notice of Voluntary Dismissal Without Prejudice due to an alleged violation of the automatic stay under 11 U.S.C. § 362(a) in the bankruptcy proceeding of a related party (In re: Sano Racing Stables LLC, Case No. 24-12298-SMG).
2. **Bankruptcy Court Ruling**
    a. Subsequent to the dismissal, the Bankruptcy Court issued an order on July 19, 2024 determining that there was no violation of the automatic stay by the filing or continuation of this state court case.
    b. A copy of the Bankruptcy Court's order is attached hereto as Exhibit "A."
3. **Grounds for Reinstatement**
    a. Given the Bankruptcy Court's ruling that there was no violation of the automatic stay, the reason for the voluntary dismissal of this case is no longer applicable.
    b. Reinstating this case will serve the interests of justice, and allow for the resolution of the claims on their merits.
4. **Relief Sought**
    a. Plaintiff respectfully requests that this Honorable Court reinstate the above-captioned case to the active docket.

b. Plaintiff is prepared to pay any applicable reopening fees as required by the Clerk of Court.

WHEREFORE, Plaintiff respectfully requests that this Court (1) enter an order reinstating the above-captioned case (2) reset the pretrial hearing, and grant any other relief deemed just and proper.

CERTIFICATE OF SERVICE

I CERTIFY that that a true and correct copy of the foregoing was filed with the Court, and served via the Florida Courts eFiling Portal, in accordance with rule 2.516, Florida Rules of Judicial Administration this 22nd day of July 2024, and sent via US Mail to Hector Garcia-Cornejo, 8685 SW 55th Ct., Ocala, FL 34476.

/s/Mark Gunderson, Esq.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001
(954) 791-6154 Fax
Electronic Service: mgunderson@mindspring.com
FBN: 0842141

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the _____ day of _____ 20____
Brenda D. Forman, Clerk of Courts

Deputy Clerk

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

HECTOR GARCIA-CORNEJO
Defendant.
_____/

SMALL CLAIMS DIVISION
COCE-24-022567

PLAINTIFF'S EXPARTE MOTION TO REINSTATE CASE
(Service on Secretary of State)

Plaintiff, PETVET OPERATING, LLC dba TFB EQUINE ("Plaintiff"), by and through the undersigned counsel, hereby moves this Honorable Court to reinstate the above-captioned case, and in support thereof states as follows:

1. Background
    a. On April 14, 2024, Plaintiff filed a Complaint against Defendant in the above-captioned case, and service was effected through the Secretary of State on June 4, 2024.
    b. On June 17, 2024, Plaintiff filed a Notice of Voluntary Dismissal Without Prejudice due to an alleged violation of the automatic stay under 11 U.S.C. § 362(a) in the bankruptcy proceeding of a related party (In re: Sano Racing Stables LLC, Case No. 24-12298-SMG).
2. **Bankruptcy Court Ruling**
    a. Subsequent to the dismissal, the Bankruptcy Court issued an order on July 19, 2024 determining that there was no violation of the automatic stay by the filing or continuation of this state court case.
    b. A copy of the Bankruptcy Court's order is attached hereto as Exhibit "A."
3. **Grounds for Reinstatement**
    a. Given the Bankruptcy Court's ruling that there was no violation of the automatic stay, the reason for the voluntary dismissal of this case is no longer applicable.
    b. Reinstating this case will serve the interests of justice, and allow for the resolution of the claims on their merits.
4. **Relief Sought**
    a. Plaintiff respectfully requests that this Honorable Court reinstate the above-captioned case to the active docket.

b. Plaintiff is prepared to pay any applicable reopening fees as required by the Clerk of Court.

WHEREFORE, Plaintiff respectfully requests that this Court (1) enter an order reinstating the above-captioned case (2) reset the pretrial hearing, and grant any other relief deemed just and proper.

CERTIFICATE OF SERVICE

I CERTIFY that that a true and correct copy of the foregoing was filed with the Court, and served via the Florida Courts eFiling Portal, in accordance with rule 2.516, Florida Rules of Judicial Administration this 22nd day of July 2024, and sent via US Mail to Hector Garcia-Cornejo, 8685 SW 55th Ct., Ocala, FL 34476.

/s/Mark Gunderson, Esq.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001
(954) 791-6154 Fax
Electronic Service: mgunderson@mindspring.com
FBN: 0842141

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 24 day of Jul 20 24
Brenda D. Forman, Clerk of Courts
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
17TH JUDICIAL CIRCUIT
FLORIDA
BROWARD COUNTY

ORDERED in the Southern District of Florida on July 19, 2024.

Scott M. Grossman

Scott M. Grossman, Judge
United States Bankruptcy Court

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF FLORIDA
www.flsb.uscourts.gov

In re:

Case No. 24-12298-SMG
Chapter 11

SANO RACING STABLES, LLC,

Debtor.
_____/

ORDER DENYING DEBTOR'S MOTION (I) FOR CONTEMPT FOR WILLFUL AND ON-GOING VIOLATIONS OF THE AUTOMATIC STAY (II) FOR SANCTIONS AND (III) FOR TURNOVER OF ESTATE PROPERTY IN THE POSSESSION OF PET VET AND/OR MARK GUNDERSON

THIS CAUSE came before the Court for hearing on July 17, 2024 on Debtor's Motion (I) For Contempt for Willful and On-Going Violations of the Automatic Stay (II) for Sanctions and (III) for Turnover of Estate Property in the Possession of Pet Vet and/or Mark Gunderson (the "Motion")[D.E.83];

The Court having reviewed Debtor's Motion, creditor PetVet Operating LLC dba TFB Equine, ("Creditor") and Mark Gunderson's response [D.E.88], having heard argument of counsel for both Debtor and Creditor and being otherwise advised in the premises, for the reasons stated on the record and incorporated herein, it is Ordered as follows:

1. This Court finds there is no violation of the automatic stay stay pursuant to 11 U.S.C. §362 et. seq. and accordingly Debtor's Motion (I) For Contempt for Willful and On-Going Violations of the Automatic Stay (II) for Sanctions and (III) for Turnover of Estate Property in the Possession of Pet Vet and/or Mark Gunderson is **DENIED**.

###

Submitted by:

Thomas Abrams, Esq.
Gamberg & Abrams
Attorney for PetVet Operating LLC
dba TFB Equine and
1213 SE 3rd Ave Fl 2
Fort Lauderdale, FL 33316-1905
954-523-0900
tabrams@tabramslaw.com
FBN: 764329

Thomas Abrams, Esq. is directed to serve copies of this Order upon all interested parties and file a Certificate of Service.

Instr# 119721992 , Page 1 of 1, Recorded 08/05/2024 at 02:48 PM
Broward County Commission

Filing # 203991657 E-Filed 08/04/2024 04:26:38 PM

IN THE COUNTY COURT OF THE 17TH JUDICIAL CIRCUIT
IN AND FOR BROWARD COUNTY, FLORIDA

CASE NO. **COCE24022567** DIVISION: **52** JUDGE: **Miranda, Giuseppina (52)**

PetVet Operating LLC

Plaintiff(s) / Petitioner(s)

v.

Hector Garcia Cornejo

Defendant(s) / Respondent(s)

_____/

ORDER SETTING ASIDE DISMISSAL AND RESETTING SMALL CLAIMS PRETRIAL CONFERENCE WITH DIRECTIONS TO THE CLERK OF COURT

THIS CAUSE, having come before the Court upon Plaintiff's Ex Parte Motion to Reinstate Case, and having reviewed the record and Motion, it is hereby **ORDERED AND ADJUDGED** that:

1. Plaintiff's Motion is GRANTED and the Voluntary Dismissal filed on June 14, 2024 is set aside.
2. The Clerk of Court is directed to REOPEN this matter and Plaintiff shall pay any necessary Clerk's fee associated with the reopening of this matter.
3. It is Plaintiff's responsibility to apply to the Clerk of Court for issuance of a Summons/ Notice to Appear for the Small Claims Pretrial Conference scheduled at the Broward County Courthouse, West Tower, Courtroom 10165, 201 SE 6 Street, Fort Lauderdale, FL 33301 on **OCTOBER 8, 2024 at 10:31 a.m.**
4. The Clerk is directed to reset the Pretrial Conference as referenced herein.

DONE AND ORDERED in Chambers at Broward County, Florida on 4th day of August, 2024.

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 5th day of Aug 20 24
Brenda D. Forman, Clerk of Courts
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT — IN GOD WE TRUST — 17TH JUDICIAL CIRCUIT — FLORIDA — BROWARD COUNTY

COCE24022567 08/04/2024 4:22 PM

COCE24022567 08-04-2024 4:22 PM
Hon. Giuseppina Miranda
COUNTY COURT JUDGE
Electronically Signed by Giuseppina Miranda

Copies Furnished To:
Hector Garcia Cornejo , Address: 8685 SW 55 Ct., Ocala, FL 34476
Mark Gunderson , E-mail : mgunderson@mindspring.com

*** FILED: BROWARD COUNTY, FL BRENDA D. FORMAN, CLERK 08/04/2024 04:26:38 PM.****
000033

In the County Court in and for Broward County, Florida

PetVet Operating LLC
Plaintiff
vs.

Hector Garcia Cornejo
Defendant

Case No: COCE-24-022567

Judge: Giuseppina Miranda
Division: 52

Notice of Resetting Pretrial Hearing

You are hereby notified on this the 9th day of August, 2024, that the above styled case has been reset for pretrial hearing for the 10/8/2024 at 10:31 AM at:

Broward County Courthouse
Central Ctroom 10165
201 S.E. 6th Street
Fort Lauderdale, FL 33301

To wit: Per Judge

Please be governed accordingly.

Clerk of Courts

Deputy Clerk

Copies Furnished To:
Plaintiff_____
Plaintiff's Atty__X_____
Defendant(s)_____
Defendant's Atty_____

If you are a person with a disability who needs any accommodation in order to participate in this proceeding, you are entitled, at no cost to you, to the provision of certain assistance. Please contact Diana Sobel, Room 20140, 201 S.E. Sixth Street, Fort Lauderdale, Florida 33301, 954-831-7721 at least 7 days before your scheduled court appearance, or immediately upon receiving this notification if the time before the scheduled appearance is less than 7 days; if you are hearing or voice impaired, call 711.

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 9 day of Oct 2024
Brenda D. Forman, Clerk of Courts
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT IN GOD WE TRUST 17TH JUDICIAL CIRCUIT FLORIDA BROWARD COUNTY

PetVet Operating LLC
2510 SW 18 ST
Fort Lauderdale FL 33312

Mark Gunderson
2510 SW 18th St
Ft Lauderdale FL 33312

**** FILED: BROWARD COUNTY, FL Brenda D. Forman, CLERK 10/8/2024 3:57:19 PM.****

50

In the County Court in and for Broward County, Florida

Date: 10/08/2024

Time: 10:31 AM

Div 52 Existing Case Resets

PetVet Operating LLC
Plaintiff
vs.

Hector Garcia Cornejo
Defendant

Case No. COCE-24-022567

ORDER ON PRETRIAL CONFERENCE

✓ THE CLERK SHALL RESET PRETRIAL
send Notice to PLAINTIFF & DEFENDANT
DEC 17 10:31

PRINCIPAL $_____

PREJUDGMENT NTEREST $_____

___ THIS CASE IS DISMISSED (WITH) (WITHOUT) PREJUDICE; PLAINTIFF REQUEST OR DID NOT APPEAR — COSTS $_____

___ THIS CASE IS DISMISSED AS SETTLED (IN FULL) (BY STIPULATION FOR PAYMENTS) — ATTY FEES $_____

___ THE COURT RETAINS JURISDICTION TO ENFORCE THE TERMS OF SETTLEMENT — INTEREST RATE _____%

___ THE PARTIES HAVE ___ DAYS TO SUBMIT/FILE BY E-ORDER JOINT STIP INVOKING RULES OF CIV PROC, CONSISTENT W/DIV ONLINE PROCEDURES

✓ OTHER PT atty will mail notice to Δ also & confirm same

JUDGE'S NOTES OR SPECIAL INSTRUCTIONS TO CLERK:

Δ not notified of todays hearing

DONE AND ORDERED THIS OCT 0 8 2024

County Court Judge
Giuseppina Miranda

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 8 day of Oct 20 24
Brenda D. Forman, Clerk of Courts
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT — IN GOD WE TRUST — 17TH JUDICIAL CIRCUIT — FLORIDA — BROWARD COUNTY

FILED
OCT 08 2024
County Civil
BROWARD COUNTY

In the County Court in and for Broward County, Florida

PetVet Operating LLC
Plaintiff
vs.

Hector Garcia Cornejo
Defendant

Case No: COCE-24-022567

Judge: Giuseppina Miranda
Division: 52

Notice of Resetting Pretrial Hearing

You are hereby notified on this the 14th day of October 2024, that the above styled case has been reset for pretrial hearing for the 12/17/2024 at 10:31 AM at:

Broward County Courthouse
Central Ctroom 10165
201 S.E. 6th Street
Fort Lauderdale, FL 33301

To wit: Per Judge

Please be governed accordingly.

Clerk of Courts

Deputy Clerk

Copies Furnished To:
Plaintiff_____
Plaintiff's Atty___X____
Defendant(s)__X___
Defendant's Atty_____

If you are a person with a disability who needs any accommodation in order to participate in this proceeding, you are entitled, at no cost to you, to the provision of certain assistance. Please contact Diana Sobel, Room 20140, 201 S.E. Sixth Street, Fort Lauderdale, Florida 33301, 954-831-7721 at least 7 days before your scheduled court appearance, or immediately upon receiving this notification if the time before the scheduled appearance is less than 7 days; if you are hearing or voice impaired, call 711.

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 25 day of Oct, 2024
Brenda D. Forman, Clerk of Courts
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT IN GOD WE TRUST 17TH JUDICIAL CIRCUIT FLORIDA BROWARD COUNTY

PetVet Operating LLC
2510 SW 18 ST
Fort Lauderdale FL 33312

Mark Gunderson
2510 SW 18th St
Ft Lauderdale FL 33312

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

SMALL CLAIMS DIVISION
COCE-24-022567

HECTOR GARCIA-CORNEJO
Defendant.
_____/

NOTICE OF FILING

Plaintiff, PETVET OPERATING, LLC dba TFB Equine provides notice of the sending of the (attached) notice resetting pretrial hearing.

Hector Garcia-Cornejo
8685 SW 55th Ct.
Ocala, FL 34476.

CERTIFICATE OF SERVICE

I CERTIFY that that a true and correct copy of the foregoing was filed and sent to the address shown above, and served via the Florida Courts eFiling Portal, in accordance with rule 2.516, Florida Rules of Judicial Administration this 6th day of November 2024.

/s/Mark Gunderson, Esq.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001
(954) 791-6154 Fax
Electronic Service: mgunderson@mindspring.com
FBN: 0842141

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the ___ day of _____ 20__
Brenda D. Forman, Clerk of Courts
_____
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
17TH JUDICIAL CIRCUIT
FLORIDA
BROWARD COUNTY

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

HECTOR GARCIA-CORNEJO
Defendant.
_____/

SMALL CLAIMS DIVISION
COCE-24-022567

NOTICE OF FILING

Plaintiff, PETVET OPERATING, LLC dba TFB Equine provides notice of the sending of the (attached) notice resetting pretrial hearing.

Hector Garcia-Cornejo
8685 SW 55th Ct.
Ocala, FL 34476.

CERTIFICATE OF SERVICE

I CERTIFY that that a true and correct copy of the foregoing was filed and sent to the address shown above, and served via the Florida Courts eFiling Portal, in accordance with rule 2.516, Florida Rules of Judicial Administration this 6th day of November 2024.

/s/Mark Gunderson, Esq.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001
(954) 791-6154 Fax
Electronic Service: mgunderson@mindspring.com
FBN: 0842141

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 7 day of Nov 2024
Brenda D. Forman, Clerk of Courts
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
17TH JUDICIAL CIRCUIT
FLORIDA
BROWARD COUNTY

Instr# 119959697 , Page 1 of 1, Recorded 12/18/2024 at 11:26 AM
Broward County Commission

**** FILED: BROWARD COUNTY, FL Brenda D. Forman, CLERK 12/17/2024 2:31:03 PM.****

In the County Court in and for Broward County, Florida 49

Date: 12/17/2024

Time: 10:31 AM

Div 52 Existing Case Resets

PetVet Operating LLC
Plaintiff
vs.

Hector Garcia Cornejo
Defendant

Case No. COCE-24-022567

DISPOSITION ORDER ON PRETRIAL CONFERENCE

___	THE CLERK SHALL RESET PRETRIAL PENDING SERVICE, RULE 1.070(j) HEREBY INVOKED	PRINCIPAL	$_____
✓	A (DEFAULT) IS HEREBY ENTERED AGAINST THE DEFENDANT(S), AND THE (PLAINTIFF SHALL SUBMIT) (~~CLERK SHALL PREPARE~~) A (~~AGREED~~) JUDGMENT FOR PLAINTIFF **[SEE RIGHT]**	PREJUDGMENT INTEREST	$_____
___	THIS CASE IS DISMISSED (WITH) (WITHOUT) PREJUDICE; PLAINTIFF REQUEST OR DID NOT APPEAR	COSTS	$_____
___	THIS CASE IS DISMISSED AS SETTLED (IN FULL) (BY STIPULATION FOR PAYMENTS)	ATTY FEES	$_____
___	THE COURT RETAINS JURISDICTION TO ENFORCE THE TERMS OF SETTLEMENT	INTEREST RATE	_____%
___	THE PARTIES HAVE ___ DAYS TO SUBMIT/FILE BY E-ORDER JOINT STIP INVOKING RULES OF CIV PROC, CONSISTENT W/DIV ONLINE PROCEDURES		
✓	OTHER Plaintiff shall submit Final Judgment within 30 days of default or case ~~may~~ WILL be dismissed.		

JUDGE'S NOTES OR SPECIAL INSTRUCTIONS TO CLERK:

Δ was previously served & Notice mailed

DONE AND ORDERED THIS DEC 17 2024

County Court Judge

Giuseppina Miranda

Filed In Open Court
CLERK OF THE COUNTY COURT
ON 12/17/24
BY

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
17TH JUDICIAL CIRCUIT
FLORIDA
BROWARD COUNTY

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 17 day of Dec 2024
Brenda D. Forman, Clerk of Courts
Deputy Clerk

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

SMALL CLAIMS DIVISION
COCE-24-022567

HECTOR GARCIA-CORNEJO
Defendant.
_____/

MOTION FOR DEFAULT AND FINAL JUDGMENT BY DEFAULT

Plaintiff, PETVET OPERATING, LLC dba TF EQUINE., through counsel makes its Motion for Default and Final Judgment by Default against defendant HECTOR GARCIA-CORNEJO and states as follows:

1. This action was commenced on April 16, 2024, by the filing of a summons and complaint.

2. Copies of the summons and complaint were served on the Secretary of State in accordance with §48.161 and §48.181 Fla. Stat on June 3, 2024, and proof of service was filed on June 5, 2024.

3. The defendant failed to attend the pretrial hearing on December 17, 2024, and failed to file any paper or pleading in this matter.

4. Plaintiff attaches as ***Exhibit A*** an affidavit attesting to the amount due and owing and the Data Manpower Search as ***Exhibit B,*** showing that defendant is not a member of the armed services.

WHEREFORE, plaintiff requests that a Default and Final Judgment by Default be entered against defendant.

CERTIFICATE OF SERVICE

I CERTIFY that that a true and correct copy of the foregoing was filed with the Court, and served via the Florida Courts eFiling Portal, in accordance with rule 2.516, Florida Rules of Judicial Administration, this 18th day of December 2024.

/s/Mark Gunderson, Esq.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001
(954) 791-6154 Fax
Electronic Service: mgunderson@mindspring.com
FBN: 0842141

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 18 day of Dec
Brenda D. Forman, Clerk of Courts
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
17TH JUDICIAL CIRCUIT
FLORIDA
BROWARD COUNTY

*** FILED: BROWARD COUNTY, FL BRENDA D. FORMAN, CLERK 12/18/2024 04:00:57 PM.****

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

SMALL CLAIMS DIVISION
COCE-24-022567

HECTOR GARCIA-CORNEJO
Defendant.
_____/

AFFIDAVIT FOR JUDGMENT BY DEFAULT

STATE OF FLORIDA
COUNTY OF BROWARD

BEFORE ME, the undersigned authority, personally appeared TRISH SHELTON who after being duly sworn, deposes and says:

1. I am over the age of 18 and otherwise *sui juris*.

2. I am the Records Custodian for PETVET OPERATING LLC dba TFB EQUINE and I am familiar with all the facts and circumstances in this action.

3. I make this affidavit pursuant to 7.170 Fla. Sm. Cl. R. in support of Plaintiff's Motion for Default and Final Judgment by Default against HECTOR GARCIA-CORNEJO.

4. This is an action to recover $806.04 owed by defendant to plaintiff on an open account, for goods and services that were sold and delivered at an agreed upon price.

5. This action seeks judgment for the liquidated amount $806.04, filing fees of $191.48, service of process fees of $60.00 and attorney fees in the amount of $750.00 (1.875 hours x $400/hours), for a total of $1,807.52. The amounts cited being justly due and owing.

FURTHER SAYETH NAUGHT

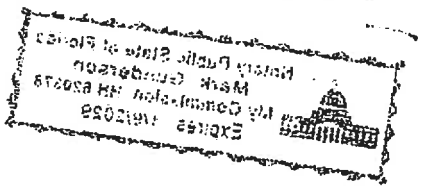
_____
TRISH SHELTON, RECORDS CUSTODIAN

Sworn to and subscribed before me on August 21, 2024 by Trish Shelton who is personally known ___or has produced a Florida Driver License #_____

_____
Notary Public — State of Florida
(Seal)

Notary Public State of Florida
Mark Gunderson
My Commission HH 620378
Expires 1/9/2029

Exhibit A



Department of Defense Manpower Data Center

Results as of : Dec-18-2024 03:52:02 PM EST

SCRA 5.23

Status Report
Pursuant to Servicemembers Civil Relief Act

SSN:
Birth Date: [redacted]
Last Name: GARCIA-CORNEJO
First Name: HECTOR
Middle Name:
Status As Of: Dec-18-2024
Certificate ID: G9D5XBYJV82JLDB

On Active Duty On Active Duty Status Date			
Active Duty Start Date	Active Duty End Date	Status	Service Component
NA	NA	No	NA
This response reflects the individuals' active duty status based on the Active Duty Status Date			

Left Active Duty Within 367 Days of Active Duty Status Date			
Active Duty Start Date	Active Duty End Date	Status	Service Component
NA	NA	No	NA
This response reflects where the individual left active duty status within 367 days preceding the Active Duty Status Date			

The Member or His/Her Unit Was Notified of a Future Call-Up to Active Duty on Active Duty Status Date			
Order Notification Start Date	Order Notification End Date	Status	Service Component
NA	NA	No	NA
This response reflects whether the individual or his/her unit has received early notification to report for active duty			

Upon searching the data banks of the Department of Defense Manpower Data Center, based on the information that you provided, the above is the status of the individual on the active duty status date as to all branches of the Uniformed Services (Army, Navy, Marine Corps, Air Force, Space Force, NOAA, Public Health, and Coast Guard). This status includes information on a Servicemember or his/her unit receiving notification of future orders to report for Active Duty. HOWEVER, WITHOUT A SOCIAL SECURITY NUMBER, THE DEPARTMENT OF DEFENSE MANPOWER DATA CENTER CANNOT AUTHORITATIVELY ASSERT THAT THIS IS THE SAME INDIVIDUAL THAT YOUR QUERY REFERS TO. NAME AND DATE OF BIRTH ALONE DO NOT UNIQUELY IDENTIFY AN INDIVIDUAL.

Sam Yousefzadeh

Sam Yousefzadeh, Director
Department of Defense - Manpower Data Center
4800 Mark Center Drive, Suite 04E25
Alexandria, VA 22350

The Defense Manpower Data Center (DMDC) is an organization of the Department of Defense (DoD) that maintains the Defense Enrollment and Eligibility Reporting System (DEERS) database which is the official source of data on eligibility for military medical care and other eligibility systems.

The DoD strongly supports the enforcement of the Servicemembers Civil Relief Act (50 USC App. § 3901 et seq, as amended) (SCRA) (formerly known as the Soldiers' and Sailors' Civil Relief Act of 1940). DMDC has issued hundreds of thousands of "does not possess any information indicating that the individual is currently on active duty" responses, and has experienced only a small error rate. In the event the individual referenced above, or any family member, friend, or representative asserts in any manner that the individual was on active duty for the active duty status date, or is otherwise entitled to the protections of the SCRA, you are strongly encouraged to obtain further verification of the person's status by contacting that person's Service. Service contact information can be found on the SCRA website's FAQ page (Q35) via this URL: https://scra.dmdc.osd.mil/scra/#/faqs. If you have evidence the person was on active duty for the active duty status date and you fail to obtain this additional Service verification, punitive provisions of the SCRA may be invoked against you. See 50 USC App. § 3921(c).

This response reflects the following information: (1) The individual's Active Duty status on the Active Duty Status Date (2) Whether the individual left Active Duty status within 367 days preceding the Active Duty Status Date (3) Whether the individual or his/her unit received early notification to report for active duty on the Active Duty Status Date.

More information on "Active Duty Status"

Active duty status as reported in this certificate is defined in accordance with 10 USC § 101(d) (1). Prior to 2010 only some of the active duty periods less than 30 consecutive days in length were available. In the case of a member of the National Guard, this includes service under a call to active service authorized by the President or the Secretary of Defense under 32 USC § 502(f) for purposes of responding to a national emergency declared by the President and supported by Federal funds. All Active Guard Reserve (AGR) members must be assigned against an authorized mobilization position in the unit they support. This includes Navy Training and Administration of the Reserves (TARs), Marine Corps Active Reserve (ARs) and Coast Guard Reserve Program Administrator (RPAs). Active Duty status also applies to a Uniformed Service member who is an active duty commissioned officer of the U.S. Public Health Service or the National Oceanic and Atmospheric Administration (NOAA Commissioned Corps).

Coverage Under the SCRA is Broader in Some Cases

Coverage under the SCRA is broader in some cases and includes some categories of persons on active duty for purposes of the SCRA who would not be reported as on Active Duty under this certificate. SCRA protections are for Title 10 and Title 14 active duty records for all the Uniformed Services periods. Title 32 periods of Active Duty are not covered by SCRA, as defined in accordance with 10 USC § 101(d)(1).

Many times orders are amended to extend the period of active duty, which would extend SCRA protections. Persons seeking to rely on this website certification should check to make sure the orders on which SCRA protections are based have not been amended to extend the inclusive dates of service. Furthermore, some protections of the SCRA may extend to persons who have received orders to report for active duty or to be inducted, but who have not actually begun active duty or actually reported for induction. The Last Date on Active Duty entry is important because a number of protections of the SCRA extend beyond the last dates of active duty.

Those who could rely on this certificate are urged to seek qualified legal counsel to ensure that all rights guaranteed to Service members under the SCRA are protected

WARNING: This certificate was provided based on a last name, SSN/date of birth, and active duty status date provided by the requester. Providing erroneous information will cause an erroneous certificate to be provided.

Instr# 119969900 , Page 1 of 2, Recorded 12/24/2024 at 11:34 AM
Broward County Commission

Filing # 213362779 E-Filed 12/23/2024 04:17:41 AM

IN THE COUNTY COURT OF THE 17TH JUDICIAL CIRCUIT
IN AND FOR BROWARD COUNTY, FLORIDA

CASE NO. **COCE24022567** DIVISION: **52** JUDGE: **Miranda, Giuseppina (52)**

PetVet Operating LLC

Plaintiff(s) / Petitioner(s)

v.

Hector Garcia Cornejo

Defendant(s) / Respondent(s)

_____/

DEFAULT FINAL JUDGMENT

This action was heard after entry of default against the Defendant and

IT IS ADJUDGED that Plaintiff, PETVET OPERATING, LLC d/b/a TFB EQUINE, c/o Mark Gunderson, Esq., 2510 SW 18 Street, Fort Lauderdale, FL 33312, shall recover from Defendant, HECTOR GARCIA-CORNEJO, 8685 SW 55 Ct., Ocala, FL 34476, the sum of $806.04 on principal, filing fees of $191.48, process server fees of $60.00 and attorney fees in the amount of $750.00, **making a total of $1,807.52** which shall bear interest at the rate of 9.50% per year, for which let execution issue.

IT IS FURTHER ORDERED AND ADJUDGED that the Defendant shall complete Florida Small Claims Rules Form 7.343 (Fact Information Sheet) and return it to the Plaintiff's attorney within 45 days from the date of this final judgment, unless the final judgment is satisfied or a motion for new trial or notice of appeal is filed. **The Defendant should NOT file the completed form 7.343 with the court.**

Jurisdiction of this case is retained to enter further orders that are proper to compel the Defendant to complete form 7.343 and return it to the Plaintiff's attorney.

DONE AND ORDERED in Chambers at Broward County, Florida on 23rd day of December, 2024.

COCE24022567 12/23/2024 4:17 AM

COCE24022567 12-23-2024 4:17 AM
Hon. Giuseppina Miranda
COUNTY COURT JUDGE
Electronically Signed by Giuseppina Miranda

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 23 day of Dec 2024
Brenda D. Forman, Clerk of Courts
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
17TH JUDICIAL CIRCUIT
FLORIDA
BROWARD COUNTY

000047*** FILED: BROWARD COUNTY, FL BRENDA D. FORMAN, CLERK 12/23/2024 04:17:40 AM.****

Copies Furnished To:
Hector Garcia-Cornejo , Address : 8685 SW 55 Ct., Ocala, FL 34476
Mark Gunderson , E-mail : mgunderson@mindspring.com

Instr# 119959697 , Page 1 of 1, Recorded 12/18/2024 at 11:26 AM
Broward County Commission

**** FILED: BROWARD COUNTY, FL Brenda D. Forman, CLERK 12/17/2024 2:31:03 PM.****

In the County Court in and for Broward County, Florida 49

Date: 12/17/2024

Time: 10:31 AM

Div 52 Existing Case Resets

PetVet Operating LLC
Plaintiff
vs.

Hector Garcia Cornejo
Defendant

Case No. COCE-24-022567

DISPOSITION ORDER ON PRETRIAL CONFERENCE

___	THE CLERK SHALL RESET PRETRIAL PENDING SERVICE, RULE 1.070(j) HEREBY INVOKED	PRINCIPAL	$_____
✓	A (DEFAULT) IS HEREBY ENTERED AGAINST THE DEFENDANT(S), AND THE (PLAINTIFF SHALL SUBMIT) (~~CLERK SHALL PREPARE~~) A (~~AGREED~~) JUDGMENT FOR PLAINTIFF **[SEE RIGHT]**	PREJUDGMENT INTEREST	$_____
___	THIS CASE IS DISMISSED (WITH) (WITHOUT) PREJUDICE; PLAINTIFF REQUEST OR DID NOT APPEAR	COSTS	$_____
___	THIS CASE IS DISMISSED AS SETTLED (IN FULL) (BY STIPULATION FOR PAYMENTS)	ATTY FEES	$_____
___	THE COURT RETAINS JURISDICTION TO ENFORCE THE TERMS OF SETTLEMENT	INTEREST RATE	_____%
___	THE PARTIES HAVE ___ DAYS TO SUBMIT/FILE BY E-ORDER JOINT STIP INVOKING RULES OF CIV PROC, CONSISTENT W/DIV ONLINE PROCEDURES		
✓	OTHER Plaintiff shall submit Final Judgment within 30 days of default or case ~~may~~ WILL be dismissed.		

JUDGE'S NOTES OR SPECIAL INSTRUCTIONS TO CLERK:

Δ was previously served & Notice mailed

DONE AND ORDERED THIS DEC 17 2024

County Court Judge

Giuseppina Miranda

Filed In Open Court
CLERK OF THE COUNTY COURT
ON 12/17/24
BY

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
17TH JUDICIAL CIRCUIT
FLORIDA
BROWARD COUNTY

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 18 day of Dec 2024
Brenda D. Forman, Clerk of Courts
Deputy Clerk

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

SMALL CLAIMS DIVISION
COCE-24-022567

HECTOR GARCIA-CORNEJO
Defendant.
_____/

NOTICE OF FILING

Plaintiff, PETVET OPERATING, LLC dba TFB Equine provides notice of the sending of the (attached) notice resetting pretrial hearing.

Hector Garcia-Cornejo
8685 SW 55th Ct.
Ocala, FL 34476.

CERTIFICATE OF SERVICE

I CERTIFY that that a true and correct copy of the foregoing was filed and sent to the address shown above, and served via the Florida Courts eFiling Portal, in accordance with rule 2.516, Florida Rules of Judicial Administration this 6th day of November 2024.

/s/Mark Gunderson, Esq.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001
(954) 791-6154 Fax
Electronic Service: mgunderson@mindspring.com
FBN: 0842141

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 7 day of OCT 2024
Brenda D. Forman, Clerk of Courts
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
17TH JUDICIAL CIRCUIT
FLORIDA
BROWARD COUNTY

**** FILED: BROWARD COUNTY, FL Brenda D. Forman, CLERK 5/21/2024 12:29:05 PM.****

EXHIBIT 2
B

In the County Court in and for Broward County, Florida

Date: 05/21/2024

Time: 9:00 AM

Div 52 - Giuseppina Miranda

PetVet Operating LLC ✓
Plaintiff

vs.

Hector Garcia Cornejo
Defendant

Case No. COCE-24-022567

ORDER ON PRETRIAL CONFERENCE

✓ THE CLERK SHALL RESET PRETRIAL PENDING SERVICE, RULE 1.070(j) HEREBY INVOKED JULY 30 2024 @ 10:01

___ A (DEFAULT) IS HEREBY ENTERED AGAINST THE DEFENDANT(S), AND THE (PLAINTIFF SHALL SUBMIT) (CLERK SHALL PREPARE) A (AGREED) JUDGMENT FOR PLAINTIFF **[SEE RIGHT]**

___ THIS CASE IS DISMISSED (WITH) (WITHOUT) PREJUDICE; PLAINTIFF REQUEST OR DID NOT APPEAR

___ THIS CASE IS DISMISSED AS SETTLED (IN FULL) (BY STIPULATION FOR PAYMENTS)

___ THE COURT RETAINS JURISDICTION TO ENFORCE THE TERMS OF SETTLEMENT

___ THE PARTIES HAVE ___ DAYS TO SUBMIT/FILE BY E-ORDER JOINT STIP INVOKING RULES OF CIV PROC, CONSISTENT W/DIV ONLINE PROCEDURES

___ OTHER

PRINCIPAL $_____

PREJUDGMENT INTEREST $_____

COSTS $_____

ATTY FEES $_____

INTEREST RATE _____%

JUDGE'S NOTES OR SPECIAL INSTRUCTIONS TO CLERK:

no return of service

DONE AND ORDERED THIS MAY 21 2024

County Court Judge
Giuseppina Miranda

Filed In Open Court
CLERK OF THE COUNTY COURT
ON MAY 21 2024
BY

CLERK OF CIRCUIT & COUNTY COURT
IN GOD WE TRUST
17TH JUDICIAL CIRCUIT
FLORIDA
BROWARD COUNTY

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears of record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 23 day of May 2024
Brenda D. Forman, Clerk of Courts
Deputy Clerk

PROGRESS DOCKET

CASE NO. COCE-24-022567

EVENTS

12/18/2024	Motion for Default Final Judgment Party: Plaintiff PetVet Operating LLC
11/06/2024	Notice of Filing
11/06/2024	Notice of Filing
10/14/2024	Notice of Resetting Pretrial *Emailed to plaintiff's atty and mailed to defendant*
10/08/2024	Disposition Order on Pretrial Conference
10/08/2024	Civil Hearing Held
08/09/2024	Notice of Resetting Pretrial *Email Plaintiff's Atty*
07/29/2024	Motion to Set Aside Dismissal Party: Plaintiff PetVet Operating LLC
07/23/2024	Ex Parte Motion *TO REINSTATE CASE*
06/04/2024	Summons on Secretary of State Returned Served Party: Plaintiff PetVet Operating LLC
05/30/2024	eSummons Issuance - Alias Pretrial Party: Defendant Garcia Cornejo, Hector
05/30/2024	Affidavit of Diligent Search Party: Plaintiff PetVet Operating LLC
05/30/2024	Summons Returned Unserved Party: Defendant Garcia Cornejo, Hector
05/28/2024	Notice of Resetting Pretrial *Email Plaintiff's Atty*
05/21/2024	Disposition Order on Pretrial Conference
04/16/2024	Notice of Unavailability
04/16/2024	eSummons Issuance - Pretrial
04/14/2024	Statement of Claim (eFiled)
04/14/2024	Civil Cover Sheet Amount: 1.00

PROGRESS DOCKET

CASE NO. COCE-24-022567

HEARINGS

12/17/2024	**Existing Case Resets** (10:31 AM) (Judicial Officer: Miranda, Giuseppina ;Location: Central Ctroom 10165) Resource: Location Central Ctroom 10165 Resource: Location Central Ctroom 10165
10/08/2024	**Existing Case Resets** (10:31 AM) (Judicial Officer: Miranda, Giuseppina ;Location: Central Ctroom 10165) Resource: Location Central Ctroom 10165 Resource: Location Central Ctroom 10165
07/30/2024	*CANCELED* **Existing Case Resets** (10:01 AM) (Judicial Officer: Miranda, Giuseppina ;Location: Central Ctroom 10165) *Disposed*
05/21/2024	**Pretrial Hearing** (9:00 AM) (Judicial Officer: Miranda, Giuseppina ;Location: Central Ctroom 10165) Resource: Location Central Ctroom 10165 Resource: Location Central Ctroom 10165

DATE	FINANCIAL INFORMATION	
	Defendant Garcia Cornejo, Hector	
	Total Charges	94.00
	Total Payments and Credits	94.00
	Balance Due as of 10/06/2025	**0.00**
	Plaintiff PetVet Operating LLC	
	Total Charges	245.00
	Total Payments and Credits	245.00
	Balance Due as of 10/06/2025	**0.00**

STATE OF FLORIDA
BROWARD COUNTY
I DO HEREBY CERTIFY the within and foregoing is a true and correct copy of the original as it appears on record and file in the office of the County Court Clerk of Broward County, Florida.
WITNESS my hand and Official Seal at Fort Lauderdale, Florida, this the 6th day of OCTOBER 20 25
Brenda D. Forman, Clerk of Courts
Deputy Clerk

CLERK OF CIRCUIT & COUNTY COURT BROWARD 17TH JUDICIAL CIRC IN GOD WE TRUST

EXHIBIT C

AFFIDAVIT

THE STATE OF FLORIDA COUNTY OF Leon

I, Virginia Morris, Chief Technology Officer
(Printed Name)
for the Florida Gaming Control Commission, located at 4070 Esplanade Way, Ste. 220 Tallahassee, Florida 32399 do hereby certify that I am of sound mind, capable of making this affidavit, and personally acquainted with the stated facts herein.

Attached hereto is an email dated September 29, 2025, and titled "SERVICE OF COURT DOCUMENTS" along with its attachment titled Second §57.105 Notice.pdf sent from David Romanik at davidromanik@mac.com to Emily Alvarado at Emily.Alvarado@flgaming.gov and Melba Apellaniz at Melba.Apellaniz@fgcc.fl.gov. I have reviewed the email and the attached document, and I confirm that they were properly transmitted through our company's secure email system and archived on our internal servers. Based on review of the metadata and server logs, I confirm that neither the mail nor its attachment has been altered, edited, or otherwise modified in any way since the time of its transmission and receipt. The email and its attachment attached hereto as **Exhibit A** are true and accurate copies of the original documents as stored on our server.

Affiant's Signature

SWORN TO AND SUBSCRIBED before me on this 3 day of October 2025.

MELBA L. APELLANIZ
Commission # HH 348752
Expires January 11, 2027

Notary Public, State of Florida

SEAL

MELBA L. APELLANIZ
Notary's Printed Name

My commission expires: JANUARY 11, 2027

From: David Romanik
To: Melba Apellaniz; Emily Alvarado
Subject: SERVICE OF COURT DOCUMENTS
Date: Monday, September 29, 2025 12:40:48 PM
Attachments: Second §57.105 Notice.pdf

FGCC V. CORNEJO
DOAH Case No. 25-5053
Motion for Sanctions

David S. Romanik
FBN 212199
davidromanik@mac.com
954-610-4441

EXHIBIT A

DIVISION OF ADMINISTRATIVE HEARINGS

DOAH CASE NO. 25-5053

FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERNG,

Petitioner,

v.

HECTOR GARCIA CONEJO Y SANATANA,

Respondent.
_____/

MOTION FOR SANCTIONS UNDER SECTION 57.105

Respondent HECTOR GARCIA CONEJO Y SANATANA, by and through his undersigned counsel, moves for the imposition against the Petitioner and its attorneys, equally, the full range of the sanctions authorized under §57.105, Fla. Stat. (2025), including an award of reasonable attorneys' fees to Respondent in having to retain counsel to defend himself the Administrative Complaint filed against Respondent on August 21, 2025 in Case No.2025-059681; and in support hereof states:

1. In relevant part, §57.105(1), Fla. Stat. (2025) provides as follows:

> **Section 57.105—Attorney's fee; sanctions for raising unsupported claims or defenses; exceptions; service of motions; damages for delay of litigation.—**
>
> **(1) Upon the court's initiative or motion of any party, the court shall award a reasonable attorney's fee, including prejudgment interest, to be paid to the prevailing party in equal amounts by the losing party and the losing party's attorney on any claim or defense at any time during a civil proceeding or action in which the court finds that the losing party or the losing party's attorney knew or should have known that a claim or defense when initially presented to the court or at any time before trial:**
>
> **(a) Was not supported by the material facts necessary to establish the claim or defense; or**

(b) Would not be supported by the application of then-existing law to those material facts.

2. On August 21, 2025, Petitioner filed an Administrative Complaint against Respondent seeking to suspend the owner's racing license previously issued by Petitioner to Respondent for an alleged violation of §550.105(7) allegedly caused by the existence of an unpaid Final Judgment issued against Respondent in Broward County Small Claims Court Case No. COCE-24-022567 (the "Broward County Case") on December 23, 2024.

3. On September 29, 2025, Respondent's counsel sent to Petitioner's counsel by email copies of the attached as composite Exhibit 1 documents showing that the alleged indebtedness of Respondent to the plaintiff in the Broward County Case -- in the amount of $800.00 -- has been paid in full. Also on September 29, 2025, counsel for the plaintiff filed with Petitioner a withdrawal of the complaint the plaintiff filed with Petitioner that resulted in filing of the Administrative Complaint now before DOAH.

4. After the submission of the documents attached as Exhibits 1 and 2 to Petitioner, Respondent requested that the Administrative Complaint be dismissed -- which action Petitioner's counsel indicated her refusal to do.

5. As of September 29, 2025, Petitioner knew or should have known that the Administrative Complaint was not supported by the material fact -- the existence of an unpaid judgment related to horse racing activity -- necessary to establish that the relief sought in the Administrative Complaint was proper.

6. A copy of this Motion was served by email on Petitioner through its agency clerk and its attorney of record at least twenty-one (21) days before the filing of this Motion as required by §57.105(4).

7. Respondent has retained David S. Romanik to represent him in this matter and she

has agreed to pay his attorney a reasonable fee for his services.

WHEREFORE, if the Administrative Complaint is not withdrawn on or before twenty-one (21) days after the service of this motion, then Respondent prays for the entry of an order imposing on the Petitioner and its attorneys, equally, all of the sanctions authorized by §57.105, including an award of reasonable attorneys' fees for Respondent's undersigned attorney.

Dated this 29th day of September, 2025.

S/David S. Romanik
David S. Romanik (FBN 212199)
David S. Romanik, P.A.
Counsel for Respondent
Post Office Box 993
Ocala, Florida 34478
Telephone: 954/610-4441
Email: davidromanik@mac.com

CERTIFICATE OF SERVICE

On September 29, 2025, a true copy of the foregoing Notice was sent by email to Petitioner's Agency Clerk, Melba L. Apellaniz @ Melba.Apellaniz@flgaming.gov, and to Petitioner's attorney of record, Emily A. Alvarado at emily.alvarado@flgaming.gov.

S/David S. Romanik

EXHIBIT 1

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

SMALL CLAIMS DIVISION
COCE-24-022567

HECTOR GARCIA-CORNEJO
Defendant.
_____/

STIPULATION FOR PAYMENT OF FINAL JUDGMENT

Plaintiff and Defendant stipulate that:

1. Defendant is indebted to Plaintiff via judgment in amount of $1,807.52, plus interest at the rate of 9.50% from the judgment date.

   a. Defendant agree to pay, and Plaintiff agrees to accept payment of $800.00 in full and final settlement (waiving any remaining balance including interest) if paid on or before September 30, 2025, time being of the essence:

2. Payments can be made via credit/debit card as follows:

   Card Type: [ ] Debit Card [ ] Credit Card
   Cardholder Name: _____
   Card Number: _____
   Expiration Date: _____
   CVVCode_____
   BillingAddress/zip_____

3. By signing below, Hector Garcia-Cornejo authorizes TFB Equine to initiate an electronic debit or charge by the above-listed payment method for the amount shown, for payment in full.

4. Upon Plaintiff's receipt of the payment of $800.00, Plaintiff shall immediately withdraw the complaint that it filed with the Florida Division of Parimutuel Wagering on August 11, 2025.

5. Agreed by the parties on the last date signed.

9-25-25
By: Trish Shelton Date
Collection Manager for
TFB Equine

Hector Garcia-Cornejo Date: September 23rd 2025

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 732-9378
Tel: (954) 732-9426
Office@tfbequine.com

Hector Garcia-Cornejo
8685 SW 55th Ct
Ocala, FL 34476-9457

Date: Sep-28-25
Client #: 268732
Page: 1

Trainer: DAVID, CARLOS

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
	Balance Forward					**1,807.52**
	**** PAYMENTS AND ADJUSTMENTS TO YOUR ACCOUNT *****					
9/3/25	Payment - Mastercard					-400.00
9/28/25	Payment - Cash					-400.00
9/28/25	Courtesy Credit					-1,007.52
	Note: CREDIT PER SETTLEMENT AGREEMENT					

Total Amount

PLEASE PAY THIS AMOUNT >>>>>>>> **0.00**

Client #:268732

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.

You can now make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a $100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

PetVet Operating, LLC

EXHIBIT 2

Law Office of
Mark Gunderson, PL
Attorney ♦ CPA

2510 SW 18 Street ♦ Fort Lauderdale, Florida 33312
phone: (954) 288-1001 ♦ fax: (954) 791-6154 ♦ e-mail: mgunderson@mindspring.com

WITHDRAWALOF
DIVISION OF PARI-MUTUEL WAGERING COMPLAINT – HECTOR GARCIA CORNEJO

September 29, 2025

ebonie.lanier@flgaming.gov
stephanie.buse@flgaming.gov

Division of Pari-Mutuel Wagering
DBPR 0070 – Uniform Cplt Form
4070 Esplanade Way
Tallahassee, Florida 32399

julio.minaya@myfloridalicense.com

Julio Minaya, Investigation Suprv
Division of Pari-Mutuel Wagering
1400 W. Commercial Blvd, # 165
Fort Lauderdale, FL 33065

kevin.scheen@flgaming.gov

Kevin Sheen, State Steward
c/o Gulfstream Park
901 S. Federal Hwy
Hallandale, FL 33009

Re: PetVet Operating LLC dba TFB Equine. v. Hector Garcia Cornejo aka Hector Garcia Cornejo Santana - 17th Judicial Circuit - State of Florida – COCE 24-022567 - $1,807.52
Gentlemen:

On, August 11 , 2025, my client, PetVet Operating LLC dba TFB Equine filed a complaint against Hector Garcia Cornejo for violation of §550.105(7) Fla. Stat., to wit. Financial Responsibility.

My client withdraws the August 11, 2025 complaint. Mr. Cornejo has satisfied his obligation. *See attached.*

Thank you for your attention to this matter.

/s/ Mark Gunderson

Cc. Client
David Romanik (davidromanik@mac.com)
Howard Reese - reese.howard@flgaming.gov

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 732-9378
Tel: (954) 732-9426
Office@tfbequine.com

Hector Garcia-Cornejo
8685 SW 55th Ct
Ocala, FL 34476-9457

Date: Sep-28-25
Client #: 268732
Page: 1

Trainer: DAVID, CARLOS

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
	Balance Forward					**1,807.52**
*** PAYMENTS AND ADJUSTMENTS TO YOUR ACCOUNT ****						
9/3/25	Payment - Mastercard					-400.00
9/28/25	Payment - Cash					-400.00
9/28/25	Courtesy Credit					-1,007.52
	Note: CREDIT PER SETTLEMENT AGREEMENT					

Total Amount

PLEASE PAY THIS AMOUNT >>>>>>>> **0.00**

Client #:268732

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.

You can now make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a $100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

PetVet Operating, LLC

EXHIBIT D

AFFIDAVIT

THE STATE OF FLORIDA COUNTY OF Leon

I, Virginia Morris ,Chief Technology Officer
(Printed Name)
for the Florida Gaming Control Commission, located at 4070 Esplanade Way, Ste. 220 Tallahassee, Florida 32399 do hereby certify that I am of sound mind, capable of making this affidavit, and personally acquainted with the stated facts herein.

Attached hereto is an email dated September 28, 2025, and titled "Cornejo" along with its attachments titled Stipulation.pdf and PaidReceipt.pdf sent from David Romanik at davidromanik@mac.com to Emily Alvarado at Emily.Alvarado@fgcc.fl.gov.. I have reviewed the email and the attached document, and I confirm that they were properly transmitted through our company's secure email system and archived on our internal servers. Based on review of the metadata and server logs, I confirm that neither the mail nor its attachment has been altered, edited, or otherwise modified in any way since the time of its transmission and receipt. The email and its attachment attached hereto as **Exhibit A** are true and accurate copies of the original documents as stored on our server.

Affiant's Signature

SWORN TO AND SUBSCRIBED before me on this 3 day of October 2025.

Notary Public, State of Florida

MELBA L. APELLANIZ
Commission # HH 348752
Expires January 11, 2027

SEAL

MELBA L. APELLANIZ
Notary's Printed Name

My commission expires: JANUARY 11, 2027

From: David Romanik
To: Emily Alvarado
Subject: Cornejo
Date: Sunday, September 28, 2025 1:33:17 PM
Attachments: Stipulation.pdf
Paid Receipt.pdf

Emily — as I have been attempting to explain to you, Mr. Cornejo owed the Teigland vet group $780+ for an invoice sent to his prior address for Mr. Cornejo in Ocala. The final judgment included an award of attornys' fees of $750 despite that Mr. Cornejo never signed an agreement to pay fees to Teigland. Service was attempted on Mr. Cornejo at his prior address — and although the Division knew Mr. Cornejo's current address, that address was never discovered by Gunderson for whatever reason — and all correspondence to Mr. Cornejo sent in the case by Teigland and by the Secretary of State after service was made on it were sent to an address that did not Gove Mr. Cornejo notice the he had been sued. I was hired only after the AC was filed — which was sent to Mr. Cornejo's correct address. I then undertook to determine if service on Mr. Cornejo was valid — in anticipation of moving to quash service and to have the FJ, once already vacated by Gunderson, vacated again on account of insufficiency of service of process. However, maybe on account of the threat to quash service the bad service and perhaps in recognition that the fee award was also invalid, Teigland agreed to resolve all matters with Mr. Cornejo for $800 which is essentially what was originally owed.

Attached you will find a stipulation for payment of the $800 and a paid receipt issued by Teigland after receiving payment in full — in cash.

Is there anything else you might need to see from me in order to convince you that we should now enter into a stipulation for dismissal of the AC with a full waiver of any claim by Mr. Cornejo for fees?

David S. Romanik
FBN 212199
davidromanik@mac.com
954-610-4441

EXHIBIT
A

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

SMALL CLAIMS DIVISION
COCE-24-022567

HECTOR GARCIA-CORNEJO
Defendant.
_____/

STIPULATION FOR PAYMENT OF FINAL JUDGMENT

Plaintiff and Defendant stipulate that:

1. Defendant is indebted to Plaintiff via judgment in amount of $1,807.52, plus interest at the rate of 9.50% from the judgment date.

   a. Defendant agree to pay, and Plaintiff agrees to accept payment of $800.00 in full and final settlement (waiving any remaining balance including interest) if paid on or before September 30, 2025, time being of the essence:

2. Payments can be made via credit/debit card as follows:

   Card Type: [ ] Debit Card [ ] Credit Card
   Cardholder Name:_____
   Card Number: _____
   Expiration Date: _____
   CVVCode_____
   BillingAddress/zip_____

3. By signing below, Hector Garcia-Cornejo authorizes TFB Equine to initiate an electronic debit or charge by the above-listed payment method for the amount shown, for payment in full.

4. Upon Plaintiff's receipt of the payment of $800.00, Plaintiff shall immediately withdraw the complaint that it filed with the Florida Division of Parimutuel Wagering on August 11, 2025.

5. Agreed by the parties on the last date signed.

9-23-25
By: Trish Shelton Date
Collection Manager for
TFB Equine

Hector Garcia-Cornejo Date
September 23TH
2025

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 732-9378
Tel: (954) 732-9426
Office@tfbequine.com

Hector Garcia-Cornejo
8685 SW 55th Ct
Ocala, FL 34476-9457

Date: Sep-28-25
Client #: 268732
Page: 1

Trainer: DAVID, CARLOS

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
	Balance Forward					**1,807.52**
*** PAYMENTS AND ADJUSTMENTS TO YOUR ACCOUNT ****						
9/3/25	Payment - Mastercard					-400.00
9/28/25	Payment - Cash					-400.00
9/28/25	Courtesy Credit					-1,007.52
	Note: CREDIT PER SETTLEMENT AGREEMENT					

Total Amount

PLEASE PAY THIS AMOUNT >>>>>>>> **0.00**

Client #:268732

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.
You can now make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a $100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

PetVet Operating, LLC

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: **8/28/2025**
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FGCC Case No. 2025-059681

FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERNG,

Petitioner,

v.

HECTOR GARCIA CONEJO Y SANATANA,

Respondent.
_____/

MOTION FOR SANCTIONS UNDER SECTION 57.105

Respondent HECTOR GARCIA CONEJO Y SANATANA, by and through his undersigned counsel, moves for the imposition against the Petitioner and its attorneys, equally, the full range of the sanctions authorized under §57.105, Fla. Stat. (2025), including an award of reasonable attorneys' fees to Respondent in having to retain counsel to defend himself the Administrative Complaint filed against Respondent on August 21, 2025 in Case No.2025-059681; and in support hereof states:

1. In relevant part, §57.105(1), Fla. Stat. (2025) provides as follows:

> **Section 57.105—Attorney's fee; sanctions for raising unsupported claims or defenses; exceptions; service of motions; damages for delay of litigation.—**
>
> **(1) Upon the court's initiative or motion of any party, the court shall award a reasonable attorney's fee, including prejudgment interest, to be paid to the prevailing party in equal amounts by the losing party and the losing party's attorney on any claim or defense at any time during a civil proceeding or action in which the court finds that the losing party or the losing party's attorney knew or should have known that a claim or defense when initially presented to the court or at any time before trial:**
>
> **(a) <u>Was not supported by the material facts necessary to establish the claim or defense</u>; or**

(b) <u>Would not be supported by the application of then-existing law to those material facts</u>.

2. On August 21, 2025, Petitioner filed an Administrative Complaint against Respondent seeking to suspend the owner's racing license previously issued by Petitioner to Respondent for an alleged violation of §550.105(7) allegedly caused by the existence of an unpaid Final Judgment issued against Respondent in Broward County Small Claims Court Case No. COCE-24-022567 (the "Broward County Case") on December 23, 2024.

3. On June 14, 2025, the plaintiff in the Broward County Case dismissed the Broward County Case without prejudice, which dismissal had the effect of voiding the Final Judgment so that, after June 14, 2025, there has been no existing Final Judgement that would support that a §550.015(7) violation had occurred. Apparently, the plaintiff in the Broward County Case elected not to inform Petitioner of this development.

4. On August 4, 2025, the Judge in the Broward County Case scheduled a hearing on the plaintiff's lawsuit for October 8, 2025, again confirming that there currently is no existing Final Judgement that would support that a §550.105(7) violation had occurred.

5. At the time that the Administrative Complaint was initially filed, Petitioner, through the exercise of due diligence, including the availability of an on-line search of the court records for the Broward County Case, knew or should have known that the Final Judgment upon which the relief in the Administrative Complaint was based had been voluntarily dismissed and discharged two months earlier in the Broward County Case; and, accordingly, the Administrative Complaint, when initialing filed, was not supported by the material fact -- the existence of an unpaid judgment related to horse racing activity -- necessary to establish that the relief sought in the Administrative Complaint was proper.

6. Despite Petitioner's lack of due diligence before the filing of the Administrative

Complaint, on August 26, 2025, Respondent's undersigned counsel email to Petitioner's counsel a copy of the Notice of Dismissal of the Broward County Case, a copy of which is attached as Exhibit 1. Upon receipt of the Notice of Dismissal, Petitioner and its counsel knew or should have known that Final Judgment upon which the relief in the Administrative Complaint was sought was no longer in existence; and, therefore, the Administrative Complaint was not supported by the material facts necessary to establish the relief sought therein after June 14, 2025.

7. A copy of this Motion was served by email on Petitioner through its agency clerk and its attorney of record at least twenty-one (21) days before the filing of this Motion as required by §57.105(4).

8. Respondent has retained David S. Romanik to represent him in this matter and she has agreed to pay his attorney a reasonable fee for his services.

WHEREFORE, if the Administrative Complaint is not withdrawn on or before twenty-one (21) days after the service of this motion, then Respondent prays for the entry of an order imposing on the Petitioner and its attorneys, equally, all of the sanctions authorized by §57.105, including an award of reasonable attorneys' fees for Respondent's undersigned attorney.

Dated this 27th day of August, 2025.

S/David S. Romanik
David S. Romanik (FBN 212199)
David S. Romanik, P.A.
Counsel for Respondent
Post Office Box 993
Ocala, Florida 34478
Telephone: 954/610-4441
Email: davidromanik@mac.com

CERTIFICATE OF SERVICE

On August 27, 2025, a true copy of the foregoing Notice was sent by email to Petitioner's Agency Clerk, Melba L. Apellaniz @ Melba.Apellaniz@flgaming.gov, and to Petitioner's attorney of record, Joseph Call @joseph.call@flgaming.gov.

S/David S. Romanik

EXHIBIT 1

Instr# 119639687 , Page 1 of 1, Recorded 06/20/2024 at 11:15 AM
Broward County Commission

Filing # 200596224 E-Filed 06/14/2024 02:46:06 PM

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

HECTOR GARCIA-CORNEJO
Defendant.
_____/

SMALL CLAIMS DIVISION
COCE-24-022567

NOTICE OF VOLUNTARY DISMISSAL WITHOUT PREJUDICE

YOU ARE NOTIFIED that Plaintiff, PETVET OPERATING, LLC dba TFB EQUINE dismisses its action against HECTOR GARCIA-CORNEJO without prejudice.

CERTIFICATE OF SERVICE

I CERTIFY that that a true and correct copy of the foregoing was filed with the Court, and served via the Florida Courts eFiling Portal, in accordance with rule 2.516, Florida Rules of Judicial Administration this 14th day of June 2024.

MARK GUNDERSON, P.L.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001
(954) 791-6154 Fax
mgunderson@mindspring.com
/s/ Mark Gunderson
FBN: 0842141

*** FILED: BROWARD COUNTY, FL BRENDA D. FORMAN, CLERK 06/14/2024 02:46:04 PM.****

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FGCC Case No. 2025-059681

FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERNG,

Petitioner,

v.

HECTOR GARCIA CONEJO Y SANATANA,

Respondent.
_____/

MOTION FOR SANCTIONS UNDER SECTION 57.105

Respondent HECTOR GARCIA CONEJO Y SANATANA, by and through his undersigned counsel, moves for the imposition against the Petitioner and its attorneys, equally, the full range of the sanctions authorized under §57.105, Fla. Stat. (2025), including an award of reasonable attorneys' fees to Respondent in having to retain counsel to defend himself the Administrative Complaint filed against Respondent on August 21, 2025 in Case No.2025-059681; and in support hereof states:

1. In relevant part, §57.105(1), Fla. Stat. (2025) provides as follows:

> **Section 57.105—Attorney's fee; sanctions for raising unsupported claims or defenses; exceptions; service of motions; damages for delay of litigation.—**
>
> **(1) Upon the court's initiative or motion of any party, the court shall award a reasonable attorney's fee, including prejudgment interest, to be paid to the prevailing party in equal amounts by the losing party and the losing party's attorney on any claim or defense at any time during a civil proceeding or action in which the court finds that the losing party or the losing party's attorney knew or should have known that a claim or defense when initially presented to the court or at any time before trial:**
>
> **(a) Was not supported by the material facts necessary to establish the claim or defense; or**

Filed September 29, 2025 12:08 PM Division of Administrative Hearings

(b) Would not be supported by the application of then-existing law to those material facts.

2. On August 21, 2025, Petitioner filed an Administrative Complaint against Respondent seeking to suspend the owner's racing license previously issued by Petitioner to Respondent for an alleged violation of §550.105(7) allegedly caused by the existence of an unpaid Final Judgment issued against Respondent in Broward County Small Claims Court Case No. COCE-24-022567 (the "Broward County Case") on December 23, 2024.

3. On June 14, 2025, the plaintiff in the Broward County Case dismissed the Broward County Case without prejudice, which dismissal had the effect of voiding the Final Judgment so that, after June 14, 2025, there has been no existing Final Judgement that would support that a §550.015(7) violation had occurred. Apparently, the plaintiff in the Broward County Case elected not to inform Petitioner of this development.

4. On August 4, 2025, the Judge in the Broward County Case scheduled a hearing on the plaintiff's lawsuit for October 8, 2025, again confirming that there currently is no existing Final Judgement that would support that a §550.105(7) violation had occurred.

5. At the time that the Administrative Complaint was initially filed, Petitioner, through the exercise of due diligence, including the availability of an on-line search of the court records for the Broward County Case, knew or should have known that the Final Judgment upon which the relief in the Administrative Complaint was based had been voluntarily dismissed and discharged two months earlier in the Broward County Case; and, accordingly, the Administrative Complaint, when initialing filed, was not supported by the material fact -- the existence of an unpaid judgment related to horse racing activity -- necessary to establish that the relief sought in the Administrative Complaint was proper.

6. Despite Petitioner's lack of due diligence before the filing of the Administrative

Complaint, on August 26, 2025, Respondent's undersigned counsel email to Petitioner's counsel a copy of the Notice of Dismissal of the Broward County Case, a copy of which is attached as Exhibit 1. Upon receipt of the Notice of Dismissal, Petitioner and its counsel knew or should have known that Final Judgment upon which the relief in the Administrative Complaint was sought was no longer in existence; and, therefore, the Administrative Complaint was not supported by the material facts necessary to establish the relief sought therein after June 14, 2025.

7. A copy of this Motion was served by email on Petitioner through its agency clerk and its attorney of record at least twenty-one (21) days before the filing of this Motion as required by §57.105(4).

8. Respondent has retained David S. Romanik to represent him in this matter and she has agreed to pay his attorney a reasonable fee for his services.

WHEREFORE, if the Administrative Complaint is not withdrawn on or before twenty-one (21) days after the service of this motion, then Respondent prays for the entry of an order imposing on the Petitioner and its attorneys, equally, all of the sanctions authorized by §57.105, including an award of reasonable attorneys' fees for Respondent's undersigned attorney.

Dated this 27th day of August, 2025.

S/David S. Romanik
David S. Romanik (FBN 212199)
David S. Romanik, P.A.
Counsel for Respondent
Post Office Box 993
Ocala, Florida 34478
Telephone: 954/610-4441
Email: davidromanik@mac.com

CERTIFICATE OF SERVICE

On August 27, 2025, a true copy of the foregoing Notice was sent by email to Petitioner's Agency Clerk, Melba L. Apellaniz @ Melba.Apellaniz@flgaming.gov, and to Petitioner's attorney of record, Joseph Call @joseph.call@flgaming.gov.

S/David S. Romanik

STATE OF FLORIDA
DIVISION OF ADMINISTRATIVE HEARINGS

FLORIDA GAMING CONTROL COMMISSION, DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

vs. Case No. 25-5053

HECTOR GARCIA CORNEJO Y SANTANA,

Respondent.
_____/

ORDER DENYING MOTION TO RELINQUISH JURISDICTION

This came before the undersigned on Petitioner's Motion to Relinquish Jurisdiction ("Motion"), filed September 23, 2025. Respondent filed a response in opposition to the Motion on September 25, 2025.

This action arises from an Administrative Complaint filed by Petitioner on August 19, 2025, seeking to penalize Respondent, as the holder of a Pari-Mutuel Professional Individual Occupational License, pursuant to section 550.105(2)(a), Florida Statutes.

Petitioner seeks to penalize Respondent, pursuant to section 550.105(7), based upon the following allegations:

> 3. On or about December 23, 2024, a default judgment of $1,807.52 was entered against Respondent for unpaid financial obligations regarding veterinary services in the County Court of the Seventeenth Judicial Circuit in and for Broward County, Florida, in case number COCE-24-022567.
>
> 4. These unpaid financial obligations relate to racing conducted at a pari-mutuel facility in the state of Florida.

* * *

> 6. Based on the foregoing, Respondent violated section 550.105(7), Florida Statutes, by accumulating unpaid obligations or defaults in obligations that directly related to racing conducted at a pari-mutuel facility in the state of Florida.

(Administrative Complaint, p. 1, 2)

By its Motion, Petitioner urges the undersigned to conclude that Respondent has failed to raise any disputed issues of material fact, and therefore this matter must be relinquished to Petitioner for the conduct of an informal hearing pursuant to section 120.57(2), Florida Statutes. As grounds for its request, Petitioner references section 120.57(1)(i), which provides in relevant part:

> (i) When, in any proceeding conducted pursuant to this subsection, a dispute of material fact no longer exists, any party may move the administrative law judge to relinquish jurisdiction to the agency. An order relinquishing jurisdiction shall be rendered if the administrative law judge determines from the pleadings, depositions, answers to interrogatories, and admissions on file, together with supporting and opposing affidavits, if any, that no genuine issue as to any material fact exists.

Petitioner points to the Election of Rights form completed by Respondent as the basis for asserting that no disputed issues of material fact exist. Specifically, the Motion states:

> 12. In the Election of Rights, Respondent disputes that the default judgment in Broward County Small Claims case entered on December 23, 2024, is still valid and asserts that the plaintiff in the action dismissed the case against Respondent on June 14, 2025, and that the hearing on the plaintiff's complaint has been rescheduled by the Judge for October 8, 2025. **However, Respondent failed to provide documentation in support of these claims.** *See* Ex. B. (emphasis added).

In essence, while acknowledging that Respondent has disputed at least two material facts underpinning the Administrative Complaint, Petitioner argues that the Election of Rights form filed by Respondent is insufficient because it did not include documentation to support Respondent's position on those disputed facts. However, there is no requirement that such documentation or other evidence be

provided by Respondent at this juncture in order to invoke the right to a formal evidentiary hearing.

As pointed out in the response to the Motion, Florida's First District Court of Appeal held in *Village Saloon, Inc. v. Division of Alcoholic Beverages and Tobacco, Department of Business Regulation*, 463 So. 2d 278, 283 (Fla. 1st DCA 1984):

> The right to a formal hearing in this administrative disciplinary matter did not wholly depend upon appellants' demonstration that they had evidence of disputed facts to present. Appellants' denial of the charges raised sufficient issues of law and fact to require an evidentiary hearing at which the Division, and then appellants, could present evidence in support of their respective positions.

Like the appellants in *Village Saloon*, Respondent has sufficiently identified disputed issues of material fact for determination by the undersigned through the presentation of evidence in a formal hearing.

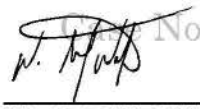
The undersigned having reviewed the record in this cause, it is, therefore,

ORDERED that:

The Motion to Relinquish Jurisdiction is DENIED.

DONE AND ORDERED this 26th day of September, 2025, in Tallahassee, Leon County, Florida.

Case No. 25-5053



W. DAVID WATKINS
Administrative Law Judge
DOAH Tallahassee Office

Division of Administrative Hearings
2001 Drayton Drive
Tallahassee, Florida 32311
(850) 488-9675
www.doah.state.fl.us

COPIES FURNISHED:

David S. Romanik, Esquire
(eServed)

Emily Ann Alvarado, Esquire
(eServed)

Marc Daniel Taupier, Esquire
(eServed)

STATE OF FLORIDA
DIVISION OF ADMINISTRATIVE HEARINGS

DOAH Case No.: 25-005053PL
FGCC Case No.: 2025-059681

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,
Petitioner,
v.
HECTOR GARCIA CORNEJO Y SANTANA
Respondent.
_____/

RESPONSE IN OPPOSITION TO MOTION TO RELINQUISH JURISDICTION

Respondent, Hector Garcia Cornejo Y Santana, by and through his undersigned counsel, responds in opposition to the Motion to Relinquish Jurisdiction to the agency based upon the agency's argument that Respondent did not establish through his filed Election of Rights form sufficient proof of the existence of a disputed issue of fact; and in opposition to the relief request in said motion, Respondent states as follows:

1. The administrative complaint filed by the agency against Respondent seeks to discipline Respondent's pari-mutuel owner's license pursuant to §550.105(2), Fla. Stat., on account of an alleged indebtedness owed by Respondent to the plaintiff in Broward County Small Claims Case No. COCE-24-0225 (the "Small Claims Case"). The basis for seeking to discipline Respondent's license is the alleged existence of an unpaid financial obligation related to racing at a Florida pari-mutuel facility inasmuch as the plaintiff in the Small Claims case is a veterinary practice which provided services to a race horse owned by Respondent.

2. In the Election of Rights form filed by Respondent, he denied that he is indebted to the plaintiff in the Small Claims Case. In addition to denying any delinquency in the payment of his account with the plaintiff in the Small Claims Case, Respondent also referred to the fact that the Small Claims Case had been voluntarily dismissed by the plaintiff.

3. The agency's motion must be denied under the authority of *Village Saloon, Inc. v. Division of Alcoholic Beverages and Tobacco, Dept. of Business Regulation*, 463 So.2d 278 (Fla. 1st DCA 1984) and *Iazzo v. Department of Professional Regulation, Bd. of Psychological Examiners*, 638 So.2d 583 (Fla. 1st DCA 1994), which decisions will be discussed in reverse order.

4. In *Iazzo*, despite that the respondent therein filed a response to the administrative complaint generally denying all allegations, the agency nonetheless refused to grant the respondent's request for a formal hearing. In reversing the order enter by the agency after an informal hearing was conducted, the First District stated:

Filed September 25, 2025 2:48 PM Division of Administrative Hearings

Iazzo contends that his request for a formal hearing, in which he disputed all the material factual allegations in the complaint, was legally sufficient to entitle him to a formal hearing. The Department, on the other hand, contends that Iazzo's request for a formal hearing was not sufficiently specific and did not meet the legal requirements of Florida Administrative Code Rule 28-5.201. We agree with Iazzo, and reverse. 638 So.2d at 585.

5. In *Village Shops*, the agency denied a formal hearing, claiming, as the agency has claimed here, that the request for a formal hearing was insufficient because the request failed to establish the existence of a factual dispute. The First District, speaking through Judge Earl Zehmer, rejected the agency's argument when ruling that the respondent was entitled to a formal hearing based on the following rationale:

The right to a formal hearing in this administrative disciplinary matter did not wholly depend upon appellants' demonstration that they had evidence of disputed facts to present. Appellants' denial of the charges raised sufficient issues of law and fact to require an evidentiary hearing at which the Division, and then appellants, could present evidence in support of their respective positions. 462 So.2d at 283.

As later explained by Judge Zehmer in his dissenting opinion in *Thomson v. State, Dept. of Environmental Regulation,* 493 So.2d 1032 (Fla. 1st DCA 1986), the decision in *Village Shops* established that "**a party requesting a 120.57(1) hearing need not adduce proof of the factual dispute as a predicate to establishing the right to such hearing; it is enough that the pleadings show on their face that disputed issues of fact exist**." 493 So.2d at 1043.

6. Based upon the foregoing authority, the denials contained in Respondent's Election of Rights are sufficient to entitle him to the formal hearing he requested.

WHEREFORE, Respondent prays for the entry of an order denying the requested Relinquishment of Jurisdiction.

Dated this 25th day of September, 2025.

S/David S. Romanik

David S. Romanik FBN 212199
David S. Romanik, P.A.
Counsel for Respondent
P.O. Box 993
Ocala, Fl 34478
954-610-4441
davidromanik@mac.com

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this 25th day of September, 2025, a true and correct copy of the foregoing was filed with the Agency Clerk for the Division of Administrative Hearing and on Petitioner's counsel, Emily Alvarado at emily.alvarado@flgaming.gov.

S/David S. Romanik

STATE OF FLORIDA
DIVISION OF ADMINISTRATIVE HEARINGS

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

DOAH Case No.: 25-005053PL
FGCC Case No.: 2025-059681

HECTOR GARCIA CORNEJO Y SANTANA

Respondent.
_____/

MOTION TO RELINQUISH JURISDICTION

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (the "Commission"), by and through its undersigned counsel, and pursuant to section 120.57(1)(i), Florida Statutes, and Rule 28-106.204 of the Florida Administrative Code, hereby files this Motion to Relinquish Jurisdiction and states as follows:

BACKGROUND

1. On August 21, 2025, the Commission filed an administrative complaint against Respondent, alleging that Respondent incurred unpaid financial obligations for veterinary services and was therefore subject to discipline under section 550.105(7), Florida Statutes (the "Administrative Complaint"). The Administrative Complaint is attached hereto as Exhibit A.

2. On September 8, 2025, Respondent submitted an Election of Rights requesting a formal administrative hearing. ("Election of Rights"). The Election of Rights is attached hereto as Exhibit B.

3. This case was referred to the Division of Administrative Hearings on September 17, 2025.

Filed September 23, 2025 10:43 AM Division of Administrative Hearings

4. The Commission respectfully requests this Administrative Law Judge to relinquish jurisdiction to the Commission because the Election of Rights fails to state a genuine dispute of material fact.

ARGUMENT

5. Section 120.57(1), Florida Statutes, provides the applicable procedures that apply in hearings involving disputed issues of material fact.

6. Section 120.57(1)(i), Florida Statutes, specifically provides that:

> When, in any proceeding conducted pursuant to this subsection, a dispute of material fact no longer exists, any party may move the administrative law judge to relinquish jurisdiction to the agency. An order relinquishing jurisdiction shall be rendered if the administrative law judge determines from the pleadings, depositions, answers to interrogatories, and admissions on file, together with supporting and opposing affidavits, if any, that no genuine issue as to any material fact exists. If the administrative law judge enters an order relinquishing jurisdiction, the agency may promptly conduct a proceeding pursuant to subsection (2), if appropriate, but the parties may not raise any issues of disputed fact that could have been raised before the administrative law judge.

7. If there is no genuine issue as to any material fact, the Division of Administrative Hearing must relinquish jurisdiction back to the Agency. *See Fairpay Sols. v. State, Agency for Health Care Admin.*, 969 So. 2d 455, 458 (Fla. 1st DCA 2007).

8. The Administrative Complaint seeks to impose one or more penalties under Section 550.105(7) based on Respondent's unpaid obligations or defaults in obligations that directly relate to racing conducted at a pari-mutuel facility. *See* Ex. A.

9. Section 550.105(7), Florida Statutes, provides:

> The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored

or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.

10. Accordingly, the facts material to the intended Agency action in this cause are solely whether Respondent had an unpaid obligation entered against him that relates to racing being conducted at a pari-mutuel facility within this state.

11. On December 23, 2024, a default final judgment of $1,807.52 was entered against Respondent for unpaid financial obligations regarding veterinary services in the County Court of the Seventeenth Judicial Circuit in and for Broward County, Florida, in case number COCE-24-022567 (the "Default Final Judgement"). A certified copy of the Default Final Judgement is attached hereto as Exhibit C. *See also* Ex. A; *PetVet Operating, LLC v Hector Gacia Cornejo,* No. COCE24022567 (Fla. Broward Cty. Ct. 2024).

12. In the Election of Rights, Respondent disputes that the default judgment in Broward County Small Claims case entered on December 23, 2024, is still valid and asserts that the plaintiff in the action dismissed the case against Respondent on June 14, 2025, and that the hearing on the plaintiff's complaint has been rescheduled by the Judge for October 8, 2025. However, Respondent failed to provide documentation in support of these claims. *See* Ex. B.

13. Furthermore, the certified court records obtained by the Commission in this case do not support such claims.

14. Specifically, the Default Final Judgment was entered on December 23, 2024. *See* Ex. C.; *see also PetVet Operating, LLC v. Hector Gacia Cornejo,* Case No. COCE24022567. No further court proceedings have occurred. *Id.* The plaintiff in *PetVet Operating, LLC v. Hector Gacia Cornejo,* has not dismissed the complaint against Respondent. *Id.* The court did not schedule a hearing for October 8, 2025. *Id*. The Respondent's allegations that there was a dismissal of the

Final Judgment and a rescheduled hearing following this court claim are unsupported by the record.[1]

15. Based on the foregoing, Respondent cannot, in good faith, dispute that a default judgment was entered in *Hector Gacia Cornejo,* Case No. COCE24022567 on December 23, 2024[2], and cannot assert in good faith that there are any further court proceedings in *Hector Gacia Cornejo,* Case No. COCE24022567 after such date. *See* Ex. C.

16. Therefore, no genuine dispute of material fact exists as to facts alleged by the Commission in the Administrative Complaint.

WHEREFORE, based on the foregoing, the Commission respectfully requests the Administrative Law Judge to grant this Motion to Relinquish Jurisdiction and refer this case back to the Commission for a hearing pursuant to section 120.57(2), Florida Statutes.

Respectfully submitted this 23rd day of September 2025.

/s/Emily A. Alvarado

Emily A. Alvarado
Chief Attorney
FBN: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: +1 (850) 536-8712
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

[1] The certified record shows that there was a Notice of Voluntary Dismissal Without Prejudice entered on June 14, 2024. The certified record also shows that there was an Order Setting Aside Dismissal and Resetting Small Claims Pretrial Conference with Directions to the Clerk of the Court on October 8, 2024. Both dates occurred prior to the new Default Final Judgment that was entered on December 23, 2024.

[2] Even if Respondent could demonstrate that, following an accumulated unpaid obligation, Respondent resolves the matter with the creditor or obligee, the Commission is not required to dismiss any cause of action based upon the accumulation of such an unpaid obligation. *See* § 550.105(7), Fla. Stat.

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a true and correct copy of the foregoing document has been provided to the following addressee via electronic mail on this 23rd day of September 2025:

David S. Romanik
c/o David S. Romanik, Esq.
PO Box 993.
Ocala, Florida 34478-0993
davidromanik@mac.com

/s/Emily A. Alvarado
Emily A. Alvarado
Chief Attorney
FBN: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: +1 (850) 536-8712
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

EXHIBIT
A

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 8/21/2025
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2025-059681

HECTOR GARCIA CORNEJO Y SANTANA,

Respondent.

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Hector Garcia Cornejo y Santana ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to chapter 550, Florida Statutes.

2. At all times material hereto, Respondent held a Pari-Mutuel Professional Individual Occupational License, number 4952854, issued pursuant to section 550.105(2)(a), Florida Statutes.

3. On or about December 23, 2024, a default judgment of $1,807.52 was entered against Respondent for unpaid financial obligations regarding veterinary services in the County Court of the Seventeenth Judicial Circuit in and for Broward County, Florida, in case number COCE-24-022567.

4. These unpaid financial obligations relate to racing conducted at a pari-mutuel facility in the state of Florida.

5. Pursuant to section 550.105(7), Florida Statutes:

> The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.

6. Based on the foregoing, Respondent violated section 550.105(7), Florida Statutes, by accumulating unpaid obligations or defaults in obligations that directly related to racing conducted at a pari-mutuel facility in the state of Florida.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties the Commission is authorized to impose pursuant to section 550.105(7), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2025-059681 is signed this 19th day of August, 2025.

/s/ Joseph Call

Joseph Call
Senior Attorney
FBN: 1044034
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: 850-794-8122
Facsimile: (850) 536-8712
Primary: Joseph.Call@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

EXHIBIT
B

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 9/08/2025
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FGCC v. HECTOR YSANTANA GARCIA CORNEJO **CASE NO.: 2025-059681**

ELECTION OF RIGHTS

Please read the Administrative Complaint before choosing one of the three options. The Election of Rights is a **legally binding** document. Consult an attorney if you do not understand your options.

You can only select **ONE** of the options numbered one (1), two (2), and three (3) on the Election of Rights form. You must sign the form.

A completed Election of Rights **must be returned to the Clerk of the Commission within 21 days, by 5:00 p.m., Eastern Time, of the day you receive the attached Administrative Complaint.**

If your Election of Rights form or request for hearing is not filed with the Clerk of the Commission within 21 days of the day you received the Administrative Complaint, you will have waived your right to contest the proposed agency action and a Final Order will be issued imposing the proposed agency action set forth in the Administrative Complaint.

Please use this form unless you, your attorney, or your representative prefer to reply according to chapter 120, Florida Statutes, and chapter 28.106 of the Florida Administrative Code.

Please return your Election of Rights form to this address:

Florida Gaming Control Commission
Attention: Clerk of the Commission
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399
Telephone: (850) 794-8067 Fax: (850) 536-8712
Email: clerk@flgaming.gov

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option One (1) ☐ **I do not dispute the allegations** of material fact in the Administrative Complaint and wish to be heard at an informal proceeding pursuant to section 120.57(2), Florida Statutes, where I may submit testimony and written evidence to the Commission to show that the proposed agency action is too severe or that the sanction should be reduced.

Option Two (2) ☑ **I do dispute the allegations** of material fact in the Administrative Complaint and I request a formal hearing pursuant to Section 120.57(1) before an Administrative Law Judge of the Division of Administrative Hearings ("DOAH"). **I specifically dispute the following facts in the Administrative Complaint** (attach extra pages or write on the back if needed):

Respondent disputes that the default jugment in Broward County Small Claims case entered on December 23, 2024 is still valid inasmuch as the plaintiff in that action voluntarily dismissed the case against Respondent on June 14, 2025 and the hearing on the plaintiff's complaint has been rescheduled by the Judge for October 8, 2025. Respondent also denies any delinquency in any racing related debts.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

In addition to the above election for formal hearing, check the box below if you wish to enter into settlement negotiations and waive the 15-day requirement for this matter to be referred to DOAH:

☐ Section 120.569(2)(a), Florida Statutes, requires the Commission to send this case to DOAH for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement.

Option Three (3) ☐ **I do not dispute the allegations** of material fact in the Administrative Complaint and **waive my right** to object and to have a hearing. I understand that by giving up the right to object and have a hearing, a Final Order will be issued that adopts the allegations of fact and conclusions of law alleged in the Administrative Complaint and imposes the Commission action set forth in the Administrative Complaint.

THIS IS A **LEGALLY BINDING DOCUMENT**. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

Hector Cornejo	David S. Romanik FBN 212199
PRINTED NAME	ATTORNEY OR QUALIFIED REPRESENTATIVE
c/o David S. Romanik	2355 SE 5th St.
Street Address	Street Address (where service shall be made)
	Ocala, Fl 34471
City State Zip	City State Zip
	954-610-4441
Telephone Number Facsimile Number (if any) Number (if any)	Telephone Number Facsimile
	davidromanik@mac.com
E-mail	E-mail
David S Rom	
SIGNATURE	

YOU MUST LET THE COMMISSION KNOW IF YOUR E-MAIL OR MAILING ADDRESS CHANGES

Instr# 119969900 , Page 1 of 2, Recorded 12/24/2024 at 11:34 AM
Broward County Commission

EXHIBIT
C

Filing # 213362779 E-Filed 12/23/2024 04:17:41 AM

Unique Code : CAA-FAA-BCABB-IFBBBGCA-BCHICFF-F Page 1 of 2

IN THE COUNTY COURT OF THE 17TH JUDICIAL CIRCUIT
IN AND FOR BROWARD COUNTY, FLORIDA

CASE NO. **COCE24022567** DIVISION: **52** JUDGE: **Miranda, Giuseppina (52)**

PetVet Operating LLC

Plaintiff(s) / Petitioner(s)

v.

Hector Garcia Cornejo

Defendant(s) / Respondent(s)

_____/

DEFAULT FINAL JUDGMENT

This action was heard after entry of default against the Defendant and

IT IS ADJUDGED that Plaintiff, PETVET OPERATING, LLC d/b/a TFB EQUINE, c/o Mark Gunderson, Esq., 2510 SW 18 Street, Fort Lauderdale, FL 33312, shall recover from Defendant, HECTOR GARCIA-CORNEJO, 8685 SW 55 Ct., Ocala, FL 34476, the sum of $806.04 on principal, filing fees of $191.48, process server fees of $60.00 and attorney fees in the amount of $750.00, **making a total of $1,807.52** which shall bear interest at the rate of 9.50% per year, for which let execution issue.

IT IS FURTHER ORDERED AND ADJUDGED that the Defendant shall complete Florida Small Claims Rules Form 7.343 (Fact Information Sheet) and return it to the Plaintiff's attorney within 45 days from the date of this final judgment, unless the final judgment is satisfied or a motion for new trial or notice of appeal is filed. **The Defendant should NOT file the completed form 7.343 with the court.**

Jurisdiction of this case is retained to enter further orders that are proper to compel the Defendant to complete form 7.343 and return it to the Plaintiff's attorney.

DONE AND ORDERED in Chambers at Broward County, Florida on 23rd day of December, 2024.

COCE24022567 12-23-2024 4:17 AM

COCE24022567 12-23-2024 4:17 AM
Hon. Giuseppina Miranda
COUNTY COURT JUDGE
Electronically Signed by Giuseppina Miranda

*** FILED: BROWARD COUNTY, FL BRENDA D. FORMAN, CLERK 12/23/2024 04:17:40 AM.****

I HEREBY ATTEST THAT THIS CERTIFIED DOCUMENT IS A TRUE AND CORRECT COPY AS SAME APPEARS ON RECORD WITH BROWARD COUNTY CLERK OF COURTS.
VERIFY AT: HTTPS://WWW.BROWARDCLERK.ORG/ECERTIFY

Digitally signed by Brenda D Forman
Date: 2025.09.10 09:24:25 -04:00
Reason: Electronic Certified Copy
Location: 201 S.E. 6th Street, Fort Lauderdale, FL 33301

Copies Furnished To:
Hector Garcia-Cornejo , Address : 8685 SW 55 Ct., Ocala, FL 34476
Mark Gunderson , E-mail : mgunderson@mindspring.com

Unique Code : CAA-FAA-BCABB-IFBBBGCA-BCHICFF-F Page 2 of 2

September 17, 2025

The Honorable Darren A. Schwartz, Interim Director and Chief Judge
Division of Administrative Hearings
2001 Drayton Drive
Tallahassee, Florida 32311

RE: Florida Gaming Control Commission, Division of Pari-Mutuel Wagering vs. Hector Garcia Cornejo Y Santana
FGCC Case No. 2025-059681

Dear Judge Schwartz:

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Commission") hereby transmits the above-referenced case for the assignment of an Administrative Law Judge to conduct a formal administrative hearing, pursuant to section 120.57(1), Florida Statutes. Please find enclosed copies of the (1) Administrative Complaint and (2) Respondent's Election of Rights.

The Division will be represented by David Romanik in this matter and should receive copies of all pleadings and orders filed in this proceeding to davidromanik@mac.com.

Should you have any questions or need any additional information, please do not hesitate to contact me at (850) 794-8066, or via email at Emily.Alvarado@flgaming.gov

Sincerely,

/s/ Emily A. Alvarado

Emily A. Alvarado
Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 202
Tallahassee, Florida 32399-1035
Telephone: (850) 794-8066
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@@flgaming.gov

Filed September 17, 2025 2:13 PM Division of Administrative Hearings

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 9/08/2025
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FGCC v. HECTOR YSANTANA GARCIA CORNEJO **CASE NO.: 2025-059681**

ELECTION OF RIGHTS

Please read the Administrative Complaint before choosing one of the three options. The Election of Rights is a **legally binding** document. Consult an attorney if you do not understand your options.

You can only select **ONE** of the options numbered one (1), two (2), and three (3) on the Election of Rights form. You must sign the form.

A completed Election of Rights **must be returned to the Clerk of the Commission within 21 days, by 5:00 p.m., Eastern Time, of the day you receive the attached Administrative Complaint.**

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Please use this form unless you, your attorney, or your representative prefer to reply according to chapter 120, Florida Statutes, and chapter 28.106 of the Florida Administrative Code.

Please return your Election of Rights form to this address:

Florida Gaming Control Commission
Attention: Clerk of the Commission
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399
Telephone: (850) 794-8067 Fax: (850) 536-8712
Email: clerk@flgaming.gov

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option One (1) ☐ **I do not dispute the allegations** of material fact in the Administrative Complaint and wish to be heard at an informal proceeding pursuant to section 120.57(2), Florida Statutes, where I may submit testimony and written evidence to the Commission to show that the proposed agency action is too severe or that the sanction should be reduced.

Option Two (2) ☑ **I do dispute the allegations** of material fact in the Administrative Complaint and I request a formal hearing pursuant to Section 120.57(1) before an Administrative Law Judge of the Division of Administrative Hearings ("DOAH"). **I specifically dispute the following facts in the Administrative Complaint** (attach extra pages or write on the back if needed):

Respondent disputes that the default jugment in Broward County Small Claims case entered on December 23, 2024 is still valid inasmuch as the plaintiff in that action voluntarily dismissed the case against Respondent on June 14, 2025 and the hearing on the plaintiff's complaint has been rescheduled by the Judge for October 8, 2025. Respondent also denies any delinquency in any racing related debts.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

In addition to the above election for formal hearing, check the box below if you wish to enter into settlement negotiations and waive the 15-day requirement for this matter to be referred to DOAH:

☐ Section 120.569(2)(a), Florida Statutes, requires the Commission to send this case to DOAH for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement.

Option Three (3) ☐ **I do not dispute the allegations** of material fact in the Administrative Complaint and **waive my right** to object and to have a hearing. I understand that by giving up the right to object and have a hearing, a Final Order will be issued that adopts the allegations of fact and conclusions of law alleged in the Administrative Complaint and imposes the Commission action set forth in the Administrative Complaint.

THIS IS A **LEGALLY BINDING DOCUMENT**. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

Hector Cornejo	David S. Romanik FBN 212199
PRINTED NAME	ATTORNEY OR QUALIFIED REPRESENTATIVE
c/o David S. Romanik	2355 SE 5th St.
Street Address	Street Address (where service shall be made)
	Ocala, Fl 34471
City State Zip	City State Zip
	954-610-4441
Telephone Number Facsimile Number (if any)	Telephone Number Facsimile
	davidromanik@mac.com
E-mail	E-mail
David S Romanik	
SIGNATURE	

YOU MUST LET THE COMMISSION KNOW IF YOUR E-MAIL OR MAILING ADDRESS CHANGES

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: **8/21/2025**
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2025-059681

HECTOR GARCIA CORNEJO Y SANTANA,

Respondent.

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Hector Garcia Cornejo y Santana ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to chapter 550, Florida Statutes.

2. At all times material hereto, Respondent held a Pari-Mutuel Professional Individual Occupational License, number 4952854, issued pursuant to section 550.105(2)(a), Florida Statutes.

3. On or about December 23, 2024, a default judgment of $1,807.52 was entered against Respondent for unpaid financial obligations regarding veterinary services in the County Court of the Seventeenth Judicial Circuit in and for Broward County, Florida, in case number COCE-24-022567.

4. These unpaid financial obligations relate to racing conducted at a pari-mutuel facility in the state of Florida.

5. Pursuant to section 550.105(7), Florida Statutes:

> The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.

6. Based on the foregoing, Respondent violated section 550.105(7), Florida Statutes, by accumulating unpaid obligations or defaults in obligations that directly related to racing conducted at a pari-mutuel facility in the state of Florida.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties the Commission is authorized to impose pursuant to section 550.105(7), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2025-059681 is signed this 19th day of August, 2025.

/s/ Joseph Call

Joseph Call
Senior Attorney
FBN: 1044034
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: 850-794-8122
Facsimile: (850) 536-8712
Primary: Joseph.Call@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

Lic Type	**1021 - Pari-Mutuel Wagering Individual Occupational**			Status	**90 Closed**	Status Date	**08/15/2025**
Complaint #	**2025059681**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**GARCIA CORNEJO YSANTANA, HECTOR**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**CNSM - Consumer**	Security Level	**1**
Form	**PCOM - PMW Complaint**	Priority	
Class'n	**IV-A - Financial Responsibility**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**12/23/2024**
Region	**SR - Southern Region**	Received	**08/12/2025**
Reference	**550.105(7)**		
Entered	**08/13/2025**	Entered By	**cstubbs1**
Summary	**321 - GULFSTREAM PARK RACING ASSOCIATION, INC - F.S.S. 550.105(7) Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines. - On August 12, 2025, a copy of a Final Default Judgment, Case #COCE-24-022567 was received via e-mail from Attorney Mark Gunderson. Per said Final Default Judgment filed on December 23, 2024, in the County Court For the 17th Judicial Circuit in and For Broward County, FL, in Division 52, PetVet Operating dba TFB Equine, shall recover from Hector Ysantana Garcia Cornejo, the sum of $806.04, in principle, filing fees of $191.48, process server fees of $60.00 and attorney fees in the amount of $750.00, making a total of $1,807.52, which shall bear interest at the rate of 9.50% per year**		
Updated	**08/15/2025 13:43:09**	By	**cstubbs1**

☐ Parties ☑ Activities

☑ Allegations ☐ Discipline

☐ Violations ☐ Compliance

☐ Related ☑ Disposition

☐ Inspection

☐ Costs

☐ Time Tracking Auto Assign

☐ Attachments History

☐ Work Notes Print Report

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Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Joe Dillmore, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**SOUTHERN**	**August 12, 2025**	**2025 05 9681**

Respondent:	Complainant:
GARCIA CORNEJO, HECTOR YSANTANA **PICO DE VERA PAZ #64-102** **MEXICO CITY, MEXICO 14210** **52 5562259724** **CORNEJOYCOOPER@GMAIL.COM**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # and Type:	Profession:	Report Date:
4952854 - 1021	**Individual Owner**	**August 13, 2025**

Period of Investigation:	Type of Report:
August 12, 2025, through August 13, 2025	**Final**

Alleged Violation: F.S.S. 550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines. –
(7) "The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state."

Synopsis: On August 12, 2025, a copy of a Default Final Judgment against Hector Ysantana **GARCIA CORNEJO,** in favor of PetVet Operating, LLC dba TFB Equine, Case No. COCE-24-022567, Division 52, in the County Court of the 17th Judicial Circuit in and for Broward County, Florida, ordered on December 23, 2024, was received via e-mail from Attorney Mark Gunderson.

Per said judgment, PetVet Operating, LLC dba TFB Equine, shall recover from **GARCIA CORNEJO** the sum of $806.04 in principal, filing fees of $191.48, process server fees of $60.00 and attorney fees in the amount of $750.00, making **a total of $1,807.52,** which shall bear interest at the rate of 9.50% per year **(EXHIBIT #1).**

Related Case(s):

Investigator / Date	Investigations Manager / Date
	Julio Minaya / August 13, 2025

Chief of Investigations / Date

Bradford D. Jones / August 15, 2025

CONTINUATION

A check of VERSA records reflects that Hector Ysantana **GARCIA CORNEJO** obtained a 3-year Florida PMW Occupational License as an Individual Animal Owner on July 18, 2025. This license expires on June 30, 2028. A copy of his licensing information is attached as **Exhibit #2**.

In the letter from Gunderson, he states that the original amount of $1,807.52 is for veterinary services provided by his client at a Florida Pari-Mutuel facility where **GARCIA CORNEJO** stables his horses. Gunderson also provided copies of the Original Complaint which was filed on April 14, 2024, and invoices related to his case. These documents are attached as **Exhibit #3.**

Also, in the Default Final Judgment Order, a U.S.A address is listed for **GARCIA CORNEJO** as 8685 SW 55th Ct., Ocala Florida 34476.

On August 13, 2025, an Enforcement Alert was placed on **GARCIA CORNEJO'S** license record in VERSA to reflect this unsatisfied Financial Obligation. A copy of the License Action Request Form and VERSA alert is attached as **Exhibit #4**.

Conclusion: GARCIA CORNEJO in violation of F.S.S. 550.105(7) for failing to satisfy a Final Judgment.

Status: Case is closed by Investigations and forwarded to Legal for review.

CONTINUATION

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4. License Enforcement Alert…….. 1-2

Julio Minaya

From:	Mark Gunderson <mgunderson@mindspring.com>
Sent:	Tuesday, August 12, 2025 2:52 PM
To:	Julio Minaya
Cc:	Ebonie Lanier; Stephanie Buse; Kevin Scheen; steward1@tampabaydowns.com; Trish Shelton
Subject:	License Complaint - Hector Garcia Cornejo
Attachments:	2025_08_11 License Complaint Florida Cornejo.pdf

Julio,

Attached is my client's license complaint concerning hector Garcia Cornejo. I will send you additional information under separate cover.

Call with any questions.

Mark Gunderson
Attorney • CPA
2510 SW 18 Street
Ft. Lauderdale, FL 33312
(954) 288-1001 Mobile
(954)791-6154 Fax

The true character of a person is revealed by their willingness to do what is right, when no obligation exists and no duty compels them.

Confidential: This e-mail contains a communication protected by the attorney-client privilege or constitutes work product. If you do not expect such a communication please delete this message without reading it or any attachment and then notify the sender of this inadvertent delivery.

EXHIBIT #/
PAGE #/

Law Office of
Mark Gunderson, PL
Attorney ♦ CPA

2510 SW 18 Street ♦ Fort Lauderdale, Florida 33312
phone: (954) 288-1001 ♦ fax: (954) 791-6154 ♦ e-mail: mgunderson@mindspring.com

DIVISION OF PARI-MUTUEL WAGERING COMPLAINT – HECTOR GARCIA CORNEJO

August 11, 2025

ebonie.lanier@flgaming.gov
stephanie.buse@flgaming.gov

Division of Pari-Mutuel Wagering
DBPR 0070 – Uniform Cplt Form
4070 Esplanade Way
Tallahassee, Florida 32399

julio.minaya@myfloridalicense.com

Julio Minaya, Investigation Suprv
Division of Pari-Mutuel Wagering
1400 W. Commercial Blvd, # 165
Fort Lauderdale, FL 33065

kevin.scheen@flgaming.gov

Kevin Sheen, State Steward
c/o Gulfstream Park
901 S. Federal Hwy
Hallandale, FL 33009

Re: PetVet Operating LLC dba TFB Equine. v. Hector Garcia Cornejo aka Garcia Cornejo Ysantana, Hector - 17th Judicial Circuit - State of Florida – COCE 24-022567 - $1,807.52

Ladies and Gentlemen:

On December 23, 2024 my client, PetVet Operating LLC dba TFB Equine. obtained a judgment[1] against Hector Garcia Cornejo, a licensee, concerning veterinary services which my client provided at a Florida pari-mutuel facility where Mr. Cornejo stabled his horses. Since obtaining that judgment, Mr. Cornejo has failed to satisfy his obligation.

Florida law states in relevant part,

"*...the commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults on obligations, ... directly related to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.* § 550.105(7) Fla. Stat. (2023).

***"A business applicant shall be subject to denial of its initial or renewal application as outlined in Section 550.105, F.S., based on disqualifying ... civil judgments ... of the business entity or the individuals associated with that business..."* Fla. Admin. Code 75-5.001**

Mr. Cornejo holds a Pari-Mutuel Wagering Individual Occupational license issued by the State of Florida. Mr. Cornejo has accumulated unpaid obligations and has defaulted on an obligation that is directly related to the sport of racing conducted at a pari-mutuel facility.

Accordingly, my client requests that Mr. Cornejo's occupational/horse racing license be suspended or revoked, in accordance with Chapter 550.107 Fla. Stat., and Fla. Admin. Code 75-5.001

Thank you for your attention to this matter.

/s/ Mark Gunderson, Esq.

Cc. Client
Hector Garcia Cornejo, Pico De Vera Paz #64-103, Mexico City Mexico 14210
John Morrissey – State Steward, Tampa Bay Downs Steward1@tampabaydowns.com

[1] Judgment attached.

EXHIBIT #/
PAGE #2

IN THE COUNTY COURT OF THE 17TH JUDICIAL CIRCUIT
IN AND FOR BROWARD COUNTY, FLORIDA

CASE NO. **COCE24022567** DIVISION: **52** JUDGE: **Miranda, Giuseppina (52)**

PetVet Operating LLC

Plaintiff(s) / Petitioner(s)

v.

Hector Garcia Cornejo

Defendant(s) / Respondent(s)

_____/

DEFAULT FINAL JUDGMENT

This action was heard after entry of default against the Defendant and

IT IS ADJUDGED that Plaintiff, PETVET OPERATING, LLC d/b/a TFB EQUINE, c/o Mark Gunderson, Esq., 2510 SW 18 Street, Fort Lauderdale, FL 33312, shall recover from Defendant, HECTOR GARCIA-CORNEJO, 8685 SW 55 Ct., Ocala, FL 34476, the sum of $806.04 on principal, filing fees of $191.48, process server fees of $60.00 and attorney fees in the amount of $750.00, **making a total of $1,807.52** which shall bear interest at the rate of 9.50% per year, for which let execution issue.

IT IS FURTHER ORDERED AND ADJUDGED that the Defendant shall complete Florida Small Claims Rules Form 7.343 (Fact Information Sheet) and return it to the Plaintiff's attorney within 45 days from the date of this final judgment, unless the final judgment is satisfied or a motion for new trial or notice of appeal is filed. **The Defendant should NOT file the completed form 7.343 with the court.**

Jurisdiction of this case is retained to enter further orders that are proper to compel the Defendant to complete form 7.343 and return it to the Plaintiff's attorney.

DONE AND ORDERED in Chambers at Broward County, Florida on 23rd day of December, 2024.

COCE24022567 12/23/2024 4:17 AM

COCE24022567 12-23-2024 4:17 AM
Hon. Giuseppina Miranda
COUNTY COURT JUDGE
Electronically Signed by Giuseppina Miranda

EXHIBIT # /
PAGE # 3

Copies Furnished To:
Hector Garcia-Cornejo , Address : 8685 SW 55 Ct., Ocala, FL 34476
Mark Gunderson , E-mail : mgunderson@mindspring.com

EXHIBIT # 1
PAGE # 4

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION

Please submit to the appropriate address on Page 4.

Any investigation or administrative proceeding brought by the Department against the subject of your complaint will rely upon the information you provide to the Department. All allegations and supporting documentation MUST be provided to the Department at this time.

COMPLAINANT INFORMATION				
Last Name	First	Middle	Title	Suffix
Your Company/Occupation PETVET OPERATING LLC dba TFB EQUINE				
MAILING ADDRESS				
Street Address or P.O. Box PO BOX 267160				
City WESTON		State FL	Zip Code (+4 optional) 33326	
County (if Florida address) BROWARD		Country		
CONTACT INFORMATION				
Primary Phone Number 954-288-1001		Alternate Phone Number		
Primary E-Mail Address MGUNDERSON@MINDSPRING.COM				
Unlicensed Activity Complaint? Yes ☐		No X	Unknown ☐	
COMPLAINT DESCRIPTION				
SEE ATTACHED LETTER				

Attach additional sheets as necessary.

EXHIBIT # 1
Page 2 of 5
PAGE #5

PRIVATE ATTORNEY FOR COMPLAINANT (IF APPLICABLE)				
Last Name GUNDERSON, MARK, ESQ	First	Middle	Title	Suffix
ADDRESS				
Street Address or P.O. Box				
2510 SW 18 STREET				
City FORT LAUDERDALE		State FL	Zip Code (+4 optional) 33312	
County (if Florida address) BROWARD		Country		
CONTACT INFORMATION				
Primary Phone Number 954-288-1001		Alternate Phone Number		

SUBJECT OF COMPLAINT				
Last Name HECTOR GARCIA CORNEJO aka GARCIA CORNEJO YSANTANA, HECTOR	First	Middle	Title	Suffix
License Number (if known) PIN4952854				
Company/Occupation HORSE/TRAINER OWNER				
MAILING ADDRESS				
Street Address or P.O. Box				
PIC DE VERA PAZ #64-102				
City MEXICO CITY		State	Zip Code (+4 optional) 14210	
County (if Florida address) MEXICO DF		Country		
CONTACT INFORMATION				
Primary Phone Number UNKNOWN	Primary E-Mail Address UNKNOWN			
RESIDENCE ADDRESS (IF DIFFERENT THAN MAILING ADDRESS)				
Street Address				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

PRIVATE ATTORNEY FOR SUBJECT OF COMPLAINT (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
ADDRESS				
Street Address or P.O. Box				
NONE				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number		Alternate Phone Number		

WITNESS (IF APPLICABLE)				
Last Name TRISH SHELTON - MGR OF COLLECTIONS - PETVET OPERATING LLC dba TFB EQUINE	First	Middle	Title	Suffix
ADDRESS				
Street Address or P.O. Box PO BOX 267160				
City FORT LAUDERDALE		State FL	Zip Code (+4 optional) 33330	
County (if Florida address) BROWARD		Country		
CONTACT INFORMATION				
Primary Phone Number 954-680-5886		Alternate Phone Number		

WITNESS (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number		Alternate Phone Number		

I affirm that I have provided the above information completely and truthfully to the best of my knowledge.

Complainant Sign Here: BY: /S/ MARK GUNDERSON, ESQ. Date: 8/11/2025

EXHIBIT #/
PAGE # 7

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **jminaya**

License

Fed Tax # [redacted] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2028**

File # **38610** Name **GARCIA CORNEJO YSANTANA, HECTOR** Extended To

License # **4952854** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **4952854** Lic Status **Current**

Licensee | History | Notes | Notes History | Back

Address

Street # **PICO DE** Street **VERA PAZ #64-102**

Line 2 **MEXICO CITY MEXICO 14210**

Line 3

City State - Zip

Routing **owner will pick up**

Other

1st License Date **07/18/2025** Rank Date **07/18/2025** Certificate #

Method **I-S-1024** Status Date **07/18/2025** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	IOWN - Individual Animal Owner	06/08/2002	
I	TBRD - Thoroughbred	06/08/2002	
L	321 - Gulfstream Park	01/14/2010	
Y	3YR - 3 Year License	06/08/2002	

Alt Keys

BEST LIC NBR **PIN4952854**

Get Adobe Reader.

EXHIBIT # 2
PAGE # 1

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jminaya**

Lic Type **1021 - PMW Professional Individual Occupational** Entity # **4952854**

Fed Tax # [redacted] Fed Tax Type **FEIN #** Name **GARCIA CORNEJO YSANTANA, HECTOR**

Notes | Notes History | Fix | Change | Save | OK | Cancel | Back

Last Name **GARCIA CORNEJO YSANTANA** First **HECTOR** Middle

Title Suffix Qualifier

Gender **M** Race **4 - Hispanic**

Driver License # Birth Date [redacted]

Mailing Address ☑ Private Address ☐ Preferred Name ☑

Street # **PICO DE** Street **VERA PAZ #64-102**

Line 2 **MEXICO CITY MEXICO 14210**

Line 3

City County **Foreign**

Zip State **International** Country **Mexico**

Routing **owner will pick up**

Phone # **52 5562259724** Ext E-Mail **CORNEJOYCOOPER@GMAIL.COM**

Insp Region Receive Email ☐

Updated **07/18/2025 11:43:26** By **rkitchens**

Get Adobe Reader.

EXHIBIT #2
PAGE #2

Filing # 196140843 E-Filed 04/14/2024 04:29:08 PM

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

PETVET OPERATING, LLC
dba TFB EQUINE
Plaintiff,
vs

SMALL CLAIMS DIVISION
COCE-24-022567

HECTOR GARCIA-CORNEJO
Defendant.
_____/

COMPLAINT

Plaintiff, PETVET OPERATING dba TFB EQUINE ("Plaintiff"), sues defendant, HECTOR GARCIA-CORNEJO, ("Defendant") and alleges,

1. This is an action on an open account.

2. This Court has subject matter jurisdiction over this dispute because this complaint seeks damages of $8,000.00 or less exclusive of interest, attorneys' fees, and costs. §34.01(2) Fla. Stat.

3. Venue is proper in the 17th Judicial Circuit, in that Plaintiff's cause of action arose in Broward County Florida. §47.011 Fla. Stat.

4. Plaintiff is a resident of Broward County and otherwise *sui juris*.

5. The Court has jurisdiction over the Defendant in that Plaintiff's cause of action against Defendant arises from Defendant operating, conducting, engaging in, or carrying on a business or business venture in this state, breaching a contract in this state by failing to perform acts required by the contract to be performed in this state, and/or Defendants' substantial and not isolated activity within this state. §48.193 Fla. Stat.

6. Service is alternatively made (if applicable) via substituted service on the Secretary for the State of Florida in accordance with §48.161 Fla. Stat. or §48.181 Fla. Stat. in that Defendant(s) were residents of this state and subsequently became nonresident(s) of this state or has concealed his whereabouts.

7. **Defendant owes Plaintiff $806.04** that is due with interest since March 31, 2024, based on an agreement for goods and services that were sold and delivered at an agreed upon price, **directly related to horse racing being conducted at a pari-mutuel facility**. See Exhibit A.

8. Plaintiff is entitled to prevailing party attorney fees pursuant to agreement between the parties.

WHEREFORE, Plaintiff demands judgment for damages, attorney fees and costs against Defendant.

MARK GUNDERSON, P.L.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001; (954) 791-6154 Fax
mgunderson@mindspring.com
/s/ Mark Gunderson FBN: 0842141

EXHIBIT #3
PAGE #1

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 680-5886
Fax: (954) 680-5976
Office@tfbequine.com

Hector Garcia-Cornejo
Cornejo Racing Inc.
8685 SW 55th Ct
Ocala, FL 34476-9457

Trainer: DAVID, CARLOS

Date: Mar-31-24
Client #: 268732
Page: 1

$ _____
AMOUNT REMITTED

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
	Balance Forward					**0.00**
*** PAYMENTS AND ADJUSTMENTS TO YOUR ACCOUNT ****						
7/31/23	Interest					3.12
8/31/23	Interest					3.12
9/30/23	Interest					3.14
11/30/23	Interest					3.16
12/31/23	Interest					3.16
1/31/24	Interest					3.17
2/29/24	Interest					3.19
3/31/24	Interest					3.20
	GIRVIN STAR *100.00%*					
5/4/23	Butecort Sweat Paste	0.06	02	FL		6.00
5/8/23	Lasix/Salix - AM EIPH Prevention	1	10	FL		25.00
5/10/23	Tranquilize - Dormosedan	1	10	FL		37.00
5/11/23	Ketoprofen	1	17	FL		45.00
5/11/23	Adequan I.M. - 500 Mg.	1	17	FL		85.00
5/11/23	Lactated Ringers & DMSO IV	1	17	FL		58.50
5/12/23	Phenylbutazone Injection	1	17	FL		18.00
5/12/23	Enterolyte X 2 Via Stomach Tube	1	17	FL		58.50
5/12/23	Vitamin B-12	1	17	FL		35.00
5/12/23	Vitamin C	1	17	FL		41.50
5/12/23	L-Arginine	1	17	FL		33.00
5/13/23	Tranquilize - Acepromazine	1	35	FL		34.00
5/15/23	DR/CR Xray Knee - RF	1	10	FL		263.00
5/15/23	Digital Image Archive/Storage	1	10	FL		13.50

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.
Click here to make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a $100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

PetVet Operating, LLC

EXHIBIT #3
PAGE #2

Exhibit A 2/2

Statement

TFB Equine

PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 680-5886
Fax: (954) 680-5976
Office@tfbequine.com

Hector Garcia-Cornejo
Cornejo Racing Inc.
8685 SW 55th Ct
Ocala, FL 34476-9457

Trainer: DAVID, CARLOS

Date: Mar-31-24
Client #: 268732
Page: 2

$ _____
AMOUNT REMITTED

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
5/18/23	Acepromazine Maleate Anh Oral Solution 100ml	0.06	10	FL		8.16
5/19/23	Acepromazine Maleate Anh Oral Solution 250ml	0.06	10	FL		13.38
5/19/23	Biozide Gel	0.06	10	FL		1.59
5/27/23	Phenylbutazone Powder - 2.2 lb. jar	0.06	02	FL		4.65
	***GIRVIN STAR* Sub-Total**				**780.78**	

Over 90 Days	Over 60 Days	Over 30 Days	Current	Total Amount
796.48	3.17	3.19	3.20	

PLEASE PAY THIS AMOUNT >>>>>>>> **806.04**

Client #:268732

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.
Click here to make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a $100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

PetVet Operating, LLC

EXHIBIT #3
PAGE #3

FLORIDA GAMING CONTROL COMMISSION

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

Joe Dillmaor, Executive Director

Ron DeSantis, Governor

DIVISION OF PARI-MUTUEL WAGERING
LICENSE ACTION REQUEST FORM

To: Licensing Administrator

From: Investigator Minaya

Date: August 13, 2025

X	PLEASE **ADD ALERT**: Contact Investigations Prior to Licensing
	PLEASE **REMOVE** ALERT FROM LICENSE RECORD

License number and type: 4952854 - 1021

Last Name: GARCIA CORNEJO First Name: HECTOR Y.

DOB: [redacted] SS#: [redacted]

Reason for Addition/Removal of Alert: **DO NOT LICENSE!** Unsatisfied Final Judgment pending against Hector Ysantana **GARCIA CORNEJO**, in favor of PetVet Operating, LLC dba TFB Equine, Case # COCE-24-022567, Division 52, in the Circuit Court of the 17th Judicial Court in Broward County, Florida, in the amount of $1,807.52 plus 9.50% interest per year.

Responsible Party: Inv. Sup Minaya Case Number: 2025 05 9681

Approved by:_____ Date _____

Added/Removed by:_____ Date August 13, 2025

Revised 12/08

EXHIBIT #4
PAGE # 1

Modifier Type	**A - Alert**
Modifier	**ENFO - Enforcemt Alert**
Effective	**08/13/2025**
Expires	**-**
Additional Info :	
Prompt	
Value	
Notes	**DO NOT LICENSE! Unsatisfied Final Judgment pending against Hector Ysantana GARCIA CORNEJO, in favor of PetVet Operating, LLC dba TFB Equine, Case # COCE-24-022567, Division 52, in the Circuit Court of the 17th Judicial Court in Broward County, Florida, in the amount of $1,807.52 plus 9.50% interest per year.**
Updated	**08/13/2025 08:39:33**
By	**jminaya**

EXHIBIT #4
PAGE # 2

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 155
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Jordan Wilmore, Executive Director **Ron DeSantis,** Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office	Report Number	Date Complaint	Case Number
PMW	SOUTHER	August 12, 2025	2025

Respondent:

GARCIA CORNEJO, HECTOR YSAAC
PIC DE VERA PAZ
MEXICO CITY, MEXICO
52
CORNEJOYCOOPER@GMAIL.COM

Complainant:

DIVISION OF PARI-MUTUEL WAGERING
OFFICE OF INVESTIGATIONS
1400 W. COMMERCIAL BLVD, SUITE
FT. LAUDERDALE, FLORIDA

License and Type:	Profession:	Report Date:
495	**Individual Owner**	**October 20, 2025**

Period of Investigation:	Type of Report:
August 12, 2025, through October 20, 2025	**Supplemental**

Alleged Violation of F.S. 550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines

(7) [illegible] commission may deny, revoke, or suspend any occupational license if the applicant therefor [illegible] has been convicted in this or any other state of any crime which is a felony [illegible] or which if committed in this state would be a felony [illegible] or has violated any of the provisions of this chapter or rules of the division with respect to pari-mutuel wagering, or has been found guilty of fraud or misrepresentation in connection with racing or has violated or conspired to violate the provisions of this chapter relating to the manufacture, possession, ownership, sale, or distribution of electronic or mechanical devices designed or used for the purpose of cheating or has violated or conspired to violate the provisions of this chapter relating to the use, possession, or distribution of medications, drugs, or substances illegal for use in connection with racing greyhounds or has been or is under suspension from racing greyhounds or jai alai or harness horse racing or quarter horse racing or thoroughbred racing by any other state, federal, or foreign government agency; however, the conviction of a crime or the withholding of a license for the conviction of a crime may not operate as an absolute bar to licensure but may be considered by the commission in connection with the overall fitness of the person seeking a license.

Synopsis: On August 12, 2025, a copy of the Default Final Judgment was obtained from the Clerk of Court, [illegible] Division, in the case of **GARCIA CORNEJO**, in which the Plaintiff, [illegible], was awarded a Default Final Judgment against the Defendant, **GARCIA CORNEJO**, in the amount of $80604 in principal, [illegible] in attorney's fees, [illegible] in costs, for a total of $[illegible], to be paid to the Plaintiff within [illegible] days. The Judgment was entered in [illegible] County, Florida, and was recorded on [illegible]. On August 26, 2025, a copy of the Default Final Judgment,

CON INU ION

A chec of VERSA records reflects that Hector Ysantana **G A CI CO RNEJO** obtained a 3-year Florida PMW Occupational License as an Individual Animal Owner on July 18, 2025. This license expires on June 30, 2028. A copy of his licensing information is attached as **Exhibit #2**.

In the letter from Gunderson, he states that the original amount of $1,807.52 is for veterinary services provided by his client at a Florida Pari-Mutuel facility where **G A CI CO RNEJO** stables his horses. Gunderson also provided copies of the Original Complaint which was filed on April 14, 2024, and invoices related to his case. These documents are attached as **Exhibit #3.**

Also, in the Default Final Judgment Order, a U.S.A address is listed for **G A CI CO RNEJO** as 8685 SW 55th Ct., Ocala Florida 34476.

On August 13, 2025, an Enforcement Alert was placed on **G A CI CO RNEJO'** license record in VERSA to reflect this unsatisfied Financial Obligation. A copy of the License Action Request Form and VERSA alert is attached as **Exhibit #4**.

Conclusion: G A CI CO RNEJO in violation of F.S.S. 550.105(7) for failing to satisfy a Final Judgment.

tatus: Case is closed by Investigations and forwarded to Legal for review.

On August 19, 2025, an Administrative Complaint was filed against **G A CI CO RNEJO** by FGCC Senior Attorney Joseph Call. A copy of this document is attached as **Exhibit #5.**

On September 29, 2025, the undersigned Investigator received an e-mail from Attorney Gunderson containing an attachment (Letter of Withdrawal of Division of Pari-Mutuel Wagering Compliant against Hector **G A CI -CO RNEJO**).

Per the letter Gunderson states that his client PetVet Opertaining LLC dba TFB Equine was withdrawing their complaint against **G A CI CO RNEJO** due to him satisfying his financial obligations. Also attached was a payment receipt showing said payment. A copy of these documents is attached as **Exhibit #6**.

On September 30, 2025, the undersigned received an e-mail from Gunderson containing two attachments. The first was a copy of a Satisfaction of Final Judgment prepared by Gunderson but not yet filed/recorded by the courts. The other attachment was a copy of the Default Final Judgment. These documents were attached to this case as **Exhibit #7**.

On October 9, 2025, the undersigned received another e-mail from Gunderson containing a copy of the filed/recorded Satisfaction of Final Judgment previously mentioned above. A review of the document shows that it was recorded on October 9, 2025, at 11.27 A.M., by the Broward County Commission. A copy of this document is attached as **Exhibit #8**.

On October 20, 2025, the undersigned received from Legal a Withdrawal of Motions for Sanctions Under Section 57.105. A copy of this Motion is attached as **Exhibit #9**.

k

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I. I VESTIGATIVE REPORT COVERSHEET ……..1

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III. EXHIBITS

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9. Copy of Withdrawal of Motions for Sanctions Under Section 57.105.. 1-1 N

7. Discussion of Request for Waivers

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Justin Hundersmarck, Senior Attorney
Re: Christian Lewis Karcher; Case No. 2025-071167
Date: December 18, 2025

Executive Summary

Christian Lewis Karcher (the "Applicant") seeks a waiver of his disqualifying offense and issuance of a cardroom employee occupational license (the "Application"). The Applicant submitted a completed application for a cardroom employee occupational license. Upon review of the Application, it appears the Applicant was convicted of three disqualifying felony offenses out of Florida. The Applicant did not complete a waiver interview.

Relevant Facts

On August 5, 2025, the Applicant submitted an application for a cardroom employee occupational license to the Division. On this application, the Applicant answered "yes" to the question asking if he has been convicted of or had an adjudication withheld for any criminal charges, or has pled guilty or nolo contendere to any criminal charges. On August 19, 2025, the Applicant was sent a deficiency letter requesting an updated application and court disposition records for arrests out of Duval County, Florida. Upon review of the Application deemed complete on September 15, 2025, it appears that the Applicant had been convicted of the following disqualifying felony offenses:

- Sell, Manufacture, or Deliver Cannabis (three counts) out of Duval County, Florida, from September 20, 2017.

The applicant submitted a request for waiver of the statutory restrictions excluding applicants with disqualifying offenses on August 5, 2025, but did not complete a waiver interview.

These felony convictions are disqualifying offenses pursuant to sections 550.105 and 849.086(6)(f), Florida Statutes.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides, in pertinent part that:

> . . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 550.105(5)(c), Florida Statutes, provides in pertinent part that:

> "[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the commission."

Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

EXHIBIT

A

KARCHER, CHRISTIAN LEWIS

Case # 2025-071167

License Type: Cardroom Employee Occupational (1012)
Occupation: Chip Runner

Waiver Requested: Yes[✓] No[ ]

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

KARCHER, CHRISTIAN LEWIS-14501331 (Applicant's Name– License #)

2025-071167 Case No:

INITIAL APPLICATION RECEIVED:	8/5/2025
Application Deemed Complete:	9/15/2025
If no Request for Waiver received, 90-Day Deadline:	**12/14/2025**

Waiver Requested: ☐No ☒Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)

- Waiver Requested **08/05/2025**

TL	**148 - Orange Park Kennel**	**1012-CHIP RUNNER**
Application Processor	Facility (d/b/a name)	Occupation Code / Job Title

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☐Yes ☒No

Licensing History: **N/A** : Initial license issued Maintained Continuous licensure? ☐Yes ☐No **N/A**

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No

Where all items identified in the deficiency letter addressed? ☒Yes ☐No If no, explain:

☒ Felony(s): Count(s) 3 ☐ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking

☐ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)

☐ Animal Cruelty (Pari-Mutuel Professons)

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp
06/01/2017	**Duval County, FL**	**Charge 1**-Sell, Manufacture or Deliver Cannabis	F	162017CF005068AXXXMA	Adjudicated Guilty	Y	Y
		Charge 2-Sell, Manufacture or Deliver Cannabis	F	162017CF005068AXXXMA	Adjudicated Guilty	Y	Y
		Charge 3-Sell, Manufacture or Deliver Cannabis	F	162017CF005068AXXXMA	Adjudicated Guilty	Y	Y
06/11/2022	**Duval County, FL**	**Charge 1**-Battery-Touch or Strike	M	162022MM013851AXXXMA	Adjudication Withheld	Y	Y
		Charge 2-Possess not more than 20 Grams of Marijuana	M	162022MM013851AXXXMA	Adjudication Withheld	Y	Y
11/25/2018	**Duval County, FL**	**Charge 1**- Resisting Officer W- Violence To His Person	M	162018MM020786AXXXMA	Adjudicated Guilty	N	Y
		Charge 2- Assault On Law Enforcement Officer-Firefighter	F	162018CF012266AXXXMA	Dropped/Abandoned	N	Y

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number: Business Name:

Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐

Date Deficiency Letter Issued: Initials:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☒ No ☐ ☐ Approval

Disposition Attached for Disqualifier(s)? Yes ☒ No ☐

Deficiency Letter Issued 08/19/2025 : Application Deemed Complete **09/15/2025**

Applicant Eligible for Waiver? Yes ☒ No ☐ If Yes: ☒ Waiver Requested ☐ Failed to Request Waiver

Forward to ☒ **Investigations** ☐ **Legal** ☐ **Commission** Initials: CH Date 9/26/2025

Comments:

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 20250717167 **Incident date:** 07/30/2025 **Status:** 106 - Sent to Directors office

Lic Type: 1012 **Disposition:**

Case Type: Complaint

Responsible: elanier - LANIER, EBONIE

Complainant: DIVISION OF PARI-MUTUEL WAGERING

1400 W COMMERCIAL BLVD, STE 165, FORT LAUDERDALE, FL 33309

Respondent: KARCHER, CHRISTIAN LEWIS

2702 MCCORMICK WOODS DRIVE, JACKSONVILLE, FL 32225

Summary: 148 - ORANGE PARK KENNEL CLUB - FILE-16030 - LIC-1450331

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
09/29/2025	A	79	440	Incoming Correspondence	jhodge	KARCHER, CHRISTIAN LEWIS
09/30/2025	A	10	15	Assigned to Investigator	cwtaylor	KARCHER, CHRISTIAN LEWIS
12/02/2025	A	79	70	Sent to Director for Review	charris	KARCHER, CHRISTIAN LEWIS
10/04/2025	A	79	415	E-Mail		KARCHER, CHRISTIAN LEWIS
10/21/2025	A	79	435	Telephone		KARCHER, CHRISTIAN LEWIS
10/21/2025	A	79	415	E-Mail		KARCHER, CHRISTIAN LEWIS

CH

State of Florida

Department of Business and Professional Regulation

Chronology Report

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
10/17/2025	A	79	415	E-Mail		KARCHER, CHRISTIAN LEWIS
10/16/2025	A	79	435	Telephone		KARCHER, CHRISTIAN LEWIS
10/16/2025	A	79	415	E-Mail		KARCHER, CHRISTIAN LEWIS
12/03/2025	R		elanier	LANIER, EBONIE	charris	
12/01/2025	R		bjones	JONES, BRADFORD	bjones	
09/30/2025	R		cwtaylor	TAYLOR, CHARLES W	jhodge	
12/02/2025	R		charris	HARRIS, CYNTORIA	jhodge	
09/30/2025	S	1012	10	Initial Review	jhodge	
09/30/2025	S	1012	20	Under Investigation	jhodge	
12/01/2025	S	1012	90	Closed	jhodge	
12/02/2025	S	1012	104	Sent to Licensing Section	jhodge	
12/03/2025	S	1012	106	Sent to Directors office	charris	
12/01/2025	S	1012	165	Supervisor Review	bjones	

Allegation:

Code	Description
WAVR	Waiver of Crim. Conv. or Other Offenses

Violation:

Code	Description	Respondent
WAVR	61D-5.006	KARCHER, CHRISTIAN LEWIS

CH

State of Florida

Department of Business and Professional Regulation

Chronology Report

Violation:	Code	Description	Respondent
	WAVR	61D-5.006	KARCHER, CHRISTIAN LEWIS

CH

Licenses

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number: [redacted]
Date of Birth:
First Name:
Middle Name:
Last Name: KARCHER
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

CH

Cynthia Harris@ljgcc

[redacted]

12/03/202

CH

12/03/2025

CH

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **charris**

License

Fed Tax # [redacted] Lic Type **1012 - Cardroom Employee Occupational** Expires On

File # **16030** Name **KARCHER, CHRISTIAN LEWIS** Extended To

License # Rank Renewed On

Entity # **14501331** Lic Status **Applicant**

Licensee | History | Notes | Notes History | Back

Address

Street # **2702** Street **MCCORMICK WOODS DRIVE**

Line 2

Line 3

City **JACKSONVILLE** State **FL** Zip **32225**

Routing

*New Applicant

*No Previous License

*No Temp Issued

*No ARCI Findings

*CCIS findings

*No additional enforcement cases.

Other

1st License Date Rank Date Certificate #

Method - - Status Date Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
No items found.			

Alt Keys

BEST LIC NBR

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FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update
Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1012 - Cardroom Employee Occupational**			Status	**90 Closed**	Status Date	**12/01/2025**
Complaint #	**2025071167**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**KARCHER, CHRISTIAN LEWIS**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**
Form	**WALK - Walk-in**	Priority	**1**
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**07/30/2025**
Region	**CR - Central Region**	Received	**09/29/2025**
Reference			
Entered	**09/30/2025**	Entered By	**jhodge**
Summary	**148 - ORANGE PARK KENNEL CLUB - FILE-16030 - LIC-14501331**		
Updated	**12/02/2025 08:26:38**	By	**jhodge**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☑ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

Change | Save | OK | Cancel | Back

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ROUTING SLIP
REQUEST FOR WAIVER

RE: KARCHER, CHRISTIAN L. – 14501331 (APPLICANT'S NAME – LICENSE #)

Case No: 2025 07 1167

1012 – Chip Runner
Occupation Code and Job Title

148 – Best Bet Orange Park
Facility (d/b/a name)

Waiver Referral Date: SEPTEMBER 30, 2025
(DATE)

Investigations Section: Reviewed by Bradford D. Jones 12/1/2025
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: CH 12/03/2025
(Initial & Date)

(Initial & Date)

Is the applicant currently under suspension, have unpaid fines, been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [✓] No If yes, in what jurisdiction? _____

Investigative Findings:

June 1, 2017 — Atlantic Beach Police Department, FL. – Sell, Manufacture or Deliver Cannabis (3 counts) – Felony – Guilty – Adjudicated Guilty on September 20, 2017 – Sentenced to 6 months County Jail, (credit for 2 days), Concurrent on counts 1-3, and ordered to pay Fines and Court costs totaling $768.00 which remains unpaid.

APPLICANT HAS FAILED TO COMPLETE THE WAIVER PROCESS

*Please attach Routing Slip to front of case file

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Alana Zimmer, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**NORTHERN**	**September 29, 2025**	**2025 07 1167**

Respondent:	Complainant:
KARCHER, CHRISTIAN L. **2702 MCCORMICK WOODS DRIVE** **JACKSONVILLE, FLORIDA 32225** **Email: CHRISTIANLKARCHER@GMAIL.COM** **Cell Phone: (904) 710-1776**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 WEST COMMERCIAL BOULEVARD, SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # / Type:	Profession:	Report Date:
14501331 / 1012	**Chip Runner**	**November 20, 2025**

Period of Investigation:	Type of Report:
September 29, 2025 - November 20, 2025	**Final**

Basis of Investigation: This investigation is predicated upon the submission of **CHRISTIAN L. KARCHER's** State of Florida Cardroom Occupational License Application and Request for Waiver both dated July 30, 2025.

On July 30, 2025, **KARCHER** applied for a Cardroom Employee Occupational License (1012) to work as a Chip Runner at the **BESTBET ORANGE PARK** Poker Room. On his application, he answered "YES" to the question, "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" **KARCHER** listed an April 2017 Felony conviction for Sale of Marijuana, a June 2021 Misdemeanor conviction for Disorderly Conduct, and a November 2018 Resisting Arrest Without Violence, all from Duval County, Florida.

Upon receipt of **KARCHER's** FDLE Criminal History Report, PMW Licensing sent him a Deficiency Letter on August 19, 2025, requesting that he list and provide court disposition records for the following arrests:

- Duval County, Florida Arrest – 11/01/2016, 06/11/2022

On September 15, 2025, **KARCHER** provided his arrest record(s) as requested.

Related Case:

Investigations Specialist II / Date	Approved by Investigation Manager / Date:
/s/ Charles W. Taylor / November 20, 2025	/s/ C. Derek Washington / November 25, 2025

Chief of Investigations / Date

/s/ Bradford D. Jones / December 1, 2025

CONTINUATION

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 6/01/2017	**Arresting Agency:** Atlantic Beach Police Department, FL.				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Sell, Manufacture, or Deliver Cannabis	Felony	Guilty	Adj. Guilty	9/20/2017
2	Sell, Manufacture, or Deliver Cannabis	Felony	Guilty	Adj. Guilty	9/20/2017
3	Sell, Manufacture, or Deliver Cannabis	Felony	Guilty	Adj. Guilty	9/20/2017
4					

SENTENCE
6 months County Jail, credit for 2 days, Concurrent on counts 1-3, and Fines and Court costs totaling $768.

Additional Information: Comprehensive Case Information System (CCIS) indicates a balance of $768.

Arrest 2					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

CRIMINAL HISTORY

Arrest 3					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
	Charge(s):	**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

Arrest 4					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
	Charge(s):	**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?		X
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

3. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

4. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

CONTINUATION

WAIVER INTERVIEW

	YES	NO
Was a Waiver Interview Conducted?		X
Date of Interview: **N/A**	Location of Interview: **N/A**	
	YES	NO
Was the applicant cooperative?		X
Additional Comments: **Did not participate in a waiver interview, unable to contact.**		

SUMMARY OF INTERVIEW:

On October 16, 2025, I phoned **KARCHER** to schedule a waiver interview and explain the process. The call went to voicemail, and the voice mailbox was full, preventing me from leaving a message. I then sent an email to the address listed on the application.

On October 17, 2025, I received an email reply from **KARCHER** providing contact information and days of availability for the interview. I replied to **KARCHER** the same day and scheduled the waiver interview for Tuesday, October 21, 2025, at approximately 11:00 a.m. at Bestbet Orange Park. I advised that confirmation was required and waited for **KARCHER** to confirm the appointment.

On October 21, 2025, **KARCHER** did not confirm the appointment as requested via email or phone. I phoned **KARCHER** to confirm, left a detailed message requesting contact, and then emailed again to reestablish communication and reschedule the waiver interview.

On October 23, 2025, Kassie Whitley, Human Resources at Bestbet, sent an email to **KARCHER**, providing my contact information and requesting that **KARCHER** contact me to complete his interview.

On November 4, 2025, I emailed **KARCHER** requesting contact and attempted to schedule a waiver interview for Thursday, November 6, 2025, at 11:00 a.m. at Bestbet Orange Park. No response was received.

Despite multiple attempts to schedule the waiver interview and repeated email communications, **KARCHER** did not appear for the scheduled interview and has made no effort to contact the FGCC or his potential future employer to reschedule or to withdraw his application and waiver request.

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed no rulings against **KARCHER** **(EXHIBIT #4).**

Mr. **KARCHER** has failed to complete the waiver process by not attending a waiver interview despite multiple attempts to schedule one. As a result, this matter will be forwarded to Licensing with a recommendation for denial.

Case Status: Closed by Investigations and forwarded to Licensing.

CONTINUATION

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2025 AUG -5 PM 1:37

FLORIDA GAMING
CONTROL COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

TO: Christian L Harches
Full Legal Name of Applicant

[redacted]
Date of Birth

Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Executive Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

☒ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

☐ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Florida Gaming Control Commission will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

Christian L Harches
Signature of Applicant

7/30/25
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM FGCC PMW-3195 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

EXHIBIT #1
PAGE 1/6

2025 AUG -5 PM 1:38

FLORIDA GAMING CONTROL COMMISSION

DBPR PMW-3195 – Request for Release of Information and Authorization to Release Information

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

PERSONAL INFORMATION

Social Security Number/Federal Employer ID Number [redacted]

IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION

Last Name	First	Middle	Title	Suffix
KARCHER	CHRISTIAN			

Birth Date (MM/DD/YYYY) [redacted]

IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION

Representative's Name Last	First	Middle	Title	Suffix

Permitholder Name

Official Capacity

ATTEST STATEMENT

I, Christian Lewis Karcher, do hereby instruct all law enforcement
(name of applicant/representative)
or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.

N/A
(if individual applicant - legal name and any nickname or alias in parentheses)

Applicant/Representative Signature: [signature] Date: 7/30/25

NOTARIZATION

The foregoing application was sworn to and subscribed before me this 30th Day of JULY, 20 25,

by CHRISTIAN KARCHER [signature]
Type or print name of applicant — Signature of applicant

who is personally known to me or who has produced the following as identification.

FLORIDA DRIVER LICENSE
Type of Identification

Kassandra Whitley
Signature of person taking acknowledgement
Notary Seal
(Rubber Stamp and Expiration)

KASSANDRA WHITLEY
NOTARY PUBLIC
STATE OF FLORIDA
NO. HH 262600
COMMISSION EXPIRES MAY. 09, 2026

EXHIBIT #1
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2025 AUG -5 PM 1:38

FLORIDA GAMING CONTROL COMMISSION

TCN: 70CJ1000000000001297

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
FGCC PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled **"For Division Use Only."**

DEMOGRAPHIC INFORMATION

Social Security Number (SSN)	Date of Birth (MM/DD/YYYY)	Gender
[redacted]		☒ Male ☐ Female

Last Name	First	Middle	Suffix
KARCHER	CHRISTIAN	LEWIS	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☒ No

If yes, list the name or names used: _____

Race/Ethnicity (optional)
☐ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☒ White or Caucasian ☐ Hispanic/Latino ☐ Other

Current Mailing Address	Email Address (optional)
12301 KERNAN FOREST BLVD	#2205 / christianlkarcher@gmail.

City	State	Zip Code (+4 optional)	Country, if other than USA
JAX	FL	32225	

Primary Phone Number	Secondary/Cell Phone Number (optional)
904-710-1776	

Current Street Address: 12301 KERNAN FOREST BLVD #2205

City	State	Zip Code (+4 optional)	Country, if other than USA

Type of Occupational License applying for:
☐ General Individual ☐ Professional Individual
☒ Cardroom Employee

Occupation: CHIP RUNNER

Facility where employed and/or doing business: bestbet - 148

Does your position require access to the Cardroom? ☒ Yes ☐ No

Is this your first time applying for a racing/gaming license in Florida? ☒ Yes ☐ No

Are you a Supervisor, Manager, or Shareholder of a pari-mutuel permitholder? ☐ Yes ☒ No

Do you own or lease animals intended for racing in Florida? ☒ No ☐ Yes, complete the following:

Stable Name, Kennel Name, or Business Name _____

Trainer Name (horse or greyhound racing only) _____

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY:

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code 1018 License # 14501331 File # 16030 App # 81076

Association Code 148/910 Date Received 8-5-25 Entered By DH License Year 25/26

License Fee $100 FP Date ✓ FP Fee ✓ Total Fee $100

☐ Off Temp ☐ Waiver Requested ☐ ARCI ☐ Enforcement ☐ Minor

2025 AUG -5 PM 12:38
FLORIDA GAMING CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NEEDED)

☐ Yes ☒ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☑ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
4/20/17	DUVAL	FL	SALE OF MARI	FEL	6 MTHS CTY
6/11/21	↓	↓	DISORDERLY -	MIS	PROBATION
11/18/18	↓	↓	RESIST ARREST W/O VIOLENCE	MIS	PROBATION

☐ Yes ☒ No	Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.
☐ Yes ☒ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for obtaining a change, correction, or updating of the FDLE or FBI criminal history are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 550.105, Florida Statutes.

Signature of Applicant _____ Date 7/30/25

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2025 SEP 15 AM 10:37

FLORIDA ... CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☑ No	Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☑ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
11/01/2016	DUVAL	FL	SALE OF MARIJUANA	MIS	6 MTHS JAIL CONC ALL 3
06/25/2018	DUVAL	FL	ASSAULT ON OFFICER	MIS	REDUCED TO RESIST W/O VIOLENCE
06/11/2022	DUVAL	FL	POSSESSION OF MARIJUANA ILLEGAL TOUCH OR STRIKE	MIS	1 YR PROB

☐ Yes ☑ No	Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.
☐ Yes ☑ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Signature of Applicant

9/10/25
Date

EXHIBIT #1
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Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

License

Fed Tax # [redacted] Lic Type **1012 - Cardroom Employee Occupational** Expires On

File # **16030** Name **KARCHER, CHRISTIAN LEWIS** Extended To

License # Rank Renewed On

Entity # **14501331** Lic Status **Applicant**

Licensee | History | Notes | Notes History | Back

Address

Street # **2702** Street **MCCORMICK WOODS DRIVE**

Line 2

Line 3

City **JACKSONVILLE** State **FL** Zip **32225**

Routing

Other

1st License Date Rank Date Certificate #

Method **--** Status Date Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
No items found.			

Alt Keys

BEST LIC NBR

Get Adobe Reader.

EXHIBIT #1
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DUVAL COUNTY

JODY PHILLIPS
CLERK OF THE CIRCUIT COURT

FLORIDA'S CLERKS OF COURT AND COMPTROLLERS
Bring You
CCIS COMPREHENSIVE CASE INFORMATION SYSTEM

charles.taylor@fgcc

[redacted]

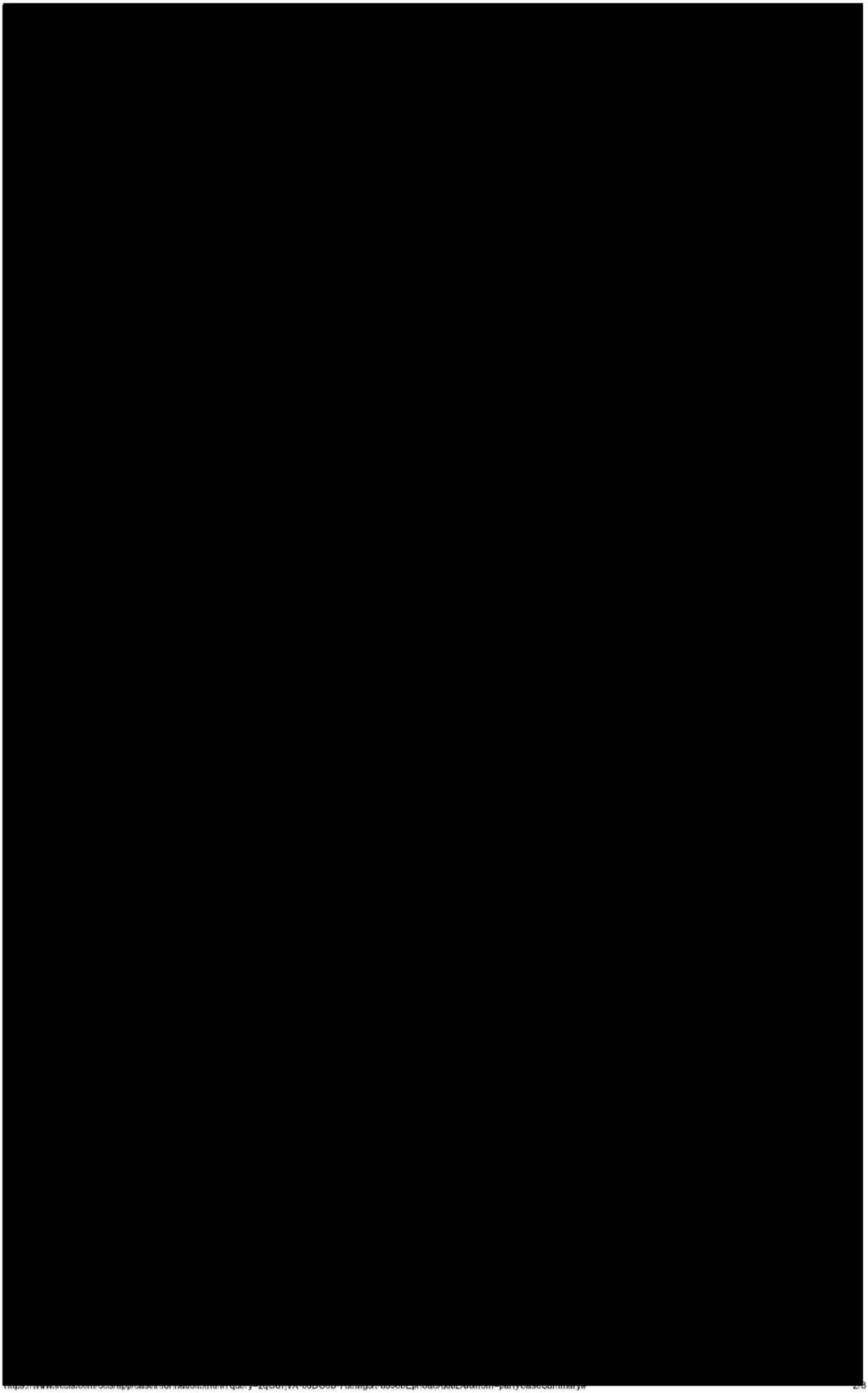

EXHIBIT #2
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First Appearance:	Continued:	Continued:	Continued:
Continued:	Continued:	Continued:	Continued:

2017CF005129

FELONY

Arrest And Booking Report
Jacksonville Sheriff's Office
Jacksonville Florida

ADULT

ORIGINAL

Yr: 2016 Inc #: 82017676		Amend #
Prev Juv Jail #		
Jail # 2017014078	6/1/2017 17:51	File Direct: YES
JSO ID #		Court: Circuit
SSN	OBTS #	

Arresting Agency: **Atlantic Beach P.D.**

Route To:

Day/Date/Time Arrested: **Thursday 6/1/2017 16:30**

Name: **KARCHER, CHRISTIAN LEWIS**

Aliases:

Nickname(s):

Arrestee's Home Address: **3875 SAN PABLO RD S** Apt./Lot #: **603** Sub-Sector: **H1**

City: **JACKSONVILLE** State: **FLORIDA** Zip: **32224**

Taz: **715** Crossstreet:

OC deployed prior to/during Arrest: **NO**

DOB: [redacted] Age: **24**

Height: **5' 11"** Weight (lbs): **170**

Race: **WHITE** Ethnicity: **NOT OF HISPANIC ORIGIN** Sex: **Male**

Eye Color: **HAZEL** Hair Color: **BROWN** Complexion: **FAIR / LIGHT** Build: **Medium**

ECD Usage: **NOT APPLICABLE**

RTR written related to this incident? **NO** RTR Incident Yr: RTR Incident #:

Is an Offense a hate crime? **NO** Is an Offense a dating violence crime? **NO** Required to register as a sex offender?

Suspect Invoke Miranda? **NO** Arrestee needs ADA Consideration? **NO** Arrestee Confessed? Miranda Rights Given? **NO**

Disability or Special Consideration:

Accommodations Requested:

Driver's License [redacted] State: **FLORIDA** Subject's Resident Type: **CITY**

Hm Phone # Bus. Phone # Phone Ext.

Cell Phone # **(904)-440-3709** Cell Phone Provider E-mail

Is Vagrant? **NO** Arrest Made On: **OV**

Subject's Residence Status: **RESIDENT** Armed With: **NOT APPLICABLE (NONE)**

Distinguishing Marks: **DOO MARKS (Distinctive tattoos/scars)**

Employer: **FIREHOUSE SUBS** Place of Birth: **OHIO UNITED STATES** Country of Citizenship: **UNITED STATES**

School Last Attended: **UNKNOWN** US Citizen: **YES**

Domestic Violence Involved: **NO** Children under 18 Present: **NO** If No is it Domestic Related: **NO**

Day/Date/Time of Incident-From: **Monday 12/19/2016 14:30** Day/Date/Time of Incident-To: **Thursday 6/1/2017 16:30**

Incident Address: **2405 MAYPORT RD** Apt./Lot #: Sub-Sector: **AB**

City: **ATLANTIC BEACH** State: **FLORIDA** Zip: **32233**

Taz: **736** Crossstreet:

Offense Location Type: **Convenience Store** Interviewed by: Interviewer ID: JUN 02 2017

Where Arrested: **13245 ATLANTIC BLVD** Apt./Lot #: Sub-Sector: **F2**

City: **JACKSONVILLE** State: **FLORIDA** Zip: **32225**

Taz: **332** Crossstreet:

Involved in Traffic Accident: **NO** Injuries from Accident: Is Incident Gang Related: **NO** Is Arrestee a Gang member? **NO**

Statute or Ordinance Number(s): #1

Statute No: **893.13(1)(A)2** Degree: **F3** UCR Code: **350A** Attempt Code: **Commit** 6/1/2017 - 17:36 Updated:

SELL MARIJUANA

Citation #	SA#
Jso Control #	Warrant/Case #

Warrant Type: **Not Applicable**

No. of Counts:

ORIGINAL

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Jurisdiction: CT. Location/Div.:

Purge/Bond Type: Bond Amount: 5003 Date of Issue: Date of Return: Judge:

Disposition: Disposition Date:

Blanket Bond:

VOP/FTA ONLY

Original Statute No: Degree: UCR Code: Attempt Code: **Commit**

Description:

Statute or Ordinance Number(s): #2

Statute No: **893.13(1)(A)2** Degree: **F3** UCR Code: **350A** Attempt Code: **Commit** **6/1/2017 - 17:36**

SELL MARIJUANA

Citation # SA# Warrant Type: **Not Applicable**

Jso Control # Warrant/Case # No. of Counts:

Jurisdiction: CT. Location/Div.:

Purge/Bond Type: Bond Amount: 5003 Date of Issue: Date of Return: Judge:

Disposition: Disposition Date:

Blanket Bond:

VOP/FTA ONLY

Original Statute No: Degree: UCR Code: Attempt Code: **Commit**

Description:

ADDITIONAL INFORMATION 1 Reporting Officer: [redacted]

[redacted]

16-82017676
6/1/2017

On 12/19/2016 at approximately 3:00 P.M., I, [redacted] along with [redacted] used a confidential informant, hereafter referred to as the "C.I.", to attempt to purchase a controlled substance, marijuana, from a person the C.I. knew as Christian Karcher. Karcher is known to me as Christian Lewis Karcher who is a W/M, DOB: [redacted], Florida [redacted]

I conducted a search of the C.I. to confirm no illegal contraband or controlled substances were present. The C.I. was [redacted] the purchase of the marijuana from Karcher.

I provided the C.I. with $60.00 U.S. Currency in ABPD investigative funds to be used for the purchase of marijuana. The C.I. understood that $60.00 would be for approximately (4) grams of marijuana from Karcher. Contact was made with Karcher via cell phone and it was determined they would meet at Walgreens (2405 Mayport Road). I drove the C.I. in an unmarked vehicle to the Walgreens to meet Karcher.

Upon arriving at Walgreens, I observed that the vehicle I previously observed Karcher in was already parked in front of the Red Box machine (west side of building). The vehicle was a 2013 gray Ford, 4 door vehicle, FL tag # [redacted] (Registered to Karcher). I pulled into a parking spot two spots away from Karcher. I could clearly see Karcher inside the vehicle and that he was the sole occupant of the vehicle. The C.I. exited my vehicle and then entered into the front passenger seat of Karcher's vehicle. After a short time, Karcher provided the C.I. with a quantity of marijuana in exchange for ABPD investigative funds. The C.I. then returned to my vehicle and immediately provided me with the marijuana, which was packaged in a clear sandwich bag. We then went to a pre-determined location for debriefing.

I again searched the C.I. to ensure no contraband was present. The C.I. was released after debriefing.

EXHIBIT #2
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While at the Atlantic Beach Police Department, I weighed the marijuana with a digital scale with a result of about 4.88 grams with the clear bag. I packaged the marijuana and placed it into the Atlantic Beach Police Department property room as evidence.

On 12/22/2016 at approximately 4:00 P.M., I, [redacted] along with [redacted] used a confidential informant, hereafter referred to as the "C.I.", to attempt to purchase a controlled substance, marijuana, from Karcher.

The C.I. has provided accurate information to me and has maintained contact with me consistently over a period of time.

I conducted a search of the C.I. to confirm no illegal contraband or controlled substances were present. The C.I. was [redacted] the purchase of the marijuana from Karcher.

I provided the C.I. with $60.00 U.S. Currency in ABPD investigative funds to be used for the purchase of marijuana. The C.I. understood that $60.00 would be for approximately (4) grams of marijuana from Karcher. Contact was made with Karcher via cell phone and it was determined they would meet at Walgreens (2405 Mayport Road). I drove the C.I. in an unmarked vehicle to the Walgreens to meet Karcher.

Upon arriving at Walgreens, I parked facing westbound towards Mayport Road, where I could see traffic traveling north and southbound. After a short time, I observed a dark gray Ford car, which appeared the same as Karchers, turning eastbound off Mayport Road towards Walgreens. I watched the car as it turned into Walgreens and then parked in front of the Red Box machines. As the vehicle drove by me, I could clearly see Karcher inside the vehicle and that he was the sole occupant of the vehicle. The vehicle was a 2013 gray Ford, 4 door vehicle, FL tag # [redacted] (Registered to Karcher). I watched as the C.I. entered into the front passenger seat of Karcher's vehicle. After a short time, Karcher provided the C.I. with a quantity of marijuana in exchange for ABPD investigative funds. The C.I. then returned to my vehicle and immediately provided me with the marijuana, which was packaged in a clear sandwich bag. We then went to a pre-determined location for debriefing.

I again searched the C.I. to ensure no contraband was present. The C.I. was released after debriefing.

While at the Atlantic Beach Police Department, I weighed the marijuana with a digital scale with a result of about 4.86 grams with the clear bag. I packaged the marijuana and placed it into the Atlantic Beach Police Department property room as evidence.

On 6/1/2017, I went to the Firehouse Subs located at Atlantic Blvd and Hodges Blvd where I knew Karcher was employed. I placed him under arrest for teh sale of marijuana.

Karcher was transported to DCJ.

(End)

Transported By [redacted]
Arresting Offic [redacted]
Div/Zone or Unit: OTHER AGENCIES ZONE 2 *# of Cases Cleared:*

State of Florida, County of Duval *Arresting / Transporting Officer's Signature:* [redacted]

Sworn to (or affirmed) and subscribed before me this 1 *day of* June, 20 17 *by*

Personally Known ___ *or Produced Identification* ___ *Type of Identification produced:* ___

Print, Type, or Stamp, Commissioned name of Notary Public

Signature of Notary - State of Florida Certified Law Enforcement Officer or Corrections Officer:

Investigative Time:

#1 ***Hours:*** **6** ***Minutes:*** **0** ***Cost Amount:*** **$289.44**

Drug Activity: **SELL**
Alcohol Related: **UNKNOWN (OR N/A)**
Drug Type: **MARIJUANA**
Drug Related: **UNKNOWN (OR N/A)**

EXHIBIT #2
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Property:#1
Status: **SEIZED (NOT STOLEN)**
Property Type: **DRUGS/NARCOTICS**
Property Taken by Arresting Officer: **Evidence**
Turned in at: **Property Room**

Weapon Seized:

Amount of Money

ORIGINAL

Firearm Type:
Drug Type: **MARIJUANA**
Manufacturer:
Serial #
Quantity: **1.00** *Weight:* **4.88** *Unit of Measure:*
Description: **APPROX. 4.88 GRAMS OF MARIJUANA IN CLEAR BAG**

Property:#2 *Weapon Seized:* *Amount of Money*
Status: **SEIZED (NOT STOLEN)**
Property Type: **DRUGS/NARCOTICS**
Property Taken by Arresting Officer: **Evidence**
Turned in at: **Property Room**
Firearm Type:
Drug Type: **MARIJUANA**
Manufacturer:
Serial #
Quantity: **1.00** *Weight:* **4.86** *Unit of Measure:*
Description: **APPROX. 4.86 GRAMS OF MARIJUANA IN CLEAR BAG**

Jail Information (Back Door) *Date and Time Admitted:* **6/1/2017 17:51**
Jail # **2017014078** *Juvenile/Court Clerk #*

Triage Questions:
Involved in Traffic Accident: **NO** *Injuries from Accident:*
OC deployed prior to/during Arrest: **NO** *Was a hobble restraint used on the arrestee?* **NO**
Does the arrestee exhibit any signs of suicidal behavior or attempts? **NO** *Does the arrested have any observable medical/mental health problems?* **NO**
Has the arrestee shown any escape potential or violence propensity behaviors? **NO**
Is there any other information about the arrestee that jail personnel need to know? **NO**
If yes, what?

Part II of Arrest And Booking Report:

Arrestee Personal Information: How Long in Jax? 17 yrs

Chemical Test Data				
Specimen:				
Blood	*Breath*	*Urine*	*Unable*	*None*

Analysis Results:			*Refused:*
1st	*g/210L*	*TIME:*	*Blood:*
2nd	*g/210L*	*TIME:*	*Urine:*
3rd	*g/210L*	*TIME:*	*Breath:*

Breath Test Instrument:	
Type:	*Machine #*
Operator Name and I.D.#	*Date:*

Verfication By: [redacted]

EXHIBIT #2
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Ronnie Fussell
Clerk of Circuit Court

ADD ON DOCKET

Date: JUNE 2, 2017 Judge: EMMET F. FERGUSON III P/A: GILLIAN FELIX

Atty: Matthew Kachergus

PASS TO: 6/22/2017
CIRCUIT COURT

~~DEF ADJ INSOLVENT &~~
~~PUBLIC DEFENDER APPT'D~~
~~MOT ON BOND FILED~~
PROBABLE CAUSE FILED

PSJ2451

~~APP FOR CRIMINAL INDIGENT STATUS~~
~~DEFT FOUND (X) INDIGENT ( ) NOT INDIGENT~~

Doc # 2017216205, OR BK 18124 Page 1450, Number Pages: 6, Recorded 09/21/2017 03:29 PM, Ronnie Fussell CLERK CIRCUIT COURT DUVAL COUNTY RECORDING $0.00

IN THE CIRCUIT COURT, FOURTH JUDICIAL CIRCUIT, IN AND FOR DUVAL COUNTY, FLORIDA

CASE NUMBER: 16-2017-CF-005068-AXXX-MA
DIVISION: CR-I

STATE OF FLORIDA

vs.
CHRISTIAN LEWIS KARCHER, DEFENDANT

☐ Probation Violator
☐ Community Control Violator
☐ Retrial
☐ Resentence

JUDGMENT

The defendant, **CHRISTIAN LEWIS KARCHER**, being personally before this Court, represented by D. STRESING, PD, the attorney of record, and the State being represented by C. HARRIS, and having:

☐ been tried and found guilty by jury/by court of the following crime(s)
☑ entered a plea of guilty to the following crime(s)
☐ entered a plea of nolo contendere to the following crime(s)

Count	Crime	Offense Statute Number(s)	Degree Of Crime
1 - 3	SALE, MANUFACTURE OR DELIVER CANNABIS	893.13(1)(a)2	3°F

☑ and no cause being shown why the defendant should not be adjudicated guilty; IT IS ORDERED that the defendant is hereby ADJUDICATED GUILTY of the above crime(s).

☐ being a qualified offender pursuant to F.S. 943.325, the defendant shall be required to submit a DNA sample as required by law.

EXHIBIT #2
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☐ and good cause being shown; IT IS ORDERED THAT ADJUDICATION OF GUILT BE WITHHELD.

FILED
SEP 20 2017
RONNIE W. FUSSELL
CLERK CIRCUIT COURT

STATE OF FLORIDA
vs.
CHRISTIAN LEWIS KARCHER, DEFENDANT

CASE NUMBER: 16-2017-CF-005068-AXXX-MA

Fingerprints taken by: Name: MW MATHIS 66531 Title: J.O

I HEREBY CERTIFY that the above and foregoing are the fingerprints of the defendant, **CHRISTIAN LEWIS KARCHER**, and that they were placed thereon by the defendant in my presence in open court on this date.

DONE AND ORDERED in open court in Jacksonville, Duval County, Florida, this 20 day of SEPT, 20 17.

Judge

EXHIBIT #2
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STATE OF FLORIDA

vs.

CHRISTIAN LEWIS KARCHER, DEFENDANT

IN THE CIRCUIT COURT, FOURTH JUDICIAL CIRCUIT, IN AND FOR DUVAL COUNTY, FLORIDA

CASE NUMBER: 16-2017-CF-005068-AXXX-MA
DIVISION: CR-I

COURT ORDERED COSTS/FINES/FEES

The defendant is hereby ordered to pay the following sums if checked:

☑ A sum of $3.00 as a court cost pursuant to section 938.01, Florida Statutes, (Additional Court Cost Clearing Trust Fund).
☑ A sum of $50.00 pursuant to section 938.03, Florida Statutes, (Crimes Compensation Trust Fund).
☑ A sum of $225.00 pursuant to section 938.05, Florida Statutes, (Local Government Criminal Justice Trust Fund).
☑ A sum of $3.00 pursuant to section 938.19, Florida Statutes, and section 634.108, Ordinance Code, (Assessment of Additional Court Costs-Duval County Teen Court Trust Fund).
☑ A sum of $65.00 pursuant to section 939.185, Florida Statutes, and section 111.385, Ordinance Code, (Assessment of Additional Court Costs to be used for innovations, legal aid, law library, teen court programs).
☑ A sum of $100.00 pursuant to section 938.055, Florida Statutes, (FDLE Operating Trust Fund).
☐ A sum of $100.00 pursuant to section 938.27(1), Florida Statutes, (Sheriff's Office Investigative Cost).
☐ A sum of $_____ pursuant to section 938.27(1), Florida Statutes, (Prosecution Investigative Cost).
☑ A sum of $100.00 pursuant to section 938.27(8), Florida Statutes, (Cost of Prosecution).
☑ A sum of $20.00 pursuant to section 938.06, Florida Statutes, (Assessment of Additional Court Costs for Crime Stoppers Trust Fund).
☑ A sum of $ 100- pursuant to section 938.29, Florida Statutes, (Court Appointed Counsel Fees).
☑ A sum of $ 50- pursuant to section 27.52, Florida Statutes, (Application for Court Appointed Counsel Fees).
☐ A sum of $151.00 pursuant to section 938.10, Florida Statutes, (Crimes Against Minors).
☐ A sum of $201.00 pursuant to section 938.08, Florida Statutes, (Funding Programs in Domestic Violence).
☐ A sum of $151.00 pursuant to section 938.085, Florida Statutes, (Rape Crisis Trust Fund).
☑ A sum of $50.00 pursuant to section 775.083(2), Florida Statutes, (Cost).
☐ A fine in the sum of $_____ pursuant to section 775.0835, Florida Statutes, (This provision refers to the optional fine for the Crimes Compensation Trust Fund and is not applicable unless checked and completed. Fines imposed as a part of a sentence to section 775.083, Florida Statutes, are to be recorded on the sentence page(s)).
☐ A sum of $_____ pursuant to section 938.04, Florida Statutes, (additional cost 5% of fine).
☑ A sum of $2.00 as a court cost pursuant to section 938.15, Florida Statutes, (Criminal Justice Education by Municipalities and Counties).
☐ A sum of $15.00 pursuant to section 938.13, Florida Statutes, (Misd. convictions involving drugs or alcohol).
☐ A sum of $135.00 pursuant to section 938.07, Florida Statutes, (EMS – DUI/BUI cases).
☐ A sum of $30.00 pursuant to section 318.18(13), Florida Statutes, and section 634.102, Ordinance Code, (CHT - State Court Facilities).
☐ A sum of $3.00 pursuant to section 318.18(17), Florida Statutes, (State Radio System (SRS)).
☐ A sum of $_____ for the cost of collecting the DNA sample required by section 943.325, Florida Statutes.
☐ Restitution in accordance with attached order.
☐ Other _____.

DONE AND ORDERED in open court in Jacksonville, Duval County, Florida, this _____ day of SEP 20 2017, 20 _____.

Randolph Healy
Judge

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CHRISTIAN LEWIS KARCHER, DEFENDANT

CASE NUMBER: 16-2017-CF-005068-AXXX-MA
OBTS # _____

SENTENCE

(As to Count(s) 1-3)

The defendant, being personally before this court, accompanied by the defendant's attorney of record D. STRESING, PD, and the adjudication/withhold having been determined, and the court having given the defendant an opportunity to be heard and to offer matters in mitigation of sentence, and to show cause why the defendant should not be sentenced as provided by law, and no cause being shown:

- ☐ and the court having on _____ deferred imposition of sentence until this date.
- ☐ and the court having previously entered a judgment in this case on _____ now resentences the defendant.
- ☐ and the court having placed the defendant on probation/community control, and having subsequently revoked the defendant's probation/community control;

It Is The Sentence Of The Court That (check all that are applicable; unmarked sections are inapplicable):

- ☐ The defendant pay a fine of $_____, pursuant to section 775.083, Florida Statutes, plus $_____ at the 5% surcharge required by section 938.04, Florida Statutes.
- ☐ The defendant is hereby committed to the custody of the Department of Corrections.
- ☑ The defendant is hereby committed to the custody of the Sheriff of Duval County, Florida.
- ☐ The defendant is sentenced as a Youthful Offender in accordance with section 958.04, Florida Statutes.

To be Imprisoned (check one; unmarked sections are inapplicable):

- ☐ For a term of natural life.
- ☑ For a term of 6 MONTHS.
- ☐ Said SENTENCE SUSPENDED for a period of _____ subject to conditions set forth in this order.
- ☐ Youthful Offender Sentence:
  Pursuant to the Florida Youthful Offender Act, the defendant shall be imprisoned for a period of _____, of which _____ shall be served by imprisonment followed by _____ in a Community Control Program according to the terms and conditions set forth in a separate order.
- ☐ Split Sentence (complete the appropriate paragraph):
  - ☐ Followed by a period of _____ on probation/community control under the supervision of the Department of Corrections according to the terms and conditions of supervision set forth in a separate order.
  - ☐ However, after serving a period of _____ imprisonment in _____, the balance of the sentence shall be suspended and the defendant shall be placed on probation/community control for a period of _____ under the supervision of the Department of Corrections according to the terms and conditions of probation/community control set forth in a separate order.

In the event the defendant is ordered to serve additional split sentences, all incarceration portions shall be satisfied before the defendant begins service of the supervision terms.

Imposition of Sentence Stayed and Withheld (check one; unmarked sections are inapplicable):

- ☐ The court hereby stays and withholds the imposition of sentence and places the defendant on:
  - ☐ Probation/community control for a period of _____ under the supervision of the Department of Corrections with a special condition that the defendant serve _____ in Duval County Jail, with credit for _____ days.
  - ☐ Unsupervised probation for a period of _____ with the special condition that the defendant serve _____ days in Duval County Jail, with credit for _____ days. Unsupervised probation will terminate upon completion of special condition.

(All other general/special conditions of probation/community control shall be set forth in a separate order.)

CHRISTIAN LEWIS KARCHER, DEFENDANT

CASE NUMBER: 16-2017-CF-005068-AXXX-MA
OBTS # 1603137784

OTHER PROVISIONS

(As to Count (s) 1-3)

Current Jail Credit Time:

☑ It is further ordered that the defendant shall be allowed a total of 2 days as credit for time incarcerated on this case / count before imposition of this sentence.

Credit for Time Served on Violation of Probation / Community Control:
(check one)

☐ It is further ordered that the defendant shall be allowed _____ days time served between date of arrest as a violator following release from prison to the date of resentencing. The Department of Corrections shall apply original jail time credit and shall compute and apply credit for time served only pursuant to section 921.0017, Florida Statutes, on count(s) _____.
(Offenses committed on or after January 1, 1994.)

☐ It is further ordered that the defendant be allowed _____ days time served between date of arrest as a violator following release from prison to the date of resentencing. The Department of Corrections shall apply original jail time credit and shall compute and apply credit for time served and unforfeited gain time previously awarded on count(s) _____.
(Offenses committed before October 1, 1989.)

☐ It is further ordered that the defendant be allowed _____ days time served between date of arrest as a violator following release from prison to the date of resentencing. The Department of Corrections shall apply original jail time credit and shall compute and apply credit for time served on count(s) _____. **(Offenses committed between October 1, 1989, and December 31, 1993.)**

Prior Prison Credit:

☐ It is further ordered that the defendant shall be allowed _____ days time served between date of the original sentence being vacated to the date of resentencing. The Department of Corrections shall apply original jail time credit and shall compute and apply credit for time served on count(s) _____.

Forfeiture of Prior Gain/Good Time:
(check one)

☐ The court deems the unforfeited gain time previously awarded on the above count(s) forfeited under section 948.06(7)

OR

☐ The court allows unforfeited gain time previously awarded on the above count(s)
(Gain time may be subject to forfeiture by the Department of Corrections under section 944.28(1))

~~**Consecutive/**~~ **Concurrent As to Other Counts:**
(if Applicable)

☑ It is further ordered that the sentence imposed for count(s) 2 & 3 shall run (check one)
☐ consecutive to ☑ concurrent with the sentence set forth in count 1 of this case.

Consecutive/ Concurrent As To Other Sentences:
(if Applicable)

It is further ordered that the composite term of all sentences imposed for the counts specified in this order shall run (check one) ☐ consecutive to ☐ concurrent with the following: (check one)

☐ any active sentence being served.
☐ specific sentences: _____

Retention of Jurisdiction:

☐ The court retains jurisdiction over the defendant pursuant to section 947.16(4), Florida Statutes.

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CHRISTIAN LEWIS KARCHER, DEFENDANT

CASE NUMBER: 16-2017-CF-005068-AXXX-MA

OBTS # 1603137784

In the event the defendant is sentenced to a period of incarceration in state prison, the Sheriff of Duval County, Florida, is hereby ordered and directed to deliver the defendant to the Florida Department of Corrections at the facility designated by the Department together with a copy of this judgment and sentence and any other documents specified by Florida Statute.

In open court, the defendant was advised of the right to appeal from this sentence by filing a notice of appeal with the clerk of this court within 30 days from this date and the right to the assistance of counsel in taking the appeal at the expense of the State on showing of indigency.

In imposing the above sentence, the court further recommends / adjudges:

DONE AND ORDERED in open court in Jacksonville, Duval County, Florida, this _____ day of SEP 20 2017, 20____.

Judge

EXHIBIT #2
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Licensees

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: christian
Middle Name:
Last Name: karcher
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

EXHIBIT #4
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August 19, 2025

Mr. Christian Lewis Karcher
12301 Kernan Forest Boulevard, #2205
Jacksonville, Florida 32225

RE: Application No. 81076, Entity 14501331
1012 - Cardroom Employee Occupational

Dear Mr. Karcher:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must list the information on your application for the following charges:
  - Duval County, FL – 11/01/2016, 06/11/2022

  You must provide the court disposition records for the following charges:
  - Duval County, FL – 11/01/2016 and 11/25/2018

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.487.1395.

If you fail to provide the Florida Gaming Control Commission with a complete application by 12/17/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to

reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.794.8130.

Thank you,

TL
Operations Analyst II

3:08 5G

Christian Character Refere...

RECEIVED
2025 AUG -5 PM 1:38
FLORIDA GAMING CONTROL COMMISSION

To whom it may concern:

This document serves as a character reference for Christian Karcher.

My name is Larry Gayle and I am the General Manager for Xtreme Wings Restaurants. I have been employed here for a year. Before that my career was in Law Enforcement for 28 years before I retired. Christian Karcher has been employed for us during the last year. His most recent assignment has been as our Kitchen Manager at our Roosevelt location. In this period of time I have known Christian to be a solid employee with a strong work ethic. He is always polite and respectful. He is reliable and rarely misses work. He has several employees that work for him in the kitchen, and he manages it very well. He is responsible for ordering, scheduling, maintenance and product. I have had no issues with him as an employee and a member of our management team. I understand Christian made some mistakes, but I do not believe they define who he is. I would respectfully ask that this document act as a character reference that Christian needs the opportunity to continue to work diligently in improving his status. I know he has a child he cares very much for and he needs to take ownership of mistakes he made and continue to be the kind of father I know he has the potential to be. If given the opportunity I believe Christian will make better choices and find the path that enable him to be a success for himself and his daughter. I can be reached at 904-994-6900 if anyone needs to speak with me further.

Thank you,

Larry Gayle

To whom it may concern.

This document serves as a Character Reference for Christian Karcher.

My name is Branden Parrish. I am a close friend to Christian and former co-worker. I have been in the beverage/hospitality industry for 5 years as a line cook, and Kitchen Manager for 2 of those years working alongside Christian at multiple businesses. I have also been a Local Musician in the Jacksonville area for 6 years.

I would first like to say, Christian is and has been a man of quality since the day we met. He has always been understanding and accepting of all people who have come to know and work with him. He is hands down one of the hardest working men I have ever come to know and has always put himself first. He is very respectful and courteous to people regardless of how he is approached. He is very professional in and out of the work environment. But most importantly, Christian is an amazing Father to his daughter. I have had the pleasure of seeing them two grow as father and daughter over the years, and it is a sight to see.

Christian, just like any person on this planet, made a mistake. He has opened up to me and expressed his feeling of regret, as his actions do not reflect his character. I believe Christian to be an honorable and overall good man. I truly believe Christian will grow from his mistakes, and given the chance, help others grow as well, myself included. I hope these words will serve as a small glimpse of the man before you, as he is that and more. If you have any more questions, I can be reached at (904) 504-5814.

Thank you,

Branden Parrish

Florida Gaming Control Commission,
Important: Confidentiality & Public Records Statement

From: Christian Karcher <christianlkarcher@gmail.com>
Sent: Friday, October 17, 2025 10:30 AM
To: Charles Taylor <Charles.Taylor@flgaming.gov>
Cc: Derek Washington <Derek.Washington@flgaming.gov>
Subject: Re: Waiver Interview for Cardroom License

I'm sorry for the confusion! My number is 904.710.1776
I will be able to call you tomorrow so set up the interview!
I will be available Tuesday and Wednesday for that! You will hear from me soon.
On Thu, Oct 16, 2025 at 5:34 PM Charles Taylor <Charles.Taylor@flgaming.gov> wrote:

> Mr. Karcher,
>
> I have been unable to reach you through your cell phone 904.710.1775 from your application. It rings through to voicemail, however, the mailbox is full, and I cannot leave a message. Please contact me at the cell number below to schedule and discuss your waiver interview. Thank you.

Florida Gaming Control Commission
flgaming.gov

Charles W. Taylor
Investigation Specialist II
Division of Pari-Mutuel Wagering
Office of Investigation
850.794.8118 Office 850.394.0905 Cell

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution, or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGER VIEW

Derek Washington

From: Charles Taylor
Sent: Tuesday, November 25, 2025 10:32 AM
To: Derek Washington
Subject: Fw: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: bestbet-FGCC Licensing

As requested.

Sent from my T-Mobile 5G Device
Get Outlook for Android

From: Kassie Whitley <kassiew@bestbetjax.com>
Sent: Thursday, November 13, 2025 11:14:05 AM
To: Charles Taylor <Charles.Taylor@flgaming.gov>
Subject: Fwd: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: bestbet-FGCC Licensing

You don't often get email from kassiew@bestbetjax.com. Learn why this is important

Begin forwarded message:

> **From:** Kassie Whitley <kassiew@bestbetjax.com>
> **Date:** October 23, 2025 at 10:39:00 AM EDT
> **To:** Christian Karcher <christianlkarcher@gmail.com>
> **Subject: RE: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: bestbet-FGCC Licensing**
>
> Hi Christian,
> The representative from FGCC stopped by my office to inform me that you did not attend your scheduled appointment on Tuesday and have not responded to his recent contact attempts. I want to emphasize how important it is to maintain communication with them during this process.
>
> I believe he has already emailed you his contact information, but I'm including it here as well for your convenience:
> **(850) 394-0905**.
>
> At this point, please confirm whether you are still interested in pursuing your license with FGCC. If you've decided not to move forward, I strongly recommend reaching out to Chuck so he can send you a withdrawal request. This step will prevent a formal denial and allow you to reapply in the future without issue.
>
> ---
>
> **From:** Christian Karcher <christianlkarcher@gmail.com>
> **Sent:** Thursday, October 2, 2025 5:26 PM
> **To:** Kassie Whitley <kassiew@bestbetjax.com>

EXHIBIT #4
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Subject: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: bestbet-FGCC Licensing

Thank you, I will do that.

On Thu, Oct 2, 2025 at 11:14 AM Kassie Whitley <kassiew@bestbetjax.com> wrote:

> Good Morning,
>
> I have not heard from them, although this is not unusual as they maintain direct communication with applicants after submission.
> You may want to reach out to their general line and see if there is any further information regarding your status: 850-794-8130
>
> **From:** Christian Karcher <christianlkarcher@gmail.com>
> **Sent:** Tuesday, September 30, 2025 10:08 AM
> **To:** Kassie Whitley <kassiew@bestbetjax.com>
> **Subject:** [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: bestbet-FGCC Licensing
>
> Hello! Have you heard anything from the gaming commission yet? I haven't received any mail.
> On Tue, Sep 23, 2025 at 9:28 AM Christian Karcher <christianlkarcher@gmail.com> wrote:
>
> > Hey Kassie,
> > I'm just looking for an update on where we are in the process. I haven't heard back from the gaming commission yet. I'm remaining patient and optimistic!
> >
> > On Tue, Sep 2, 2025 at 11:39 AM Kassie Whitley <kassiew@bestbetjax.com> wrote:
> >
> > > I will be there next Wednesday, so will see you then!
> > >
> > > **From:** Christian Karcher <christianlkarcher@gmail.com>
> > > **Sent:** Tuesday, September 2, 2025 11:07 AM
> > > **To:** Kassie Whitley <kassiew@bestbetjax.com>
> > > **Subject:** [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: bestbet-FGCC Licensing
> > >
> > > Yes, I can do that., I can't make it there until next week on Wednesday. I get my car back Friday!
> > >
> > > On Tue, Sep 2, 2025 at 9:59 AM Kassie Whitley <kassiew@bestbetjax.com> wrote:
> > >
> > > > Good Morning,
> > > >
> > > > I will be at Orange Park this Wednesday from 10-1, would you be able to bring the sheet with you and we can fill out accordingly together?
> > > >
> > > > **From:** Christian Karcher <christianlkarcher@gmail.com>
> > > > **Sent:** Thursday, August 28, 2025 5:50 PM
> > > > **To:** Kassie Whitley <kassiew@bestbetjax.com>
> > > > **Subject:** [EXTERNAL]Re: [EXTERNAL]Re: [EXTERNAL]Re: bestbet-FGCC Licensing

EXHIBIT #4
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I also have the background application sheet. I just haven't filled it out yet because of the "11/01/16 charge" I'm not sure what to put. How should I proceed? I appreciate all your help by the way!

On Tue, Aug 26, 2025 at 3:42 PM Christian Karcher <christianlkarcher@gmail.com> wrote:

> The only thing I can find relevant to that date is when the investigation started for the arrest in 2017. I wasn't charged on that date though. I'm attaching a picture with that information.

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MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Justin Hundersmarck, Senior Attorney
Re: Zachary Ryan Oranje; Case No. 2025-072257
Date: December 23, 2025

Executive Summary

Zachary Ryan Oranje (the "Applicant") seeks a waiver of his disqualifying offense and issuance of a cardroom employee occupational license (the "Application"). The Applicant submitted a completed application for a cardroom employee occupational license on September 3, 2025. Upon review of the Application, it appears the Applicant was convicted of one disqualifying felony offense out of Florida. A Commission investigator conducted a waiver interview of the Applicant on October 9, 2025, and documented the waiver interview in a report submitted to the Commission for consideration.

Relevant Facts

On June 24, 2025, the Applicant submitted an application for a cardroom employee occupational license to the Division. On this application, the Applicant answered "yes" to the question asking if he has been convicted of or had an adjudication withheld for any criminal charges, or has pled guilty or nolo contendere to any criminal charges. On July 15 and August 4, 2025, the Applicant was sent deficiency letters requesting an updated application. Upon review of the Application deemed complete on September 3, 2025, it appears that the Applicant has been convicted of the following disqualifying felony offense:

- Possession of Alprazolam out of Marion County, Florida from July 18, 2023.

The Applicant applied for a waiver from the statutory restrictions excluding applicants with disqualifying offenses on September 25, 2025. On October 9, 2025, a Commission investigator conducted a waiver interview with the Applicant. The waiver interview is documented in a report included in the case materials.

This felony conviction is a disqualifying offense pursuant to sections 550.105(5)(b) and 849.086(6)(f), Florida Statutes.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides, in pertinent part that:

> . . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 550.105(5)(c), Florida Statutes, provides in pertinent part that:

> "[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the commission."

Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

EXHIBIT

A

ORANJE, ZACHARY R

Case # 2025-072257

License Type: Cardroom Employee Occupational (1012)
Occupation: Dealer

Waiver Requested: Yes[✓] No[ ]

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

ORANJE, ZACHARY R - 11907185	2025-072257
(Applicant's Name– License #)	Case No:

INITIAL APPLICATION RECEIVED:	6/24/2025
Application Deemed Complete:	9/3/2025
If no Request for Waiver received, 90-Day Deadline:	**12/2/2025**

Waiver Requested: ☐No ☒Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)
- Waiver Requested 9/25/2025

RK	950 - Ocala	1012 - Dealer
Application Processor	Facility (d/b/a name)	Occupation Code / Job Title

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☒Yes ☐No
Licensing History: Yes Type: 1012 Initial license issued 12/05/2018 Maintained Continuous licensure? ☐Yes ☒No

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No
Were all items identified in the deficiency letter addressed? ☒Yes ☐No If no, explain:

☒ Felony(s): 1 Count(s) ☐ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking
☐ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)
☐ Animal Cruelty (Pari-Mutuel Professons)

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp
02/19/2023	Marion County, Florida	**Charge 1**-DUI Impairment or Dubal 1 Prior Conviction	M	422023CF000669CFAXXX	Adjudicated Guilty	Y	Y
		Charge 2- Possession Of Cannabis Less Than 20 Grams	M	422023CF000669CFAXXX	Adjudicated Guilty	Y	Y
		Charge 3- Possession Of Alprazolam	F	422023CF000669CFAXXX	Adjudication Withheld	Y	Y
08/03/2016	Marion County, Florida	**Charge 1**-DUI Alcohol or Drugs	M2	422016CT004511CTAXXX	Adjudicated Guilty	Y	Y

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number: Business Name:

Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐

Date Deficiency Letter Issued: Initials:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☒ No ☐ ☐ Approval
Disposition Attached for Disqualifier(s)? Yes ☒ No ☐

Deficiency Letter Issued **07/15/2025, 08/04/2025 09/04/2025**; Application Deemed Complete **09/03/2025**
Applicant Eligible for Waiver? Yes ☒ No ☐ If Yes: ☒ Waiver Requested ☐ Failed to Request Waiver

Forward to ☒ **Investigations** ☐ **Legal** ☐ **Commission** Initials: **CH** Date: **10/02/2025**
Comments:

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 20250722S7 **Incident date:** 09/25/2025 **Status:** 106 - Sent to Directors office

Lic Type: 1012 **Disposition:**

Case Type: Complaint

Responsible: elanier - LANIER, EBONIE

Complainant: DIVISION OF PARI-MUTUEL WAGERING

1400 W COMMERCIAL BLVD, STE 165, FORT LAUDERDALE, FL 33309

Respondent: ORANJE, ZACHARY R

1336 NE 154TH AVE, WILLISTON, FL 32696

Summary: 950 - OCALA BREEDERS' SALES COMPANY, INC

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
10/03/2025	A	79	440	Incoming Correspondence	jhodge	ORANJE, ZACHARY R
12/02/2025	A	79	70	Sent to Director for Review	charris	ORANJE, ZACHARY R
10/22/2025	A	79	200	Case File Review	lmoore	ORANJE, ZACHARY R
10/09/2025	A	10	50	Interview Respondent	lmoore	ORANJE, ZACHARY R
10/07/2025	A	79	435	Telephone	lmoore	ORANJE, ZACHARY R
10/06/2025	A	79	435	Telephone	lmoore	ORANJE, ZACHARY R

CH

State of Florida

Department of Business and Professional Regulation

Chronology Report

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	10/06/2025	A	79	100	Initial Review Conducted	lmoore	ORANJE, ZACHARY R
	10/06/2025	A	10	15	Assigned to Investigator	lmoore	ORANJE, ZACHARY R
	12/01/2025	R		bjones	JONES, BRADFORD	bjones	
	12/03/2025	R		clanier	LANIER, EBONIE	charris	
	10/06/2025	R		lmoore	ROUNDS, LEEANN	jhodge	
	12/02/2025	R		charris	HARRIS, CYNTORIA	jhodge	
	10/03/2025	S	1012	10	Initial Review	jhodge	
	12/01/2025	S	1012	165	Supervisor Review	bjones	
	12/01/2025	S	1012	90	Closed	jhodge	
	12/02/2025	S	1012	104	Sent to Licensing Section	jhodge	
	10/06/2025	S	1012	20	Under Investigation	jhodge	
	12/03/2025	S	1012	106	Sent to Directors office	charris	

Allegation:	Code	Description
	WAVR	Waiver of Crim. Conv. or Other Offenses

Violation:	Code	Description	Respondent
	WAVR	61D-5.006	ORANJE, ZACHARY R

CH

State of Florida

Department of Business and Professional Regulation

Chronology Report

CH

Licenses

Licensee Search

Licensee:Zachary R Oranje

No Picture Available

Reports on Zachary R Oranje

Comprehensive Ruling Report
Drug Ruling Report (Last 5 Years)
Comprehensive Licensee Report

Identification Information

Add a New Identification Record

Date of Birth	Federal ID/SSN	Country	Sex
[redacted]	[redacted]	USA	Unknown

Name Information

Add a New Name Record

Name Type	Prefix	First Name	Middle Name	Last Name	Suffix
Current Legal Name		Zachary	R	Oranje	

Address Information

Address Type	Street Address	City	State	Zip Code

Phone Information

Phone Number Type	Phone Number

License Information

Add a New License Record

License Number	License Type	Issued Date	Expiration Date	Licensing Commission
11907185	Other/OTB/Casino	12/5/2018	6/30/2021	Florida Division of Pari-Mutuel Wagering
	Other/OTB/Casino	12/5/2018	6/30/2024	Florida Division of Pari-Mutuel Wagering

Fingerprint Information

Add a New Fingerprint Record

Processing Commission	Date Taken	Status	Notes	RCI Card Submitted Date

Ruling Number	Ruling Type	Ruling Date	Fine	Fine Paid?	Suspension Start	Suspension End

Applicable Reports

Comprehensive Ruling Report
Comprehensive Licensee Report

CH

CH

Cynthia Harris@ljec

[redacted]

12/03/2025

CH

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **charris**

License

Fed Tax # [redacted] Lic Type **1012 - Cardroom Employee Occupational** Expires On **06/30/2024**

File # **11335** Name **Oranje, Zachary R** Extended To

License # **11907185** Rank **CEMP - Cardroom Employee Occupational** Renewed On **05/13/2021**

Entity # **11907185** Lic Status **Revoked**

Licensee | History | Notes | Notes History | Back

Address

Street # **1336** Street **NE 154TH AVE**

Line 2

Line 3

City **WILLISTON** State **FL** Zip **32696**

Routing

*Previously Revoked Applicant

*Previous License

*No Temp Issued

*No ARCI Findings

*CCIS findings

*No additional open enforcement cases.

Other

1st License Date **12/05/2018** Rank Date **05/13/2021** Certificate #

Method **I-S-1020** Status Date **12/07/2023** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	02/28/2023	License Revoked - 12/6/2023
C	DEAL - Dealer	12/05/2018	
I	QHRS - Quarter-Horse	12/05/2018	
L	950 - Ocala Breeders	12/05/2018	
Y	3YR - 3 Year License	12/05/2018	

Alt Keys

BEST LIC NBR **11907185**

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FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1012 - Cardroom Employee Occupational**			Status	**90 Closed**	Status Date	**12/01/2025**
Complaint #	**2025072257**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**ORANJE, ZACHARY R**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**
Form	**WALK - Walk-in**	Priority	**1**
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**09/25/2025**
Region	**CR - Central Region**	Received	**10/03/2025**
Reference			
Entered	**10/03/2025**	Entered By	**jhodge**
Summary	**950 - OCALA BREEDERS' SALES COMPANY, INC**		
Updated	**12/02/2025 09:47:52**	By	**jhodge**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☑ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

Change | Save | OK | Cancel | Back

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ROUTING SLIP
REQUEST FOR WAIVER

RE: ORANJE, ZACHARY RYAN – LIC # 11907185 Case No: 2025 07 2257
(APPLICANT'S NAME – LICENSE #)

1012 – Poker Dealer
Occupation Code and Job Title

157 -Ocala Breeders Sales (Ocala Bets)
Facility (d/b/a name)

Waiver Referral Date: OCTOBER 3, 2025
(DATE)

Investigations Section: Reviewed by Bradford D. Jones 12/1/2025
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: CH 12/03/2025 _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, have unpaid fines, been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [✓] No If yes, in what jurisdiction? _____

Investigative Findings:

February 19, 2023 Belleview Police Department, FL. – Possession of Alprazolam – Felony – Pled Guilty – Adjudication Withheld on July 16, 2023 - Sentenced to 24 months of probation with the following conditions: Pay $280.00 in restitution to the Belleview Police Department; Pay all applicable court costs and fees; Attend Advanced DUI School; Submit to monthly random drug and alcohol screenings at his own expense; Complete a Substance Abuse Evaluation and any recommended treatment on the first attempt and within the first 60 days, at his own expense; Perform 200 hours of community service, with no fewer than 16 hours per month; Driver's license revoked for six (6) months. – Probation completed March 31, 2025 with no outstanding obligations.

*Please attach Routing Slip to front of case file

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Alana Zimmer, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**CENTRAL**	**OCTOBER 3, 2025**	**2025 07 2257**

Respondent:	Complainant:
ORANJE, ZACHARY RYAN **1336 NE 154th AVENUE** **WILLISTON, FLORIDA 32696** **Phone: (321) 504-1191**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BOULEVARD, SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License / Type:	Profession:	Report Date:
11907185 / 1012	**Poker Dealer**	**OCTOBER 22, 2025**

Period of Investigation:	Type of Report:
OCTOBER 3, 2025 – OCTOBER 22, 2025	**Final**

Basis of Investigation: This investigation is predicated upon the submission of Zachary **ORANJE's** State of Florida Pari-Mutuel Wagering Occupational License Application dated June 24, 2025, and Waiver Request Form dated September 25, 2025.

On June 24, 2025, **ORANJE** applied for a PMW Cardroom Employee Occupational License (1012) to work as a Poker Dealer at Ocala Bets. On his application, he answered "Yes" to the question on page 2, "Have you ever been convicted of, or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" **ORANJE** disclosed a 2023 Felony conviction for Possession of a Controlled Substance Without a Prescription, a Misdemeanor DUI, and that his previous cardroom license had been revoked as a result of his felony conviction. He also listed a 2016 Misdemeanor conviction for a DUI in Marion County, Florida.

Related Case(s): **2023 04 4814**

Investigations Specialist II / Date	Approved by Investigation Manager / Date
/s/ *Lee Ann Rounds* Lee Ann Rounds / October 22, 2025	/s/ *C. Derek Washington* C. Derek Washington / October 29, 2025

Chief of Investigations / Date

Bradford D. Jones / December 1, 2025

CRIMINAL HISTORY

Arrest 1	
Date of Arrest: 02/19/2023	**Arresting Agency:** Belleview Police Department, FL.

OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Possession of Alprazolam	Felony	Guilty	Adj Withheld	07/18/2023
2	Possession of Cannabis-less than 20 Grams	Misdemeanor	Guilty	Adjudicated Guilty	07/18/2023
3	DUI – 1 prior conviction	Misdemeanor	Guilty	Adjudicated Guilty	07/18/2023
4					

SENTENCE
Sentenced to 24 months of probation with the following conditions:, Pay $280.00 in restitution to the Belleview Police Department; Pay all applicable court costs and fees; Attend Advanced DUI School; Submit to monthly random drug and alcohol screenings at his own expense; Complete a Substance Abuse Evaluation and any recommended treatment on the first attempt and within the first 60 days, at his own expense; Perform 200 hours of community service, with no fewer than 16 hours per month; Driver's license revoked for six (6) months.
Additional Information: Restitution was paid in full. Motion to Terminate Probation was granted on March 31, 2025.

Arrest 2	
Date of Arrest:	**Arresting Agency:**

OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

CRIMINAL HISTORY

Arrest 3	
Date of Arrest:	**Arresting Agency:**

OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

Arrest 4	
Date of Arrest:	**Arresting Agency:**

OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?	X	
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type: **Cardroom Employee Occupational**			
Date Licensed: **05/21/2021**	Expiration Date: **06/30/2024**	License #: **11907185**	Agency or Jurisdiction: **Florida Gaming Control Commission**

	YES	NO
Has License ever been suspended or revoked?	X	
Was any derogatory information received?	X	

Additional Comments: **ORANJE's** gaming license was revoked on December 6, 2023, due to not reporting his 2023 arrest and subsequent conviction **(Exhibit #4).**

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

3. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

WAIVER INTERVIEW

	YES	NO
Was a Waiver Interview Conducted?	X	
Date of Interview: October 9, 2025	Location of Interview: Oxford Downs	
	YES	NO
Was the applicant cooperative?	X	
Additional Comments:		

SUMMARY OF INTERVIEW:

During the interview, **ORANJE** stated he did not make a notification to Licensing concerning his 2023 arrest because he was unaware that an arrest needed to be reported. He explained that at the time of his arrest, he had already been terminated from his position as a dealer and was not actively using his occupational license.

ORANJE stated that following his conviction and guilty plea, his license was revoked. He advised that the letters from Licensing were sent to a previous address, where he no longer resides, and as a result, he did not receive them. He missed the appeal deadline and ultimately decided to give up on his license. His attorney later advised him to complete his probation before seeking reinstatement.

ORANJE conveyed that he completed his probation early, on March 21, 2025, and has since obtained his driver's license, which includes the use of an ignition interlock device for one year. When discussing the 2023 case, **ORANJE** acknowledged that he does not have a clear recollection of the events surrounding this arrest. He explained that he was "in a bad state of mind" at the time. He stated that he had previously been prescribed **Xanax** for anxiety but did not have a current prescription when he was arrested. He admitted to having three pills that were given to him by a friend, which led to his arrest for possession. He entered a guilty plea as part of a deal in which adjudication was withheld.

ORANJE stated that during that period, he was experiencing significant personal struggles; he had lost his job at Oxford Downs, ended a relationship with his girlfriend, was "forced" to move, and his girlfriend sold his car. He described this as a "downward spiral" that ultimately led to his arrest.

Since then, **ORANJE** stated he has been receiving treatment from doctors and mental health professionals and that his overall well-being has greatly improved. He reported that he is currently employed, no longer takes Xanax or any other medications, and has been learning alternative methods to manage his OCD and anxiety.

He stated he paid approximately $5,000 in fines, completed 12 weeks of drug counseling, a 3-day driver improvement course, 200 hours of community service, and all his urine analyses tested clean.

ORANJE stated he is now working at a store, living with his mother, and has a strong support system and a positive group of friends. He emphasized that he no longer associates with the individual who provided him with the Xanax. **ORANJE** expressed that he truly enjoyed his work as a poker dealer and, now that his life is back on track, he wishes to have his license reinstated and return to dealing in a cardroom.

CONCLUSION:

A review of the Association of Racing Commissioners International (ARCI) database revealed no rulings against **ORANJE.**

Case Status: Closed by Investigations and forwarded to Licensing.

TABLE OF CONTENTS

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III. EXHIBITS

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3. Rap Sheet 1-11
4. Supporting Docs: ARCI / 2023 Prior Case. 1-14

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

TO: Zachary Oranje [redacted]
Full Legal Name of Applicant | Date of Birth | Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Executive Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

☐ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

■ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

☐ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Florida Gaming Control Commission will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

Signature of Applicant

9-25-25
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM FGCC PMW-3195 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

RECEIVED
2025 SEP 25 PM 8:51

FGCC PMW-3195 – Request for Release of Information and Authorization to Release Information

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

PERSONAL INFORMATION					
Social Security Number/Federal Employer ID Number	[redacted]				
IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Last Name: Oranje	First: Zachary	Middle: R	Title	Suffix	
Birth Date (MM/DD/YYYY)	[redacted]				
IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Representative's Name: Last	First	Middle	Title	Suffix	
Permitholder Name					
Official Capacity					

ATTEST STATEMENT

I, Zachary Oranje, do hereby instruct all law enforcement
(name of applicant/representative)
or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Florida Gaming Control Commission.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.

(if individual applicant - legal name and any nickname or alias in parentheses)

Applicant/Representative Signature: Zachary Oranje Date: 09/23/2025

NOTARIZATION

The foregoing application was sworn to and subscribed before me this 25th Day of September 20 25,

by Zachary Oranje (Type or print name of applicant), Zachary Oranje (Signature of applicant)

who is personally known to me or who has produced the following as identification.

DRIVER LICENSE
Type of identification

Echard bien-aime
Signature of person taking acknowledgement

ECHARD BIEN-AIME
Notary Public - State of Florida
Commission # HH 658104
Expires on July 14, 2029

Notary Seal
(Rubber Stamp and Expiration)

Notarized remotely online using communication technology via Proof.

State of Florida
Department of Business and Professional Regulation
2601 Blair Stone Road
Tallahassee, FL 32399

Application Summary

Thank you for submitting an online application. We will evaluate the application to determine if you meet the eligibility requirements for the license. Initial review of your application can take up to 14 days and up to 30 days during peak licensing times. You will be notified in writing if we need any additional information or documentation. Your application will be considered complete only upon the department's receipt of all requested information, including validation of payment from your financial institution.

Profession

License Type:	**Cardroom Employee Occupational**
Application Number:	**80630**
Application Type:	**Cardroom Employee Occupational License**
Application Date:	**06/24/2025 (mm/dd/yyyy)**
License Number:	
File Number:	**15945**

Personal Detail

First Name:	**Zachary**
Middle Name:	**R**
Last Name:	**Oranje**
Birthdate:	[redacted] **(mm/dd/yyyy)**
Gender:	**Male**
Race:	**White**

Addresses

Current Mailing Address:	**1336 NE 154th ave**
	Williston, FL
	32696
	US
Phone Number:	**3215041191**
Extension:	
E-mail Address:	**SKYTHEBARKER@GMAIL.COM**

License Attributes Selected

Occupational Codes	**Dealer**
PMW Temporary	**Yes**
FingerPrint Reduct	**Livescan Fingerprint Fee Exemption**

Livescan Information

Enter Transaction Control Number (TCN): [redacted]

Facility

Pari-Mutuel Facility Where Employed and/or Doing Business With:	**Multi Locations**

Prior Name Questions

Have you used, been known as, or called by another name (example - maiden name, pseudonym, nickname) or alias other than the one you provided for this application?	**No**

Cardroom Access

Does your position require access to the Cardroom?	**Yes**

Track Management

Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit?	**No**

Prior License

Is this your first time applying for a racing/gaming license in Florida?	**No**

Criminal History Background Questions

Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?	**No**
Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?	**Yes**

Criminal History Background Details 1

Date of Disposition	**08/04/2016 (mm/dd/yyyy)**
County	**marion**
State	**Florida**
Offense	**DUI**
Misdemeanor or Felony?	**Misdemeanor**
Sentence	**12 months probation**

Criminal History Background Details 2

Date of Disposition	**02/19/2023 (mm/dd/yyyy)**
County	**marion**
State	**Florida**
Offense	**DUI/ POSSESION OF CONTROLLED SUBSTANCE WITHOUT PRESCRIPTION**
Misdemeanor or Felony?	**Felony**
Sentence	**24 months probation / adjudication withheld.**

Racing/Gaming License Background Question

Have you ever had a racing or gaming license revoked or denied in this or any other state or country?	**Yes**
Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine?	**No**

Racing/Gaming License Background Details

Incident Date	**02/19/2023 (mm/dd/yyyy)**
Racing Jurisdiction	**marion county**
Offense	**Pled guilty to felony charge**
Discipline (suspension, fine, declared ineligible, denied, etc.) Indicate whether the discipline has been satisfied.	**Gaming license revoked**

Attachments

MarionCountyOCRS4.pdf

MarionCountyOCRS2.pdf

Fees

Fingerprint Fee	**$36.00**
LiveScanReduce	**$-36.00**
3 year License	**$100.00**
Total Amount Due:	**$100.00**

By submission of this application you affirmed the following: I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this written declaration has the same legal effect as an oath or affirmation. Under penalties of perjury, I declare that I have read the foregoing application and the facts stated in it are true. I understand that falsification of any material information on this application may result in criminal penalty or administrative action, including a fine, suspension or revocation of the license. I understand that an electronic affirmation shall have the same force and effect as a written signature.

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **100 - Division of Pari-Mutuel Wagering** Logged in as: **lmoore**

VR Home > License Search > **License Home**

Licensee | History | Notes | Notes History | Back

License

Fed Tax # [redacted] Lic Type **1012 - Cardroom Employee Occupational** Expires On **06/30/2024**

File # **11335** Name **Oranje, Zachary R** Extended To

License # **11907185** Rank **CEMP - Cardroom Employee Occupational** Renewed On **05/13/2021**

Entity # **11907185** Lic Status **Revoked**

Address

Street # **1336** Street **NE 154TH AVE**

Line 2

Line 3

City **WILLISTON** State **FL** Zip **32696**

Routing

Other

1st License Date **12/05/2018** Rank Date **05/13/2021** Certificate #

Method **I-S-1020** Status Date **12/07/2023** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	02/28/2023	License Revoked - 12/6/2023
C	DEAL - Dealer	12/05/2018	
I	QHRS - Quarter-Horse	12/05/2018	
L	950 - Ocala Breeders	12/05/2018	
Y	3YR - 3 Year License	12/05/2018	

Alt Keys

BEST LIC NBR **11907185**

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Exhibit 1
Page 6/6

ORIGINAL

ARREST AFFIDAVIT/FIRST APPEARANCE FORM

BELLEVIEW POLICE DEPARTMENT

OBTS # 4203212455			Agency ORI # FL0420200								
Court Case Number: 23CF1669Z	X Felony / X Misdemeanor	County Ordinance / Local Ordinance	Infraction / Not Applicable	Agency Case Number: 2023020343							
Defendant's Name: Last First Middle			DOB [redacted]	SEX	RACE	HGT	WGT	HAIR	EYES		
ORANJE, ZACHARY RYAN-LEE				M	W	5'10"	150	BRO	HAZ		
Mailing Address: St./P.O. Box			Scars-Marks-Tattoos-Amputations (describe each)								
City State Zip											
St. Add. (if different), Street: 1360 SW 145TH ST			Phone: Home	Place of Birth:		Alias:					
City Ocala State FL Zip 34473-			(321) 504-1191	OREGON							
Place of Employment: Street			Phone: Business	Occupation:		Social Security No.:					
City [redacted] Zip											
Driver Lic. No.: [redacted] State: FL			Vehicle Towed By:	Hold on Vehicle: Yes ☐ No ☐ Agency:		Arrest Suffix: 1					
Arrest Date: Mo. 2 Day 19 Year 2023			Arrest Time: 6:10 AM	Arrest Location: 5800 SE ABSHIER BLVD, Belleview, FL 34420							
U.S. Citizen: Y ■ N ☐ U ☐ N/A ☐				Resident Status: ☐ Resident ☐ Non Resident ☐ Unknown							

Activity: F. Forgery, O. Counterfeit, A. Fraud / X. Stolen Property, T. Traffic, P. Possess, S. Sell / B. Buy, R. Smuggle, D. Deliver, U. Use / M. Manufacture / Produce / Cultivate, Z. Other / K. Dispense / Distribute, N. N/A / Type: A. Amphetamine, B. Barbiturate, C. Cocaine / E. Heroin, H. Hallucinogen, M. Marijuana, O. Opium/Deriv / S. Synthetic, P. Paraphernalia/ Equipment / Z. Other, U. Unknown, N. N/A

CHARGES

Description	Counts	Activity	Type	Statute	Bond Amount	In Accordance to Bond Schedule
90DAD-DRIVING UNDER THE INFLUENCE - 2ND CONVICTION	1	N	N	316.193	$2,000.00	Y ■ N ☐
35A-POSSESSION OF MARIJUANA < 20 GRAMS	1	P	M	893.13(6)(B)	$1,000.00	Y ■ N ☐
35A-POSSESSION OF ALPRAZOLAM	1	P	Z	893.13(6)(A)	$2,000.00	Y ■ N ☐
						Y ☐ N ☐
						Y ☐ N ☐

Indication of: Alcohol Influence Y ■ N ☐ Unk ☐ / Drug Influence Y ■ N ☐ Unk ☐	Weapon Seized/Type: Y ☐ N ■ 01-Unarmed	Juvenile Disposition: ☐ Handled Within Department ☐ Referred to Other Authorities	
JAIL LOG: (To be completed by booking Officer)			Jail Inmate Number:
Date Booked: 2-19-23	Time Booked: 0755	Booking Officer: 6090	Fingerprinted By: 6090 / Photographed By: 6042 / Bin Number:
Advised of Rights By: Advised	Check for Warrant(s): NCIC ☑ FCIC ☑ Local ☑	Holds: Yes ☐ No ☐	Agency of Hold:
Attorney (if Known): Private	Religion: J ☐ Pr ☐ C ☐ Other ☑	Marital Status: S ☑ M ☐ D ☐ Sep ☐	Telephone call logged: allowed Time: AM PM #
Next of Kin/PARENTS OF JUVENILE (for emergency) NONE	Relation: Address:		Phone:
Bond Date:	Returnable Court Date:	Returnable Court Time:	Release Date: / Release Time: / Releasing Officer:
BOND, Charge A:	Charge B:	Charge C:	Charge D: / Charge E:
NAME AND ADDRESS OF BONDSMAN:			Bond Type: ROR ☐ SURETY ☐ Cash ☐ / Bail Bond ☐ Cert ☐ Other ☐
Approving Officer Signature:			

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1140283

ORIGINAL

Belleview Police Department

Complaint/Arrest	Court Case No.	Agency Case No.
Affidavit Continuation		2023020343 - 1

Defendant's Name: Last First Middle — Date of Birth

ORANJE, ZACHARY RYAN-LEE — [redacted]

PROBABLE CAUSE AFFIDAVIT:
(specify probable cause for each charge)

Before Me, the undersigned authority personally appeared **SGT CHRISTINA T. ESQUIVEL** who being duly sworn, alleges, on information and belief, that on the **19th** day of **February**, **2023**, in **Marion** County, Florida. the defendant did:

COMMIT THE OFFENSES OF DRIVING UNDER THE INFLUENCE - 2ND CONVICTION, POSSESSION OF MARIJUANA LESS THAN 20 GRAMS, AND POSSESSION OF ALPRAZOLAM TO WIT:

ON 2/19/2023 AT APPROXIMATELY 5:50AM I, SERGEANT C. ESQUIVEL #509 OF THE BELLEVIEW POLICE DEPARTMENT, RESPONDED TO SE HWY 484/SE ABSHIER BLVD TO ASSIST OFFICERS GALLER #578 AND ECKERT #563 WITH A DUI INVESTIGATION. OFC GALLER ADVISED THEY HAD RESPONDED TO REPORTS OF A DRIVER ASLEEP BEHIND THE WHEEL AND NOTICED SEVERAL INDICATORS OF IMPAIRMENT WHILE SPEAKING WITH THE DRIVER (DEFENDANT ZACHARY ORANJE). OFC GALLER ADVISED MCFR HAD ALREADY EVALUATED ORANJE. SINCE OFFICERS GALLER AND ECKERT WERE DUE TO END THEIR SHIFTS AT 6AM, I RESPONDED TO TAKE OVER THE INVESTIGATION.

UPON ARRIVAL, I OBSERVED A WHITE HATCHBACK [redacted] WAS PARKED IN THE EASTBOUND LANE OF SE HWY 484 AT THE INTERSECTION WITH SE ABSHIER BLVD WITH MULTIPLE BPD PATROL VEHICLES PARKED BEHIND WITH THEIR EMERGENCY LIGHTS ACTIVE. I PARKED MY VEHICLE IN THE NEARBY CVS PARKING LOT (5800 SE ABSHIER BLVD) AWAY FROM THE FLASHING LIGHTS. PFC ECKERT ESCORTED ORANJE TO MY PATROL VEHICLE. I GREETED ORANJE AND IDENTIFIED MYSELF. I EXPLAINED TO ORANJE THAT THERE WAS CONCERN ABOUT HIS LEVEL OF IMPAIRMENT AND REQUESTED HE PERFORM FIELD SOBRIETY EXERCISES WITH ME. ORANJE AGREED, WITH THE FOLLOWING RESULTS:

ORANJE DID NOT HAVE ANY MEDICAL IMPAIRMENTS OR PHYSICAL DISABILITIES, ASIDE FROM A METAL ROD IN HIS LEG FROM YEARS PRIOR AND SOME ANXIETY.

HORIZONTAL GAZE NYSTAGMUS

ORANJE'S EYES WERE BLOODSHOT AND GLASSY AND HIS EYELIDS WERE DROOPY. ORANJE'S PUPILS WERE OF EQUAL SIZE AND HIS EYES TRACKED EQUALLY. ORANJE DISPLAYED A LACK OF SMOOTH PURSUIT AND DISTINCT AND SUSTAINED NYSTAGMUS IN BOTH EYES.

SWORN to and SUBSCRIBED before me
this 19 day of February
2023.

Sgt Chr Esq #509
AFFIANT

5611
Notary Public - Certified Officer
(circle one)

Belleview Police Department
ARRESTING AGENCY

EXHIBIT #2
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SEAL

MCCC - CASE INTAKE
FEB 20 '23 AM9:18

Belleview Police Department

ORIGINAL

Complaint/Arrest	Court Case No.	Agency Case No.
Affidavit Continuation		2023020343 - 1
Defendant's Name: Last First Middle		Date of Birth
ORANJE, ZACHARY RYAN-LEE		12/16/1993

PROBABLE CAUSE AFFIDAVIT:

(specify probable cause for each charge)

Before Me, the undersigned authority personally appeared **SGT CHRISTINA T. ESQUIVEL** who being duly sworn, alleges, on information and belief, that on the **19th** day of **February**, **2023**, in **Marion** County, Florida. the defendant did:

WALK AND TURN

ORANJE COULD NOT MAINTAIN HIS BALANCE WHILE LISTENING TO THE INSTRUCTIONS. ORANJE CROSSED HIS LEGS ON EACH STEP (MISSING HEEL-TO-TOE), TOOK TEN STEPS IN BOTH DIRECTIONS, MADE AN IMPROPER TURN, AND STEPPED OFF OF THE LINE.

ONE LEG STAND

ORANJE BENT HIS LEG AND WAS TOLD TO KEEP IT STRAIGHT. ORANJE STOPPED THE EXERCISE TO SAY HE WAS ANXIOUS AND ASK FOR CLARIFICATION. THIS HAPPENED MULTIPLE TIMES. ORANJE PUT HIS FOOT DOWN PRIOR TO 30 SECONDS, USED HIS ARMS FOR BALANCE, AND SWAYED MORE THAN TWO INCHES.

I THEN PLACED ORANJE UNDER ARREST AND IN HANDCUFFS, WHICH WERE DOUBLE-LOCKED BEHIND HIS BACK. PFC ECKERT SEARCHED ORANJE AND LOCATED A LARGE WAD OF CASH. I COUNTED THE CASH (ON CAMERA AND IN FRONT OF ORANJE) AND DETERMINED THERE WAS $460 MADE UP OF $100, $20, AND $5 BILLS. ORANJE WAS PLACED IN THE BACK SEAT OF MY PATROL VEHICLE. ORANJE ADVISED HIS ANXIETY WAS WORSENING AND ASKED IF HIS HANDCUFFS COULD BE MOVED TO THE FRONT. ORANJE HAD BEEN POLITE AND COOPERATIVE THROUGHOUT THE INCIDENT, SO I AGREED AND RE-HANDCUFFED HIM WITH HIS HANDS IN FRONT (DOUBLE-LOCKED).

WHILE INTERACTING WITH ORANJE, I SMELLED THE ODOR OF MARIJUANA COMING FROM HIS PERSON AND THE ODOR OF AN ALCOHOLIC BEVERAGE EMITTING FROM HIS BREATH.

OFC GALLER AND PFC HUNT #566 CONDUCTED A PROBABLE-CAUSE SEARCH OF ORANJE'S VEHICLE. IN THE DRIVER DOOR HANDLE IN PLAIN VIEW WERE THREE YELLOW RECTANGULAR PILLS WITH THREE BREAK LINES AND STAMPED WITH "R039". THESE PILLS ARE COMMONLY REFERRED TO AS XANAX BARS AND WERE CONFIRMED THROUGH DRUGS.COM AS ALPRAZOLAM. IN THE CENTER CONSOLE WAS AN EMPTY MARIJUANA PACKAGE DEPICTING BARACK OBAMA WITH A MARIJUANA CIGARETTE FLOATING BY HIS MOUTH AND $100 BILLS FALLING AROUND HIS FACE. IN BETWEEN THE PASSENGER SEAT AND CENTER CONSOLE WERE TWO "BUDS" OF A GREEN LEAFY SUBSTANCE WHICH FIELD-TESTED POSITIVE FOR MARIJUANA.

SWORN to and SUBSCRIBED before me

this 19 day of February 2023.

Sgt Chst Gal #509
AFFIANT

SGT
Notary Public - Certified Officer
(Circle one)

Belleview Police Department
ARRESTING AGENCY

SEAL

EXHIBIT #2
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MCCC - CASE INTAKE
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ORIGINAL

Belleview Police Department

Complaint/Arrest Affidavit Continuation	Court Case No.	Agency Case No. 2023020343 - 1
Defendant's Name: Last First Middle ORANJE, ZACHARY RYAN-LEE		Date of Birth 12/16/1993

PROBABLE CAUSE AFFIDAVIT:
(specify probable cause for each charge)

Before Me, the undersigned authority personally appeared **SGT CHRISTINA T. ESQUIVEL** who being duly sworn, alleges, on information and belief, that on the **19th** day of **February**, **2023**, in **Marion** County, Florida. the defendant did:

PFC ECKERT ADVISED HE WAS THE FIRST OFFICER ON SCENE AND OBSERVED ORANJE WAS SLUMPED TO THE SIDE WHILE SITTING IN THE DRIVER'S SEAT. PFC ECKERT ADVISED HE KNOCKED ON THE WINDOW AND ATTEMPTED TO WAKE ORANJE. PFC ECKERT ADVISED ORANJE SLOWLY WOKE UP, BUT WAS DISORIENTED. PFC ECKERT ADVISED HE TOLD ORANJE TO PUT THE VEHICLE IN PARK, BUT ORANJE DIDN'T UNDERSTAND HIM FOR NEARLY 45 SECONDS. PFC ECKERT ADVISED ORANJE FINALLY MOVED FOR THE GEAR SHIFT, BUT PUT THE CAR INTO NEUTRAL, REVERSE, AND DRIVE MULTIPLE TIMES BEFORE SHIFTING INTO PARK. PFC ECKERT AND OFC GALLER ADVISED THEY COULD SMELL MARIJUANA AND ORANJE ADMITTED TO SMOKING MARIJUANA AROUND MIDNIGHT AT A PARTY.

I TRANSPORTED ORANJE TO THE MARION COUNTY JAIL WITHOUT INCIDENT. I ESCORTED ORANJE INTO THE INTOXILYZER ROOM AND CONDUCTED A 20 MINUTE OBSERVATION, AFTER WHICH I REQUESTED A BREATH SAMPLE. ORANJE COMPLIED WITH RESULTS OF 0.054 AND 0.052. WHEN INFORMED OF HIS RESULTS, ORANJE REMARKED ON THE NUMBERS AND STATED "I THOUGHT I SLEPT IT OFF COMPLETELY". I REQUESTED ORANJE PROVIDE A URINE SAMPLE, TO WHICH HE INITIALLY REFUSED. I READ ORANJE IMPLIED CONSENT, AFTER WHICH HE PROVIDED A URINE SAMPLE.

OFC GALLER (A CERTIFIED DRUG RECOGNITION EXPERT) RESPONDED TO THE JAIL TO CONDUCT A DRUG INFLUENCE EVALUATION. ORANJE DECLINED TO PARTICIPATE IN THE EVALUATION AND (POST-MIRANDA) DECLINED TO SPEAK WITH OFFICERS.

WHILE BEING MEDICALLY SCREENED BY THE JAIL NURSE, ORANJE ADVISED HE HAD HAD "4 OR 5 SHOTS" THE NIGHT BEFORE AND TOOK MEDICATION FOR HIS ANXIETY.

I CONDUCTED A RECORDS CHECK AND DISCOVERED THE FOLLOWING:
8/4/2016 - SUSPENSION FOR REFUSAL TO SUBMIT TO BREATH/URINE/BLOOD TEST (REINSTATED ON 5/27/2020)
10/31/2016 - GUILTY CONVICTION FOR DRIVING UNDER THE INFLUENCE

SWORN to and SUBSCRIBED before me
this 19 day of February
2023

Sgt Chr Esq #509
AFFIANT

Notary Public - Certified Officer
(circle one)

Belleview Police Department
ARRESTING AGENCY

SEAL

EXHIBIT #2
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MCCC - CASE INTAKE
FEB 20 '23 AM9:18

ORIGINAL

Belleview Police Department

Complaint/Arrest Affidavit Continuation	Court Case No.	Agency Case No. 2023020343 - 1
Defendant's Name: Last First Middle ORANJE, ZACHARY RYAN-LEE		Date of Birth 12/16/1993

PROBABLE CAUSE AFFIDAVIT:
(specify probable cause for each charge)

Before Me, the undersigned authority personally appeared SGT CHRISTINA T. ESQUIVEL who being duly sworn, alleges, on information and belief, that on the 19th day of February, 2023, in Marion County, Florida. the defendant did:

ORANJE WAS ISSUED CITATIONS #ACA170E FOR DUI AND #AH2X99E FOR DRIVING WHILE IN POSSESSION OF A CONTROLLED SUBSTANCE.

SWORN to and SUBSCRIBED before me
this 19 day of February
2023.

Sgt Christina Esquivel #509
AFFIANT

Notary Public - Certified Officer
(circle one)

Belleview Police Department
ARRESTING AGENCY

SEAL

EXHIBIT #2
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MCCC - CASE INTAKE
FEB 20 '23 AM9:18

IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
OF THE STATE OF FLORIDA, IN AND FOR MARION COUNTY

STATE OF FLORIDA CASE NO 2023-CF-000669-A

vs.

ZACHARY RYAN LEE ORANJE (A) W/M [redacted]

INFORMATION

IN THE NAME AND BY THE AUTHORITY OF THE STATE OF FLORIDA:

WILLIAM M GLADSON, State Attorney for the Fifth Judicial Circuit of the State of Florida, in and for Marion County prosecuting for the State of Florida, by and through the undersigned Assistant State Attorney, in the said County, under oath, information makes that, in the County of Marion, and the State of Florida:

COUNT I: POSSESSION OF ALPRAZOLAM (F3)
893.13(6)(a) and 893.03(4)(b)(2)

ZACHARY RYAN LEE ORANJE on or about February 19, 2023, did unlawfully and knowingly have in his actual or constructive possession a controlled substance, to-wit: Alprazolam, in violation of Florida Statutes 893.13(6)(a) and 893.03(4)(b)(2)

COUNT II: POSSESSION OF CANNABIS - 20 GRAMS OR LESS (M1)
893.13(6)(a) and 893.13(6)(b) and 893.03(1)(c)7

ZACHARY RYAN LEE ORANJE on or about February 19, 2023, did unlawfully and knowingly have in his actual or constructive possession a controlled substance, to-wit: Cannabis, commonly referred to as Marijuana, in an amount of twenty grams or less, in violation of Florida Statutes 893.13(6)(a), 893.13(6)(b) and 893.03(1)(c)7

COUNT III: DUI IMPAIRMENT OR DUBAL 1 PRIOR CONVICTION (MM)
316.193(1)(a) and 316.193(2)(a)

ZACHARY RYAN LEE ORANJE on or about February 19, 2023 did unlawfully drive or was in actual physical control of a vehicle within the State of Florida, while under the influence of an alcoholic beverage, any chemical substance set forth in s. 877.111, or any substance controlled under Chapter 893, when affected to the extent that his normal faculties were impaired, or with a blood or breath alcohol level of 0.08 percent or higher, and having previously been convicted of the offense of Driving Under the Influence on 10/31/2016, in violation of Florida Statutes 316.193(1) and 316.193(2)(a)

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STATE OF FLORIDA, COUNTY OF MARION

Personally appeared before me, WILLIAM M GLADSON, State Attorney for the Fifth Judicial Circuit, State of Florida, in and for Marion County, or his duly designated Assistant State Attorney, who first being sworn, says that the allegations as set forth in the foregoing information are based upon facts that have been sworn to as true, and which if true, would constitute the offense therein charged. Prosecution instituted in good faith and subscribed under oath, certifying he or she has received testimony under oath from the material witness or witnesses of the offense.

WILLIAM M GLADSON
State Attorney

SHANAE PICKENS
Assistant State Attorney
Fifth Judicial Circuit of Florida
Florida Bar No. 115972

Sworn to and subscribed before me this 20th day of March, 2023.

Affiant Personally Known to Notary Public

BRITTANY LASHINGER
Commission # HH 312604
Expires September 15, 2026

FCIC REFERENCE NUMBERS:
1) POSSESSION OF ALPRAZOLAM 3551
2) POSSESSION OF CANNABIS - 20 GRAMS OR LESS 3562
3) DUI IMPAIRMENT OR DUBAL 1 PRIOR CONVICTION 5407

EXHIBIT #2
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STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA

STATE OF FLORIDA,
-vs-
ZACHARY RYAN-LEE ORANJE
Defendant.

UCN: 422023CF000669CFAXXX
Case Number: 23CF000669AX
OBTS#:
4203212455

Judgment

☐ PROBATION VIOLATOR/COMMUNITY CONTROL VIOLATOR
☐ RESENTENCE ☐ RETRIAL

The defendant ZACHARY RYAN-LEE ORANJE being personally before the court represented by JAMES P TARQUIN, the attorney of record and having entered a plea of Guilty/Admit to the following crime(s):

CNT #	CHARGE		LVL DGR
1	893.13.6A	POSSESSION OF ALPRAZOLAM	Felony Third Degree
2	893.13.6B	POSSESSION OF CANNABIS LESS THAN 20 GRAMS	Misdemeanor First Degree
3	316.193.1A	DUI IMPAIRMENT OR DUBAL 1 PRIOR CONVICITION	Misdemeanor Second Degree

☐ The PROBATION/COMMUNITY CONTROL previously ordered in this case is revoked.
☐ The PRIOR ADJUDICATION OF GUILT IN THIS CASE IS CONFIRMED and no cause having been shown why the defendant should not be adjudicated guilty.

It is ordered that Adjudication of Guilt be Withheld as to COUNT 1, Adjudication of Guilt as to COUNT 2 AND COUNT 3.

State of Florida

23-CF-1009
Case Number

vs

Zachary Orange
Defendant

[redacted]

Defendant's Signature

[redacted]
Defendant's Date of Birth

Zachary Orange
Print Name of Defendant

I HEREBY CERTIFY that the above and foregoing fingerprints are the fingerprints of the Defendant, Zachary Orange, and that they were placed thereon by said Defendant in my presence this 18 day of July, 20 23.

Fingerprints taken by:

E. CABRERA Deputy
Name and Title of Court Officer, Court Employee, or Criminal Justice Agency Employee.

6853

Signature

7/18/23

08/2021

EXHIBIT #2
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STATE OF FLORIDA

-VS-

ZACHARY RYAN-LEE ORANJE
Defendant

IN THE FIFTH JUDICIAL CIRCUIT COURT, IN AND FOR MARION COUNTY

CASE NUMBER **23CF000669AX**

DC NUMBER **F72006 / 05-6**

Local Jurisdiction Identification Number: _____

MCCC - CRIMINAL
JUL 24 '23 AM 11:10

ORDER OF PROBATION

This cause coming before the Court to be heard, and you, the defendant, being now present before the court, and you having

☒ **entered a plea of guilty to**
☐ entered a plea of nolo contendere to
☐ been found guilty by jury verdict of
☐ been found guilty by the court trying the case without a jury of

Count 1 **POSSESSION OF ALPRAZOLAM**

Count 3 **DUI IMPAIRMENT OR DUBAL 1 PRIOR CONVICTION**

SECTION 1: JUDGMENT OF GUILT

☒ **The court hereby adjudges you to be guilty of the above offense(s).**

Now, therefore, it is ordered and adjudged that the imposition of sentence is hereby withheld and that you be placed on Probation for a period of 12 MONTHS AS TO COUNT 3 (CONCURRENT AS TO EACH COUNT) under the supervision of the Department of Corrections, subject to Florida law.

SECTION 2: ORDER WITHHOLDING ADJUDICATION

☒ **Now, therefore, it is ordered and adjudged that the adjudication of guilt is hereby withheld and that you be placed on Probation for a period of 24 MONTHS AS TO COUNT 1 (CONCURRENT AS TO EACH COUNT) under the supervision of the Department of Corrections, subject to Florida law.**

SECTION 3: INCARCERATION DURING PORTION OF SUPERVISION SENTENCE

It is hereby ordered and adjudged that you be:

☐ committed to the Department of Corrections
for a term of _____ prison with credit for _____ jail time, followed by Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.
or

☐ confined in the County Jail
for a term of _____ with credit for _____ jail time. After you have served _____ of the term, you shall be placed on Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.
or

☐ confined in the County Jail
for a term of _____ with credit for _____ jail time, as a special condition of supervision.

IT IS FURTHER ORDERED that you shall comply with the following standard conditions of supervision as provided by Florida law:

(1) You will report to the probation officer as directed.

(2) You will pay the State of Florida the amount of **$50.00** per month, as well as 4% surcharge, toward the cost of your supervision in accordance with s. 948.09, F.S., unless otherwise exempted in compliance with Florida Statutes.

(3) You will remain in a specified place. You will not change your residence or employment or leave the county of your residence without first procuring the consent of your officer.

(4) You will not possess, carry or own any firearm. You will not possess, carry, or own any weapon without first procuring the consent of your officer.

(5) You will live without violating any law. A conviction in a court of law is not necessary for such a violation of law to constitute a violation of your probation, community control, or any other form of court ordered supervision.

(6) You will not associate with any person engaged in any criminal activity.

(7) You will not use intoxicants to excess or possess any drugs or narcotics unless prescribed by a physician, an advanced practice registered nurse, or a physician assistant. Nor will you visit places where intoxicants, drugs or other dangerous substances are unlawfully sold, dispensed or used.

(8) You will work diligently at a lawful occupation, advise your employer of your probation status, and support any dependents to the best of your ability, as directed by your officer.

(9) You will promptly and truthfully answer all inquiries directed to you by the court or the officer, and allow your officer to visit in your home, at your employment site or elsewhere, and you will comply with all instructions your officer may give you.

(10) You will pay restitution, court costs, and/or fees in accordance with special conditions imposed or in accordance with the attached orders.

(11) You will submit to random testing as directed by your officer or the professional staff of the treatment center where you are receiving treatment to determine the presence or use of alcohol or controlled substances.

(12) You will submit a DNA sample, as directed by your officer, for DNA analysis as prescribed in ss. 943.325 and 948.014, F.S.

(13) You will submit to the taking of a digitized photograph by the department. This photograph may be displayed on the department's website while you are on supervision, unless exempt from disclosure due to requirements of s. 119.07, F.S.

(14) You will report in person within 72 hours of your release from incarceration to the probation office in **MARION** County, Florida, unless otherwise instructed by the court or department. (This condition applies only if section 3 on the previous page is checked.) Otherwise, you must report immediately to the probation office located at 5640 SW 6TH PLACE, SUITE 100, OCALA, FL 34474 (352)732-1324.

SPECIAL CONDITIONS

☒ **1. You must undergo a Drug and Alcohol evaluation and, if treatment is deemed necessary, you must successfully complete the treatment, and be responsible for the payment of any costs incurred while receiving said evaluation and treatment, unless waived by the court.**
Additional instructions ordered: EVALUATION WITHIN THE FIRST 60 DAYS.

☒ **2. You will make restitution to the following victim(s), as directed by the court, until the obligation is paid in full:**
NAME: BELLEVIEW POLICE DEPT
TOTAL AMOUNT: $280.80
Additional instructions ordered, including specific monthly amount, begin date, due date, or joint & several: FOR OPERATIONAL COSTS.

SPECIAL CONDITIONS – CONTINUED

☒ **6. You will submit to urinalysis testing on a AT LEAST 1 PER MONTH basis to determine the presence of alcohol or illegal drugs. You will be required to pay for the tests unless exempt by the court.**

☒ **8. You will successfully complete 200 hours of community service at a rate of NO LESS THAN 16 HOURS PER MONTH, at a work site approved by your officer.**
Additional instructions ordered: TO BE PERFORMED AT MARION COUNTY SOLID WASTE LITTER CONTROL

☒ **23. Pay $1 per month during the term of probation or community control to supplement rehabilitative efforts through First Step Funds, pursuant to s. 948.039(2), F.S.**

☒ **27. Other: DEFENDANT IS TO REPORT TO PROBATION AND TO THE COURT COMPLIANCE AND PAYMENT PLAN DEPARTMENT.**

☒ **28. Other: VEHICLE IMPOINDED FOR A PERIOD OF 10 DAYS.**

☒ **29. Other: ADVANCED SAEC/DUI SCHOOL**

☒ **30. Other: IGNITION INTERLOCK FOR 1 YEAR AS TO COUNT 3**

Effective for offenders whose crime was committed on or after September 1, 2005, there is hereby imposed, in additional to any other provision in this section, mandatory electronic monitoring as a condition of supervision for those who:

- Are placed on supervision for a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older; or
- Are designated as a sexual predator pursuant to s. 775.21; or
- Has previously been convicted of a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older.

You are hereby placed on notice that should you violate your probation or community control, and the conditions set forth in s. 948.063(1) or (2) are satisfied, whether your probation or community control is revoked or not revoked, you shall be placed on electronic monitoring in accordance with F.S. 948.063.

Effective for offenders who are subject to supervision for a crime that was committed on or after May 26, 2010, and who has been convicted at any time of committing, or attempting, soliciting, or conspiring to commit, any of the criminal offenses listed in s. 943.0435(1)(h)1.a.(I), or a similar offense in another jurisdiction, against a victim who was under the age of 18 at the time of the offense; the following conditions are imposed in addition to all other conditions:

(a) A prohibition on visiting schools, child care facilities, parks, and playgrounds, without prior approval from the offender's supervising officer. The court may also designate additional locations to protect a victim. The prohibition ordered under this paragraph does not prohibit the offender from visiting a school, child care facility, park, or playground for the sole purpose of attending a religious service as defined in s. 775.0861 or picking up or dropping off the offender's children or grandchildren at a child care facility or school.

(b) A prohibition on distributing candy or other items to children on Halloween; wearing a Santa Claus costume, or other costume to appeal to children, on or preceding Christmas; wearing an Easter Bunny costume, or other costume to appeal to children, on or preceding Easter; entertaining at children's parties; or wearing a clown costume; without prior approval from the court.

Effective for offenders whose crime was committed on or after October 1, 2014, and who is placed on probation or community control for a violation of chapter 794, s. 800.04, s. 827.071, s. 847.0135(5), or s. 847.0145, in addition to all other conditions imposed, is prohibited from viewing, accessing, owning, or possessing any obscene, pornographic, or sexually stimulating visual or auditory material unless otherwise indicated in the treatment plan provided by a qualified practitioner in the sexual offender treatment program. Visual or auditory material includes, but is not limited to, telephone, electronic media, computer programs, and computer services.

YOU ARE HEREBY PLACED ON NOTICE that the court may at any time rescind or modify any of the conditions of your probation, or may extend the period of probation as authorized by law, or may discharge you from further supervision. If you violate any of the conditions of your probation, you may be arrested and the court may revoke your probation, adjudicate you guilty if adjudication of guilt was withheld, and impose any sentence that it might have imposed before placing you on probation or require you to serve the balance of the sentence.

IT IS FURTHER ORDERED that when you have been instructed as to the conditions of probation, you shall be released from custody if you are in custody, and if you are at liberty on bond, the sureties thereon shall stand discharged from liability. (This paragraph applies only if section 1 or section 2 is checked.)

IT IS FURTHER ORDERED that you pay:
Court Costs, Fees, and Fines, as imposed at sentencing, in the total amount of: $ 1,917.00

Payments processed through the Department of Corrections will be assessed a 4% surcharge pursuant to s. 945.31, F.S.
Pursuant to s. 948.09, F.S., you will be assessed an amount of $2.00 per month for each month of supervision for the Training Trust Fund Surcharge.

☐ Court Costs/Fines Waived
☐ Court Costs/Fines in the amount of _____ converted to _____ community service hours
☐ Court Costs/Fines in the amount of _____ reduced to civil judgment.

SPECIFIC INSTRUCTIONS FOR PAYMENT: FINES/COURT COST TO BE PAID TO THE MARION COUNTY CLERK'S OFFICE

IT IS FURTHER ORDERED that the clerk of this court file this order in the clerk's office and provide certified copies of same to the officer for use in compliance with the requirements of law.

DONE AND ORDERED, on 7/24/23

NUNC PRO TUNC 07/18/2023

ANTHONY M TATTI, Circuit Judge

I acknowledge receipt of a copy of this order and that the conditions have been explained to me and I agree to abide by them.

Date: _____

_____
Defendant

Instructed by: _____
Supervising Officer

EXHIBIT #2
PAGE 13/19

STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA

STATE OF FLORIDA,
-vs-
ZACHARY RYAN-LEE ORANJE
Defendant.

UCN: 422023CF000669CFAXXX
Case Number: 23CF000669AX

Sentence

(As To Count 1)

The defendant, being personally before this court, accompanied by the defendants' attorney of record, JAMES P TARQUIN and having been ADJ WITHHELD BY JUDGE herein, and the court having given the defendant an opportunity to be heard and to offer matters in mitigation of sentence, and to show cause why the defendant should not be sentenced as provided by law, and no cause being shown,

(Check applicable provision)

☐ and the court having on 07/18/2023 deferred imposition of sentence until this date

☐ and the court having previously entered a judgment in this case on _____ now resentences the defendant

☐ and the court having placed the defendant on probation/community control and having subsequently revoked the defendant's probation/community control

IT IS SENTENCE OF THE COURT that:

☐ The defendant pay a fine pursuant to section 775.083, Florida Statutes, plus a 5% surcharge pursuant to section 938.04 Florida Statutes.

☐ The defendant is sentenced as a youthful offender in accordance with section 958.04, Florida Statutes.

TO BE IMPRISONED:

In the event the defendant is ordered to serve additional split sentences, all incarcerations portions shall be satisfied before the defendant begins service to the supervision terms.

COMMUNITY CONTROL/PROBATION:

Community Control
For a term of 0.000 year(s) 0.000 month(s) 0.000 day(s)
Probation
For a term of 24.000 month(s)

STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA

STATE OF FLORIDA,
-vs-
ZACHARY RYAN-LEE ORANJE
Defendant.

UCN: 422023CF000669CFAXXX
Case Number: 23CF000669AX

Sentence

(As To Count 2)

The defendant, being personally before this court, accompanied by the defendants' attorney of record, JAMES P TARQUIN and having been ADJUDICATED GUILTY CONVICTED herein, and the court having given the defendant an opportunity to be heard and to offer matters in mitigation of sentence, and to show cause why the defendant should not be sentenced as provided by law, and no cause being shown,

(Check applicable provision)

☐ and the court having on 07/18/2023 deferred imposition of sentence until this date 07/18/2023

☐ and the court having previously entered a judgment in this case on _____ now resentences the defendant

☐ and the court having placed the defendant on probation/community control and having subsequently revoked the defendant's probation/community control

IT IS SENTENCE OF THE COURT that:

The Defendant is hereby committed to the custody of the Sheriff of MARION County Florida.

☐ The defendant pay a fine pursuant to section 775.083, Florida Statutes, plus a 5% surcharge pursuant to section 938.04 Florida Statutes.

☐ The defendant is sentenced as a youthful offender in accordance with section 958.04, Florida Statutes.

TO BE IMPRISONED:

For a term of Minimum 0.000 year(s) 0.000 month(s) 0.000 day(s) Maximum 2.000 day(s)

In the event the defendant is ordered to serve additional split sentences, all incarcerations portions shall be satisfied before the defendant begins service to the supervision terms.

COMMUNITY CONTROL/PROBATION:

Community Control
For a term of 0.000 year(s) 0.000 month(s) 0.000 day(s)
Probation
For a term of 0.000 year(s) 0.000 month(s) 0.000 day(s)

STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA

STATE OF FLORIDA,
-vs-
ZACHARY RYAN-LEE ORANJE
Defendant.

UCN: 422023CF000669CFAXXX
Case Number: 23CF000669AX

Sentence

(As To Count 3)

The defendant, being personally before this court, accompanied by the defendants' attorney of record, JAMES P TARQUIN and having been ADJUDICATED GUILTY CONVICTED herein, and the court having given the defendant an opportunity to be heard and to offer matters in mitigation of sentence, and to show cause why the defendant should not be sentenced as provided by law, and no cause being shown,

(Check applicable provision)

☐ and the court having on 07/18/2023 deferred imposition of sentence until this date

☐ and the court having previously entered a judgment in this case on _____ now resentences the defendant

☐ and the court having placed the defendant on probation/community control and having subsequently revoked the defendant's probation/community control

IT IS SENTENCE OF THE COURT that:

☐ The defendant pay a fine pursuant to section 775.083, Florida Statutes, plus a 5% surcharge pursuant to section 938.04 Florida Statutes.

☐ The defendant is sentenced as a youthful offender in accordance with section 958.04, Florida Statutes.

TO BE IMPRISONED:

In the event the defendant is ordered to serve additional split sentences, all incarcerations portions shall be satisfied before the defendant begins service to the supervision terms.

COMMUNITY CONTROL/PROBATION:

Community Control
For a term of 0.000 year(s) 0.000 month(s) 0.000 day(s)
Probation
For a term of 12.000 month(s)

IN THE CIRCUIT COURT, FIFTH JUDICIAL CIRCUIT, IN AND FOR
MARION COUNTY, FLORIDA

CASE NO.: 2023-CF-0669-A

STATE OF FLORIDA,

vs.

ZACHARY RYAN LEE ORANJE,

Defendant.
_____/

ORDER ON MOTION TO TERMINATE PROBATION

THIS CAUSE was brought before the Court upon a request from the Defendant to terminate Probation in the above case. With the Court having reviewed pertinent portions of the file and being otherwise sufficiently advised it is

ORDERED AND ADJUDGED that

_____ A. Said Motion be and the same is DENIED.

_X_ B. Said Motion be and the same is GRANTED. All supervision in the above styled cause is hereby terminated and probation is successfully completed.

DONE AND ORDERED this 31 day of March, 2025 at Ocala, Florida.

TIMOTHY T. MCCOURT
CIRCUIT COURT JUDGE

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a true copy hereof has been furnished by email/eservice to James P. Tarquin, jamestarquinlawoffice@gmail.com and Office of the State Attorney, eservicemarion@sao5.org on this ____ day of _____, 2025.

FILED IN OPEN COURT
Office of Clerk of Court and Comptroller
Marion County, Florida
on March 31 **20** 25
BY _____

_____
Judicial Assistant/Judge/Clerk

EXHIBIT #2
PAGE 17/19

Others

Case Number	Payee Name	Original Obligation	Current Balance
2300669	ORANJE, ZACHARY R.	162.24	0.00
2300669	FIRST STEP INC C/O BANK OF AMERIC	24.00	0.00
	SURCHARGE	0.96	0.00

Community Service Hours

Court Ordered	Hours Remaining
200.00	.00

Treatment Status
(Summary of offender's current and prior participation in treatment, educational, and vocational programs):

Treatment Date	Program Description	Termination Date	Status	Termination Reason
09/15/2023	OUTPATIENT SUBSTANCE ABUSE	01/03/2024	SUCCESSFUL	

Status of Other Special Conditions

Case Number	Special Condition	Begin Date	Due Date	End Date	Status
2300669	RESTITUTION	07/18/2023	07/17/2025	12/07/2023	COMPLETED
2300669	DRUG/ALCOHOL TREATMENT	07/18/2023	07/17/2025	01/03/2024	COMPLETED
2300669	PAY FOR DRUG TESTING	07/18/2023	07/17/2025	04/08/2024	COMPLETED
2300669	DUI/DRIVING SCHOOL	07/18/2023	07/17/2025	09/28/2023	COMPLETED
2300669	NO DRIVING - DL REVOKED/SUSP	07/18/2023	01/17/2024	03/31/2025	COMPLETED
2300669	PUBLIC SERVICE HOURS	07/19/2023	07/17/2025	05/06/2024	COMPLETED
2300669	IGNITION INTERLOCK DEVICE	07/18/2023	07/17/2024	07/17/2024	COMPLETED
2300669	ALT UA SCHEDULED	07/18/2023	07/17/2025	03/31/2025	COMPLETED
2300669	REPORT AS INSTRUCTED UPON REL	07/18/2023	07/17/2025	07/19/2023	COMPLETED
2300669	OTHER	07/18/2023	07/17/2025	03/31/2025	COMPLETED

The foregoing is true and correct to the best of my knowledge and belief.

Heather Snow Officer 4/7/25 Date

Thomas J. Darus AAA

Thomas Darus Supervisor Date

CC: Offender
CC: Clerk of Court, if applicable
CC: Offender File
CC: Florida Commission on Offender Review, if applicable

STATE OF FLORIDA
FLORIDA DEPARTMENT OF CORRECTIONS
TERMINATION OF SUPERVISION SUMMARY

CASE#: 422023CF000669AXXXXX

April 07, 2025

Zachary R Oranje DC#F72006
1336 Ne 154th Ave
Williston, FL 32696-5114

Dear Zachary R Oranje,

Please see the below details in reference to any outstanding terms for the case number(s) listed below at the time of termination, including, but not limited to, uncompleted conditions, unpaid restitution, court costs, fees, and fines. Information contained in this document is for informational purposes only and should not be construed as modifying, satisfying, or negating any legal sentence or obligation.

Sentencing Judge: Judge Tatti
County of Sentence: Marion
Case No: 2300669

Community Supervision

Sentence Date	Offense	Offense Date	County	Case Number	Supervision Length
07/18/2023	POSS.CONTROL.SUBS/OTHER	02/19/2023	MARION	2300669	000Y 24M 00D
07/18/2023	DUI-MISD.	02/19/2023	MARION	2300669	000Y 12M 00D

Termination

Date of Termination	Type of Termination	Disposition Reason (If appropriate)
03/31/2025	EARLY TERMINATION	EARLY TERM/OFFEN ATY

Restitution

Case Number	Payee Name	Original Obligation	Current Balance
** NO RESTITUTION ORDERED **			

Court Costs/Fines

Case Number	Payee Name	Original Obligation	Current Balance
** See Clerks Office ** The Clerk of Circuit Court establishes and maintains a system of accounts receivable for court related fees, charges, and costs.			

State Accounts

Case Number	Payee Name	Original Obligation	Current Balance
	DEPARTMENT OF CORRECTIONS DRUG TE	30.00	0.00
	DC OFFICER TRAINING/EQUIPMENT SUR	48.00	0.00
	STATE OF FLORIDA COST OF SUPERVIS	1200.00	0.00
	SURCHARGE	51.12	0.00

Comprehensive Licensee Report

ARCI Licensee: Zachary R Oranje

Identification Information

DOB:	[redacted]
Country:	USA
Sex:	Unknown

Licensee's Names

Current Legal Name:	Zachary R Oranje

Licensee's Addresses

None

Licensee's Phone Numbers

None

Fingerprint History

None

License History

License Number:	[redacted]	License Type:	Other/OTB/Casino
Commission:	Florida Division of Pari-Mutuel Wagering	State/Province:	Florida
Issue Date:	12/5/2018	Expire Date:	6/30/2021
Division:	Horse	Breed:	Unknown

License Number:	11907185	License Type:	Other/OTB/Casino
Commission:	Florida Division of Pari-Mutuel Wagering	State/Province:	Florida
Issue Date:	12/5/2018	Expire Date:	6/30/2024
Division:	Horse	Breed:	Unknown

Ruling History

None

Comprehensive Ruling Report

Rulings Against: Zachary R Oranje

Legal Name: Zachary R Oranje
Birth Date:

0 Total Ruling(s) Listed

"Multiple medication violation points and point totals are for advisory and informational purposes only to indicate the existence of regulatory medication violation determinations made by racing regulatory entities in order to notify officials of possible aggravating factors that should be reviewed by officials prior to taking regulatory action. Confirmation of violations should be made directly with the racing regulatory entity responsible."

No Rulings

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 12/06/2023
File Number: 2023-00195
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,
Petitioner,

v.

FGCC Case No.: 2023-044814

ZACHARY R. ORANJE,

Respondent.
_____/

FINAL ORDER

This matter appeared before the Florida Gaming Control Commission at a duly-noticed public meeting on December 6, 2023, for final agency action pursuant to sections 120.569 and 120.57(2), Florida Statutes. After a complete review of the record in this matter, the Commission makes the following findings of fact and conclusions of law:

1. An Administrative Complaint was filed in this case on October 13, 2023, alleging that Respondent violated sections 550.105(5)(b), 550.105(10)(d), and 849.086(6)(f), Florida Statutes and rule 75-11.011, Florida Administrative Code. A copy of the Administrative Complaint is attached hereto as Exhibit "1" and incorporated by reference.

2. The Administrative Complaint, Election of Rights form, an Explanation of Rights, and a cover letter were served on Respondent via certified mail on October 20, 2023. Proof of service is attached hereto as Exhibit "2" and incorporated by reference.

3. The Election of Rights form, Explanation of Rights, and cover letter informed Respondent that a written response to the Administrative Complaint, including the right to request a hearing, was due within 21 days of actual service of the Administrative Complaint. *See* § 120.569(1), Fla. Stat., and Fla. Admin. Code. R. 28-106.111.

4. Petitioner informed Respondent that the failure to file a timely response to the Administrative Complaint would be deemed a waiver of the right to a hearing.

5. Respondent has not filed a timely response to the Administrative Complaint. Respondent has not submitted any evidence or made any allegations that would support the application of the doctrine of equitable tolling.

Having considered the Administrative Complaint, the service of the Administrative Complaint with Election of Rights form, Explanation of Rights, and cover letter on Respondent along with Respondent's failure to respond, and being otherwise fully advised in the premises, it is hereby

ORDERED and ADJUDGED:

1. The allegations of fact and the conclusions of law in the Administrative Complaint are adopted in their entirety herein.

2. Respondent's Cardroom Employee Occupational License, number 11907185 is hereby **REVOKED**.

This Final Order shall take effect upon being filed with the Clerk of the Commission.

DONE AND ORDERED this 6th day of December, 2023.

FLORIDA GAMING CONTROL COMMISSION

CLERK OF THE COMMISSION
On Behalf of
Julie Brown, Commissioner
John D'Aquila, Commissioner
Charles Drago, Commissioner
Tina Repp, Commissioner

NOTICE OF RIGHT TO APPEAL

Any party to this proceeding has the right to seek its judicial review under section 120.68, Florida Statutes, by the filing of an original notice of appeal pursuant to rules 9.110 and 9.190, Florida Rules of Appellate Procedure, with the Clerk of the Commission, 4070 Esplanade Way, Tallahassee, Florida 32399 (Email: clerk@flgaming.gov), and by filing a copy of the notice of appeal accompanied by the applicable filing fees with the appropriate Florida district court of appeal. The notice of appeal must be filed (received) by the Clerk of the Commission within thirty (30) days after the date this Order is filed with the Clerk of the Commission.

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this 6th day of December, 2023, a true and correct copy of this Final Order has been sent via U.S. Mail to:

Zachary R. Oranje
3843 Pepper Tree Lane, Apt. 7206
Wildwood, Florida 34785

CLERK OF THE COMMISSION
Florida Gaming Control Commission

CC: Ebonie Lanier

Exhibit 4
Page 7/14

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 10/13/2023
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-044814

ZACHARY R. ORANJE,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Zachary R. Oranje ("Respondent"), and alleges:

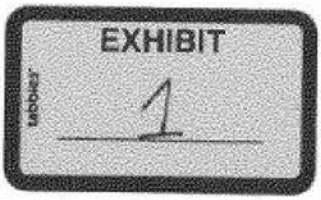
1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.

2. At all times material hereto, Respondent held a Cardroom Employee Occupational License, number 11907185, issued pursuant to section 849.086(6) Florida Statutes.

3. On or about July 18, 2023, Respondent entered a plea of guilty and was convicted of (1) felony Possession of Alprazolam, (2) misdemeanor Possession of Cannabis Less Than 20 Grams, and (3) misdemeanor DUI or DUBAL with 1 Prior Conviction, in the Circuit Court of the Fifth Judicial Circuit in and for Marion County, Florida, in case number 2023-CF-000669.

COUNT I

4. Petitioner realleges and adopts paragraphs numbered one through three as if set forth fully herein.



5. Pursuant to section 550.105(5)(b), Florida Statutes:

> The commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the commission governing the conduct of persons connected with racetracks and frontons. In addition, the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

6. Section 849.086(6)(f), Florida Statutes, provides that "[t]he commission shall adopt rules regarding cardroom occupational licenses. The provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

7. Section 550.105(5)(d), Florida Statutes, provides the term "convicted" means "having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere."

8. Based on the foregoing, Respondent violated sections 550.105(5)(b) and 849.086(6)(f), Florida Statutes, by entering a plea of guilty and being convicted of Possession of Alprazolam, a felony, in Marion County, Florida on July 18, 2023 and is therefore subject to suspension or revocation of his occupational license.

COUNT II

9. Petitioner realleges and adopts paragraphs numbered one through three as if set forth fully herein.

10. Respondent failed to notify Petitioner within 48 hours of his July 18, 2023 conviction for Possession of Alprazolam in Marion County, Florida.

11. Pursuant to section 550.105(10)(d), Florida Statutes, each licensee shall inform the Commission, within 48 hours, "if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication."

12. Based on the foregoing, Respondent violated section 550.105(10)(d), Florida Statutes, by failing to inform the Division of his July 18, 2023 conviction for a disqualifying offense within 48 hours.

COUNT III

13. Petitioner realleges and adopts paragraphs numbered one through three as if set forth fully herein.

14. On or about February 19, 2023, Respondent was arrested in Marion County, Florida, and was charged with Possession of Alprazolam, Possession of Marijuana Less Than 20 Grams, and DUI-Second Conviction.

15. Respondent failed to provide written notification to Petitioner within five days of his February 19, 2023 arrest.

16. Rule 75-11.011, Florida Administrative Code, states:

> Any cardroom occupational licensee shall provide written notification to the division when the licensee has been arrested, indicted, or charged in any other way with a criminal offense within five days of the arrest, or if not arrested, within five days of the receipt of a charging document. Licensees are not required to report non-criminal traffic offenses.

17. Based on the foregoing, Respondent violated rule 75-11.011, Florida Administrative Code, by failing to provide written notification to Petitioner within five days of his February 19, 2023 arrest.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order revoking or suspending Respondent's Occupational License, along with any other remedy provided by sections 550.105(5) and 849.086(6)(f), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-044814 is signed this 11th day of October 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 536-8709
Primary: Emily.Alvarado@flagaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

tabbies

EXHIBIT

2

U.S. Postal Service
Certified Mail Receipt

OUTBOUND TRACKING NUMBER
9414 7118 9956 2034 7321 80

RETURN RECEIPT TRACKING NUMBER
9490 9118 9956 2034 7321 37

FEES

Postage per piece	$1.590
Certified Fee	$4.350
Return Receipt Fee	$3.550
Total Postage & Fees:	**$9.490**

10/17/23

ARTICLE ADDRESS TO:

AC - 2023044814 - PMW
Zachary R Oranje
3843 Pepper Tree Ln Apt 7206
Wildwood FL 34785-7630

Postmark
Here

USPS Tracking®

FAQs >

Remove X

Tracking Number:

9414711899562034732188

Copy Add to Informed Delivery (https://informeddelivery.usps.com/)

Latest Update

Your item was delivered to an individual at the address at 4:06 pm on October 20, 2023 in WILDWOOD, FL 34785.

Get More Out of USPS Tracking:

USPS Tracking Plus®

Feedback

Delivered

Delivered, Left with Individual

WILDWOOD, FL 34785
October 20, 2023, 4:06 pm

See All Tracking History

What Do USPS Tracking Statuses Mean? **(https://faq.usps.com/s/article/Where-is-my-package)**

Text & Email Updates ⌄

USPS Tracking Plus® ⌄

Product Information ⌄

See Less ^

Exhibit 4
Page 14/14

Track Another Package

Enter tracking or barcode numbers

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

July 15, 2025

Mr. Zachary R Oranje
3843 Pepper Tree Lane
Apt 7206
Wildwood, Florida 34785

RE: Application No. 80863, Entity 11907185
1012 - Cardroom Employee Occupational

Dear Mr. Oranje:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- License application fee not received:
  Individual: 3 year - $100.00 due.

- Pursuant to 550.105(10)(a), F.S., please provide government issued identification verifying your full legal name, date of birth, address, and social security number.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.487-1395.

If you fail to provide the Florida Gaming Control Commission with a complete application by 10/22/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.794-8130.

Thank you,

BF/RK
Operations Analyst II

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

August 4, 2025

Mr. Zachary R Oranje
3843 Pepper Tree Lane
Apt 7206
Wildwood, Florida 34785

RE: Application No. 80863, Entity 11907185
1012 - Cardroom Employee Occupational

Dear Mr. Oranje:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Pursuant to 550.105(10)(a), F.S., please provide government issued identification verifying your full legal name, date of birth, address, and social security number.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.487-1395.

If you fail to provide the Florida Gaming Control Commission with a complete application by 10/22/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.794-8130.

Thank you,

BF/RK
Operations Analyst II

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

September 4, 2025

Mr. Zachary R Oranje
1336 Northeast 154th Ave
Williston, Florida 32696

RE: Application No. 80863, Entity 11907185
1012 - Cardroom Employee Occupational

Dear Mr. Oranje:

We have received the additional information you submitted.

> Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application. If we do not receive the waiver and release before 10/01/2025 your application will be processed "as-is".

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.487-1395.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.794-8130.

Thank you,

RK
Operations Analyst II

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Justin Hundersmarck, Senior Attorney
Re: Derek Michael Chrans; Case No. 2025-072282
Date: December 17, 2025

Executive Summary

Derek Michael Chrans (the "Applicant") seeks a waiver of his disqualifying offense and issuance of a cardroom employee occupational license (the "Application"). The Applicant submitted a completed application for a cardroom employee occupational license. Upon review of the Application, it appears the Applicant was convicted of one disqualifying felony offense out of Illinois. A Commission investigator conducted a waiver interview of the Applicant on August 25, 2025, and documented the waiver interview in a report submitted to the Commission for consideration.

Relevant Facts

On August 4, 2025, the Applicant submitted an application for a cardroom employee occupational license to the Division. On this application, the Applicant answered "no" to the question asking if he has been convicted of or had an adjudication withheld for any criminal charges, or has pled guilty or nolo contendere to any criminal charges. On August 8, September 9, September 16, and September 29, 2025, the Applicant was sent deficiency letters requesting an updated application and court disposition records for various arrests out of Sangamon County, Illinois, Hampton County, South Carolina, and Beaufort County, South Carolina. Upon review of the Application deemed complete on September 29, 2025, it appears that the Applicant had been convicted of the following disqualifying felony offense:

- Aggravated Unlawful Use of a Weapon on a Person out of Sangamon County, Illinois, from June 29, 2012.

The Applicant applied for a waiver from the statutory restrictions excluding applicants with disqualifying offenses on September 29, 2025. On August 25, 2025, a Commission investigator conducted a waiver interview with the Applicant. The waiver interview is documented in a report included in the case materials.

This felony conviction is a disqualifying offense pursuant to sections 550.105 and 849.086(6)(f), Florida Statutes.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides, in pertinent part that:

> . . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 550.105(5)(c), Florida Statutes, provides in pertinent part that:

> "[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the commission."

Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

EXHIBIT
A

CHRANS, DEREK MICHAEL

Case # 2025-072282

License Type: Cardroom Employee Occupational (1012)
Occupation: Poker Dealer

Waiver Requested: Yes[✓] No[ ]

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

CHRANS, DEREK MICHAEL - 14494555 (Applicant's Name– License #)

2025-072282 (Case No:)

INITIAL APPLICATION RECEIVED:	7/30/2025
Application Deemed Complete:	9/29/2025
If no Request for Waiver received, 90-Day Deadline:	**12/28/2025**

Waiver Requested: ☐No ☒Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)
- Waiver Requested 9/29/2025

RK	320 – Tampa Bay	1012 - Dealer
Application Processor	Facility (d/b/a name)	Occupation Code / Job Title

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☐Yes ☒No
Licensing History: No Type: Initial license issued Maintained Continuous licensure? ☐Yes ☐No **N/A**

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No
Were all items identified in the deficiency letter addressed? ☒Yes ☐No If no, explain:

☒ Felony(s): 1 Count(s) ☐ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking

☐ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)

☐ Animal Cruelty (Pari-Mutuel Professons)

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp
08/11/2022	**Hampton County, SC**	**Charge 1**: Pointing and Presenting Firearms at a Person	F	2022A2520200083	Dismissed	Y	Y
		Charge 2: Weapon Unlawful Carry of Pistol	M	2022A2520200084	Dismissed	Y	Y
01/03/2019	**Sangamon County, Illinois**	**Charge 1**: Domestic Battery	M	2019CM000011	Guilty	Y	Y
		Charge 2: Child to be Endangered	M	2019CM000011	Dismissed	Y	Y
10/07/2021	**Beaufort, SC**	**Charge 1**: Domestic Violence	M	Supervision transferred from Sangamon County **(related to case number 2019CM000011)**	Probation Completed	N/A	N/A
11/20/2011	**Sangamon County, Illinois**	**Charge 1**: Aggravated Assault	F	2011CF001085	Guilty	Y	Y
		Charge 2: Defacing ID Marks Firearm	Unknown	2011CF001085	Unknown	Y	N
		Charge 3: Possession of Stolen Firearm	F	2011CF001085	Dismissed	Y	Y
		Charge 4: FOID ID Cards	Unknown	2011CF001085	Unknown	Y	N
		Charge 5: Resist Peace Officer	Unknown	2011CF001085	Unknown	Y	N
09/25/2007	**Sangamon County, Illinois**	**Charge 1**: Possession Controlled Substance	Unknown	Unknown	Unknown	Y	N
07/30/2007	**Sangamon County, Illinois**	**Charge 1**: Battery	M	2007CM001445	Guilty	Y	Y
		Charge 2: Unlawful Use of Weapon	Unknown		Unknown	N	N
06/07/2005	**Sangamon County, Illinois**	**Charge 1**: Driving Susp/Revokd Lic	M	2005TR022844	Guilty	Y	Y

05/27/2005	**Sangamon County, Illinois**	**Charge 1:** Possess Drug Paraphernalia	Unknown	20050005645	Unknown	Y	N
03/19/2005	**Sangamon County, Illinois**	**Charge 1**: Domestic Battery **Charge 2:** Theft	M	2005CM000594	Guilty No Info	Y	Y
05/27/2010	**Collier County, Florida**	**Charge 1:** Battery - 2nd or Subsequent Offense	F	112010CF001298AXXXXX	Dropped	Y	Y
05/12/2010	**Collier County, Florida**	**Charge 1:** Violate Non-Resident Exemption from Registration	CT	112010CT001852AXXXXX	Adjudicated Guilty	Y	Y

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☒ No ☐ ☐ Approval

Disposition Attached for Disqualifier(s)? Yes ☒ No ☐

Deficiency Letter Issued **08/08/2025, 09/09/2025, 09/16/2025 and 09/29/2025**; Application Deemed Complete: **09/29/2025**

Applicant Eligible for Waiver? Yes ☒ No ☐ If Yes: ☒ Waiver Requested ☐ Failed to Request Waiver

Forward to ☒ **Investigations** ☐ **Legal** ☐ **Commission** Initials: **CH** Date **10/03/2025**

Comments:

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 2025072282 **Incident date:** 07/30/2025 **Status:** 106 - Sent to Directors office

Lic Type: 1012 **Disposition:**

Case Type: Complain:

Responsible: elanier - LANIER, EBONIE

Complainant: DIVISION OF PARI-MUTUEL WAGERING

1400 W COMMERCIAL BLVD, STE 165, FORT LAUDERDALE, FL 33309

Respondent: CHRANS, DEREK MICHAEL

9716 DISCOVERY TERRACE, BRADENTON, FL 34212

Summary: 320 - TAMPA BAY DOWNS, INC

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
10/14/2025	A	10	50	Interview Respondent	lmoore	CHRANS, DEREK MICHAEL
10/09/2025	A	79	435	Telephone	lmoore	CHRANS, DEREK MICHAEL
10/08/2025	A	10	15	Assigned to Investigator	lmoore	CHRANS, DEREK MICHAEL
10/03/2025	A	79	440	Incoming Correspondence	cstubbs1	CHRANS, DEREK MICHAEL
11/19/2025	R		bjones	JONES, BRADFORD	bjones	
11/19/2025	R		charris	HARRIS, CYNTORIA	cstubbs1	
11/20/2025	R		elanier	LANIER, EBONIE	charris	

State of Florida

Department of Business and Professional Regulation

Chronology Report

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	10/08/2025	R		lmoore	ROLNDS, LEEANN	cstubbs1	
	10/08/2025	S	1012	20	Under Investigation	cstubbs1	
	11/19/2025	S	1012	165	Supervisor Review	bjones	
	10/03/2025	S	1012	10	Initial Review	cstubbs1	
	11/19/2025	S	1012	90	Closed	cstubbs1	
	11/20/2025	S	1012	106	Sent to Directors office	charris	
	11/19/2025	S	1012	104	Sent to Licensing Section	cstubbs1	

Allegation:	Code	Description
	WAVR	Waiver of Crim. Conv. or Other Offenses

Violation:	Code	Description	Respondent
	WAVR	61D-5.006	CHRANS, DEREK MICHAEL

CH

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **charris**

License

Fed Tax # [redacted] Lic Type **1012 - Cardroom Employee Occupational** Expires On **10/28/2025**

File # **16020** Name **CHRANS, DEREK MICHAEL** Extended To

License # **14494555** Rank **CEMP - Cardroom Employee Occupational** Renewed On

Entity # **14494555** Lic Status **Closed Upgrade**

Licensee | History | Notes | Notes History | Back

Address

Street # **9716** Street **DISCOVERY TERRACE**

Line 2

Line 3

City **BRADENTON** State **FL** Zip **34212**

Routing

Other

1st License Date **07/30/2025** Rank Date **07/30/2025** Certificate #

Method **I-S-1018** Status Date **08/08/2025** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DEAL - Dealer	07/30/2025	
I	TBRD - Thoroughbred	07/30/2025	
L	320 - Tampa Bay Downs	07/30/2025	
Y	3YR - 3 Year License	07/30/2025	

Alt Keys

BEST LIC NBR **14494555**

*New Applicant

*No Previous License

*Temp Issued

*No ARCI Findings

*No CCIS findings

*No additional enforcement cases.

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LICENSES

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number: [redacted]
Date of Birth:
First Name:
Middle Name:
Last Name: CHRANS
Sex:
Commission:
License Type:

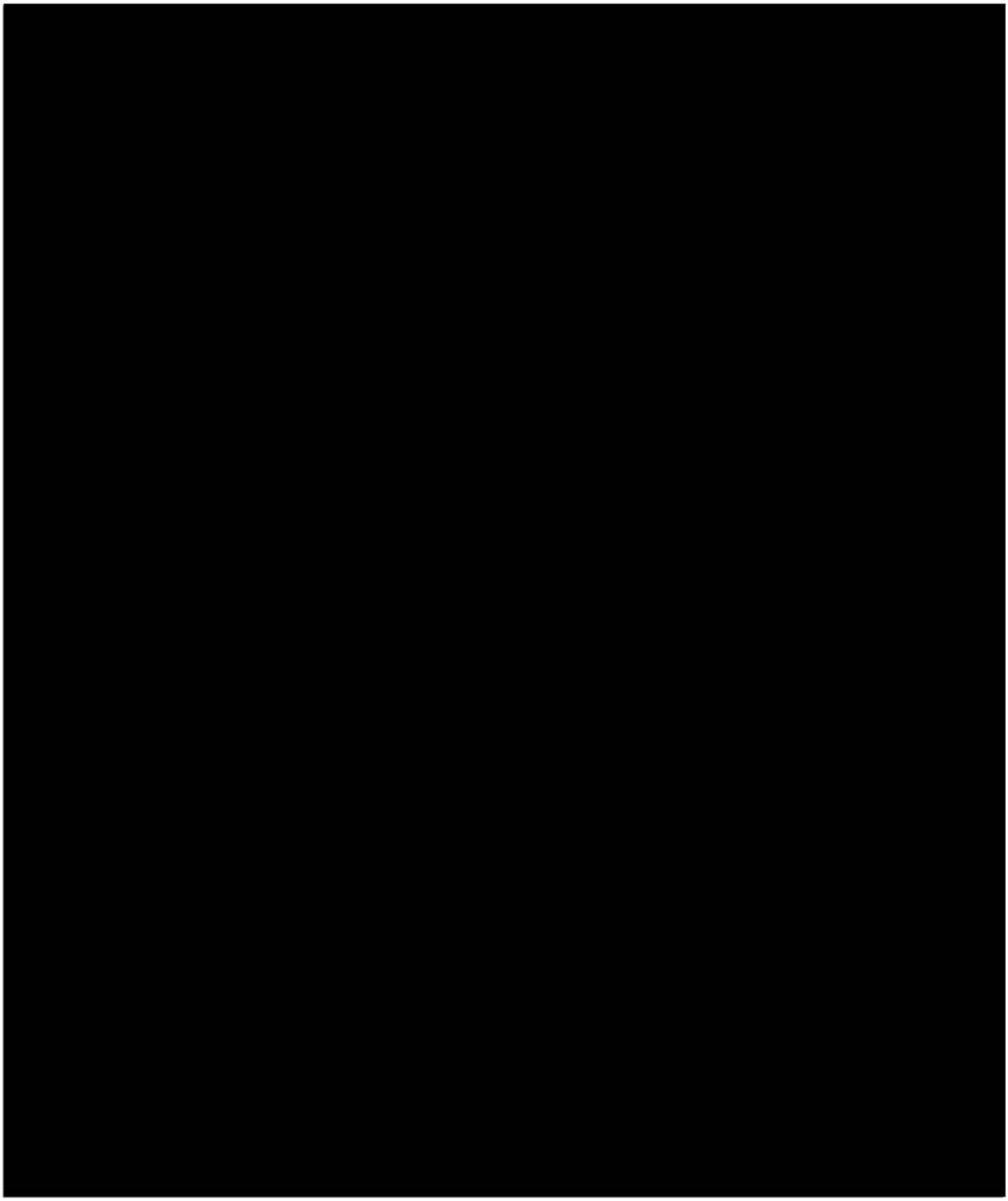
Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

CH

[redacted]

11/20/2025

CH



11/20/2025

CH

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

Lic Type	**1012 - Cardroom Employee Occupational**			Status	**90 Closed**	Status Date	**11/19/2025**
Complaint #	**2025072282**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**CHRANS, DEREK MICHAEL**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**
Form	**WALK - Walk-in**	Priority	**1**
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**07/30/2025**
Region	**CR - Central Region**	Received	**10/03/2025**
Reference			
Entered	**10/03/2025**	Entered By	**cstubbs1**
Summary	**320 - TAMPA BAY DOWNS, INC**		
Updated	**11/19/2025 15:47:20**	By	**cstubbs1**

Parties | Activities | Allegations | Discipline | Violations | Compliance | Related | Disposition | Inspection | Costs | Time Tracking | Auto Assign | Attachments | History | Work Notes | Print Report

Change | Save | OK | Cancel | Back

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ROUTING SLIP
REQUEST FOR WAIVER

RE: CHRANS, DEREK MICHAEL – LIC. # 14494555 Case No: 2025 07 2282
(APPLICANT'S NAME – LICENSE #)

1012 – Poker Dealer
Occupation Code and Job Title

320 – Tampa Bay Downs
Facility (d/b/a name)

Waiver Referral Date: OCTOBER 3, 2025
(DATE)

Investigations Section: Reviewed by **Bradford D. Jones** 11/19/2025
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: CH 11/20/2025 _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, have unpaid fines, been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [✓] No If yes, in what jurisdiction? _____

Investigative Findings:

November 20, 2011 Sangamon County Sheriff's Office, Illinois – Aggravated Unlawful Use of a Weapon on a Person – Felony – Pled Guilty – Convicted on June 29, 2012. - Sentenced to 18 months' Probation and ordered to pay $3,162.00 in Fines/Court costs. - On July 10, 2013, an Order of Discharge of Probation was granted. All Fines have been paid.

*Please attach Routing Slip to front of case file

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Alana Zimmer, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS
WAIVER INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**CENTRAL**	**OCTOBER 3, 2025**	**2025 07 2282**

Respondent:	Complainant:
CHRANS, DEREK MICHAEL **9716 DISCOVERY TERRACE** **BRADENTON, FLORIDA 34212** **Phone: (217) 720-9611**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 WEST COMMERCIAL BOULEVARD, SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License / Type:	Profession:	Report Date:
14494555 / 1012	**Poker Dealer**	**October 28, 2025**

Period of Investigation:	Type of Report:
October 3, 2025 – October 28, 2025	**Final**

Basis of Investigation: This investigation is predicated upon the submission of Derek **CHRANS'** State of Florida Pari-Mutuel Wagering Occupational License Application dated July 30, 2025, and Waiver Request Form dated September 29, 2025.

On July 30, 2025, **CHRANS** applied for a PMW Cardroom Employee Occupational License (1012) as a Poker Dealer at Tampa Bay Downs. On his application, he answered "NO" to the question on page 2: "Have you ever been convicted of, or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" **CHRANS** failed to disclose any of his criminal offenses.

Upon receipt of **CHRANS'** FDLE Criminal History Report, PMW Licensing sent him a Deficiency Letter on August 8, 2025, requesting that he list on his application and provide related arrest report(s) and court disposition records for the following arrests:

- Sangamon County, Illinois Arrests – 3/19/2005 (Dom Battery), 5/27/2005 (Drug Paraphernalia), 6/7/2005 (Driving Lic Susp), 7/30/2007 (Battery), 9/25/2007 (Poss of Cont. Substance), 11/20/2011 (Aggravated Unlawful use of Weapon), 1/03/2019 (Dom Battery)
- Hampton County, South Carolina Arrest(s) – 8/11/2022 (Pointing a Firearm at Person)
- Beaufort County, South Carolina Arrest(s) – 10/07/2021(Supervision Transfer from Sangamon County)

On September 9, 2025, **CHRANS** submitted an amended application adding the above to his application and provided all available requested documents and disposition records.

Related Case:

Investigations Specialist II / Date	Approved by Investigations Manager / Date
/s/ *Lee Ann Rounds* Lee Ann Rounds / October 28, 2025	/s/ C. Derek Washington C. Derek Washington / November 5, 2025

Chief of Investigations / Date

/s/
Bradford D. Jones / November 19, 2025

CONTINUATION

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 11/20/2011	**Arresting Agency:** Sangamon County Sheriff's Office, Illinois				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Aggravated Unlawful Use of a Weapon on a Person	Felony	Guilty	Convicted	06/29/2012
2					
3					
4					

SENTENCE
Sentenced to 18 months' Probation and pay $3,162.00 in Fines/Court costs which have been paid in full.

Additional Information: On July 10, 2013, an Order of Discharge of Probation was granted. All Fines paid.

CHRANS listed the above offense as a misdemeanor on his amended application.

Arrest 2					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

CRIMINAL HISTORY

Arrest 3					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

Arrest 4					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?	X	
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type: 1012 ***TEMPORARY LICENSE****			
Date Licensed: **07/30/2025**	Expiration Date: **10/28/2025**	License #: **14494555**	Agency or Jurisdiction: **FGCC**

	YES	NO
Has License ever been suspended or revoked?		X
Was any derogatory information received?	X	

Additional Comments: **CHRANS** was able to obtain a Temporary License due to not listing his criminal history on his application.

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

3. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

4. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

CONTINUATION

WAIVER INTERVIEW

	YES	NO
Was a Waiver Interview Conducted?	X	
Date of Interview: August 25, 2025	Location of Interview: Telephone	
	YES	NO
Was the applicant cooperative?	X	
Additional Comments:		

SUMMARY OF INTERVIEW:

During the interview, **CHRANS** said he went to the Licensing Office at Tampa Bay Downs to get an application. He stated he did not report his criminal history because he was told he would not be able to get a license that same day if he had any criminal record. He also said he thought his record was sealed and expunged, and he did not fully understand the question on the application.

When discussing his 2011 conviction for Aggravated Unlawful Use of a Weapon on a Person, **CHRANS** explained he was living in a low-income area of Illinois where there had been multiple break-ins to the offices below his apartment, to his apartment, and to his car. He said that one night, he came home to find his apartment broken into again. After calling the police, he saw someone he knew outside and believed that person was responsible for the burglary. He confronted the individual, and a fight occurred during which **CHRANS** hit the man with a gun. When the police arrived, they arrested him.

CHRANS has many other arrests/convictions for offenses that either are non-disqualifying or were not prosecuted. **CHRANS** provided a general explanation of those arrests in a written statement. **CHRANS** also provided a copy of an Enrollment/Participation Summary from the Program Director of a Relational Violence Intervention Program he attended and a letter of support from the Sarasota Kennel Club **(EXHIBIT #4)**.

CONCLUSION:

A review of the Association of Racing Commissioners International (ARCI) database revealed no rulings against **CHRANS.**

Case Status: Case closed by Investigations and forwarded to Licensing.

CONTINUATION

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3. Rap Sheet 1-13
4. Supporting Docs: ARCI / Def. Letter / Other. 1-9

RECEIVED
2025 SEP 29 PM 1:31

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

TO: Derek Michael Chrans
Full Legal Name of Applicant

[redacted]
Date of Birth Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Executive Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

☐ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

☑ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Florida Gaming Control Commission will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

Derek Chrans
Signature of Applicant

9-29-25
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM FGCC PMW-3195 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

2025 AUG -4 PM 3:49

FLORIDA GAMING CONTROL COMMISSION

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
FGCC PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled **"For Division Use Only."**

DEMOGRAPHIC INFORMATION

Social Security Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]	[redacted]	☒ Male ☐ Female

Last Name	First	Middle	Suffix
Chrans	Derek	M. Chad	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☒ No

If yes, list the name or names used: _____

Race/Ethnicity (optional)
☐ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☒ White or Caucasian ☐ Hispanic/Latino ☐ Other

Current Mailing Address	Email Address (optional)
9716 Discovery terrace	Derek.M.Chrans@gmail.com

City	State	Zip Code (+4 optional)	Country, if other than USA
Bradenton	FL	34212	

Primary Phone Number	Secondary/Cell Phone Number (optional)
217-720-9611	

Current Street Address: 9716

City	State	Zip Code (+4 optional)	Country, if other than USA
Bradenton	FL	34212	

Type of Occupational License applying for:
☐ General Individual ☐ Professional Individual
☒ Cardroom Employee
Occupation: Poker Dealer

Facility where employed and/or doing business: TBD.

Does your position require access to the Cardroom? ☒ Yes ☐ No

Is this your first time applying for a racing/gaming license in Florida? ☒ Yes ☐ No

Are you a Supervisor, Manager, or Shareholder of a pari-mutuel permitholder? ☐ Yes ☒ No

Do you own or lease animals intended for racing in Florida? ☒ No ☐ Yes, complete the following:

Stable Name, Kennel Name, or Business Name _____

Trainer Name (horse or greyhound racing only) _____

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY

Type of professional license (attach a copy of Florida professional license)	Florida License Number
TCN # [redacted]	

FOR DIVISION USE ONLY

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License Code 1012 License # 144 94555 File # 16020 App # 81038

Association Code 720 Date Received 7/30/25 Entered By AJ License Year (2026)

License Fee 100 FP Date 7/30/25 FP Fee 36.00 Total Fee $136.00

BY: .....

☐ Off Temp ☐ Waiver Requested ☐ ARCI ☐ Enforcement ☐ Minor

Control #01095088

2025 AUG -4 PM 3:49
FLORIDA GAMING CONTROL COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below with your application to ensure faster processing.

ALL License Applicants must submit:

☑ Completed Application – Print clearly and complete all sections that are not optional in black or blue ink.

☑ Additional Pages – If necessary to respond to any application questions. DL) SS copies

☐ Supporting Legal Documentation – If needed to respond to background information questions in application.

SUBMIT ONE THREE (3) YEAR LICENSING FEE: * does not include fingerprint fee

☐	Pari-Mutuel General Occupational License - $15.00*	ORI FL925184Z
☐	Pari-Mutuel Professional Occupational License - $80.00*	ORI FL925184Z
☑	Cardroom Employee Occupational License - $100.00*	ORI FL925186Z

SUBMIT ONE FINGERPRINT OPTION:

☑ Electronic Fingerprints: Electronic fingerprints must be submitted to the Division through a law enforcement agency or an FDLE approved Livescan Service Provider. Submit electronic fingerprints with above ORI number.

IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved Livescan Service Provider.

☐ Fingerprint Card: Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Division at the address or phone number below. Completed fingerprint cards must be mailed to the division with your application.

IMPORTANT: Fingerprint card processing fees must be paid to the Division. Visit our website or contact us for the current fee amount.

☐ Fingerprint Resubmission for Renewal Applicants: Applicants timely renewing need only provide the Division a fingerprint resubmission processing fee.

IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

Please mail your completed application, documentation and required fee(s) to:

Florida Gaming Control Commission
Pari-Mutuel Wagering, Licensing Section
4070 Esplanade Way, Suite 250, Tallahassee, Florida 32399
Phone: 850.794.8130

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JUL 30 2025
BY: ...

Exhibit 1
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BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NEEDED)

☐ Yes ☑ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☐ Yes ☑ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE

☐ Yes ☑ No	Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.
☐ Yes ☑ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for obtaining a change, correction, or updating of the FDLE or FBI criminal history are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 550.105, Florida Statutes.

Signature of Applicant

Date 7/30/25

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BY:

Exhibit 1
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CONTROL

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NEEDED)

☐ Yes ☒ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☒ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
3/19/2005	Sangamon	IL	Domestic Battery	misdemeanor	10 day jail time, fine + probation, domestic violence class
7/30/2007	Sangamon	IL	Battery	misdemeanor	fine
11/20/2011	Sangamon	IL	Aggravated Unlawful Use of Weapon	misdemeanor	18 months probation
01/03/2014	Sangamon	IL	Domestic battery	misdemeanor	no contact = victim probation - 1 year

☐ Yes ☒ No	Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.
☐ Yes ☒ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for obtaining a change, correction, or updating of the FDLE or FBI criminal history are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

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I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 550.105, Florida Statutes.

Signature of Applicant

9-5-25
Date

Page 3 continued:

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Date of Disposition	County	State	Offense	Misdemeanor or felony	Sentence
06/07/2005	Sangamon	IL.	Driving on suspended license	Misdemeanor	fine
08/11/2022	Hampton	SC	pointing a firearm at person	N/A	Dismissed

There are 2 dates that the circuit clerk in Sangamon canty in ILLinois can not find any documents. See email attached in paper work dated Aug 25, 2025 from circuit clerk@sangamonil.gov.

These dates are 5/27/2005 and 9/25/2007

There is also no records found per Beaufort county in South caroling on 10/7/2021, per the circuit clerk in Beaufort county.

I have attached all court dispositions for the other dates as requested.

Sincerely,

Hank Thomas

Domain **100 - Division of Pari-Mutuel Wagering** Logged in as: **lmoore**

VR Home > License Search > **License Home**

Licensee | History | Notes | Notes History | Back

License

Fed Tax # [redacted] ic Type **1012 - Cardroom Employee Occupational** Expires On **10/28/2025**

File # **16020** Name **CHRANS, DEREK MICHAEL** Extended To

License # **14494555** Rank **CEMP - Cardroom Employee Occupational** Renewed On

Entity # **14494555** Lic Status **Closed Upgrade**

Address

Street # **9716** Street **DISCOVERY TERRACE**

Line 2

Line 3

City **BRADENTON** State **FL** Zip **34212**

Routing

Other

1st License Date **07/30/2025** Rank Date **07/30/2025** Certificate #

Method **I-S-1018** Status Date **08/08/2025** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DEAL - Dealer	07/30/2025	
I	TBRD - Thoroughbred	07/30/2025	
L	320 - Tampa Bay Downs	07/30/2025	
Y	3YR - 3 Year License	07/30/2025	

Alt Keys

BEST LIC NBR **14494555**

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Exhibit 1
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CONTROL COMMISSION

eMAGNUS MultiCourt

MultiCourt Inquiry

Sangamon County Disposition Inquiry

PREVIOUS DISPOSITION | NEXT DISPOSITION

Dispo Info

Charge No 02 00 OF 02 Count 002 Case# **2011CF001085** # **2001** CHRANS DEREK
Agency 001 DCN L61938777 Bond $.00
CITY OF SPRINGFIELD Offense Date 11/20/2011 File Date 6/29/2012
Type Guilty Plea Macro Next Scheduled Date 6/29/2012
Date 6/29/2012 Instrument INF Offense Code 0012477 Lv CSA Cla Sta
Code 101 Plea 2 Guilty ILCS 720 5/24-1.6(a)(2) 3 4 1
Guilty Conviction **AGG UUW/ON PERSON -Information**

ASA 301 S WEIR MATTHEW Judge 075 C GRAVES LESLIE

		Sentence	S	Yrs	Mos	Days	Hrs
303 1	1	Assessments/Costs/Pe					
204 1	2	Probation			18		

Fine Amt	Manual
$.00	Q

3 CONSOLIDAT08/20/201

Cost	$532.00
Fine	$.00
Rest	$.00
Other	$200.00
Total	$732.00
Refund	$2,250.00

ADR Sent? Y 7/20/2012

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	CASE PARTICIPANTS NAMES	ATTORNEYS
Def	CHRANS DEREK	MAYO SARA M
Att	MAYO SARA M / M	MAYO SARA M
AGY	CITY OF SPRINGFIELD	

DATE	
12/27/2011	Complaint 01 Count 001 POSSESSION STOLEN FIREARM Nov 20,2011 Defendant CHRANS DEREK Statute 720 5/16-16(a) Class 2 Orig. Agency: CITY OF SPRINGFIELD Charge Instr: Complaint
12/27/2011	Notice Of Hearing Notice To Appear Defendant CHRANS DEREK Assistant State's Attorney GRADY JENNIFER First Appearance Jan 25,2012 01:30PM Rm5C
01/03/2012	No Complaint Transfer From 11NC2842.
01/23/2012	Bond Payment Bond Transfer From 2011NC002824 CHRANS DEREK M Bail $2500.00 Defendant CHRANS DEREK
01/25/2012	Entry of Appearance Filed by Atty MAYO SARA M
01/25/2012	Motion for Discovery Filed by Atty MAYO SARA M
01/25/2012	First Appearance - Defendant With Attorney Amt $10000.00 Present the People by ASA SHERI CAREY. Present the Defendant in person and with Attorney Mayo. Cause called for first appearance. Defendant furnished with a copy of the Complaint, advised of the nature of the charge and possible penalties. Bond set in the amount of $10,000.00 recognizance. Preliminary hearing set for 3/08/2012 at 09:00 AM in 7C. Preliminary Hearing Mar 08,2012 09:00AM Rm7C Judge UNASSIGNED JUDGE Judge:BRAUD RUDOLPH Rep:FLYNN NANCY Clerk:DKM H
03/08/2012	Judge Assignment For Criminal
03/08/2012	Information Filed by Assistant State's Attorney WEIR MATTHEW COUNT I POSSESSION OF A STOLEN FIREARM
03/08/2012	Motion for Disclosure to Prosecution Filed by Assistant State's Attorney WEIR MATTHEW
03/08/2012	Pre-Trial Discovery Filed by Assistant State's Attorney WEIR MATTHEW
03/08/2012	Certificate of Compliance Filed by Assistant State's Attorney WEIR MATTHEW
03/08/2012	Pre-Trial Discovery Order Mar 08,2012 Judge ZAPPA Signed Judge ZAPPA LEO J
03/08/2012	Prelim Hearing Waived - Bond to Continue Judge GRAVES LESLIE DEFENDANT DEREK CHRANS: Present the State by ASA MATTHEW WEIR. Present the Defendant, in person, and by Attorney SARA M. MAYO. Cause called for preliminary hearing. Defendant waives preliminary hearing. Information filed and Defendant

DATE
---

before Judge LESLIE GRAVES on 06/29/2012 at 11:00 AM.
Plea Hearing Jun 29,2012 11:00AM Judge GRAVES
Judge:GRAVES LESLIE Rep:SAMAT BETH Clerk:DLB H

06/29/2012 Negotiated Plea - Sentencing Judge GRAVES LESLIE
DEFENDANT DEREK CHRANS : Present the State by ASA MATTHEW WEIR. Present the Defendant, in person, and by Attorney SARA M. MAYO. The State's motion to add Count II of the Information is granted. Cause called for hearing on Defendant's motion to withdraw plea of not guilty to Count II, Aggravated Unlawful Use of a Weapon, a class 4 felony. Defendant advised of rights and possible penalties and knowingly waives same. Plea of guilty accepted. Judgment entered on plea. Pursuant to negotiations, the Defendant is hereby sentenced to 18 months probation. Count I dismissed pursuant to plea. Civil judgment for outstanding fines, fees, and costs. Weapon to be destroyed. Bond to be applied per bond assignment order. Defendant advised of appeal rights and remanded to the custody of the Sheriff. CAUSE STRICKEN.
Judge:GRAVES LESLIE Rep:SAMAT BETH Clerk:DLB H

06/29/2012 Information Filed by Assistant State's Attorney WEIR MATTHEW
COUNT II - AGGRAVATED UNLAWFUL USE OF A WEAPON

06/29/2012 Order of Conditions Signed Judge GRAVES LESLIE
Order of Conditions of Probation

06/29/2012 Criminal Division Case Closed
Status:Dispositioned Report:Terminated Jun 29,2012

06/29/2012 Cause Stricken
Status:Cause Stricken Report:Terminated Jun 29,2012

06/29/2012 Complaint 02 Count 002 AGG UUW/ON PERSON Nov 20,2011
Defendant CHRANS DEREK
Statute 720 5/24-1.6(a)(2) Class 4 Orig.
Agency: CITY OF SPRINGFIELD Charge Instr: Information

06/29/2012 No Available Bond Information Defendant CHRANS DEREK
Assistant State's Attorney GRADY JENNIFER

06/29/2012 Disposition 01/00 Count 001 No Fine Or Cost Signed
Judge GRAVES LESLIE Assistant State's Attorney WEIR MATTHEW
Disposition: Dismiss/State Motion POSSESSION STOLEN FIREARM
Disposition Type: Court Action Defendant Plea: No Plea Entered
Statute 720 5/16-16(a) Class 2 Orig.
Sentence: 06/29/2012
Sentence: No Sentence No Sentence
No Fine Or Cost .00
Status:Dispositioned Report:Terminated Jun 29,2012

06/29/2012 Disposition 02/00 Count 002 Cost Only Fee $2982.00 Signed

EXHIBIT 2
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DATE

08/20/2012 Disposition 02/01 Count 002 No Fine Or Cost Signed
Judge GRAVES LESLIE Assistant State's Attorney WEIR MATTHEW
Disposition: Modified/Trial Court AGG UUW/ON PERSON
Disposition Type: Court Action Defendant Plea: No Plea Entered
Statute 720 5/24-1.6(a)(2) Class 4 Orig.
Sentence: 08/20/2012
Sentence: No Sentence No Sentence
No Fine Or Cost .00
Status:Dispositioned Report:Terminated Aug 20,2012
08/20/2012 Disposition 02/02 Count 002 Manual Calc. Fee $180.00 Signed
Judge GRAVES LESLIE Assistant State's Attorney WEIR MATTHEW
Disposition: Guilty Conviction AGG UUW/ON PERSON
Disposition Type: Court Action Defendant Plea: Guilty
Statute 720 5/24-1.6(a)(2) Class 4 Orig.
Sentence: 08/20/2012
Sentence: Fines and/or Costs/Penalties and Fees Sentence in Force
PROBATION FEE - ADU 180.00
Status:Dispositioned Report:Terminated Aug 20,2012
09/11/2012 Disposition 02/03 Count 002 Modified Order Fee $3162.00
Disposition: Modified/Trial Court AGG UUW/ON PERSON
Sentence: No Sentence No Sentence
Modified Order 532.00 PROBATION FEE - ADU 180.00
STATE DNA IDENTIFIC 200.00
Status:Dispositioned Report:Terminated Sep 11,2012
09/21/2012 Disposition(s) reported to AOIC via ADR. SPT Defendant CHRANS DEREK
09/21/2012 Disposition(s) reported to AOIC via ADR. SPT Defendant CHRANS DEREK
09/21/2012 Disposition(s) reported to AOIC via ADR. SPT Defendant CHRANS DEREK
12/13/2012 Court Ordered Payments Fee $662.00 Amt $58.00
Defendant CHRANS DEREK
01/16/2013 Court Ordered Payments Fee $604.00 Amt $40.00
Defendant CHRANS DEREK
05/30/2013 Court Ordered Payments Fee $564.00 Amt $564.00
Defendant CHRANS DEREK
07/15/2013 Report and Request for Termination of Probation Filed by
Adult Probation ADULT PROBATION GENERIC LOGON
07/15/2013 Order of Discharge from Probation Signed Judge GRAVES LESLIE
07/15/2013 Disposition 02/04 Count 002 No Fine Or Cost Signed
Judge GRAVES LESLIE Assistant State's Attorney GRADY JENNIFER
Disposition: Probation Terminated AGG UUW/ON PERSON
Disposition Type: Court Action Defendant Plea: No Plea Entered
Statute 720 5/24-1.6(a)(2) Class 4 Orig.
Sentence: 07/15/2013

(109)

In The Circuit Court
For The Seventh Judicial Circuit of Illinois
Sangamon County, Springfield, Illinois

PEOPLE OF THE STATE OF ILLINOIS (Petitioner) vs. Derek Chraus (Defendant)

Case No: 11CF1085
Expiration Date: December 29, 2013
Sentence Date: June 29, 2012
Sentence Term: 18 Months

Offense: Agg. UUW
Class of Offense: F/4

ORDER OF CONDITIONS OF PROBATION

1. Immediately report to the Sangamon County Adult Probation Department upon sentencing or release from the County Jail and the last month of sentence.
2. Not violate any criminal or traffic statutes of any jurisdiction.
3. Report in person before such person or agency as directed by the Court or your Probation Officer.
4. Not possess a firearm or other dangerous weapons.
5. Not leave the State of Illinois without the prior consent of either the Court or your Probation Officer. Transfer of a person's probation or conditional discharge supervision to another state will be assessed a transfer fee of $125.00 and subject to acceptance by the other state pursuant to the Interstate Compact for Adult Offender Supervision.
6. Report in person to your Probation Officer as frequently as directed, and permit your Probation Officer to visit you at home or elsewhere, and be cooperative and truthful with your Probation Officer in all matters.
7. Not use or be in possession of any controlled substance or cannabis unless under medical prescription.
8. Undergo urinalysis and/or breath testing in a manner set forth by the Probation Department.
9. Notify the Probation Department within 48 hours of any change of home address.
10. Pay the standard $50.00 per month Probation Services Fee or a lesser amount to be determined by the Ability to Pay Guidelines of the Adult Probation Department.
11. Pay court costs as determined by the Clerk of the Circuit Court.
12. If convicted of a felony offense, you shall submit a specimen in whichever form as mandated by the Illinois State Police for DNA Coding to the Illinois State Police under the direction of the Adult Probation Department within 45 days of sentencing and pay a $200.00 analysis fee within 1 year and a **$20.00** processing fee at the time of collection.
13. Promptly undertake assessments and evaluations as determined appropriate by the Court or the Adult Probation Department and thereafter successfully complete recommended treatment, therapy, cognitive behavioral sessions, counseling, substance abuse treatment, psychological/psychiatric treatment and/or educational/vocational training as ordered by the Adult Probation Department.
14. Not to report to the Adult Probation Department or other meetings with Probation Personnel, County Jail or place of Public Service Employment after consuming any alcoholic beverage or after ingesting any intoxicating compound or drug other than those taken as directed by a physician.
15. The Sangamon County Adult Probation Department is authorized to divulge necessary contents from its records to comply with this Court Order.
16. Pay a fine of $_____.
17. Pay restitution to the Clerk of the Circuit Court in the amount of $_____ in monthly installments of $_____ for the use of _____. Full payment to be made by _____.
18. Perform _____ hours of Public Service Employment to be completed by _____.
19. Serve a term of home confinement as outlined by the Court and the Monitoring Program Agreement for a period of _____ days. This includes payment of all home confinement fees, which include a daily rate of $7.00 per day for GPS Passive Unit or a daily rate of $10.00 for GPS Active Unit (circle specific unit).
20. Serve _____ days in the County Jail commencing _____.
21. Additional conditions: Weapon to be destroyed.

FILED
JUN 2012
Clerk of the Circuit Court

I understand that if I fail to comply with any of the above conditions, my sentence may be modified, extended, or revoked and a new sentence imposed.

Derek Chraus
Probationer

6/29/12
Date

Judge

If applicable:

☐ I hereby acknowledge that I have received a copy of the attached additional Probation Conditions and will abide by these rules and provisions as ordered by the Court.

White-Court File Gold-Client Yellow-Probation Department Pink-State's Attorney

EXHIBIT #2
PAGE 5/21

Form 121

(119)

FILED

JUL 15 2013 FR 4

Clerk of the Circuit Court

IN THE SEVENTH JUDICIAL CIRCUIT OF THE STATE OF ILLINOIS

SANGAMON COUNTY

THE PEOPLE OF THE STATE OF ILLINOIS, Plaintiff,

vs.

DEREK M. CHRANS Defendant,

Case Number 11CF01085

Offense(s) Aggravated Unlawful Use of Weapon /Vehicle

REPORT AND REQUEST FOR EARLY TERMINATION

The probation officer of this court respectfully reports that the defendant herein was placed on Probation 06-29-2012 until 12-29-2013. That the defendant has abided by and performed all of the conditions of supervision in an exemplary manner; that the defendant be considered eligible for early discharge.

Therefore, the probation officer listed below recommends and requests that said defendant be discharged from Probation.

Dated 07-03-2013 Jacie Fowler

Probation Officer

ORDER OF DISCHARGE FROM PROBATION

The court, having considered the Report and Request for Early Termination of Probation:

IT IS ORDERED:

That the defendant be and is hereby discharged from Probation.

259

Entered on 7/10/13

Leslie Graves
JUDGE

EXHIBIT 2
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Case# 2011-CF-001085 Deadly Weapons Offenses
Def: CHRANS DEREK

Owed..... $3,162.00
Paid..... $3,162.00
Balance Due... $.00

Agency: 1 CITY OF SPRINGFIELD
Class: 4 Fine: 9 Manual Calc.

Fine Amount... $.00

No.	Description	Owed	Paid	Balance Due
1	AUTOMATION	$15.00	$15.00	$.00
2	CIRCUIT CLERK	$100.00	$100.00	$.00
3	COURT SECURITY	$25.00	$25.00	$.00
4	STATES'S ATTORNEY	$30.00	$30.00	$.00
5	DOCUMENT STORAGE	$15.00	$15.00	$.00
6	COURT SYSTEMS	$50.00	$50.00	$.00
7	BOND 10% CHARGE	$250.00	$250.00	$.00
8	PROBATION FEE - ADULT	$180.00	$180.00	$.00
9	VICTIMS FUND-NO FINE	$20.00	$20.00	$.00
10	STATE DNA IDENTIFICATION SYS F	$200.00	$200.00	$.00
11	CHILD ADVOCACY	$5.00	$5.00	$.00
12	ISP OP ASSISTANCE FUND	$15.00	$15.00	$.00
13	REFUND AMOUNT	$2,250.00	$2,250.00	$.00
14	DRUG COURT FEE	$5.00	$5.00	$.00
15	STATE'S ATTY AUTOMATION FUND	$2.00	$2.00	$.00

**** END OF REPORT ****

EXHIBIT AGE

EXHIBIT 2
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Case: 2005CM000594 vs CHRANS DEREK DATE: 2025-11-04
Type: Criminal Misdemeanor Judge: RAY GEORGE H Jury Trial: TIME: 16.36.17
From: 00/00/0000 To: 99/99/9999 All Case Entries First Date First View
Filed: 3/21/2005 Status: Dispositioned on 4/12/2005

	CASE PARTICIPANTS NAMES	ATTORNEYS
Def	CHRANS DEREK	HARMON MICHAEL
AGY	VILLAGE OF ROCHESTER	

DATE	
03/21/2005	Complaint 01 Count 001 DOMESTIC BATTERY Mar 19,2005 Defendant CHRANS DEREK Statute 720 5/12-3.2 Class A Orig. Agency: VILLAGE OF ROCHESTER Charge Instr: Complaint
03/21/2005	In Custody Mar 21,2005 Defendant CHRANS DEREK Assistant State's Attorney MACK MARY
03/21/2005	Trial Signed Judge RAY GEORGE H Domestic Violence Mar 29,2005 09:00AM Rm7A Judge RAY
03/29/2005	Disposition 01/00 Count 001 Manual Calc. Fee $430.00 Signed Judge RAY GEORGE H Defendant CHRANS DEREK Atty HARMON MICHAEL Assistant State's Attorney MACK MARY Disposition: Guilty Conviction DOMESTIC BATTERY Disposition Type: Guilty Plea Defendant Plea: Guilty Statute 720 5/12-3.2 Class A Orig. Sentence: 03/29/2005 Sentence: Jail 10Days Sentence in Force Sentence: Credit Time Served Sentence in Force Sentence: Probation 1Yrs Sentence in Force Sentence: Fines and/or Costs/Penalties and Fees Sentence in Force Manual Calc. 160.00 PROBATION FEE - ADU 60.00 DOMESTIC VIOLENCE F 200.00 DOMESTIC BATTERY FI 10.00 Status:Dispositioned Report:Terminated Mar 29,2005
03/29/2005	Order of Conditions Signed Judge RAY GEORGE H ATTEND AND COMPLETE A DOMESTIC VIOLENCE COURSE.
03/29/2005	Disposition 01/01 Count 001 No Fine Or Cost Signed Judge RAY GEORGE H Defendant CHRANS DEREK Atty HARMON MICHAEL Assistant State's Attorney MACK MARY Disposition: Vacated Trial Court BATTERY/DOMESTIC Disposition Type: Court Action Defendant Plea: No Plea Entered Statute 720 5/12-3.2 Class A Orig. Sentence: 03/29/2005 Sentence: Jail Waived Sentence: Credit Time Served Waived Sentence: Probation Waived Sentence: Fines and/or Costs/Penalties and Fees Waived No Fine Or Cost .00 Status:Dispositioned Report:Terminated Mar 29,2005
03/29/2005	Trial Signed Judge RAY GEORGE H

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DATE

Statute 720 5/12-3.2 Class A Orig.
Sentence: 04/12/2005
Sentence: Jail 24Days Sentence in Force
Sentence: Credit Time Served Sentence in Force
Sentence: Probation 1Yrs Sentence in Force
Sentence: Fines and/or Costs/Penalties and Fees Sentence in Force
Manual Calc. 160.00 PROBATION FEE - ADU 300.00
DOMESTIC VIOLENCE F 200.00 DOMESTIC BATTERY FI 10.00
Status:Dispositioned Report:Terminated Apr 12,2005
04/12/2005 Order of Conditions Signed Judge RAY GEORGE H
ATTEND AND COMPLETE A DOMESTIC VIOLENCE COURSE.
04/12/2005 Criminal Division Case Closed
Status:Dispositioned Report:Terminated Apr 12,2005
07/25/2005 Court Ordered Payments Fee $670.00 Amt $25.00
Defendant CHRANS DEREK
07/25/2005 Court Ordered Payments Fee $645.00 Amt $25.00
Defendant CHRANS DEREK
08/09/2005 Court Ordered Payments Fee $620.00 Amt $40.00
Defendant CHRANS DEREK
08/11/2005 ISP Sheet
09/21/2005 Court Ordered Payments Fee $580.00 Amt $80.00
Defendant CHRANS DEREK
10/06/2005 Court Ordered Payments Fee $500.00 Amt $100.00
Defendant CHRANS DEREK
10/25/2005 Court Ordered Payments Fee $400.00 Amt $50.00
Defendant CHRANS DEREK
12/06/2005 Court Ordered Payments Fee $350.00 Amt $50.00
Defendant CHRANS DEREK
01/04/2006 Court Ordered Payments Fee $300.00 Amt $50.00
Defendant CHRANS DEREK
02/06/2006 Court Ordered Payments Fee $250.00 Amt $50.00
Defendant CHRANS DEREK
03/03/2006 Court Ordered Payments Fee $100.00 Amt $100.00
Defendant CHRANS DEREK
03/13/2006 Adult Probation & Court Service Dept. Violation Report Filed by
Adult Probation VAN RIPER LESLIE
03/13/2006 Court Ordered Payments Fee $100.00 Amt $100.00
Defendant CHRANS DEREK
03/15/2006 Order to Appear for Petition to Revoke Probation Signed
Judge EGGERS ROBERT J
First Appearance On Petition to Revoke Probation Apr 04,2006 01:30PM
Rm7A Judge RAY

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DATE	
	Sentence: No Sentence Sentence in Force
	No Fine Or Cost .00
	Status:Dispositioned Report:Terminated Jun 06,2006
06/06/2006	Cause Stricken
	Status:Cause Stricken Report:Terminated Jun 06,2006
06/12/2006	Disposition(s) reported to AOIC via ADR. SPT Defendant CHRANS DEREK

	CASE PARTICIPANTS NAMES	ATTORNEYS
Def	CHRANS DEREK M	HANKEN W SCOTT
Att	HANKEN W SCOTT	HANKEN W SCOTT
Res	PIPKIN JOHN S	
AGY	CITY OF SPRINGFIELD	

DATE	
08/03/2007	Complaint 01 Count 001 BATTERY Jul 30,2007 Defendant CHRANS DEREK M Statute 720 5/12-3 Class A Orig. Agency: CITY OF SPRINGFIELD Charge Instr: Complaint
08/03/2007	Notice Of Hearing Notice To Appear Defendant CHRANS DEREK M Assistant State's Attorney KUNTZ JENNIFER First Appearance Aug 29,2007 01:30PM Rm6C
08/17/2007	Entry of Appearance Filed by Defendant CHRANS DEREK M Atty HANKEN W SCOTT
08/17/2007	Pre-Trial Motion Filed by Defendant CHRANS DEREK M Atty HANKEN W SCOTT
08/24/2007	Request to Pay Attorney's Fees from Defendant's Bail Deposit Filed by Atty HANKEN W SCOTT
08/24/2007	Bond Reassignment Signed Judge SANCHEZ ESTEBAN
08/29/2007	Jury Trial Sep 26,2007 09:00AM Rm5C Judge HALL
09/04/2007	Bond Payment Bond Transfer From 2007NC002225 CHRANS DERRICK Bail $500.00 Defendant CHRANS DEREK M
09/11/2007	Certificate of Compliance Filed by Assistant State's Attorney CADAGIN RYAN
09/11/2007	People's Response to Pre-Trial Discovery Order Filed by Assistant State's Attorney CADAGIN RYAN
09/26/2007	Jury Trial Oct 24,2007 09:00AM Rm5C Judge MEHLICK
10/24/2007	Jury Trial Nov 27,2007 09:00AM Rm5C Judge HALL
11/26/2007	Certificate of Compliance Filed by Assistant State's Attorney DAVIS BILL Additional Certificate of Compliance.
11/26/2007	Entry Filed by Assistant State's Attorney DAVIS BILL Additional Discovery.
11/26/2007	Proof of Service Filed by Assistant State's Attorney DAVIS BILL
11/27/2007	Disposition 01/00 Count 001 Fine & Cost Fee $1624.00 Signed Judge CHILDRESS JOHN Defendant CHRANS DEREK M Atty HANKEN W SCOTT Assistant State's Attorney RUPP SARAH Disposition: Guilty Conviction BATTERY Disposition Type: Guilty Plea Defendant Plea: Guilty Statute 720 5/12-3 Class A Orig. Sentence: 11/27/2007 Sentence: Fines and/or Costs/Penalties and Fees Sentence in Force

DATE

Judge CHILDRESS JOHN Defendant CHRANS DEREK M Atty HANKEN W SCOTT
Assistant State's Attorney RUPP SARAH
Disposition: Modified/Trial Court BATTERY
Disposition Type: Court Action Defendant Plea: No Plea Entered
Statute 720 5/12-3 Class A Orig.
Sentence: 01/14/2008
Sentence: Fines and/or Costs/Penalties and Fees Sentence in Force
PROBATION FEE - ADU 120.00
Status:Dispositioned Report:Terminated Jan 14,2008
02/06/2008 Disposition 01/02 Count 001 Modified Order Fee $1744.00
Disposition: Modified/Trial Court BATTERY
Modified Order 500.00 RESTITUTION AMOUNT 1124.00
PROBATION FEE - ADU 120.00
Status:Dispositioned Report:Terminated Feb 06,2008
02/14/2008 Disposition(s) reported to AOIC via ADR. SPT Defendant CHRANS DEREK M
02/14/2008 Disposition(s) reported to AOIC via ADR. SPT Defendant CHRANS DEREK M
06/06/2008 Court Ordered Payments Fee $1244.00 Amt $50.00
Defendant CHRANS DEREK M
10/28/2008 Probation Violation Report Filed by
Adult Probation ADULT PROBATION GENERIC LOGON
11/12/2008 Order Signed Judge CHILDRESS JOHN
First Appearance On Petition to Revoke Probation Jan 23,2009 09:00AM
Rm5B Judge CHILDRESS
11/12/2008 Petition to Revoke Probation Filed by
Assistant State's Attorney KIM RICHARD
11/26/2008 Petition to Revoke Probation Filed by
Adult Probation ADULT PROBATION GENERIC LOGON
01/23/2009 Court Ordered Payments Fee $1194.00 Amt $60.00
Defendant CHRANS DEREK M
01/23/2009 Petition to Revoke Probation Mar 03,2009 09:00AM Rm5C
Judge CHILDRESS
Defendant appears. To have paid at least $300.00.
03/03/2009 Court Ordered Payments Fee $1134.00 Amt $245.00
Defendant CHRANS DEREK M
03/03/2009 Petition to Revoke Probation May 08,2009 09:00AM Rm5B
Judge CHILDRESS
Defendant to have paid $300.00.
05/08/2009 Time-To-Pay Hearing May 29,2009 09:00AM Rm5B Judge PERRIN
05/29/2009 Court Ordered Payments Fee $889.00 Amt $50.00
Defendant CHRANS DEREK M
05/29/2009 Jury Trial Jul 24,2009 09:00AM Rm5B Judge PERRIN
07/24/2009 Court Ordered Payments Fee $839.00 Amt $75.00

DATE

Judge CHILDRESS JOHN Defendant CHRANS DEREK M Atty HANKEN W SCOTT
Assistant State's Attorney RUPP SARAH
Disposition: Probation Terminated BATTERY
Disposition Type: Court Action Defendant Plea: No Plea Entered
Statute 720 5/12-3 Class A Orig.
Sentence: 09/14/2009
Sentence: No Sentence No Sentence
No Fine Or Cost .00
Status:Dispositioned Report:Terminated Sep 14,2009
10/07/2009 Disposition(s) reported to AOIC via ADR. SPT Defendant CHRANS DEREK M

Hampton County
Fourteenth Judicial Circuit
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Switch View

The State of South Carolina VS Derek Michael Chrans

Case Number:	2022A2520200083	**Court Agency:**	General Sessions	**Filed Date:**	08/16/2022
Case Type:	Criminal-Clerk	**Case Sub Type:**			
Status:	Dismissed	**Assigned Judge:**	Clerk Of Court C P, G S, And Family Court	**Disposition Judge:**	Solicitor
Disposition:	Dismisssed Not Indicted				
Disposition Date:	04/22/2024	**Date Received:**	08/15/2022	**Arrest Date:**	08/12/2022
Law Enf. Case:		**True Bill Date:**		**No Bill Date:**	
Prosecutor Case:		**Indictment Number:**	2022GS2500350	**Waiver Date:**	
Probation Case:					

Case Parties

Click the icon to show associated parties.

Name	Address	Race	Sex	Year Of Birth	Party Type	Party Status	Last Updated
Ball, Mark David	PO Box 487 101 Mulberry St. Hampton SC 29924				Defendant Attorney		10/05/2022
Chrans, Derek Michael	103 Mustic Bluff Bluffton SC 29910	White	M	1987	Defendant		04/23/2024
Polite-Myers Bail Bonding	302 Francis Street Walterboro SC 29488		M		Bond Entity		08/23/2023
Williams, Alex D	29 East Martin Luther King Expressway Estill SC 29918				Officer		08/16/2022

Charges

Name	Charge Code - Charge Description	Original Charge Code - Original Charge	Disposition Date
Chrans, Derek Michael	0122-Weapons / Pointing and presenting firearms at a person	0122-Weapons / Pointing and presenting firearms at a person	04/22/2024

Actions

Name	Description	Type	Motion Roster	Begin Date	Completion Date	Documents
Chrans, Derek Michael	Indictment/Indictment	Filing		04/22/2024-11:20		
Chrans, Derek Michael	Counsel/Affirmation of Counsel	Filing		10/05/2022-15:58	04/22/2024-00:00	
Chrans, Derek Michael	Bond/Bond Paperwork	Filing		08/15/2022-12:01	04/22/2024-12:01	
Chrans, Derek Michael	Warrant/Case Filed	Filing		08/15/2022-11:59	04/22/2024-11:59	

Financials

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Summary

Fine/Costs:	$0.00	**Total Paid for fine/costs:**	$0.00	**Balance Due:**	$0.00

Costs

Description	Cost Code	Amount	Charge Action	Disbursed Amount

State Assessment	STAASM	$0.00		$0.00
Law Enforcement Funding Surcharge $25	LEFSUR	$0.00		$0.00
Victim Conviction Surcharge $100 / $25	CVSRCH	$0.00		$0.00
Victim Services Asm 38.0013% / 5.7831%	ASMVIC	$0.00		$0.00
Fine to General Fund	AFNEGF	$0.00		$0.00
Fine to State 44%	AFINES	$0.00		$0.00

Payments

Payment Date	Receipt Number	Entered By	Transaction Type Code	Payment Amount
None				

Bonds

Bond Information

Bond Id	Set Date	Amend Date	Set By	Type	Amount	Type	Amount	Condition
2023BD2500100196	08/12/2022		Beckett (Estill)	Surety Bond	$4,000.00		$0.00	

Post Information

Bond Id	Bond Type	Amount	Date Posted	Posted By
2023BD2500100196	Surety Bond	$4,000.00	08/23/2023	Polite-Myers Bail Bonding

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Fourteenth Judicial Circuit
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Switch View

The State of South Carolina VS Derek Michael Chrans

Case Number:	2022A2520200084	**Court Agency:**	General Sessions	**Filed Date:**	08/16/2022
Case Type:	Criminal-Clerk	**Case Sub Type:**			
Status:	Dismissed	**Assigned Judge:**	Clerk Of Court C P, G S, And Family Court	**Disposition Judge:**	Solicitor
Disposition:	Dismisssed Not Indicted				
Disposition Date:	04/22/2024	**Date Received:**	08/15/2022	**Arrest Date:**	08/12/2022
Law Enf. Case:		**True Bill Date:**		**No Bill Date:**	
Prosecutor Case:		**Indictment Number:**	2022GS2500316	**Waiver Date:**	
Probation Case:					

Case Parties

Click the icon to show associated parties.

Name	Address	Race	Sex	Year Of Birth	Party Type	Party Status	Last Updated
Ball, Mark David	PO Box 487 101 Mulberry St. Hampton SC 29924				Defendant Attorney		10/05/2022
Chrans, Derek Michael	103 Mustic Bluff Bluffton SC 29910	White	M	1987	Defendant		04/23/2024
Polite-Myers Bail Bonding	302 Francis Street Walterboro SC 29488		M		Bond Entity		08/23/2023
Williams, Alex D	29 East Martin Luther King Expressway Estill SC 29918				Officer		08/16/2022

Charges

Name	Charge Code - Charge Description	Original Charge Code - Original Charge	Disposition Date
Chrans, Derek Michael	0044-Weapons / Unlawful carrying of pistol	0044-Weapons / Unlawful carrying of pistol	04/22/2024

Actions

Name	Description	Type	Motion Roster	Begin Date	Completion Date	Documents
Chrans, Derek Michael	Indictment/Indictment	Filing		04/22/2024-11:21		
Chrans, Derek Michael	Counsel/Affirmation of Counsel	Filing		10/05/2022-15:58	04/22/2024-00:00	
Chrans, Derek Michael	Bond/Bond Paperwork	Filing		08/15/2022-12:02	04/22/2024-12:02	
Chrans, Derek Michael	Warrant/Case Filed	Filing		08/15/2022-12:00	04/22/2024-12:00	

Financials

EXHIBIT 2
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Summary

Fine/Costs:	$0.00	**Total Paid for fine/costs:**	$0.00	**Balance Due:**	$0.00

Costs

Description	Cost Code	Amount	Charge Action	Disbursed Amount

Fine to State 44%	AFINES	$0.00		$0.00
Fine to General Fund	AFNEGF	$0.00		$0.00
Victim Services Asm 38.0013% / 5.7831%	ASMVIC	$0.00		$0.00
Victim Conviction Surcharge $100 / $25	CVSRCH	$0.00		$0.00
Law Enforcement Funding Surcharge $25	LEFSUR	$0.00		$0.00
State Assessment	STAASM	$0.00		$0.00

Payments

Payment Date	Receipt Number	Entered By	Transaction Type Code	Payment Amount
None				

Bonds

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Bond Information

Bond Id	Set Date	Amend Date	Set By	Type	Amount	Type	Amount	Condition
2023BD2500100197	08/12/2022		Beckett (Estill)	Surety Bond	$1,000.00		$0.00	

Post Information

Bond Id	Bond Type	Amount	Date Posted	Posted By
2023BD2500100197	Surety Bond	$1,000.00	08/23/2023	Polite-Myers Bail Bonding

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Case: 2019CM000011 vs CHRANS DEREK M DATE: 2025-11-04
Type: Statute Category A Judge: PERRIN CHRIS Jury Trial: TIME: 16.42.54
From: 00/00/0000 To: 99/99/9999 All Case Entries First Date First View
Filed: 1/04/2019 Status: Dispositioned on 7/07/2021

	CASE PARTICIPANTS NAMES	ATTORNEYS
Def	CHRANS DEREK M	APPLETON AUGUST M
Att	STRATTON, GIGANTI, STONE & KOPEC	STRATTON, GIGANTI, S
AGY	CITY OF SPRINGFIELD	

DATE	
01/04/2019	Complaint 01 Count 001 DOMESTIC BTRY/PHYSICAL CONTACT Dec 31,2018 Defendant CHRANS DEREK M Statute 720 5/12-3.2(a)(2) Class A Orig. Agency: CITY OF SPRINGFIELD Charge Instr: Complaint
01/04/2019	In Custody Jan 04,2019 Defendant CHRANS DEREK M Assistant State's Attorney MCCARTHY MEGHAN
01/04/2019	Complaint 02 Count 002 ENDANGERING LIFE HEALTH CHILD Dec 31,2018 Defendant CHRANS DEREK M Statute 720 5/12C-5(a)(1) Class A Orig. Agency: CITY OF SPRINGFIELD Charge Instr: Complaint
01/04/2019	In Custody Jan 04,2019 Defendant CHRANS DEREK M Assistant State's Attorney MCCARTHY MEGHAN
01/04/2019	Docket Entry Criminal Misdemeanor Signed Judge PERRIN CHRIS Pre-Trial Hearing Feb 27,2019 09:00AM Rm5C Judge PERRIN
01/04/2019	Bond Order Signed Judge PERRIN CHRIS
01/07/2019	Bond Payment Full Cash Bond Bail $1000.00 Bond $10000.00 Defendant CHRANS DEREK M Assistant State's Attorney MCCARTHY MEGHAN Pre-Trial Hearing Feb 27,2019 09:00AM Rm5C
01/07/2019	Change of Address from Current Bond Form
02/26/2019	People's Response to Pre-Trial Discovery Order Filed by Assistant State's Attorney MCCARTHY MEGHAN
02/26/2019	Certificate of Compliance Filed Assistant State's Attorney MCCARTHY MEGHAN
02/27/2019	Docket Entry Criminal Misdemeanor Signed Judge PERRIN CHRIS Pre-Trial Hearing Apr 24,2019 09:00AM Rm5C Judge PERRIN
02/27/2019	Order to Lift Travel Condition of Bond Signed Judge PERRIN CHRIS
04/24/2019	Docket Entry Criminal Misdemeanor Signed Judge PERRIN CHRIS Pre-Trial Hearing Jun 19,2019 09:00AM Rm5C Judge PERRIN
04/24/2019	Request to Pay Attorney's Fees from Defendant's Bail Deposit Filed by Defendant CHRANS DEREK M
04/24/2019	Bond Reassignment Signed Judge PERRIN CHRIS
04/24/2019	Release of Bond Assignment Filed by Defendant CHRANS DEREK M
04/24/2019	Entry of Appearance Filed by Atty APPLETON AUGUST M
06/13/2019	People's Response to Pre-Trial Discovery Order Filed Assistant State's Attorney GRAHAM CHAUNCY
06/13/2019	Certificate of Compliance Filed Assistant State's Attorney GRAHAM CHAUNCY

DATE

9:00 am setting is cancelled. This case has been rescheduled to 7/22/20 at 9:00 am in 5C. Copy of this docket entry is to be sent to the Defendant.
Pre-Trial Hearing - Final Jul 22,2020 09:00AM Rm5C Judge PERRIN
04/10/2020 Proof of Service Apr 10,2020 Judge PERRIN Sent
Defendant CHRANS DEREK M
Document POF Was Printed
04/30/2020 Mail Returned Undelivered
07/22/2020 Docket Entry Criminal Misdemeanor Signed Judge PERRIN CHRIS
Pre-Trial Hearing Sep 16,2020 01:30PM Rm5C Judge PERRIN
09/16/2020 Docket Entry Criminal Misdemeanor Signed Judge PERRIN CHRIS
Pre-Trial Hearing Oct 14,2020 01:30PM Rm5C Judge PERRIN
10/14/2020 Docket Entry Criminal Misdemeanor Signed Judge PERRIN CHRIS
Pre-Trial Hearing Dec 16,2020 01:30PM Rm5C Judge PERRIN
12/16/2020 Pre-Trial Hearing Jan 20,2021 09:00AM Rm5C Judge PERRIN
01/20/2021 Plea Hearing Feb 17,2021 09:00AM Rm5C Judge PERRIN
02/17/2021 Pre-Trial Hearing Apr 14,2021 09:00AM Rm5C Judge PERRIN
04/14/2021 Plea Hearing Apr 27,2021 01:30PM Judge PERRIN
04/27/2021 Plea Hearing Jul 07,2021 09:00AM Rm5C Judge PERRIN
07/07/2021 Guilty Plea Admonishment
Document PLA Was Printed
07/07/2021 Order of Conditions of Probation Signed Judge PERRIN CHRIS
Defendant CHRANS DEREK M
07/07/2021 Probation Fee Assessment Signed Adult Probation BARTOLOZZI LISA
Defendant CHRANS DEREK M
07/07/2021 Disposition 02/00 Count 002 No Fine Or Cost Signed
Judge PERRIN CHRIS Assistant State's Attorney NO STATE'S ATTORNEY
Disposition: Dismiss/State Motion ENDANGERING LIFE HEALTH CHILD
Disposition Type: Court Action Defendant Plea: No Plea Entered
Statute 720 5/12C-5(a)(1) Class A
Sentence: 07/07/2021
Sentence: No Sentence No Sentence
No Fine Or Cost .00
Status:Dispositioned Report:Terminated Jul 07,2021
07/07/2021 Disposition 01/00 Count 001 Manual Calc. Fee $939.00 Signed
Judge PERRIN CHRIS Assistant State's Attorney NO STATE'S ATTORNEY
Disposition: Guilty Conviction DOMESTIC BTRY/PHYSICAL CONTACT
Disposition Type: Guilty Plea Defendant Plea: Guilty
Statute 720 5/12-3.2(a)(2) Class A
Sentence: 07/07/2021
Sentence: Costs Only/Penalties and Fees Sentence in Force
Sentence: Probation 12Mos Sentence in Force

DATE	
07/09/2021	Court Ordered Payments Fee $939.00 Bond Used Defendant CHRANS DEREK M
07/09/2021	Disposition(s) Reported to AOIC via ADR. SPT Defendant CHRANS DEREK M
07/09/2021	Disposition(s) Reported to AOIC via ADR. SPT Defendant CHRANS DEREK M
07/13/2022	Report and Request for Termination of Probation Filed by Adult Probation BARTOLOZZI LISA
07/13/2022	Order for Report and Request for Termination Signed Judge PERRIN CHRIS
07/13/2022	Disposition 01/01 Count 001 No Fine Or Cost Signed Judge PERRIN CHRIS Assistant State's Attorney NO STATE'S ATTORNEY Disposition: Probation Terminated DOMESTIC BTRY/PHYSICAL CONTACT Disposition Type: Court Action Defendant Plea: No Plea Entered Statute 720 5/12-3.2(a)(2) Class A Sentence: 07/13/2022 Sentence: No Sentence No Sentence No Fine Or Cost .00 Status:Dispositioned Report:Terminated Jul 13,2022

LICENSES

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth [redacted]
First Name: derek
Middle Name:
Last Name: chrans
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

EXHIBIT #4
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August 8, 2025

Mr. Derek Michael Chrans
9716 Discovery Terr
Bradenton, Florida 34212

RE: Application No. 81109, Entity 14494555
1012 - Cardroom Employee Occupational

Dear Mr. Chrans:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must list the information on your application for the following charges:
  - Sangamon County, Illinois Arrest(s) – 03/19/2005, 05/27/2005, 06/07/2005, 07/30/2007, 09/25/2007, 11/20/2011, 01/03/2019
  - Hampton County, South Carolina Arrest(s) – 08/11/2022
  - Beaufort County, South Carolina Arrest(s) – 10/07/2021

  You must provide the court disposition records for the following charges:
  - Sangamon County, Illinois Arrest(s) – 03/19/2005, 05/27/2005, 06/07/2005, 07/30/2007, 09/25/2007, 11/20/2011, 01/03/2019
  - Hampton County, South Carolina Arrest(s) – 08/11/2022
  - Beaufort County, South Carolina Arrest(s) – 10/07/2021

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have

an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 11/27/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 10/28/2025.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Thank you,

LJ/RK
Operations Analyst II

RECEIVED
2025 SEP 16 AM 9:56

Derek,

Attached please find the disposition orders I was able to locate.

Sangamon County, Illinois
3/19/2005 - 2005-CM-594
5/27/2005 - unable to locate, no dates matched
6/7/2005 - 2005-TR-22844 *no disposition order available; 1 yr supervision and fines
7/30/2007 - 2007-CM-1445
9/25/2007 - 2007-TR-46030 *no disposition order available; 6 mo supervision and fines
11/20/2011 - 2011-CF-1085 *no disposition order available; 18 mo probation - terminated early
1/3/2019 - 2019-CM-11

Elizabeth Rivera
Legal Assistant | VIG LAW, P.C.
Address: 1100 S. 5th St.
Springfield, IL, 62703
Phone/Fax/Text: 217-241-5628
Email: elizabeth@vig-law.com

November 11, 2025

Florida Gaming Control Commission

RE: Application No 81109, Entity 14494555
1012-Cardroom Employee Occupational

To whom it may concern:

Regarding my application with the Florida Gaming Control Commission (FGCC) I hereby submit the following:

- I've provided my complete background and criminal history. I have searched and investigated all requests of the FGCC and provided all information that I know of and all records that I can find. Three dates were requested.

    1. 5/27/2005: No records were discovered for this date. The Sangamon County Clerk provided a response which I forwarded to the FGCC.

    2. 6/7/2005: This was misdemeanor charge for Driving on a Suspended License. I thought driving violations were not required, an oversight on my part. I have provided the required documentation.

    3. 8/11/2022: This charge was dismissed. Since the application I believe was asking for convictions, I did not provide information this case. I did respond in a timely manner and provided the required documentation.

- Regarding all charges listed in my criminal history I dutifully paid all fines and completed all probation and requirements discharged by the courts. This information is documented in the case information provided as part of my applications.

- Specifically addressing all battery charges. I recognize that my actions were not appropriate, and I understand the impact that I had on everyone involved. I take personal responsibility for all those incidents. In 2019, the court required that I complete an anger management course. This was a humbling but very positive experience for me. The counselor was very understanding teaching me techniques

to manage those feelings and focus my actions and energy on addressing the issue and looking for a resolution. I believe it has had a very positive impact on my approach to life and made me a better father, son and friend. Since completion of the course and my probation, I have maintained steady employment helping my parents run a small business until it was sold a year ago. I am currently running a small side business selling sports apparel and accessories. I am committed to maintaining this positive behavior. I am looking for stability in my life so that I can continue to be part of my daughter's life and raise her in a good family structure.

- I am employed at Sarasota One-Eyed Jacks Kennel Club where I completed onboarding, orientation and successfully executed my job during the probationary period while my FGCC considered my application. The company has a strong interest in me returning and has been very supportive of me through this process and feel that I would be an excellent addition to their team. My managers, Ryan Carter and Rhonda Lipp, would be happy to respond to any requests by FGCC as to my capabilities and character. Please see attached letter of from the HR Department regarding my work ethic and character.

- My goal is to be the best dealer and make a career in the casino industry. I have a daughter and I'm looking for stability in in my career so that I can raise her properly and provide the support that she needs.

I am asking the FGCC to reconsider my application to become a licensed dealer in the State of Florida. I would be happy to provide or discuss any further information needs required. I look forward to a timely and positive response.

Best Regards

Derek Chrans

11-11-2025

Derek M. Chrans
9716 Discovery Terrace
Bradenton FL 34212
(217) 720-9611

Washington's Family Counseling Services
69 Robert Smalls Parkway, Suite 3-C, Ph/fax: 843 524- 4884
Beaufort, SC 29901

The Relationship Violence Intervention Program:

Enrollment/Participation Summary

Name: Derek M. Chrans
Date of report: May 28, 2022
Lawrence Washington MA, LPC

PRESENTING PROBLEM

The client is an unmarried male client who was referred to the Relationship Violence Intervention Program by the judicial system. Mr. Chrans at the time of his enrollment indicated that he was arrested and charged with Criminal Domestic Violence and other charges related to the arrest incident. The presenting problem was reported as Criminal Domestic Violence. The client's general level of insight at time of enrollment was characterized by acceptance of responsibility for his actions.

MENTAL STATUS

The client's interaction throughout program participation was cooperative and he was easily engaged and was an active and appropriate contributor throughout his program participation. Overall no unusual physical or behavioral features characterized appearance. No formal psychological evaluations or assessments were conducted nor implied by this report, however throughout his participation the client was oriented to all spheres. Attention/concentration did not appear to be impaired and was without overt dysfunction in level of consciousness. There were no observed cognitive deficits noted at any time during program participation. Immediate memory was not impaired, recent and remote memory appeared intact. There were no unusual aspects of thought content. Predominant mood throughout the period of contact was pleasant and cooperative which was evidenced at a high level of intensity. Affective reactions showed appropriate variability. Overall, mental status and information during program participation suggested that the client's general level of adaptive functioning was within normal limits. The client did not indicate the existence of a medical condition, and not was observed to appear to experience any medical difficulties throughout his program participation

INTERVENTION GOALS

1. Learn and Identify power and control tactics, learn definition of Criminal Domestic Violence as a legal issue as defined by South Carolina legal code.
2. Gain understanding of gender socialization and stereotypes
3. Gain understanding of the impact of irrational thinking on behavior, primarily in intimate interpersonal relationships.
4. Development of effective non-abusive anger management skills.
5. Understanding value conflicts as contributors to CDV/Value clarification

6. Development of healthy effective stress management skills.
7. Begin taking responsibility for dysfunctional/abusive behavior occurring in their relationships in order to begin developing healthier equality-based partnerships with significant others.
8. Expresses an intent to incorporate these
9. Recognize the impact of substance use as contributors to CDV
10. Understanding the impact of Domestic Violence on Children

INTERVENTION FORMAT

Intervention contacts were scheduled weekly in a group setting. Sessions were generally one and one-half hour long.

CBT based outcome focused process and didactic group therapy is the primary intervention format.

PARTICIPATION SUMMARY

Mr. Chrans has attended Twenty- six sessions as of the date of this report. He has been an active and appropriate participant. Mr. Chrans consistently arrived in a timely manner paid all fees and participated actively in group discussions. He denied initiating any incidents of abusive behavior while in the program.
Mr. Chrans has met all requirements for successful completion of this program
Successful completion of this program is not a predictor of future acts of violence or abuse.

RECCOMENDATIONS: None.

Lawrence Washington

Lawrence Washington, MA
Licensed Professional Counselor
Men's Group facilitator
Program Director, Relational Violence Intervention Program

Date

Sarasota Kennel Club
One-Eyed Jacks

11-10-25

To Whom it May Concern:

Regarding: Derek Chrans 14494555

Derek Chrans is eager to work and gets along well with customers. In regards to his character in the workplace environment we have never encountered anything detrimental.

Sincerely,

Rhonda Lipp
Administrative Assistant

EXHIBIT #4
PAGE 9/9

RECEIVED
By Glenda Ricks at 2:57 pm, Dec 16, 2025

This form is approved by the Illinois Supreme Court and is required to be accepted in all Illinois Circuit Courts.
Forms are free at ilcourts.info/forms.

STATE OF ILLINOIS, CIRCUIT COURT Sangamon COUNTY	ORDER TO EXPUNGE & IMPOUND AND/OR SEAL CRIMINAL RECORDS	For Court Use Only FILED DEC 16 2025 Joseph B. Kolsol Clerk of the Circuit Court 31 2025MX001027 Case Number (If the Clerk assigns a new number):

Instructions

Directly above, enter the name of county where you will file the case.

Enter your name, birth date, race, and gender. List any other names you used when arrested on the cases listed on this form.

If the Clerk gave you a new case number, enter it to the right.

Request of:

Derek Michael Chrans
Your name *(First, middle, last name)*

Other names used in these cases

[redacted] | White | Male
Date of birth | **Race** | **Gender**

In 1, enter the number for all cases listed under Number 2 on the *Request* form for the cases you want to have expunged. If you completed an *Additional Arrests or Cases for Expungement* form, fill out an additional *Order* and list those cases.

1. Arrest or Case Numbers of all Eligible Criminal Offenses for EXPUNGEMENT in this County:

2005-TR-22844	2005-TR-27669	2005-TR-27670	2005-TR-27673	2013-TR-23071
2016-TR-14251	2017-TR-24517	DV05-0530	S07-93079	

☐ I have attached an additional *Order* form.

In 2, enter the number for all cases listed under Number 14 on the *Request* form for the cases you want to have sealed. If you completed an *Additional Arrests or Cases for Sealing* form, fill out an additional *Order* and list those cases.

2. Arrest or Case Numbers of all Eligible Criminal Offenses for SEALING in this County:

2007-CM-1445	2011-CF-1085			

☐ I have attached an additional *Order* form.

2025MX001027

Enter the Case Number given by the Circuit Clerk: _____

IT IS ORDERED:

DO NOT check this box. The judge will check the correct boxes.

The judge will cross out the case numbers that are denied and include them on the *Order Denying Request To Expunge & Impound and/or Seal Criminal Records*.

☑ Your *Request* for **EXPUNGEMENT** is **GRANTED** for the cases on page 1 (#1), and within 60 days of receiving this *Order*:

- The Arresting Agencies must expunge these records as required by law.
- The Clerk of the Circuit Court must impound these records as required by law.
- The Illinois State Police must expunge or impound these records as required by law.
- The Illinois State Police and the Arresting Agencies must request the return of all arrest or conviction records from any agencies that were previously notified of these records as required by law.
- The Illinois State Police must expunge any pardoned conviction and other arrests by sealing these records as required by law.

IT IS ORDERED:

DO NOT check this box. The judge will check the correct boxes.

☐ Your *Request* for **SEALING** is **GRANTED** for the cases on page 1 (#2), and within 60 days of receiving this *Order*:

- The Illinois State Police, the Arresting Agencies, and the Clerk of the Circuit Court must seal these records as required by law.
- The Illinois State Police and the Arresting Agencies must request the return of all arrest or conviction records from any agencies that were previously notified of these records as required by law.

DO NOT fill in these lines. The judge will sign and enter date here.

ENTERED:

Judge: _____ Date: 12/10/25

Enter the name and contact information of the person who should receive the signed order.

Name: William L. Vig Attorney # (if any): 6284192
Street Address: 1100 S 5th Street Phone Number: (217) 241-5628
City, State, ZIP: Springfield, IL 62703 Email Address: bill@vig-law.com

RECEIVED
By Glenda Ricks at 2:57 pm, Dec 16, 2025

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

September 9, 2025

Mr. Derek Michael Chrans
9716 Discovery Terr
Bradenton, Florida 34212

RE: Application No. 81109, Entity 14494555
1012 - Cardroom Employee Occupational

Dear Mr. Chrans:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete. Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must list the information on your application for the following charges:
  - Sangamon County, Illinois Arrest(s) – 03/19/2005, 05/27/2005, 06/07/2005, 07/30/2007, 09/25/2007, 11/20/2011, 01/03/2019
  - Beaufort County, South Carolina Arrest(s) – 10/07/2021

  You must provide the court disposition records for the following charges:
  - Beaufort County, South Carolina Arrest(s) – 10/07/2021

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.487-1395.

If you fail to provide the Florida Gaming Control Commission with a complete application by 11/27/2025, your application shall lapse and no longer be processed by the Florida

Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 10/28/2025.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.794-8130.

Thank you,

RK
Operations Analyst II

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

September 16, 2025

Mr. Derek Michael Chrans
9716 Discovery Terrace
Bradenton, Florida 34212

RE: Application No. 81109, Entity 14494555
1012 - Cardroom Employee Occupational

Dear Mr. Chrans:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete. Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must list the information on your application and provide the court disposition and arrest report for the following charges:
  - Sangamon County, Illinois Arrest(s) – 03/19/2005, 09/25/2007
  - Beaufort County, South Carolina Arrest(s) – 10/07/2021

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.487-1395.

If you fail to provide the Florida Gaming Control Commission with a complete application by 11/27/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming

Control Commission Rule. **Please note that your Temporary License will expire on: 10/28/2025.**

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.794-8130.

Thank you,

RK
Operations Analyst II

September 29, 2025

Mr. Derek Michael Chrans
9716 Discovery Terrace
Bradenton, Florida 34212

RE: Application No. 81109, Entity 14494555
1012 - Cardroom Employee Occupational

Dear Mr. Chrans:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete. Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must list the information on your application for the following charges:
  - Sangamon County, Illinois Arrest(s) – 11/20/2011
    - The Level of offense listed is not correct.

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.487-1395.

If you fail to provide the Florida Gaming Control Commission with a complete application by 11/27/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming

Control Commission Rule. **Please note that your Temporary License will expire on: 10/28/2025.**

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.794-8130.

Thank you,

RK
Operations Analyst II

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Justin Hundersmarck, Senior Attorney
Re: Robbin Elaine Moyer; Case No. 2025-073814
Date: December 18, 2025

Executive Summary

Robbin Elaine Moyer (the "Applicant") seeks a waiver of her disqualifying offense and issuance of a cardroom employee occupational license (the "Application"). The Applicant submitted a completed application for a cardroom employee occupational license on October 2, 2025. Upon review of the Application, it appears the Applicant was convicted of one disqualifying felony offense out of South Carolina. A Commission investigator conducted a waiver interview with the Applicant on October 16, 2025, and documented the waiver interview in a report submitted to the Commission for consideration.

Relevant Facts

On July 10, 2025, the Applicant submitted an application for a cardroom employee occupational license to the Division. On this application, the Applicant answered "no" to the question asking if she has been convicted of or had an adjudication withheld for any criminal charges, or has pled guilty or nolo contendere to any criminal charges. On July 29, September 11, September 12, and October 2, 2025, the Applicant was sent deficiency letters requesting that she list and provide arrest records, court records and dispositions for various arrests out of Anderson County, South Carolina. Upon review of the Application deemed complete on October 2, 2025, it appears that the Applicant has been convicted of the following disqualifying misdemeanor offense:

- Checks - Fraudulent Check Less than $500 – 1st Offense out of Anderson County, South Carolina, from July 1, 1993.

The Applicant applied for a waiver from the statutory restrictions excluding applicants with disqualifying offenses on October 2, 2025. On October 16, 2025, a Commission investigator conducted a waiver interview with the Applicant. The waiver interview is documented in a report included in the case materials.

This misdemeanor conviction is a disqualifying offense pursuant to section 849.086(6)(g), Florida Statutes, because it involves forgery and conspiracy to defraud.

Relevant Law

Section 849.086(6)(g), Florida Statutes, provides that:

> The commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing, or gaming commission or authority.

Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 550.105(5)(c), Florida Statutes, provides in pertinent part that:

> "[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the commission."

EXHIBIT

A

MOYER, ROBBIN ELAINE

Case # 2025-073814

License Type: Cardroom Employee Occupational (1012)
Occupation: Cage Cashier

Waiver Requested: Yes[✓] No[ ]

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

MOYER, ROBBIN ELAINE - 10046128	2025-073814
(Applicant's Name– License #)	Case No:

INITIAL APPLICATION RECEIVED:	7/11/2025
Application Deemed Complete:	10/7/2025
If no Request for Waiver received, 90-Day Deadline:	**1/5/2026**

Waiver Requested: ☐No ☒Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)
- Waiver Requested 10/02/2025

RK	175 – West Volusia	1012 - Dealer
Application Processor	Facility (d/b/a name)	Occupation Code / Job Title

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☐Yes ☒No
Licensing History: Yes Type: 1012 Initial license issued 12/04/2012 Maintained Continuous licensure? ☐Yes ☒No

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No
Were all items identified in the deficiency letter addressed? ☒Yes ☐No If no, explain:

☐ Felony(s): Count(s) ☐ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking

☒ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)

☐ Animal Cruelty (Pari-Mutuel Professons)

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp
12/10/2003	Volusia County, FL	Fraud – Insuff Funds Check	M1	642003MM006027XXXAWS	Nolle Pros	N	Y
06/24/1993	Anderson County, SC	Fraud Check Under 500	M	D380702	Guilty	N	Y
09/15/1994	Anderson County, SC	Fraud Check Under 500	M		No Info	N/A	N/A

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☒ No ☐ ☐ Approval
Disposition Attached for Disqualifier(s)? Yes ☒ No ☐

Deficiency Letter Issued: **07/29/2025 09/11/2025, 09/12/2025 10/02/2025** Application Deemed Complete: **10/07/2025**
Applicant Eligible for Waiver? Yes ☒ No ☐ If Yes: ☒ Waiver Requested ☐ Failed to Request Waiver

Forward to ☒ **Investigations** ☐ **Legal** ☐ **Commission** Initials: CH Date: 10/09/2025

Comments:

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 2025073814 **Incident date:** 07/11/2025 **Status:** 106 - Sent to Directors office

Lic Type: 1012 **Disposition:**

Case Type: Complain:

Responsible: elanier - LANIER, EBONIE

Complainant: DIVISION OF PARI-MUTUEL WAGERING

1400 W COMMERCIAL BLVD, STE 165, FORT LAUDERDALE, FL 33309

Respondent: MOYER, ROBBIN ELAINE

1401 GAINESVILLE DR, DELTONA, FL 32725

Summary: 175 - WEST VOLUSIA RACING, INC

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
10/09/2025	A	79	440	Incoming Correspondence	cslubbs1	MOYER, ROBBIN ELAINE
10/10/2025	A	10	15	Assigned to Investigator	rsamson	MOYER, ROBBIN ELAINE
10/20/2025	A	10	5	Supervisor Review		MOYER, ROBBIN ELAINE
10/16/2025	A	79	430	In Person	rsamson	MOYER, ROBBIN ELAINE
10/16/2025	A	10	50	Interview Respondent	rsamson	MOYER, ROBBIN ELAINE
10/15/2025	A	79	435	Telephone	rsamson	MOYER, ROBBIN ELAINE

CH

State of Florida

Department of Business and Professional Regulation

Chronology Report

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
10/15/2025	A	79	200	Case File Review	rsamson	MOYER, ROBBIN ELAINE
10/14/2025	A	79	CL62	Review File	rsamson	MOYER, ROBBIN ELAINE
11/20/2025	R		elanier	LANIER, EBONIE	charris	
11/19/2025	R		bjones	JONES, BRADFORD	bjones	
10/10/2025	R		rsamson	SAMSON, RANDA	cstubbs1	
11/20/2025	R		charris	HARRIS, CYNTORIA	cstubbs1	
10/10/2025	S	1012	10	Initial Review	cstubbs1	
10/10/2025	S	1012	20	Under Investigation	cstubbs1	
11/19/2025	S	1012	90	Closed	cstubbs1	
11/20/2025	S	1012	106	Sent to Directors office	charris	
11/20/2025	S	1012	104	Sent to Licensing Section	cstubbs1	
11/19/2025	S	1012	165	Supervisor Review	bjones	

Allegation:

Code	Description
WAVR	Waiver of Crim. Conv. or Other Offenses

Violation:

Code	Description	Respondent
WAVR	61D-5.006	MOYER, ROBBIN ELAINE

CH

CH

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **charris**

License

Fed Tax # [redacted] Lic Type **1012 - Cardroom Employee Occupational** Expires On **10/09/2025**

File # **7315** Name **Moyer, Robbin Elaine** Extended To

License # **10046128** Rank **CEMP - Cardroom Employee Occupational** Renewed On

Entity # **10046128** Lic Status **Closed Upgrade**

Licensee | History | Notes | Notes History | Back

Address

Street # **1401** Street **GAINESVILLE DR**

Line 2

Line 3

City **DELTONA** State **FL** Zip **32725**

Routing

*Previously Licensed

*Temp Issued

*No ARCI Findings

*No CCIS findings

*No additional enforcement cases.

Other

1st License Date **07/11/2025** Rank Date **07/11/2025** Certificate #

Method **I-S-1018** Status Date **07/29/2025** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action Find Licenses

Modifiers

Type	Modifier	Effective Date	Additional Info
C	CASH - Cashier	12/04/2012	
I	GHND - Greyhound	12/04/2012	
L	175 - West Volusia Racing, Inc.	07/11/2025	
Y	3YR - 3 Year License	12/04/2012	

Alt Keys

BEST LIC NBR **10046128**

Get Adobe Reader.

Licenses

Licensee Search

Licensee:Robbin Elaine Moyer

No Picture Available

Reports on Robbin Elaine Moyer

Comprehensive Ruling Report
Drug Ruling Report (Last 5 Years)
Comprehensive Licensee Report

Identification Information

Add a New Identification Record

Date of Birth	Federal ID/SSN	Country	Sex
[redacted]	[redacted]	USA	Unknown

Name Information

Add a New Name Record

Name Type	Prefix	First Name	Middle Name	Last Name	Suffix
Current Legal Name		Robbin	Elaine	Moyer	

Address Information

Address Type	Street Address	City	State	Zip Code

Phone Information

Phone Number Type	Phone Number

License Information

Add a New License Record

License Number	License Type	Issued Date	Expiration Date	Licensing Commission
10046128	Other/OTB/Casino	1/15/2013	6/30/2015	Florida Division of Pari-Mutuel Wagering
	Other/OTB/Casino	12/4/2012	3/4/2013	Florida Division of Pari-Mutuel Wagering

Fingerprint Information

Add a New Fingerprint Record

Processing Commission	Date Taken	Status	Notes	RCI Card Submitted Date

Ruling Number	Ruling Type	Ruling Date	Fine	Fine Paid?	Suspension Start	Suspension End

Applicable Reports

Comprehensive Ruling Report
Comprehensive Licensee Report

CH

CH

[redacted]

CH 11/20/2025

Lic Type **1012 - Cardroom Employee Occupational** Status **90 Closed** Status Date **11/19/2025**

Complaint # **2025073814** Case Type **CMP - Complaint** Disposition Disposition Date

Docket# Respondent **MOYER, ROBBIN ELAINE** Responsible **bjones - JONES, BRADFORD** **Private Case**

Complaint Respondent Complainant Addt'l Info

Source **LIC - Licensee** Security Level **1**
Form **WALK - Walk-in** Priority **1**
Class'n **IIIB - Waivers** Complexity **R - Regular**
Security **STND - Standard** Incident **07/11/2025**
Region **CR - Central Region** Received **10/09/2025**
Reference
Entered **10/10/2025** Entered By **cstubbs1**
Summary **175 - WEST VOLUSIA RACING, INC**
Updated **11/20/2025 12:21:57** By **cstubbs1**

Parties | Activities
Allegations | Discipline
Violations | Compliance
Related | Disposition
Inspection
Costs
Time Tracking | Auto Assign
Attachments | History
Work Notes | Print Report

Change Save OK Cancel Back

Get Adobe Reader.

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Alana Zimmer, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**CENTRAL**	**October 9, 2025**	**2025 07 3814**

Respondent :	Complainant:
MOYER, ROBBIN ELAINE **1401 GAINSVILLE DRIVE** **DELTONA, FLORIDA 32725** **TEL.# (386)479-2802**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 WEST COMMERCIAL BOULEVARD, SUITE 165** **FORT LAUDERDALE, FLORIDA 33309**

License / Type:	Profession:	Report Date:
10046128 / 1012	**CAGE CASHIER**	**OCTOBER 20, 2025**

Period of Investigation:	Type of Report:
October 9, 2025 – OCTOBER 20, 2025	**FINAL**

This investigation is based upon submission of **ROBBIN ELAINE MOYER'S** State of Florida Pari-Mutuel Occupational License Application dated July 10, 2025, and Waiver Request Form dated October 1, 2025. **(EXHIBIT #1)**

On July 10, 2025, **MOYER** applied for a Pari-Mutual Cardroom Employee Occupational License (1012) to work as a "Cage Cashier" at Orange City Racing Card Club. On her application, she answered "No" to the question, "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" **(EXHIBIT #1)**

Upon receipt of **MOYER's** FDLE Criminal History Report, PMW Licensing sent her a Deficiency Letters on July 29, 2025, September 9, 2025, September 11, 2025, September 12, 2025, and October 2, 2025, requesting that she list and provide court records and dispositions for the following arrest(s) **(EXHIBIT #4):**

- Anderson County, South Carolina—Arrest(s) 06/24/1993, 09/15/1925

On October 2, 2024, **MOYER** submitted an amended application listing her 1993 Misdemeanor conviction for Fraudulent Check **(EXHIBIT #1).**

Related Case:

Investigations Specialist II / Date	Approved by Investigation Manager / Date:
/s/ Randa Samson Randa Samson / October 20, 2025	s/ C. Derek Washington C. Derek Washington / October 22, 2025

Chief of Investigations / Date

Bradford D. Jones / November 19, 2025

CONTINUATION

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 03/07/1993	Arresting Agency: Anderson County Sheriff's Office, SC				
OFFENSE					
	Charge(s):	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1	Fraudulent Check Under $500	Misdemeanor 1st Degree	Guilty	Guilty	7/1/1993
2					
3					
4					

SENTENCE
Fined $20.

Additional Information: The date of 06/24/1993 was when the case was filed, not the arrest date. Fine paid in full.

Arrest 2					
Date of Arrest:	Arresting Agency:				
OFFENSE					
	Charge(s):	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

CRIMINAL HISTORY

Arrest 3				
Date of Arrest:	Arresting Agency:			
OFFENSE				
Charge(s):	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1				
2				
3				
4				

SENTENCE

Additional Information:

Arrest 4				
Date of Arrest:	Arresting Agency:			
OFFENSE				
Charge(s):	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1				
2 3 4				

SENTENCE

Additional Information:

CONTINUATION

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?	X	
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type: **TEMP 1012 PARI-MUTUAL OCCUPATIONAL LICENSE**

Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:
07/11/2024	**10/9/2025**	**10046128**	**F.G.C.C.**

	YES	NO
Has License ever been suspended or revoked?		X
Was any derogatory information received?	X	

Additional Comments: **Failure to disclose arrest on initial application.**

2. License Type: **1012 CARDOOM OCCUPATIONAL EMPLOYEE**

Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:
01/15/2013	**06/30/2015**	**10046128**	**DBPR/PMW**

	YES	NO
Has License ever been suspended or revoked?		X
Was any derogatory information received?		X

Additional Comments:

3. License Type:

Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

4. License Type:

Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

WAIVER INTERVIEW

	YES	NO
Was a Waiver Interview Conducted?	X	
Date of Interview: **October 16, 2025** / Location of Interview: **West Volusia Racing And Cardroom, Inc.**		
	YES	NO
Was the applicant cooperative?	X	
Additional Comments:		

SUMMARY OF INTERVIEW:

During her interview, **MOYER** explained that her 1993 conviction for issuing a fraudulent check occurred when she was pregnant, financially struggling, and had no money for groceries. She stated that she believed she could cover the check before it cleared the bank. **MOYER** added that she did not disclose the conviction on her initial application because she previously held a gaming license and was informed at that time, the matter had already been addressed and was no longer relevant.

MOYER further stated that she currently resides in Deltona, Florida, with her daughter, son-in-law, and three grandchildren. She is a recent widow following a thirty-five (35) year marriage and expressed that she wishes to reenter the workforce to stay active and interact with others. **MOYER** indicated that her family assists her with household expenses and that she is "just trying to survive." She also reported that she has never been arrested for any other offenses[1].

MOYER explained that the delay between her initial application and her waiver request was due to her not receiving the Deficiency Letters[2].

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed no rulings against **MOYER (EXHIBIT #4).**

Case Status: Closed by Investigations and forwarded to Licensing.

[1] *Review of the Comprehensive Case Information System reveals that on June 30, 2003, MOYER was charged with Issuing a Worthless Check (Misdemeanor) in Volusia County, Florida. That matter was closed as Nolle Pros. On December 10, 2003 (EXHIBIT #5).*

[2] *Each of these communications were directed to MOYER's address of record in VERSA which corresponds to the address information provided on both applications.*

CONTINUATION

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5. 2003 Volusia County Charge.....1-2

RECEIVED
By Randall Kitchens at 2:07 pm, Oct 02, 2025

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

TO: Robbin Elaine Moyer
Full Legal Name of Applicant

[redacted]
Date of Birth

10046128
Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Executive Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

☐ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

☑ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Florida Gaming Control Commission will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

Robbin Elaine Moyer
Signature of Applicant

10/1/2025
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM FGCC PMW-3185 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

EXHIBIT #1
PAGE 2/7

2025 JUL 17 PM 12:05

FLORIDA GAMING CONTROL COMMISSION

JUL 10 2025

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 – Individual Occupational License Application

new hire

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION

Social Security Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]		☐ Male ☑ Female

Last Name	First	Middle	Suffix
Moyer	Robbin	Elaine	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☑ No

If yes, list the name or names used: _____

Race/Ethnicity (optional)
☐ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☑ White or Caucasian ☐ Hispanic/Latino ☐ Other

Current Mailing Address	Email Address (optional)
1401 Gainesville Dr	robbinm83@gmail.com

City	State	Zip Code (+4 optional)	Country, if other than USA
Deltona	FL	32725	

Primary Phone Number	Secondary/Cell Phone Number (optional)
Cell 386.479.2802	

Current Street Address
1401 Gainesville Dr.

City	State	Zip Code (+4 optional)	Country, if other than USA
Deltona	FL.	32725	

Type of Occupational License applying for:
☐ Pari-Mutuel General Individual ☐ Pari-Mutuel Professional Individual
☒ Cardroom Employee

Occupation: cage cashier

Facility where employed and/or doing business: OCRCC

Does your position require access to the Cardroom? ☒ Yes ☐ No

Is this your first time applying for a racing/gaming license in Florida? ☐ Yes ☑ No

Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? ☐ Yes ☑ No

Do you own or lease animals intended for racing in Florida? ☑ No ☐ Yes, complete the following:

Stable Name, Kennel Name, or Business Name _____

Trainer Name (horse or greyhound racing only) _____

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code 1012 License # 10046128 File # 7315 App # 80818

Association Code 175 Date Received 7-14-25 Entered By [illegible] License Year 25/28

License Fee 100.00 FP Date 7-14-25 FP Fee [illegible] Total Fee 100.00

Off Temp Waiver Requested ARCI Enforcement Minor

DBPR PMW-3120, Effective September 2020, Rule 61D-5.001, F.A.C. Page 1 of 3

TCN # 70ck84<0x9>446

EXHIBIT #1
PAGE 3/7

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☑ No	Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☐ Yes ☑ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE

☐ Yes ☑ No	Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.
☐ Yes ☑ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Signature of Applicant

7/10/25
Date

EXHIBIT #1
PAGE 4/7

RECEIVED
By Randall Kitchens at 2:07 pm, Oct 02, 2025

Florida Gaming Contr
Division of Pari-Mut
FGCC PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled **"For Division Use Only."**

DEMOGRAPHIC INFORMATION

Social Security Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]		☐ Male ☑ Female

Last Name	First	Middle	Suffix
Moyer	Robbin	Elaine	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☑ Yes ☐ No

If yes, list the name or names used: Boe

Race/Ethnicity (optional)
☐ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☑ White or Caucasian ☐ Hispanic/Latino ☐ Other

Current Mailing Address	Email Address (optional)
1401 Gainesville Dr.	robbinm83@gmail.com

City	State	Zip Code (+4 optional)	Country, if other than USA
Deltona	FL	32725	

Primary Phone Number	Secondary/Cell Phone Number (optional)
386-479-2802	

Current Street Address: 1401 Gainesville Dr.

City	State	Zip Code (+4 optional)	Country, if other than USA
Deltona	FL	32725	

Type of Occupational License applying for:
☐ General Individual ☐ Professional Individual
☑ Cardroom Employee

Occupation: _____

Facility where employed and/or doing business: Delaware North Orange City Card Room Orange City FL.

Does your position require access to the Cardroom? ☑ Yes ☐ No

Is this your first time applying for a racing/gaming license in Florida? ☐ Yes ☑ No

Are you a Supervisor, Manager, or Shareholder of a pari-mutuel permitholder? ☐ Yes ☑ No

Do you own or lease animals intended for racing in Florida? ☐ No ☑ Yes, complete the following:

Stable Name, Kennel Name, or Business Name _____

Trainer Name (horse or greyhound racing only) _____

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code_____ **License #**_____ **File #**_____ **App #**_____

Association Code_____ **Date Received**_____ **Entered By**_____ **License Year**_____

License Fee_____ **FP Date**_____ **FP Fee**_____ **Total Fee**_____

☐ **Off Temp** ☐ **Waiver Requested** ☐ **ARCI** ☐ **Enforcement** ☐ **Minor**

EXHIBIT #1
PAGE 5/7

RECEIVED
By Randall Kitchens at 2:08 pm, Oct 02, 2025

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NEEDED)

☐ Yes ☑ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☑ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
3/2/1993	Anderson	SC	Fraud. Check	misdemeanor	

☐ Yes ☑ No	Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.
☐ Yes ☑ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for obtaining a change, correction, or updating of the FDLE or FBI criminal history are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 550.105, Florida Statutes.

Signature of Applicant

9/11/25
Date

EXHIBIT #1
PAGE 6/7

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

License

Fed Tax # [redacted] Lic Type **1012 - Cardroom Employee Occupational** Expires On **10/09/2025**

File # **7315** Name **Moyer, Robbin Elaine** Extended To

License # **10046128** Rank **CEMP - Cardroom Employee Occupational** Renewed On

Entity # **10046128** Lic Status **Closed Upgrade**

Licensee | History | Notes | Notes History | Back

Address

Street # **1401** Street **GAINESVILLE DR**

Line 2

Line 3

City **DELTONA** State **FL** Zip **32725**

Routing

Other

1st License Date **07/11/2025** Rank Date **07/11/2025** Certificate #

Method **I-S-1018** Status Date **07/29/2025** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	CASH - Cashier	12/04/2012	
I	GHND - Greyhound	12/04/2012	
L	175 - West Volusia Racing, Inc.	07/11/2025	
Y	3YR - 3 Year License	12/04/2012	

Alt Keys

BEST LIC NBR **10046128**

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EXHIBIT #1
PAGE 7/7

Anderson County
Tenth Judicial Circuit
Public Index

Switch View

The State of South Carolina VS Robbin Elaine Moyer					
Case Number:	D380702	Court Agency:	Anderson Summary Court	Filed Date:	06/24/1993
Case Type:	Criminal	Case Sub Type:			
Status:	Disposed	Assigned Judge:	Devine, Nancy Wilson	Disposition Judge:	Devine, Nancy Wilson
Disposition:	Guilty Bench Trial				
Disposition Date:	07/01/1993	Date Received:		Arrest Date:	03/07/1993
Law Enf. Case:		True Bill Date:		No Bill Date:	
Prosecutor Case:		Indictment Number:		Waiver Date:	
Probation Case:					

Case Parties Charges Sentencing Associated Cases Actions Financials Bonds

Name	Charge Code - Charge Description	Original Charge Code - Original Charge	Disposition Date
Moyer, Robbin Elaine	0670-CHECKS, FRAUDULENT CHECK-LESS 500-1ST OF	0670-CHECKS, FRAUDULENT CHECK-LESS 500-1ST OF	07/01/1993

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EXHIBIT #2
PAGE 1/5

Anderson Summary Court

CASE HISTORY FOR CASE D380702

The State of South Carolina VS Robbin Elaine Moyer

FILED DATE: 6/24/1993
INDICTMENT NUMBER:

CASE TYPE: CR
ASSIGNED JUDGE: Devine, Nancy Wilson
DISPOSITION JUDGE: Devine, Nancy Wilson
ARRESTING AGENCY: Anderson County Sheriff

STATUS: Disposed

CASE PARTIES:

Defendant Moyer, Robbin Elaine
1813 EDGEWOOD AVE, ANDERSON, SC 296250000

Officer Bannister, Brent
305 Camson Road, Anderson, 29625

CASE HISTORY FOR CASE D380702

Moyer, Robbin Elaine
1813 EDGEWOOD AVE

ANDERSON, SC 296250000

Age: 60
DL#: [redacted]

DOB:
SSN: [redacted]

CHARGE		VIOL. DATE	DISPOSITION	DISP. DATE
0670	CHECKS, FRAUDULENT CHECK-LESS 500-1ST OF	3/7/1993	Guilty Bench Trial	7/1/1993

COST	ORIGINAL	BALANCE DUE	DISBURSED	PAY PRIORITY
Charge: CHECKS, FRAUDULENT CHECK-LESS 500-1ST OF				
Conversion Criminal Cost	$20.00	$0.00	$20.00	999
Total:	$20.00	$0.00	$20.00	

DATE	TIME	EVENT DESCRIPTION
	12:00 AM	Received payment of $20 from ROBBIN ELAINE MOYER for ROBBIN ELAINE MOYER. Printed receipt #12469.
5/29/1993	2:00 PM	Court event: Criminal/Traffic Court
7/1/1993	12:00 AM	Court event: Criminal/Traffic Rescheduled

Print Date: 09/05/2025
Print Time: 4:23:38PM
Requested By: C04CMARTIN

EXHIBIT #2
PAGE 2/5

From:
To:
Subject: RE: Renewing my License
Date: Wednesday, September 10, 2025 1:26:00 PM

Hello,

We have received your request regarding **license renewal**.

The Florida Gaming Control Commission (FGCC) regulates the legal forms of gambling in the State of Florida and enforces the state's criminal gambling prohibitions, excluding the state lottery.

Thank you for submitting your request, which is being forwarded to the Division of Pari-Mutuel Wagering for appropriate handling.

Sincerely,

Complaint Manager
850.880.3433, Option 1
ContactUs@flgaming.gov
850.536.8710 Fax

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution, or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGER VIEW

From: Robbin Moyer <robbinm03@gmail.com>
Sent: Wednesday, September 10, 2025 1:18 PM
To: Contact Us <ContactUs@flgaming.gov>
Subject: Renewing my License

You don't often get email from

I'm trying to find out any updates on this case.

Thank You
Robbin Moyer

Case Information
Case Number :
Board Type : Other
License Number : 10046128
File Number :
Application Number:

I have been informed that my gaming license can not be renewed due to an arrest I had back in 1993. I have attached a file from the state of South Carolina stating the the charges were disposed.

I hope this helps clear up things and that my license can be renewed before they expire Oct. 9, 2025 Need anymore info please contact me by phone at 386-479-2802.

Thank You,
Robbin

RECEIVED
By Randall Kitchens at 9:23 am, Oct 07, 2025

Anderson County SUMMARY COURT

2404 North Main Street

Anderson SC 29621

Phone: 864-260-4055

Date: October 6, 2025

RE: Robbin Moyer DOB [redacted]

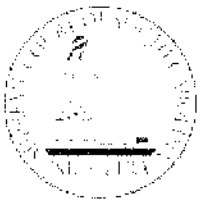
I have searched Anderson County Records for Robbin Elaine Moyer arrest records. Our records do not show any charges, tickets, warrants, or restitution owed by Anderson South Carolina. Robbin Moyer does not have any previous pending warrants, nor pending charges/tickets in Anderson County Summary Court. If you have any questions or concerns, please feel free to contact us thru fax (864) 260-4144 or Call (864) 260-4055.

Thanks

Kimmie A. Howard

Anderson Summary Court Receptionist

Certified to be a true and
Exact copy of the original
Anderson County Summary Co...



Anderson County Home Page South Carolina Judicial Department Home Page SC.GOV Home Page

Search By...Court Type All Courts **Court Agency** All Agencies

Case # **Case Type** All Case Types **Case SubType** All Case Sub-Types

Last Name/Business moyer **First** robbin **Middle** **Suffix**

Party Type All

Action Type All Actions **CDR Code**

Indictment #

Date Type **Beginning** **Ending**

Tax Map# From **Through**

Only for Civil Cases... Index Search (•) All ( ) Lis Pendens ( ) Judgments | **Cross Index Search** All Judgment For Judgment Against

Name Search Option (•) Begins With ( ) Contains

Name	Party Type	Case Number	Filed Date	Case Status	Disposition Date	Type	Subtype	Judgment #	Court Agency
Moyer, Robbin Elaine	Defendant	D380/02	06/24/1993	Disposed	07/01/1993	Criminal			Anderson Summary Court

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LICENSES

RCI

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number: [redacted]
Date of Birth:
First Name: randa
Middle Name:
Last Name: moyer
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

Licensees Rulings Horse Tracking Bulletin Board Preferences Log Off

EXHIBIT #4
PAGE 1/11

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **dwashingto**

Name	**Moyer, Robbin Elaine**	Lic Type	**1012 - Cardroom Employee Occupational**
App #		Trans Code	
Trans Class		Sec Class	

From		**To**	
Rank	**CEMP - Cardroom Employee Occupational**	Rank	**CEMP - Cardroom Employee Occupational**
Rank Effective Date	**01/15/2013**	Rank Effective Date	**01/15/2013**
Lic Status	**20 - Current**	Lic Status	**45 - Lic Expired**
Lic Sec Status		Lic Sec Status	
Status Effective Date	**01/15/2013**	Status Effective Date	**07/01/2015**

From		**To**	
License #	**10046128**	License #	**10046128**
Certificate #		Certificate #	
Certificate Date		Certificate Date	
1st License Date	**01/15/2013**	1st License Date	**01/15/2013**
Fee Exempt		Fee Exempt	

From		**To**	
Expiration	**06/30/2015**	Expiration	**06/30/2015**
Prev Expiration		Prev Expiration	
Extended To		Extended To	
CE Start		CE Start	
Entity	**10046128**	Entity	**10046128**

Updated **Jul 1, 2015, 4:05:11 AM** Updated By **cswier**

< > B

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EXHIBIT #4
PAGE 2/11

[redacted]

EXHIBIT #4
PAGE 3/11

July 29, 2025

Ms. Robbin Elaine Moyer
1401 Gainesville Drive
Deltona, Florida 32725

RE: Application No. 81032, Entity 10046128
1012 - Cardroom Employee Occupational

Dear Ms. Moyer:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must list the information on your application and provide the court disposition records and arrest report for the following charges:
  - Anderson County, South Carolina Arrest(s) – 06/24/1993, 09/15/1994

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.487-1395.

If you fail to provide the Florida Gaming Control Commission with a complete application by 11/09/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming

Control Commission Rule. Please note that your Temporary License will expire on: 10/09/2025.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations**. If you have any questions please call 850.794-8130.

Thank you,

TL/ RK
Operations Analyst II

★ ★ ★ ★ ★

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

September 11, 2025

Ms. Robbin Elaine Moyer
1401 Gainesville Drive
Deltona, Florida 32725

RE: Application No. 81032, Entity 10046128
1012 - Cardroom Employee Occupational

Dear Ms. Moyer:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must list the information on your application for the following charges:
  - Anderson County, South Carolina Arrest(s) – 06/24/1993, 09/15/1994

  You must provide the arrest report records for the following charges:
  - Anderson County, South Carolina Arrest(s) – 06/24/1993, 09/15/1994

  You must provide Court Disposition records for the following charges:
  - Anderson County, South Carolina Arrest(s) – 09/15/1994

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 11/08/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 10/09/2025.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.488.3211.

Thank you,

TL/RK
Operations Analyst II

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

September 12, 2025

Ms. Robbin Elaine Moyer
1401 Gainesville Drive
Deltona, Florida 32725

RE: Application No. 81032, Entity 10046128
1012 - Cardroom Employee Occupational

Dear Ms. Moyer:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must list the information on your application for the following charges:
  - Anderson County, South Carolina Arrest(s) –09/15/1994

  You must provide the arrest report records for the following charges:
  - Anderson County, South Carolina Arrest(s) – 06/24/1993, 09/15/1994

  You must provide Court Disposition records for the following charges:
  - Anderson County, South Carolina Arrest(s) – 09/15/1994

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.487-1395.

If you fail to provide the Florida Gaming Control Commission with a complete application by 11/08/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 10/09/2025.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.794-8130.

Thank you,

TL/RK
Operations Analyst II

October 2, 2025

Ms. Robbin Elaine Moyer
1401 Gainesville Drive
Deltona, Florida 32725

RE: Application No. 81032, Entity 10046128
1012 - Cardroom Employee Occupational

Dear Ms. Moyer:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must list the information on your application for the following charges:
  - Anderson County, South Carolina Arrest(s) – 09/15/1994

  You must provide the arrest report records for the following charges:
  - Anderson County, South Carolina Arrest(s) – 06/24/1993, 09/15/1994

  You must provide the court disposition records for the following charges:
  - Anderson County, South Carolina Arrest(s) – 09/15/1994

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.487-1395.

If you fail to provide the Florida Gaming Control Commission with a complete application by 11/08/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 10/09/2025.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.794-8130.

Thank you,

RK
Operations Analyst II

[redacted]

[redacted]

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Justin Hundersmarck, Senior Attorney
Re: Steven Lewis; Case No. 2025-073896
Date: December 19, 2025

Executive Summary

Steven Lewis (the "Applicant") seeks a waiver of his disqualifying offense and issuance of a pari-mutuel wagering professional individual occupational license (the "Application"). The Applicant submitted a completed application for a pari-mutuel wagering professional individual occupational license. Upon review of the Application, it appears the Applicant has been convicted of one felony offense out of Saint Thomas, Virgin Islands. A Commission investigator conducted a waiver interview with the Applicant on October 29, 2025, and documented the waiver interview in a report submitted to the Commission for consideration.

Relevant Facts

On May 8, 2025, the Applicant submitted an application for a pari-mutuel wagering professional individual occupational license to the Division. On this application, the Applicant answered "yes" to the question asking if he has been convicted of or had an adjudication withheld for any criminal charges, or has pled guilty or nolo contendere to any criminal charges, but failed to list any criminal convictions. On April 22, May 19, July 7, July 10, July 17, and August 5, 2025, the Applicant was issued deficiency letters by the Commission requesting an updated application and records relating to court dispositions and arrests in Saint Thomas, Virgin Islands. Upon review of the Application deemed complete on September 3, 2025, it appears that the Applicant was convicted of the following felony offense:

- Bringing In and Harboring Aliens out of Saint Thomas, Virgin Islands, from March 22, 2018.

The Applicant applied for a waiver from the statutory restrictions excluding applicants with disqualifying offenses on August 4, 2025. On October 29, 2025, a Commission investigator conducted a waiver interview with the Applicant. The waiver interview is documented in a report included in the case materials.

This felony conviction is a disqualifying offense pursuant to section 550.105(5)(b), Florida Statutes.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides, in pertinent part that:

> . . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 550.105(5)(c), Florida Statutes, provides in pertinent part that:

> "[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the commission."

EXHIBIT

A

LEWIS, STEVEN

Case # 2025-073896

License Type: PMW Professional Individual Occupational (1021)
Occupation: Individual Animal Owner

Waiver Requested: Yes[✓] No[ ]

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

LEWIS, STEVEN-14364826	2025-073896
(Applicant's Name– License #)	Case No:

INITIAL APPLICATION RECEIVED:	4/11/2025
Application Deemed Complete:	9/3/2025
If no Request for Waiver received, 90-Day Deadline:	**12/2/2025**

Waiver Requested: ☐No ☒Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)
- Waiver Requested 08/04/2025

Tori Lanier	321/Gulfstream Park	Individual Animal Owner
Application Processor	Facility (d/b/a name)	Occupation Code / Job Title

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☐Yes ☒No
Licensing History: : Initial license issued Maintained Continuous licensure? ☐Yes ☒No NA

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No
Where all items identified in the deficiency letter addressed? ☒Yes ☐No If no, explain:

☒ Felony(s): Count(s) ☐ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking

☐ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)

☐ Animal Cruelty (Pari-Mutuel Professons)

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp
01/08/2007	Saint Thomas, Virgin Islands	Possess/Sale of Ammunition	Felony	ST-07-CR0000008	Dismissed	Yes	Yes
08/03/2017	Saint Thomas, Virgin Islands	Smuggling Aliens	Felony	3:17-CR-00012-CVG-RM(2)	Guilty	No	Yes
11/13/1996	Saint Thomas, Virgin Islands	Aggravated Assault	Unknown	TC#47396	Guilty	No	Yes

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☑ No ☐ ☐ Approval
Disposition Attached for Disqualifier(s)? Yes ☑ No ☐
Deficiency Letter Issued 7/17/25, 8/5/2025, 4/22/25 ; 5/19/2025, 7/7/25, 7/10/25 Application Deemed Complete 9/3/2025
Applicant Eligible for Waiver? Yes ☑ No ☐ If Yes: ☑ Waiver Requested ☐ Failed to Request Waiver

Forward to ☑ **Investigations** ☐ **Legal** ☐ **Commission** Initials: CH Date 9/16/2025

Comments:

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 2025073896 **Incident date:** 09/03/2025 **Status:** 106 - Sent to Directors office

Lic Type: 1021 **Disposition:**

Case Type: Complaint

Responsible: elanier - LANIER, EBONIE

Complainant: DIVISION OF PARI-MUTUEL WAGERING

1400 W COMMERCIAL BLVD, STE 165, FORT LAUDERDALE, FL 33309

Respondent: LEWIS, STEVEN

6030 ESTATE SMITH BAY, ST THOMAS, VI 00802

Summary: 321 -GULFSTREAM PARK RACING ASSOCIATION, INC

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
10/10/2025	A	10	15	Assigned to Invesligator	lvila	LEWIS, STEVEN
12/09/2025	A	79	70	Sent to Director for Review	charris	LEWIS, STEVEN
10/10/2025	A	79	440	Incoming Correspondence	jhocge	LEWIS, STEVEN
12/08/2025	R		bjones	JONES, BRADFORD	bjones	
12/09/2025	R		charris	HARRIS, CYNTORIA	jhocge	
12/10/2025	R		elanier	LANIER, EBONIE	charris	
10/10/2025	R		lvila	VILA, LISA	jhocge	
12/09/2025	S	1021	90	Closed	jhocge	

CH

State of Florida

Department of Business and Professional Regulation

Chronology Report

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
12/09/2025	S	1021	104	Sent to Licensing Section	jhocge	
12/10/2025	S	1021	106	Sent to Directors office	charris	
12/08/2025	S	1021	165	Supervisor Review	bjones	
10/10/2025	S	1021	10	Initial Review	jhocge	
10/10/2025	S	1021	20	Under Investigation	jhocge	

Allegation:

Code	Description
WAVR	Waiver of Crim. Conv. or Other Offenses

Violation:

Code	Description	Respondent
WAVR	61D-5.006	LEWIS, STEVEN

LICENSES

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number: [redacted]
Date of Birth:
First Name:
Middle Name:
Last Name: LEWIS
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

CH

[redacted]

CH
12/10/2025

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **charris**

License

Fed Tax # [redacted] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **07/10/2025**

File # **75072** Name **LEWIS, STEVEN** Extended To

License # **14364826** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **14364826** Lic Status **App Expired**

Licensee | History | Notes | Notes History | Back

Address

Street # **6030** Street **ESTATE SMITH BAY**

Line 2

Line 3

City **ST THOMAS** State **VI** Zip **00802**

Routing

*New Applicant

*No Previous License

*Temp Issued

*No ARCI Findings

*No CCIS findings

*No additional enforcement cases.

Other

1st License Date **04/11/2025** Rank Date **04/11/2025** Certificate #

Method **I-S-1019** Status Date **09/16/2025** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	IOWN - Individual Animal Owner	04/11/2025	
I	TBRD - Thoroughbred	04/11/2025	
L	321 - Gulfstream Park	04/11/2025	

Alt Keys

BEST LIC NBR **14364826**

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FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1021 - Pari-Mutuel Wagering Individual Occupational**			Status	**90 Closed**	Status Date	**12/09/2025**
Complaint #	**2025073896**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**LEWIS, STEVEN**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**
Form	**WALK - Walk-in**	Priority	**1**
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**09/03/2025**
Region	**SR - Southern Region**	Received	**10/10/2025**
Reference			
Entered	**10/10/2025**	Entered By	**jhodge**
Summary	**321 -GULFSTREAM PARK RACING ASSOCIATION, INC**		
Updated	**12/09/2025 18:54:48**	By	**jhodge**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☑ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

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Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Alana Zimmer, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**Southern**	**October 10, 2025**	**2025-07-3896**

Respondent:	Complainant:
LEWIS, STEVEN **6030 ESTATE SMITH BAY** **ST THOMAS, VIRGIN ISLANDS 00802**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 WEST COMMERCIAL BOULEVARD, SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # / Type:	Profession:	Report Date:
14364826 / 1021	**Individual Animal Owner**	**November 6, 2025**

Period of Investigation:	Type of Report:
October 13, 2025 - October 30, 2025	**Final**

Basis of Investigation: This investigation is predicated upon receipt of Steven **LEWIS's** application for a State of Florida Animal Owner Temporary License Application received by Licensing on April 11, 2025, PMW Individual Occupational License Application received by Licensing on May 8, 2025, amended Occupational License Application and Waiver Request received on August 4, 2025.

On his applications, **LEWIS** answered "YES" to the question, "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? However, on his initial Occupational License Application **LEWIS** did not list any offenses. On his amended Occupational License Application, **LEWIS** disclosed a 1996 arrest for Agg. Assault, a 2007 arrest for Poss / Sale of Ammunition and a 2017 arrest for Harboring illegal Aliens, all of which occurred in the US Virgins Islands. Despite his responses, **LEWIS** was issued a 90-day Temporary Occupational License on April 11, 2025 with an expiration date of July 10, 2025. **LEWIS** was subsequently sent (6) Deficiency Letters on 4/22/25, 5/19/25, 7/7/25, 7/10/25, 7/17/25 and 8/5/25, stating that he needed to provide documentation regarding court disposition records related to the arrests in Saint Thomas Virgin Islands. **LEWIS** submitted a request for Waiver on August 4, 2025 along with additional arrest information (**Exhibit #1).**

Related Case:

Investigations Specialist II / Date 11/07/2025	Investigation Manager / Date:
Lisa Vila /	Tyrell Smith / November 12, 2025

Chief of Investigations / Date

Bradford D. Jones / December 9, 2025

CRIMINAL HISTORY

Arrest 1	
Date of Arrest: 11/13/1996	**Arresting Agency:** United States Virgin Islands Police Department

OFFENSE

	CHARGE(S):	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1	Aggravated Assault	Felony	Guilty	Guilty	07/14/1997
2					
3					
4					

SENTENCE

Additional Information:
Pled guilty, paid $50.00 fine. $175.00 Court cost.

Arrest 2	
Date of Arrest: 01/08/2007	**Arresting Agency:** US Customs and Border Patrol

OFFENSE

	CHARGES	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1	Possession/Sale of Ammunition	Felony	Not guilty	Dismissed	06/04/2007
2					
3					
4					

SENTENCE

N/A

Additional Information: Failed to disclose ammo on declaration form.
.

CONTINUATION

CRIMINAL HISTORY

Arrest 3	
Date of Arrest: 08/03/2017	**Arresting Agency:** Immigration and Customs Enforcement/ Department of Homeland Security

OFFENSE

	CHARGES	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1	Bringing Aliens to the US	Felony	Guilty	Guilty	03/22/2018
2					
3					
4					

SENTENCE

12 months prison. – supervised release,36 months probation,400 hours community service, drug testing. $100 in Court assessed fees.

Additional Information:

Arrest 4	
Date of Arrest:	**Arresting Agency:**

OFFENSE

	CHARGES	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License? *Temp.	X	
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type: *Temporary 1021 Individual Animal Owner			
Date Licensed: 04/11/2025	Expiration Date: 07/10/25	License #: 14364826	Agency or Jurisdiction: PMW

	YES	NO
Has License ever been suspended or revoked?		X
Was any derogatory information received?		X
Additional Comments:		

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

B	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

3. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

4. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

WAIVER INTERVIEW

		YES	NO
Was a Waiver Interview Conducted?		X	
Date of Interview: October 29, 2025	Location of Interview: Telephonic Interview		
		YES	NO
Was the applicant cooperative?		X	
Additional Comments:			

SUMMARY OF INTERVIEW:

On October 29, 2025, I contacted **LEWIS** and explained the purpose of my call. I then conducted the waiver interview. During the interview, **LEWIS** and I discussed the information regarding his Felony arrests in the U.S. Virgin Islands (USVI).

I asked **LEWIS** about his 1996 Aggravated Assault arrest. **LEWIS** explained he parked his vehicle and was approached by a USVI Police officer who told him to move his vehicle. At the time **LEWIS** was employed as a Correction Officer and advised the officer he was there on official business. The officer refused to listen and grabbed him by the arm**. LEWIS** then responded by grabbing her back. **LEWIS** was arrested, pled guilty in court, paid a fine, and the case was later closed. **LEWIS** stated that he continued to work as a Correction Officer for 30 years and is currently retired **(Exhibit #2).**

In reference to his arrest for Possession / Sale of Ammunition. **LEWIS** advised he was on the ferry boat on his way home back from the British Virgin Islands. Upon searching his property when he arrived back at the US Virgin Islands, a box of gun ammunition was discovered by a Customs Agent in his travel bag. **LEWIS** failed to disclose the item on a declaration form and claimed it was an oversight on his behalf. **LEWIS** was required to appear in court for the offense and subsequently the case was dismissed.

In reference to his 2017 arrest for Smuggling Aliens into the US, **LEWIS** stated he was arrested pursuant to a Federal Arrest Warrant issued by the United States District Court, based upon an investigation conducted by the Department of Homeland Security. The indictment contained information that **LEWIS** encouraged or induced, an illegal alien to come, enter or reside in the United States **(Exhibit # 2).**

LEWIS plead guilty to the charges of harboring at least 4 Indian nationals that arrived illegally from Tortola British Virgin Islands to St. Thomas US Virgin Islands. **LEWIS** explained, he was working as a Taxi driver and met the individuals on the beach after receiving a call from a friend who asked him to help foreign tourists find a place to stay. He did not ascertain their status and put them up in an apartment. **LEWIS** was told by his friend that he would be compensated for providing lodging to them at an apartment he owned.

LEWIS went on to say, once he discovered additional Indian nationals staying at the apartment and their immigration status was in question, he evicted them. When the authorities (U.S. Customs and Border Protection) confronted the Indian nationals at the airport, interviewed them and asked where they were staying, they gave provided the information on **LEWIS** and his address.

CONTINUATION

At the conclusion of the interview **LEWIS** stated, "To be completely honest, I suspected that individuals were possibly illegal", but was raised to help people, in a family way".

LEWIS was arrested on August 3, 2017, two years after his alleged criminal activity. He later pled guilty to one count of Bringing in and Harboring Aliens. He served 12 months in prison and was on supervised release for a term of three years and ordered to perform 400 hours of community service **(Exhibit #2).**

LEWIS further stated that he has not had any issues with law enforcement since his last arrest. He is seeking a license to race thoroughbreds as an owner at Gulfstream Park (GSP). **LEWIS** became interested in the industry, many years ago and currently races horses in the Virgin Islands at Clinton E. Phipps Sr. Racetrack. No licensure is required in the USVI for horse owners. He advised he does not possess a horse owners license in any other state.

A check of the Association of Racing Commissioners International (ARCI) database showed no rulings against **LEWIS.**

LEWIS was cooperative during the Waiver Interview and answered questions that were asked. Based on our conversation his answers appeared consistent with the legal documents that were provided. He is currently working as a taxicab driver and provided a copy of his identification via email **(Exhibit #1).**

There is no other information available at this time.

Status: Closed by Investigations and forwarded to Licensing.

CONTINUATION

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I. INVESTIGATIVE REPORT COVERSHEET 1

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ROUTING SLIP
REQUEST FOR WAIVER

RE: Lewis, Steven – LIC # 14364826 (APPLICANT'S NAME – LICENSE #)

Case No: 2025 07-3896

1021 / Animal Owner
Occupation Code and Job Title

Gulfstream Park Casino
Facility (d/b/a name)

Waiver Referral Date: October 10, 2025
(DATE)

Investigations Section: Reviewed by Bradford D. Jones 12/9/2025
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: CH 12/10/2025
(Initial & Date)

(Initial & Date)

Is the applicant currently under suspension, have unpaid fines, been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [✓] No If yes, in what jurisdiction? _____

Investigative Findings:

November 13, 1996	United States Virgin Islands Police Department - Aggravated Assault – Convicted upon a plea of guilty, and sentenced on July 14, 1997. – Ordered to pay a $50.00 Fine and $175.00 in Court costs.
January 8, 2007	US Customs and Border Patrol Possession, St. Thomas USVI – Possession / Sale of Ammunition – Pled Not Guilty. - Case dismissed on June 4, 2007.
August 3, 2017	Immigration and Customs Enforcement / Department of Homeland Security, St Thomas USVI - Bringing / Harboring Illegal Aliens to the United States – Convicted upon a plea of Guilty and sentenced on March 22, 2018, to 12 months in prison, 3 years' Probation / Supervised Release. - Also ordered to perform 400 hours of Community Service and pay $100.00 in Court costs.

*Please attach Routing Slip to front of case file

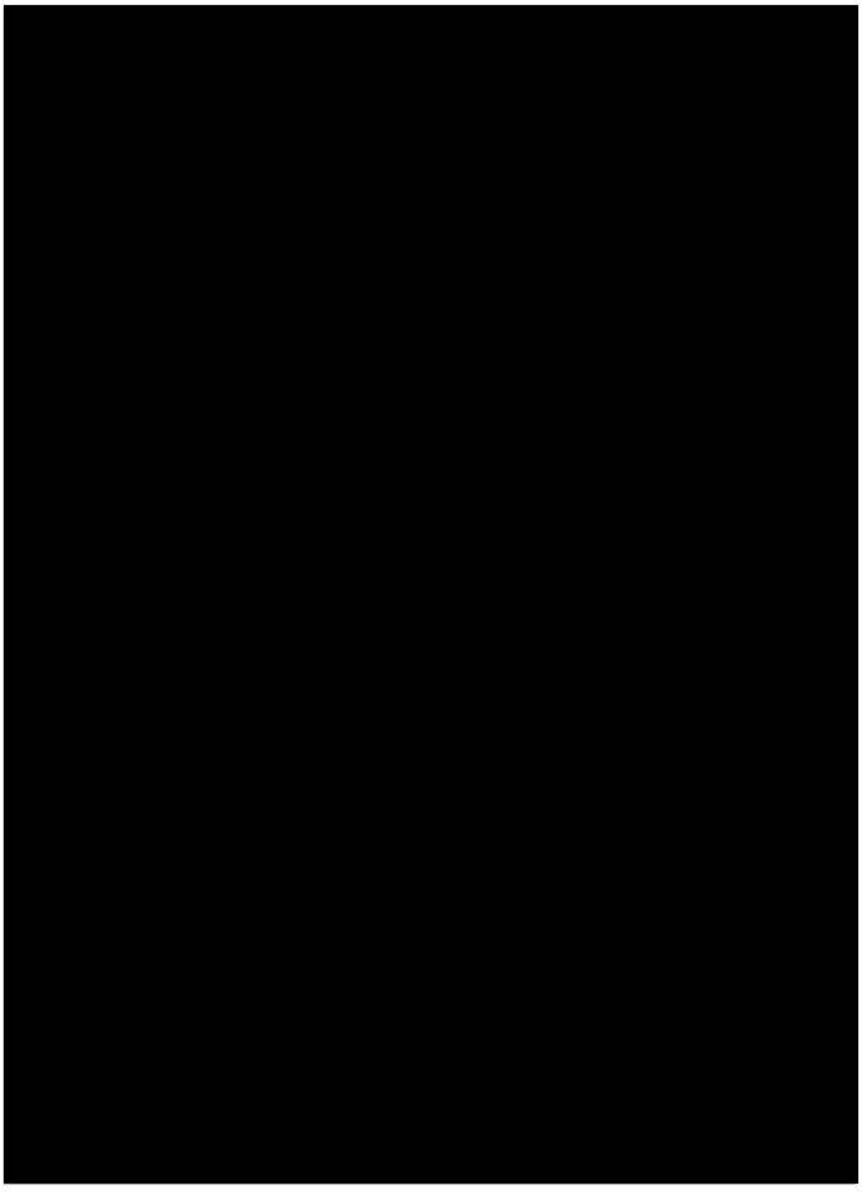


EXHIBIT # 1
PAGE # 1

2025 APR 16 PM 3:09

FLORIDA GAMING CONTROL COMMISSION

RECEIVED
APR 11 2025

BY: .....

DBPR PMW-3110 – Animal Owner Temporary License Application

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

www.myfloridalicense.com

INSTRUCTIONS

The 90-day temporary license will be issued if the applicant or an owner's trainer or a greyhound owner's kennel owner/operator completes this temporary license agreement and pays the license fee and fingerprint fee if applicable. The following terms and conditions apply to this temporary licensing:

1) The license is valid for 90 days from the date of issuance;
2) Should the owner fail to provide the necessary documents within the stipulated time:
   a) The owner's temporary license will have expired and the owner's racing animals will not be eligible to participate in pari-mutuel races.
   b) The owner will be ineligible to apply for another temporary license until satisfactorily completing the licensing process.

APPLICANT INFORMATION

Name of Owner/Business	License Number of Owner/Business (if applicable)
Steve Lewis	14364826-75072
Street Address or P.O. Box	
6030 estate Smith bay / St Thomas usvi 00802	
City	**State** / **Zip Code (+4 optional)**
	VI
Name of Trainer/Operator	**License Number of Trainer/Operator**
Bobby DiBona	3910649
Trainer/Operator Primary Phone Number	**Trainer/Operator Alternate Phone Number**
781-888-6660	781-888-6660
Receipt Number	**Amount Paid**
241061	40

ATTEST STATEMENT

I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I authorize all law enforcement or criminal justice agencies to release all requested information to the Department of Business and Professional Regulation. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Pursuant to Section 550.2415, Florida Statutes, I agree to allow the Division to perform a postmortem exam of any racing animal under my care or ownership.

Signature of Applicant or Applicant's Representative _____

Date 4-11-2024

EXHIBIT # -1
PAGE # -2

2025 MAY -8 PM 2:22

FLORIDA GAMING CONTROL COMMISSION

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
FGCC PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION

[redacted] | Birth Date [redacted] | Gender ☑ Male ☐ Female

First: Lewis | Middle: Steven | Suffix:

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☑ Yes ☐ No

If yes, list the name or names used: Champion

Race/Ethnicity (optional)
☑ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☐ White or Caucasian ☐ Hispanic/Latino ☐ Other

Current Mailing Address	Email Address (optional)
6030 Estate Smith Bay	Championcooler@gmail.com

City	State	Zip Code (+4 optional)	Country, if other than USA
St. Thomas	VI	00802	

Primary Phone Number	Secondary/Cell Phone Number (optional)
340-642-4047	

Current Street Address: 6030 Estate Smith Bay

City	State	Zip Code (+4 optional)	Country, if other than USA
St. Thomas	VI	00802	

Type of Occupational License applying for:
☐ General Individual ☐ Professional Individual
☐ Cardroom Employee

Occupation: Owner

Facility where employed and/or doing business: Golf Stream Park

Does your position require access to the Cardroom? ☐ Yes ☑ No

Is this your first time applying for a racing/gaming license in Florida? ☑ Yes ☐ No

Are you a Supervisor, Manager, or Shareholder of a pari-mutuel permitholder? ☐ Yes ☑ No

Do you own or lease animals intended for racing in Florida? ☑ No ☐ Yes, complete the following:

Stable Name, Kennel Name, or Business Name: _____

Trainer Name (horse or greyhound racing only): _____

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code 1021 License # 1426 4926 File # 75072 App # 261141

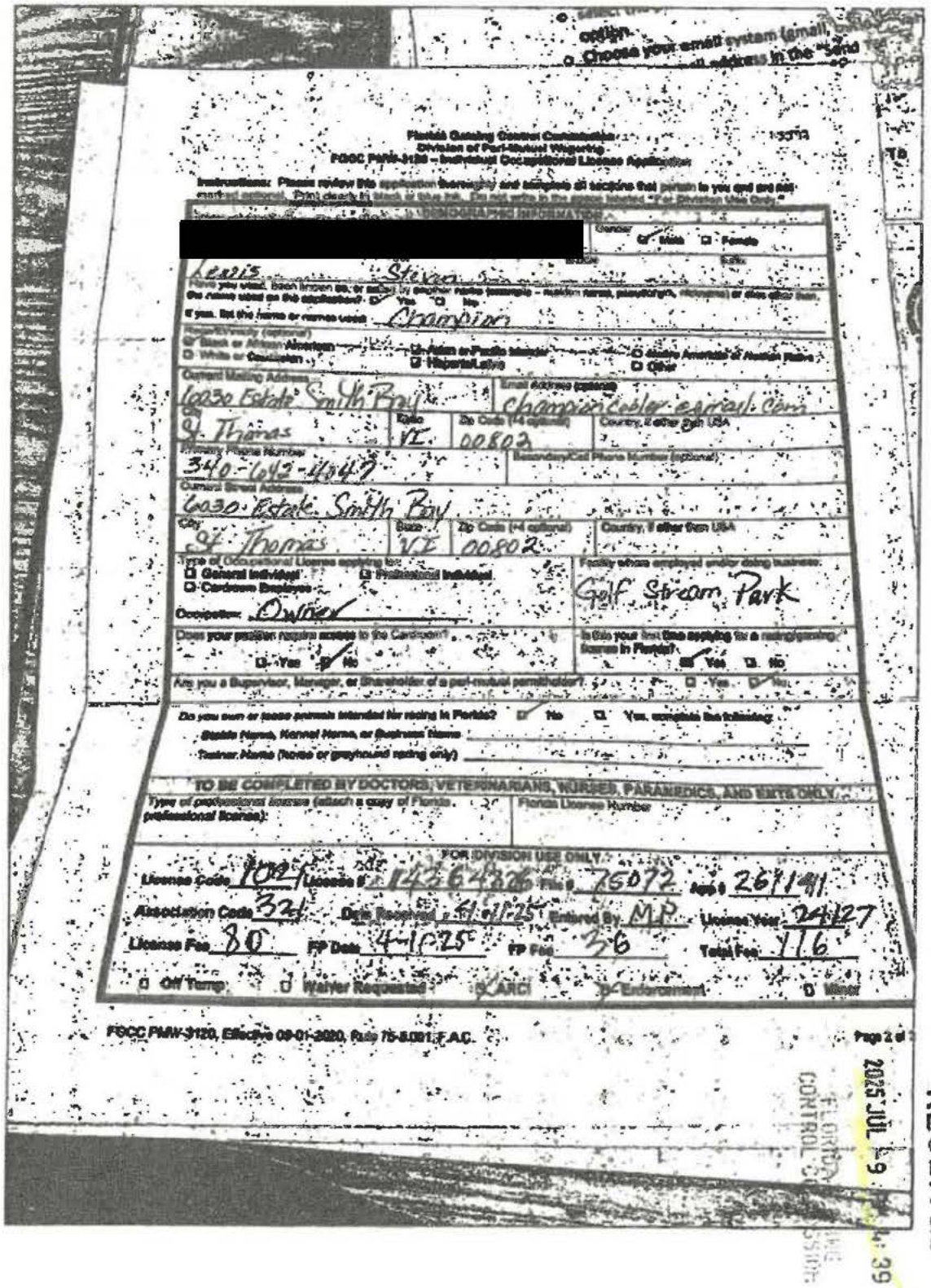
Association Code 326 Date Received 4-11-25 Entered By TL License Year 24/27

License Fee 40 FP Date 4-11-25 FP Fee 36 Total Fee 76

☑ Off Temp ☐ Waiver Requested ☐ ARCI ☐ Enforcement ☐ Minor

OFF Temp Application for a 1049 transaction

EXHIBIT # 1
PAGE # 3



Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
FGCC PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked preprinted. Print clearly in black or blue ink. Do not write in the spaces labeled "For Division Use Only."

[redacted]

Gender: ☑ Male ☐ Female

Last: Learis | First: Steven | Middle:

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on this application? ☑ Yes ☐ No
If yes, list the name or names used: Champion

Race/Ethnicity (optional):
☐ Black or African American ☐ White or Caucasian ☐ Asian or Pacific Islander ☑ Hispanic/Latino ☐ Native American or Alaskan Native ☐ Other

Current Mailing Address: 6030 Estate Smith Bay | Email Address (optional): Champion Cooler.carrier1.com
City: St. Thomas | State: VI | Zip Code (+4 optional): 00802 | Country, if other than USA:
Primary Phone Number: 340-642-4047 | Secondary/Cell Phone Number (optional):
Current Street Address: 6030 Estate Smith Bay
City: St. Thomas | State: VI | Zip Code (+4 optional): 00802 | Country, if other than USA:

Type of Occupational License applying for:
☐ General Individual ☐ Professional Individual
☐ Cardroom Employee
Occupation: Owner

Facility where employed and/or doing business: Golf Stream Park

Does your position require access to the Cardroom? ☐ Yes ☑ No
Is this your first time applying for a racing/gaming license in Florida? ☑ Yes ☐ No

Are you a Supervisor, Manager, or Shareholder of a pari-mutuel permitholder? ☐ Yes ☑ No

Do you own or lease animals intended for racing in Florida? ☑ No ☐ Yes, complete the following:
Stable Name, Kennel Name, or Business Name:
Trainer Name (horse or greyhound racing only):

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY
Type of professional license (attach a copy of Florida professional license):
Florida License Number:

FOR DIVISION USE ONLY
License Code: 102 | License #: 2436436 | File #: 75072 | App #: 261191
Association Code: 321 | Date Received: 4/1/25 | Entered By: MP | License Year: 24127
License Fee: 80 | FP Date: 4/1/25 | FP Fee: 36 | Total Fee: 116
☐ Off Temp ☐ Waiver Requested ☑ ARCI ☐ Endorsement ☐ Minor

FGCC PMW-3120, Effective 09-01-2020, Rule 75-5.001, F.A.C. Page 2 of 3

RECEIVED
2025 JUL 9 4:39
FLORIDA GAMING CONTROL COMMISSION

EXHIBIT # ~1
PAGE # 4

2025 MAY -8 PM 2:22

FLORIDA GAMING
CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NEEDED)

☑ Yes ☐ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☑ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE

☐ Yes ☑ No	Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.
☐ Yes ☑ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for obtaining a change, correction, or updating of the FDLE or FBI criminal history are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of any challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 550.105, Florida Statutes.

Signature of Applicant

Date 5/5/25

EXHIBIT # 1
PAGE # 5

2025 AUG -4 PM 2:07

FLORIDA GAMING
CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☑ Yes ☐ No	Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☑ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
6/8/07	USVI		Poss. OR SALE of Ammunition	Felony	Case dismissed
11/20/96	USVI		Agg. Assault;	Felony	Fined $500 do hrs
			BATTERY		100 do hrs
8/20/2017	USVI		Harbouring Illegal Aliens	Felony Sentence to 1 year	Court Cost

☐ Yes ☑ No	Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.
☐ Yes ☑ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

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I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Signature of Applicant

7/21/25
Date

EXHIBIT # -1
PAGE # -6

FGCC PMW-3180 – Request for Waiver

2025 AUG -4 PM 2:09

FLORIDA GAMING CONTROL COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

TO: Steven Lewis
Full Legal Name of Applicant

[redacted]
Date of Birth

Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Executive Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

☐ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

☑ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Florida Gaming Control Commission will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

Steven Lewis
Signature of Applicant

7/21/25
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM FGCC PMW-3195 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

EXHIBIT # 1
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2025 SEP -3 AM 9:45

FLORIDA GAMING
CONTROL COMMISSION

2025 AUG -4 PM 2:09

FLORIDA GAMING
CONTROL COMMISSION

FGCC PMW-3195 – Request for Release of Information and Authorization to Release Information

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

PERSONAL INFORMATION

Social Security Number [redacted]

IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION:

Last Name	First	Middle	Title	Suffix
Lewis	STEVEN		MR	

Birth Date (MM/DD/YYYY) [redacted]

IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION:

Representative's Name Last	First	Middle	Title	Suffix

Permitholder Name

Official Capacity

ATTEST STATEMENT

I, STEVEN Lewis, do hereby instruct all law enforcement
(name of applicant/representative)
or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Florida Gaming Control Commission.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.

STEVEN Lewis (CHAMPION)
(If individual applicant - legal name and any nickname or alias in parentheses)

Applicant/Representative Signature: [signature] Date: 7/21/25

NOTARIZATION

The foregoing application was sworn to and subscribed before me this ___ Day of _____, 20___

by STEVEN Lewis [signature]
Type or print name of applicant Signature of applicant

who is personally known to me or who has produced the following as identification: the U.S. Virgin Islands

Driving License
Type of Identification

Judicial District of St. Thomas/St. John
Sworn and subscribed before me
This 4 day of 8, 2025

[signature]
Signature of person taking acknowledgment

Notary Seal
(Rubber Stamp and Expiration)

Notary Public No. 108-24
Commission Expires: 03-15-2027

FGCC PMW-3195, Effective 09-12-2012, Rule 75-4.002, F.A.C. Page 1 of 1

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Government of
The Virgin Islands of the United States

VIRGIN ISLANDS POLICE DEPARTMENT

ST. THOMAS/WATER ISLAND (XX) ST. CROIX (XX) ST. JOHN (XX)

Date: May 5, 2025

NAME: Steven A. LEWIS

ALIAS: D.O.B.: [redacted] P.O.B.: ST. THOMAS, USVI

CRIMINAL NO. CODE: PAGE: 1 OF 1

DATE OF ARREST	NAME	CITY & STATE	CHARGE	DATE DISPOSITION AND COURT
11/13/96	Steven A. LEWIS	ST. THOMAS	Aggr. Asslt. & Batt. Interfering W/ Ofc. Discharging His Duties Obstr. Of Trf. By Veh. Failure To Comply	07/14/97 Disp.: TC#47396- Ct. II-Fined $50 Ct. IV-Fined $100 W/ $75 Susp.
01/08/07	Steven A. LEWIS	ST. THOMAS	Poss. Or Sale Of Ammunition	No Known Disp.

I CERTIFY: That the above is a true copy or transcript of the record of the aforementioned individual in our Criminal file.

N.B.Thomas

Natasha Barrott-Thomas
Chief of Records
Mgmt., STT/STJ

Record Search by:

J. Setorie
Jahmalya Setorie
Comm. Service Ofc.
Title

Code: (NFP) Unsupported by Fingerprints
(SFP) Supported by Fingerprints

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2025 SEP -3 AM 9:44

DETAILS OF INVESTIGATION

Details of Investigation:

On August 3, 2017 Homeland Security Agents assigned to the Resident Agent in Charge, St. Thomas Office with the assistance of U.S. Customs and Border Protection Marine Enforcement Agents arrested Steven LEWIS in the area of Coral World, St. Thomas, United States Virgin Islands. LEWIS was arrested pursuant to a Federal Arrest warrant issued by the United States District Court for the District of the Virgin Islands. LEWIS was arrested without incident and provided a copy of the arrest warrant issued by the United States District Court for the District of the Virgin Islands.

On August 3, 2017 LEWIS was transported to the HSI RAC St. Thomas Office for booking and processing after being arrested and taken into custody. At the HSI RAC St. Thomas Office, this writer informed LEWIS that he was being arrested for smuggling aliens into the US Virgin Islands. This writer informed LEWIS that there were numerous Indian Nationals that would be providing testimony in court that he assisted in smuggling then into St. Thomas. This writer showed LEWIS photographs of Chintamkumar PATEL, Unikkumar PATEL and Priyankaben PATEL and informed him that all three would be providing testimony in Court that he assisted in the smuggling of them into St. Thomas and to be smuggled out of St. Thomas. This writer also informed LEWIS that this writer knows that "JABA" (Rosindo GUMBS) is the person that organizes the smuggling of the Indian Nationals and that this writer would like to speak to LEWIS regarding GUMBS. LEWIS stated that he did not know anything about the smuggling of Indian Nationals but would answer whatever questions this writer had about GUMBS. This writer informed LEWIS that in order for this writer to ask LEWIS any questions, this writer was required to read LEWIS his statement of rights. This writer then read LEWIS the Department of Homeland Security Statement of Rights (ICE Form 73-025). LEWIS stated to this writer that he did not wish to answer any questions without having an attorney present. This writer and HSI Task Force Officer Kenneth Small then proceeded to process/book LEWIS.

At the conclusion of the processing of LEWIS, this writer informed LEWIS that this writer was going to make a statement to LEWIS. This writer instructed LEWIS not to respond to the statement made by this writer, since LEWIS had requested not to answer any questions without his attorney being present. This writer informed LEWIS that the three Indian Nationals (that this writer had shown LEWIS photographs of) were all very upset with LEWIS. LEWIS then stated to this writer that if a person stays at a hotel and does not pay the hotel all of monies owed, the hotel would then kick the person out of the hotel. This writer again instructed LEWIS not to make any statements. LEWIS stated to this writer that it is all going to come out. LEWIS stated to this writer that he has to pay the owner of the residence where the Indian Nationals were staying in

This document is loaned to you for official use only and remains the property of the Department of Homeland Security. Any further request for disclosure of this document or information contained herein should be referred to HSI Headquarters together with a copy of the document.

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St. Thomas and if the Indian Nationals are not paying him all of the money that they had to go and that is why he evicted them from the residence. LEWIS stated that one day he came to the residence and found additional Indian Nationals staying at the residence without his knowledge and that was unacceptable. This writer again instructed LEWIS not to make any statements. LEWIS did not make additional statements at that point.

The investigation continues.

This document is loaned to you for official use only and remains the property of the Department of Homeland Security. Any further request for disclosure of this document or information contained herein should be referred to HSI Headquarters together with a copy of the document.

AO 442 (Rev. 11/11) Arrest Warrant

UNITED STATES DISTRICT COURT

for the

District of Virgin Islands

FILED UNDER SEAL

United States of America
v.
STEVEN LEWIS

Case No. 2017-CR- 12

Defendant

Recd USMS-STT FEB 21 '17 AM08:37

ARREST WARRANT

To: Any authorized law enforcement officer

YOU ARE COMMANDED to arrest and bring before a United States magistrate judge without unnecessary delay

(name of person to be arrested) STEVEN LEWIS ,

who is accused of an offense or violation based on the following document filed with the court:

☑ Indictment ☐ Superseding Indictment ☐ Information ☐ Superseding Information ☐ Complaint

☐ Probation Violation Petition ☐ Supervised Release Violation Petition ☐ Violation Notice ☐ Order of the Court

This offense is briefly described as follows:

8 U.S.C. Section 1324(a)(1)(A)(v)(I)
8 U.S.C. Section 1324(a)(1)(A)(iv)
8 U.S.C. Section 1324(a)(1)(B)(i)
8 U.S.C. 1324(a)(2)(B)(ii).

Date: 2/16/17

Issuing officer's signature

City and state: Charlotte Amalie, St. Thomas USVI

Honorable District Court Judge Curtis V. Gomez
Printed name and title

Return

This warrant was received on *(date)* 2/17/2017 , and the person was arrested on *(date)* 8/3/2017
at *(city and state)* ST. THOMAS, USVI .

Date: 8/3/2017

Arresting officer's signature

Michael Kean Special Agent - HSI
Printed name and title

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This second page contains personal identifiers provided for law-enforcement use only and therefore should not be filed in court with the executed warrant unless under seal.

(Not for Public Disclosure)

Name of defendant/offender: STEVEN LEWIS

Known aliases:

Last known residence: 4H SMITH BAY, ST. THOMAS, USVI 00802

Prior addresses to which defendant/offender may still have ties:

Last known employment: UNKNOWN

Last known telephone numbers: (340) 642-4047

Place of birth: ST. THOMAS

Date of birth: [redacted]

Social Security number:

Height: 5'11" Weight: 225

Sex: M Race: BLACK

Hair: Eyes:

Scars, tattoos, other distinguishing marks: UNKNOWN

History of violence, weapons, drug use: UNKNOWN

Known family, friends, and other associates *(name, relation, address, phone number)*:

FBI number:

Complete description of auto: DODGE CARAVAN 2005 (BLUE)

Investigative agency and address: HSI, CROWN MOUNTAIN RADAR SITE, ST. THOMAS, USVI

Name and telephone numbers (office and cell) of pretrial services or probation officer *(if applicable)*:

Date of last contact with pretrial services or probation officer *(if applicable)*:

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IN THE DISTRICT COURT OF THE VIRGIN ISLANDS
DIVISION OF ST. THOMAS/ST.JOHN

UNITED STATES OF AMERICA	)	
	)	
	)	
	)	**Criminal No. 2017-12**
v.	)	
	)	
STEVEN LEWIS,	)	
	)	
Defendant.	)	

PLEA AGREEMENT

1. PARTIES TO THE AGREEMENT

This agreement is entered into by defendant **STEVEN LEWIS** and, David J. Cattie, attorney for **STEVEN LEWIS**, and the United States Attorney for the District of the Virgin Islands. This agreement specifically excludes and does not bind any other territorial, state or federal agency, including the other United States Attorneys and the Internal Revenue Service, from asserting any civil, criminal or administrative claim against the defendant.

2. TERMS

The parties agree to the following terms:

a. Defendant will plead guilty to Count One of the Indictment. Count One charges a violation of Title 8, United States Code, Section 1324(a)(1)(A)(IV), which carries a statutory maximum sentence of ten years imprisonment, a $250,000 maximum fine, a term of supervised release of not more than three years and a $100.00 special monetary assessment.

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IN THE DISTRICT COURT OF THE VIRGIN ISLANDS
DIVISION OF ST. THOMAS/ST. JOHN

UNITED STATES OF AMERICA,	)	
	)	
Plaintiff,	)	
	)	
v.	)	CASE NO. ST-17-CR-012
	)	
STEVEN LEWIS et al.	)	
	)	
Defendants.	)	
	)	

DEFENDANT'S SENTENCING MEMORANDUM

Defendant, STEVEN LEWIS, by and through his attorney, David J. Cattie, Esq. of The Cattie Law Firm, P.C., pursuant to Fed.R.Crim.P. 32 hereby submits the following Sentencing Memorandum on behalf of Defendant. In support of said memorandum, LEWIS respectfully refers this Honorable Court to the following brief:

INTRODUCTION

On October 31, 2017, Defendant LEWIS entered a plea of guilty to Brining In, Transporting or Harboring Certain Illegal Aliens in violation of 8 U.S.C. § 1324(a)(1)(A)(v)(I). The Court remanded LEWIS into custody on that date and he has been incarcerated at BOC on St. Thomas and at the MDC Guaynabo since that date. As of the date of sentencing LEWIS will have been incarcerated for 4 months and 23 days (143 days).

ARGUMENT

I. THE LIFE OF STEVEN LEWIS

a. Steven Lewis' Past

Steven Lewis is a 57 year old native Virgin Islander with a high school education. PSR at 2. Prior to his arrest and incarceration, Mr. Lewis lived on St. Thomas and worked full-time as a

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licensed taxi operator. PSR at 10. Mr. Lewis has two adult children, his son Steven Jr. who lives in St. Thomas and his daughter, Sherry Lewis who resides in Atlanta. PSR at 8.

b. Steven Lewis' Present.

Mr. Lewis is currently incarcerated and awaiting sentencing in this case. Mr. Lewis has been incarcerated for several months. He is currently working in the prison library. It is noteworthy that Mr. Lewis was arrested nearly two years after his alleged criminal conduct. There is no indication that Mr. Lewis engaged in any additional criminal conduct during that considerable time frame. A lack of criminal activity since December 2015, coupled with the fact that Mr. Lewis has no prior criminal history is indicative of a person who is not a criminal and who poses virtually no risk of reoffending. Mr. Lewis was not incarcerated pending trial in this case and he never violated the terms of pretrial release.

c. Steven Lewis' Future.

Life does not have a rewind button. Like many people who find themselves standing before a judge at sentencing, Mr. Lewis wishes she could go back and undo what he has done. He cannot. Mr. Lewis can only accept his punishment, utilize whatever resources are provided to him while incarcerated, and come out of prison ready to get back on track. When advised that he would likely need to complete community service hours as part of his sentence, Mr. Lewis *sua sponte* suggested that he could volunteer to drive elderly persons and persons of limited means to doctors' visits when he is released. Mr. Lewis' attitude shows that he is ready to be reintroduced to free society.

II. THE OFFENSE CONDUCT

Mr. Lewis accepts full responsibility for his actions. Mr. Lewis arranged for housing for 4 illegal aliens knowing they were not in the country legally. Mr. Lewis admits that he did so in

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b. Defendant acknowledges that the government can prove the following essential elements of Count One beyond a reasonable doubt:

1. Defendant encouraged or induced;
2. An alien;
3. To come, enter, or reside in the United States

c. Defendant is pleading guilty because defendant is in fact guilty of the charges contained in Count One. In pleading guilty to this offense, defendant acknowledges that should the case go to trial, the government could present evidence to support this charge beyond a reasonable doubt. At trial the government would have presented testimonial and other evidence establishing that on or around December 2015 and January 2016, defendant aided and abetted other third persons in transporting and harboring at least four India nationals who had arrived illegally from Tortola to St. Thomas in the United States Virgin Islands. Defendant knowingly transported the aliens and harbored them in a residence defendant provided to them on St. Thomas. The government would provide evidence that defendant engaged in this illegal activity for financial gain.

d. Upon the District Court's adjudication of guilt of defendant for violations of Title 8, United States Code, Section 1324(a)(1)(A)(IV), the United States Attorney for the District of the Virgin Islands, agrees to seek the dismissal of the remaining count(s) of the Indictment, if any, at the time of the defendant's sentencing. Additionally, the United States Attorney for the District of the Virgin Islands, will not file any further criminal charges against defendant arising out of the same transactions or occurrences to which the defendant has pled.

e. Nothing in this agreement shall protect the defendant in any way from prosecution for any offense committed after the date of this agreement.

f. Defendant recognizes that pleading guilty may have consequences with respect to his immigration status if he is not a citizen of the United States. Removal and other immigration consequences are the subject of a separate proceeding, however, and defendant understands that no one, including his attorney or the district court, can predict to a certainty the effect of his conviction on his immigration status. Defendant nevertheless affirms that he wants to plead guilty

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regardless of any immigration consequences that his plea may entail, even if the consequence is his automatic removal from the United States.

3. SENTENCING GUIDELINES

The parties understand and agree that the defendant will be sentenced in accordance with the United States Sentencing Guidelines which are advisory to the Court. Adverse rulings shall not be grounds for withdrawal of defendant's plea. The Court is not limited to consideration of the facts and events provided by the parties. The parties understand that the Court may impose any sentence, up to the statutory maximum, regardless of any guideline range computed, and that the Court is not bound by any position of the parties.

To the extent the parties disagree about the sentencing factors, the computations below identify the factors which may be in dispute.

a. Base Offense Level. The government believes that the defendant's base offense level is a level 12 pursuant to USSG § 2L1.1

b. Specific Offense Characteristics. No other specific offense characteristics apply.

c. Chapter 3 Adjustments. No other Chapter 3 adjustments apply.

d. Grouping of Related Counts. n/a

e. Acceptance of Responsibility. The government agrees to recommend that the defendant receive credit for acceptance of responsibility at the time of sentencing, assuming the defendant does in fact clearly demonstrate acceptance of responsibility, in accordance with USSG §3E1.1. Assuming the defendant does in fact accept responsibility, the government agrees to also file a motion requesting that the defendant receive an additional one point decrease in the offense level as contemplated by USSG § 3E1.1(b), so long as: (I) the Court determines that the total [combined] offense level is 16 or greater prior to the operation of USSG §3E1.1; (ii) the defendant returns a signed copy of this plea agreement to the undersigned no later than August 28, 2017; and, (iii) the defendant thereafter actually enters plea(s) of guilty at the time scheduled.

f. Criminal History Category. Based on information available at this time, the parties

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believe that the defendant's criminal history category is I.

g. Guideline Range. If the offense level is 10, and the criminal history category is I, the Sentencing Guidelines range is 6-12 months' imprisonment.

h. Fine Range. If the adjusted offense level is 10, the fine range is $4,000.00 to $40,000.00. (USSG § 5E1.2(c)(3)).

i. Supervised Release. The Sentencing Guidelines require a term of supervised release of at least 1 year and not more than three years. (USSG § 5D1.2(a)(1)).

j. Departures. The parties agree that there are no grounds for departure from the applicable guideline range.

k. If the defendant agrees to provide substantial assistance in the investigation or prosecution of another person, as defined in Fed. R. Crim. P. 35, or otherwise agrees to cooperate with the United States Attorney, a supplement to this plea agreement shall be submitted to the Court by the parties, in camera or under seal, and shall specifically refer to this Plea Agreement and shall define the terms of such assistance or cooperation, if any.

l. The defendant is aware that Title 18, United States Code, Section 3742 affords a defendant the right to appeal the sentence imposed. Acknowledging all this, the defendant knowingly waives the right to appeal any sentence within the maximum provided in the statute(s) of conviction or the manner in which that sentence was determined, on the grounds set forth in Title 18, United States Code, Section 3742(a) or on any ground whatever, in exchange for the concessions made by the United States in this plea agreement. In addition, the defendant expressly waives the right to petition under 28 U.S.C. Section 2255, with the exception of the claim of ineffective assistance of counsel. The defendant has discussed these rights with the defendant's attorney. The defendant understands the rights being waived, and the defendant waives these rights knowingly, intelligently, and voluntarily. This agreement does not affect the rights or obligations of the United States as set forth in Title 18, United States Code, Section 3742(b).

m. The United States reserves its right to allocate fully and will recommend that the defendant's sentence be within the applicable advisory guideline range.

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4. FINANCIAL TERMS

a. Defendant agrees to cooperate fully with the United States Attorney's Office and specifically agrees that whatever monetary penalties are imposed by the Court will be due and payable immediately and subject to immediate enforcement by the United States, pursuant to Title 18, United States Code, Section 3613.

b. Defendant agrees to fully disclose all assets in which he has any interest or over which Defendant exercises control, directly or indirectly, including those held by a spouse, nominee or third party. Defendant agrees to truthfully complete the financial statement form provided by the Government, sign it under penalty of perjury, and provide it to both the United States Attorney's Office and the United States Probation Office. Defendant agrees to provide updates with any material changes in circumstances, as described in 18 U.S.C. § 3664(k), which occur before sentencing, within seven days of the event giving rise to the changed circumstances.

c. Defendant expressly authorizes the U.S. Attorney's Office to obtain a credit report. Defendant also authorizes the U.S. Attorney's Office to inspect and copy all financial documents and information held by the U.S. Probation Office.

d. Defendant's failure to timely and accurately complete and sign the financial statement, and any update thereto, may, in addition to any other penalty or remedy, constitute Defendant's failure to accept responsibility under U.S.S.G §3E1.1 and a breach of this plea agreement.

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5. FORFEITURE

a. Before sentencing, the defendant shall accurately and completely identify every asset which is either owned by the defendant or is under the defendant's control. All property shall be identified, whether forfeitable or not.

b. Defendant agrees to fully and truthfully disclose all facts which could tend to make any interest which defendant owns or controls in property forfeitable under the laws of any jurisdiction, including property which may be forfeitable as substitute assets.

c. Defendant agrees to forfeit all forfeitable assets to the United States. Defendant shall take all steps necessary to transfer these assets to the United States, including, but not limited to, executing any documents, consenting in any form or cause of action required by the United States, providing information and supporting documentation within the defendant's possession or control, and inducing persons holding property in the defendant's behalf to transfer such property to the United States.

d. At his sole discretion, the United States Attorney may decline to forfeit assets where the value, or level of equity, or interests not subject to forfeiture, or costs, or other factors make profitable forfeiture impractical.

6. VICTIM RESTITUTION

n/a

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7. CONCLUSION

There are no other agreements between the United States Attorney for the District of the Virgin Islands and the defendant. The defendant enters this agreement knowingly, voluntarily, and upon advice of counsel.

JOYCELYN HEWLETT
ACTING UNITED STATES ATTORNEY

Dated: 8/23/2017

By: _____
Chris Fisanick
Criminal Chief

Dated: 8/23/17

_____
Everard E. Potter
Assistant United States Attorney

Dated: 8/23/17

_____
David J. Cattie
Attorney for Defendant

Dated: 8/23/17

_____
Steven Lewis
Defendant

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anticipation of financial payment, but denies that he was ever paid. In addition, Mr. Lewis' involvement was limited to those 4 persons, and he neither agreed to not participated in the introducing, harboring or transporting of any other persons. Indeed, Lewis gave a statement to law enforcement admitting that he had provided housing for 4 illegal aliens, but that he became upset when additional aliens and had all the aliens vacate the premises.

II. THE COURT SHOULD IMPOSE A THE MINIMUM SENTENCE AVAILABLE TO IT CONSIDERING ALL RELEVANT FACTORS

The Court of Appeals "review[s] the District Court's sentence for reasonableness, a standard akin to abuse of discretion." *United States v. Booker*, 543 U.S. 220, 260-61 (2005); *Rita v. United States*, 2007 WL 1772146, *9 (2007) (appellate "reasonableness" review merely asks whether the trial court abused its discretion). "The touchstone of 'reasonableness' is whether the record as a whole reflects rational and meaningful consideration of the factors enumerated in 18 U.S.C. § 3553(a)," not whether the sentence falls into an applicable Guideline range. *United States v. Grier*, 449 F.3d 558, 574 (3d Cir. 2006) (citing, *inter alia*, *Booker*, 543 U.S. at 261). Indeed, it is reversible error to not create a detailed record that takes into account all of the factors listed in 3553(a). *Id.* ("It must be clear that the district court understood and reasonably discharged its obligation to take all of the relevant factors into account in imposing a final sentence."); *see also United States v. Cunningham*, 429 F.3d 673, 679 (7th Cir. 2005) ("A rote statement that the judge considered all relevant [statutory] factors will not always suffice; the temptation to a busy judge to impose the guidelines sentence and be done with it, without wading into the vague and prolix statutory factors, cannot be ignored.").

In *United States v. Gunter*, 462 F.3d 237 (3d Cir. 2006), the Court of Appeals outlined a

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process for district courts to follow in imposing sentences after *Booker*: (1) Courts must continue to calculate a defendant's Guidelines sentence precisely as they would have before *Booker* and in doing so, they must formally rule on the motions of both parties and state on the record whether they are granting a enhancement, adjustment, departure or variance and how that affects the Guidelines calculation, and take into account our Circuit's pre-Booker case law, which continues to have advisory force; and (2) District courts are required to exercise their discretion by considering the relevant § 3553(a) factors in setting the sentence they impose regardless whether it varies from the sentence calculated under the Guidelines.

A. THE PROPER GUIDELINE CALCULATION

Mr. Lewis agrees that the appropriate guideline range is 6-12 months with a total offense level of 10 and a criminal history category of 10. PRS at 11.

B. A MINIMUM SENTENCE WILL BE SUFFICIENT UNDER BOOKER

In light of *United States v. Booker*, it is no longer necessary for a case to be "atypical" or "not ordinary" in order for a sentencing judge to impose a sentence below the Guideline sentencing range, as the sentencing range is just one factor on par with other sentencing factors.

Booker "brought about sweeping changes in the realm of federal sentencing." *United States v. Davis*, 407 F.3d 162, 163 (3d Cir. 2005). In *Booker*, the Court held that the United States Sentencing Guidelines are no longer mandatory and must function as merely one factor among other enumerated factors set forth more generally in 18 USC § 3553(a). *United States v. Gunter*, 462 F.3d 237, 243-244 (3d Cir. 2006) ("Combining [the *Booker*] holdings in practice means that district courts may fact-find to increase sentences beyond the Guidelines range provided they are within the statutory minimum and maximum dictated by the United States Code, take into account

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the relevant sentencing factors set out in 18 U.S.C. § 3553(a), and ultimately are reasonable.") (internal quotations and citations omitted). Accordingly, although courts must review the Guideline range, they also must tailor the sentence in light of other the statutory concerns contained in 18 USC § 3553(a).

Booker reshapes sentencing *viz a viz* 3553(a) in at least three ways:

First, it requires a Court to impose a sentence "sufficient *but not greater than necessary*" (emphasis added) to comply with the goals of sentencing as set forth in paragraph (2) of the subsection. Subsection 2 lists the purposes of sentences as:

> (A) to reflect the seriousness of the offense, to promote respect for the law, and to provide just punishment for the offense;
>
> (B) to afford adequate deterrence to criminal conduct;
>
> (C) to protect the public from further crimes of the defendant; and
>
> (D) to provide the defendant with needed educational or vocational training, medical care, or other correctional treatment in the most effective manner;

Next, in arriving at an appropriate sentence, the Court "shall" consider certain factors, including: (a) the "nature and circumstances of the offense," (b) "the history and character of the defendant,"[1] the kinds of sentences available, and (d) how to avoid unwarranted disparities between similarly situated defendants, as well as (e) the need to provide restitution to any victims and (f) the applicable guideline factors and policy statements. 18 USC § 3553(a)(1)-(7).

[1] This requirement is a complete reversal from the Sentencing Guidelines. Under the Guidelines, the Court was prohibited or discouraged from considering a defendant's age, educational and vocational skills, mental and emotional condition, physical condition, drug and alcohol dependence, employment record, family ties, socio-economic status, and civic and military contributions. *See* USSG § 5H.

EXHIBIT # 2
PAGE # 7

Finally, a sentencing court cannot uncritically apply the Guidelines and use the advisory sentencing range as a presumptively correct range as it carries no greater weight than any of the other factors under 3553(a).

Mr. Lewis has no prior convictions. Based on the fact that Mr. Lewis is a first-time offender who was not even arrested until nearly 2 years after his crime, and who showed himself to be a good citizen during that period a sentence of 6 months will be adequate in this case. Mr. Lewis suffers from diabetes and, as the Court is aware, a prolonged prison diet can be fatal to someone in his condition. Mr. Lewis has a strong family and community support network (**Exhibit 1**) which also bodes well for his reintroduction into free society.

Respectfully submitted,

DATED: March 20, 2018

The Cattie Law Firm, P.C.

By: /s/ David J. Cattie, Esq.
DAVID J. CATTIE, ESQ.
V.I. Bar No. 964
2329 Commandant Gade, Unit 3
St. Thomas, USVI 00802
Telephone: 340.775.1200
Facsimile: 800.878.5237
david.cattie@cattie-law.com

Attorney for Defendant

EXHIBIT # 2
PAGE # 17

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on March 20, 2018, I electronically filed the foregoing document with the Clerk of the Court using the CM/ECF system, which will send a notification of such filing (NEF) to the following persons:

Everard Potter Esq.
US Attorney's Office -Criminal Division
5500 Veteran's Drive
St. Thomas, VI 00802
Tel. 340-774-5757
Fax: 340-776-3474
Email: everard.potter@usdoj.gov

For the United States

/s/ David J. Cattie

EXHIBIT # 2
PAGE # 19

UNITED STATES DISTRICT COURT
ST. THOMAS DIVISION

UNITED STATES OF AMERICA

v.

STEVEN LEWIS

JUDGMENT IN A CRIMINAL CASE

Case Number: 3:17-CR-00012-CVG-RM(2)
USM Number: 10661-094
David J. Cattie, Esquire
Defendant's Attorney

THE DEFENDANT:

☒	pleaded guilty to count(s)	I
☐	pleaded guilty to count(s) before a U.S. Magistrate Judge, which was accepted by the court.	
☐	pleaded nolo contendere to count(s) which was accepted by the court	
☐	was found guilty on count(s) after a plea of not guilty	

The defendant is adjudicated guilty of these offenses:

Title & Section / Nature of Offense	Offense Ended	Count
8: U.S.C. § 1324(a)(1)(A)(v)(I) Bringing In and Harboring Aliens	01/29/2016	1

The defendant is sentenced as provided in pages 2 through 7 of this judgment. The sentence is imposed pursuant to the Sentencing Reform Act of 1984.

☐ The defendant has been found not guilty on count(s)

☒ Count(s) 2. 3, 4, and 5 ☐ is ☒ are dismissed on the motion of the United States

It is ordered that the defendant must notify the United States attorney for this district within 30 days of any change of name, residence, or mailing address until all fines, restitution, costs, and special assessments imposed by this judgment are fully paid. If ordered to pay restitution, the defendant must notify the court and United States attorney of material changes in economic circumstances.

March 22. 2018
Date of Imposition of Judgment

Signature of Judge

Curtis V. Gómez, District Judge
Name and Title of Judge

5/8/2018
Date

EXHIBIT # 2
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DEFENDANT: STEVEN LEWIS
CASE NUMBER: 3:17-CR-00012-CVG-RM(2)

IMPRISONMENT

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of:

Twelve (12) months and one day as to count 1. Pursuant to Public Law 108-405, revised DNA collection requirements under the Justice for All Act of 2004, the defendant shall submit to DNA collection while incarcerated in the Bureau of Prisons, or at the direction of the U.S. Probation Office.

☒ The court makes the following recommendations to the Bureau of Prisons:
Defendant while incarcerated shall enroll in some course of study. It can be vocational, college preparatory or technical. It must be with the intent to obtain the next highest level of education.

☒ The defendant is remanded to the custody of the United States Marshal.

☐ The defendant shall surrender to the United States Marshal for this district:

☐ at ☐ a.m. ☐ p.m. on

☐ as notified by the United States Marshal.

☐ The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons:

☐ before 2 p.m. on
☐ as notified by the United States Marshal.
☐ as notified by the Probation or Pretrial Services Office.

RETURN

I have executed this judgment as follows:

Defendant delivered on _____ to

at _____, with a certified copy of this judgment.

UNITED STATES MARSHAL

By
DEPUTY UNITED STATES MARSHAL

EXHIBIT # 2
PAGE # 21

DEFENDANT: STEVEN LEWIS
CASE NUMBER: 3:17-CR-00012-CVG-RM(2)

SUPERVISED RELEASE

Upon release from imprisonment, the defendant shall be on supervised release for a term of : Three (3) years

MANDATORY CONDITIONS

1. You must not commit another federal, state or local crime.
2. You must not unlawfully possess a controlled substance.
3. You must refrain from any unlawful use of a controlled substance. You must submit to one drug test within 15 days of release from imprisonment and at least two periodic drug tests thereafter, as determined by the court.
   - ☐ The above drug testing condition is suspended, based on the court's determination that you pose a low risk of future substance abuse. (*check if applicable*)
4. x You must cooperate in the collection of DNA as directed by the probation officer. (*check if applicable*)
5. ☐ You must comply with the requirements of the Sex Offender Registration and Notification Act (34 U.S.C. § 20901, et seq.) as directed by the probation officer, the Bureau of Prisons, or any state sex offender registration agency in which you reside, work, are a student, or were convicted of a qualifying offense. (*check if applicable*)
6. ☐ You must participate in an approved program for domestic violence. (*check if applicable*)
7. ☐ You must make restitution in accordance with 18 U.S.C. §§ 2248, 2259, 2264, 2327, 3663, 3663A, and 3664. *(check if applicable)*
8. ☐ You must pay the assessment imposed in accordance with 18 U.S.C. § 3013.
9. ☐ If this judgment imposes a fine, you must pay in accordance with the Schedule of Payments sheet of this judgment.
10. ☐ You must notify the court of any material change in your economic circumstances that might affect your ability to pay restitution, fines, or special assessments.

You must comply with the standard conditions that have been adopted by this court as well as with any additional conditions on the attached page.

EXHIBIT # 2
PAGE # 12

DEFENDANT: STEVEN LEWIS
CASE NUMBER: 3:17-CR-00012-CVG-RM(2)

STANDARD CONDITIONS OF SUPERVISION

As part of your supervised release, you must comply with the following standard conditions of supervision. These conditions are imposed because they establish the basic expectations for your behavior while on supervision and identify the minimum tools needed by probation officers to keep informed, report to the court about, and bring about improvements in your conduct and condition.

1. You must report to the probation office in the federal judicial district where you are authorized to reside within 72 hours of your release from imprisonment, unless the probation officer instructs you to report to a different probation office or within a different time frame.
2. After initially reporting to the probation office, you will receive instructions from the court or the probation officer about how and when you must report to the probation officer, and you must report to the probation officer as instructed.
3. You must not knowingly leave the federal judicial district where you are authorized to reside without first getting permission from the court or the probation officer.
4. You must answer truthfully the questions asked by your probation officer.
5. You must live at a place approved by the probation officer. If you plan to change where you live or anything about your living arrangements (such as the people you live with), you must notify the probation officer at least 10 days before the change. If notifying the probation officer in advance is not possible due to unanticipated circumstances, you must notify the probation officer within 72 hours of becoming aware of a change or expected change.
6. You must allow the probation officer to visit you at any time at your home or elsewhere, and you must permit the probation officer to take any items prohibited by the conditions of your supervision that he or she observes in plain view.
7. You must work full time (at least 30 hours per week) at a lawful type of employment, unless the probation officer excuses you from doing so. If you do not have full-time employment you must try to find full-time employment, unless the probation officer excuses you from doing so. If you plan to change where you work or anything about your work (such as your position or your job responsibilities), you must notify the probation officer at least 10 days before the change. If notifying the probation officer at least 10 days in advance is not possible due to unanticipated circumstances, you must notify the probation officer within 72 hours of becoming aware of a change or expected change.
8. You must not communicate or interact with someone you know is engaged in criminal activity. If you know someone has been convicted of a felony, you must not knowingly communicate or interact with that person without first getting the permission of the probation officer.
9. If you are arrested or questioned by a law enforcement officer, you must notify the probation officer within 72 hours.
10. You must not own, possess, or have access to a firearm, ammunition, destructive device, or dangerous weapon (i.e., anything that was designed, or was modified for, the specific purpose of causing bodily injury or death to another person such as nunchakus or tasers).
11. You must not act or make any agreement with a law enforcement agency to act as a confidential human source or informant without first getting the permission of the court.
12. If the probation officer determines that you pose a risk to another person (including an organization), the probation officer may require you to notify the person about the risk and you must comply with that instruction. The probation officer may contact the person and confirm that you have notified the person about the risk.
13. You must follow the instructions of the probation officer related to the conditions of supervision.

U.S. Probation Office Use Only

A U.S. probation officer has instructed me on the conditions specified by the court and has provided me with a written copy of this judgment containing these conditions. I understand additional information regarding these conditions is available at the www.uscourts.gov.

Defendant's Signature _____ Date _____

EXHIBIT # 2
PAGE # 23

DEFENDANT: STEVEN LEWIS
CASE NUMBER: 3:17-CR-00012-CVG-RM(2)

SPECIAL CONDITIONS OF SUPERVISION

Defendant shall comply with the additional standard conditions of supervised release as follows:

1. Defendant for the duration of supervised release shall enroll in some course of study. It can be vocational, college preparatory or technical;
2. Defendant while on supervised release shall complete 400 hours of community service. It can be My Brother's Workshop, Catholic Charities or to the extent it's available it can be with Dial A Ride, if they have the ability to accommodate that sort of community service;
3. Defendant shall be tested randomly for the use of illegal substance;
4. Defendant shall be referred to outpatient and or inpatient substance abuse treatment, if deemed necessary;
5. Defendant shall provide financial information as may be requested, by the U.S. Probation Office;
6. Defendant shall forfeit any document associated with the crime on the count of conviction.

EXHIBIT # 2
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DEFENDANT: STEVEN LEWIS
CASE NUMBER: 3:17-CR-00012-CVG-RM(2)

CRIMINAL MONETARY PENALTIES

The defendant must pay the total criminal monetary penalties under the schedule of payments on Sheet 6.

	Assessment	JVTA Assessment*	Fine	Restitution
TOTALS	$100.00		$.00	$.00

☐ The determination of restitution is deferred until An *Amended Judgment in a Criminal Case (AO245C)* will be entered after such determination.

☐ The defendant must make restitution (including community restitution) to the following payees in the amount listed below.

If the defendant makes a partial payment, each payee shall receive an approximately proportioned payment. However, pursuant to 18 U.S.C. § 3664(i), all nonfederal victims must be paid before the United States is paid.

☐ Restitution amount ordered pursuant to plea agreement $

☐ The defendant must pay interest on restitution and a fine of more than $2,500, unless the restitution or fine is paid in full before the fifteenth day after the date of the judgment, pursuant to 18 U.S.C. § 3612(f). All of the payment options on Sheet 6 may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. § 3612(g).

☐ The court determined that the defendant does not have the ability to pay interest and it is ordered that:

☐ the interest requirement is waived for the ☐ fine ☐ restitution

☐ the interest requirement for the ☐ fine ☐ restitution is modified as follows:

* Justice for Victims of Trafficking Act of 2015, Pub. L. No. 114-22
** Findings for the total amount of losses are required under Chapters 109A, 110, 110A, and 113A of Title 18 for offenses committed on or after September 13, 1994, but before April 23, 1996.

EXHIBIT # 2
PAGE # 25

DEFENDANT: STEVEN LEWIS
CASE NUMBER: 3:17-CR-00012-CVG-RM(2)

SCHEDULE OF PAYMENTS

Having assessed the defendant's ability to pay, payment of the total criminal monetary penalties is due as follows:

A ☐ Lump sum payments of $ _____ due immediately, balance due

☐ not later than , or

☐ in accordance ☐ C, ☐ D, ☐ E, or ☐ F below; or

B ☐ Payment to begin immediately (may be combined with ☐ C, ☐ D, or ☐ F below); or

C ☐ Payment in equal _____ *(e.g., weekly, monthly, quarterly)* installments of $ _____ over a period of _____ *(e.g., months or years)*, to commence _____ *(e.g., 30 or 60 days)* after the date of this judgment; or

D ☐ Payment in equal 20 *(e.g., weekly, monthly, quarterly)* installments of $ _____ over a period of _____ *(e.g., months or years)*, to commence _____ *(e.g., 30 or 60 days)* after release from imprisonment to a term of supervision; or

E ☐ Payment during the term of supervised release will commence within _____ *(e.g., 30 or 60 days)* after release from imprisonment. The court will set the payment plan based on an assessment of the defendant's ability to pay at that time; or

F ☒ Special instructions regarding the payment of criminal monetary penalties:
It is ordered that the Defendant shall pay to the United States a special assessment of $100.00 for Count 1, which shall be due immediately. Said special assessment shall be paid to the Clerk, U.S. District Court.

Unless the court has expressly ordered otherwise, if this judgment imposes imprisonment, payment of criminal monetary penalties is due during imprisonment. All criminal monetary penalties, except those payments made through the Federal Bureau of Prisons' Inmate Financial Responsibility Program, are made to the clerk of the court.

The defendant shall receive credit for all payments previously made toward any criminal monetary penalties imposed.

☐ Joint and Several
See above for Defendant and Co-Defendant Names and Case Numbers *(including defendant number)*, Total Amount, Joint and Several Amount, and corresponding payee, if appropriate.

☐ Defendant shall receive credit on his restitution obligation for recovery from other defendants who contributed to the same loss that gave rise to defendant's restitution obligation.

☐ The defendant shall pay the cost of prosecution.

☐ The defendant shall pay the following court cost(s):

☐ The defendant shall forfeit the defendant's interest in the following property to the United States:

Payments shall be applied in the following order: (1) assessment, (2) restitution principal, (3) restitution interest, (4) fine principal, (5) fine interest, (6) community restitution, (7) JVTA Assessment, (8) penalties, and (9) costs, including cost of prosecution and court costs.

EXHIBIT # 2
PAGE # 2b

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

April 22, 2025

Mr. Steve Lewis
6030 Estate Smith Bay
St Thomas, Virgin Island 00802

RE: Application No. 261141, Entity 14364826
1021 - PMW Professional Individual Occupational

Dear Mr. Lewis:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- FGCC PMW-3120 – Individual Occupational License Application form is required. (form enclosed).
- License application fee not received:
  Individual: 3 year – $80.00 - $40.00 = **$40.00 due**
- Other: Please complete and mail the enclosed fingerprint card with your PMW-3120 – Individual Occupational License Application form, and $36.00, to cover the fingerprint card processing fee.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.794.8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 8/10/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 7/10/2025.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.794.8130.

Thank you,

HC
Operations Analyst II

EXHIBIT # - 4
PAGE # - 2

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

May 19, 2025

Mr. Steven Lewis
6030 Estate Smith Bay
St Thomas, Virgin Island 00802

RECEIVED JUL 8 2025
FLORIDA GAMING CONTROL COMMISSION
2025 JUL -7 AM 10:33
RECEIVED

RE: Application No. 281141, Entity 14364826
1021 - PMW Professional Individual Occupational

Dear Mr. Lewis:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must list the information on your application for the following charges:
  - DOJ Invest Services, Saint Thomas Virgin Islands Arrest(s) – 01/08/2007
  - ICE HIS SAC San Juan, Saint Thomas Virgin Islands Arrest(s) – 08/03/2017

  You must provide the arrest report and court disposition records for the following charges:
  - DOJ Invest Services, Saint Thomas Virgin Islands Arrest(s) – 01/08/2007
  - ICE HIS SAC San Juan, Saint Thomas Virgin Islands Arrest(s) – 08/03/2017

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

- Pursuant to 550.105(10)(a), F.S., please provide government issued identification verifying your full legal name, date of birth, address, and social security number.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have

EXHIBIT # 4
PAGE # 3

an outstanding balance and would like to submit your payment by telephone, please call 850.794-8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 09/15/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 07/10/2025.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.794-8130.

Thank you,

HC/RK
Operations Analyst II

RECEIVED
2025 JUL -7 AM 8:33
FLORIDA GAMING CONTROL COMMISSION

EXHIBIT # 4
PAGE # 4

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

July 7, 2025

Mr. Steven Lewis
6030 Estate Smith Bay
St Thomas, Virgin Island 00802

RE: Application No. 261141, Entity 14364826
1021 - PMW Professional Individual Occupational

Dear Mr. Lewis:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must list the information on your application for the following charges:
  - DOJ Invest Services, Saint Thomas Virgin Islands Arrest(s) – 01/08/2007
  - ICE HIS SAC San Juan, Saint Thomas Virgin Islands Arrest(s) – 08/03/2017

  You must provide the arrest report and court disposition records for the following charges:
  - DOJ Invest Services, Saint Thomas Virgin Islands Arrest(s) – 01/08/2007
  - ICE HIS SAC San Juan, Saint Thomas Virgin Islands Arrest(s) – 08/03/2017

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

- Pursuant to 550.105(10)(a), F.S., please provide government issued identification verifying your full legal name, date of birth, address, and social security number.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have

an outstanding balance and would like to submit your payment by telephone, please call 850.794-8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 09/15/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 07/10/2025.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.794-8130.

Thank you,

tl
Operations Analyst II

EXHIBIT # 4
PAGE # 6

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

July 10, 2025

Mr. Steven Lewis
6030 Estate Smith Bay
St Thomas, Virgin Island 00802

RE: Application No. 261141, Entity 14364826
1021 - PMW Professional Individual Occupational

Dear Mr. Lewis:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must provide the arrest reports and court disposition records for the following charges:
  - DOJ Invest Services, Saint Thomas Virgin Islands Arrest(s) – 01/09/2007
  - ICE HIS SAC San Juan, Saint Thomas Virgin Islands Arrest(s) – 08/03/2017
    - These are Federal charges. We need docs from the Federal agencies listed above, instead of the Police Dept.

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

- Pursuant to 550.105(10)(a), F.S., please provide government issued identification verifying your full legal name, date of birth, address, and social security number.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 08/09/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.488.3211.

Thank you,

TL/ RK
Operations Analyst II

EXHIBIT # 4
PAGE # 8

★★★★★
Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

July 17, 2025

Mr. Steven Lewis
6030 Estate Smith Bay
St Thomas, Virgin Island 00802

RE: Application No. 261141, Entity 14364826
1021 - PMW Professional Individual Occupational

Dear Mr. Lewis:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must list the information on your application for the following charges:
  - DOJ Invest Services, Saint Thomas Virgin Islands Arrest(s) – 01/08/2007
  - ICE HIS SAC San Juan, Saint Thomas Virgin Islands Arrest(s) – 08/03/2017

  Please fill out and submit the amended application page. A photocopy will not suffice.

  You must provide the arrest report and court disposition records for the following charges:
  - DOJ Invest Services, Saint Thomas Virgin Islands Arrest(s) – 01/08/2007

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 09/15/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 07/10/2025.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.794.8130.

Thank you,

TL
Operations Analyst II

EXHIBIT # 4
PAGE # 10

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

August 5, 2025

Mr. Steven Lewis
6030 Estate Smith Bay
St Thomas, Virgin Island 00802

RE: Application No. 261141, Entity 14364826
1021 - PMW Professional Individual Occupational

Dear Mr./Ms. Lewis:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- You must provide the arrest report for the following charges:
  - ICE HIS SAC San Juan, Saint Thomas Virgin Islands Arrest(s) – 08/03/2017

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.487.1395.

If you fail to provide the Florida Gaming Control Commission with a complete application by 09/15/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 07/10/2025.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.794.8130.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed) (Must be Notarized). The Division will process a request for waiver upon receipt of a complete application.

Thank you,

TL
Operations Analyst II

EXHIBIT # 4
PAGE # 12

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

LEWIS, STEVEN-14364826
(Applicant's Name– License #)

Case No:

INITIAL APPLICATION RECEIVED:	4/11/2025
Application Deemed Complete:	9/3/2025
If no Request for Waiver received, 90-Day Deadline:	12/2/2025

Waiver Requested: ☐No ☒Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)
- Waiver Requested 08/04/2025

Tori Lanier	321/Gulfstream Park	Individual Animal Owner
Application Processor	Facility (d/b/a name)	Occupation Code / Job Title

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☐Yes ☒No
Licensing History: : Initial license issued Maintained Continuous licensure? ☐Yes ☒No NA

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No
Where all items identified in the deficiency letter addressed? ☒Yes ☐No If no, explain:

☒ Felony(s): Count(s) ☐ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking

☐ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)

☐ Animal Cruelty (Pari-Mutuel Professons)

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp
01/08/2007	Saint Thomas, Virgin Islands	Possess/Sale of Ammunition	Felony	ST-07-CR0000008	Dismissed	Yes	Yes
08/03/2017	Saint Thomas, Virgin Islands	Smuggling Aliens	Felony	3:17-CR-00012-CVG-RM(2)	Guilty	No	Yes
11/13/1996	Saint Thomas, Virgin Islands	Aggravated Assault	Unknown	TC#47396	Guilty	No	Yes

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☑ No ☐ ☐ Approval
Disposition Attached for Disqualifier(s)? Yes ☑ No ☐
Deficiency Letter Issued 7/17/25, 5/19/2025, 7/17/25, 7/10/25, 11/5/2025, 4/22/25 : Application Deemed Complete 9/3/2025
Applicant Eligible for Waiver? Yes ☑ No ☐ If Yes: ☑ Waiver Requested ☐ Failed to Request Waiver

EXHIBIT # 4
PAGE # 9

Forward to ☑ Investigations ☐ Legal ☐ Commission Initials: CH Date: 9/4/2025
Comments:

Revised 07.2025

-4
-13

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **jhodge**

VR Home > License Search > **License Home**

Licensee | History | Notes | Notes History | Back

License

Fed Tax # [redacted] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **07/10/2025**

File # **75072** Name **LEWIS, STEVEN** Extended To

License # **14364826** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **14364826** Lic Status **App Expired**

Address

Street # **6030** Street **ESTATE SMITH BAY**

Line 2

Line 3

City **ST THOMAS** State **VI** Zip **00802**

Routing

Other

1st License Date **04/11/2025** Rank Date **04/11/2025** Certificate #

Method **I-S-1019** Status Date **09/16/2025** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	IOWN - Individual Animal Owner	04/11/2025	
I	TBRD - Thoroughbred	04/11/2025	
L	321 - Gulfstream Park	04/11/2025	

Alt Keys

BEST LIC NBR **14364826**

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EXHIBIT # 4
PAGE # 14

Basic Entity Data | List of Addresses | List of Names

Lic Type **1021 - PMW Professional Individual Occupational** Entity # **14364826**

Fed Tax [redacted] Fed Tax Type **SSN #** Name **LEWIS, STEVEN**

Last Name **LEWIS** First **STEVEN** Middle

Title Suffix Qualifier

Gender **M** Race **1 - Black**

Driver License # Birth Dat [redacted]

Mailing Address Private Address Preferred Name

Street # **6030** Street **ESTATE SMITH BAY**

Line 2

Line 3

City **ST THOMAS** County **Out of State**

Zip **00802** State **Virgin Island** Country **United States**

Routing

Phone # **340-642-4047** Ext E-Mail **championcooler@gmail.com**

Insp Region Receive Email

Updated **05/19/2025 15:47:48** By **rkitchens**

Notes | Notes History | Fix | Change | Save | OK | Cancel | Back

Get Adobe Reader.

EXHIBIT # 4
PAGE # 15

Exhibit 1

To whom it may concern:

I am Sherry Lewis I am Steven Lewis daughter. My father was and still is a great person in society. He cooks food, have a nice little farm that my son and father used to do together My father has 6 grandkids that miss him dearly and I do as well. I never thought the day would come that I don't see him or can't see him other than death. I ask you to go easy on my dad for his family miss him very much. I am tired of lying to my kids saying he working on a job deep down he not all it hurts. My dad use to work in the government Correction doing what he had to do for society and the government, so I ask that society and the government help him back in return. I need him his grandkids need him please and thankyou

Sincerely yours
Sherry Lewis

EXHIBIT # 5
PAGE # 1

Hi this is Chase Johnson, I am 11 years old.

I am writing this letter to say that Mr. Steven Lewis is a very good man to me and my family.

Two years ago me and my mom came to ST. Thomas. All my Mom did was work so Mr. Lewis made sure I had fun. He took me fishing to the Beach, and to St. John.

It was my best best trip ever. He means a lot to us. He always tells me to respect my parents and do good in school.

we miss him and I Cant wait to see him soon.

Thank you.

Chase Johnson.

EXHIBIT # 5
PAGE # 2

February 24, 2018

Denis Johnson
112 Lewis place
Uniondale NY, 11550

Dear honorable Judge:

I've just learned about this unfortunate situations that Mr. Steven Lewis is going through from Mckeever Roberts. I never got a chance to meet Mr. Lewis but I can only speak about the experience that my son has had with him. When my son came back from vacation with his mother. He didn't stop raving about how much of a great time he had when he was out there. That he took him fishing and they went to many different places. He raved about the fish he caught and the traps that the fisher men set out for the lobsters and crabs. Things my son read about in books he got a chance to experience it in person. He spoke about the many beautiful exotic fish he seen near a reef. Also that he learned how to tie a fisher mans knot and go to St. John for a food festival. I believe that's what it was called. My son shared things with me that as an island boy I didn't know about or experience when I was a kid. Things that I look forward in showing my kids when they go to the islands. I seen how much joy the trip brought into my sons life. As a man I wanted to be the 1st person to show my son this but due to distance and timing was never able to. I almost made my pride not thank this man for what he did for him. This man who isn't a relative took time out to show my child around when he didn't have to. These days there aren't many men that will do this for their own children much less someone else. Just to show the character of this man. I'm happy that I did get an opportunity to thank him because my child came back a changed boy. I hope this letter will help you to see what kind of individual he is.

Thankfully,

D. Johnson

EXHIBIT # 5
PAGE # 3

February 26, 2018

McKeever Roberts
11218 Camarillo st.
Toluca Lake CA, 91602

Re: Steven Lewis

Dear honorable Judge,

I wish you were able to meet this man for the first time outside of this predicament that he is in. You would see that the words in which my family/ friends are expression isn't an exaggerated but are factual experience. This man is a remarkable, kind hearted, genuine, and thoughtful man. I am speaking from my experience and what I've seen. Mr. Lewis has been a big help to my family and I. With my mother and nephew being so far from the rest of the family I always tend to worry about their wellbeing. He helps her pay the bills in the house and fix things when they're broken. When the refrigerator broke he went and bought a new one and didn't ask for a dollar from my mother. Mr. Lewis is always there to help my mother and nephew out. He helps her pick up groceries, work supplies, and he drives her at night to the food van when she can't. He is the main reason she has her business now. He was the one that talked her into getting the permits and buying the food van.

Mr. Lewis has been a positive male role model for my nephew. My nephew is very respectful, well mannered and wants to become a vet. This is all because of him always pushing him and lets him know you can be great. That he can do anything that he puts his mind to. When he feel like giving up, he gives him that boost of encouragement to keep going. He's teaching him how to be a man. Something I hate to say but a woman can not do.

When we was stuck in St. Thomas. The car I was using broke down after 12am coming home from town. We couldn't get my mother on the phone to help us

EXHIBIT # 5
PAGE # 4

out and the only person that did stop didn't have jumper cables. I'm lucky Mr. Lewis gave me his number and said "Anytime you need a ride or help give me a call." I called him and he came right away. He promised my son he would take him fishing and to the beach. I had explained to my son that there is a possibility that he may not take you fishing because he is a busy man. The next day my son got dressed very early in the morning. By 7.30am Mr. Lewis didn't disappoint him. He was at my door waiting for my son and his cousin to go fishing. He explained the dangers of being out in the waters and they must obey all the rules. I thanked him for keeping his word and he said " Growing up his dad said a man only has his word so he should always keep it. "Mr. Lewis was the real reason my son had a great vacation while I was working and helping out my mother. He took the time out to show my son around and when my son came back to the states he was a totally different person. He was well rounded. Even the school saw how positive and changed he was. He even thought me what I should do as a positive reinforcement with my son. My son was more verbal with how he felt and what he wanted. Something the school and I had problems with. He spoke up more when things bothered him and didn't keep it bottled inside. It was as if my son got 5 years or therapy in 3 weeks of vacation time.

People are human and make mistakes. We all make mistakes whether it be big or small. I'm just asking you to please have mercy on him. Thank you for reading this letter.

Sincerely yours,

McKeever Roberts

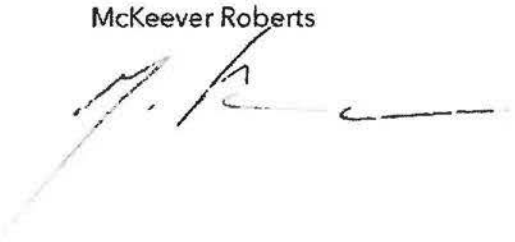


EXHIBIT # 5
PAGE # 5

My mother lives on the island and I went to visit her at her home. There was Mr Lewis unpacking her groceries and bringing them into the house. It was kinda strange but I said to myself this must be an island thing. He left shortly after and returned a few days later with some more goods. As a New Yorker I started to get curious about this man so I asked my mother about him. He was the actual reason why my mother was able to stay in her home without the need for an aide. He would call every two days and check on her, stop by between his taxi runs and after about a year I jumped on the band wagon for a few free taxi rides here and there. I would watch him in action as he dealt with the locals and passengers. Even though he looked unapproachable to me, he was actually a very nice man. My mothers health, and welfare has never been a concern till now. Her friend, our friend, who is always helping those around him is no longer near. Believe me when I say this letter can be easily three pages long of what I experienced for myself being around him. Those free rides meant not getting to my destinations as quickly as I would have liked, but it allowed me to witness along the journey with him how caring he actually is. Please your Honor, if you can do anything within the law to lessen his time away my mother, my family and myself would be greatly appreciated It is unfortunate that I couldn't ask you in person so please accept this letter in my absense.

Yours Truly

Sheneke Roberts

EXHIBIT # 5
PAGE # 6

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Justin Hundersmarck, Senior Attorney
Re: Jonathan Jay Mortimer; Case No. 2025-077008
Date: December 29, 2025

Executive Summary

Jonathan Jay Mortimer (the "Applicant") seeks a waiver of his disqualifying offense and issuance of a pari-mutuel wagering professional individual occupational license (the "Application"). The Applicant submitted a completed application for a pari-mutuel wagering professional individual occupational license on October 15, 2025. Upon review of the Application, it appears the Applicant has been convicted of one disqualifying felony offense out of New Jersey. A Commission investigator conducted a waiver interview with the Applicant on November 14, 2025, and documented the waiver interview in a report submitted to the Commission for consideration.

Relevant Facts

On September 3, 2025, the Applicant submitted an application for a pari-mutuel wagering professional individual occupational license to the Division. On this application, the Applicant answered "no" to the question asking if he has been convicted of or had an adjudication withheld for any criminal charges, or has pled guilty or nolo contendere to any criminal charges. On August 13, September 10, and September 29, 2025, the Applicant was issued deficiency letters by the Commission requesting an updated application and documentation regarding court disposition records related to the arrests in Bergen County, New Jersey, in 2016 and 2022. Upon review of the Application deemed complete on October 15, 2025, it appears that the Applicant was convicted of the following felony offense:

- Prohibited Weapons and Devices – Large Capacity Ammunition Magazine out of Bergen County, New Jersey, from January 12, 2023.

The Applicant applied for a waiver from the statutory restrictions excluding applicants with disqualifying offenses on October 12, 2025. On November 14, 2025,

a Commission investigator conducted a waiver interview with the Applicant. The waiver interview is documented in a report included in the case materials.

This felony conviction is a disqualifying offense pursuant to section 550.105(5)(b), Florida Statutes.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides in relevant part that:

> . . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 550.105(5)(c), Florida Statutes, provides in relevant part that:

> "[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the commission."

EXHIBIT
A

MORTIMER, JONATHAN JAY

Case # 2025-077008

License Type: PMW Professional Individual Occupational (1021)
Occupation: Individual Animal Owner

Waiver Requested: Yes[✓] No[ ]

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

MORTIMER, JONATHAN JAY-14496878 (Applicant's Name– License #)

2025-077008 Case No:

INITIAL APPLICATION RECEIVED:	8/2/2025
Application Deemed Complete:	10/15/2025
If no Request for Waiver received, 90-Day Deadline:	**1/13/2026**

Waiver Requested: ☐No ☒Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)
- Waiver Requested 10/12/2025

BF Application Processor | **321 - Gulfstream Park** Facility (d/b/a name) | **1021-Individual Animal Owner** Occupation Code / Job Title

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☐Yes ☒No

Licensing History: **N/A** Type: **N/A** Initial license issued: **N/A** Maintained Continuous licensure? ☐Yes ☐No **N/A**

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No

Were all items identified in the deficiency letter addressed? ☒Yes ☐No If no, explain:

☒ Felony(s): Count(s) ☐ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking

☐ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)

☐ Animal Cruelty (Pari-Mutuel Professons)

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp
07/29/2010	**Bergen County, NJ**	**Charge 1**- Resisting Arrest **Charge 2-** Poss CDS - < 50g Marijuana, 5gh	Unknown Unknown	10-11798 10-11798	Adjudicated Delinquent Dismissed	N	N
04/22/2012	**Bergen County, NJ**	**Charge 1**- Assault	Unknown	12-7226	Dismissed	N	N
02/04/2013	**Bergen County, NJ**	**Charge 1-** Resist Arr/Elud-Flight Prevent	Unknown	E-02644	PTI Dismissed	N	N
12/27/2016	**Bergen County, NJ**	**Charge 1**- Poss CDS - < 50g Marijuana, 5gh **Amended** Wandering/Prowling To Obtain/S	M	0221S2016000695	Guilty	N	Y
10/13/2022	**Bergen County, NJ**	**Charge 1**- Prohibited Weapons/Devices-Larg **Charge 2**- Prohibited Weapons-Firearm With **Charge 3-** Unlaw Poss Weapon-Handguns W/O PE **Charge 4**- Unlawl Poss Weap-Handgun = Air/	F	BCP2201188	Guilty	N	Y
06/16/2018	**New York County, NY**	**Charge 1**- Driving While Ability Impaired By The Consumption of Alcohol	Infraction	2018NY026412	Conditional discharge	N	N

Related Licenses	
Check VR License Relations to Determine if Applicant is Related to a Business.	
Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**	
Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review
Disposition Confirmation
Disqualifying Convictions/Arrests Confirmed? Yes ☒ No ☐ ☐ Approval Disposition Attached for Disqualifier(s)? Yes ☒ No ☐
Deficiency Letter Issued: **09/10/2025, 09/29/2025 and 08/13/2025** Application Deemed Complete: **10/15/2025** Applicant Eligible for Waiver? Yes ☒ No ☐ If Yes: ☒ Waiver Requested ☐ Failed to Request Waiver
Forward to ☒ **Investigations** ☐ **Legal** ☐ **Commission** Initials: CH Date 10/21/2025
Comments:

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 2025077008 **Incident date:** 10/12/2025 **Status:** 106 - Sent to Directors office

Lic Type: 1021 **Disposition:**

Case Type: Complaint

Responsible: elanier - LANIER, EBONIE

Complainant: DIVISION OF PARI-MUTUEL WAGERING

1400 W COMMERCIAL BLVD, STE 165, FORT LAUDERDALE, FL 33309

Respondent: MORTIMER, JONATHAN JAY

28049 CAPTIVE SHELL LOOP, BONITA SPRINGS, FL 34135

Summary: 321 - GULFSTREAM PARK RACING ASSOCIATION, INC - License # 14496878

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
12/09/2025	A	79	70	Sent to Director for Review	charris	MORTIMER, JONATHAN JAY
10/23/2025	A	10	15	Assigned to Investigator	jrodriguez	MORTIMER, JONATHAN JAY
10/21/2025	A	79	440	Incoming Correspondence	jhogge	MORTIMER, JONATHAN JAY
10/23/2025	R		jrodriguez	RODRIGUEZ, JACKELIN	jhogge	
12/09/2025	R		charris	HARRIS, CYNTORIA	jhogge	
12/08/2025	R		bjones	JONES, BRADFORD	bjones	
12/10/2025	R		elanier	LANIER, EBONIE	charris	

CH

State of Florida

Department of Business and Professional Regulation

Chronology Report

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	12/10/2025	S	1021	106	Sent to Directors office	charris	
	12/09/2025	S	1021	104	Sent to Licensing Section	jhodge	
	12/08/2025	S	1021	90	Closed	jhodge	
	10/23/2025	S	1021	20	Under Investigation	jhodge	
	10/23/2025	S	1021	10	Initial Review	jhodge	
	12/08/2025	S	1021	165	Supervisor Review	bjones	

Allegation:	Code	Description
	WAVR	Waiver of Crim. Conv. or Other Offenses

Violation:	Code	Description	Respondent
	WAVR	61D-5.006	MORTIMER, JONATHAN JAY

CH

Licenses

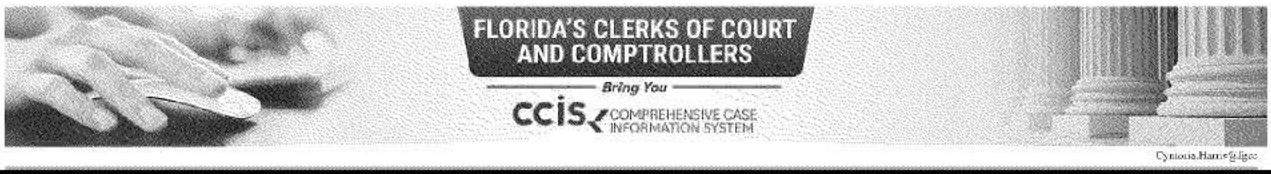
Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number [redacted]
Date of Birth:
First Name:
Middle Name:
Last Name: MORTIMER
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

CH



Cynthia Harris@ljec

[redacted]

CH

12/10/2025

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **charris**

License

Fed Tax # [redacted] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **10/31/2025**

File # **75260** Name **MORTIMER, JONATHAN JAY** Extended To

License # **14496878** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **14496878** Lic Status **Closed Upgrade**

Licensee | History | Notes | Notes History | Back

Address

Street # **28049** Street **CAPTIVE SHELL LOOP**

Line 2

Line 3

City **BONITA SPRINGS** State **FL** Zip **34135**

Routing

*New Applicant

*No Previous License

*Temp Issued

*No ARCI Findings

*No CCIS findings

*No additional enforcement cases.

Other

1st License Date **08/02/2025** Rank Date **08/02/2025** Certificate #

Method **I-S-1019** Status Date **08/13/2025** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	IOWN - Individual Animal Owner	09/05/2025	
I	TBRD - Thoroughbred	08/02/2025	
L	321 - Gulfstream Park	08/02/2025	

Alt Keys

BEST LIC NBR **14496878**

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Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

Lic Type	**1021 - Pari-Mutuel Wagering Individual Occupational**			Status	**90 Closed**	Status Date	**12/08/2025**
Complaint #	**2025077008**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**MORTIMER, JONATHAN JAY**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**
Form	**WALK - Walk-in**	Priority	**1**
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**10/12/2025**
Region	**SR - Southern Region**	Received	**10/21/2025**
Reference			
Entered	**10/23/2025**	Entered By	**jhodge**
Summary	**321 - GULFSTREAM PARK RACING ASSOCIATION, INC - License # 14496878**		
Updated	**12/09/2025 14:08:28**	By	**jhodge**

Parties | Activities | Allegations | Discipline | Violations | Compliance | Related | Disposition | Inspection | Costs | Time Tracking | Auto Assign | Attachments | History | Work Notes | Print Report

Change | Save | OK | Cancel | Back

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ROUTING SLIP
REQUEST FOR WAIVER

RE: MORTIMER, JONATHAN JAY – LIC # 14496878 Case No: 2025 07-7008
(APPLICANT'S NAME – LICENSE #)

1021 / Animal Owner
Occupation Code and Job Title

Gulfstream Park Casino
Facility (d/b/a name)

Waiver Referral Date: October 23, 2025
(DATE)

Investigations Section: Reviewed by Bradford D. Jones 12/8/2025
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: CH 12/10/2025 _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, have unpaid fines, been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [✓] No If yes, in what jurisdiction? _____

Investigative Findings:

December 27, 2016	Garfield Police Department, NJ. – Possession CDS<50g-Marijuana Hashish – Misdemeanor – Adjudicated Guilty and Ordered on June 13, 2017 to pay a Fine in the amount of $461.00. – Fines paid in full amount on September 25, 2025.
October 13, 2022	Bergen County Prosecutor's Office, NJ. – Prohibited Weapons-Firearm Without Serial Number, Prohibited Weapons and Devices-Large Capacity, Unlawful Possession Weapons-Handguns Without Permit, Unlawful Possession Weapons-Handguns Air/ Spring/ Pistol. – Convicted upon a plea of Guilty and sentenced on January 12, 2023 to 1 Year Probation, and ordered to pay a Fine of $155.00. – Fines paid in full to satisfy probation.

*Please attach Routing Slip to front of case file

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Alana Zimmer, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**SOUTHERN**	**October 23, 2025**	**2025 07 7008**

Respondent:	Complainant:
MORTIMER, JONATHAN JAY **1411 19TH STREET SW** **NAPLES, FLORIDA 34117**[1] **201-851-8252**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # / Type:	Profession:	Report Date:
14496878 / 1021	**INDIVIDUAL ANIMAL OWNER**	**NOVEMBER 18, 2025**

Period of Investigation:	Type of Report:
OCTOBER 23, 2025 – NOVEMBER 18,2025	**Final**

Basis of Investigation: This investigation is predicated upon submission of **JONATHAN JAY MORTIMER'S** FGCC PMW-3120- Individual Occupational License Application (1021) dated August 23, 2025 (Received by PMW Licensing on September 3, 2025) along with a Request for Waiver signed / dated October 12, 2025. **MORTIMER** is requesting licensing to work as an Individual Animal Owner at Gulfstream Park. On his original application he answered "No" to the question "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" He did not disclose any arrests or convictions **(EXHIBIT #1). MORTIMER** was sent a Deficiency Letter on September 29, 2025, requesting that he list Bergen County, New Jersey arrests that took place on 12/27/2016 and 10/13/2022 **(EXHIBIT #5).**

He resubmitted page 3 of his application on October 10, 2025, with the requested information along with a Request for Waiver on October 12, 2025 listing the arrests as: 12/27/2016 – Misdemeanor Wandering/ Prowling to Obtain or Sell (Marijuana), and 10/13/2022- Felony, Prohibited Weapons/ or Devices Large Capacity Ammo **(EXHIBIT #5).**

MORTIMER was issued a temporary license that expired on 10/31/2025 based on responses he provided on his original application **(EXHIBIT #5).**

Related Case:

Investigations Specialist II / Date	Investigation Manager / Date:
Jackelin Rodriguez / November 18, 2025	Tyrell Smith / November 20, 2025

Chief of Investigations / Date

Bradford D. Jones / December 8, 2025

[1] ***New Address – Update VERSA***

CONTINUATION

CRIMINAL HISTORY

Arrest 1	
Date of Arrest: 12/27/2016	**Arresting Agency:** Garfield Police Department, NJ

OFFENSE

	Charge(s):	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1	Poss CDS- < 50g Marijuana, 5g Hashish	Misdemeanor	Guilty	Guilty	06/13/2017
2					
3					
4					

SENTENCE

Fine in the amount of $461.00.

Additional Information: During the Waiver Interview, MORTIMER reported that he was unaware there was an outstanding balance but paid the full amount on September 25, 2025, totaling approximately $500–$600.

Arrest 2	
Date of Arrest: 10/13/2022	**Arresting Agency:** Bergen County Prosecutor, NJ

OFFENSE

	Charge(s):	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1	Prohibited Weapons- Firearm Without Serial Number	Felony Conviction	Guilty	Guilty	01/12/2023
2	Prohibited Weapons And Devices- Large Capacity	Felony Conviction	Guilty	Guilty	01/12/2023
3	Unlawful Poss Weapons- Handguns Without Permit	Felony Conviction	Guilty	Guilty	01/12/2023
4	Unlawful Poss Weapons- Handguns Air/ Spring/ Pistol	Felony Conviction	Guilty	Guilty	01/12/2023

SENTENCE

1 Year Probation. - Total Obligation fine $155.00. Payments of $25.00 per month towards any outstanding fines, fees and penalties.

CONTINUATION

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?		X
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

3. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

4. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

CONTINUATION

WAIVER INTERVIEW

	YES	NO
Was a Waiver Interview Conducted?	X	

Date of Interview: November 14, 2025	Location of Interview: Via telephone

	YES	NO
Was the applicant cooperative?	X	
Additional Comments:		

SUMMARY OF INTERVIEW:

A Waiver Interview was conducted with Jonathan Jay **MORTIMER** on Friday, November 14, 2025. During the interview **MORTIMER** stated that he is currently employed by CCTV Security Pros, a security camera solutions company. **MORTIMER** also stated he recently moved to 1411 19th Street SW Naples, FL. 34117.

When questioned regarding his December 27, 2016, possession of marijuana incident, in New Jersey, **MORTIMER** stated that he was walking down the street with a group of friends when they were stopped by the Police. One of his friends was found to be in possession of approximately 1 gram of marijuana, which the Police confiscated. According to **MORTIMER**, although he did not personally possess marijuana, the entire group was charged not for having marijuana but for seeking or attempting to purchase marijuana**.**

MORTIMER stated the sentence was a fine, and he was unaware it remained pending. He explained he recently resolved the matter when he obtained the deposition papers. He reported that he paid the full amount on September 25, 2025, totaling approximately $500–$600**.**

On November 6, 2025, this Investigator received a copy of the Police Report. According to the Report (incident #I-2016-042993) dated 12/27/2016, **MORTIMER** was driving a white Dodge Minivan leaving a Quick stop store parking lot in Garfield, NJ. The vehicle had a burned-out taillight, so the officer initiated a traffic stop. When the officer approached the driver **MORTIMER,** he noticed **MORTIMER** moving around and apparently reaching below his seat. As **MORTIMER** rolled down the window, the officer detected a strong odor of raw marijuana coming from inside the vehicle. Two passengers were also present: one in the front seat and one in the back. **MORTIMER** provided a valid registration, expired insurance card, and said his license might be in the trunk.

After the arrival of a second officer assisting in the situation, a check revealed **MORTIMER's** driver's license was suspended and that he had two outstanding warrants**.** The officer stated As per the report, the officer asked **MORTIMER** to step out of the vehicle and speak to him and when doing so had observed marijuana residue on **MORTIMER'S** pants. The officer then advised **MORTIMER** he smelled the marijuana inside of the vehicle and had reason to believe there was marijuana present in the vehicle. **MORTIMER** stated "we already smoked it officer I swear ". After that the officer approached the companion on the passenger side and asked if she was willing to step out the vehicle in which she complied. The passenger stated there was 1 "blunt" **MORTIMER** had just rolled and that "we were about to smoke " and that **MORTIMER** had concealed and a blunt in the center console as he was being pulled over. The passenger was asked to retrieve the blunt and complied. Both passengers denied possession of

marijuana, stating it belonged to **MORTIMER**. **MORTIMER** himself [ultimately] stated he was the one that rolled and concealed it, therefore, it belonged to him. The marijuana Cigarette/ Blunt was confiscated, and **MORTIMER** was then placed under arrest for marijuana possession under 50 grams. He was transported to Police Headquarters, processed, and charged for possession of marijuana under 50 grams, as well as charges related to his suspended driver's license and notice of the two outstanding warrants. The passengers were checked for warrants (none found) and released. **MORTIMER** was eventually released after processing **(EXHIBIT #2).**

When questioned regarding the October 13, 2022, Prohibited Weapons and Devices Involving A Large-Capacity Ammunition Magazine arrest, **MORTIMER** stated the following: He was at a shooting range with a friend who legally owned a firearm. After leaving the range, **MORTIMER** was driving with an empty magazine in his car when he was pulled over. According to **MORTIMER**, in the state of New Jersey, "guns aren't legal at all even if you have a license." The Police located the empty magazine in his vehicle and charged him with a felony. **MORTIMER** stated that he received a sentence of one year of probation.

MORTIMER had additional arrests listed on the Licensing Administrators Review of Occupational License that were dismissed: **(EXHIBIT #1)**

- **07/29/2010** – Arrested for Resisting Arrest and Possession of Marijuana in **Bergen County, NJ**
  Disposition: Adjudicated Delinquent; Dismissed.
- **04/22/2012** – Arrested for Assault in **Bergen County, NJ**
  Disposition: **Dismissed**.
- **02/04/2013** – Arrested for Resisting Arrest / Eluding–Flight in **Bergen County, NJ**
  Disposition: **PTI** Dismissed.
- **06/16/2018** – Arrested for Driving While Ability Impaired by the Consumption of Alcohol in **New York County, NY**
  Disposition: Conditional Discharge**. (Non -Felony)**

MORTIMER stated he raced under a temporary license until his license expired (10/31/2025) and now the horses are racing under his girlfriend Kayla Hope Komstead. A VERSA check revealed Komstead has a 1021-PMW Professional Individual Occupational License # 14496895 with an expiration date of: 06/30/2028 **(EXHIBIT #6).**

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database shows no rulings and no information on **MORTIMER (EXHIBIT #5).**

Case Status: Closed by Investigations and forwarded to licensing.

CONTINUATION

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RECEIVED
OCT 12 2025
By

FGCC PMW-3180 – Request for Waiver

RECEIVED OCT 12 2025

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

TO: Jonathan Jay Mortimer [redacted] 14496878
Full Legal Name of Applicant — Date of Birth — Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Executive Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

☐ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

☒ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Florida Gaming Control Commission will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

Jonathan J. Mortimer
Signature of Applicant

10/12/25
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM FGCC PMW-3195 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

EXHIBIT #1
PAGE #2

RECEIVED
OCT 12 2025
By

FGCC PMW-3195 – Request for Release of Information and Authorization to Release Information

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

RECEIVED OCT 12 2025

PERSONAL INFORMATION

Social Security Number/Federal Employer ID Number [redacted]

IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION

Last Name	First	Middle	Title	Suffix
Mortimer	Jonathan	Jay	Mr	

Birth Dat[redacted]

IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION

Representative's Name Last	First	Middle	Title	Suffix

Permitholder Name

Official Capacity

ATTEST STATEMENT

I, Jonathan Jay Mortimer, do hereby instruct all law enforcement
(name of applicant/representative)
or criminal justice agencies; present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Florida Gaming Control Commission.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.

Jonathan Jay Mortimer
(if individual applicant - legal name and any nicknames or alias in parentheses)

Applicant/Representative Signature: Jonathan J Mortimer Date: 10/12/25

NOTARIZATION

The foregoing application was sworn to and subscribed before me this 12 Day of Oct, 20 25,

by Jonathan Jay Mortimer, Jonathan J Mortimer
Type or print name of applicant — Signature of applicant

[redacted] as identification

MARTHA PACHECO
Notary Public-State of Florida
Commission # HH 293420
My Commission Expires
July 28, 2026

Signature of person taking acknowledgement
Notary Seal
(Rubber Stamp and Expiration)

EXHIBIT #1
PAGE #3

2025 AUG -6 AM 11:50

FLORIDA GAMING CONTROL COMMISSION

RECEIVED AUG 0 2 2025

BY: .....

DBPR PMW-3110 – Animal Owner Temporary License Application

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

www.myfloridalicense.com

The 90-day temporary license will be issued if the applicant or an owner's trainer or a greyhound owner's kennel owner/operator completes this temporary license agreement and pays the license fee and fingerprint fee if applicable. The following terms and conditions apply to this temporary licensing:

1) **The license is valid for 90 days from the date of issuance;**
2) **Should the owner fail to provide the necessary documents within the stipulated time:**
   a) **The owner's temporary license will have expired and the owner's racing animals will not be eligible to participate in pari-mutuel races.**
   b) **The owner will be ineligible to apply for another temporary license until satisfactorily completing the licensing process.**

Name of Owner/Business	License Number of Owner/Business (if applicable)
Jonathan Jay Mortimer	14496878 - 75260
Street Address or P.O. Box: 28049 Captiva S Loop	
City: Bonita Springs	State: FL / Zip Code (+4 optional): 34135
Name of Trainer/Operator: Victor Barboza	License Number of Trainer/Operator
Trainer/Operator Primary Phone Number: 305-988-1764	Trainer/Operator Alternate Phone Number
Receipt Number: 264786	Amount Paid: #40

I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I authorize all law enforcement or criminal justice agencies to release all requested information to the Department of Business and Professional Regulation. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Pursuant to Section 550.2415, Florida Statutes, I agree to allow the Division to perform a postmortem exam of any racing animal under my care or ownership.

Signature of Applicant or Applicant's Representative _____

Date 8/2/25

EXHIBIT #1
PAGE #4

RECEIVED

2025 SEP -3 PM 1:33

FLORIDA GAMING CONTROL COMMISSION

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
FGCC PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

Social Security Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]		☑ Male ☐ Female

Last	First	Middle	Suffix
Mortimer	Jonathan	Jay	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☑ No

If yes, list the name or names used: _____

Race/Ethnicity (optional)
☐ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☐ White or Caucasian ☑ Hispanic/Latino ☐ Other

Current Mailing Address	Email Address (optional)
28409 Captiva Shell Loop	jtsjay2@optonline.net

City	State	Zip Code (+4 optional)	Country, if other than USA
Bonita Springs	FL		

Primary Phone Number	Secondary/Cell Phone Number (optional)
201-851-8252	

Current Street Address: 28409 Captiva Shell Loop

City	State	Zip Code (+4 optional)	Country, if other than USA
Bonita Springs	FL	34135	

Type of Occupational License applying for:
☐ General Individual ☑ Professional Individual
☐ Cardroom Employee

Occupation: Owner

Facility where employed and/or doing business: GULFSTREAM PARK

Does your position require access to the Cardroom? ☐ Yes ☑ No

Is this your first time applying for a racing/gaming license in Florida? ☑ Yes ☐ No

Are you a Supervisor, Manager, or Shareholder of a pari-mutuel permitholder? ☐ Yes ☐ No

Do you own or lease animals intended for racing in Florida? ☐ No ☑ Yes, complete the following:

Stable Name, Kennel Name, or Business Name _____

Trainer Name (horse or greyhound racing only) _____

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code 1021 License # 14496878 File # 75260 App # 264949

Association Code 321 Date Received 8/28/25 Entered By BF License Year 2025

License Fee 40 FP Date 9/4/2025 FP Fee 36 Total Fee 76

☐ Off Temp ☐ Waiver Requested ☐ ARCI ☐ Enforcement ☐ Minor

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EXHIBIT #1
PAGE #5

RECEIVED

2025 SEP -9 PM 1:33

FLORIDA GAMING CONTROL COMMISSION

☐ Yes ☑ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☐ Yes ☑ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE

☐ Yes ☑ No	Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.
☐ Yes ☑ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for obtaining a change, correction, or updating of the FDLE or FBI criminal history are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 550.105, Florida Statutes.

Signature of Applicant

8/23/2025
Date

EXHIBIT #1
PAGE #6

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

MORTIMER, JONATHAN JAY-14496878
(Applicant's Name– License #)

Case No:

INITIAL APPLICATION RECEIVED:	8/2/2025
Application Deemed Complete:	10/15/2025
If no Request for Waiver received, 90-Day Deadline:	**1/13/2026**

Waiver Requested: ☐No ☒Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)
- Waiver Requested 10/12/2025

BF	**321 - Gulfstream Park**	**1021-Individual Animal Owner**
Application Processor	**Facility (d/b/a name)**	**Occupation Code / Job Title**

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☐Yes ☒No
Licensing History: **N/A** Type: **N/A** Initial license issued: **N/A** Maintained Continuous licensure? ☐Yes ☐No **N/A**

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No
Were all items identified in the deficiency letter addressed? ☒Yes ☐No If no, explain:

☒ Felony(s): Count(s) ☐ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking

☐ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)

☐ Animal Cruelty (Pari-Mutuel Professons)

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp
07/29/2010	**Bergen County, NJ**	**Charge 1**- Resisting Arrest **Charge 2**- Poss CDS - < 50g Marijuana, 5gh	Unknown Unknown	10-11798 10-11798	Adjudicated Delinquent Dismissed	N	N
04/22/2012	**Bergen County, NJ**	**Charge 1**- Assault	Unknown	12-7226	Dismissed	N	N
02/04/2013	**Bergen County, NJ**	**Charge 1**- Resist Arr/Elud-Flight Prevent	Unknown	E-02644	PTI Dismissed	N	N
12/27/2016	**Bergen County, NJ**	**Charge 1**- Poss CDS - < 50g Marijuana, 5gh **Amended** Wandering/Prowling To Obtain/S	M	0221S2016000695	Guilty	N	Y
10/13/2022	**Bergen County, NJ**	**Charge 1**- Prohibited Weapons/Devices-Larg **Charge 2**- Prohibited Weapons-Firearm With **Charge 3**- Unlaw Poss Weapon-Handguns W/O PE **Charge 4**- Unlawl Poss Weap-Handgun = Air/	F	BCP2201188	Guilty	N	Y
06/16/2018	**New York County, NY**	**Charge 1**- Driving While Ability Impaired By The Consumption of Alcohol	Infraction	2018NY026412	Conditional discharge	N	N

EXHIBIT #1
PAGE #7

Related Licenses	
Check VR License Relations to Determine if Applicant is Related to a Business.	
Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**	
Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review	
Disposition Confirmation	
Disqualifying Convictions/Arrests Confirmed? Yes ☒ No ☐ ☐ Approval Disposition Attached for Disqualifier(s)? Yes ☒ No ☐	
Deficiency Letter Issued: **09/10/2025, 09/29/2025 and 08/13/2025** Application Deemed Complete: **10/15/2025** Applicant Eligible for Waiver? Yes ☒ No ☐ If Yes: ☒ Waiver Requested ☐ Failed to Request Waiver	
Forward to ☒ **Investigations** ☐ **Legal** ☐ **Commission**	Initials: CH Date: 10/21/2025
Comments:	

EXHIBIT #1
PAGE #8

GARFIELD POLICE

411 MIDLAND AVE
GARFIELD, NJ 07026
973-478-8500

ARREST REPORT

Municipal Code: 0221
ORI: NJ0022100

INCIDENT #	CALL TYPE	DATE REPORTED	TIME REPORTED	INCIDENT LOCATION
I-2016-042993	1800 - NARCOTICS	12/27/2016	02:32	President STREET, Saddle Brook NJ 07663

INCIDENT # I-2016-042993

DEFENDANT INFORMATION

ARREST NUMBER 16 - 936

LAST NAME	FIRST NAME	MI	SUFFIX	HOME PHONE
MORTIMER	JONATHAN	J		201-702-7545

ALIAS/NICKNAMES	ALTERNATE PHONE	CELL PHONE / PAGER
		201-702-7545

DEFENDANT'S ADDRESS (#, STREET NAME)	UNIT TYPE	UNIT #	CITY	STATE	ZIP
691 WALL STREET			RIDGEWOOD	NJ	07450-3549

SEX	RACE	DOB	AGE	SOCIAL SECURITY #	HEIGHT (ft. / in.)	WEIGHT
M	WHITE	[redacted]	22	[redacted]	5' 7"	135

HAIR COLOR	EYE COLOR	COMPLEXION	MARITAL STATUS	ETHNICITY	US CITIZEN	RESIDENCY STATUS
BRO	BRO	LBR	Single	HISPANIC	Y	

PLACE OF BIRTH (CITY)	STATE (COUNTRY IF NOT BORN IN US)	SCARS / MARKS / TATTOOS
PASSAIC	NEW JERSEY / USA	TATTOO OF "LOYALTY" ON INSIDE OF LEFT WRIST

SBI #	FBI #	CBI #		D/L STATE	D/L EXPIRE
			[redacted]	NJ	12/2019

DATE 12/27/2016

EMPLOYER / SCHOOL	OCCUPATION / GRADE	PHONE	ADDRESS (#, STREET NAME, CITY, STATE, ZIP)
24-7 LOCKSMITH SERVICES	LOCKSMITH	201-702-7545	691 WALL STREET , RIDGEWOOD, NJ 07450

NAME OF NEAREST RELATIVE	RELATIONSHIP	PHONE	ADDRESS (#, STREET NAME, CITY, STATE, ZIP)
MORTIMER, PETER W	GRANDFATHER	201-668-6813	691 WALL ST RIDGEWOOD NJ 07450-3549

ARREST DETAILS

☑ WARRANT ☑ ON VIEW ARREST ☐ SUMMONS ☑ PHOTOGRAPHED ☑ FINGERPRINTED

TYPE OF WARRANT	AGENCY'S OCA	LOCATION OF ARREST	DATE / TIME OF ARREST
		President STREET , Saddle Brook, NJ 07663	12/27/2016 02:50

NCIC WANTS	ATS / ACS WANTS	IF WANTED, NAME OF AGENCY	AGENCY'S OCA	WARRANT #	DATE/TIME CONFIRMED	PERSON CONFIRMING WARRANT
N	Y	CLIFTON PD		E162504	12/27/2016 03:00	PST BABCOCK

MIRANDA GIVEN	MIRANDA GIVEN BY (RANK, LAST, FIRST, ID#)	DATE/TIME GIVEN	WITNESSED BY (RANK, LAST, FIRST, ID#)	STATEMENT GIVEN
N				None

OFFENSE DETAILS

☐ GANG RELATED ☐ BIAS MOTIVATION ☐ DOMESTIC VIOLENCE

	CDR # / M.V. SUMMONS #	OFFENSE	N.J. STATUTE / ORDINANCE
1	0221-S-2016-000695	POSSESSION OF MARIJUANA UNDER 50 GRAMS	2C:35-10A(4)
2	0221-E16-027011	DRIVING WHILE DL/REG SUSPENDED	39:3-40
3	0221-E16-027013	OPERATING MOTOR VEHICLE WITH CDS	39:4-49.1

LOCATION OF OFFENSE (#, STREET NAME, UNIT TYPE, UNIT #, CITY, STATE, ZIP)	DATE / TIME OF OFFENSE	MUNICIPAL CODE	UCR CODE
Outwater Lane/Fifth STREET, Garfield NJ 07026	12/27/2016 02:32	0221	

COMPLAINANT	LAST NAME	FIRST NAME	POLICE AGENCY/ADDRESS	AGENCY / VICTIM PHONE
☑ OFFICER ☐ VICTIM	TYBURSKI	SEBASTIAN	411 MIDLAND AVENUE GARFIELD, NJ 07026	973-478-8500

VEHICLE INFORMATION

☐ NOT APPLICABLE ☐ OWNER SAME AS DEFENDANT ☐ VEHICLE IMPOUNDED

VEHICLE MAKE	MODEL	YEAR	COLOR	LICENSE PLATE	STATE REG.	REG. EXPIRE	VIN
DODGE	CARAVAN	2011	WHITE	[redacted]	NJ	06/2017	[redacted]

[redacted]

NARRATIVE / ADDITIONAL CHARGES

ON MONDAY DECEMBER 26TH, 2016 I, P.O. S. TYBURSKI #218, WAS ASSIGNED TO THE MIDNIGHT SHIFT FROM 23:30 - 07:30 UTILIZING MARKED PATROL UNIT #26. I WAS ASSIGNED AS THE SECTOR 1 - 2 CAR FOR THE TOUR. PRIOR TO STARTING MY SHIFT THE BACKSEAT OF MARKED PATROL UNIT #26 WAS CHECKED WITH NEGATIVE RESULTS....

ADMINISTRATIVE

AFIS	AFIS ID MATCHES DEFENDANT	BAIL SET BY	DATE/TIME BAIL SET	CASH BAIL AMOUNT	SURETY BOND
☐ YES ☐ NO	☐ YES ☐ NO				

ADDITIONAL CONDITIONS OF BAIL	DISPOSITION OF DEFENDANT	BAIL PLACED IN PROPERTY LOCKER #

SUBMITTING OFFICER'S SIGNATURE	DATE	SUPERVISOR'S SIGNATURE	DATE
	12/27/2016		12/27/2016

REPORT SUBMITTED BY (RANK, LAST, FIRST, ID#)	REPORT APPROVED BY (RANK, LAST, FIRST, ID#)
POLICE OFFICER TYBURSKI, SEBASTIAN 218	SERGEANT SANTILLO, NICOLAS 204

EXHIBIIT #2
PAGE #1

ON TUESDAY DECEMBER 27TH, 2016 AT APPROXIMATELY 02:50 HOURS THE ABOVE LISTED DEFENDANT, JONATHAN J MORTIMER [redacted] WAS PLACED UNDER ARREST FOR 2C:35-10A(4) POSSESSION OF MARIJUANA UNDER FIFTY (50) GRAMS. HE WAS HANDCUFFED AND SEARCHED INCIDENT TO ARREST YIELDING NEGATIVE RESULTS. MR. MORTIMER WAS PLACED INTO THE BACKSEAT OF MARKED PATROL UNIT #26. I TRANSPORTED MR. MORTIMER BACK TO HEADQUARTERS WITHOUT INCIDENT. THE BACKSEAT OF MARKED UNIT #26 WAS CHECKED PRIOR TO AND UPON COMPLETION OF THE TRANSPORT YIELDING NEGATIVE RESULTS. ONCE INSIDE THE ARREST ROOM, MR. MORTIMER WAS SECURED TO THE DETENTION BAR IN THE ARREST ROOM WHILE I OBTAINED PEDIGREE INFORMATION. MR. MORTIMER WAS FOUND TO HAVE TWO (2) OUTSTANDING WARRANTS FOR HIS ARREST (CLIFTON WARRANT # E162504 FOR $500.00 AND WYCKOFF WARRANT # G2246 FOR $500.00) WHICH WERE BOTH CONFIRMED. HE WAS PROCESSED, FINGERPRINTED, AND PHOTOGRAPHED WITHOUT INCIDENT AND CHARGED ON SUMMONS # 0221-S-2016-000695. MR. MORTIMER WAS ABLE TO MAKE ARRANGEMENTS FOR KIRK SHAW BAIL BONDS TO POST HIS BAIL FOR THE TWO (2) OUTSTANDING WARRANTS, SERVED WITH ALL HIS PAPERWORK, ADVISED OF HIS COURT DATE AND RELEASED. SEE INCIDENT REPORT FOR FURTHER DETAILS.

******WVR*******

GARFIELD POLICE

411 MIDLAND AVE
GARFIELD, NJ 07026
973-478-8500

INCIDENT REPORT

Municipal Code: 0221
ORI: NJ0022100

INCIDENT #	CALL TYPE	DATE REPORTED	TIME REPORTED	INCIDENT LOCATION
I-2016-042993	1800 - NARCOTICS	12/27/2016	02:32	President STREET, Saddle Brook NJ 07663

DATE / TIME OF INCIDENT STARTED	DATE / TIME OF INCIDENT ENDED
12/27/2016 02:32	12/27/2016 06:00

INCIDENT # I-2016-042993 DATE 12/27/2016

HOW RECEIVED ☐ E911 ☐ DISPATCHED ☐ FLAG DOWN ☑ ON-VIEW ☐ WALK-IN ☐ OTHER

VICTIM INFORMATION

LAST NAME	FIRST NAME	MI	HOME PHONE	CELL PHONE

ADDRESS	UNIT TYPE	UNIT #	CITY	STATE	ZIP

RACE	SEX	DOB	AGE	DRIVER'S LICENSE #	D/L STATE

EMPLOYER/ SCHOOL	OCCUPATION	CONTACT PERSON	PHONE

EMPLOYER / SCHOOL ADDRESS (#, STREET NAME, UNIT TYPE, UNIT #, CITY, STATE, ZIP)

NO. OF VICTIMS	WAS VICTIM INJURED	REQUIRE MEDICAL TREATMENT	TYPE OF VICTIM	VICTIM IS THE OFFENDER'S

COMPLAINANT INFORMATION

☐ SAME AS VICTIM

LAST NAME	FIRST NAME	MI	HOME PHONE	CELL PHONE
TYBURSKI	SEBASTIAN		973-478-8500	

ADDRESS	UNIT TYPE	UNIT #	CITY	STATE	ZIP
411 MIDLAND AVENUE			GARFIELD	NJ	07026

RACE	SEX	DOB	AGE	DRIVER'S LICENSE #	D/L STATE
WHITE	M				

EMPLOYER/ SCHOOL	OCCUPATION	CONTACT PERSON	PHONE
GARFIELD POLICE DEPARTMENT	POLICE OFFICER		973-478-8500

EMPLOYER / SCHOOL ADDRESS (#, STREET NAME, UNIT TYPE, UNIT #, CITY, STATE, ZIP)
411 Midland AVENUE , GARFIELD, NJ 07026

ADDITIONAL PERSONS

CODES: R=Reporting Person; W=Witness; A=Arrestee; I=Involved Person; P=Police; F=Family member; V=additional Victim; O=Other

	CODE	LAST NAME	FIRST NAME	MI	RACE	SEX	DOB
1	A	MORTIMER	JONATHAN	J	WHITE	M	[redacted]

ADDRESS (#, STREET NAME, UNIT TYPE, UNIT #, CITY, STATE, ZIP): 691 WALL STREET , RIDGEWOOD, NJ 07450-3549 | SOCIAL SECURITY #: [redacted] | HOME PHONE: 201-702-7545 | CELL PHONE: 201-702-7545

	CODE	LAST NAME	FIRST NAME	MI	RACE	SEX	DOB
2	I	JONES JR	BEYON	K	BLACK	M	[redacted]

ADDRESS (#, STREET NAME, UNIT TYPE, UNIT #, CITY, STATE, ZIP): 129 HARTMANN AVE , GARFIELD, NJ 07026-2212 | SOCIAL SECURITY #: [redacted] | HOME PHONE: | CELL PHONE:

	CODE	LAST NAME	FIRST NAME	MI	RACE	SEX	DOB
3	I	DANGELO	GABRIELLA	A	WHITE	F	[redacted]

ADDRESS (#, STREET NAME, UNIT TYPE, UNIT #, CITY, STATE, ZIP): 475 BANTA AVE , GARFIELD, NJ 07026-2149 | SOCIAL SECURITY #: [redacted] | HOME PHONE: | CELL PHONE:

UNIDENTIFIED SUSPECT / OFFENDER

SUSPECT/OFFENDER DESCRIPTION N/A ☑

NUMBER OF SUSPECTS

	APPROX AGE	RACE	SEX	APPROX HEIGHT	EYE COLOR	HAIR COLOR	HAIR LENGTH	FACIAL HAIR
1								

CLOTHING WORN | DIRECTION OF FLIGHT

NAME / NICKNAME | SCARS / MARKS / TATTOOS

	APPROX AGE	RACE	SEX	APPROX HEIGHT	EYE COLOR	HAIR COLOR	HAIR LENGTH	FACIAL HAIR
2								

CLOTHING WORN | DIRECTION OF FLIGHT

NAME / NICKNAME | SCARS / MARKS / TATTOOS

EXHIBIIT #2
PAGE #3

GARFIELD POLICE

411 MIDLAND AVE
GARFIELD, NJ 07026
973-478-8500

INCIDENT REPORT

Municipal Code: 0221
ORI: NJ0022100

INCIDENT #	CALL TYPE	DATE REPORTED	TIME REPORTED	INCIDENT LOCATION
I-2016-042993	1800 - NARCOTICS	12/27/2016	02:32	President STREET, Saddle Brook NJ 07663

VEHICULAR INFORMATION

VEHICLE INFORMATION N/A ☐ | ☐ REPORTED STOLEN | ☐ RECOVERED STOLEN | ☐ EVIDENCE | ☐ VIOLATIONS | ☑ INVOLVED IN CRIME

OWNER'S LAST NAME	OWNER'S FIRST NAME	OWNER'S ADDRESS (#, STREET NAME, UNIT TYPE, UNIT #, CITY, STATE, ZIP)
MORTIMER	PETER	691 WALL ST, RIDGEWOOD NJ 07450-3549

YEAR	MAKE	MODEL	COLOR	STYLE	LICENSE PLATE #	STATE	DATE EXPIRE
2011	DODGE	CARAVAN	WHITE	MINI-VAN	[redacted]	NJ	06/2017

KEYS IN VEHICLE	VEHICLE LOCKED	FRONT PLATE	REAR PLATE	VEHICLE VALUE	VIN
Y	N	Y	Y		[redacted]

VEHICLE TOWED	IMPOUND REPORT	INSURANCE CO.	INSURANCE CODE	POLICY #
N	N			

OFFENSE INFORMATION

	CDR # / MV SUMMONS #	CHARGE	N.J. STATUTE LOCAL ORD	STATUS
1	0221-S-2016-000695	POSSESSION OF MARIJUANA UNDER 50 GRAMS	2C:35-10A(4)	Completed
2	0221-E16-027011	DRIVING WHILE DL/REG SUSPENDED	39:3-40	Completed
3	0221-E16-027013	OPERATING MOTOR VEHICLE WITH CDS	39:4-49.1	Completed
4	0221-E16-027014	FAILURE TO EXHIBIT PROOF OF INSURANCE	39:3-29C	Completed

NARRATIVE

ON MONDAY DECEMBER 26TH, 2016 I, P.O. S. TYBURSKI #218, WAS ASSIGNED TO THE MIDNIGHT SHIFT FROM 23:30 - 07:30 UTILIZING MARKED PATROL UNIT #26. I WAS ASSIGNED AS THE SECTOR 1 - 2 CAR FOR THE TOUR. PRIOR TO STARTING MY SHIFT THE BACKSEAT OF MARKED PATROL UNIT #26 WAS CHECKED WITH NEGATIVE RESULTS.

ON TUESDAY DECEMBER 27TH, 2016 AT APPROXIMATELY 02:30 HOURS I WAS AT THE INTERSECTION OF OUTWATER LANE AND MIDLAND AVENUE IN THE WALLACE REAL ESTATE OFFICE PARKING LOT OBSERVING THE FLOW OF TRAFFIC. IT WAS AT THIS TIME THAT I OBSERVED A WHITE IN COLOR DODGE MINI-VAN BEARING NJ REG [redacted] EXITING THE PARKING LOT OF THE QUICK-STOP STORE AT THAT INTERSECTION. THE VEHICLE EXITED THROUGH THE MIDLAND AVENUE SIDE AND WAS NOW STOPPED AT THE TRAFFIC LIGHT AT THAT INTERSECTION, WAITING TO TURN LEFT (EAST) ONTO OUTWATER LANE. AS THE VEHICLE WAS STOPPED...

REPORTING OFFICER'S SIGNATURE (IF REQUIRED)	DATE	SUPERVISOR'S SIGNATURE	DATE

PRINT REPORTING OFFICER'S NAME (RANK, LAST, FIRST, ID#)	APPROVED BY (RANK, LAST, FIRST, ID#)
POLICE OFFICER TYBURSKI, SEBASTIAN 218	SERGEANT SANTILLO, NICOLAS 204

ADMINISTRATIVE

ARREST
OF SUBJECTS ARRESTED | **TYPE OF ARREST** ☐ FOA ☐ WARRANT ☐ ON VIEW AT SCENE ☐ SUMMONS | DETECTIVE NOTIFIED | NAME OF DET

DATABASE INQUIRES
☐ MOTOR VEHICLE COMMISSION ☐ IN HOUSE RMS ☐ NCIC FOR WANTS /WARRANTS ☐ COBRA ☐ ATS ☐ ACS | TELETYPE MESSAGE #

TECHNICAL SERVICES USED
☐ COUNTY B.C.I. ☐ K-9 UNIT ☐ N.J.S.P. OTHER | TECH CASE # | TECH OFFICER | BADGE #

SUPPLEMENTAL REPORTS

TRAK ☐	VICTIM NOTIFICATION REPORT ☐	JUVENILE INVESTIGATION/RELEASE ☐	STATEMENT ☐
ARREST REPORT ☐	PROPERTY TAG ☐	DOMESTIC VIOLENCE REPORT ☐	IMPOUND SHEET ☐
FINGERPRINT CARDS ☐	PROPERTY LOSS SHEET ☐	BIAS CRIME INCIDENT REPORT ☐	EVIDENCE SUBMITTED ☐

OTHER DESCRIBE

U.C.R. REPORTING | **U.C.R. CODE**

PROPERTY	CURRENCY	JEWELRY	FURS	CLOTHING	AUTO	MISC	TOTAL VALUE
VALUE OF STOLEN PROP.	$0	$0	$0	$0	$0	$0	$0
VALUE OF RECOVERED PROP.	$0	$0	$0	$0	$0	$0	$0

INCIDENT STATUS ☐ UNFOUNDED ☐ CLEARED BY ARREST ☐ CLEARED EXCEPTIONALLY ☐ ADMINISTRATIVELY CLOSED | CLEARANCE DATE | REASON FOR EXCEPTIONAL CLEARANCE

DETECTIVE BUREAU REVIEW

INCIDENT REQUIRES REVIEW	REVIEWED BY (RANK, LAST, FIRST, ID#)	DATE

CASE STATUS	DATE ASSIGNED	ASSIGNED TO
☐ REVIEWED NOT ASSIGNED ☐ ASSIGNED FOR INVESTIGATION		

EXHIBIIT #2
PAGE #4

INCIDENT #	CALL TYPE	DATE REPORTED	TIME REPORTED	INCIDENT LOCATION
I-2016-042993	1800 - NARCOTICS	12/27/2016	02:32	President STREET, Saddle Brook NJ 07663

AT THE TRAFFIC LIGHT I WAS ABLE TO OBSERVE THAT THE REAR PASSENGER SIDE TAILLIGHT WAS NOT WORKING AT ALL, A VIOLATION OF NJSA 39:3-66 (MAINTENANCE OF LAMPS). THE VEHICLE PROCEEDED TO MAKE A LEFT TURN ONTO OUTWATER LANE AND PROCEED EAST, WHILE AT THE SAME TIME I PULLED OUT OF MY LOCATION ONTO OUTWATER LANE AND PROCEEDED TO CLOSE DISTANCE ON THE VEHICLE IN ORDER TO CONDUCT A MOTOR VEHICLE STOP FOR THE PREVIOUSLY MENTIONED VIOLATION. THE VEHICLE TURNED LEFT ONTO FIFTH STREET AT WHICH TIME I ACTIVATED MY OVERHEAD EMERGENCY LIGHTS AND SIRENS AND CONDUCTED A MOTOR VEHICLE STOP IN THE AREA OF PRESIDENT STREET AND FIFTH STREET IN SADDLE BROOK. I ADVISED DISPATCH OF THE MOTOR VEHICLE STOP AND MY LOCATION AND PROCEEDED TO EXIT MY PATROL VEHICLE.

AS I WAS APPROACHING THE VEHICLE FROM THE DRIVER SIDE I WAS ABLE TO OBSERVE THE DRIVER, LATER IDENTIFIED AS JONATHAN J MORTIMER (DOB [redacted]) MOVING AROUND AND CONSTANTLY STARING INTO THE DRIVER SIDE DOOR MIRROR CHECKING TO SEE WHERE I WAS. HE APPEARED TO REACH FOR SOMETHING BELOW HIS SEAT AT WHICH TIME I APPROACHED THE DRIVER SIDE WINDOW AND ORDERED HIM TO ROLL DOWN THE WINDOW AND SHOW ME HIS HANDS. MR. MORTIMER COMPLIED AND AS HE ROLLED DOWN THE WINDOW I WAS IMMEDIATELY ABLE TO DETECT A STRONG ODOR OF RAW MARIJUANA EMANATING FROM INSIDE THE VEHICLE. I NOTICED THAT INSIDE THE VEHICLE WAS ALSO A FEMALE PASSENGER IN THE FRONT SEAT (LATER IDENTIFIED AS GABRIELLA A DANGELO [redacted] AND MALE PASSENGER IN THE BACK SEAT (LATER IDENTIFIED AS BRYON K JONES JR [redacted] I INTRODUCED MYSELF AS A GARFIELD POLICE OFFICER AND ASKED HIM FOR HIS DRIVERS LICENSE, INSURANCE CARD AND REGISTRATION. HE PROVIDED ME WITH A VALID REGISTRATION, EXPIRED INSURANCE CARD AND STATED THAT HIS LICENSE "MIGHT BE IN MY JACKET IN THE TRUNK". AT THIS TIME OFFICER OTERO #227 AND A SADDLE BROOK OFFICER ARRIVED ON SCENE TO ASSIST. MR. MORTIMER ASKED ME IF HE COULD STEP OUT OF THE VEHICLE TO LOOK FOR HIS LICENSE AT WHICH TIME I ADVISED HIM TO REMAIN IN HIS VEHICLE FOR THE TIME BEING. I ADVISED HIM THAT IF HE IN FACT HAD A NJ DRIVERS LICENSE I WOULD BE ABLE TO LOCATE IT MYSELF USING HIS NAME AND DATE OF BIRTH. OFFICER OTERO #227 REMAINED BY THE VEHICLE KEEPING A CONSTANT EYE ON THE OCCUPANTS WHILE I ATTEMPTED TO LOCATE A NJ DRIVERS LICENSE FOR MR. MORTIMER. UPON ENTERING HIS INFORMATION INTO MY MOBILE DATA TERMINAL I DISCOVERED THAT HIS NJ DRIVERS LICENSE WAS SUSPENDED AND THAT HE HAD TWO (2) OUTSTANDING WARRANTS FOR HIS ARREST (CLIFTON WARRANT # E162504 FOR $500.00 AND WYCKOFF WARRANT # G2246 FOR $500.00). AT THIS TIME I RETURNED TO THE VEHICLE AND ASKED MR. MORTIMER TO STEP OUT AND COME SPEAK WITH ME AT THE REAR OF HIS VEHICLE.

MR. MORTIMER SAT DOWN ON HIS REAR BUMPER AND AS I SHINED MY FLASHLIGHT ONTO HIM I WAS ABLE TO OBSERVE WHAT APPEARED TO BE MARIJUANA RESIDUE ON HIS PANTS. I ADVISED HIM THAT I DETECTED A STRONG ODOR STRONG ODOR OF RAW MARIJUANA EMANATING FROM INSIDE THE VEHICLE AND HAD REASON TO BELIEVE THERE WAS MARIJUANA PRESENT INSIDE THE VEHICLE. HE STATED "WE ALREADY SMOKED IT OFFICER I SWEAR". AFTER SPEAKING WITH HIM BRIEFLY I PROCEEDED TO THE FRONT OF THE VEHICLE AND ASKED MS. DANGELO IF SHE WAS WILLING TO STEP OUT AT WHICH TIME SHE STATED "NO PROBLEM". MS. DANGELO STEPPED OUT AND I PROCEEDED TO ADVISE HER THAT DUE TO THE FACT THAT I DETECTED A STRONG ODOR OF RAW MARIJUANA EMANATING FROM INSIDE THE VEHICLE AND MR. MORTIMER HAVING MARIJUANA RESIDUE ON HIS PANTS I HAD REASON TO BELIEVE THERE WAS MARIJUANA PRESENT INSIDE THE VEHICLE. MS. DANGELO IMMEDIATELY STATED "ALL THERE IS IS THE ONE (1) BLUNT THAT HE JUST ROLLED THAT WE WERE ABOUT TO SMOKE". MS. DANGELO STATED THAT MR. MORTIMER CONCEALED A BLUNT (MARIJUANA CIGARETTE) IN THE CENTER CONSOLE AS HE WAS BEING PULLED OVER. I ASKED HER TO RETRIEVE THE MARIJUANA CIGARETTE FOR ME AT WHICH TIME SHE DID. BOTH PASSENGERS OF THE VEHICLE DENIED POSSESSION OF THE MARIJUANA CIGARETTE STATING THAT IT BELONGED TO MR. MORTIMER. MR. MORTIMER TOO HIMSELF STATED THAT HE WAS THE ONE TO PUT IT TOGETHER AND HE CONCEALED IT, THEREFORE IT BELONGED TO HIM. AT THIS TIME I SECURED THE MARIJUANA CIGARETTE/ BLUNT AND MR. MORTIMER WAS PLACED UNDER ARREST FOR 2C:35-10A(4) POSSESSION OF MARIJUANA UNDER FIFTY (50) GRAMS. BOTH PASSENGERS WERE CHECKED FOR WARRANTS WITH NEGATIVE RESULTS AND SENT ON THEIR WAY. MR. MORTIMER GAVE PERMISSION TO MR. JONES JR. [redacted] TO TAKE POSSESSION OF THE VEHICLE.

MR. MORTIMER WAS PLACED UNDER ARREST FOR 2C:35-10A(4) POSSESSION OF MARIJUANA UNDER FIFTY (50) GRAMS AT APPROXIMATELY 02:50 HOURS. HE WAS HANDCUFFED AND SEARCHED INCIDENT TO ARREST YIELDING NEGATIVE RESULTS. MR. MORTIMER WAS PLACED INTO THE BACKSEAT OF MARKED PATROL UNIT #26. I TRANSPORTED MR. MORTIMER BACK TO HEADQUARTERS WITHOUT INCIDENT. THE BACKSEAT OF MARKED UNIT #26 WAS CHECKED PRIOR TO AND UPON COMPLETION OF THE TRANSPORT YIELDING NEGATIVE RESULTS. ONCE INSIDE THE ARREST ROOM, MR. MORTIMER WAS SECURED TO THE DETENTION BAR IN THE ARREST ROOM WHILE I OBTAINED PEDIGREE INFORMATION. MR. MORTIMER WAS FOUND TO HAVE TWO (2) OUTSTANDING WARRANTS FOR HIS ARREST (CLIFTON WARRANT # E162504 FOR $500.00 AND WYCKOFF WARRANT # G2246 FOR $500.00) WHICH WERE BOTH CONFIRMED. HE WAS PROCESSED, FINGERPRINTED, AND PHOTOGRAPHED WITHOUT INCIDENT AND CHARGED ON SUMMONS [redacted] MR. MORTIMER WAS ABLE TO MAKE ARRANGEMENTS FOR BAIL FOR THE TWO (2) OUTSTANDING WARRANTS, SERVED WITH ALL HIS PAPERWORK, ADVISED OF HIS COURT DATE AND LATER RELEASED. KIRK SHAW BAIL BONDS COMPANY ARRIVED AT POLICE HEADQUARTERS AND POSTED A BOND FOR EACH OF THE WARRANTS. MR. MORTIMER WAS GIVEN A COPY OF EACH OF THE R.O.R. FORMS AND ADVISED OF HIS COURT DATES FOR EACH OF THE COURTS. AT 0635 HOURS, HE WAS RELEASED FROM HEADQUARTERS ON SUMMONS WITHOUT INCIDENT.

ALL EVIDENCE WAS PHOTOGRAPHED, TAGGED PROPERLY AND PLACED INTO EVIDENCE LOCKERS. THE C.D.S. WAS SECURED IN EVIDENCE LOCKER # 4 AND THE PHOTO CARD WAS SECURED IN LOCKER # 11. SEE

INCIDENT #	CALL TYPE	DATE REPORTED	TIME REPORTED	INCIDENT LOCATION
I-2016-042993	1800 - NARCOTICS	12/27/2016	02:32	President STREET, Saddle Brook NJ 07663

OTHER REPORTS FOR FURTHER DETAILS.

******WVR*******

GARFIELD POLICE

411 MIDLAND AVE
GARFIELD, NJ 07026
973-478-8500

PROPERTY & EVIDENCE REPORT

Municipal Code: 0221
ORI: NJ0022100

INCIDENT #	CALL TYPE	DATE REPORTED	TIME REPORTED	INCIDENT LOCATION
I-2016-042993	1800 - NARCOTICS	12/27/2016	02:32	President STREET, Saddle Brook NJ 07663

INCIDENT # I-2016-042993

DEFENDANT

☐ UNKNOWN

DEFENDANT'S LAST NAME	FIRST NAME	MI	HOME PHONE
MORTIMER	JONATHAN	J	201-702-7545

DEFENDANT'S ADDRESS (#,STREET NAME)	UNIT TYPE	UNIT #	CITY	STATE	ZIP	ARRESTED
691 WALL STREET			RIDGEWOOD	NJ	07450-35	Y

EVIDENCE WAS FOUND

☐ DEFENDANT ☐ RESIDENCE ☐ BUSINESS ☐ ABANDONED ☑ VEHICLE ☐ VEHICLE IMPOUNDED

DATE 12/27/2016

ADDRESS WHERE EVIDENCE WAS FOUND (#,STREET NAME)	UNIT TYPE	UNIT #	CITY	STATE	ZIP	MUN. CODE
President STREET			Saddle Brook	NJ	07663	

VEHICLE MAKE	MODEL	YEAR	COLOR	LICENSE PLATE #	STATE	VIN
DODGE	CARAVAN	2011	WHITE		NJ	

U.S. CURRENCY

CURRENCY	QUANTITY	$ AMOUNT	CURRENCY	QUANTITY	$ AMOUNT	CURRENCY	QUANTITY	$ AMOUNT	CURRENCY	QUANTITY	$ AMOUNT
100.00		0	20.00		0	5.00		0	COIN		
50.00		0	10.00		0	1.00		0	OTHER		
SUB-TOTAL		0	SUB-TOTAL		0	SUB-TOTAL		0	SUB-TOTAL		0.00

OFFICER COUNTING CURRENCY	OFFICER COUNTING CURRENCY	CURRENCY PHOTOCOPIED (Y,N)	TOTAL CURRENCY	0.00

EVIDENCE INVENTORY	DATE RECOVERED	TIME RECOVERED	EVIDENCE PLACED IN EVIDENCE LOCKER #
	12/27/2016	02:50	C.D.S. #4, PHOTOS # 11

ITEM	QTY	DESCRIPTION	SERIAL #	DISPOSITION	DATE
1	1	SUSPECTED MARIJUANA CIGARETTE	002151991	TAGGED	12/27/2016
2	1	DIGITAL PHOTO MEMORY CARD	CARD # 11	TAGGED	12/27/2016
3					
4					
5					
6					
7					
8					

NARRATIVE

ONE (1) EVIDENCE BAG CONTAINING THE SUSPECTED MARIJUANA CIGARETTE. ONE (1) DIGITAL PHOTO MEMORY CARD (CARD # 11) CONTAINING TWO (2) PHOTOS OF THE SUSPECTED MARIJUANA. ALL EVIDENCE WAS PHOTOGRAPHED, TAGGED PROPERLY...

SUBMITTING OFFICER'S SIGNATURE	DATE OF REPORT	SUPERVISOR'S SIGNATURE	DATE APPROVED
	12/27/2016		12/27/2016

REPORT SUBMITTED BY (RANK, LAST, FIRST, ID#)	REPORT APPROVED BY (RANK, LAST, FIRST, ID#)
POLICE OFFICER TYBURSKI, SEBASTIAN 218	SERGEANT SANTILLO, NICOLAS 204

EVIDENCE SUBMISSION

☑ EVIDENCE PHOTOGRAPHED

PHOTOS TAKEN BY	DATE / TIME PHOTOS TAKEN
POLICE OFFICER TYBURSKI, SEBAST:	12/27/2016 03:50

OFFICER SUBMITTING EVIDENCE	PROPERTY ROOM OFFICER ACCEPTING EVIDENCE	DATE / TIME EVIDENCE RECEIVED	PROPERTY CONTROL #
POLICE OFFICER TYBURSKI, SEBAS 218			

PROPERTY DISPOSITION

DATE PROPERTY RELEASED	PROOF OF OWNERSHIP	SIGNATURE OF OFFICER AUTHORIZING RELEASE

PROPERTY RELEASED TO: LAST	FIRST	MI	SUFFIX	ADDRESS (#,STREET NAME, UNIT TYPE, UNIT #, CITY, STATE, ZIP)

SIGNATURE OF PERSON PROPERTY RELEASED TO	SIGNATURE OF OFFICER RELEASING PROPERTY

EXHIBIIT #2
PAGE #7

AND PLACED INTO EVIDENCE LOCKERS . THE CONTRABAND WAS SECURED IN EVIDENCE LOCKER # 4 AND THE PHOTO CARD WAS SECURED IN LOCKER #11. SEE INCIDENT REPORT FOR FURTHER DETAILS.

******WVR*******

New Jersey Courts
Independence • Integrity • Fairness • Quality Service

Administrative Office of the Courts

GARFIELD CITY MUNICIPAL COURT (0221)
111 OUTWATER LANE
GARFIELD , NJ 07026-2694
(973) 340-2000

CERTIFICATION OF DISPOSITION

Complaint Number: 0221 S 2016 000695 Offense Date: 12/27/2016

Defendant Name: JONATHAN J MORTIMER

Complainant: DET. S. F TYBURSKI

Attorney Name:

Offense Information

Offense	Auxiliary Offense	Amended Offense	Plea	Finding	Sentence	Disposition Date
2C:35-10A(4) POSS CDS - < 50G MARIJUANA, 5G HASHISH		2C:33-2.1B WANDERING/PROWLING TO OBTAIN/SELL CDS	GUILTY	GUILTY		06/13/2017

Certification Comment(s):

Paid in full.

This is a certified true and accurate disposition obtained from the electronic records of GARFIELD CITY MUNICIPAL COURT.

Signature: /S/KRYSTYNA MOSKAL Date: 09/25/2025

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PAGE #1

Court Fine:
Court Costs:

Court Activity:
[NONE FOUND]

New Jersey Court Report

Offender Information:
Name: **JONATHAN J MORTIMER**
Address: **32 GARWOOD CT E FL 1, GARFIELD, NJ 07026-3529, BERGEN COUNTY**
Case Filing Date:
Case Type:
County of Origin:
DOB: [redacted]
Race:
SSN: [redacted]
Sex:
Eyes:

Offenses:

Offense #1

Case Number: **22001205**
Component:
Offense Town: **BERGEN COUNTY**
Offense Date:
Arresting Agency:
Arrest Type:

Court Description: **BER - BERGEN COUNTY, NEW JERSEY**
Court Offense: **PROHIBITED WEAPONS AND DEVICES - LARGE CAPACITY AM**
Court Statute:
Court Disposition Date:
Court Fine:
Court Costs:
Sentence Date: **03/24/2023**

Category: **WEAPON_LAW_VIOLATIONS**
Number Counts:

Offense:
Arrest Statute:
Agency Case #:
Arrest Level/Degree:
Court Case Number: **22001205**
Court Plea:
Court Disposition:
Court Suspended Fine:
Court Level/Degree:

Sentence
Jail:
Probation: **Max: 1 Years**
Suspended Time:

Offense #2

Case Number: **22001205**
Component:
Offense Town: **BERGEN COUNTY**

Category: **WEAPON_LAW_VIOLATIONS**
Number Counts:

Offense Date:
Arresting Agency:
Arrest Type:

Court Description: **BER - BERGEN COUNTY, NEW JERSEY**
Court Offense: **PROHIBITED WEAPONS AND DEVICES**
Court Statute:
Court Disposition Date:
Court Fine:
Court Costs: **$50.00**
Sentence Date: **03/24/1923**

Offense:
Arrest Statute:
Agency Case #:
Arrest Level/Degree:

Court Case Number: **22001205**
Court Plea:
Court Disposition: **GUILTY, NON-CUSTODIAL**
Court Suspended Fine:
Court Level/Degree:

Sentence
Jail:
Probation: **Max: 1 Years**
Suspended Time:

Court Activity:
[NONE FOUND]

EXHIBIT #3
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ACS Inquiry

CASE NUMBER : 22001205 DEFENDANT NO : 001
DEFENDANT NAME : MORTIMER JONATHAN J

IND/ACC NO : 00-00-00000- CHARG DOC: COMPLAINT COUNT: 000
CHARGE COUNT : 001 CRIME TYPE : INDICTABLE OFFENSE DEGREE : 3
PRIMARY STATUTE: 2C:39-3N PROHIBITED WEAPONS - FIREARM WITHOUT SERIAL
AUX STATUTE:
DRUG TYPE : OFFENSE DATE : 10 13 2022
CDR NUMBER : S 20220004680290 CDR ISS DATE: 10 13 2022
CDR REC DATE : 10 13 2022 POLC CASE NO : BCP2201188 MUNIC DOC : 001

INTERFACE IND : Y
CHARGE COMMENTS:
CHG DISP IND : Y CHG DISP DATE: 01 12 2023

CHARGE ACTION : CLOSED TO ACCUSATION
APPEALED IND : RETRAXIT CODE:
CHANGE STATUTE :
CHG AUX STATUTE:
PROSECUTOR : MCCORMICK RON CHANGE DEGREE : 0

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EXHIBIT #3
PAGE #4

COURTS PUBLIC ACCESS

Criminal Cases: PROMIS/Gavel Public Access: Aggregate Sentence

Page: 1

Prior Fees	Additional Fees

CASE NUMBER : 22001205 DEF SEQ NO: 001
DEFENDANT NAME: MORTIMER JONATHAN J SENTENCE SEQ NO: 001

CHARGING DOC : ACCUSATION CDR NO: 0000
CHARGE COUNT : 001 STATUTE : 2C:39-3J IND/ACC NO : 23-01-00020-A
STATUTE : PROHIBITED WEAPONS AND DEVICES - LARGE CAPACITY AMMO
STATUTE DEG : FOURTH DEGREE SENTENCE CONT : AGGREGATE SENTENCE
SENTENCE DISP : NON-CUSTODIAL JAIL DAYS: 0001 INCAR LENGTH: 000Y00M000

PLACE SENTENCE: GAP: 0000 PRIOR SVC: 0000 ROSADO: 000
PAROLE INELIG : 00Y00M000 PAROLE SUPERVISION: 00Y00M000 CSL/PSL :
PROBATION TIME: 01Y00M COMM SERV HR: 0000 LICENSE SUSP : 000

SENTENCE DATE : 03 24 2023
JUDGE : KAZLAU CHRISTOPH R RO-1 : RO-2 :

FEES AND FINES

SNSF :	75.00	LETF :	30.00	VCCA :	50.00		
LAB :		DESI :		PROB :	5.00	:	
:		:		:		:	
:		:		:		:	

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EXHIBIT #3
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Judgment of Conviction

Superior Court of New Jersey, BERGEN County

State of New Jersey v.

Last Name	First Name	Middle Name
MORTIMER	JONATHAN	J

Also Known As

Date of Birth	SBI Number	Date(s) of Offense
[redacted]	291894E	10/13/2022

Date of Arrest	PROMIS Number	Date Ind / Acc / Compl Filed	Original Plea	Date of Original Plea
10/13/2022	22 001205-001	01/12/2023	☐ Not Guilty ☐ Guilty	

Adjudication By ☑ Guilty Plea ☐ Jury Trial Verdict ☐ Non-Jury Trial Verdict ☐ Dismissed / Acquitted Date: 01/12/2023

☐ Sealed (*N.J.S.A. 2C:52-5.2*)

Original Charges

Ind / Acc / Compl	Count	Description	Statute	Degree
23-01-00020-A	1	PROHIBITED WEAPONS AND DEVICES - LARGE CAPACITY AMMO	2C:39-3J	4

Final Charges

Ind / Acc / Compl	Count	Description	Statute	Degree
23-01-00020-A	1	PROHIBITED WEAPONS AND DEVICES - LARGE CAPACITY AMMO	2C:39-3J	4

Sentencing Statement

It is, therefore, on 03/24/2023 **ORDERED** and **ADJUDGED** that the defendant is sentenced as follows:

Count 1: Probation 1 Year.

Standard Conditions of Probation to apply.
Defendant shall forfeit seized weapon.
Jail Time Credit as agreed to by the parties.

☐ It is further ORDERED that the sheriff deliver the defendant to the appropriate correctional authority.

Total Custodial Term	Institution Name	Total Probation Term
000 Years 00 Months 000 Days		01 Years 00 Months

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State of New Jersey v.
MORTIMER, JONATHAN J 2023 SEP 26 PM 2:19 S.B.I. # 291894E Ind / Acc / Complt # 23-01-00020-A

DEDR (N.J.S.A. 2C:35-15 and 2C:35-5.11)

A mandatory Drug Enforcement and Demand Reduction (DEDR) penalty is imposed for each count. (Write in number of counts for each degree.)

☐ DEDR penalty reduction granted (N.J.S.A. 2C:35-15a(2))

	Standard	Doubled
1st Degree	____ @ $	____ @ $
2nd Degree	____ @ $	____ @ $
3rd Degree	____ @ $	____ @ $
4th Degree	____ @ $	____ @ $
DP or Petty DP	____ @ $	____ @ $

Total DEDR Penalty $ ____

☐ The court further ORDERS that collection of the DEDR penalty be suspended upon defendant's entry into a residential drug program for the term of the program. (N.J.S.A. 2C:35-15e)

Forensic Laboratory Fee (N.J.S.A. 2C:35-20)	Total Lab Fee
____ Offenses @ $ ____	$ ____

VCCO Assessment (N.J.S.A. 2C:43-3.1)

Counts	Number	Amount
1	1 @	$ 50.00
____	____ @	$ ____
____	____ @	$ ____
____	____ @	$ ____

Total VCCO Assessment $ 50.00

Vehicle Theft / Unlawful Taking Penalty (N.J.S.A. 2C:20-2.1)

Offense	Mandatory Penalty
	$ ____

Offense Based Penalties

Penalty	Amount
	$ ____

Other Fees and Penalties

Law Enforcement Officers Training and Equipment Fund Penalty (N.J.S.A. 2C:43-3.3) ☑ $ 30.00	Safe Neighborhoods Services Fund Assessment (N.J.S.A. 2C:43-3.2) ☑ 1 Offenses @ $ 75.00 Total: $ 75.00
Probation Supervision Fee (N.J.S.A. 2C:45-1d) ☑ $ 5.00	Statewide Sexual Assault Nurse Examiner Program Penalty (N.J.S.A. 2C:43-3.6) ☐ ____ Offenses @ $ ____ Total $ ____
Transaction Fee (N.J.S.A. 2C:46-1.1) ☑	
Domestic Violence Offender Surcharge (N.J.S.A. 2C:25-29.4) ☐ $ ____	Certain Sexual Offenders Surcharge (N.J.S.A. 2C:43-3.7) ☐ $ ____
Fine $ ____	Sex Crime Victim Treatment Fund Penalty (N.J.S.A. 2C:14-10) ☐ $ ____
Restitution $ ____ Joint & Several ☐	Total Financial Obligation $ 155.00

☐ Entry of Civil Judgment for court-ordered financial assessment (N.J.S.A. 2C:52-5.2)

Details
Fines and Penalties are to be collected through Probation.
(Cont...)

Additional Conditions

☑ The defendant is hereby ordered to provide a DNA sample and ordered to pay the costs for testing of the sample provided (N.J.S.A. 53:1-20.20 and N.J.S.A. 53:1-20.29).

☐ The defendant is hereby sentenced to community supervision for life (CSL) if offense occurred before 1/14/04 (N.J.S.A. 2C:43-6.4).

☐ The defendant is hereby sentenced to parole supervision for life (PSL) if offense occurred on or after 1/14/04 (N.J.S.A. 2C:43-6.4).

☐ The defendant is hereby ordered to serve a ____ year term of parole supervision, pursuant to the No Early Release Act (NERA), which term shall begin as soon as the defendant completes the sentence of incarceration (N.J.S.A. 2C:43-7.2).

☐ The court imposes a Drug Offender Restraining Order (DORO) (N.J.S.A. 2C:35-5.7h). DORO expires ____

☐ The court continues/imposes a Sex Offender Restraining Order (SORO) if the offense occurred on or after 8/7/07 (Nicole's Law N.J.S.A. 2C:14-12 or N.J.S.A. 2C:44-8).

☐ The court imposes a Stalking Restraining Order (N.J.S.A. 2C:12-10.1).

☐ The defendant is prohibited from purchasing, owning, possessing, or controlling a firearm and from receiving or retaining a firearms purchaser identification card or permit to purchase a handgun (N.J.S.A. 2C:25-27c(1)).

Findings Per N.J.S.A. 2C:47-3

☐ The court finds that the defendant's conduct was characterized by a pattern of repetitive and compulsive behavior.

☐ The court finds that the defendant is amenable to sex offender treatment.

☐ The court finds that the defendant is willing to participate in sex offender treatment.

License Suspension

☐ CDS / Paraphernalia (N.J.S.A. 2C:35-16) ☐ Waived

☐ Auto Theft / Unlawful Taking (N.J.S.A. 2C:20-2.1)

☐ Eluding (N.J.S.A. 2C:29-2)

☐ Other

Number of Months	☐ Non-resident driving privileges revoked
Start Date	End Date
Details	
Driver's License Number	Jurisdiction

If the court is unable to collect the license, complete the following:
Defendant's Address

City	State	Zip

Date of Birth	Sex ☐ M ☐ F	Eye Color

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State of New Jersey v.
MORTIMER, JONATHAN J S.B.I. # 291894E Ind / Acc / Complt # 23-01-00020-A

Time Credits

Time Spent in Custody R. 3:21-8	Gap Time Spent in Custody N.J.S.A. 2C:44-5b(2)	Prior Service Credit
Date: From - To	Date: From - To	Date: From - To
10/13/2022 - 10/13/2022	-	-
Total Number of Days 1	Total Number of Days	Total Number of Days

Rosado Time
Date: From - To
Total Number of Days

Statement of Reasons - Include all applicable aggravating and mitigating factors

AGGRAVATING FACTORS

3. The risk that the defendant will commit another offense.

9. The need for deterring the defendant and others from violating the law.

MITIGATING FACTORS

10. The defendant is particularly likely to respond affirmatively to probationary treatment.

12. The willingness of the defendant to cooperate with law enforcement authorities.

The Court finds that the Mitigating Factors outweigh the Aggravating Factors.

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Attorney for Defendant at Sentencing	Public Defender
JERRY ELASHMAWY	☐ Yes ☑ No
Prosecutor at Sentencing	**Deputy Attorney General**
RON MCCORMICK	☐ Yes ☑ No
Judge at Sentencing	
Christopher Kazlau	
Judge (Signature)	**Date**
/s Christopher Kazlau	03/27/2023

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State of New Jersey v.
MORTIMER, JONATHAN J S.B.I. #291894E Ind / Acc / Complt # 23-01-00020-A

Continuation

OTHER FEES AND PENALTIES DETAILS (Cont.)

The Court has ordered Defendant to continue to pay $25.00 per month toward any outstanding fines, fees and penalties, starting in 30 days.

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EXHIBIT #3
PAGE #9

Case overview

Court	Case	Defendant name	Case status
0290 - Central mun ct bergen county	S 2022 000468	Jonathan J Mortimer	Disposed
Case type	**Warrant status**	**Active warrant**	**Bail status**
Complaint	—	No	—
Eligible for bail waiver	**Time payment**	**Domestic violence**	**Related cases**
—	No	No	No
Lead complaint			
—			

Case Summary

Defendant information

Name	Date of birth	DL number/state	Social security number
Jonathan J Mortimer	[redacted]	[redacted]	[redacted]
SBI number	**Gender**	**Address**	**Phone**
291894E	Male	32 garwood ct e 1st floor Garfield NJ 07026	—
Military	**Finger print indicator**	**Hispanic or Latino ?**	**Race**
No	Yes	Y - Yes	U - Unknown
Elected official	**Email address**		
—	—		

Offense information

Offense date/time	Issue date	No. of offenses	Police case number
10/13/2022 06:30 AM	10/13/2022	4	BCP2201188
Agency ID	**Officer ID**	**Arrest date**	**Municipality of offense**
0289 - Bergen county prosecutors off	3285 - Det. m littlejohn	10/13/2022	0290 - Central mun ct bergen county
Death/Serious bodily injury	**Dora date**	**Soro date**	**PG case/defendant number**
No	—	—	BER-22-001205 001
Assigned agency	**Appeal status**	**Appeal date**	
—	—	—	

Charges

Count	Charges	Auxiliary offense	Finding	Finding date
1	2C:39-3N- Prohibited weapons - firearm without serial number - Degree 3	—	S - DISPOSED AT SUPERIOR	01/12/2023
2	2C:39-3J- Prohibited weapons and devices - large capacity ammo - Degree 4	—	S - DISPOSED AT SUPERIOR	01/12/2023
3	2C:39-5B(1)- Unlawful poss weapon-handguns without permit - Degree 2	—	S - DISPOSED AT SUPERIOR	01/12/2023
4	2C:39-5B(2)- Unlawful poss weapon - handguns air/spring/pistol - Degree 3	—	S - DISPOSED AT SUPERIOR	01/12/2023

EXHIBIT #3
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Court information

Court/Pay by date	Appearance required	Number of adjournments	Last adjournment date
—	—	—	—
Court room	**Reschedule reason**	**Complaint plea**	
—	—	No plea	

Court history

No data available

Related cases

No data available

Assessed information

Assessed total	Fine total	Cost amount	Misc amount
$0.00	$0.00	$0.00	$0.00
Balance due	**Paid total**	**Payable**	**Starting payment date**
$0.00	$0.00	No	—
Complete payment due date	**Time payment status**	**Collection status**	
—	—	No	

Complaint information

Transfer to	Reason	Transferred from	Family case number
0089 - Prosecutor - 10/13/2022	OTHE-Other	—	—
Date entered	**Entered by**	**Last action date**	**Updated by**
10/13/2022	PDLIT10	03/24/2023	PDLIT10
Address modification date	**Sealed**	**Co defendant count**	**Assigned date**
10/13/2022	No	—	—
Assigned agency	**Assigned officer id**	**Assigned by**	**Comments**
—	—	—	—

Defendant

Defendant demographics

Date of Birth (age)	Race	Hispanic or Latino ?	Gender
[redacted]	U - Unknown	Y - Yes	Male
Birth place	**Height**	**Weight**	**Hair color**
—	5 ' 07 "	150 lbs	Brown
Eye color			
Brown			

Defendant details

SBI number	SPN	DL number/state	Social Security number
291894E	—	[redacted]	
Finger print indicator	**Finger print number**		
Yes	[redacted]	No	—

EXHIBIT #3
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CBI number	PTI number	Elected official	Marital status
—	—	—	—

Employment status	Occupation	Scars, marks, description	Maiden name
—	—	—	—

DNA collected

—

Alias history

No data available

Address history

Address	Phone	Email	Date entered	Entered by
32 Garwood Ct E 1st Floor Garfield, NJ 07026-0000	—	—	10/13/2022	PDLIT10

Charge Disposition & Sentences

Charge disposition details

Charge count	Offense	Auxiliary offense	Drug code	Degree	Finding	Charge status
1	2C:39-3N	—	—	3	S - Disposed at superior	Disposed

Complaint details

Offense & description	Auxiliary offense & description	Disposition date
2C:39-3N - Prohibited weapons - firearm without serial number - Degree 3	—	01/12/2023

Amended offense & description	Plea	Plea date
—	9 - No plea	—

Modify type	Conditional status	License surrendered
—	—	—

Charge narratives

Narrative details

Within the jurisdiction of this court, on october 13, 2022, in garfield, new jersey, did knowingly possess a firearm manufactured or otherwise assembled using a firearm frame or reciever which was not imprinted with a serial number registered with a federally licensed manufacturer, in violation of n.j.s.a. 2c:39-3n, a crime of the third degree.

Within the jurisdiction of this court, on october 13, 2022, in garfield, new jersey, did knowingly possess a large capacity ammunition magazine, specifically by being in possession of a thirty-one (31) round capacity magazine, in violation of n.j.s.a. 2c:39-3j, a crime of the fourth degree.

Within the jurisdiction of this court, on october 13, 2022, in garfield, new jersey, did knowingly possess a firearm specifically an unmarked ghost gun without first having obtained a permit to carry the same as provided by n.j.s. 2c:58-4, this in violation of 2c:39-5b(1), a crime of the second degree.

Within the jurisdiction of this court, on october 13, 2022, in garfield, new jersey, did knowingly unlawfully possess a spring loaded firearm, this in violation of 2c:39-5b(2), a crime of the third degree.

Sentence details

Case	Offense

EXHIBIT #3
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S 2022 000468 0290 Central mun ct bergen county 2C:39-3N

No data available

Assessed totals

Amount(s)

Items	Assessed
Fine	$0.00
Cost	$0.00

Miscellaneous amount(s)

Item	Amount
Totals	**$0.00**

View comments

Case	Offense
S 2022 000468 0290 Central mun ct bergen county	2C:39-3N

Offense comments

—

Charge disposition comments

—

2	2C:39-3J	—	—	4	S - Disposed at superior	Disposed

Complaint details

Offense & description	Auxiliary offense & description	Disposition date
2C:39-3J - Prohibited weapons and devices - large capacity ammo - Degree 4	—	01/12/2023
Amended offense & description	Plea	Plea date
—	9 - No plea	—
Modify type	Conditional status	License surrendered
—	—	—

Charge narratives

Narrative details

Within the jurisdiction of this court, on october 13, 2022, in garfield, new jersey, did knowingly possess a firearm manufactured or otherwise assembled using a firearm frame or reciever which was not imprinted with a serial number registered with a federally licensed manufacturer, in violation of n.j.s.a. 2c:39-3n, a crime of the third degree.

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Sentence details

Case	Offense
S 2022 000468 0290 Central mun ct bergen county	2C:39-3J

EXHIBIT #3
PAGE #13

No data available

Assessed totals

Amount(s)

Items	Assessed
Fine	$0.00
Cost	$0.00

Miscellaneous amount(s)

Item	Amount
Totals	**$0.00**

View comments

Case	Offense
S 2022 000468 0290 Central mun ct bergen county	2C:39-3J

Offense comments

—

Charge disposition comments

—

3	2C:39-5B(1)	—	—	2	S - Disposed at superior	Disposed

Complaint details

Offense & description	Auxiliary offense & description	Disposition date
2C:39-5B(1) - Unlawful poss weapon-handguns without permit - Degree 2	—	01/12/2023
Amended offense & description	**Plea**	**Plea date**
—	9 - No plea	—
Modify type	**Conditional status**	**License surrendered**
—	—	—

Charge narratives

Narrative details

Within the jurisdiction of this court, on october 13, 2022, in garfield, new jersey, did knowingly possess a firearm manufactured or otherwise assembled using a firearm frame or reciever which was not imprinted with a serial number registered with a federally licensed manufacturer, in violation of n.j.s.a. 2c:39-3n, a crime of the third degree.

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Within the jurisdiction of this court, on october 13, 2022, in garfield, new jersey, did knowingly unlawfully possess a spring loaded firearm, this in violation of 2c:39-5b(2), a crime of the third degree.

Sentence details

Case	Offense
S 2022 000468 0290 Central mun ct bergen county	2C:39-5B(1)

No data available

EXHIBIT #3
PAGE #14

Assessed totals

Amount(s)

Items	Assessed
Fine	$0.00
Cost	$0.00

Miscellaneous amount(s)

Item	Amount
Totals	**$0.00**

View comments

Case	Offense
S 2022 000468 0290 Central mun ct bergen county	2C:39-5B(1)

Offense comments

—

Charge disposition comments

—

4	2C:39-5B(2)	—	—	3	S - Disposed at superior	Disposed

Complaint details

Offense & description	Auxiliary offense & description	Disposition date
2C:39-5B(2) - Unlawful poss weapon - handguns air/spring/pistol - Degree 3	—	01/12/2023
Amended offense & description	**Plea**	**Plea date**
—	9 - No plea	—
Modify type	**Conditional status**	**License surrendered**
—	—	—

Charge narratives

Narrative details

Within the jurisdiction of this court, on october 13, 2022, in garfield, new jersey, did knowingly possess a firearm manufactured or otherwise assembled using a firearm frame or reciever which was not imprinted with a serial number registered with a federally licensed manufacturer, in violation of n.j.s.a. 2c:39-3n, a crime of the third degree.

Within the jurisdiction of this court, on october 13, 2022, in garfield, new jersey, did knowingly possess a large capacity ammunition magazine, specifically by being in possession of a thirty-one (31) round capacity magazine, in violation of n.j.s.a. 2c:39-3j, a crime of the fourth degree.

Within the jurisdiction of this court, on october 13, 2022, in garfield, new jersey, did knowingly possess a firearm specifically an unmarked ghost gun without first having obtained a permit to carry the same as provided by n.j.s. 2c:58-4, this in violation of 2c:39-5b(1), a crime of the second degree.

Within the jurisdiction of this court, on october 13, 2022, in garfield, new jersey, did knowingly unlawfully possess a spring loaded firearm, this in violation of 2c:39-5b(2), a crime of the third degree.

Sentence details

Case	Offense
S 2022 000468 0290 Central mun ct bergen county	2C:39-5B(2)

No data available

Assessed totals

EXHIBIT #3
PAGE #15

Amount(s)

Items	Assessed
Fine	$0.00
Cost	$0.00

Miscellaneous amount(s)

Item	Amount
Totals	**$0.00**

View comments

Case	Offense
S 2022 000468 0290 Central mun ct bergen county	2C:39-5B(2)

Offense comments

—

Charge disposition comments

—

Payments

Case payments

No data available

Audit History

Date/Time	Type	Description	Entered by
03/24/2023 11:30 PM	Marijuana flag	Changed to "n"	CRIM
01/12/2023 08:37 PM	Disposed	At superior court on 01/12/2023	JUBHAR1
10/13/2022 04:05 PM	Complaint status	Tran result of acs-p/g case create	JUBCS5
10/13/2022 10:45 AM	Live scan	Live scan record-020203006973	PDLIT10
10/13/2022 10:45 AM	Ecdr sign	First signature information update	PDLIT10
10/13/2022 10:45 AM	Comp signed dt	20221013	PDLIT10
10/13/2022 10:44 AM	Complaint code	Complaint code modified to s	PDLIT10
10/13/2022 10:43 AM	Preliminary psa	Psa ran by pdlit10	PDLIT10
10/13/2022 10:32 AM	Narrative	Narrative modified by le	PDLIT10
10/13/2022 10:32 AM	—	For 2c:39-3j	PDLIT10
10/13/2022 10:32 AM	Narrative	Narrative modified by le	PDLIT10
10/13/2022 10:32 AM	—	For 2c:39-3n	PDLIT10
10/13/2022 10:26 AM	Narrative	Narrative modified by le	PDLIT10
10/13/2022 10:26 AM	—	For 2c:39-3j	PDLIT10
10/13/2022 10:26 AM	Narrative	Narrative modified by le	PDLIT10
10/13/2022 10:26 AM	—	For 2c:39-3n	PDLIT10
10/13/2022 10:19 AM	Appearance	Appearance location is cfa	PDLIT10
10/13/2022 10:19 AM	Ecdr complaint	Macs app cdr	PDLIT10

Warrant

EXHIBIT #3
PAGE #16

Jail ID	Committed date	Discharge date	Discharge reason
E 02644	02/04/2013	02/04/2013	R.O.R

Charges
No data available

Party Information

Name	Person type	Agency	Address	Home/Cell phone	Work phone	Email	Attorney
Det. M Littlejohn	Offc	Bergen County Prosecutors Off	10 main street, Room 215, Hackensack, NJ 07601	(201) 646-2300/ —	—	—	—

Documents

No data available

Case Status History

Status	Date	Time	User ID
Disposed	01/12/2023	08:38 PM	JUBHAR1
Transferred to	10/13/2022	04:05 PM	JUBCS5
Active	10/13/2022	10:45 AM	PDLIT10
Work In Progress	10/13/2022	10:19 AM	PDLIT10

Promis Gavel Information

PG defendant information

Case number	Case status	Case initiation date	Crime type
BER-22-001205	Complete	10/13/2022	Prohib weapons
Defendant name	Defendant number	Defendant status	Finger print indicator
Jonathan J Mortimer	001	Active/non-fugitive	Yes
Charging document	Indictment/Accusation number	Disposition date	Sentence date
Complaint	23-01-00020-A	01/12/2023	03/24/2023
Action	Reason	Judge	Prosecutor
Guilty	Plea to accus	Sattelyj	Mccormickr
Attorney	Related case	Change date	
Elashmawj	—	10/13/2022	

PG complaint disposition list

Charge	Offense	Disposition date	Charge action	Reason code
001	2C:39-3N - Prohibited weapons - firearm without serial number	01/12/2023	CA-Closed to accusation	Plea to accus
002	2C:39-3J - Prohibited weapons and devices - large capacity ammo	01/12/2023	CA-Closed to accusation	Plea to accus
003	2C:39-5B(1) - Unlawful poss weapon-handguns without permit	01/12/2023	CA-Closed to accusation	Plea to accus
004	2C:39-5B(2) - Unlawful poss weapon - handguns air/spring/pistol	01/12/2023	CA-Closed to accusation	Plea to accus

EXHIBIT #3
PAGE #17

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **jhodge**

Licensee | History | Notes | Notes History | Back

License

Fed Tax # [redacted] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **10/31/2025**

File # **75260** Name **MORTIMER, JONATHAN JAY** Extended To

License # **14496878** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **14496878** Lic Status **Closed Upgrade**

Address

Street # **28049** Street **CAPTIVE SHELL LOOP**

Line 2

Line 3

City **BONITA SPRINGS** State **FL** Zip **34135**

Routing

Other

1st License Date **08/02/2025** Rank Date **08/02/2025** Certificate #

Method **I-S-1019** Status Date **08/13/2025** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	IOWN - Individual Animal Owner	09/05/2025	
I	TBRD - Thoroughbred	08/02/2025	
L	321 - Gulfstream Park	08/02/2025	

Alt Keys

BEST LIC NBR **14496878**

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Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

Lic Type	**1021 - Pari-Mutuel Wagering Individual Occupational**			Status	**20 Under Investigation**	Status Date	**10/23/2025**
Complaint #	**2025077008**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**MORTIMER, JONATHAN JAY**	Responsible	**jrodriguez - RODRIGUEZ, JACKELIN**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**
Form	**WALK - Walk-in**	Priority	**1**
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**10/12/2025**
Region	**SR - Southern Region**	Received	**10/21/2025**
Reference			
Entered	**10/23/2025**	Entered By	**jhodge**
Summary	**321 - GULFSTREAM PARK RACING ASSOCIATION, INC - License # 14496878**		
Updated	**10/23/2025 10:42:30**	By	**jhodge**

Parties | Activities | Allegations | Discipline | Violations | Compliance | Related | Disposition | Inspection | Costs | Time Tracking | Auto Assign | Attachments | History | Work Notes | Print Report

Change | Save | OK | Cancel | Back

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EXHIBIT #5
PAGE#2

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

August 13, 2025

Mr. Jonathan Jay Mortimer
28049 Captive South Loop
Bonita Springs, Florida 34135

RE: Application No. 264949, Entity 14496878
1021 - PMW Professional Individual Occupational

Dear Mr. Mortimer:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- PMW-3120 – Individual Occupational License Application form is required. (form enclosed).
- License application fee not received:
  Individual: 3 year – $80.00 - $40.00 = **$40.00 due**
- Other: Please complete and mail the enclosed fingerprint card with your PMW-3120 – Individual Occupational License Application form, and $36.00, to cover the fingerprint card processing fee.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850-794-8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 12/11/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 10/31/2025.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850-794-8130.

Thank you,

BF/Operations Analyst II

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

September 10, 2025

Mr. Jonathan Jay Mortimer
28049 Captive Shell Loop
Bonita Springs, Florida 34135

RE: Application No. 264949, Entity 14496878
1021 - PMW Professional Individual Occupational

Dear Mr. Mortimer:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section. **Please initial and date any changes made to your application.**

You must list the information on your application and provide the court disposition and arrest reports for the following charges:

Bergen County, New Jersey - 12/27/2016
Bergen County, New Jersey - 10/13/2022

Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850-794-8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 12/11/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to

reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 10/31/2025.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850-794-8130.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. PMW 3180 - Request for Waiver and PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Thank you,

BF
Operations Analyst II

September 29, 2025

Mr. Jonathan Jay Mortimer
28049 Captive Shell Loop
Bonita Springs, Florida 34135

RE: Application No. 264949, Entity 14496878
1021 - PMW Professional Individual Occupational

Dear Mr. Mortimer:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section. **Please initial and date any changes made to your application.**

  You must list the information on your application and provide the court disposition and arrest reports for the following charges:

  Bergen County, New Jersey - 12/27/2016
  Bergen County, New Jersey - 10/13/2022

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850-794-8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 12/11/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal

applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 10/31/2025.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850-794-8130.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. PMW 3180 - Request for Waiver and PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Thank you,

BF
Operations Analyst II

EXHIBIT #5
PAGE#4

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NEEDED)

☐ Yes ☒ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☒ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
12/27/2016	BERGEN	N.J.	Wandering/prowling to obtain or Sell cds (marijuana)	Misdemeanor	Fine
10/13/2022	BERGEN	N.J.	Prohibited weapons/or devices Large capacity ammo	Felony	Probation 1 year

☐ Yes ☒ No	Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.
☐ Yes ☒ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for obtaining a change, correction, or updating of the FDLE or FBI criminal history are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 550.105, Florida Statutes.

Signature of Applicant

10/10/25
Date

RECEIVED OCT 10 2025

EXHIBIT #5
PAGE#5

LICENSES

RCI

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number: [redacted]
Date of Birth:
First Name:
Middle Name:
Last Name: Mortimer
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

EXHIBIT #5
PAGE#6

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jrodriguez**

Licensee | History | Notes | Notes History | Back

License

Fed Tax # [redacted] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2028**

File # **75261** Name **KOMSTEAD, KAYLA HOPE** Extended To

License # **14496895** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **14496895** Lic Status **Current**

Address

Street # **28049** Street **CAPTIVA SHELL LOOP**

Line 2

Line 3

City **BONITA SPRINGS** State **FL** Zip **34135**

Routing

Other

1st License Date **09/08/2025** Rank Date **09/08/2025** Certificate #

Method **I-S-1022** Status Date **09/08/2025** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	IOWN - Individual Animal Owner	08/02/2025	
I	TBRD - Thoroughbred	08/02/2025	
L	321 - Gulfstream Park	08/02/2025	
Y	3YR - 3 Year License	09/08/2025	

Alt Keys

BEST LIC NBR **14496895**

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EXHIBIT #6
PAGE #1

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Justin Hundersmarck, Senior Attorney
Re: Matthew Geraldo Santiago; Case No. 2025-080903
Date: December 19, 2025

Executive Summary

Matthew Geraldo Santiago ("the Applicant") seeks a waiver of his disqualifying offense and issuance of a slot/cardroom/pari-mutuel general individual combination occupational license ("the Application"). The Applicant submitted a completed application for a slot/cardroom/pari-mutuel general individual combination occupational license. Upon review of the Application, it appears the Applicant has been convicted of a disqualifying misdemeanor offense out of Florida. A Commission investigator conducted a waiver interview with the Applicant on November 12, 2025, and documented the waiver interview in a report submitted to the Commission for consideration.

Relevant Facts

On July 7, 2025, the Applicant submitted an application for a slot/cardroom/pari-mutuel individual combination occupational license to the Division. On this application, the Applicant answered "no" to the question asking if he has been convicted of or had an adjudication withheld for any criminal charges, or has pled guilty or nolo contendere to any criminal charges. On July 28, 2025, the Applicant was issued a deficiency letter by the Division requesting the Applicant list and provide arrest records and court disposition records for his arrests in 2010 and 2016. Upon review of the completed Application, it appears that the Applicant was convicted of the following misdemeanor offense:

- Theft Intent To Deprive out of Broward County, Florida, from September 6, 2011.

The Applicant applied for a waiver from the statutory restrictions excluding applicants with disqualifying offenses on September 12, 2025. On November 12, 2025, a Commission investigator conducted a waiver interview with the Applicant. The waiver interview is documented in a report included in the case materials.

This misdemeanor conviction is disqualifying pursuant to section 849.086(6)(g), Florida Statutes, because it involves larceny.

Relevant Law

Section 849.086(6)(g), Florida Statutes, provides that:

> The commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing, or gaming commission or authority.

Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 550.105(5)(c), Florida Statutes, provides in relevant part that:

> If the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the commission.

EXHIBIT
A

SANTIAGO, MATTHEW GERALDO

Case # 2025-080903

License Type: Slot/Cardroom/Pari-Mutuel Indiv Combo (1055)
Occupation: Food Service-Barback

Waiver Requested: Yes[✓] No[ ]

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

SANTIAGO, MATTHEW GERALDO - 14466828	2025-080903
(Applicant's Name– License #)	Case No:

INITIAL APPLICATION RECEIVED:	7/7/2025
Application Deemed Complete:	9/15/2025
If no Request for Waiver received, 90-Day Deadline:	**12/14/2025**

Waiver Requested: ☐No ☒Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)
- Waiver Requested **09/12/2025**

RK	430 - Pompano	1055 - Bartender
Application Processor	Facility (d/b/a name)	Occupation Code / Job Title

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☐Yes ☒No
Licensing History: No Maintained Continuous licensure? ☐Yes ☒No

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No
Were all items identified in the deficiency letter addressed? ☐Yes ☒No If no, explain: **No arrest report received**

☐ Felony(s): Count(s) ☐ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking
☒ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)
☐ Animal Cruelty (Pari-Mutuel Professons)

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp
03/05/2010	**Broward County, FL**	**Charge 1**-Theft/To Deprive	M	062010MM000736A88820	Adjudication Withheld	N	Y
12/15/2016	**McIntosh County, GA**	**Charge 1**- Speeding 84/70	MO	75266	Adjudication Withheld	N	Y
		Charge 2-Disorderly Conduct (as lessor offense of Possession of Marijuana, Less than 1oz	MO	75267	Nolle Prosequi		

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☒ No ☐ ☐ Approval
Disposition Attached for Disqualifier(s)? Yes ☒ No ☐

Deficiency Letter Issued **07/28/2025** ; Application Deemed Complete **09/15/2025**
Applicant Eligible for Waiver? Yes ☒ No ☐ If Yes: ☒ Waiver Requested ☐ Failed to Request Waiver

Forward to ☒ **Investigations** ☐ **Legal** ☐ **Commission** Initials: CH Date 11/7/2025
Comments:

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 2025080903 **Incident date:** 07/07/2025 **Status:** 106 - Sent to Directors office

Lic Type: 1055 **Disposition:**

Case Type: Complain:

Responsible: elanier - LANIER, EBONIE

Complainant: DIVISION OF PARI-MUTUEL WAGERING

1400 W COMMERCIAL BLVD, STE 165, FORT LAUDERDALE, FL 33309

Respondent: SANTIAGO, MATTHEW GERALDO

1560 NE 27TH CT, POMPANO BEACH, FL 33064

Summary: 430 - PPI, INC

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
11/07/2025	A	10	15	Assigned to Invesligator	wsmith	SANTIAGO, MATTHEW GERALDO
11/14/2025	A	10	5	Supervisor Review	wsmith	SANTIAGO, MATTHEW GERALDO
11/12/2025	A	79	435	Telephone	wsmith	SANTIAGO, MATTHEW GERALDO
11/10/2025	A	10	215	Other	wsmith	SANTIAGO, MATTHEW GERALDO
11/07/2025	A	79	440	Incoming Correspondence	cstubbs1	SANTIAGO,

CH

State of Florida

Department of Business and Professional Regulation

Chronology Report

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
							MATTHEW GERALDO
	11/18/2025	R		bjones	JONES, BRADFORD	bjones	
	11/20/2025	R		elanier	LANIER, EBONIE	charris	
	11/19/2025	R		charris	HARRIS, CYNTORIA	cstubbs1	
	11/07/2025	R		wsmith	SMITH, WILLIAM	cstubbs1	
	11/20/2025	S	1055	106	Sent to Directors office	charris	
	11/19/2025	S	1055	104	Sent to Licensing Section	cstubbs1	
	11/18/2025	S	1055	165	Supervisor Review	bjones	
	11/18/2025	S	1055	90	Closed	cstubbs1	
	11/07/2025	S	1055	20	Under Investigation	cstubbs1	
	11/07/2025	S	1055	10	Initial Review	cstubbs1	

Allegation:	Code	Description
	WAVR	Waiver of Crim. Conv. or Other Offenses

Violation:	Code	Description	Respondent
	WAVR	61D-5.006	SANTIAGO, MATTHEW GERALDO

CH

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **charris**

License

Fed Tax [redacted] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On

File # **13915** Name **Santiago, Matthew Geraldo** Extended To

License # **14466828** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On

Entity # **14466828** Lic Status **Closed**

Licensee | History | Notes | Notes History | Back

Address

Street # **1560** Street **NE 27TH CT**

Line 2

Line 3

City **POMPANO BEACH** State **FL** Zip **33064**

Routing

Other

1st License Date **07/07/2025** Rank Date **07/07/2025** Certificate #

Method **I-S-1017** Status Date **07/28/2025** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	FOOD - Food Service	07/07/2025	
F	LIVE - Live Scan Fee Exemption	07/07/2025	
I	HRNS - Harness	07/07/2025	
L	430 - Pompano Park	07/07/2025	
Y	3YR - 3 Year License	07/07/2025	

*New Applicant

*No Previous License

*No Temp Issued

*No ARCI Findings

*No CCIS findings

*No additional enforcement cases.

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LICENSES

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number: [redacted]
Date of Birth:
First Name:
Middle Name:
Last Name: SANTIAGO
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

CH

[redacted]

CH 11/20/2025

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination**			Status	**90 Closed**	Status Date	**11/18/2025**
Complaint #	**2025080903**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**SANTIAGO, MATTHEW GERALDO**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**	☐ Parties	☑ Activities
Form	**WALK - Walk-in**	Priority	**1**	☑ Allegations	☐ Discipline
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**	☑ Violations	☐ Compliance
Security	**STND - Standard**	Incident	**07/07/2025**	☐ Related	☑ Disposition
Region	**SR - Southern Region**	Received	**11/07/2025**	☐ Inspection	
Reference				☐ Costs	
Entered	**11/07/2025**	Entered By	**cstubbs1**	☐ Time Tracking	Auto Assign
Summary	**430 - PPI, INC**			☐ Attachments	History
Updated	**11/19/2025 10:41:38**	By	**cstubbs1**	☐ Work Notes	Print Report

Change | Save | OK | Cancel | Back

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ROUTING SLIP
REQUEST FOR WAIVER

RE: Santiago, Matthew Geraldo – LIC # 14466828 Case No: 2025 08-0903
(APPLICANT'S NAME – LICENSE #)

1055 / Bartender
Occupation Code and Job Title

Harrah's Casino
Facility (d/b/a name)

Waiver Referral Date: November 7, 2025
(DATE)

Investigations Section: Reviewed by **Bradford D. Jones** 11/18/2025
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: CH 11/20/2025 _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, have unpaid fines, been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [✓] No If yes, in what jurisdiction? _____

Investigative Findings:

March 5, 2010	Broward County Sheriff's Office, FL. – Theft to Deprive – Misdemeanor – September 6, 2011 - Adjudication Withheld upon a plea of Nolo Contendere and ordered to pay $293.00 in Court Costs and to stay away from Sears for 1 year. – All fines have been paid in full.
December 15, 2016	Darien Georgia Police Department, GA. – Speeding, Disorderly Conduct, Possession of Marijuana – Misdemeanors – March 9, 2017 - Adjudication Withheld on the Speeding charge, upon a plea of Guilty and Adjudicated Guilty on the Marijuana charge. - ordered to pay a total of $792.00 in Court Costs and Fines. – All fines have been paid in full. – The Disorderly Conduct charge was not prosecuted.

*Please attach Routing Slip to front of case file

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Alana Zimmer, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**SOUTHERN**	**NOVEMBER 7, 2025**	**2025 08 0903**

Respondent:	Complainant:
SANTIAGO, MATHEW GERALDO **1500 NE 27TH COURT** **POMPANO BEACH, FLORIDA 33064** **TEL.# 305-414-4615**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # / Type:	Profession:	Report Date:
14466828 / 1055	**BARTENDER**	**NOVEMBER 14, 2025**

Period of Investigation:	Type of Report:
NOVEMBER 7, 2025 - NOVEMBER 14, 2025	**Final**

Basis of Investigation: This investigation is predicated upon submission of **MATHEW SANTIAGO'S** Florida Pari-Mutuel Occupational License Application dated June 26, 2025, and Request for Waiver received by Licensing on September 12, 2025 **(EXHIBITS #1-2).** On his original application, **SANTIAGO** answered "NO" to the question "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" On July 28, 2025, he received a Deficiency Letter requesting that he provide court documentation for the listed arrest, and on September 12, 2025, he amended his application and stated "YES" to the question regarding his convictions. He noted a 2011 conviction for Misdemeanor Theft (Adjudication Withheld) for an incident occurring in Broward County Florida and a 2016 Misdemeanor Marijuana Possession (less than one ounce) conviction in Georgia **(EXHIBIT #4).**

Related Case:

Investigations Specialist II / Date:	Investigation Manager / Date:
William Smith / November 14, 2025	Tyrell D. Smith / November 17, 2025

Chief of Investigations / Date

Bradford D. Jones / November 18, 2025

CONTINUATION

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 03-05-10	**Arresting Agency:** BROWARD COUNTY SHERIFF'S OFFICE, FL.				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Theft to Deprive	Misdemeanor	Nolo	Adj WH	09-06-11
2					
3					
4					

SENTENCE
In reference to **SANTIAGO's** arrest for Theft / to Deprive, he was ordered to pay $293 in Court Costs and ordered to stay away from Sears for 1 year.

Arrest 2					
Date of Arrest: 12-15-16	**Arresting Agency:** DARIEN GEORGIA POLICE, GA.				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Speeding 88/70	Misdemeanor	Guilty	Adj. withheld	03-09-17
2	Disorderly Conduct (City Ordinance)	Misdemeanor	Guilty	Nolle Pros	03-09-17
3	Marijuana possession (less than 1 oz)	Misdemeanor	Guilty	Guilty	03-09-17
4					

SENTENCE
Speeding fine & Court Costs $232 Disorderly Conduct / Possession of Marijuana $560 Fine which includes Court Costs

Additional Information:

CONTINUATION

CRIMINAL HISTORY

Arrest 3					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

Arrest 4					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?		X
Does the Applicant possess an Occupational License from other jurisdictions?		

1. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

3. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

4. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

WAIVER INTERVIEW

		YES	NO
Was a Waiver Interview Conducted?		X	
Date of Interview: 11-12-25	Location of Interview: Via Telephone		
		YES	NO
Was the applicant cooperative?		X	
Additional Comments: Mr. **SANTIAGO** was candid and cooperative in the interview.			

SUMMARY OF INTERVIEW:

During the interview **SANTIAGO** was candid and cooperative. He explained the events of his Misdemeanor Theft / to Deprive Arrest.

SANTIAGO stated back in 2010, he had cashed a Tax Return check which made available a sum of money in excess of $1000. He went shopping with his friend and his friend's mother at Sears located in Broward County, Florida. He made purchases in an amount of approximately $200 for which he had a receipt. He maintained company with his friend and his friend's mother after his purchase as they continued to shop. He then picked up a pair of earrings in which he contemplated purchasing and held them in his hand. While shopping his friend's mother's credit card was declined, so she asked **SANTIAGO**, knowing he had a large sum of money available to lend her $100. He conveyed being distracted while withdrawing the money from his pocket to lend, when he unconsciously put the earrings in his pocket. Upon leaving Sears he was stopped by Security / Loss Prevention and the Broward Sheriff's Officers who issued him a citation.

The events of his arrest in Darien County, Georgia, were the result of a traffic stop for speeding in which a small amount of marijuana was found on his person.

According to **SANTIAGO,** who is applying for a position to work as a bartender in the facility, he has held Bar Tending and Management positions in the past and has been hired by Harrah's for the position pending licensing.

CONCLUSION:

An ARCI inquiry shows no information relayed to **SANTIAGO**.

Case Status: Case file forwarded to Licensing.

CONTINUATION

TABLE OF CONTENTS

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III. EXHIBITS

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JUL 07 2025

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.fgcc.fl.gov

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

ALL License Applicants must submit:

- ❑ Completed Form FGCC PMW-3410 – Print clearly and complete all sections that are not optional in black or blue ink.
- ❑ Provide Identification – Required by Rule 75-14.010, Florida Administrative Code.

  Provide a copy of one of the items below:
  - US Passport
  - Certificate of US Citizenship or Naturalization
  - Permanent Resident Card

  OR, Provide a copy of two of the items below:
  - Birth Certificate (Certified Copy)
  - Driver's License
  - Military ID Card
  - Student ID Card
  - Country ID Card
  - Foreign Passport
  - Government Issued Credential
  - Immigration ID Card
- ❑ Additional Pages – If necessary to respond to any application questions.
- ❑ Supporting Legal Documentation – If necessary to respond to background information questions in application.
- ❑ Three (3) Year Licensing Fee – Make checks or money orders payable to FGCC.
  - ❑ Slot Machine General Occupational License - $100.00* *does not include fingerprint fee
  - ❑ Slot Machine Professional Occupational License - $100.00*
  - ❑ Slot Machine/Cardroom/PMW Combination Occupational License - $100.00*
- ❑ Fingerprints – Choose One Option:
  - ❑ Electronic Fingerprints: Electronic fingerprints must be submitted to the Division through a law enforcement agency or an FDLE approved Livescan Service Provider. Submit electronic fingerprints with the following ORI number: FL925185Z.

    IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved Livescan Service Provider.
  - ❑ Fingerprint Card: Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Division at the address or phone number below. Completed fingerprint cards must be mailed to the Division with your application.

    IMPORTANT: Fingerprint card processing fees must be paid to the Division. Please see http://www.fdle.state.fl.us/Criminal-History-Records/Obtaining-Criminal-History-Information.aspx for the current fee amount.
  - ❑ Fingerprint Resubmission for Renewal and Upgrade Applicants: Applicants timely renewing or upgrading a license need only provide the Division a fingerprint resubmission processing fee. Visit our website or contact us for the current fee amount.

    IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

Please mail your completed application, documentation and required fee(s) to:
Florida Gaming Control Commission
Pari-Mutuel Wagering, Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.488.3211

EXHIBIT # 1
PAGE # 1

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JUL 07 2025

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
FGCC PMW-3410 – Slot Machine Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION

Social Security Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]	[redacted]	☑ Male ☐ Female

Last Name	First	Middle	Suffix
Santiago	Matthew	Gerardo	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☑ No

If yes, list the name or names used: _____

Race/Ethnicity (check only one):
☐ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☐ White or Caucasian ☑ Hispanic/Latino ☐ Other

Are you a United States citizen? ☑ Yes ☐ No
If no, provide the name of the country of which you are a citizen: _____

Current Mailing Address			Email Address (optional)
1560 NE 27th ct			Whitejones1991@gmail.com
City	**State**	**Zip Code (+4 optional)**	**Country**
Pompano	FL	33064	USA
Primary Phone Number			**Secondary/Cell Phone Number (optional)**
305-414-4615			
Current Street Address			
1560 NE 27th ct			
City	**State**	**Zip Code (+4 optional)**	**Country**
Pompano	FL	33064	USA

Type of Slot Machine Occupational License applying for:
☐ General Individual ☐ Professional Individual
☑ Slot Machine/Cardroom/PMW Combination
Job title(s)*: BARBACK

*Applicants for a Combo license should disclose all job titles

Is this your first time applying for a racing/gaming license in Florida?
☑ Yes ☐ No

Facility where employed and/or doing business:
PPI, Inc.

Employer name:
Harrah's

FOR DIVISION USE ONLY

License Code 1055 License # 14466328 File # 13915 App # 51814
Association Code 420 Date Received 7/7/2025 Entered By M.Ubidia License Year 25/28
License Fee 100.00 FP Date 6/26/2025 FP Fee 0 Total Fee 100.00

☐ Waiver Requested (Combo Only) ☑ ARCI ☐ Enforcement

4562

EXHIBIT # 1
PAGE # 2

EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes
☑ No

Have you previously worked for a gaming-related employer?
If yes, you must list below all gaming-related employment history in the past ten years.

Name of Employer	Address	Start Date (Month/ Year) - End Date (Month/ Year)	Title/Position Held & Supervisor Name	Reason for Leaving
N/A				

PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes
☑ No

Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.

State(s) or Jurisdiction(s) Where Licensed	Date of Expiration	Is this license currently suspended or subject to fines or other discipline? Explain why.
N/A		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No

☐ Yes
☑ No

Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

If you answered yes to the question above, provide details here:

N/A

EXHIBIT # 1
PAGE # -3

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☑ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☐ Yes ☑ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence
N/A					

☐ Yes ☑ No	Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?
☐ Yes ☑ No	Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

N/A

EXHIBIT # 1
PAGE # 4

Lic# 14466828
File# 13915
Appl# 520361

Amended Document

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SEP 15 2025

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☑ Yes ☐ No — Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

☑ Yes ☐ No — Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence
9-06-11	Broward	FL	Theft to deprive	Misdemeanor	No return to scrns for 1 year
3-9-17	McIntosh	GA	Marijuana	Misdemeanor	Fine $75 Court Fees

☐ Yes ☑ No — Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?

☐ Yes ☑ No — Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

9-12-25

EXHIBIT # 1
PAGE # 3.

FGCC PMW-3180 – Request for Waiver

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STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

TO: Matthew Gerald Santos — [redacted] — 14466828
Full Legal Name of Applicant | Date of Birth | Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Executive Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

☐ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

☐ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

☑ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Florida Gaming Control Commission will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

Matthew Santos — 9-11-25

Signature of Applicant | **Date**

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM FGCC PMW-3195 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

EXHIBIT # 2
PAGE # 1

[redacted]

EXHIBIT # 4
PAGE # 1

State of Florida Vs. Santiago, Matthew G

Broward County Case Number: 10000736MM20A
State Reporting Number: 062010MM000736A88820
Court Type: Traffic and Misdemeanor
Case Type: Misdemeanor
Filing Date: 03/19/2010
Case Status: Closed
Court Location: North Courthouse
Judge ID / Name: NA Angione, Kathleen`
Magistrate Id / Name: N/A
BCCN: N/A

− Party(ies)

Total: 2

Party Type	Party Name	Address	Attorneys / Address Denotes Lead Attorney
Defendant	**Santiago, Matthew G** **Gender:** Male **Race:** White **Height:** 5'10" **DOB:** [redacted]	1560 NE 27th Court Pompano Beach, FL 33064	
State	**State of Florida**		

− Charge(s)

Total: 1

Offense Date	Charge	Degree	Detail
03/05/2010	1	(M1)	**Theft/To Deprive** Date Filed: 03/05/2010 Current Statute: (M1)812.014(1)(a) Filing Type: SAO Information- Not In Custody Filing Agency: Broward Sheriff Office Original Statute: (M1)812.014(1)(a)-Theft/To Deprive

− Warrant(s)

Total: 0

There is no Warrant information available for this case.

Bond(s)

Total: 0

There is no Bond information available for this case.

Arrest(s)

Total: 0

There is no Arrest information available for this case.

Disposition(s)

Total: 1

Offense Date	Charge	Disposition(s)
03/05/2010	1	**Plea(s)** **09/06/2011 Plea** Arraignment / Nolo Contendere **Disposition(s)** **09/06/2011 Disposition** Adjudication Withheld **Sentence(s)** **09/06/2011 Sentence** (Judicial Officer(s): Schiff, Louis H) Comment (Docketrac Sentence Record Note: No Return To Sears For One (1) Year)

Collection(s)

Total: 1

Collection Date	Charge	Citation Number	Total Imposed Amount	Total Amount Due	Total Amount Paid	Current Amount Due	Last Payment Date	Last Payment Amount	Next Payment Date	Next Payment Amount
04/07/2012	1		$293.00	$298.00	$298.00	$0.00	04/07/2012	$298.00	-	$0.00

Event(s) & Document(s)

Total: 14

Date	Description	Additional Text	View / Pages
04/07/2012	**Financial Obligation Withdrawn**	Count(s):<|001|>	
04/01/2012	**Court Defer/30 Days Past Due**	$293.00	
03/02/2012	**D6/ Fin**		

Date	Description	Additional Text	View / Pages
11/15/2011	**File Recorded Record Of Disposition**	11/8/11 bk 48294 pg 1580	
05/10/2011	**File Order Granting Def Motion For Continuance**	Arrgn 9/6/11 9 Am Rm 3, W/ged	
03/29/2011	**File Order Granting Def Motion For Continuance**	Signed 032911	
02/15/2011	**File Order Granting Def Motion For Continuance**	Arrgn 4/19/11 9 Am Rm 3, W/parent & Prg Reprt	
10/26/2010	**File Order Granting Def Motion For Continuance**	Arrgn 2/15/11 9:00 Am Rm 3	
09/07/2010	**File Order Granting Def Motion For Continuance**	Arrgn 10/26/10 9:00 Am Rm 3	
06/29/2010	**File Order Granting Def Motion For Continuance**	Arrgn 9/7/10 9 Am Rm 3, Same Offer	
04/06/2010	**File Order Granting Def Motion For Continuance**	Arrgn 6/29/10 9:00 Am Rm 3	
04/06/2010	**Order**	See Remark Notes Signed 4/6/10 J/merrigan, Transfr To J/schiff Deft Requestd To Obtain Ged, Court Di missal	
03/19/2010	**Drivers License Number Added To Docketrac**		
03/18/2010	**Docketrac Defendant Record Note Field**	TRANSFER TO SHCIFF DIV	

− Hearing(s)

Total: 8

Date	Description	Additional Text
09/06/2011	**Arraignment**	Hearing Time: 1:30 PM Judicial Officer(s):Schiff, Louis H Location: Room 3 *Please Note - The New Time*
05/10/2011	**Arraignment**	Hearing Time: 9:00 AM Judicial Officer(s):null Location: null *Div:NA Judge:LA Room:0003*
04/19/2011	**Arraignment**	Hearing Time: 9:00 AM Judicial Officer(s):null Location: null *Div:NA Judge:LA Room:0003 Set In Open Court*

Date	Description	Additional Text
02/15/2011	**Arraignment**	Hearing Time: 9:00 AM Judicial Officer(s):null Location: null *Div:NA Judge:LA Room:0003*
10/26/2010	**Arraignment**	Hearing Time: 9:00 AM Judicial Officer(s):null Location: null *Div:NA Judge:LA Room:0003 Set In Open Court*
09/07/2010	**Arraignment**	Hearing Time: 9:00 AM Judicial Officer(s):null Location: null *Div:NA Judge:LA Room:0003*
06/29/2010	**Arraignment**	Hearing Time: 9:00 AM Judicial Officer(s):null Location: null *Div:NA Judge:LA Room:0003*
04/06/2010	**Arraignment**	Hearing Time: 1:30 PM Judicial Officer(s):null Location: null *Div:NC Judge:EN Room:0004*

− Related Case(s)

Total: 0

There is no related case information available for this case.

RECEIVED
By Randall Kitchens at 4:24 pm, Sep 11, 2025

IN THE MUNICIPAL COURT FOR THE CITY OF DARIEN
STATE OF GEORGIA

CITY OF DARIEN	*	Accusation No.75266, 75267
	*	
vs.	*	Count(s):
	*	1. Speeding 88/70
MATTHEW SANTIAGO	*	2. Possession of Marijuana (misd)
Defendant,	*	
	*	

PLEA IN ABSENTIA

I, **Matthew Santiago**, hereby certify that I am now residing at **1561 North East 26th Court, Pompano Beach, Florida 33064** and it would be expensive and burdensome for me to travel to court to dispose of this case. Instead, I ask that my attorney appear on my behalf regarding this plea in absentia. It is my understanding that I have been charged with the above stated offenses.

Further, it is my understanding, through my attorney, James R. Coppage, that the prosecuting attorney will recommend a sentence and fine as outlined below in the attached Order and Sentence. I understand this recommendation is not binding upon trial judge. And further, that upon conviction the court can impose a sentence of up to 12 months in the state penitentiary, a fine of $1,000.00, or both, together with statutory assessments for each offense to which I am entering a plea of guilty.

I hereby authorize my attorney to enter a plea of guilty on my behalf and in my absence to criminal offense shown on the attached Order and Sentence in this case. I hereby request and consent that my case be disposed of in my absence and I hereby waive my presence at such hearing and trial.

EXHIBIT # -4
PAGE # -6

My attorney has fully explained to me the following rights which I understand and which I have waived:

1. The right to a trial with or without a jury.
2. The right to confront and cross-examine any witness against me.
3. The right to subpoena and present witnesses and evidence in my behalf.
4. The right to examine all physical and documentary evidence against me.
5. The right to testify or to remain silent.
6. The right to have free counsel appointed to represent me if I am indigent or the assistance of counsel at my expense.
7. The right to appeal to a higher court.
8. The right to require the State to prove its case against me beyond reasonable doubt and that the State has the burden of proof.

Considering all of these rights, I freely, knowingly, and voluntarily waive such constitutional rights and admit that I am guilty of the criminal offenses listed above. If this case were to come before a jury, I admit that the facts and evidence would be sufficient for the jury (or judge in a bench trial) to find me guilty beyond a reasonable doubt.

This 23 day of February, 2017.

Defendant: **Matthew Santiago**

Sworn to and subscribed before me,
this 23 day of February, 2017.

NOTARY PUBLIC
My commission expires: 6/10/2020

ANTHONY PANELLA
MY COMMISSION # FF 960799
EXPIRES: June 10, 2020
Bonded Thru Budget Notary Services

EXHIBIT # -4
PAGE # -7

IN THE MUNICIPAL COURT FOR THE CITY OF DARIEN
STATE OF GEORGIA

CITY OF DARIEN	*	Accusation No.75266, 75267
	*	
vs.	*	Count(s):
	*	1. Speeding 88/70
MATTHEW SANTIAGO	*	2. Possession of Marijuana (misd)
Defendant,	*	
	*	

pd ✓

ORDER & SENTENCE

Defendant having entered his plea of guilty to Speeding 84/70 (adjudication withheld) and Disorderly Conduct (City Ordinance), as a lesser offense of the original charges of Speeding 88/70 and Possession of Marijuana, less than 1oz, both misdemeanors; and Defendant having paid his fines and court cost in full; Defendant is hereby sentenced as follows:

Ct. 1: **Speeding 84/70 (Adjudication Withheld)** As a lesser offense of Speeding 88/70	$232.00 fine (Includes Court Cost)
Ct. 2: **Disorderly Conduct (City Ordinance)** As a lesser offense of Possession of Marijuana, Less than 1oz	$560.00 fine (Includes Court Cost)

This Court having sanctioned the above-stated plea agreement, the same is made an order of this Court.

So ORDERED, this the 9 day of March, 2017.

Honorable James B. Smith, Judge
Darien Municipal Court

So Moved:

Richard E. Braun, Jr., Solicitor General
Darien Municipal Court

EXHIBIT #-4
PAGE # -8

IN THE MUNICIPAL COURT FOR THE CITY OF DARIEN

STATE OF GEORGIA

STATE OF GEORGIA	*	
	*	
vs.	*	Accusation. No.: 75268
	*	
AUSTIN ADAIR ,	*	Charge(s): Possession of Marijuana, Less than 1oz
	*	
	*	
Defendant.	*	

MOTION TO NOLLE PROSEQUI

COMES NOW, the Solicitor of the Darien Municipal Court and moves this court to Nolle Prosequi the above-styled case for the following reason(s) :

The co-defendant, Santiago, has accepted responsibility for the possession of the misdemeanor amount of marijuana, has paid his fines in full, and has entered his plea of guilty. Therefore, the State no longer wishes to prosecutor this defendant.

This the 9 day of March, 20 17.

Richard E. Braun, Jr., Solicitor General
Darien Municipal Court

ORDER

Motion **GRANTED** this the 9 day of March, 2017.

Honorable James B. Smith, Judge
Darien Municipal Court

EXHIBIT # 4
PAGE # 9

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

July 28, 2025

Mr. Matthew Geraldo Santiago
1560 Northeast 27th Court
Pompano Beach, Florida 33064

RE: Application No. 52039, Entity 14466828
1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo

Dear Mr. Santiago:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must list the information on your application for the following charges:
  - Broward County, Florida Arrest(s) – 03/19/2010
  - McIntosh County, Georgia Arrest(s) – 12/15/2016

  You must provide the arrest report and court disposition records for the following charges:
  - Broward County, Florida Arrest(s) – 03/19/2010
  - McIntosh County, Georgia Arrest(s) – 12/15/2016

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.487-1395.

If you fail to provide the Florida Gaming Control Commission with a complete application by 11/05/2025, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver (form enclosed). The Division will process a request for waiver upon receipt of a complete application.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.794-8130.

Thank you,

LJ/RK
Operations Analyst II

EXHIBIT # 5
PAGE # 2

LICENSES

RCI

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number: [redacted]
Date of Birth:
First Name:
Middle Name:
Last Name: Santiago
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

Licensees Rulings Horse Tracking Bulletin Board Preferences Log Off

EXHIBIT # 5
PAGE # 3

8. Discussion of License Denials

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Through:	Justin Hundersmarck, Senior Attorney
Re:	Sherry Ann Hill, Case No. 2025-078132 License Denial
Date:	December 23, 2025

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") seeks to deny the application of Sherry Ann Hill (the "Applicant") for a slot machine/cardroom/pari-mutuel individual combination occupational license (the "Application"). The Applicant submitted a completed application for a slot machine/cardroom/pari-mutuel individual combination occupational license on October 20, 2025. Upon review of the Application, it appears the Applicant has been convicted of two disqualifying felony offenses. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Letter of License Denial.

Relevant Facts

On September 17, 2025, the Applicant submitted an application to the Division for a slot machine/cardroom/pari-mutuel individual combination occupational license. The Applicant answered "yes" to the question asking if she has been convicted of any criminal charges or has pled guilty or nolo contendere to any criminal charges. A deficiency letter was sent September 19, 2025, requesting an updated application as well as court disposition records and arrest reports for three arrests out of Miami Dade County. The Division received an amended application deemed complete on October 20, 2025. Upon review of the completed application, it appears that the Applicant was convicted of the following two felony offenses:

- Aggravated Assault with a Deadly Weapon out of Miami-Dade County, Florida, from October 4, 1999.

- Cannabis Purchase out of Miami-Dade County, from July 31, 2000.

This felony conviction is disqualifying pursuant to sections 550.105(5)(b), 551.107(6)(a), and 849.086(6)(g) Florida Statutes.

Analysis

Section 550.105(5)(b), Florida Statutes, provides in relevant part:

> … the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 551.107(6)(a), Florida Statutes, provides in relevant part that:

> [. . .]the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.

Section 849.086(6)(g), Florida Statutes, provides that:

> [t]he commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority.

Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

Section 550.105(5)(d), Florida Statutes, provides in relevant part:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Staff Recommendation: The Florida Gaming Control Commission may deny the Application for a slot machine/cardroom/pari-mutuel individual combination occupational license upon a finding of a disqualifying offense pursuant to sections 550.105, 849.086(6)(g), and 551.107(6)(a), Florida Statutes. Accordingly, the Florida Gaming Control Commission should authorize the issuance of a Letter of License Denial to Sherry Ann Hill in this matter.

HILL, SHERRY ANN

Case # 2025-078132

License Type: Slot/Cardroom/Pari-Mutuel Indiv Combo (1055)
Occupation: Food Service-Beverage Server

90 Day Deadline: 01/18/2026

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

HILL, SHERRY ANN-14549725	2025-078132
(Applicant's Name– License #)	Case No:

INITIAL APPLICATION RECEIVED:	9/17/2025
Application Deemed Complete:	10/20/2025
If no Request for Waiver received, 90-Day Deadline:	**1/18/2026**

Waiver Requested: ☒No ☐Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)
- Waiver Requested

LBJ	285-Calder	1055-Beverage Server
Application Processor	Facility (d/b/a name)	Occupation Code / Job Title

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☐Yes ☒No
Licensing History: Type: Initial license issued Maintained Continuous licensure? ☐Yes ☐No **N/A**

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No
Where all items identified in the deficiency letter addressed? ☒Yes ☐No If no, explain:

☒ Felony(s): Count(s) ☐ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking

☐ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)

☐ Animal Cruelty (Pari-Mutuel Professons)

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp
6/20/1999	**Miami Dade County, FL**	**Charge 1**- Aggravated Assault w/deadly weapon w/o intent to kill	F	131999CF0210110001XX	Adjudication Withheld	Y	Y
		Charge 2- Simple Assault	M	131999CF0210110001XX	Dropped/Abandoned	Y	Y
6/20/2000	**Miami Dade County, FL**	**Charge 1**- Cannabis Purchase Posn w/ Intent to purchase	F	132000CF019633A000XX	Adjudication Withheld	Y	Y
		Charge 2- Cannabis Possession 20 grams or less	M	132000CF019633A000XX	Dropped/Abandoned	Y	Y
8/30/2001	**Miami Dade County, FL**	**Charge 1**-Grand Theft	F	642000CF035061XXXAES	Dropped/Abandoned	N	Y
		Charge 2- Uttering False/Forged Instrument	F	642000CF035061XXXAES	Dropped/Abandoned	N	Y

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☒ No ☐ ☐ Approval
Disposition Attached for Disqualifier(s)? Yes ☒ No ☐

Deficiency Letter Issued 09/19/2025 ; Application Deemed Complete **10/20/2025**

Applicant Eligible for Waiver? Yes ☐ No ☒ If Yes: ☐ Waiver Requested ☐ Failed to Request Waiver

Forward to ☐ **Investigations** ☒ **Legal** ☐ **Commission** Initials: CH Date 10/29/2025

Comments:

OCCUPATIONAL LICENSE FEE VOUCHER

Date 9/17/25

Applicant Name: Sherry Ann Hill
(Please print)

Position/Title: Beverage Server
(Please print)

This voucher must be submitted with the applicant's completed Slot Machine Individual Occupational License Application form to the DBPR Licensing and Compliance Office.

Upon receipt of an authorized voucher, Calder Casino agrees to remit to the Division:

☒ ~~$~~100 (3-year license) ☐ Fingerprint fee $ ____ ☐ Upgrade Fee $ ____

Human Resources Authorized Signature

For Accounting use only.

White-DBPR • Yellow-HR

FGCC PMW-3410 – Slot Machine Individual Occupational License Application

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.fgcc.fl.gov

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

ALL License Applicants must submit:

☑ Completed Form FGCC PMW-3410 – Print clearly and complete all sections that are not optional in black or blue ink.

☐ Provide Identification – Required by Rule 75-14.010, Florida Administrative Code.

Provide a copy of one of the items below:
- US Passport
- Certificate of US Citizenship or Naturalization
- Permanent Resident Card

OR, Provide a copy of two of the items below:
- Birth Certificate (Certified Copy)
- Driver's License
- Military ID Card
- Student ID Card
- Country ID Card
- Foreign Passport
- Government Issued Credential
- Immigration ID Card

☐ Additional Pages – If necessary to respond to any application questions.

☐ Supporting Legal Documentation – If necessary to respond to background information questions in application.

☑ Three (3) Year Licensing Fee – Make checks or money orders payable to FGCC.

☐ Slot Machine General Occupational License - $100.00* *does not include fingerprint fee

☐ Slot Machine Professional Occupational License - $100.00*

☑ Slot Machine/Cardroom/PMW Combination Occupational License - $100.00*

☑ Fingerprints – Choose One Option:

☑ Electronic Fingerprints: Electronic fingerprints must be submitted to the Division through a law enforcement agency or an FDLE approved Livescan Service Provider. Submit electronic fingerprints with the following ORI number: FL925185Z.

IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved Livescan Service Provider.

☐ Fingerprint Card: Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Division at the address or phone number below. Completed fingerprint cards must be mailed to the Division with your application.

IMPORTANT: Fingerprint card processing fees must be paid to the Division. Please see http://www.fdle.state.fl.us/Criminal-History-Records/Obtaining-Criminal-History-Information.aspx for the current fee amount.

☐ Fingerprint Resubmission for Renewal and Upgrade Applicants: Applicants timely renewing or upgrading a license need only provide the Division a fingerprint resubmission processing fee. Visit our website or contact us for the current fee amount.

IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

Please mail your completed application, documentation and required fee(s) to:
Florida Gaming Control Commission
Pari-Mutuel Wagering, Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.488.3211

RECEIVED

SEP 17 2025

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
FGCC PMW-3410 – Slot Machine Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION

Social Security Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]	[redacted]	☐ Male ☑ Female

Last Name	First	Middle	Suffix
Hill	Sherry	Ann	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☑ No

If yes, list the name or names used: _____

Race/Ethnicity (check only one):
☑ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☐ White or Caucasian ☐ Hispanic/Latino ☐ Other

Are you a United States citizen? ☑ Yes ☐ No
If no, provide the name of the country of which you are a citizen: _____

Current Mailing Address	Email Address (optional)
800 NW 210th St Apt. 101	

City	State	Zip Code (+4 optional)	Country
Miami Gardens	FL	33169	USA

Primary Phone Number	Secondary/Cell Phone Number (optional)
305-413-6641	

Current Street Address
800 NW 210th ST Apt. 101

City	State	Zip Code (+4 optional)	Country
Miami Gardens	FL	33169	USA

Type of Slot Machine Occupational License applying for:
☐ General Individual ☐ Professional Individual
☑ Slot Machine/Cardroom/PMW Combination
Job title(s)*: Beverage Server

*Applicants for a Combo license should disclose all job titles

Is this your first time applying for a racing/gaming license in Florida?
☑ Yes ☐ No

Facility where employed and/or doing business:
Calder Casino

Employer name:
Calder Casino

FOR DIVISION USE ONLY

License Code 1055 License # 14549725 File # 141140 App # 52659
Association Code 285 Date Received 09/17/25 Entered By NR License Year 25/26
License Fee $100 FP Date 09/17/25 FP Fee $0 Total Fee $100

☐ Waiver Requested (Combo Only) ☒ ARCI ☒ Enforcement

EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes
☑ No

Have you previously worked for a gaming-related employer?
If yes, you must list below all gaming-related employment history in the past ten years.

Name of Employer	Address	Start Date (Month/ Year) - End Date (Month/ Year)	Title/Position Held & Supervisor Name	Reason for Leaving

PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes
☑ No

Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.

State(s) or Jurisdiction(s) Where Licensed	Date of Expiration	Is this license currently suspended or subject to fines or other discipline? Explain why.
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No

☐ Yes
☑ No

Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

If you answered yes to the question above, provide details here:

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☑ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☑ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence
06/20/2000	Miami Dade	FL	CANNABIS/PURCHASE	FELONY	ADJ WITHHELD/ SENT
06/20/2000	Miami Dade	FL	CANNABIS/POSN/0-20	MISDEMEANOR	NO ACTION
06/20/1999	Miami Dade	FL	ASSAULT/AGG/DWEAP	FELONY	WH ADJ-PROB SP COND
06/20/1999	Miami Dade	FL	ASSAULT	MISDEMEANOR	NO ACTION

☐ Yes ☑ No	Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?
☐ Yes ☑ No	Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging the FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

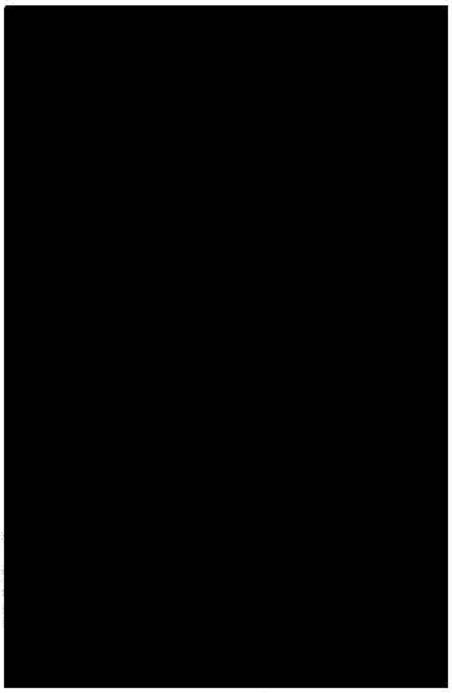
I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

AUTHORIZATION FOR RELEASE OF INFORMATION:

I do hereby instruct all law enforcement, criminal justice agencies, gaming commissions, tribal gaming regulatory agencies or commissions, state agencies, or commissions responsible for gaming regulation to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Florida Gaming Control Commission or the Florida Department of Law Enforcement.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

Sherry Ann Hill	[redacted]
Print Legal Name (First Middle Last)	Birth Date (MM/DD/YYYY)
[redacted]	Calder Casino
Social Security Number	Name of Employer
Sherry a-Hill	9/17/2025
Signature of Applicant	Date



Case Information

Court Case No:
F00019633A

State Case No:
132000CF019633A000XX

Defendant Name:
HILL, SHERRY ANN

DOB:
[redacted]

Date Filed:
06/21/2000

Date Closed:
07/31/2000

Warrant Type:

Assessment Amount:
$481.00

Balance Due:
$481.00

Stay Due Date:
01/29/2001

Judge:
DE LA O, MIGUEL M

Defense Attorney:
MULLGRAV, SANDRA

File Section:
F012

File Location:
SCANNED

Box Number:

Arresting Agency:
MIAMI

Arrest Date:
06/20/2000

Hearing

No Hearings Found

Charges

Seq No.	Charge	Charge Type	Disposition
2	CANNABIS/PURCHASE	FELONY	ADJ WITHHELD/ SENT
2	CANNABIS/POSN/0-20	MISDEMEANOR	NO ACTION

Dockets

Din	Date	Book/Page	Docket
39	08/29/2007		COLLECTIONS AGENCY STATUS NEW TO ALLIANCE ONE INC.
38	02/01/2001		NOTICE OF FAILURE TO PAY SENT
37	07/31/2000	019243/00734	SENTENCING GUIDELINES SCORE SHEET
35	07/31/2000	019243/00733	FINGERPRINTS FILED
34	08/10/2000	019243/00731	FINDING OF GUILT/ORDER W/H ADJUDICATION & SPECIAL CONDITIONS C.T.S / COSTS
32	08/02/2000		TRIAL PROS. ASSIGNED: ZACCA, DERIC
31	08/01/2000		TRIAL PROS. ASSIGNED: ZACCA, DERIC
30	07/11/2000		WRITTEN PLEA OF NOT GUILTY
29	07/11/2000		DEMAND FOR TRIAL BY JURY
28	07/11/2000		DEMAND FOR DISCOVERY
27	07/11/2000		DISCOVERY RESP: STATE'S DEMAND FOR DISCOVERY & DEMAND FOR AL
26	08/01/2000		DISCHARGE CERTIFICATE ISSUED FS1000689434
25	07/31/2000		STAY GRANTED.- DUE 01/29/2001
24	07/31/2000		MEMORANDUM OF COSTS AMOUNT 481.00
22	07/31/2000		CLOSING JUDGE BLAKE; STANFORD
20	07/31/2000		AMT/ 6000 DISCHARGED 07/31/2000 POWER/FS1000689434
17	07/11/2000		REPORT RE: TRIAL DATE SET FOR 07/31/2000 AT 09:00
16	07/11/2000		REPORT RE: PLEA SET FOR 07/31/2000 AT 09:00
15	07/11/2000		INFORMATION FILED
14	07/11/2000		TRIAL UNIT ASSIGNED: FELONY DIVISION 12
13	07/11/2000		TRIAL PROS. ASSIGNED: ZACCA, DERIC
12	07/10/2000		INTAKE UNIT ASSIGNED: CASE PROCESSING
11	06/27/2000		INTAKE UNIT ASSIGNED: FSU - CASE SCREENING
10	06/27/2000		INTAKE PROS. ASSIGNED: WESSEL, THOMAS
9	06/22/2000		AMT/ 6000 ISSUED 06/20/2000 POWER/FS1000689434
8	06/21/2000		BOND RECEIVED POWER/RECEIPT #FS1000689434
5	06/20/2000		ARRAIGNMENT HEARING SCHEDULED FOR 07/11/2000 AT 09:00
4	07/11/2000		TRIAL PROS. ASSIGNED: ZACCA, DERIC
3	07/11/2000		TRIAL UNIT ASSIGNED: FELONY DIVISION 12
2	07/11/2000		TRIAL PROS. ASSIGNED: ZACCA, DERIC

Case Information

Court Case No:
F99021011

State Case No:
131999CF02101A0001XX

Defendant Name:
HILL, SHERRY ANN

DOB:
[redacted]

Date Filed:
06/21/1999

Date Closed:
10/04/1999

Warrant Type:

Assessment Amount:
$411.00

Balance Due:
$2.17

Stay Due Date:
10/04/2000

Judge:
HERSCH, RICHARD

Defense Attorney:
SAUL, SCOTT

File Section:
F013

File Location:
SCANNED

Box Number:

Arresting Agency:
MIAMI

Arrest Date:
06/20/1999

Hearing

No Hearings Found

Charges

Seq No.	Charge	Charge Type	Disposition
1	ASSAULT/AGG/DWEAP	FELONY	WH ADJ-PROB SP COND
2	ASSAULT	MISDEMEANOR	NO ACTION

Dockets

Dln	Date	Book/Page	Docket
63	08/11/2003		ORDER TERMINATING PRE-TRIAL RELEASE CONDITIONS
62	06/14/2000		COURT FEE PAYMENT 280.00 RECEIPT # 0346081
61	06/15/2000		MOTION TO TERMINATE PROBATION/COMMUNITY CONTROL FILED 06/15/2000 ORAL SET FOR 06/15/2000 AT 12:59 GRANTED
59	06/06/2000		REPORT RE: PROBATION SET FOR 06/15/2000 AT 09:00
58	06/02/2000		MOTION TO TERMINATE PROBATION/COMMUNITY CONTROL FILED 06/02/2000 SET FOR 06/02/2000 AT 10:30 WITHDRAWN
57	03/31/2000		COURT FEE PAYMENT 38.05 RECEIPT # 0340650
56	03/28/2000		TRIAL PROS. ASSIGNED: FAULKNER, JAMES
55	03/27/2000		MOTION TO TERMINATE PROBATION/COMMUNITY CONTROL FILED 00/00/0000 SET FOR 03/27/2000 AT 09:00 NO RULING
54	02/28/2000		COURT FEE PAYMENT 38.05 RECEIPT # 0346708
53	01/25/2000		COURT FEE PAYMENT 33.29 RECEIPT # 0343621
52	12/28/1999		COURT FEE PAYMENT 23.78 RECEIPT # 0340509
51	11/04/1999	018854/04060	FINDING OF GUILT/ORDER W/H ADJUDICATION & SPECIAL CONDITIONS COSTS/ PROBATION
49	10/04/1999	018854/04161	SENTENCING GUIDELINES SCORE SHEET
47	10/05/1999		DISCHARGE CERTIFICATE ISSUED FS5615218
46	10/04/1999		MEMORANDUM OF COSTS AMOUNT 411.00
44	10/04/1999		STAY GRANTED - DUE 10/04/2000
42	10/04/1999		CLOSING JUDGE SCHWARTZ, LAWRENCE A.
41	10/04/1999		AMT/.5000 DISCHARGED 10/04/1999 POWER/FS5615218
38	08/24/1999		AMENDED DISCOVERY
36	08/11/1999		TRIAL HEARING SCHEDULED FOR 10/04/1999 AT 09:00 TRIAL HEARING RESET
35	08/11/1999		REPORT RE: STATUS SET FOR 09/08/1999 AT 09:00
34	08/11/1999		REPORT RE: PLEA SET FOR 09/27/1999 AT 13:00
33	08/05/1999		MOTION FOR CONTINUANCE FILED 08/11/1999 ORAL SET FOR 08/11/1999 AT 14:12 GRANTED DEFS
30	07/12/1999		DISCOVERY RESP: STATE'S DEMAND FOR DISCOVERY & DEMAND FOR AL
29	07/09/1999		NOTICE OF APPEARANCE AND PLEA S L GAER
28	07/19/1999		DEMAND FOR TRIAL BY JURY
27	07/19/1999		DEMAND FOR DISCOVERY
26	07/19/1999		REQUEST FOR COPY OF CHARGES
25	07/19/1999		NOTICE OF APPEARANCE AND PLEA SCOTT B.SAUL, ESQ.
23	07/20/1999		DEFENSE ATTY PRIV/GAER, S L
22	07/12/1999		TRIAL UNIT ASSIGNED: FELONY DIVISION 13
21	07/12/1999		TRIAL PROS. ASSIGNED: GOLDSTEIN, WENDY

17	07/12/1999	INFORMATION FILED
16	06/24/1999	ACKNOWLEDGEMENT OF APPOINTMENT OF PUBLIC DEFENDER
15	06/22/1999	NOTICE OF DEFENDANT'S RIGHT TO COUNSEL
14	06/22/1999	BOND RECEIVED POWER/RECEIPT #FSS615218
13	06/22/1999	INTAKE PROS. ASSIGNED: HENGHOLD-PL, MARGARET-MG
12	06/22/1999	INTAKE UNIT ASSIGNED: FELONY DOMESTIC CRIMES
10	06/21/1999	PUBLIC DEFENDER APPLICATION FEE/AFFIDAVIT TO BE PAID IN FULL AMOUNT 40.00
8	06/21/1999	STAY-AWAY ORDER - PRE TRIAL CONDITIONAL BENJI HILL
7	06/21/1999	ORDER ADJUDGING DEFENDANT INDIGENT AND APPOINTING PUB. DEFN
6	06/21/1999	FINANCIAL AFFIDAVIT TO DETERMINE PUBLIC DEFENDER ELIGIBILITY
5	06/21/1999	AMT/ 5000 ISSUED 06/21/1999 POWER/FSS615218
4	06/21/1999	FIRST APPEARANCE/BOND HEARING - A.M.
3	06/21/1999	ATTORNEY TYPE APPOINTED AT BOND HEARING PUBLIC DEFENDER
1	06/20/1999	ARRAIGNMENT HEARING SCHEDULED FOR 07/12/1999 AT 09:00

LICENSES

RCI

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number: [redacted]
Date of Birth:
First Name:
Middle Name:
Last Name: hill
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

LICENSES

RCI

Licensee Search

Page 1 of 1. 2 total matches.

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
1684132	HILL	SHERRY	ANN		None	[redacted]		
2139025	HILL	SHERRY	R		None		LOUISVILLE	KY

Not Applicant*

LICENSES

RCI

Licensee Search

Page 1 of 1. 1 match.

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
1684132	HILL	SHERRY	ANN		None	[redacted]		

Licensees Rulings Horse Tracking Bulletin Board Preferences Log Off

Not Applicant*

LICENSES

RCI

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth: [redacted]
First Name: sherry
Middle Name: ann
Last Name: hill
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search Public Case Info | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **nrogers**

VR Home > **Complaint Search**

Search Criteria | Results

Complaint

Board | Complaint #

Lic Type | Reference

Case Type | Status

Assigned To

Incident From | Incident To

Key Phrase in Summary

Find | New | Clear | Back

Respondent

Fed Tax # [redacted] **HILL, SHERRY ANN** Street #

File # 14140 Street

License # **App In Process** City

Entity # 14549725 Postal/Zip

Key Name State

County

Complainant Key Name

Involved Party Key Name

Get Adobe Reader.

No enforcement findings *

LICENSES

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number: [redacted]
Date of Birth:
First Name:
Middle Name:
Last Name: HILL
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update
Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **ljones4**

VR Home > **Complaint Search**

Search Criteria | Results

Complaint

Board | Complaint #

Lic Type | Reference

Case Type | Status

Assigned To

Incident From | Incident To

Key Phrase in Summary

Find | New | Clear | Back

◉ **Respondent**

Fed Tax # | Street #

File # | Street

License # | City

Entity # | Postal/Zip

Key Name HILL, SHERRY ANN% | State

County

○ **Complainant** Key Name

○ **Involved Party** Key Name

Get Adobe Reader.

Licenses

RCI

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: SHERRY
Middle Name:
Last Name: mareus
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

Florida Gam... Control Comm...

September 19, 2025

Ms. Sherry Ann Hill
800 Northwest 210th Street
Apt 101
Miami Gardens, Florida 33169

RE: Application No. 52859, Entity 14549725
1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo

Dear Ms. Hill:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 4 of the application. If you have ever been convicted of or had adjudication withheld for any crime or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records and arrest reports. Please initial and date any changes made to your application.

  You must list the information on your application for the following charges:
  - Miami Dade, FL) – 8/30/2001
  - Miami Dade, FL) – 6/20/1999
  - Miami Dade, FL) – 6/20/2000

  You must provide the court disposition records and arrest reports for the following charges:
  - Miami Dade, FL) – 8/30/2001
  - Miami Dade, FL) – 6/20/1999
  - Miami Dade, FL) – 6/20/2000

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

RECEIVED

2025 OCT 20 PM 12:53

FLORIDA
CONTROL COMMISSION

COMPLAINT/ARREST AFFIDAVIT

DOMESTIC

[redacted]

FB

ON FILE WITH THE MIAMI-DADE POLICE DEPARTMENT

RECORDS BUREAU

CLERK OF COURT

RECEIVED

2025 OCT 20 PM 12:53

Amended Document

FLORIDA GAMING CON

ENTITY # 14549725

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☒ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☒ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence
10/4/1999	Miami Dade	FL	Assault/Agg/Dw/eap	Felony	1 year probation
7/31/2000	Miami Dade	FL	Camabis Purchase	Felony	Adj Withheld
8/30/2001	Miami Dade	FL	Fugitive Warrant	N/A	Released after fingerprinting; Stolen Identity

☐ Yes ☒ No	Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?
☐ Yes ☒ No	Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

In August 2001, I was mistakenly arrested in Miami-Dade County on a fugitive warrant originating from Volusia County (Daytona, FL). The incident stemmed from an identity theft situation in which another individual used my name in connection with charges of uttering a forged instrument and grand theft. After being fingerprinted, it was confirmed that I was not the person involved, and I was released immediately. No charges were filed against me. I later received an official clearance letter from the Volusia County Assistant State Attorney confirming "No Information, Intent Not to Prosecute" for the alleged charges. Supporting documentation including the clearance letter is attached for verification.

RECEIVED
2025 OCT 20 PM 12:53
FLORIDA GAMING CONTROL COMMISSION

MIAMI-DADE SHERIFF'S OFFICE

SHERIFF ROSIE CORDERO-STUTZ

Central Records Bureau
9105 NW 25th Street
Doral, Florida 33172-1505

Telephone: (305) 471-2085
Website: https://www.mdso.com

Date: October 8, 2025

To Whom It May Concern:

A name search of the Miami-Dade Sheriff's Office (MDSO) arrest records under the name of:
Name: **HILL, SHERRY ANN**
Race/Sex: **B/F** Date of Birth: [redacted]
AKA:
MDSO Criminal Identification Number: **0713227**

☐ No Local Record

☐ Criminal Registration Only/No Local Record

☒ Local Felony Arrest Record(s)
☒ Copy on File
☐ Copy Not on File

☒ For Case Disposition Contact: (305) 275-1155
Felony Division
1351 NW 12 Street
Miami, Florida 33125

☐ Local Misdemeanor Arrest Record(s)
☐ Copy on File
☐ Copy Not on File

☐ For Case Disposition Contact: (305) 275-1155
Misdemeanor Division
1351 NW 12 Street
Miami, Florida 33125

☐ Local Traffic Arrest Record(s)
☐ Copy on File
☐ Copy Not on File

☐ For Case Disposition Contact: (305) 275-1111
Traffic Division
1351 NW 12 Street, Room 124
Miami, Florida 33125

☒ Other Local Agency Arrest Record(s)

☐ Civil Division: (305) 275-1155
73 W. Flagler Street
Miami, Florida 33142

MIAMI DADE P.D. RECORDS BUREAU DADE COUNTY, FL

Case Numbers:
*FW01101873 F00019633A F00019633 F99021011

Comments:
*FUGITIVE WARRANT CONTACT VOLUSIA COUNTY ARREST DATE 08/30/2001

Date: **10/8/2025** Researched by (Name/Title): **P. BRAGASSA PRT 1/9194**
*Print and Sign ID/Badge#

Date: **10/8/2025** Prepared by (Name/Title): **P. BRAGASSA PRT 1/9194**
*Print and Sign ID/Badge#

NOTE: Not valid unless the seal of the MDSO is affixed. The MDSO is prohibited from disclosing the existence of juvenile criminal history information, as well as records that have been sealed or expunged. Therefore, if "No Local Record" is indicated, there remains the possibility that juvenile, sealed or expunged records may be associated with the above name that cannot be disclosed. There is also the possibility that an adult or juvenile may have been arrested in a jurisdiction other than Miami-Dade County. The Florida Department of Law Enforcement may disclose information related to criminal history for certain licensing and employment purposes as provided by law. They may be contacted at 1-800-342-0820.

32.08.60-302 Revised: 01/09/2025

RECEIVED

2025 OCT 20 PM 12:53

COMPLAINT/ARREST AFFIDAVIT

FLORIDA DEPARTMENT OF LAW ENFORCEMENT
CONTROL COMMISSION

50754 | 112-11362

HILL, SHERRY AVON [redacted] F B 54 150 BL BR

256 NW 56 ST MIAMI, FL

[redacted] BONITA BEACH SUNSET OF NE

06/20/2000 530 7 AVE NW 29 ST

RODWAY, LLOYD [redacted]

POSSESSION OF MARIJUANA	B	M	1	893 13	UNDER	
SALE OF MARIJUANA	B	M	1	893 13	UNDER	

THIS IS A REDACTED COPY OF AN ORIGINAL DOCUMENT ON FILE WITH THE MIAMI-DADE POLICE DEPARTMENT MIAMI, FLORIDA 33172

371 OF THE CODE OF MIAMI

On the 20 day of JUNE 2000 at 523 PM (SIGNATURE) 57 AVE NW 29 ST

THIS DEF WHILE CONDUCTING A NARCOTIC SURVEILLANCE OBSERVED A BLACK PU DRIVE UP THE VEH WAS FACING WEST AND DEF EXCITED THE PASSENGER SIDE OF THE VEH AND WALK NORTH TWD THE ALLEY AND CONTACTED THE CO DEF DEF & CO DEF AND A BRIEF CONVERSATION AND DEF HANDED CO DEF U.S CURRENCY & CO DEF ENTERED THE HOUSE AND CAME BACK APPX (2) MINUTES LATER AND HANDED DEF THE SUSPECTED MARIJUANA. DEF RETURNED TO THE VEH AND THE VEH LEFT AND WAS STOPPED A FEW BLOCKS AWAY BY TACTICAL UNITS THE MARIJUANA WAS RECOVERED AND DEF WAS ARRESTED

Page 1 of 1

FELIX DELGADO

20 June 2000

01 MDA 1414 (1)

HOLD FOR COURT HEARING: DO NOT SIGN OUT

I understand that should I wish to be present when the case is called by this notice to appear that I may be held in contempt of court and a warrant for my arrest shall be issued. Nevertheless, I agree that nothing concerning the time, date, and place of all court hearings shall be sent to me unless additional I agree that it is my responsibility to keep informed as to the dates of the Court. I agree that I am responsible for any additional charges.

MIAMI-DADE POLICE RECORDS BUREAU DADE COUNTY, FL

MDPD RECORD COPY

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

September 19, 2025

RECEIVED
2025 OCT 20 PM 2:26
FLORIDA GAMING CONTROL COMMISSION

Ms. Sherry Ann Hill
800 Northwest 210th Street
Apt 101
Miami Gardens, Florida 33169

RE: Application No. 52659, Entity 14549725
1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo

Dear Ms. Hill:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 4 of the application. If you have ever been convicted of or had adjudication withheld for any crime or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records and arrest reports. Please initial and date any changes made to your application.

  You must list the information on your application for the following charges:
  - Miami Dade, FL) – 8/30/2001
  - Miami Dade, FL) – 6/20/1999
  - Miami Dade, FL) – 6/20/2000

  You must provide the court disposition records and arrest reports for the following charges:
  - Miami Dade, FL) – 8/30/2001
  - Miami Dade, FL) – 6/20/1999
  - Miami Dade, FL) – 6/20/2000

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

Amended Document

ENTITY # 14549725

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☒ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☒ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence
10/4/1999	Miami Dade	FL	Agg. Assault Dwcap	Felony	1 year probation
7/31/2000	Miami Dade	FL	Cannabis Purchase	Felony	Adjudication Withheld

☐ Yes ☒ No	Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?
☐ Yes ☒ No	Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

The document that I provided from the Clerk of Courts, from Miami Dade County, is years researched from 1999-2025. Please see the top of document for years searched.

2025 OCT 20 PM 2:2

FLORIDA GAMING CONTROL COMMISSION

2025 OCT 20 PM 2:25

FLORIDA GAMING CONTROL COMMISSION

IN THE CIRCUIT AND COUNTY COURTS OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA

IN AND FOR MIAMI-DADE COUNTY

I, JUAN FERNANDEZ-BARQUIN CLERK CIRCUIT AND COUNTY COURT OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA, IN AND FOR MIAMI-DADE COUNTY, DO HEREBY CERTIFY THAT A DILIGENT EXAMINATION OF THE FELONY, MISDEMEANOR AND NON CRIMINAL VIOLATION FILES AND RECORDS IN MY OFFICE REGARDING:

NAME: HILL, SHERRY ANN
DATE OF BIRTH: [redacted]
RACE: BLACK SEX: FEMALE
YEARS RESEARCHED: 1999 - 2025

INDICATES THE FOLLOWING:

CASE NUMBER	CITATION/ ARREST / FILE DATE/ ARST DEPT	CHARGES	DISPOSITION	DISPO DATE
HILL, SHERRY ANN				
F00019633A	06/20/2000	CANNABIS/PURCHASE	ADJ WITHHELD/ SENT	07/31/2000
		CANNABIS/POSN/0-20	NO ACTION	07/11/2000
	MIAMI			
HILL, SHERRY ANN				
F00019633	06/20/2000	CANNABIS/PURCHASE	TRANSF TO OTHER CA	07/11/2000
		CANNABIS/POSN/0-20	TRANSF TO OTHER CA	07/11/2000
	MIAMI			
HILL, SHERRY ANN				
F99021011	06/20/1999	ASSAULT/AGG/DWEAP	WH ADJ-PROB SP CON	10/04/1999
		ASSAULT	NO ACTION	07/12/1999
	MIAMI			

PURSUANT TO FLORIDA RULES OF COURT, RULE 2.430, COURT RECORDS THAT ARE NOT PERMANENTLY RECORDED MAY BE UNAVAILABLE, OR DISPOSED OF BY THE CLERK, IN ACCORDANCE WITH THE APPLICABLE RETENTION SCHEDULE REQUIRED BY SAID RULE. (10 YEARS FOR FELONY, MISDEMEANOR AND CRIMINAL TRAFFIC VIOLATIONS IN WHICH THE DEFENDANT WAS ADJUDICATED NOT GUILTY).
PLEASE SEE FLORIDA RULES OF COURT, RULE 2.430, FOR A COMPLETE LISTING OF RECORDS RETENTION REQUIREMENTS.

PAGE: 001

JUAN FERNANDEZ-BARQUIN CLERK OF THE COURT & COMPTROLLER MIAMI-DADE COUNTY, FLORIDA IN GOD WE TRUST

WITNESS MY HAND AND THE SEAL OF THE COURT AT MIAMI, MIAMI-DADE COUNTY, FLORIDA, THIS 06 DAY OF OCTOBER, 2025.

JUAN FERNANDEZ-BARQUIN CLERK
CIRCUIT AND COUNTY COURTS
IN AND FOR MIAMI-DADE COUNTY, FLORIDA

PAGE: 002

BY: _____ DEPUTY CLERK

Deputy Clerk of the Circuit Court
of the Eleventh Judicial Circuit
of Florida, In and for Dade County

RECEIVED
2025 OCT 20 PM 2:2
FLORIDA GAMING
CONTROL COMMISSION

Case Information

Court Case No:
F99021011

DOB:
[redacted]

Warrant Type:

Stay Due Date:
10/04/2000

File Section:
F013

Arresting Agency:
MIAMI

State Case No:
131999CF021011000 1XX

Date Filed:
06/21/1999

Assessment Amount:
$411.00

Judge:
HERSCH, RICHARD

File Location:
SCANNED

Arrest Date:
06/20/1999

Defendant Name:
HILL, SHERRY ANN

Date Closed:
10/04/1999

Balance Due:
$2.17

Defense Attorney:
SAUL, SCOTT

Box Number:

Hearing

No Hearings Found

Charges

Seq No.	Charge	Charge Type	Disposition
1	ASSAULT/AGG/DWEAP	FELONY	WH ADJ-PROB SP COND
2	ASSAULT	MISDEMEANOR	NO ACTION

Dockets

Din	Date	Book/Page	Docket
63	08/11/2003		ORDER TERMINATING PRE-TRIAL RELEASE CONDITIONS
62	06/14/2000		COURT FEE PAYMENT 280.00 RECEIPT # 0846081
61	06/15/2000		MOTION TO TERMINATE PROBATION/COMMUNITY CONTROL FILED 06/15/2000 ORAL SET FOR 06/15/2000 AT 12:59 GRANTED
59	06/06/2000		REPORT RE: PROBATION SET FOR 06/15/2000 AT 09:00
58	06/02/2000		MOTION TO TERMINATE PROBATION/COMMUNITY CONTROL FILED 06/02/2000 SET FOR 06/02/2000 AT 10:30 WITHDRAWN
57	03/31/2000		COURT FEE PAYMENT 38.05 RECEIPT # 0340650
56	03/28/2000		TRIAL PROS. ASSIGNED: FAULKNER, JAMES
55	03/27/2000		MOTION TO TERMINATE PROBATION/COMMUNITY CONTROL FILED 00/00/0000 SET FOR 03/27/2000 AT 09:00 NO RULING
54	02/28/2000		COURT FEE PAYMENT 38.05 RECEIPT # 0346708
53	01/25/2000		COURT FEE PAYMENT 33.29 RECEIPT # 0343621
52	12/28/1999		COURT FEE PAYMENT 23.78 RECEIPT # 0340509
51	11/04/1999	018854/04060	FINDING OF GUILT/ORDER W/H ADJUDICATION & SPECIAL CONDITIONS COSTS/ PROBATION
49	10/04/1999	018854/04161	SENTENCING GUIDELINES SCORE SHEET
47	10/05/1999		DISCHARGE CERTIFICATE ISSUED FS5615218
46	10/04/1999		MEMORANDUM OF COSTS AMOUNT 411.00
44	10/04/1999		STAY GRANTED - DUE 10/04/2000
42	10/04/1999		CLOSING JUDGE SCHWARTZ, LAWRENCE A
41	10/04/1999		AMT/ 5000 DISCHARGED 10/04/1999 POWER/FS5615218
38	08/24/1999		AMENDED DISCOVERY
36	08/11/1999		TRIAL HEARING SCHEDULED FOR 10/04/1999 AT 09:00 TRIAL HEARING RESET
35	08/11/1999		REPORT RE: STATUS SET FOR 09/08/1999 AT 09:00
34	08/11/1999		REPORT RE: PLEA SET FOR 09/27/1999 AT 13:00
33	08/05/1999		MOTION FOR CONTINUANCE FILED 08/11/1999 ORAL SET FOR 08/11/1999 AT 14:12 GRANTED DEFS
30	07/12/1999		DISCOVERY RESP: STATE'S DEMAND FOR DISCOVERY & DEMAND FOR AL
29	07/09/1999		NOTICE OF APPEARANCE AND PLEA S L GAER
28	07/19/1999		DEMAND FOR TRIAL BY JURY
27	07/19/1999		DEMAND FOR DISCOVERY
26	07/19/1999		REQUEST FOR COPY OF CHARGES
25	07/19/1999		NOTICE OF APPEARANCE AND PLEA SCOTT B.SAUL, ESQ.
23	07/20/1999		DEFENSE ATTY PRIV/GAER, S L
22	07/12/1999		TRIAL UNIT ASSIGNED: FELONY DIVISION 13
21	07/12/1999		TRIAL PROS. ASSIGNED: GOLDSTEIN, WENDY
18	07/12/1999		TRIAL HEARING SCHEDULED FOR 08/23/1999 AT 09:00

Din	Date	Book/Page	Docket
17	07/12/1999		INFORMATION FILED
16	06/24/1999		ACKNOWLEDGEMENT OF APPOINTMENT OF PUBLIC DEFENDER
15	06/22/1999		NOTICE OF DEFENDANT'S RIGHT TO COUNSEL
14	06/22/1999		BOND RECEIVED POWER/RECEIPT #FS5615218
13	06/22/1999		INTAKE PROS. ASSIGNED: HENGHOLD-PL, MARGARET-MG
12	06/22/1999		INTAKE UNIT ASSIGNED: FELONY DOMESTIC CRIMES
10	06/21/1999		PUBLIC DEFENDER APPLICATION FEE/AFFIDAVIT TO BE PAID IN FULL AMOUNT 40.00
8	06/21/1999		STAY AWAY ORDER - PRE TRIAL CONDITIONAL BENJI HILL
7	06/21/1999		ORDER ADJUDGING DEFENDANT INDIGENT AND APPOINTING PUB. DEFN
6	06/21/1999		FINANCIAL AFFIDAVIT TO DETERMINE PUBLIC DEFENDER ELIGIBILITY
5	06/21/1999		AMT/ 5000 ISSUED 06/21/1999 POWER/FS5615218
4	06/21/1999		FIRST APPEARANCE/BOND HEARING - A.M.
3	06/21/1999		ATTORNEY TYPE APPOINTED AT BOND HEARING PUBLIC DEFENDER
1	06/20/1999		ARRAIGNMENT HEARING SCHEDULED FOR 07/12/1999 AT 09:00

Case Information

Court Case No:
F00019633

DOB:
[redacted]

Warrant Type:

Stay Due Date:
00/00/0000

File Section:
F012

Arresting Agency:
MIAMI

State Case No:
132000CF0196330001XX

Date Filed:
06/21/2000

Assessment Amount:
$0.00

Judge:
DE LA O MIGUEL M

File Location:
SCANNED

Arrest Date:
06/20/2000

Defendant Name:
HILL, SHERRY ANN

Date Closed:
07/11/2000

Balance Due:
$0.00

Defense Attorney:

Box Number:

Hearing

No Hearings Found

Charges

Seq No.	Charge	Charge Type	Disposition
1	CANNABIS/PURCHASE	FELONY	TRANSF TO OTHER CASE
2	CANNABIS/POSN/0-20	MISDEMEANOR	TRANSF TO OTHER CASE

Dockets

Din	Date	Book/Page	Docket

Case Information

Court Case No:
F00019633A

State Case No:
132000CF019633A000XX

Defendant Name:
HILL, SHERRY ANN

DOB:
[redacted]

Date Filed:
06/21/2000

Date Closed:
07/31/2000

Warrant Type:

Assessment Amount:
$481.00

Balance Due:
$481.00

Stay Due Date:
01/29/2001

Judge:
DE LA O, MIGUEL M

Defense Attorney:
MULLGRAV, SANDRA

File Section:
F012

File Location:
SCANNED

Box Number:

Arresting Agency:
MIAMI

Arrest Date:
06/20/2000

Hearing

No Hearings Found

Charges

Seq No.	Charge	Charge Type	Disposition
2	CANNABIS/PURCHASE	FELONY	ADJ WITHHELD/ SENT
2	CANNABIS/POSN/0-20	MISDEMEANOR	NO ACTION

Dockets

Din	Date	Book/Page	Docket
39	08/29/2007		COLLECTIONS AGENCY STATUS NEW TO ALLIANCE ONE INC.
38	02/01/2001		NOTICE OF FAILURE TO PAY SENT
37	07/31/2000	019243/00734	SENTENCING GUIDELINES SCORE SHEET
35	07/31/2000	019243/00733	FINGERPRINTS FILED
34	08/10/2000	019243/00731	FINDING OF GUILT/ORDER W/H ADJUDICATION & SPECIAL CONDITIONS C.T.S / COSTS
32	08/02/2000		TRIAL PROS. ASSIGNED: ZACCA, DERIC
31	08/01/2000		TRIAL PROS. ASSIGNED: ZACCA, DERIC
30	07/11/2000		WRITTEN PLEA OF NOT GUILTY
29	07/11/2000		DEMAND FOR TRIAL BY JURY
28	07/11/2000		DEMAND FOR DISCOVERY
27	07/11/2000		DISCOVERY RESP: STATE'S DEMAND FOR DISCOVERY & DEMAND FOR AL
26	08/01/2000		DISCHARGE CERTIFICATE ISSUED FS1000689434
25	07/31/2000		STAY GRANTED - DUE 01/29/2001
24	07/31/2000		MEMORANDUM OF COSTS AMOUNT 481.00
22	07/31/2000		CLOSING JUDGE BLAKE, STANFORD
20	07/31/2000		AMT/ 6000 DISCHARGED 07/31/2000 POWER/FS1000689434
17	07/11/2000		REPORT RE: TRIAL DATE SET FOR 07/31/2000 AT 09:00
16	07/11/2000		REPORT RE: PLEA SET FOR 07/31/2000 AT 09:00
15	07/11/2000		INFORMATION FILED
14	07/11/2000		TRIAL UNIT ASSIGNED: FELONY DIVISION 12
13	07/11/2000		TRIAL PROS. ASSIGNED: ZACCA, DERIC
12	07/10/2000		INTAKE UNIT ASSIGNED: CASE PROCESSING
11	06/27/2000		INTAKE UNIT ASSIGNED: FSU - CASE SCREENING
10	06/27/2000		INTAKE PROS. ASSIGNED: WESSEL, THOMAS
9	06/22/2000		AMT/ 6000 ISSUED 06/20/2000 POWER/FS1000689434
8	06/21/2000		BOND RECEIVED POWER/RECEIPT #FS1000689434
5	06/20/2000		ARRAIGNMENT HEARING SCHEDULED FOR 07/11/2000 AT 09:00
4	07/11/2000		TRIAL PROS. ASSIGNED: ZACCA, DERIC
3	07/11/2000		TRIAL UNIT ASSIGNED: FELONY DIVISION 12
2	07/11/2000		TRIAL PROS. ASSIGNED: ZACCA, DERIC

Case Management Inquiry Offense Report Generated on Wed Oct 29 2025 09:01:56 GMT-0400 (Eastern Daylight Time)

Case Information

Case Number: 2000 035061 CFAES | **Case Style:** STATE OF FLORIDA V. SHERRY ANN HILL | **Div:** 42 - DAVID A MONACO | **Status: CLOSED**

Case Type: FELONY | **Category:** FELONY | **SPN:** 417170 | **Security: PUBLIC**

	Seq	Count	Citation	Description	Statute	Lvl/Deg	Plea	Last Action	Action Date
	1			F/UTTERING FALSE/FORGED INSTR.	83102	F / T		No Information	10/16/2001
	2			F/GRAND THEFT	812014	F / T		No Information	10/16/2001

PhaseSentence

Date	Description	Statute	Lvl/Deg	Status
Initial	F/UTTERING FALSE/FORGED INSTR.	83102	Felony / Third Degree	Sworn Complaint
Prosecutor	F/UTTERING FALSE/FORGED INSTR.	83102	Felony / Third Degree	Same

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

September 19, 2025

Ms. Sherry Ann Hill
800 Northwest 210th Street
Apt 101
Miami Gardens, Florida 33169

RE: Application No. 52659, Entity 14549725
1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo

Dear Ms. Hill:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 4 of the application. If you have ever been convicted of or had adjudication withheld for any crime or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records and arrest reports. Please initial and date any changes made to your application.

  You must list the information on your application for the following charges:
  - Miami Dade, FL) – 8/30/2001
  - Miami Dade, FL) – 6/20/1999
  - Miami Dade, FL) – 6/20/2000

  You must provide the court disposition records and arrest reports for the following charges:
  - Miami Dade, FL) – 8/30/2001
  - Miami Dade, FL) – 6/20/1999
  - Miami Dade, FL) – 6/20/2000

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Through:	Justin Hundersmarck, Senior Attorney
Re:	Casey K. Santiago, Case No. 2025-080536 License Denial
Date:	December 23, 2025

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") seeks to deny the application of Casey K. Santiago (the "Applicant") for a slot machine/cardroom/pari-mutuel individual combination occupational license (the "Application"). The Applicant submitted a completed application for a slot machine/cardroom/pari-mutuel individual combination occupational license on October 31, 2025. Upon review of the Application, it appears the Applicant has been convicted of one disqualifying felony offense. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Letter of License Denial.

Relevant Facts

On October 9, 2025, the Applicant submitted an application to the Division for a slot machine/cardroom/pari-mutuel individual combination occupational license. The Applicant answered "no" to the question asking if he has been convicted of any criminal charges, or has pled guilty or nolo contendere to any criminal charges. Deficiency letters were sent October 13, October 21, and October 24, 2025, requesting an updated application as well as arrest and court disposition records for three arrests out of Loudoun County, Virginia, in 2016, 2018, and 2020. In the amended application deemed complete on October 31, 2025, the Applicant listed one conviction. Upon review of the completed application, it appears that the applicant was convicted of one disqualifying felony offense:

- Schedule I or II: Sell, Give, or Distribute out of Loudon County, Virginia, from July 23, 2018.

This felony conviction is a disqualifying offense pursuant to sections 550.105(5)(b), 551.107(6)(a), and 849.086(6)(g), Florida Statutes.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides, in pertinent part that:

> . . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 551.107(6)(a), Florida Statutes, provides in pertinent part that:

> ". . .the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense."

Section 849.086(6)(g), Florida Statutes, provides that:

> [t]he commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority.

Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Staff Recommendation: The Florida Gaming Control Commission may deny the Application for a slot machine/cardroom/pari-mutuel individual combination occupational license upon a finding of a disqualifying offense pursuant to sections 550.105, 551.107, and 849.086(6)(g). Accordingly, the Division of Pari-Mutuel Wagering recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial to Casey K. Santiago in this matter.

SANTIAGO, CASEY K

Case # 2025-080536

License Type: Slot/Cardroom/Pari-Mutuel Indiv Combo (1055)
Occupation: Maintenance

90 Day Deadline: 01/28/2026

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

SANTIAGO, CASEY K-14576903	2025-080536
(Applicant's Name– License #)	Case No:

INITIAL APPLICATION RECEIVED:	10/9/2025
Application Deemed Complete:	10/31/2025
If no Request for Waiver received, 90-Day Deadline:	**1/28/2026**

Waiver Requested: ☒No ☐Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)
- Waiver Requested _____

LBJ	910-TLH	1055-Maintenance Technician
Application Processor	Facility (d/b/a name)	Occupation Code / Job Title

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☐Yes ☒No
Licensing History: N/A Type: N/A Initial license issued: Maintained Continuous licensure? ☐Yes ☐No N/A

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No
Where all items identified in the deficiency letter addressed? ☒Yes ☐No If no, explain:

☒ Felony(s): 1 Count(s) ☐ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking

☐ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)

☐ Animal Cruelty (Pari-Mutuel Professons)

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp
01/14/2020	**Fairfax County, VA**	**Charge 1**- Drug Conviction- **(Immigration Court)**	Unknown	6693414183	Relief Granted	N	Y
11/07/2016 ***Court Document reflects applicants date of birth of 10/09/1997 as offense date***	**Loudin County, VA**	**Charge 1**-SCH I/II: Sell/Give/Distribute	F	31727-00	Guilty/3yrs Suspended Sentence	Y	Y
09/24/2019	**Fairfax County, VA**	**Charge 1**- Grand Larceny; Steal Property	F	059GM1900059267	Dismissed	N/A	N/A

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☒ No ☐ ☐ Approval
Disposition Attached for Disqualifier(s)? Yes ☒ No ☐

Deficiency Letter Issued 10/13/2025 10/21/2025 and 10/24/2025; Application Deemed Complete **10/31/2025**
Applicant Eligible for Waiver? Yes ☐ No ☒ If Yes: ☐ Waiver Requested ☐ Failed to Request Waiver

Forward to ☐ **Investigations** ☒ **Legal** ☐ **Commission** Initials: CH Date 11/7/2025

Comments:

DBPR On-Line Services

If you need to mail additional information to DBPR please include this coversheet.

License Type:	**Slot/Cardroom/Pari-Mutuel Indiv Combo**
Application Type:	**Slot Machine/Cardroom/PMW Combination Occupational License**
File Number:	**14207**
Application Number:	**52858**
License Number:	
Application Date:	**10/09/2025 (mm/dd/yyyy)**
Last Name:	**Santiago**
First Name:	**Casey**
Middle Name:	

Mail To:
DBPR - Division of Pari-Mutuel Wagering
Licensing Section
2601 Blair Stone Road
Tallahassee, FL 32399-1037

If you have any questions please call our Customer Contact Center at 850-487-1395.

State of Florida
Department of Business and Professional Regulation
2601 Blair Stone Road
Tallahassee, FL 32399

Application Summary

Thank you for submitting an online application. We will evaluate the application to determine if you meet the eligibility requirements for the license. Initial review of your application can take up to 14 days and up to 30 days during peak licensing times. You will be notified in writing if we need any additional information or documentation. Your application will be considered complete only upon the department's receipt of all requested information, including validation of payment from your financial institution.

Profession

License Type:	**Slot/Cardroom/Pari-Mutuel Indiv Combo**
Application Number:	**52858**
Application Type:	**Slot Machine/Cardroom/PMW Combination Occupational License**
Application Date:	**10/09/2025 (mm/dd/yyyy)**
License Number:	
File Number:	**14207**

Personal Detail

First Name:	**Casey**
Last Name:	**Santiago**
Birthdate:	[redacted]
Gender:	**Male**
Race:	**Asian**

Addresses

Current Mailing Address:	**335 chelmsford ct** **kissimmee, FL** **34758** **US**
Phone Number:	**5714268546**
Extension:	
E-mail Address:	**csantiago@atlantispartners.com**

License Attributes Selected

Occupational Codes	**Maintenance** **Vendor Representative**
PMW Temporary	**Yes**
FingerPrint Reduct	**Livescan Fingerprint Fee Exemption**

Livescan Information

Enter Transaction Control Number (TCN): [redacted]

Facility

Pari-Mutuel Facility Where Employed and/or Doing Business With:	**Multi Locations**

Prior Name Question

Have you used, been known as, or called by another name (example - maiden name, pseudonym, nickname) or alias other than the one you provided for this application?	**No**

Citizenship

Are you a United States citizen?	**No**
If no, provide the name of the country of which you are a citizen:	**philippines**

Prior License

Is this your first time applying for a racing/gaming license in Florida?	**Yes**

Current Employer

Current Employer Name:	**atlantis UGS**

Employment History

Have you previously worked for a gaming-related employer?	**No**

Jurisdiction

Have you ever been licensed in any other racing or gaming jurisdiction?	**No**

Discipline

Have you ever had a racing or gaming license revoked or denied in this or any other state or country?	**No**

Criminal History Background Questions

Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?	**No**
Have you ever been convicted of or had adjudication of guilt withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?	**No**

Financial Interest

Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?	**No**

Do you currently own or have a financial interest in a Florida licensed Slot Machine Facility or in any business owned by a Florida licensed Slot Machine Facility? **No**

Attachments
IMG_5048.jpeg

Fees	
Fingerprint	**$36.00**
LiveScanReduce	**$-36.00**
License Fee	**$100.00**
Total Amount Due:	**$100.00**

By submission of this application you affirmed the following: I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this written declaration has the same legal effect as an oath or affirmation. Under penalties of perjury, I declare that I have read the foregoing application and the facts stated in it are true. I understand that falsification of any material information on this application may result in criminal penalty or administrative action, including a fine, suspension or revocation of the license. I understand that an electronic affirmation shall have the same force and effect as a written signature.

AMENDED DOCUMENT Initials AH

Applicant Santiago, Casey K.

Entity # 14576903

File # 14207 App# 52858

RECEIVED

OCT 17 2025

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3410 – Slot Machine Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION

Social Security Number [redacted] Birth Date (MM/DD/YYYY) Gender ☑ Male ☐ Female

Last Name: Santiago | First: Casey | Middle | Suffix

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☑ No

If yes, list the name or names used:

Race/Ethnicity (check only one):
☐ Black or African American ☑ Asian or Pacific Islander ☐ Native American or Alaskan Native
☐ White or Caucasian ☐ Hispanic/Latino ☐ Other

Are you a United States citizen? ☐ Yes ☑ No
If no, provide the name of the country of which you are a citizen: Philippines

Current Mailing Address: 335 Chelmsford ct | Email Address (optional):

City: Kissimmee | State: Fl | Zip Code (+4 optional): 34758 | Country: United states

Primary Phone Number: 571-426-8546 | Secondary/Cell Phone Number (optional):

Current Street Address: 335 Chelmsford Ct

City: Kissimmee | State: Fl | Zip Code (+4 optional): 34758 | Country: United states

Type of Slot Machine Occupational License applying for:
☐ General Individual ☐ Professional Individual
☑ Slot Machine/Cardroom/PMW Combination
Job title(s)*: Audio visual Technician

*Applicants for a Combo license should disclose all job titles

Is this your first time applying for a racing/gaming license in Florida? ☑ Yes ☐ No

Facility where employed and/or doing business: Harrahs pompano

Employer name: Atlantis Partners UGS

FOR DIVISION USE ONLY

License Code 1055 | License # 14576903 | File # 14207 | App # 52858

Association Code 430 | Date Received 10-9-25 | Entered By online | License Year 25/28

License Fee $100 | FP Date 9-26-25 livescan | FP Fee $0 | Total Fee $100

Waiver Requested (Combo Only) | ARCI | Enforcement

AMENDED DOCUMENT Initials DW

Applicant Santiago, Casey K.

Entity # 14376903

File # 14207 App# 58858

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EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☑ No — Have you previously worked for a gaming-related employer? If yes, you must list below all gaming-related employment history in the past ten years.

Name of Employer	Address	Start Date (Month/ Year) - End Date (Month/ Year)	Title/Position Held & Supervisor Name	Reason for Leaving
Atlantis partners UGS	336 US-46, Fairfield, NJ 07004	August 1 2023	Audio Visual Technician	

PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☑ No — Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.

State(s) or Jurisdiction(s) Where Licensed	Date of Expiration	Is this license currently suspended or subject to fines or other discipline? Explain why.
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No

☐ Yes ☑ No — Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

If you answered yes to the question above, provide details here:

AMENDED DOCUMENT Initials AH

Applicant Santiago, Casey K.

Entity # 14576903

File # 14207 App# 58668

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BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☑ No — Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

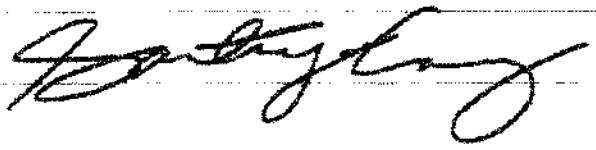
☐ Yes ☑ No — Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence
23 July, 2018	Loudoun	Va	Possession of cocaine	Felony	Probation

☐ Yes ☑ No — Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?

☐ Yes ☑ No — Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION



10/17/2025

Amended Document
Lic# 14576903 Appl# 528-53
File 14207

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☐ Yes ☑ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?	
☐ Yes ☑ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.	

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence
10/01/2020	Loudoun	Va	Removal Proceedings	F	Cancellation of Removal

☐ Yes ☑ No	Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?	
☐ Yes ☑ No	Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?	

ADDITIONAL INFORMATION

Dantingo Cary 10/21/2025

AMENDED DOCUMENT Initials QM

Applicant Santiago, Casey K.

Entity # 14576903

File # 14907 App# 58858

OCT 17 2025

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PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging the FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

AUTHORIZATION FOR RELEASE OF INFORMATION:

I do hereby instruct all law enforcement, criminal justice agencies, gaming commissions, tribal gaming regulatory agencies or commissions, state agencies, or commissions responsible for gaming regulation to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

Casey Santiago	[redacted]
Print Legal Name (First Middle Last)	Birth Date (MM/DD/YYYY)
[redacted]	Atlantis partners UGS
Social Security Number	Name of Employer
Signature of Applicant	October 16,2025
	Date

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654, and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

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I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

AUTHORIZATION FOR RELEASE OF INFORMATION:

I do hereby instruct all law enforcement, criminal justice agencies, gaming commissions, tribal gaming regulatory agencies or commissions, state agencies, or commissions responsible for gaming regulation to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

Casey Santiago	[redacted]
Print Legal Name (First Middle Last)	Birth Date (MM/DD/YYYY)
[redacted]	Atlantis Partners
Social Security Number	Name of Employer
Signature of Applicant	10/12/2024
	Date

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OCT 17 2025

DBPR PMW-3410 – Slot Machine Individual Occupational License Application

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

ALL License Applicants must submit:

- ☐ Completed Form DBPR PMW-3410 – Print clearly and complete all sections that are not optional in black or blue ink.
- ☐ Provide Identification – Required by Rule 61D-14.010, Florida Administrative Code.

  Provide a copy of one of the items below:
  - US Passport
  - Certificate of US Citizenship or Naturalization
  - Permanent Resident Card

  OR, Provide a copy of two of the items below:
  - Birth Certificate (Certified Copy)
  - Driver's License
  - Military ID Card
  - Student ID Card
  - Country ID Card
  - Foreign Passport
  - Government Issued Credential
  - Immigration ID Card
- ☐ Additional Pages – If necessary to respond to any application questions.
- ☐ Supporting Legal Documentation – If necessary to respond to background information questions in application.
- ☐ Three (3) Year Licensing Fee – Make checks or money orders payable to DBPR.
  - ☐ Slot Machine General Occupational License - $100.00* *does not include fingerprint fee
  - ☐ Slot Machine Professional Occupational License - $100.00*
  - ☐ Slot Machine/Cardroom/PMW Combination Occupational License - $100.00*
- ☐ Fingerprints – Choose One Option:
  - ☐ Electronic Fingerprints: Electronic fingerprints must be submitted to the Division through a law enforcement agency or an FDLE approved Livescan Service Provider. Submit electronic fingerprints with the following ORI number: FL923230Z.

    IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved Livescan Service Provider.
  - ☐ Fingerprint Card: Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Division at the address or phone number below. Completed fingerprint cards must be mailed to the Division with your application.

    IMPORTANT: Fingerprint card processing fees must be paid to the Division. Please see http://www.fdle.state.fl.us/Criminal-History-Records/Obtaining-Criminal-History-Information.aspx for the current fee amount.
  - ☐ Fingerprint Resubmission for Renewal and Upgrade Applicants: Applicants timely renewing or upgrading a license need only provide the Division a fingerprint resubmission processing fee. Visit our website or contact us for the current fee amount.

    IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

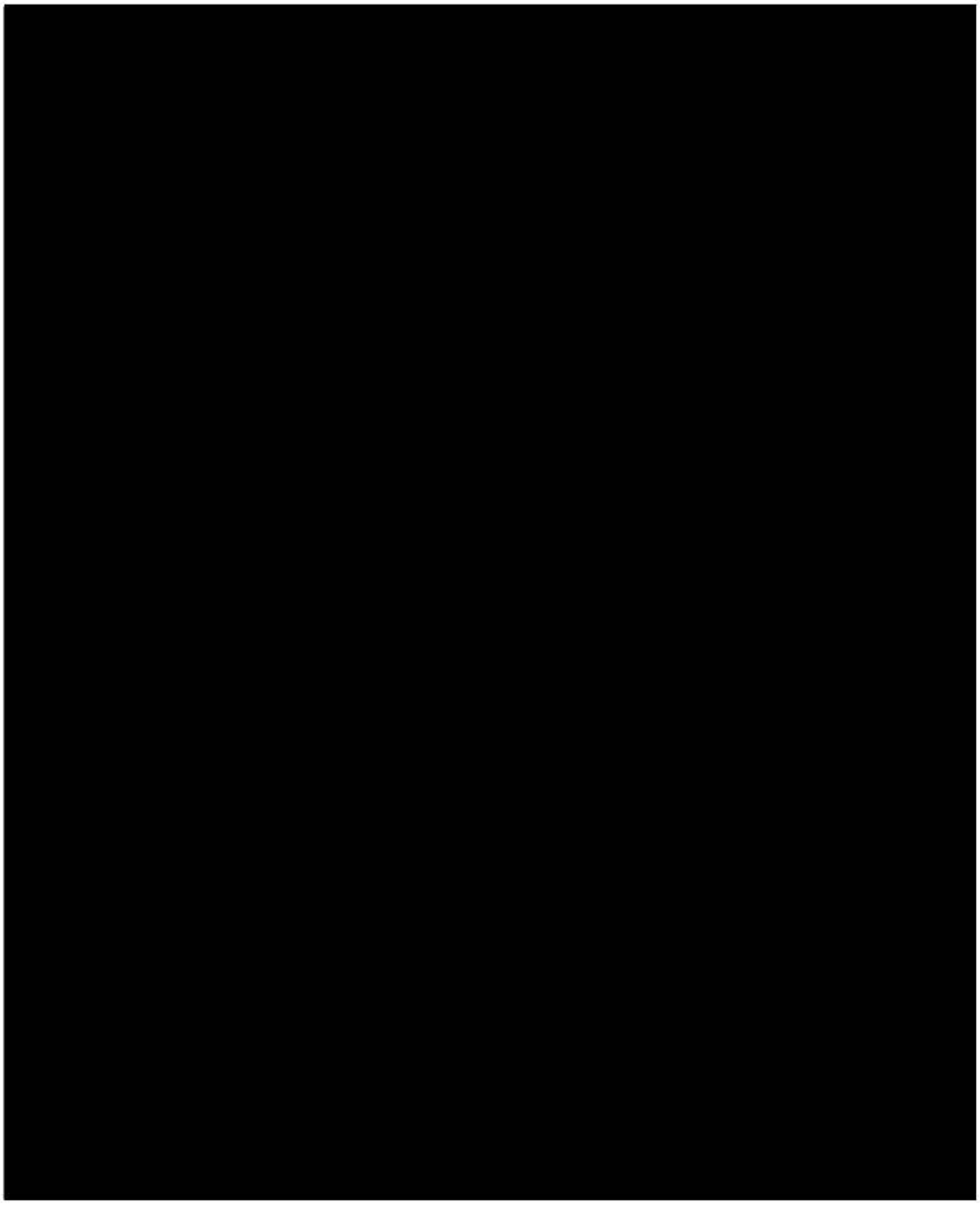
Please mail your completed application, documentation and required fee(s) to:
Department of Business and Professional Regulation
Pari-Mutuel Wagering; Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.487.1395

AMENDED DOCUMENT Initials AH

Applicant Santiago, Casey M.

Entity # 14576903

File # 14207 App# 58858



State of Florida
Department of Business and Professional Regulation
2601 Blair Stone Road
Tallahassee, FL 32399

Online Payment Summary

Amount Paid:	$100.00
Payment Method:	Visa
Validation Number:	258297320
Batch Trace Number	7643627

Application Number / Misc Charge	License Type Description	Board / Applicant Name License Number	Fee	Trace Number
1055-52858	Slot Machine/Cardroom/Pari-Mutuel Individual Combination Slot Machine/Cardroom/PMW	Santiago, Casey K	$100.00	35014712

Licenses

RCI

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: CASEY
Middle Name:
Last Name: SANTIAGO
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update
Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **ljones4**

VR Home > **Complaint Search**

Search Criteria | Results

Complaint

Board | Complaint # | Find | New | Clear | Back

Lic Type | Reference

Case Type | Status

Assigned To

Incident From | Incident To

Key Phrase in Summary

◉ **Respondent**

Fed Tax # | Street #

File # | Street

License # | City

Entity # | Postal/Zip

Key Name SANTIAGO, CASEY% | State

County

○ **Complainant** Key Name

○ **Involved Party** Key Name

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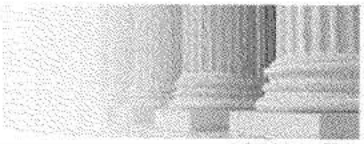
CCIS COMPREHENSIVE CASE INFORMATION SYSTEM



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FLORIDA GAMING CONTROL COMMISSION

sdb

SENTENCING ORDER

VIRGINIA: IN THE CIRCUIT COURT OF LOUDOUN COUNTY

FEDERAL INFORMATION PROCESSING STANDARDS CODE: 107

Hearing Date: **23 JULY, 2018**
Judge Designate: **J. HOWE BROWN, JR.**

COMMONWEALTH OF VIRGINIA :

v. : CRIMINAL NO. 31884-00

CASEY KEIKO SANTIAGO :

This case came before the Court for sentencing of the Defendant, who was present as a condition of his bond, and also came his attorney Mark Earley. The Commonwealth was represented by Jason Faw, a Deputy Commonwealth's Attorney.

On **April 27, 2018,** the Defendant was found guilty of the following offense:

CASE NUMBER	OFFENSE DESCRIPTION AND INDICATOR (F/M)	OFFENSE DATE	VA. CODE SECTION
31727-00	**possession of a schedule I or II Controlled substance (F)**	**10/09/1997**	**§ 18.2-250**

The presentence report was considered and is ordered filed as a part of the record in this case in accordance with the provisions of Code § 19.2-299.

Pursuant to the provisions of Code §19.2-298.01, the Court has considered and reviewed the applicable discretionary sentencing guidelines and the guidelines worksheets. The sentencing guidelines worksheets and the written explanation of any departure from the guidelines are ordered filed as a part of the record in these cases.

Before pronouncing the sentence, the Court inquired if the Defendant desired to make a statement and if the Defendant desired to advance any reason why judgment should not be pronounced.

The Court **SENTENCES** the Defendant to:

Incarceration with the **Virginia Department of Corrections** for the term of: **Three (3) Years with all three (3) years suspended.**

The **SUSPENSION** of the above sentence is on the following terms and conditions:

Good Behavior. The Defendant shall keep the peace and be of general good behavior and violate no laws of this Commonwealth or any other jurisdiction from this day for a period of five (5) years.

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FLORIDA GAMING
CONTROL COMMISSION

Supervised Probation. The Defendant is placed on probation to commence, from this day, under the supervision of the Probation and Parole Office of this Court for an indefinite period of time. The Defendant shall comply with all the rules and requirements set by the Probation Officer. Probation shall include substance abuse counseling and/or testing as prescribed by the Probation Officer.

Drug Free. The Defendant shall remain drug free and in connection therewith shall submit to such random screens as may be requested by his Probation and Parole Officer.

Alcohol and Substance Abuse Screening. The Defendant shall complete any alcohol and substance abuse screening, assessment, testing, education and treatment as directed by the Probation Officer. The Defendant may be subject to payment of any fees associated with substance abuse treatment or intervention as required by the treatment or intervention program on an ability to pay basis.

Restitution. The Defendant shall pay restitution as directed in the Order of Restitution. It is **ORDERED** that any payments remitted in these cases be credited first to restitution.

Credit for Time Served. The Defendant shall be given credit for time spent in confinement while awaiting trial pursuant to Code §53.1-187.

It is further **ORDERED** that the Defendant is to pay the costs of these proceedings to the Clerk of this Court.

It is **ORDERED**, that the Defendant's privilege to drive, or operate, a motor vehicle, engine or train in this Commonwealth is *suspended* for a period of six (6) months, from July 23, 2018. The Defendant and the Clerk endorsed an ACKNOWLEDGEMENT OF LICENSE SUSPENSION, form. The Clerk provided a copy to the Defendant and a copy shall be provided to the Virginia Department of Motor Vehicles (DMV). The Court authorizes a restricted license.

In addition, Pursuant to section §19.2-310.2 and §19.2-310.3 the Defendant is **ORDERED** to cooperate fully and promptly in providing information and permitting fingerprinting and/or sampling of blood, saliva and/or tissue as required by this Order. Thereupon, the Court entered and the Defendant endorsed the Order for DNA Analysis to be taken, and the Clerk provided a copy of the Order to the Loudoun County Adult Detention Center.

And the defendant is continued on supervised probation.

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FLORIDA GAMING
CONTROL COMMISSION

The Clerk is directed to forward a copy of this Order, forthwith, to the Office of the Commonwealth's Attorney, to Mark Earley, and to the Adult Probation and Parole Office.

8/8/2018
DATE

ENTER: _____
J. HOWE BROWN, JR., JUDGE DESIGNATE

IDENTIFICATION:
Alias: unknown
SSN [redacted] **DOB:** [redacted] **Sex: M**

SENTENCING SUMMARY:
TOTAL SENTENCE IMPOSED: Three (3) Years
TOTAL SENTENCE SUSPENDED: Three (3) Years
TOTAL SENTENCE TO SERVE: no time to serve

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UNITED STATES DEPARTMENT OF JUSTICE
EXECUTIVE OFFICE FOR IMMIGRATION REVIEW
LUMPKIN IMMIGRATION COURT

Respondent Name:
SANTIAGO, CASEY KEIKO
To:
Schneiderman, Barry Alan
4010 University Drive
Suite 103
Fairfax, VA 22030

Alien Registration Number:
203125741
Riders:
In Removal Proceedings
Initiated by the Department of Homeland Security
Date:
10/01/2020

ORDER OF THE IMMIGRATION JUDGE

☒ This is a summary of the oral decision entered on 10/01/2020.
The oral decision in this case is the official opinion, and the immigration court issued this summary for the convenience of the parties.

☐ Both parties waived the issuance of a formal oral decision in this proceeding.

I. Removability

The immigration court found Respondent ☒ removable ☐ inadmissible under the following Section(s) of the Immigration and Nationality Act (INA): 237(a)(2)(B)(i)

II. Applications for Relief

Respondent's application for:

A. Asylum/Withholding/Convention Against Torture

☐ Asylum was ☐granted ☐denied ☐withdrawn.
☐ Withholding of Removal under the INA was ☐granted ☐denied ☐withdrawn.
☐ Withholding of Removal under the Convention Against Torture was ☐granted ☐denied ☐withdrawn.
☐ Deferral of Removal under the Convention Against Torture was ☐granted ☐denied ☐withdrawn.
☐ Respondent knowingly filed a frivolous application for asylum after notice of the consequences.

B. Cancellation of Removal

☒ Cancellation of Removal for Lawful Permanent Residents under INA § 240A(a) was ☒granted ☐denied ☐withdrawn.
☐ Cancellation of Removal for Nonpermanent Residents under INA § 240A(b)(1) was ☐granted ☐denied ☐withdrawn.
☐ Special Rule Cancellation of Removal under INA § 240A(b)(2) was ☐granted ☐denied ☐withdrawn.

C. Waiver

☐ A waiver under INA § was ☐granted ☐denied ☐withdrawn.

D. Adjustment of Status

☐ Adjustment of Status under under INA § was ☐granted ☐denied ☐withdrawn.

E. Other

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III. Voluntary Departure

☐ Respondent's application for ☐ pre-conclusion ☐ post-conclusion voluntary departure was ☐granted ☐denied ☐withdrawn.

☐ Further information regarding voluntary departure is attached.

IV. Removal

☐ Respondent was ordered removed to

☐ In the alternative, Respondent was ordered removed to

V. Other

☐ Proceedings were ☐ terminated ☐ administratively closed.

☐ Respondent was advised of the limitation on discretionary relief for failure to appear as ordered.

☐ Respondent's status was rescinded under INA § 246.

☐ Other:

Immigration Judge: Trimble, Dan 10/01/2020

Appeal: Department of Homeland Security: ☒ waived ☐ reserved
Respondent: ☒ waived ☐ reserved

Appeal Due:

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OCT 22 2025

Certificate of Service

This document was served:
Via: [M] Mail | [P] Personal Service | [E] Electronic Service
To: [ ] Alien | [ ] Alien c/o custodial officer | [E] Alien's atty/rep. | [E] DHS
By: Corbin,T, Court Staff
Date: 10/01/2020

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OCT 3 2025

transaction. The UC remained near the doorway to the bathroom in a "lookout" capacity as instructed by the TARGET (See UC supplement).

Surveillance units advised the transaction was concluded at 1623 hours and that all three subjects proceeded through the food court area back to the main entrance. I observed the UC and CI separate from the TARGET outside the main entrance and they then walked back to the UC's vehicle. The TARGET remained standing out front of the mall for several minutes. An unknown asian male wearing a teal and blue jacket then approached the TARGET and both subjects began walking towards the front of the mall towards Dick's Sporting Goods. When surveillance units advised they had a visual of the subjects myself and Sergeant Loconti followed the UC vehicle off the lot back to the parking lot off Century boulevard at 1630 hours.

Myself and Sergeant Loconti maintained a visual of the UC vehicle as it drove back to the Century boulevard location. Once parked the UC handed me a small white plastic baggie containing a white powder which the CI had given him once he got back in the UC vehicle. The CI stated he handed the TARGET $250 in confidential funds, then the TARGET retrieved the baggie from his pants pocket. I then searched the CI and found no illegal items or currency. The CI then left the scene in his vehicle.

Surveillance units advised the TARGET and the second subject entered a black chevrolet Tahoe bearing VA registration WZJ3623. The TARGET appeared to be the one operating the vehicle. Surveillance followed the TARGET on Algonkian parkway until it got to the intersection of Cascades parkway. The TARGET vehicle then pulled off on the right side of the road and surveillance units continued on. The surveillance was then terminated due to the TARGET possibly being aware about the surveillance.

I maintained custody of the suspected cocaine until I arrived back to my office. There I photographed the bag and tested a sample of it with an assigned Narc II cocaine test kit. I obtained a positive result for cocaine. I properly packaged the remainder of the bag and secured it in an evidence collection bag. I transported the bag to headquarters were I secured it into an evidence locker. I also submitted a lab request form for the bag in the locker as well.

Additional controlled buys are planned with SANTIAGO and will be supplemented using the in house case number 2016N-335.

ACTIVE/PENDING

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U.S. Department of Homeland Security — Subject ID : 366780502

Record of Deportable/Inadmissible Alien

Family Name (LAST)	First	Middle	Sex	Race	Eyes	Complexion
SANTIAGO, CASEY REIKO			M	BLK	BRO	LBR

Country of Citizenship	Passport Number and Country of Issue	File Number	Weight	Weight	Occupation
PHILIPPINES	See Narrative	[redacted] 203 125 741	68	170	

U.S. Address: 43789 Sunset Ter Ashburn, VIRGINIA, 20147 — Scars and Marks:

Place, Port, Time, and Manner of Last Entry	Passport Number or	FBI Number	Marital Status
05/30/2004, SFR, K1 - Ch Of Alien Entering As K1		[redacted]	☐ Single ☐ Divorced ☒ Married ☐ Widowed ☐ Separated

Number, Street, City, Province (State), and Country of Permanent Residence: Blk 6, Lot 15 Lesher Homes San Jose, Nueva Ija, 3121, PHILIPPINES — Military (Location and Type of Service): PAF RA

[redacted]	Age: 21	Date of Action: 07/07/2020	Location Code: WAS/WAS	Action: See I-831	Date/Hour: 07/07/2020 15:45

City, Province (State) and Country of Birth: San Jose City, PHILIPPINES — AR ☒ — Form (Type and No.) Lifted ☐ Not Lifted ☐ — By: See Narrative

NIV Issuing Post and NIV Number — Social Security Account Name: Casey SANTIAGO — Status at Entry — Status When Found

Date Visa Issued: [redacted] — Length of Time Illegally in U.S.

Immigration Record: POSITIVE - See Narrative — FBI Record: See Narrative

Name, Address, and Nationality of Spouse (Maiden Name, If Appropriate): ARCE, JANESSA NATIONALITY: UNITED STATES — Number and Nationality of Minor Children: None

Father's Name, Nationality, and Address, if Known: GERALDES, ROBERT RANGAN NATIONALITY: PHILIPPINES — Mother's Present and Maiden Names, Nationality, and Address, if Known: SANTIAGO, CHANO NATIONALITY: UNITED STATES

Manner Last Entered U.S. (Air, Sea, Land, Boat, Etc.) — Fingerprinted? ☒ Yes ☐ No — Systems Checks: See Narrative — Charge Code Violated: See Narrative

Name and Address of (Last/Current) U.S. Employer — Type of Employment — Salary — Employed From/To

Narrative (Give particulars under which alien was located/apprehended. Include details not shown above regarding time, place and manner of last entry, attempted entry, or any other entry, and circumstances which constitute administrative and/or criminal violation. Indicate names and route of travel to interior.)

Left Index fingerprint

[redacted]

Right Index fingerprint

[redacted]

Subject Health Status

The subject claims good health.

Current Administrative Charges

01/14/2020 - 237a2Bi - DROG CONVICTION

...(CONTINUED ON I-831)

Alien has been advised of communication privileges 7/7/2020 CT (Date/Initials)

C9708 GREGAN Deportation Officer (Signature and Title of Immigration Officer)

Distribution:
A-file
EARM
Stats

Received (Subject and Documents) (Report of Interview)

Officer: C9708 GREGAN

on: July 7, 2020 (Time)

Documents: Warrant of Arrest/Notice to Appear

Examining Officer: RECKELMAN, PHILIP

Reckelman SDO 2nd

Form I-213 (Rev. 08/01/07)

RECEIVED

OCT 2025

LOUDOUN COUNTY SHERIFF'S OFFICE
OFFICIAL LAW ENFORCEMENT DOCUMENT

CASE REPORT
SO160004304-002

Narrative

20:6N-335

On March 21st, 2017 I received a certificate of analysis notification from the Virginia Department of Forensic Science in reference to this case. The item tested, a baggie containing white powder, was analyzed and found to contain about two grams of cocaine.

On April 4th, 2017 I obtained a warrant for distribution of cocaine (Schedule II) for Casey SANTIAGO. I made attempts to serve the warrant at the address at 21 Lyndhurst court in Sterling as well as his mother's address at 43789 Sunset Terrace in Ashburn but was unsuccessful.

On April 6th, 2017 Casey SANTIAGO turned himself in at the Sheriff's Administration building. I met with him there in an attempt to interview him for the purpose of obtaining information about drugs. After mirandizing SANTIAGO, he agreed to answer my questions. After a brief conversation about his arrest warrant he advised he wanted his lawyer present. At that time I concluded the interview.

I transported SANTIAGO to the ADC, where I served him with the warrant and provided him with a copy as well. SANTIAGO was issued a PR bond and transferred to the ADC staff so he could be fingerprinted and released.

SANTIAGO was then cleared out of VCIN/NCIC by communications.

--Cleared by arrest

Loudoun Circuit Court (details)

Subscribe

Case #: **CR00031884-00**
Defendant: **SANTIAGO, CASEY KEIKO**

Defendant Information

Address: **STERLING, VA 20165**
Gender: **MALE**
Race: **WHITE**
DOB [redacted]
Attorney: **EARLEY, MARK L**

Case/Charge Information

Defendant Status: **BAIL**
Filed Date: **02/22/2018**
Locality: **COMMONWEALTH OF VA**
Code Section: **18.2-250**
Charge: **POSS.OF CONTROLLED SUBSTANCE**
Offense Tracking Number: **107GM1700005130**
Case Type: **FELONY**
Class: **CLASS 5**
Commenced By: **WAIVER**
Offense Date: **11/07/2016**
Arrest Date: **04/06/2017**
Amended Code Section:
Amended Charge:
Amended Case Type:
Amended Class:

Appeal Information

Appeal Date:

Hearing Information

Date	Time	Result	Type	Courtroom	Plea	Duration	Jury
07/23/2018	**01:00 PM**	**SENTENCED**	**SENTENCING**	**2C**			
04/27/2018	**01:00 PM**	**PRESENTENCE ORDERED**	**PLEA**	**2D**			
03/12/2018	**09:00 AM**	**SET FOR TRIAL**	**TO BE SET**	**2C**			

Disposition Information

Disposition: **GUILTY**
Disposition Date: **07/23/2018**
Concluded By: **GUILTY PLEA**
Jail/Penitentiary: **PENITENTIARY**
Concurrent/Consecutive: **SENTENCE IS RUN CONSECUTIVELY WITH ANOTHER**
Life/Death:
Sentence Time: **3 Year(s)**
Sentence Suspended: **3 Year(s)**
Probation Type: **SUPERVISED**
Probation Time: **99 Year(s)**
Probation Starts: **PROBATION TO BEGIN UPON SENTENCING**
Operator License Suspension Time: **6 Month(s)**
Restriction Effective Date:
Operator License Restrictions:
Program Type:
Military:
Traffic Fatality: **NO**

gr 1/14/25

Court/DMV Surrender: **LICENSE WAS SURRENDERED AND WILL BE HELD BY THE COURT**
Driver Improvement Clinic:
VASAP:
Restitution Paid: **YES**
Restitution Amount: **$250.00**
Fine: **$0.00 ***
Costs: **$608.00 ***
Fine/Costs Paid: **YES**
Fine/Costs Paid Date: **08/20/2020**
* This system cannot process online payments at this time. Please refer to ' How to Pay Traffic Tickets and Other Offenses ' for more information.

Service/Process

Pleadings/Orders

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Fairfax County General District Court (details)

Subscribe

Case #: **GC19194287-00**
Defendant: **SANTIAGO, CASEY KEIKO**

Defendant Information

Address: **ASHBURN, VA 20147**
Gender: **MALE**
Race: **WHITE**
DOB: [redacted]
Attorney: **PUBLIC DEFENDER**

Case/Charge Information

Defendant Status: **BAIL**
Filed Date: **09/25/2019**
Locality: **COMMONWEALTH OF VA**
Code Section: **18.2-95**
Charge: **GRND LARCENY: >=$500 NOT PERSN**
Offense Tracking/Process #: **059GM1900059267**
Case Type: **FELONY**
Class: **UNCLASSIFIED**
Summons #:
Offense Date: **09/24/2019**
Arrest Date: **09/24/2019**
Complainant: **CHOI, J**
Amended Code Section: **18.2-96**
Amended Charge: **PETIT LARCENY**
Amended Case Type: **MISDEMEANOR**
Amended Class: **CLASS 1**

Appeal Information

Appeal Date:

Hearing Information

Date	Time	Result	Type	Courtroom	Plea	Continuance
03/09/2020	**09:30 AM**	**FINAL**	**DISPOSITION**	**2J**		
11/06/2019	**02:00 PM**	**CONTINUED**	**PRELIMINARY**	**2G**	**NOT GUILTY**	**DEFERRED DISPOSITION**
09/25/2019	**08:30 AM**	**CONTINUED**	**ARRAIGNMENT**	**2J**		

Disposition Information

Disposition: **DISMISSED**
Sentence Time:
Sentence Suspended:
Probation Type:
Probation Time:
Probation Starts:
Operator License Suspension Time:
Restriction Effective Date:
Operator License Restrictions:
VASAP:
Fine: *
Costs: **$212.00 ***
Fine/Costs Due: **03/09/2020 ***
Fine/Costs Paid: **YES**
Fine/Costs Paid Date: **02/28/2020**

SL 11/4/25

* This system cannot process online payments at this time. Please refer to ' How to Pay Traffic Tickets and Other Offenses ' for more information.

Service/Process

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Loudoun General District Court (details)

Subscribe

Case #: **GC17001587-00**
Defendant: **SANTIAGO, CASEY KEIKO**
Case certified to the grand jury - see circuit court case information.

Defendant Information

Address: **STERLING, VA 20165**
Gender: **MALE**
Race: **WHITE**
DO[redacted]
Attorney: **EARLEY, MARK L**

Case/Charge Information

Defendant Status: **BAIL**
Filed Date: **04/07/2017**
Locality: **COMMONWEALTH OF VA**
Code Section: **18.2-248**
Charge: **MANUF/ETC CONTROLLED SUBSTANCE**
Offense Tracking/Process #: **107GM1700005130**
Case Type: **FELONY**
Class: **OTHER**
Summons #:
Offense Date: **11/07/2016**
Arrest Date: **04/06/2017**
Complainant: **FORSCH, C. A.**
Amended Code Section: **18.2-250**
Amended Charge: **POSS.OF CONTROLLED SUBSTANCE**
Amended Case Type: **FELONY**
Amended Class: **CLASS 5**

Appeal Information

Appeal Date:

Hearing Information

Date	Time	Result	Type	Courtroom	Plea	Continuance
02/21/2018	**01:00 PM**	**WAIVED**	**PRELIMINARY**	**001C**		
11/09/2017	**01:00 PM**	**CONTINUED**	**PRELIMINARY**	**001C**		**BY AGREEMENT**
08/15/2017	**01:00 PM**	**CONTINUED**	**PRELIMINARY**	**001C**		
06/07/2017	**01:00 PM**	**CONTINUED**	**PRELIMINARY**	**001C**		
04/10/2017	**11:15 AM**	**CONTINUED**	**ARRAIGNMENT**	**001D**		

Disposition Information

Disposition: **CERTIFIED TO GRAND JURY** - For final case disposition, see circuit court case information
Sentence Time:
Sentence Suspended:
Probation Type:
Probation Time:
Probation Starts:
Operator License Suspension Time:
Restriction Effective Date:
Operator License Restrictions:
VASAP:
Fine: *
Costs: *

11/4/15

Fine/Costs Due: *
Fine/Costs Paid:
Fine/Costs Paid Date:
* This system cannot process online payments at this time. Please refer to ' How to Pay Traffic Tickets and Other Offenses ' for more information.

Service/Process

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NOTICE: This application does NOT support payments for fines or costs. Refer to User Guide for more information.

Search Criteria: *Name "santiago, casey"; All Court Levels; Adult Criminal/Traffic Division; All Courts*

Select/Unselect All **Search Results**

Fairfax County General District Court
Case #: **GT23051465-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **04/04/2023**
Hearing: **07/20/2023**
Charge: **ATT & DISPLAY LICENSE PLATES**
Code Section: **82-3-3**
Fairfax County General District Court
Case #: **GT23051466-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **04/04/2023**
Hearing: **07/20/2023**
Charge: **FAIL TO HAVE VEHICLE INSPECTED**
Code Section: **A.46.2-1158**
Fairfax County General District Court
Case #: **GT23051467-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **04/04/2023**
Hearing: **07/20/2023**
Charge: **NO DRIVERS LICENSE**
Code Section: **46.2-300**
Fairfax County General District Court
Case #: **GT22111206-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **11/12/2022**
Hearing: **01/09/2023**
Charge: **109/55 RECKLESS**
Code Section: **A.46.2-862**
Fairfax County General District Court
Case #: **GT22111207-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **11/12/2022**
Hearing: **01/09/2023**
Charge: **AGGRESSIVE DRIVING**
Code Section: **46.2-868.1**
Fairfax County General District Court
Case #: **GT22111208-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **11/12/2022**
Hearing: **01/09/2023**
Charge: **IMP.BACKING,STOPPING,TURNING**
Code Section: **46.2-848**
Fairfax County General District Court
Case #: **GT22111209-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **11/12/2022**
Hearing: **01/09/2023**
Charge: **IMPROPER/ERRATIC LANE CHANGE**
Code Section: **46.2-804(2)**
Fairfax County General District Court
Case #: **GT22111210-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **11/12/2022**
Hearing: **01/09/2023**

Charge: **OPERATE/PERMT OP UNLIC MTR VEH**
Code Section: **46.2-613(1)**
Fairfax County General District Court
Case #: **GT22111211-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **11/12/2022**
Hearing: **01/09/2023**
Charge: **FAIL TO HAVE VEHICLE INSPECTED**
Code Section: **A.46.2-1158**
Fairfax County General District Court
Case #: **GT22111212-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **11/12/2022**
Hearing: **01/09/2023**
Charge: **OPER W/OBSTRUCTED WINDSHIELD**
Code Section: **46.2-1054**
Fairfax County General District Court
Case #: **GT22111213-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **11/12/2022**
Hearing: **01/09/2023**
Charge: **OPER MV W/ SUN-SHADE-TINT FILM**
Code Section: **46.2-1052**
Fairfax County General District Court
Case #: **GT22111214-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **11/12/2022**
Hearing: **01/09/2023**
Charge: **FAIL TO UPDATE LICENSE**
Code Section: **46.2-324**
Fairfax County General District Court
Case #: **GT22111215-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **11/12/2022**
Hearing: **01/09/2023**
Charge: **FAIL TO UPDATE REGIS ADDRESS**
Code Section: **46.2-606**
Fairfax County General District Court
Case #: **GT22111216-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **11/12/2022**
Hearing: **01/09/2023**
Charge: **FAIL TO DISPLAY LICENSE PLATES**
Code Section: **46.2-715**
Fairfax County General District Court
Case #: **GT22111217-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **11/12/2022**
Hearing: **01/09/2023**
Charge: **NO REG. CARD IN POSSESSION**
Code Section: **B.46.2-104**
Fairfax County General District Court
Case #: **GC19194287-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **09/24/2019**
Hearing: **03/09/2020**
Amended Charge: **PETIT LARCENY**
Amended Code Section: **18.2-96**
Loudoun Circuit Court
Case #: **CR00031884-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **11/07/2016**
Hearing: **07/23/2018**

Charge: **POSS.OF CONTROLLED SUBSTANCE**
Code Section: **18.2-250**
Loudoun General District Court
Case #: **GC17001587-00**

○ Defendant: **SANTIAGO, CASEY KEIKO**
Offense Date: **11/07/2016**
Hearing: **02/21/2018**
Amended Charge: **POSS.OF CONTROLLED SUBSTANCE**
Amended Code Section: **18.2-250**
Fairfax County General District Court
Case #: **GT24091396-00**

○ Defendant: **SANTIAGO, GABRIELLA CASEY**
Offense Date: **06/01/2024**
Hearing: **07/03/2024**
Charge: **FAIL PAY FULL TIME & ATTENTION**
Code Section: **82-4-24**

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SC 8/14/25

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

October 13, 2025

Mr. Casey K Santiago
335 Chelmsford Court
Kissimmee, Florida 34758

RE: Application No. 52858, Entity 14576903
1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo

Dear Mr. Santiago:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- License application fee not received:
  Individual: 3 year - $100

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 4 of the application. If you have ever been convicted of or had adjudication withheld for any crime or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records and arrest records. ***Please initial and date any changes made to your application.***

  You must list the information on your application for the following charges:
  - Loudoun County, VA– 11/07/2016
  - Loudoun County, VA– 01/14/2020
  - Loudoun County, VA– 07/24/2018

You must provide the court disposition records and arrest records for the following charges:
- Loudoun County, VA – 11/07/2016
- Loudoun County, VA– 01/14/2020

Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.794.8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 02/10/2026, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions, please call 850.794.8130.

Thank you,

LBJ
Operations Analyst II

October 21, 2025

Mr. Casey K Santiago
335 Chelmsford Court
Kissimmee, Florida 34758

RE: Application No. 52858, Entity 14576903
1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo

Dear Mr. Santiago:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 4 of the application. If you have ever been convicted of or had adjudication withheld for any crime or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records and arrest records. ***Please initial and date any changes made to your application.***

  You must list the information on your application for the following charges:
  - Loudoun County, VA– 01/14/2020

  You must provide the court disposition records and arrest records for the following charges:
  - Loudoun County, VA– 01/14/2020

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.794.8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 02/10/2026, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal

applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions, please call 850.794.8130.

Thank you,

LBJ
Operations Analyst II

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

October 24, 2025

Mr. Casey K Santiago
335 Chelmsford Court
Kissimmee, Florida 34758

RE: Application No. 52858, Entity 14576903
1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo

Dear Mr. Santiago:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: If you have ever been convicted of or had adjudication withheld for any crime or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide arrest report.

  You must provide the arrest report for the following charges:
  - Loudoun County, VA– 07/24/2018

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.794.8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 02/10/2026, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions, please call 850.794.8130.

Thank you,
LBJ
Operations Analyst II

HC

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Through:	Justin Hundersmarck, Senior Attorney
Re:	Gregory Travis Shotwell, Case No. 2025-082208 License Denial
Date:	December 23, 2025

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") seeks to deny the application of Gregory Travis Shotwell (the "Applicant") for a slot machine/cardroom/pari-mutuel individual combination occupational license (the "Application"). The Applicant submitted a completed application for a slot machine/cardroom/pari-mutuel individual combination occupational license on November 12, 2025. Upon review of the Application, it appears the Applicant has been convicted of one disqualifying felony offense. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Letter of License Denial.

Relevant Facts

On October 9, 2025, the Applicant submitted an application to the Division for a slot machine/cardroom/pari-mutuel individual combination occupational license. The Applicant answered "no" to the question asking if he has been convicted of any criminal charges, or has pled guilty or nolo contendere to any criminal charges. Deficiency letters were sent October 13, October 22, and October 29, 2025, requesting an updated application as well as the arrest report and court disposition records for a 2005 arrest out of Miami Dade County, Florida. In the amended application deemed complete on November 12, 2025, the Applicant listed one conviction. Upon review of the completed application, it appears that the applicant was convicted of one disqualifying felony offense:

- Grand Theft out of Miami Dade County, Florida, from December 29, 2005.

This felony conviction is a disqualifying offense pursuant to sections 550.105(5)(b), 551.107(6)(a), and 849.086(6)(g), Florida Statutes.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides, in pertinent part that:

> . . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 551.107(6)(a), Florida Statutes, provides in pertinent part that:

> ". . .the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense."

Section 849.086(6)(g), Florida Statutes, provides that:

> [t]he commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority.

Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial,

> or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Staff Recommendation: The Florida Gaming Control Commission may deny the Application for a slot machine/cardroom/pari-mutuel individual combination occupational license upon a finding of a disqualifying offense pursuant to sections 550.105, 551.107, and 849.086(6)(g). Accordingly, the Division of Pari-Mutuel Wagering recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial to Gregory Travis Shotwell in this matter.

SHOTWELL, GREGORY TRAVIS

Case # 2025-082208

License Type: Slot/Cardroom/Pari-Mutuel Indiv Combo (1055)
Occupation: Security

90 Day Deadline: **02/10/2026**

Division of Pari-Mutuel Wagering

Licensing Administrator Review of Occupational License

SHOTWELL, GREGORY TRAVIS License# 13361171	2025-082208
(Applicant's Name– License #)	Case No:

INITIAL APPLICATION RECEIVED:	10/9/2025
Application Deemed Complete:	11/12/2025
If no Request for Waiver received, 90-Day Deadline:	**2/10/2026**

Waiver Requested: ☒No ☐Yes (Pursuant to s.120.60, F.S., the processing timeline requirement has been waived.)
- Waiver Requested _____

BF	144-FederalHWY Acquisition	1055-Security
Application Processor	Facility (d/b/a name)	Occupation Code / Job Title

Analyst Review

Did the applicant accurately disclose the criminal history record initially? ☐Yes ☒No
Licensing History: **Yes** Type: **1022** Initial license issued **04/12/2023** Maintained Continuous licensure? ☒Yes ☐No

Background Information

Was a deficiency letter issued to correct an omission, error, or to request information related to the offense(s)? ☒Yes ☐No
Where all items identified in the deficiency letter addressed? ☒Yes ☐No If no, explain:

☒ Felony(s): Count(s) 1 ☐ Misdemeanor(s) – Industry Related / Gambling Related / Bookmaking

☐ Forgery, Larceny Extortion, Conspiracy To Defraud (Cardroom Professions Only)

☐ Animal Cruelty (Pari-Mutuel Professons)

Arrest Date	Location	Charge	Level	Court Case #	Disposition / Sentence	AR	Disp
5/3/2005	**Miami-Dade County, FL**	**Charge 1-** Third Degree Grand Theft	F	132005CF014271B000XX	Adjudication Withheld	Y	Y

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☐ No ☐ ☐ Approval
Disposition Attached for Disqualifier(s)? Yes ☐ No ☐

Deficiency Letter Issued **10/13/2025, 10/22/2025 and 10/29/2025**; Application Deemed Complete: **11/12/2025**
Applicant Eligible for Waiver? Yes ☐ No ☒ If Yes: ☐ Waiver Requested ☐ Failed to Request Waiver

Forward to ☐ **Investigations** ☒ **Legal** ☐ **Commission** Initials: CH Date 11/13/2025

Comments:

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
FGCC PMW-3410 – Slot Machine Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION

Social Security Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]	[redacted]	☑ Male ☐ Female

Last Name	First	Middle	Suffix
Shotwell	Gregory	Travis	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☑ No

If yes, list the name or names used: _____

Race/Ethnicity (check only one):
☑ Black or African American
☐ White or Caucasian
☐ Asian or Pacific Islander
☐ Hispanic/Latino
☐ Native American or Alaskan Native
☐ Other

Are you a United States citizen? ☑ Yes ☐ No
If no, provide the name of the country of which you are a citizen: _____

Current Mailing Address	Email Address (optional)
17201 NE 23rd Ave #4	mr.msshotwell2318@gmail.com

City	State	Zip Code (+4 optional)	Country
North Miami Beach	FL	33160	USA

Primary Phone Number	Secondary/Cell Phone Number (optional)
305-927-2550	

Current Street Address
17201 NE 23rd Ave #4

City	State	Zip Code (+4 optional)	Country
North Miami Beach	FL	33160	USA

Type of Slot Machine Occupational License applying for:
☐ General Individual ☐ Professional Individual
☑ Slot Machine/Cardroom/PMW Combination
Job title(s)*: Security Officer

*Applicants for a Combo license should disclose all job titles

Is this your first time applying for a racing/gaming license in Florida?
☐ Yes ☒ No

Facility where employed and/or doing business:
The Big Easy Casino

Employer name:
The Big Easy Casino

FOR DIVISION USE ONLY

License Code 1055 License # 1334 1171 File # 14205 App # 52851

Association Code 144 Date Received 10/9/25 Entered By S Howard License Year 25/28

License Fee $100.00 FP Date 10/9/25 FP Fee $[illegible] Total Fee $100.00

☐ Waiver Requested (Combo Only) ☑ ARCI OCT 9 2025 ☑ Enforcement OCT 9 2025

☒ Yes
☐ No

Have you previously worked for a gaming-related employer?
If yes, you must list below all gaming-related employment history in the past ten years.

Name of Employer	Address	Start Date (Month/ Year) - End Date (Month/ Year)	Title/Position Held & Supervisor Name	Reason for Leaving
Hyatt Sturgeon Point Resort Casino	Gen Student Hire in all Departments	10 2022 2-2023	Leigh	Graduated Returned to my Resort Type Job

☐ Yes
☐ No

Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.

State(s) or Jurisdiction(s) Where Licensed	Date of Expiration	Is this license currently suspended or subject to fines or other discipline? Explain why.
FL Dealer	6/30/2025	☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No

☐ Yes
☒ No

Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

If you answered yes to the question above, provide details here:

☐ Yes
☒ No

Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

☐ Yes
☒ No

Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence

☐ Yes
☒ No

Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?

☐ Yes
☒ No

Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

Initials BE

Applicant Shotwell, Eragon

Entity # B36117

File # 14105 App# 2865

20

No	Have you ever been guilty, withheld for a felony or misdemeanor involving conspiracy to defraud or filing false gambling
Yes	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below

Date	Is emeanor or Felony?	Sentence
2024		

Yes No	Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee
Yes No	Do you currently own or have a financial interest in a Florida licensed or business owned by Florida licensed slot machine facility?

Initials BE

Applicant Shotwell, Gregory

Entity # B36171

File # 14165 App# 52865

Yes / No convicted of, or had adjudication of guilt withheld for a felony or misdemeanor involving forgery larceny, extortion or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority in this state or any other state or under the laws of the United States?

Yes / No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Misdemeanor or Felony?	Sentence
			T	202

Yes / No Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?

Yes Do you currently own or have a financial interest a licensed slot machine facility or in y business ated with a Florida slot machine facility?

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging the FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

AUTHORIZATION FOR RELEASE OF INFORMATION:

I do hereby instruct all law enforcement, criminal justice agencies, gaming commissions, tribal gaming regulatory agencies or commissions, state agencies, or commissions responsible for gaming regulation to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Florida Gaming Control Commission or the Florida Department of Law Enforcement.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

Gregory Travis Mitchell
Print Legal Name (First Middle Last)

[redacted]
Birth Date (MM/DD/YYYY)

[redacted]
Social Security Number

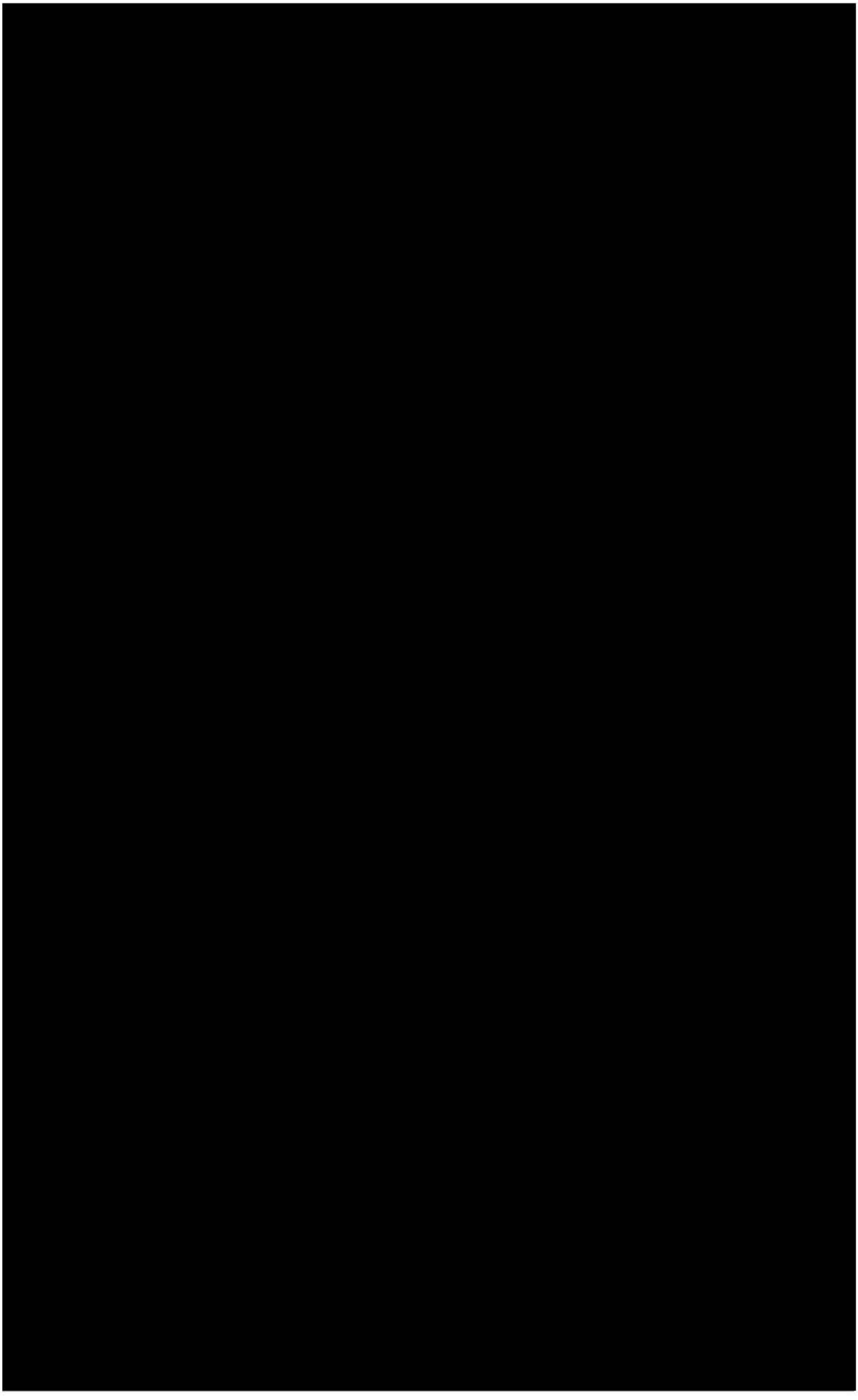
Name of Employer

Signature of Applicant

Date

FLORIDA'S CLERKS OF COURT
AND COMPTROLLERS
Bring You
ccis
COMPREHENSIVE CASE
INFORMATION SYSTEM

[redacted]



Comprehensive Ruling Report

Rulings Against: GREGORY TRAVIS SHOTWELL

Legal Name: GREGORY TRAVIS SHOTWELL
Birth Date:

0 Total Ruling(s) Listed

"Multiple medication violation points and point totals are for advisory and informational purposes only to indicate the existence of regulatory medication violation determinations made by racing regulatory entities in order to notify officials of possible aggravating factors that should be reviewed by officials prior to taking regulatory action. Confirmation of violations should be made directly with the racing regulatory entity responsible."

No Rulings

Licenses

Licensee Search

Licensee:GREGORY TRAVIS SHOTWELL

No Picture Available

Reports on GREGORY TRAVIS SHOTWELL

Comprehensive Ruling Report
Drug Ruling Report (Last 5 Years)
Comprehensive Licensee Report

Identification Information

Add a New Identification Record

Date of Birth	Federal ID/SSN	Country	Sex
[redacted]	[redacted]	USA	Unknown

Name Information

Add a New Name Record

Name Type	Prefix	First Name	Middle Name	Last Name	Suffix
Current Legal Name		GREGORY	TRAVIS	SHOTWELL	

Address Information

Address Type	Street Address	City	State	Zip Code

Phone Information

Phone Number Type	Phone Number

License Information

Add a New License Record

License Number	License Type	Issued Date	Expiration Date	Licensing Commission
13361171	Security	4/12/2023	6/30/2025	Florida Division of Pari-Mutuel Wagering
	Security	10/6/2022	1/4/2023	Florida Division of Pari-Mutuel Wagering

Fingerprint Information

Add a New Fingerprint Record

Processing Commission	Date Taken	Status	Notes	RCI Card Submitted Date

Ruling Number	Ruling Type	Ruling Date	Fine	Fine Paid?	Suspension Start	Suspension End

Applicable Reports

Comprehensive Ruling Report
Comprehensive Licensee Report

Lic Type	**1022 - Pari-Mutuel General Individual Occupational**			Status	**90 Closed**	Status Date	**04/10/2023**
Complaint #	**2023003502**	Case Type	**CMP - Complaint**	Disposition	**120 Waiver Granted**	Disposition Date	**04/10/2023**
Docket#		Respondent	**SHOTWELL, GREGORY TRAVIS**	Responsible	**ddonaldson - DONALDSON, DAVID**		**Public Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**
Form	**WALK - Walk-in**	Priority	
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**10/19/2022**
Region	**SR - Southern Region**	Received	**01/17/2023**
Reference			
Entered	**01/19/2023**	Entered By	**lmuniz**
Summary	**4/10/2023 - Waiver GRANTED letter filed. 4/10/2023 - Waiver signed by Exective Director received and sent to Agency Clerk for filing. 4/4/2023 - Waiver Letter taken to Rebecca Padgham in Director's Office for signature. 3/22/2023 - Received in Legal, Case assigned to E.ALVARADO. Waiver to be drafted by Law Clerk (C.Campbell). 3/21/2023 - RFW signed by Executive Director and sent to Legal for drafting of Waiver. - 3/9/2023 - Request for Waiver taken to Executive Director for review. 90 Days ends April 17, 2023. - 321-Gulfstream Park Racing Assoc., Inc.**		
Updated	**04/12/2023 15:42:12**	By	**ddonaldson**

Parties | Activities | Allegations | Discipline | Violations | Compliance | Related | Disposition | Inspection | Costs | Time Tracking | Auto Assign | Attachments | History | Work Notes | Print Report

Change | Save | OK | Cancel | Back

Get Adobe Reader.

Operation Green Light is happening now!

The program is being offered from **10/06/2025** through **10/19/2025**. During the **13** day event, the Office of the Clerk and Comptroller is waiving collection fees on traffic, parking, and court costs.

Case Information

Court Case No:
F05014271B

DOB:
[redacted]

Warrant Type:

Stay Due Date:
12/31/2020

File Section:
F001

Arresting Agency:
MIAMI DADE SHERIFF

State Case No:
132005CF014271B000XX

Date Filed:
05/04/2005

Assessment Amount:
$458.00

Judge:
BLOCH, JASON

File Location:
RECORD CENTER

Arrest Date:
05/03/2005

Defendant Name:
SHOTWELL, GREGORY TRAVIS

Date Closed:
12/29/2005

Balance Due:
$0.00

Defense Attorney:
TOUNG, GREGG

Box Number:
229

Hearing

No Hearings Found

Charges

Seq No.	Charge	Charge Type	Disposition
1	G TFT 3D/BEF10/1/19	FELONY	WH ADJ-PROB SP COND
2	PROBATION VIOLATION	MISC HOLD	PROBATION MODIFIED

Dockets

Din	Date	Book/Page	Docket
62	10/02/2020		COURT FEE PAYMENT 458.00 RECEIPT # 2700017
61	10/02/2020		COLLECTIONS AGENCY STATUS DELETED FROM ALLIANCE ONE INC.
60	09/30/2020		ORDER REMOVING CASE FROM COLLECTIONS
59	06/07/2011		COLLECTIONS AGENCY STATUS NEW TO ALLIANCE ONE INC.
58	06/06/2011		COLLECTIONS AGENCY STATUS DELETED FROM MUNICIPAL SERVICE BUREAU Contract Expired 2/15/2011
57	09/07/2007		COLLECTIONS AGENCY STATUS NEW TO MUNICIPAL SERVICE BUREAU
56	04/13/2007		TRIAL PROS ASSIGNED: SIMON, BENJAMIN D
55	08/25/2006		STAY GRANTED - DUE 08/28/2006
54	08/25/2006		MOTION TO TERMINATE PROBATION/COMMUNITY CONTROL FILED 08/25/2006 ORAL SET FOR 08/25/2006 AT 14:05 GRANTED
53	08/24/2006		NOTICE OF FAILURE TO PAY SENT
51	05/19/2006		TRANSCRIPT OF PROCEEDING (ORIGINAL) 03212006
50	03/22/2006		ORDER OF MODIFICATION OF PROBATION
49	03/24/2006		TRIAL PROS ASSIGNED: PRIOVOLOS, ALICIA
48	03/23/2006		DEFENSE ATTY PDEF/HORANI, JESSICA A
47	03/21/2006		STAY GRANTED - DUE 08/21/2006
46	03/21/2006		REPORT RE: STATUS SET FOR 08/25/2006 AT 08:30
45	03/20/2006		REPORT RE: PLEA SET FOR 03/21/2006 AT 08:30
44	03/20/2006		VIOLATION OF PROBATION AFFIDAVIT FILED
43	03/17/2006		REPORT RE: ARR ON AFFDVT SET FOR 03/20/2006 AT 08:30
42	01/20/2006	024193/03994	FINDING OF GUILT/ORDER W/H ADJUDICATION & SPECIAL CONDITIONS
41	01/24/2006		ORDER OF SUPERVISION ONE YEAR
40	12/29/2005		TRIAL PROS ASSIGNED: SIMON, BENJAMIN D
39	12/29/2005		SENTENCING GUIDELINES SCORE SHEET
38	12/29/2005		STAY GRANTED - DUE 11/29/2006
37	12/29/2005		MEMORANDUM OF COSTS AMOUNT 458.00
35	12/29/2005		CLOSING JUDGE ADRIEN, PETER
33	12/28/2005		MOTION TO QUASH WARRANT FILED 12/29/2005 ORAL SET FOR 12/29/2005 AT 12:23 GRANTED
31	12/09/2005		MEMORANDUM RE: ADVOCATE PROGRAM UNSUCCESSFUL

Din	Date	Book/Page	Docket
30	12/12/2005		REPORT RE: TRIAL DATE SET FOR 12/27/2005 AT 09:00
29	06/03/2005		00001 F/ 812.014(2)(C) 6 TFT 3D/BEF10/1/19 NG I DISP/DEF PROSECUTION PGM. - MODIFIED/REMOVED
28	06/14/2005		MEMO RE: ACC/REJ DEFERRED PROSECUTION PROGRAM
27	06/14/2005		DEFERRED PROSECUTION AGREEMENT & SPEEDY TRIAL WAIVER
26	06/03/2005		MEMO RE: ACC/REJ DEFERRED PROSECUTION PROGRAM ACCEPTANCE
25	06/03/2005		DEFERRED PROSECUTION AGREEMENT & SPEEDY TRIAL WAIVER
24	06/03/2005		PTR AMT/ 0 DISCHARGED 06/03/2005 POWER/
23	05/31/2005		MEMO FROM STATE ATTORNEY / PUBLIC DEFENDER
22	05/26/2005		DEFENSE ATTY PDEF/PUBLIC DEFENDER APPOINTMENT, AS
21	05/24/2005		INFORMATION FILED
19	05/24/2005		INTAKE UNIT ASSIGNED: FELONY DIVISION 01
17	05/12/2005		INTAKE UNIT ASSIGNED: FSU - CASE SCREENING
16	05/12/2005		INTAKE PROS. ASSIGNED: ADAMS-PL WAYNE-MD
15	05/10/2005		ACKNOWLEDGEMENT OF APPOINTMENT OF PUBLIC DEFENDER
14	05/06/2005		WRITTEN PLEA OF NOT GUILTY
13	05/06/2005		NOTICE OF DEFENDANT'S RIGHT TO COUNSEL
11	05/04/2005		PTR AMT/ 0 ISSUED 05/04/2005 POWER/
10	05/04/2005		FIRST APPEARANCE/BOND HEARING - A.M.
9	05/04/2005		ATTORNEY TYPE APPOINTED AT BOND HEARING PUBLIC DEFENDER
7	05/04/2005		JUDGE EMAS, KEVIN ASSIGNED-SECTION F011
5	05/03/2005		ARRAIGNMENT HEARING SCHEDULED FOR 05/24/2005 AT 09:00
4	05/24/2005		TRIAL UNIT ASSIGNED: FELONY DIVISION 01
3	05/24/2005		TRIAL PROS. ASSIGNED: ASA, DIVISION
2	05/24/2005		REPORT RE: DEF PROS PGM-ACC/REJ SET FOR 06/03/2005 AT 09:00

IN THE CIRCUIT AND COUNTY COURTS OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA

IN AND FOR MIAMI-DADE COUNTY

I, JUAN FERNANDEZ-BARQUIN CLERK CIRCUIT AND COUNTY COURT OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA, IN AND FOR MIAMI-DADE COUNTY, DO HEREBY CERTIFY THAT A DILIGENT EXAMINATION OF THE FELONY, MISDEMEANOR AND NON CRIMINAL VIOLATION FILES AND RECORDS IN MY OFFICE REGARDING:

NAME: SHOTWELL, GREGORY TRAVIS
DATE OF BIRTH: [redacted]
RACE: BLACK SEX: MALE
YEARS RESEARCHED: 2005 - 2005

INDICATES THE FOLLOWING:

CASE NUMBER	CITATION/ ARREST / FILE DATE/ ARST DEPT	CHARGES	DISPOSITION	DISPO DATE
SHOTWELL, GREGORY TRAVIS				
F05014271B	05/03/2005	G TFT 3D/BEF10/1/19 PROBATION VIOLATION	WH ADJ-PROB SP CON	12/29/2005
	MIAMI DADE SHERIFF		PROBATION MODIFIED	03/21/2006
SHOTWELL, GREGORY TRAVIS				
F05014272	05/03/2005	G TFT 3D/BEF10/1/19	TRANSF TO OTHER CA	05/24/2005
	MIAMI DADE SHERIFF			

PURSUANT TO FLORIDA RULES OF COURT, RULE 2.430, COURT RECORDS THAT ARE NOT PERMANENTLY RECORDED MAY BE UNAVAILABLE, OR DISPOSED OF BY THE CLERK, IN ACCORDANCE WITH THE APPLICABLE RETENTION SCHEDULE REQUIRED BY SAID RULE. (10 YEARS FOR FELONY, MISDEMEANOR AND CRIMINAL TRAFFIC VIOLATIONS IN WHICH THE DEFENDANT WAS ADJUDICATED NOT GUILTY).
PLEASE SEE FLORIDA RULES OF COURT, RULE 2.430, FOR A COMPLETE LISTING OF RECORDS RETENTION REQUIREMENTS.

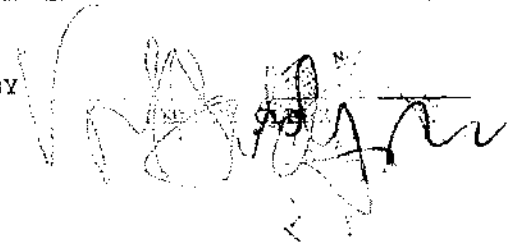
PAGE: 001

OCT 21 2025

WITNESS MY HAND AND THE SEAL OF THE COURT AT MIAMI, MIAMI-DADE COUNTY, FLORIDA, THIS 21 DAY OF OCTOBER, 2025.

JUAN FERNANDEZ-BARQUIN CLERK
CIRCUIT AND COUNTY COURTS
IN AND FOR MIAMI-DADE COUNTY, FLORIDA

PAGE: 002

BY 

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 4/10/2023
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

RE: GREGORY TRAVIS SHOTWELL
D.O.B.: [redacted]
CASE #: 2023-003502

WAIVER

This matter having come before the Division of Pari-Mutuel Wagering, and having received due consideration, it is hereby,

ORDERED that the request for waiver of licensing disqualification by the above-named individual due to the December 29, 2005, felony conviction of Third Degree Grand Theft out of Miami-Dade County, Florida, be GRANTED under the applicable provisions of rule 75-5.006, Florida Administrative Code, and section 550.105, Florida Statutes.

This waiver applies only to suspensions or convictions known to and considered by the Division as of the date of this Order, and not to other offenses or convictions, before or after this Order, which may hereafter become known to the Division.

This waiver is continuing in nature from year to year unless revoked, which right of revocation the State of Florida expressly reserves.

Dated this 5 day of April, 2023.

LOUIS TROMBETTA
EXECUTIVE DIRECTOR
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, Florida 32399-1035

cc: Gregory Travis Shotwell
17201 Northeast 23rd Ave, Apt. 4
North Miami Beach, Florida 33160

OCT 21 2025

Case Information

Court Case No:
F05014272

DOB:
[redacted]

Warrant Type:

Stay Due Date:
00/00/0000

File Section:
F001

Arresting Agency:
MIAMI DADE SHERIFF

State Case No:
132005CF0142720001XX

Date Filed:
05/04/2005

Assessment Amount:
$0.00

Judge:
BLOCH JASON

File Location:
DESTROYED

Arrest Date:
05/03/2005

Defendant Name:
SHOTWELL, GREGORY TRAVIS

Date Closed:
05/24/2005

Balance Due:
$0.00

Defense Attorney:

Box Number:

Hearing

No Hearings Found

Charges

Seq No.	Charge	Charge Type	Disposition
1	G TFT 3D/BEF10/1/19	FELONY	TRANSF TO OTHER CASE

Dockets

Din	Date	Book/Page	Docket

OCT 28 2025

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 4/10/2023
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

RE: GREGORY TRAVIS SHOTWELL
D.O.B.: [redacted]
CASE #: 2023-003502

WAIVER

This matter having come before the Division of Pari-Mutuel Wagering, and having received due consideration, it is hereby,

ORDERED that the request for waiver of licensing disqualification by the above-named individual due to the December 29, 2005, felony conviction of Third Degree Grand Theft out of Miami-Dade County, Florida, be GRANTED under the applicable provisions of rule 75-5.006, Florida Administrative Code, and section 550.105, Florida Statutes.

This waiver applies only to suspensions or convictions known to and considered by the Division as of the date of this Order, and not to other offenses or convictions, before or after this Order, which may hereafter become known to the Division.

This waiver is continuing in nature from year to year unless revoked, which right of revocation the State of Florida expressly reserves.

Dated this 5 day of April, 2023.

LOUIS TROMBETTA
EXECUTIVE DIRECTOR
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, Florida 32399-1035

cc: Gregory Travis Shotwell
17201 Northeast 23rd Ave, Apt. 4
North Miami Beach, Florida 33160

OCT 21 2025

COMPLAINT/ARREST AFFIDAVIT

NOV 12 2025

Case Number: [illegible] | Felony / Misdemeanor / Traffic / Juvenile / Warrant | 36077 | Police Case No. 22-562-1

IDS No. N. PD | Municipal P.D. Def. ID No. | MDPD Records and ID No. 883965 | Court Case No. F05-14272

DEFENDANT'S NAME: Last Shotwell | First Courtney | Middle Tamara | [redacted] | Sex F | Race B | Ethnic | Height 5'5 | Weight 135 | Hair BLK | Eyes BRO

LOCAL ADDRESS: 458 NW 70 ST | City Miami | State FL | Zip 33136 | Phone None | Alias

PERMANENT ADDRESS: Same | City | State | Zip | Phone | Address Source: ☐ Verified ☐ Stated ID: ☐ Driver's License ☐ Other

BUSINESS ADDRESS: None | City | State | Zip | Phone None | Occupation UNEMPLOYED | Place of Birth Miami, FL.

[redacted] | Social Security No. [redacted] | Race/Ethnic/Skin Features: Black

Weapon Present ☐ Yes ☐ No | Type | Arrest Date mo/day/yr 05/03/2005 | Arrest Time 21:30 | Arrest Location (Number and Street, City) 6951 NW 13 Terr | GRID

If Def. has Concealed Weapons Permit, PERMIT #: | For Robbery, Burglary, F/A Vict: Suspected History of drug involvement? ☐ Yes ☐ No | No. Cases Cleared 1 | Influence of Drugs ☐ Yes ☐ No ☐ Unk. | Influence of Alcohol ☐ Yes ☐ No ☐ Unk. | Citizenship US | Resid. Type ☐ City ☐ County ☐ Florida ☐ Out of State

CO-DEFENDANTS

1. Last [illegible] | First [illegible] | Middle Jay | DOB mo/day/yr 10/16/1983 | ☒ In Custody ☒ Felony ☐ Juvenile ☐ At Large ☐ Misdemeanor

2. | DOB mo/day/yr 7/1/215 | ☐ In Custody ☐ Felony ☐ Juvenile ☐ At Large ☐ Misdemeanor

CODE: DRUG ACTIVITY — N. N/A, P. Possess, S. Sell, B. Buy, T. Traffic, R. Smuggle, D. Deliver, E. Use, C. Dispense/Distribute, Z. Other, M. Manufacture/Produce/Cultivate | DRUG TYPE — N. N/A, A. Amphetamine, B. Barbiturate, C. Cocaine, E. Heroin, H. Hallucinogen, M. Marijuana, O. Opium/Deriv., P. Paraphernalia/Equipment, S. Synthetic, U. Unknown, Z. Other

SIGNAL: ☐ 100 ☐ 150 ☐ 200 ☐ 250 ☐ 300 ☐ 400

	CHARGES	Activity	Type	Counts	STATUTE	D.V.	DAC CAPIAS DW DPW DWDOTI	VIOLATION OF PROT.
1.	THEFT OBT RESTIT	N	N	1	812.014			
2.								
3.								
4.								

11/4/25 ONE OF THE CODE OF [illegible]

The undersigned certifies and swears that he has just and reasonable grounds to believe, and does believe that the above named Defendant

On the 03 day of May 2005 At ☐ A.M. ☐ P.M. (Time) 6951 NW 13 Terr (Location, include name of business)

committed the following violation of law; Narrative; (Be specific) Δ & CO-Δ WERE OBSERVED WON A LARGE US CAN. CURRENCY CARD WHICH MAINTAIN THE STORE & THE CO-Δ STOLEN ITEMS OUTSIDE OF IT. ONCE Δ & CO-Δ RETURNED THE ITEMS CARD REGISTERS. THE CO-Δ WAS FOR THE CURRENCY. ONLY & THE Δ WAS THE CAN WON THE STOLEN ITEMS PASSED THE REGISTERS & OUT THE FRONT DOORS. Δ & CO-Δ WERE DETAINED BY MRS. MOSES & MR. GUARDADO. PAGE 1 OF 1

Hold for Other Agency

Agency _____ Verified by _____

☐ HOLD FOR BOND HEARING. DO NOT BOND OUT (Officer Must Appear at Bond Hearing).

I understand that should I willfully fail to appear before the court as required by this notice to appear that I may be held in contempt of court and a warrant for my arrest shall be issued. Furthermore, I agree that notice concerning the time, date, and place of all court hearings should be sent to the above address. I agree that it is my responsibility to notify Clerk of the Court (Juveniles notify Family Division Juvenile Section) anytime that my address changes.

I swear that the above Statement is correct and true to the best of my knowledge and belief.

Officer's Name (Print) B. Moreno

Officer's Signature

Sworn to and subscribed before me, the undersigned authority, this 3rd day of May

Deputy Clerk of the Court or Notary Public

☐ You need not appear in court, but must comply with the instructions on the reverse side hereof.

Signature of Defendant / Juvenile and Parent or Guardian

MDPD 77 | Department Name | Court ID Number/Loc. Code

32.02.09-9 Rev. 2/99

MDPD RECORD COPY

RECORDS BUREAU

NOV 12 2025

Transport Officer (s) _____ ID#_____-_____ Dept# _____ Taken To _____

Transport Officer (s) _____ ID#_____-_____ Dept# _____ Taken To _____

Transport Officer (s) _____ ID#_____-_____ Dept# _____ Taken To _____

Does defendant have any signs/complaints of injury? ☐ Yes ☐ No Indicate any signs or complaints of injury regardless whether they happened prior to, during, or after arrest, or whether they have any connection to the arrest at all.

(If Yes, complete below data/notify supervisor.)

Explain: _____

Name and Rank of Supervisor Notified: _____

Defendants Vehicle

YEAR MAKE MODEL TAG STATE VIN COLOR

OWNER/DRIVER/DESIGNEE RELEASE FORM & DISCLAIMER OF LIABILITY

Owner/Driver/Designee (O/D/D) must read and sign disclaimer of liability if vehicle is left at scene, or removed, or released to O/D/D at scene.

Released to: _____ / _____ Left on Scene?

(Print Name - Signature) D/L # State ☐ YES ☐ NO

The undersigned certifies that he/she is the legal owner/driver/designee of the vehicle described above. In consideration of being permitted to leave the vehicle mentioned at the location mentioned, or removed or released, the undersigned hereby releases and discharges Miami-Dade County and all of its agents and employees for any damage to or damage caused by part of or theft from the vehicle described above.

Signature of Owner/Driver/Designee Signature of Officer Witnessing ID #

COMPLAINT/ARREST AFFIDAVIT CONTINUATION

OBTS Number: N 918 | ☒ Felony ☐ Misdemeanor ☐ Traffic ☐ Juvenile ☐ Warrant | No. 3007 | Police Case No. 225562 D

IDS No. | Agency Code 97 | Municipal P.D. Def. ID No. | (MDPD Booking/Bail) ID No. | Court Case No. F05-4272

DEFENDANT'S NAME Last First Middle: SHOTWELL, GREGORY TRAVIS [redacted]

ADDITIONAL CHARGES	Activity	Type	Counts	Statute	Law Enforcement Officer's Report (LEOR) / Field Contact #	VIOLATION OF SECT.
5.			1			
6.						
7.						OF THE CODE OF
8.						

STORE SECURITY CAUGHT THE ITEMS AND AT THAT
CO-D WERE TRANSFERED TO ITEMS.

NOTE ** TOTAL AMOUNT STOLEN WAS $26.65

PFC: SET FOR TRIAL MAY 12, 2025 9:00AM
WITH ASA DESTINARIE

PB 11/6/25

MIAMI-DADE P.D. RECORDS BUREAU DADE COUNTY, FLA.

PAGE ____ OF ____

Hold for Other Agency
Agency _____ Verified by _____

I Swear that the above Statement is correct and true to the best of my knowledge and belief.

E. Rivera
Officer's Name (Print)

Officer's Signature

MDPD 23
Department Name / Court ID Number/Loc. Code

☐ HOLD FOR BOND HEARING. DO NOT BOND OUT. (Officer Must Appear at Bond Hearing.)

Sworn to and subscribed before me the undersigned authority, this 7th day of May 2025

Deputy Clerk of Court or Notary Public

MDPD RECORD COPY

☐ I understand that should I willfully fail to appear before the court as required by this notice to appear that I may be held in contempt of court and a warrant for my arrest shall be issued. Furthermore, I agree that notice concerning the time, date, and place of all court hearings should be sent to the above address. I agree that it is my responsibility to notify Clerk of the Court (Juvenile: notify Family Division Juvenile Section) anytime that my address changes.

☐ You need not appear in court, but must comply with the instructions on the reverse side hereof.

SEP 12 2025

Signature of Defendant / Juvenile and Parent or Guardian

32.02.09-9A Rev. 3/99

MIAMI-DADE COUNTY
CORRECTIONS AND REHABILITATION DEPARTMENT

JAIL BOOKING RECORD

FDOS #16D603 CH08 3965

[redacted]

JAIL NUMBER	DEFENDANT NAME	RACE	SEX	DATE OF BIRTH
				[redacted]

ADDRESS	HEIGHT	WEIGHT	HAIR	EYES	SOCIAL SECURITY NUMBER

RIGHT THUMB PRINT

CITY AND STATE	RESIDENCE	TOR	TIJ	BOOKED DATE	CELL LOCATION
					2H2

COURT CASE NUMBER	PRINT	ARREST LOCATION

DATE	SEQ	IN/OUT FLAG	CHARGE DESCRIPTION / PENALTIES	NO.	CODE / WARR. NUMBER

DISPOSITIONS

(1g1) FOS-14272 PTS AH $5000 5/24 Reyes 4492

PB 11/6/25
SIGNATURE DATE

COMMENTS

MOTHERS MAIDEN NAME

FATHERS NAME

RELEASE INFO

BOND AMOUNT	BOND MADE BY	BONDSMAN

BONDING COMPANY	PASS NUMBER

RELEASED BY	DATE OF RELEASE	TIME OF RELEASE	POWER NUMBER
		2105	

MIAMI-DADE P.D. RECORDS BUREAU

Jail Cadet R. [illegible]
[illegible] CJIS

AUTHORIZE THE SHERIFF, HIS JAILER, D DEPUTIES TO OPEN OR PACKAGES
WHICH MAY BE ADDRESSED TO

DATE

DATE	NO.	CHARGES		

DATE	DISPOSITIONS

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

October 13, 2025

Mr. Gregory Travis Shotwell
17201 North East 23th Avenue Apt 4
North Miami Beach, Florida 33160

RE: Application No. 52865, Entity 13361171
1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo

Dear Mr. Shotwell:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 4 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records and arrest report. Please initial and date any changes made to your application.

  You must list the information and provide the court disposition record and arrest report on your application for the following charges:
  - Miami-Dade County, FL Arrest(s) – 5/3/2005

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850-794-8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 02/10/2026, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850-794-8130.

Thank you,

BF
Operations Analyst II

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

October 22, 2025

Mr. Gregory Travis Shotwell
17201 Northeast 23th Avenue Apt 4
North Miami Beach, Florida 33160

RE: Application No. 52865, Entity 13361171
1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo

Dear Mr. Shotwell:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 4 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide the arrest report. Please initial and date any changes made to your application.

  You must complete the 'misdemeanor or felony' section on page 4 of the application for the following charge:
  - Miami-Dade County, FL Arrest(s) – 5/3/2005

  You must provide the arrest report on your application for the following charges:
  - Miami-Dade County, FL Arrest(s) – 5/3/2005

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850-794-8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 02/10/2026, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850-794-8130.

Thank you,

BF
Operations Analyst II

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

October 29, 2025

Mr. Gregory Travis Shotwell
17201 North East 23th Avenue Apt 4
North Miami Beach, Florida 33160

RE: Application No. 52865, Entity 13361171
1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo

Dear Mr. Shotwell:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 4 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide arrest report. Please initial and date any changes made to your application.

You must provide the arrest report for the following charges:
- Miami-Dade County, FL Arrest(s) – 5/3/2005

Note: If you cannot obtain the requested arrest report, you will need to provide a written statement explaining the details of the arrest.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850-794-8130.

If you fail to provide the Florida Gaming Control Commission with a complete application by 02/10/2026, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

HC

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850-794-8130.

Thank you,

BF
Operations Analyst II

9. Discussion of Final Orders pursuant to request from respondent

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Through:	Justin Hundersmarck, Senior Attorney
Re:	FGCC v. Matthew Luis Perez Case No. 2025-054235, Final Order
Date:	December 28, 2025

Executive Summary

The Division of Pari-Mutuel Wagering ("the Division") seeks the permanent exclusion of Matthew Luis Perez ("Respondent") from all pari-mutuel facilities and all facilities of a slot machine licensee in the state of Florida. Respondent responded to the administrative complaint and did not dispute the allegations contained within the administrative complaint, waived his right to any form of hearing, and requested that the Commission enter a final order imposing a penalty. Therefore, the Florida Gaming Control Commission should enter a final order permanently excluding Respondent from all pari-mutuel facilities and all facilities of a slot machine licensee in the state of Florida.

Relevant Facts

On June 27, 2025, Respondent was a patron of Gretna Racing, LLC, d/b/a Magic City Casino ("Magic City Casino").[1] On June 28, 2025, Respondent was excluded from Magic City Casino, effective until March 19, 2028.[2]

Based on Respondent's exclusion from Magic City Casino, the Division filed an administrative complaint on October 31, 2025, seeking his exclusion from all pari-mutuel facilities and all facilities of a slot machine licensee in the state of Florida. On November 20, 2025, Respondent returned an election of rights form. Respondent did not dispute the allegations contained within the administrative complaint, waived his right to any form of hearing, and requested that the Commission enter a final order imposing a penalty.

[1] Magic City Casino is operated by a permitholder authorized to conduct pari-mutuel wagering, slot facilities, and cardroom operations in the state of Florida.

[2] Pari-mutuel permitholders and slot machine licensees have the right to exclude patrons. §§ 550.0251(6); 551.112, Fla. Stat.

Analysis

Florida law allows for the exclusion of Respondent from all pari-mutuel facilities and all facilities of a slot machine licensee in the state.

Section 550.0251(6), Florida Statutes, provides that "[t]he commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state […]"

Further, section 551.112 provides that "[t]he commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state […]"

Therefore, Respondent's exclusion from Magic City Casino, a pari-mutuel facility and facility of a slot machine licensee in this state, subjects him to permanent exclusion from all pari-mutuel facilities and all facilities of a slot machine licensee in this state.

Staff Recommendation: The Commission should enter a final order permanently excluding Respondent, Matthew Luis Perez, from all pari-mutuel facilities and all facilities of a slot machine licensee in the state of Florida.

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 11/20/2025
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FGCC v. PEREZ, MATTHEW LUIS **CASE NO.: 2025-054235**

ELECTION OF RIGHTS

Please read the Administrative Complaint before choosing one of the three options. The Election of Rights is a **legally binding** document. Consult an attorney if you do not understand your options.

You can only select **ONE** of the options numbered one (1), two (2), and three (3) on the Election of Rights form. You must sign the form.

A completed Election of Rights **must be returned to the Clerk of the Commission within 21 days, by 5:00 p.m., Eastern Time, of the day you receive the attached Administrative Complaint.**

If your Election of Rights form or request for hearing is not filed with the Clerk of the Commission within 21 days of the day you received the Administrative Complaint, you will have waived your right to contest the proposed agency action and a Final Order will be issued imposing the proposed agency action set forth in the Administrative Complaint.

Please use this form unless you, your attorney, or your representative prefer to reply according to chapter 120, Florida Statutes, and chapter 28.106 of the Florida Administrative Code.

Please return your Election of Rights form to this address:

Florida Gaming Control Commission
Attention: Clerk of the Commission
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399
Telephone: (850) 794-8067 Fax: (850) 536-8712
Email: clerk@flgaming.gov

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option One (1) ☐ **I do not dispute the allegations** of material fact in the Administrative Complaint and wish to be heard at an informal proceeding pursuant to section 120.57(2), Florida Statutes, where I may submit testimony and written evidence to the Commission to show that the proposed agency action is too severe or that the sanction should be reduced.

Option Two (2) ☐ **I do dispute the allegations** of material fact in the Administrative Complaint and I request a formal hearing pursuant to Section 120.57(1) before an Administrative Law Judge of the Division of Administrative Hearings ("DOAH"). **I specifically dispute the following facts in the Administrative Complaint** (attach extra pages or write on the back if needed):

_____

_____

_____

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

In addition to the above election for formal hearing, check the box below if you wish to enter into settlement negotiations and waive the 15-day requirement for this matter to be referred to DOAH:

☐ Section 120.569(2)(a), Florida Statutes, requires the Commission to send this case to DOAH for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement.

Option Three (3) ☑ **I do not dispute the allegations** of material fact in the Administrative Complaint and **waive my right** to object and to have a hearing. I understand that by giving up the right to object and have a hearing, a Final Order will be issued that adopts the allegations of fact and conclusions of law alleged in the Administrative Complaint.

THIS IS A **LEGALLY BINDING DOCUMENT**. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

Matthew Perez	Mario LaPorte
PRINTED NAME	ATTORNEY OR QUALIFIED REPRESENTATIVE
5861 nw 40 st	400 W. Pine Island Rd
Street Address	Street Address (where service shall be made)
Miami Florida 33166	Plantation FL 33324
City State Zip	City State Zip
	954- 744- 0500
Telephone Number Facsimile Number (if any) Number (if any)	Telephone Number Facsimile
	mario@bmsemperolaw.com
E-mail	E-mail
SIGNATURE	

YOU MUST LET THE COMMISSION KNOW IF YOUR E-MAIL OR MAILING ADDRESS CHANGES

Perez
5961 NW 4th St
Miami, FL 33166

MIAMI FL 330
17 NOV 2025 PM 2 L

RECEIVED
2025 NOV 20 AM 11:43
FLORIDA GAMING
CONTROL COMMISSION

Florida Gaming Control Commission
Attn: clerk of the commission
4070 Esplanade Way Suite 250
Tallahassee, FL 32399

32399-703370

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: **10/31/2025**
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2025-054235

MATTHEW LUIS PEREZ,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Matthew Luis Perez ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel, slot machine, and cardroom operations pursuant to chapters 550, 551, and 849, Florida Statutes.

2. At all times material hereto, Respondent's address was reported as 5961 Northwest 40th Street, Virginia Gardens, Florida 33166.

3. At all times material hereto, Gretna Racing, LLC, d/b/a Magic City Casino ("Magic City Casino") was a facility operated by a permitholder authorized by the Commission to conduct pari-mutuel wagering, cardroom operations, and slot operations in the state of Florida.

4. On or about June 27, 2025, Respondent was a patron of Magic City Casino.

5. On or about June 28, 2025, Respondent was ejected from Magic City Casino.

6. On or about June 28, 2025, Respondent was excluded from Magic City Casino, effective until March 19, 2028.

7. Section 550.0251(6), Florida Statutes, provides in relevant part:

> In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

8. Section 551.112, Florida Statutes, provides:

> In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

9. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida under sections 550.0251(6) and 551.112, Florida Statutes, based on his exclusion from Magic City Casino on or about June 28, 2025.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida, in accordance with sections 550.0251(6)

and 551.112, along with any other remedy provided by chapters 550 and 551, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2025-054235 is signed on the 29th day of October 2025.

/s/ Justin Hundersmarck

Justin Hundersmarck
Senior Attorney
Florida Bar Number: 1039038
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Phone: (850) 794-8063
Fax: +1 850-536-8712
Primary: Justin.Hundersmarck@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

Lic Type	**1098 - Unlicensed Complaints**			Status	**90 Closed**	Status Date	**10/01/2025**
Complaint #	**2025054235**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**PEREZ, MATTHEW LUIS**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INTR - Internal**	Priority	
Class'n	**OTHR - Other**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**06/27/2025**
Region	**SR - Southern Region**	Received	**07/21/2025**
Reference	**551.113(1)(2)(a)(b)(c)(3)**		
Entered	**07/22/2025**	Entered By	**jhodge**

Parties | Activities | Allegations | Discipline | Violations | Compliance | Related | Disposition | Inspection | Costs | Time Tracking | Auto Assign

Summary

155 - WEST FLAGLER ASSOCIATES, LTD - 551.113(1)(2)(a)(b)(c)(3) Persons prohibited from playing slot machines ---- On June 28, 2025, at approximately 00:55 hours, Security Shift Manager Julio Rene Sanchez was notified by surveillance of a male patron urinating outside in the parking lot next to the bicycle rack. The individual was accompanied by two other male patrons, and all appeared to be intoxicated. Upon arrival, Sanchez encountered and identified the individual urinating as Gabriel Ricardo Andreu who was accompanied by Matthew Luis PEREZ, and Manuel Cepero. All three individuals were found to be under 21. Sanchez requested a video review from surveillance to determine whether any of the individuals had accessed the casino gaming floor. Surveillance confirmed that the three individuals, along with a fourth male identified as Jose Veiguela, had entered the slot gaming floor earlier. At 23:02 hours on June 27, 2025, all four underage patrons entered the Main Casino Ground Floor Entrance (Bravo 1) where two Security Officers Dareimi Palacio Hernandez and Edgard Antonio Perez failed to stop and request identification from the group and instead directed them to top of the escalators (Bravo 2) where Security Officer Jennifer Alexandra Moll was stationed. Moll stops, requests, and scans their identifications allowing access to 18- year old Gabriel Ricardo Andreu (DOB [redacted]) who provided a fake ID under his name with (DOB [redacted]), 18- year old Matthew Luis PEREZ (DOB [redacted] who also provided a fake ID under his name with (DOB [redacted], 19- year old Jose Veiguela (DOB [redacted] who provided an ID that belonged to another person, Jake Fernandez (DOB [redacted]), were granted entry and 18- year old Manuel Cepero (DOB [redacted]) provided a valid ID and was denied entry. At 23:06 hours Cepero then entered through the West Casino Entrance (Bravo 4) where Security Officer Jennifer Hernandez failed to stop and request his identification,

allowing him access to the slot gaming floor after being previously denied at the Bravo 2 post. At 23:52 hours the group of individuals re-entered the slot gaming floor through the West Casino Entrance (Bravo 4) where two Security Officers Jennifer Hernandez and Ibrain Y Fundora were stationed. Security Officer Fundora was observed checking Cepero and Veiguela IDs and allows them entry while Security Officer Hernandez attempted to scan IDs for Andreu and PEREZ, encountering technical issues and requesting assistance from Security Officer Fundora. During the distraction, Veiguela was seen signaling PEREZ to proceed onto the floor. Surveillance footage confirms that all four individuals accessed and actively participated in slot machine play and were not stopped or challenged by any Magic City Staff during their presence on the gaming floor. At no point were any of the individuals observed consuming alcoholic beverages while on the gaming floor. PEREZ was excluded from Magic City Casino until his 21st birthday [redacted].

Attachments | History

Work Notes | Print Report

Updated **10/01/2025 12:51:40** By **jhodge**

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Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Joe Dillmore, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**SOUTHERN**	**June 27, 2025**	**2025-05-4235**

Respondent:	Complainant:
PEREZ, MATTHEW LUIS **5961 NW 40th ST.** **VIRGINIA GARDENS, FLORIDA 33166**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # and Type:	Profession:	Report Date:
N/A /1098	**Patron**	**September 23, 2025**

Period of Investigation:	Type of Report:
July 15, 2025 – September 23, 2025	**FINAL**

Alleged Violation(s): 551.113 Persons prohibited from playing slot machines.
(1) A person who has not attained 21 years of age may not play or operate a slot machine or have access to the designated slot machine gaming area of a facility of a slot machine licensee.
(2) A slot machine licensee or agent or employee of a slot machine licensee may not knowingly allow a person who has not attained 21 years of age:
(a) To play or operate any slot machine.
(b) To be employed in any position allowing or requiring access to the designated slot machine gaming area of a facility of a slot machine licensee.
(c) To have access to the designated slot machine gaming area of a facility of a slot machine licensee.
(3) The licensed facility shall post clear and conspicuous signage within the designated slot machine gaming areas that states the following: **THE PLAYING OF SLOT MACHINES BY PERSONS UNDER THE AGE OF 21 IS AGAINST FLORIDA LAW (SECTION 551.113, FLORIDA STATUTES). PROOF OF AGE MAY BE REQUIRED AT ANY TIME**

Synopsis On June 27, 2025, at approximately 23:02 Hrs., four underage individuals entered Magic City Casino (MCC) through multiple entrances and accessed the slot floor using fraudulent IDs. Security personnel failed to properly verify identification at various checkpoints, allowing all four to engage in slot machine play. While no alcohol was served on the gaming floor, the individuals appeared to be under the influence of an unknown substance upon their arrival at the facility and were behaving disorderly and in a manner further suggesting they were likely minors. At no point did any employee question the visibly young appearance or increasingly disorderly behavior of the individuals, despite multiple opportunities to intervene. The individuals were caught after one of them publicly urinated in an area outside the facility immediately adjacent to the bicycle rack in the patrons parking lot. All four were subsequently excluded from the property until their 21st birthdays. Matthew Luis **PEREZ** was one of the minors who entered the slot gaming floor with a fraudulent ID. **PEREZ** was excluded until his 21st birthday o [redacted]

Related Case(s) 2025-05-3449,4370,4088,4002,4342,4871,4540,4560,4853

Investigations Specialist II / Date	Investigation Manager / Date:
Jackelin Rodriguez / September 23, 2025	Tyrell D. Smith / September 23, 2025

Chief of Investigations / Date

Bradford D. Jones / October 1, 2025

Further Investigation revealed that on June 27, 2025, Matthew Luis **PEREZ** (DOB: [redacted]), along with three other underage individuals, gained unauthorized access to the slot gaming floor at Magic City Casino by presenting fraudulent identification across multiple entry points. Once inside, the group engaged in slot machine play and exhibited disorderly behavior throughout the premises. **PEREZ** and his companions' actions, including public urination, as captured on surveillance footage, prompted a detailed review, which confirmed the group's entry and conduct **(Exhibit #2-Video #3).**

At approximately 23:02 hours, surveillance footage captured the four individuals drinking and sharing an unknown canned beverage outside the Main Entrance before entering **(Exhibit #2-Video1).**

The group enters the Main Entrance without being stopped or screened by Security Officers Dareimi Palacio Hernandez, and Edgar Antonio Perez, who were both stationed at Bravo 1. Instead, they were directed to the escalators leading to (Bravo 2), where Security Officer Jennifer Alexandra Moll was stationed **(Exhibit #2-Video 2).**[1]

Upon arrival at Bravo 2, Moll was observed checking and scanning the first individual's ID who was later Identified as Manuel Cepero [redacted] his entry was denied based on his DOB. He then walks toward the rest of the group and is seen conversing with them briefly. Moll then checked and scanned the ID provided by Jose Veiguela [redacted]), Gabriel Ricardo Andreu's ID [redacted] and Matthew Luis **PEREZ** [redacted]). All three were allowed access to the slot gaming floor, while Cepero was observed leaving via the escalators **(Exhibit #2-Video 3).**

At 23:06, Cepero entered the West Casino Entrance (Bravo 4) where Security Officer Jennifer Hernandez was distracted and facing away while speaking with a housekeeping employee. At that time, she failed to notice, question or stop Cepero from entering **(Exhibit #2- Video 4).**

Meanwhile, at 23:06 hours **PEREZ** was observed inserting cash and playing at slot machine 7-3-8. While Andreu was observed playing at slot machine 7-4-7 **(Exhibit #2- Video 5)**.

At 23:08 hours Cepero reunites with the group. At 23:10 hours Veiguela is seen playing machine 7-4-6. While Andreu, **PEREZ** and Cepero are playing together at machine 7-4-7 **(Exhibit #2- Video 5)**.

From 23:13-23:14 hours Andreu is observed entering a voucher and playing at machine 7-3-9 **(Exhibit #2- Page 12).**

At 23:15 Veiguela is seen apparently asking an unidentified patron for their drink (it appeared to be coffee). The patron handed him the cup and walked away. Veiguela was subsequently seen stumbling, appearing to be possibly intoxicated. It is undetermined at this time if alcohol was in the coffee cup or if Veiguela had consumed alcohol or any other intoxicating substance prior to entering the facility **(Exhibit #2-Video 6).**

At 23:24 hours Andreu and **PEREZ** were observed playing at machines 7-3-7 and 7-3-8 **(Exhibit #2- Page 14).**

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[1] ***The MCC Standard Operating Procedures Manual (SOP) describes the duties for each Security position/post at Magic City. The description of duties for staff assigned to the Lower-Level Casino Entrance (Bravo 1) does not specify if the Security Officer at this post must verify the age of the guest entering (Exhibits #2-Page 33).***

From 23:16-23:19 hours, **PEREZ** played at machine 7-6-8 and Andreu played at machine 7-6-7 **(Exhibit #2- Page 13).**

At 23:26 hours, Andreu moved to play at machine 7-3-4 and **CEPERO** at machine 7-3-5 **PEREZ** remained at machine 7-3-8 **(Exhibit #2- Page 15).**

At 23:38 hours, Cepero played at machine 7-8-1 **(Exhibit #2- Page 16).**

At 23:41, all four were observed exiting through the Bravo 6 Entrance **(Exhibit #2- Page 17).**

At 23:42 hours Andreu and **PEREZ** were seen acting disorderly outside the upper grandstand. **(Exhibit #2-Video 7)**

At 23:52, they re-entered the facility through the Bravo 4 entrance where Security Officers Ibrain Y. Fundora and Jennifer Hernandez were stationed. Cepero and Veiguela handed their ID to Fundora and were allowed entry[2] while Officer Hernandez struggled to scan Andreu's ID and requested assistance from Fundora. Meanwhile, Hernandez attempted to check **PEREZ's** ID and then went back to speak to Fundora. While Hernandez was distracted, Veiguela signaled **PEREZ** to proceed onto the slot floor without Security clearance. **PEREZ** and Cepero proceeded to enter the slot gaming floor, while Veiguela and Andreu stood by until they were cleared to enter **(Exhibit #2-Video 8).**

At 23:55 hours, **PEREZ** sat and played on slot machine 4-3-9 shortly after Andreu sat and played at machine 4-3-10 **(Exhibit #2- Page 18).**

At 23:59 hours, **PEREZ** is observed playing at machine 7-6-7 and Andreu at 7-6-8 **(Exhibit #2-Page 19).**

At 00:05 hours, Veiguela was observed inserting cash and playing at machine 7-6-8 and **PEREZ** at machine 7-6-7 **(Exhibit #2- Page 20).**

At 00:06 hours Cepero started playing on machine 7-6-7 and Veiguela continued to play at machine 7-6-8 **(Exhibit #2- Page 21).**

At 00:07 hours, Andreu played machine7-6-3 and **PEREZ** played at machine 7-6-2 **(Exhibit #2-Page 22).**

At 00:10 hours, Veiguela played on machine 7-6-2 **(Exhibit #2- Page 23).**

At 00:17 hours, **PEREZ** sat and played at machine 4-3-11, shortly after he moved and played at machine 4-3-12 **(Exhibit #2- Video 9).**

At 00:19, Andreu sat and played at machine 4-3-12**(Exhibit #2- Page 24).**

At 00:24 hours, Andreu moved to machine 4-3-9 and played **(Exhibit #2- Page 25).**

At 00:27 hours, Andreu moved to machine 4-5-7 **(Exhibit #2- Page 26).**

From 00:32 hours-00:34 hours the group was observed entering the East Restroom located at the (Bravo 6) post **(Exhibit #2- Video 14).**

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[2] Veiguela was noted in surveillance video at 23:15 Hrs. as being apparently intoxicated.

From 00:35-00:40 hours Andreu is observed entering a voucher and playing at machine 7-6-8 **(Exhibit #2- Page 30).**

At 00:24 hours Andreu moved to machine 4-3-9 and played. **(Exhibit #2- Page 25)**

At 00:40 Andreu was observed inserting a voucher and playing at machine 7-3-8 **(Exhibit #2- Page 31).**

From 00:42-00:46 hours the group was observed at the Main Cage **(Exhibit #2- Page 32).**

00:47 hours **PEREZ** is observed walking to machine 7-3-8 with a Player's Card on hand. **PEREZ** inserted the Players Card in the wrong slot, shortly after Security Officer Fundora is observed assisting the group showing them where to insert the players card. Veiguela inserts the Players Card at machine 7-3-7 and attempts to enter a PIN. After Veiguela was observed entering the Players Card at machine 7-3-9 several attempts to enter the PIN were observed by the group **(Exhibit #2-Video 15).**

At 00:50 hours, the group of individuals arrived at section 7, **PEREZ** sat and attempted to use what appeared to be a Players Club Card[3] at machine 7-4-1b while Andreu was observed throwing an unknown item across the pathway, further indicating disorderly conduct, in plain view of Security Officer, Danaidi Lorenzo Aldana who was stationed across from them at the Bravo 6 post **(Exhibit #2- Video 11).**

At 00:52 hours, Veiguela and Andreu are seen at machines 7-8-2 and 7-8-3, also attempting to use a Players Club Card[4] **(Exhibit #2- Video 10 and Exhibit #2- Page 27).**

At 00:55 hours, Andreu, **PEREZ** and Cepero are seen exiting through the Delta 1 Valet entrance **(Exhibit #2-Video 12).**

At 00:56 hours, Andreu was caught urinating in public in an area outside the facility immediately adjacent to the bicycle rack **(Exhibit #2-Video 13).**

At 00:58 hours, MCC Security Manager, Julio Rene Sanchez[5] was observed approaching the three individuals along with Miami Police Department Officers **(Exhibit #2-Video 13).**

At 1:01, Veiguela appeared from the east side patron parking lot. **(Exhibit #2-Page 28).**

From 1:06-1:29 hours, all four individuals were taken to the MCC Security Office and properly identified, which verified that they were all underaged. They were then excluded until their 21st birthday **(Exhibit #2-Page 29).**

The Security Report confirms the time each Identification that the individuals presented was scanned upon entry. The history for the scanner utilized shows the following **(Exhibit #1- Pages 14,15 and 18):**

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[3] ***It was not determined how or where the Players Card was obtained.***
[4] ***The Players Club card was later determined to belong to Barbara Mesa player account #3053443465045 (Exhibit #2- Page 38).***
[5] ***The Security Report confirms that approximately at 00:55 hours Security Shift Manager Sanchez was informed by the Surveillance Department of an individual urinating on the bicycle rack located at the patrons parking lot. Upon arrival Sanchez requested their Identification and discovered Andreu, Perez and Cepero were under 21 years of age. Sanchez then proceeded to request video review from surveillance to determine whether they had accessed the slot gaming floor. Surveillance later confirmed that all four underage accessed and engaged in slot machine play (Exhibit #1- Pages 14,15 and 18 and Exhibit #2- Video 13).***

At 23:04 hours, a fraudulent New Jersey ID provided by Jose Veiguela (in the name of Jake Fernandez ( ) indicating he was 22 years old was scanned **(Exhibit #2- Page #5).**

At 23:04 hours, the California ID scanned, provided by Gabriel Ricardo Andreu (DOB: contained his information with a different year in the Date of Birth field (stating he was 25 years of age, indicating he presented a fake Identification **(Exhibit #2- Page #8)**.

At 23:51 hours, scanners show a New Jersey ID provided by Matthew Luis **PEREZ** (DOB: also a fake ID with all his information and a different year on his Date of Birth indicating he is 22 years of age **(Exhibit #2- Page #11).**

- Manuel Cepero was excluded until [6]
- Matthew Luis **PEREZ** was excluded until
- Jose Veiguela was excluded until
- Gabriel Ricardo Andreu was excluded unti

On July 16, 2025, this Investigator spoke with MCC Security Director, Victor Suarez regarding the incident outlined above and inquired whether any disciplinary action had been taken against the Security Officers involved. Mr. Suarez confirmed that only Security Officer Jennifer Hernandez received a Red Notice (Written Warning) due to inattentiveness. Specifically, Officer Hernandez failed to notice an underage patron entering the facility while she was distracted in conversation with a housekeeping employee. Mr. Suarez further asserted that the other Security Officers involved did perform ID checks as required. Although the identification presented was later discovered to be fraudulent, those officers followed proper procedures.

On September 13, 2025, this Investigator spoke with Karina Meza, Director of Player Services at MCC, regarding the attempted use of Barbara Mesa's Players Club card by the minors. Ms. Meza explained that without the associated PIN number, users are unable to access any free play or redeem accumulated points on the card. The only function available without the PIN is the ability to earn points if the card is inserted during active play. Ms. Meza provided this Investigator with the Gaming Sessions Report for Barbara Mesa. According to the report, on June 28, 2025, the Players Club card was inserted into the following gaming machines:

- Machine 07-04-01
- Machine 07-03-09
- Machine 07-03-07

In each instance, the card was inserted for only a few seconds. The report confirms that no points were earned, and no free play was used during these brief sessions while the card was in the possession of the minors **(Exhibit #2 - Page 41).**

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[6] ***Although Manuel Cepero (DOB: 02/22/2007) was initially denied access at Bravo 2 by Security Officer Jennifer Moll, no identification was scanned during his later entry. When Cepero entered Bravo 4 at 23:52, Security Officer Fundora did not possess a scanner. Therefore, the ID presented by Cepero was not recorded on the scanner's history, and it remains unknown whether Cepero presented a fraudulent ID to Fundora for reentry. No record of Cepero's ID or any other ID he could have possibly used was found on the Scanner History.***

Conclusion: Matthew Luis **PEREZ**, a minor born on [redacted], knowingly used a fraudulent identification card to gain unauthorized access to the slot gaming floor at Magic City Casino. Surveillance footage confirmed that **PEREZ** not only engaged in multiple instances slot machine play but also attempted to use another patron's player's card. In addition, **PEREZ** and his companions displayed disruptive behavior throughout the premises, culminating in a public urination incident in the parking lot. As a result, Matthew Luis **PEREZ** has been excluded from Magic City Casino until his 21st birthday on [redacted]. His participation in multiple slot machine play constitutes a violation of Florida Statute 551.113, which prohibits individuals under the age of 21 from accessing slot machine gaming areas **(Exhibit #1-Page 23).**

All relevant videos are saved.

Status: Closed by Investigations and forwarded to Legal for review.

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Incident File Summary Report

Incident File # :	IN20250003858	**Record Creation Details**	
Date/Time Occurred:	6/30/2025 9:30:12 AM	Department:	Surveillance
Day of Week Occurred:	Monday	Owner:	3327
Date/Time Created:	6/30/2025 9:30:46 AM	Operator ID:	3327
Date/Time Closed:		Operator Name:	
Closed By:		Personnel ID:	

Location of Incident:

Property:	Magic City Casino
Location:	Entrance
Sublocation:	Main Casino Entrance

Details of Incident:

Daily Log #:	DL20250024421
Incident Type:	Underage Subject
Specific:	
Category:	
Incident Status:	Open
Synopsis:	At 23:03 on 6/27/2025, a male minor identified as Mathew Luis Perez entered the Casino Floor through the Casino Main Entrance where Security Agent Jennifer Moll was posted. Security Agent Jennifer Moll appeared to have checked/scanned Matthew Perez identification card. Manuel Cepero was observed playing machines 07-04-01, 07-04-08,07-06-07,07-03-08,07-06-02,04-03-10, 04-03-11, and 07-03-08. Manuel Cepero was excluded until one day after 21st birthday. Video saved.
Checklist:	
Narrative:	

Created On	Created By	Modified On	Modified By

Executive Brief:

Reporting Party:

Supervisor:

EXHIBIT #1

PAGE #1

Incident File Summary Report

Incident File # :	IN20250003859	**Record Creation Details**	
Date/Time Occurred:	6/30/2025 9:30:51 AM	Department:	Surveillance
Day of Week Occurred:	Monday	Owner:	3327
Date/Time Created:	6/30/2025 9:31:25 AM	Operator ID:	3327
Date/Time Closed:		Operator Name:	
Closed By:		Personnel ID:	

Location of Incident:

Property:	Magic City Casino
Location:	Entrance
Sublocation:	Main Casino Entrance

Details of Incident:

Daily Log #:	DL20250024422
Incident Type:	Underage Subject
Specific:	
Category:	
Incident Status:	Open
Synopsis:	At 23:03 on 6/27/2025, a male minor identified as Jose Veiguela entered the Casino Floor through the Casino Main Entrance where Security Agent Jennifer Moll was posted. Security Agent Jennifer Moll appeared to have checked/scanned Jose Vieguela Andreu identification card. Jose Veiguela was observed playing at machines 07-06-08, Jose Veiguela was excluded until one day after 21st birthday. Video saved.
Checklist:	
Narrative:	

Created On	Created By	Modified On	Modified By

Executive Brief:

Reporting Party:

Supervisor:

EXHIBIT #1

PAGE #2

Incident File Summary Report

Incident File # :	IN20250003860	**Record Creation Details**	
Date/Time Occurred:	6/30/2025 9:32:02 AM	Department:	Surveillance
Day of Week Occurred:	Monday	Owner:	3327
Date/Time Created:	6/30/2025 9:32:35 AM	Operator ID:	3327
Date/Time Closed:		Operator Name:	
Closed By:		Personnel ID:	

Location of Incident:

Property:	Magic City Casino
Location:	Entrance
Sublocation:	Main Casino Entrance

Details of Incident:

Daily Log #:	DL20250024423
Incident Type:	Underage Subject
Specific:	
Category:	
Incident Status:	Open
Synopsis:	At 2303 on 6/27/2025, a male minor identified as Gabriel Ricardo Andreu entered the Casino Floor through the Main Entrance where Security Agent Jennifer Moll was posted. Security Agent Jennifer Moll appeared to have checked/scanned Gabriel Andreu identification card. Gabriel Ricardo Andreu was observed playing at machines 03-07-09, 07-06-08, 07-04-07,07-03-07, 07-03-04,07-03-06, 04-03-10, 04-03-09, 04-03-10, 04-05-07, and 07-06-08. Gabriel Ricardo Andreu was excluded until one day after 21st birthday. Video saved.
Checklist:	
Narrative:	

Created On	Created By	Modified On	Modified By

Executive Brief:

Reporting Party:

Supervisor:

EXHIBIT #1

PAGE #3

Incident File Summary Report

Incident File # :	IN20250003862	**Record Creation Details**	
Date/Time Occurred:	6/30/2025 9:32:40 AM	Department:	Surveillance
Day of Week Occurred:	Monday	Owner:	3327
Date/Time Created:	6/30/2025 9:34:21 AM	Operator ID:	3327
Date/Time Closed:		Operator Name:	
Closed By:		Personnel ID:	

Location of Incident:

Property:	Magic City Casino
Location:	Entrance
Sublocation:	West Casino Entrance

Details of Incident:

Daily Log #:	DL20250024424
Incident Type:	Underage Subject
Specific:	
Category:	
Incident Status:	Open
Synopsis:	At 23:06 on 6/27/2025, a male minor identified as Manuel Cepero entered the Casino Floor through the West Casino Entrance where Security Agent Jennifer Hernandez was posted. Security Agent Jennifer Hernandez did not check Manuel Cepero identification card. Manuel Cepero was observed playing at machines 07-08-01,07-06-07,07-04-06 and 07-03-05. Manuel Cepero was excluded until one day after 21st Birthday. Video saved.
Checklist:	
Narrative:	

Created On	Created By	Modified On	Modified By

Executive Brief:

Reporting Party:

Supervisor:

EXHIBIT #1

PAGE #4

Reporting Party:

Supervisor:

EXHIBIT #1

PAGE #5

Incident File Full Report

iTrak Incident Reporting and Risk Management System Version 2023.3.3.12701

Incident Number:	IN20250003821
Date/Time Occurred:	06/28/2025 1:10:53 AM
Day of Week:	Saturday
Created by:	jsanchez

Printed By: jrivera **Printed On: 07/17/2025 at 4:33:07 PM**

EXHIBIT #1
PAGE #6

Incident File Full Report

Incident File #IN20250003821

		Record Creation Details	
Date/Time Occurred:	06/28/2025 1:10:53 AM	**Department:**	Security
Day of Week Occurred:	Saturday	**Owner:**	jsanchez
Date/Time Created:	06/28/2025 6:00:02 AM	**Operator ID:**	jsanchez
Date/Time Closed:		**Operator Name:**	
Closed By:		**Personnel ID:**	
		Card Number:	
		Job Position	
		Secondary Operator:	

Location of Incident:

Property:	Magic City Casino
Location:	PARKING LOT
Sublocation:	

Details of Incident:

Daily Log #:	DL20250024118
Incident Type:	Minor entering Casino Floor
Incident Status:	Open
Custom 3:	
Custom 4:	

Synopsis:

On Saturday June 28, 2025, at approximately 12:55AM, Security is advised by surveillance that a male patron that was intoxicated was urinating outside in the Parking lot next to the Bike Rack. Security Shift Manager Julio Sanchez responded to the Bike Rack area in which he approached 3 male patrons and asked who had urinated next to the bike rack. Afterwards one of the patrons identified as Gabirel Ricardo Andreu confessed to urinating. Security Shift Manager Sanchez along side MPD advised the male patron that due to urinating he would be excluded for 30 Days. Upon obtaining Mr. Andreu's identification it was discovered that he was not over 21 years old but instead was 18 Years old therefore he had trespassed on the Casino floor as a Minor. Security Shift Manager Sanchez then proceeded to ask Surveillacne if any of the other male patrons were on the Casino Floor alongside Mr. Andreu in which Surveillance that all 4 patrons were at on the casino floor. Security Shift Manager Sanchez then proceeded to grab all 4 male patron's identifications and escorted them to the Security Vestibule. Upon investigation all 4 Male patrons were identified as Gabriel Ricardo Andreu DOB: [redacted] (18 Years Old), Mathew Luis Perez DOB [redacted] (18 Years Old), Jose Veiguela DOB [redacted] (19 Years Old), Manuel Cepero DOB: [redacted] 18 Years Old). Security Shift Manager Sanchez proceeded to exclude all 4 patrons 1 Day following their 21st Birthday. All 4 male patrons signed the Trespass Warning Form and then left the property.

Checklist:

Narrative:

Created On 06/28/2025 5:20:50 AM **Created By** jsanchez

Reporting Party:

Supervisor:

EXHIBIT #1
PAGE #7

Modified On 06/28/2025 8:00:08 PM **Modified By** jsanchez

Executive Brief:

On Saturday June 28, 2025, at approximately 12:55AM, Security is advised by surveillance that a male patron that was intoxicated was urinating outside in the Parking lot next to the Bike Rack. Security Shift Manager Julio Sanchez responded to the Bike Rack area in which he approached 3 male patrons and asked who had urinated next to the bike rack. Afterwards one of the patrons identified as Gabirel Ricardo Andreu confessed to urinating. Security Shift Manager Sanchez along side MPD advised the male patron that due to urinating he would be excluded for 30 Days. Upon obtaining Mr. Andreu's identification it was discovered that he was not over 21 years old but instead was 18 Years old therefore he had trespassed on the Casino floor as a Minor. Security Shift Manager Sanchez then proceeded to ask Surveillacne if any of the other male patrons were on the Casino Floor alongside Mr. Andreu in which Surveillance that all 4 patrons were at on the casino floor. Security Shift Manager Sanchez then proceeded to grab all 4 male patron's identifications and escorted them to the Security Vestibule. Upon investigation all 4 Male patrons were identified as Gabriel Ricardo Andreu DOB [redacted] 18 Years Old) Mathew Luis Perez DOB: [redacted]), Jose Veiguela DOB [redacted] 19 Years Old), Manuel Cepe [redacted] ears Old). Security Shift Manager Sanchez proceeded to exclude all 4 patrons 1 Day following their 21st Birthday. All 4 male patrons signed the Trespass Warning Form and then left the property.

After Surveillance video review it was seen at 11:04PM all 4 patrons enter the Casino via the Caino main entrance and heading up to the Casino Floor Main Entrance (Bravo 2) where Security Officer Jennifer Moll proceeds to grab their identification and check them with the ID Scanner and grants access to Mr. Perez, Mr. Andreu and Mr. Veiguela and denies access to Mr. Cepero advising him he can go to the Poker Room since he was 18 Years old.

After further investigation Identification Scanner History was checked in which it was discovered that 3 of the patrons, Mr. Mathew Luis Perez, Mr. Gabriel Ricardo Andreu and Mr. Jose Veiguela had used Fake Identifications to get past Security I.D. checks. Mr. Perez had an ID from New Jersey with Diver License# [redacted] r. Gabriel Andreu had an ID from California Driver License [redacted] and Mr. Jose Veiguela had an ID from New Jersey under the name of Jake Fernandez License [redacted]

Reporting Party:

Supervisor:

EXHIBIT #1
PAGE #8

Participants Involved:

Personnel

Full Name:	MOLL, JENNIFER	Property:	Magic City Casino
Role:	Security Officer I,	Department:	Security
Date Of Birth:			

Full Name:	SANCHEZ, JULIO RENE	Property:	Magic City Casino
Role:	Security Shift Manager,	Department:	Security
Date Of Birth:	[redacted]		

Subject

Full Name:	Cepero, Manuel	Company:	
Role:	Patron,		
Date Of Birth:	[redacted]		
Address:	192 Orchid ST, Tavernier, FL, 33070, USA		
Contact Info:			

Full Name:	Andreu, Gabriel Ricardo	Company:	
Role:	Patron,		
Date Of Birth:	[redacted]		
Address:	25 Deer Run, Miami Springs, FL, 33166, USA		
Contact Info:			

Full Name:	Perez, Matthew Luis	Company:	
Role:	Patron,		
Date Of Birth:	[redacted]		
Address:	5961 NW 40 ST, Virginia Garden, FL, 33166, USA		
Contact Info:			

Full Name:	Veiguela, Jose	Company:	
Role:	Patron,		
Date Of Birth:	[redacted]		
Address:	57 Whitehorn DR, Miami Springs, FL, 33166, USA		
Contact Info:			

Ban/Watch Details

Full Name	Ban/Watch	Start Date	End Date

Reporting Party:

Supervisor:

EXHIBIT #1
PAGE #9

Veiguela, Jose	Ban	06/28/2025	[redacted]

Type Of Ban: Temporary **Notes:**

Reason For Ban: Minor on the casino floor

Identification: Driver's License

Cepero, Manuel	Ban	06/28/2025	[redacted]

Type Of Ban: Temporary **Notes:**

Reason For Ban: Minor on the casino floor

Identification: Driver's License

Andreu, Gabriel Ricardo	Ban	06/28/2025	[redacted]

Type Of Ban: Temporary **Notes:**

Reason For Ban: Minor on the casino floor

Identification: Driver's License

Perez, Matthew Luis	Ban	06/28/2025	[redacted]

Type Of Ban: Temporary **Notes:**

Reason For Ban: Minor on the casino floor

Identification: Driver's License

List of Attached Records:

Record Type	Summary	Attached By	Date Attached

Reporting Party:

Supervisor:

EXHIBIT #1
PAGE #10

Daily Log	Daily Log#: DL20250024118 - Occurred Date: 06/28/2025 1:10:53 AM - Property: Magic City Casino - Location: PARKING LOT - Topic: Minor entering Casino Floor - Synopsis: On Saturday June 28, 2025, at approximately 12:55AM, Security is advised by surveillance that a male patron that was intoxicated was urinating outside in the Parking lot next to the Bike Rack. Security Shift Manager Julio Sanchez responded to the Bike Rack area in which he approached 3 male patrons and asked who had urinated next to the bike rack. Afterwards one of the patrons identified as Gabirel Ricardo Andreu confessed to urinating. Security Shift Manager Sanchez along side MPD advised the male patron that due to urinating he would be excluded for 30 Days. Upon obtaining Mr. Andreu's identification it was discovered that he was not over 21 years old but instead was 18 Years old therefore he had trespassed on the Casino floor as a Minor. Security Shift Manager Sanchez then proceeded to ask Surveillacne if any of the other male patrons were on the Casino Floor alongside Mr. Andreu in which Surveillance that all 4 patrons were at on the casino floor. Security Shift Manager Sanchez then proceeded to grab all 4 male patron's identifications and escorted them to the Security Vestibule. Upon investigation all 4 Male patrons were identified as Gabriel Ricardo Andreu DOB [redacted] s Old), Mathew Luis Perez D ears Old), Jose Veiguel 9 Years Old), Manuel Cepe 18 Years Old). Security Shift Manager Sanchez proceeded to exclude all 4 patrons 1 Day following their 21st Birthday. All 4 male patrons signed the Trespass Warning Form and then left the property.	jsanchez	06/28/2025

Reporting Party:

Supervisor:

EXHIBIT #1
PAGE #11

Media Title
Color ID

Original Filename
Manuel Color ID.jpg

Date Attached
06/28/2025 5:58:53 AM

Attached By
jsanchez

Type
JPG

Size
754498

Media Title
Color ID

Original Filename
Jose Color ID.jpg

Date Attached
06/28/2025 5:59:15 AM

Attached By
jsanchez

Type
JPG

Size
732901

EXHIBIT #1
PAGE #12

Media Title
Color ID

Original Filename
Gabirel Color ID.jpg

Date Attached
06/28/2025 5:59:03 AM

Attached By
jsanchez

Type
JPG

Size
691180

Media Title
Trespass Warning Form

Original Filename
Jose Trespass Warning Form.pdf

Date Attached
06/28/2025 5:59:15 AM

Attached By
jsanchez

Type
PDF

Size
35412

EXHIBIT #1
PAGE #13

Media Title

Original Filename
Gabriel Fake Info.jpg

Date Attached
06/28/2025 6:04:21 AM

Attached By
jsanchez

Type
JPG

Size
2478016

Media Title
Trespass Warning Form

Original Filename
Gabriel Trespass Warning Form.pdf

Date Attached
06/28/2025 5:59:03 AM

Attached By
jsanchez

Type
PDF

Size
34418

EXHIBIT #1
PAGE #14

Media Title

Original Filename
Manuel Cepero.jpg

Date Attached
06/28/2025 5:58:53 AM

Attached By
jsanchez

Type
JPG

Size
2099206

Media Title

Original Filename
Mathew Fake Info.jpg

Date Attached
06/28/2025 6:04:21 AM

Attached By
jsanchez

Type
JPG

Size
1239664

EXHIBIT #1
PAGE #15

Media Title
Color ID

Original Filename
Mathew Color ID.jpg

Date Attached
06/28/2025 5:58:45 AM

Attached By
jsanchez

Type
JPG

Size
709532

Media Title
Trespass Warning Form

Original Filename
Manuel Trespass Warning Form.pdf

Date Attached
06/28/2025 5:58:53 AM

Attached By
jsanchez

Type
PDF

Size
33296

EXHIBIT #1
PAGE #16

Media Title

Original Filename
Mathew Luis Perez.jpg

Date Attached
06/28/2025 5:58:44 AM

Attached By
jsanchez

Type
JPG

Size
2634448

Media Title

Original Filename
Gabriel Ricardo Andreu.jpg

Date Attached
06/28/2025 5:59:03 AM

Attached By
jsanchez

Type
JPG

Size
1958538

EXHIBIT #1
PAGE #17

Media Title

Original Filename
Jose Veiguela.jpg

Date Attached
06/28/2025 5:59:14 AM

Attached By
jsanchez

Type
JPG

Size
1734571

Media Title

Original Filename
Jose Fake Info.jpg

Date Attached
06/28/2025 7:53:20 PM

Attached By
jsanchez

Type
JPG

Size
1480526

EXHIBIT #1
PAGE #18

Media Title
Trespass Warning Form

Original Filename
Mathew Trespass Warning Form.pdf

Date Attached
06/28/2025 5:58:45 AM

Attached By
jsanchez

Type
PDF

Size
32396

EXHIBIT #1
PAGE #19

MAGIC CITY
CASINO

TRESPASS WARNING

NAME / LAST NAME: Jose Velgaelo

DRIVER LICENSE # [redacted] D.O.B. [redacted]

ADDRESS: 89 Whitehorn Dr CITY: Miami Springs ST: FL ZIP: 33166

RACE: Hispanic SEX: M HEIGHT: 5'9 WEIGHT: 190 HAIR: Black EYES: Brown

REASON FOR TRESPASSING: minor on the Casino Floor

The above-named person was advised to stay off MAGIC CITY CASINO property for a period of:

☐ 24 Hrs ☐ 3 Days ☐ 7 Days ☐ 30 Days ☐ 3 Months ☐ 6 Months ☐ 1 Year ☐ 2 Year ☑ Permanent [redacted]

This warning was given on 6/28/2025 at 1:18 AM hours.

If the above-named person returns onto said property during the stated period of time, it may result in his/her ARREST for Criminal Trespass, in accordance with the applicable provisions of Chapter 810 Florida Statutes. Additionally, MAGIC CITY CASINO may permanently withhold any winnings from the above-named person that were won during the stated period of time.

SUBJECT'S SIGNATURE: [signature]

CASE #: N/A ARRESTED: ☐ YES ☑ NO

SECURITY AGENT: [signature] #4419 DATE: 6/28/2025

POLICE OFFICER / WITNESS: [signature] 45390

☑ Warned by MPD referenced trespass after warning ☐ Subject refused to sign this trespass warning

EXHIBIT #1
PAGE #20

MAGIC CITY
CASINO

TRESPASS WARNING

NAME / LAST NAME: Gabriel Ricardo Andrew

DRIVER LICENSE #: [redacted]

ADDRESS: 25 Deer Run CITY: Miami Springs ST: FL ZIP: 33166

RACE: Hispanic SEX: M HEIGHT: 6'0 WEIGHT: 190 HAIR: Brown EYES: Brown

REASON FOR TRESPASSING: Urinating in Public / Mine on the Casino Floor

The above-named person was advised to stay off MAGIC CITY CASINO property for a period of:

☐ 24 Hrs ☐ 3 Days ☐ 7 Days ☐ 30 Days ☐ 3 Months ☐ 6 Months ☐ 1 Year ☐ 2 Year ☑ Permanent [redacted]

This warning was given on 6/28/2025 at 1:19 AM hours.

If the above-named person returns onto said property during the stated period of time, it may result in his/her ARREST for Criminal Trespass, in accordance with the applicable provisions of Chapter 810 Florida Statutes. Additionally, MAGIC CITY CASINO may permanently withhold any winnings from the above-named person that were won during the stated period of time.

SUBJECT'S SIGNATURE: [signature]

CASE #: N/A ARRESTED: ☐ YES ☑ NO

SECURITY AGENT: [signature] #4649 DATE: 6/28/2025

POLICE OFFICER / WITNESS: [signature] 45390

☑ Warned by MPD referenced trespass after warning ☐ Subject refused to sign this trespass warning

EXHIBIT #1
PAGE #21

MAGIC CITY
CASINO

TRESPASS WARNING

NAME / LAST NAME: Manuel Cepero

DRIVER LICENSE #: [redacted]

ADDRESS: 197 Orchid ST CITY: Tavernier ST: FL ZIP: 33070

RACE: Hispanic SEX: M HEIGHT: 5'10 WEIGHT: 190 HAIR: Brown EYES: Brown

REASON FOR TRESPASSING: Minor on the Casino Floor

The above-named person was advised to stay off MAGIC CITY CASINO property for a period of:

☐ 24 Hrs ☐ 3 Days ☐ 7 Days ☐ 30 Days ☐ 3 Months ☐ 6 Months ☐ 1 Year ☐ 2 Year ☑ Permanent [redacted]

This warning was given on 6/28/2015 at 1:18AM hours.

If the above-named person returns onto said property during the stated period of time, it may result in his/her ARREST for Criminal Trespass, in accordance with the applicable provisions of Chapter 810 Florida Statutes. Additionally, MAGIC CITY CASINO may permanently withhold any winnings from the above-named person that were won during the stated period of time.

SUBJECT'S SIGNATURE: [signature]

CASE #: N/A ARRESTED: ☐ YES ☑ NO

SECURITY AGENT: [signature] # 469 DATE: 6/28/2015

POLICE OFFICER / WITNESS: [signature] 45390

☑ Warned by MPD referenced trespass after warning ☐ Subject refused to sign this trespass warning

EXHIBIT #1
PAGE #22

MAGIC CITY
CASINO

TRESPASS WARNING

NAME / LAST NAME: Mathew Luis Perez

DRIVER LICENSE [redacted]

ADDRESS: 5961 nw 40 ST CITY: Lauderdale Garden ST: FL ZIP: 33166

RACE: Hispanic SEX: M HEIGHT: 5'6 WEIGHT: 180 HAIR: Blonde EYES: Blue

REASON FOR TRESPASSING: Minor on the Casino Floor

The above-named person was advised to stay off MAGIC CITY CASINO property for a period of:

☐ 24 Hrs ☐ 3 Days ☐ 7 Days ☐ 30 Days ☐ 3 Months ☐ 6 Months ☐ 1 Year ☐ 2 Year ☑ Permanent [redacted]

This warning was given on 6/28/2025 at 1:19 AM hours.

If the above-named person returns onto said property during the stated period of time, it may result in his/her ARREST for Criminal Trespass, in accordance with the applicable provisions of Chapter 810 Florida Statutes. Additionally, MAGIC CITY CASINO may permanently withhold any winnings from the above-named person that were won during the stated period of time.

SUBJECT'S SIGNATURE: [signature]

CASE #: N/A ARRESTED: ☐ YES ☑ NO

SECURITY AGENT: [signature] #4969 DATE: 6/28/2025

POLICE OFFICER / WITNESS: [signature] 45390

☑ Warned by MPD referenced trespass after warning ☐ Subject refused to sign this trespass warning

EXHIBIT #1
PAGE #23

State of Florida
Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
Investigations Section

PROPERTY RECEIPT

Complaint # Case # 2025054235 Date 7/24/25 Lab # _____

Item No.	Quantity	Description
(64 GB) 1 USB	1	video for minors on 6/27/25 Incident # IN2025000385 8
(32GB) 1 USB	1	video for minors found on 6/27/25 Incident # 2503859, 2503860, 2503862

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.

Ashanti Baptiste
Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.

Signature – Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature Date

Received by: _____ Date: _____
Received by: _____ Date: _____
Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

Form DBPR 11-75 (Revised 01/04)

EXHIBIT #3
PAGE #1

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Justin Hundersmarck, Senior Attorney
Re: FGCC v. Norberto Fueguel
Case 2025-065351, Final Order
Date: December 28, 2025

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") served the Respondent, Norberto Fueguel ("Respondent"), with an administrative complaint seeking to revoke Respondent's slot machine/cardroom/pari-mutuel individual combination occupational license and to exclude Respondent from all pari-mutuel facilities and all facilities of a slot machine licensee in Florida. indicating that a final order should be entered imposing a penalty in this case. Therefore, the Division requests that the Florida Gaming Control Commission enter a final order permanently excluding Respondent from all pari-mutuel facilities and all facilities of a slot machine licensee in Florida, as well as revoking Respondent's slot machine/cardroom/pari-mutuel individual combination occupational license.

Relevant Facts

At all times material hereto, Respondent worked as Poker Supervisor at Dania Entertainment Center, LLC d/b/a Dania Jai-Alai and/or The Casino @ Dania Beach ("Dania")[1]. Respondent was observed via facility surveillance footage recorded on or about August 18 and August 25, 2025, engaging in theft of more than $1,000 currency from the facility, and falsifying player bonus payout documentation. Upon review of Dania's surveillance footage and the facility's internal audit of player

[1]The Casino @ Dania Beach is operated by a permitholder authorized to conduct pari-mutuel wagering, slot machines, and cardroom operations in the state of Florida.

bonus payouts, Dania's staff terminated Respondent's employment and permanently excluded him from Dania.[2]

Based on Respondent's termination and exclusion from Dania, the Division filed a two-count administrative complaint on October 31, 2025, alleging a violation of Rule 75-11.005(4), Florida Administrative Code, seeking revocation of Respondent's slot machine/cardroom/pari-mutuel individual combination occupational license, and seeking his permanent exclusion from all pari-mutuel facilities and any facilities of a slot machine licensee in the state of Florida. On December 2, 2025, Respondent returned an election of rights form. Respondent did not dispute the allegations contained within the administrative complaint, waived his right to any form of hearing, and requested that the Commission enter a final order imposing a penalty.

Analysis

Florida law allows for the exclusion of Respondent from all pari-mutuel and slot machine facilities in the state, as well as the revocation of Respondent's slot machine/cardroom/pari-mutuel individual combination occupational license.

Section 550.0251(6), Florida Statutes, provides that "[t]he Commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state." Further, section 551.112, Florida Statutes, provides that "[t]he Commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state."

Rule 75-11.005(4), Florida Administrative Code, provides in relevant part that "[n]o person shall, either directly or indirectly: […] [e]mploy or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator."

Section 849.086(14)(a), Florida Statutes, provides that:

> The commission may deny a license or the renewal thereof, or may suspend or revoke any license, when the

[2] Pari-mutuel permitholders and slot machine licensees have the right to exclude patrons. §§ 550.0251(6); 551.112, Fla. Stat.

> applicant has: violated or failed to comply with the provisions of this section or any rules adopted pursuant thereto; knowingly caused, aided, abetted, or conspired with another to cause any person to violate this section or any rules adopted pursuant thereto; or obtained a license or permit by fraud, misrepresentation, or concealment; or if the holder of such license or permit is no longer eligible under this section.

Therefore, Respondent's permanent exclusion from Dania – which is both a pari-mutuel facility and a facility of a slot machine licensee in this state – subjects him to exclusion from all pari-mutuel facilities and all facilities of a slot machine licensee in this state. Respondent's violation of rule 75-11.005(4), Florida Administrative Code, subjects him to revocation of his slot machine/cardroom/pari-mutuel individual combination occupational license.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order permanently excluding Respondent, Norberto Fueguel, from all pari-mutuel facilities and all facilities of a slot machine licensee in the state of Florida, as well as revoking his slot machine/cardroom/pari-mutuel individual combination occupational license.

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 12/02/2025
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

2025 DEC -2 PM 12:45
FLORIDA GAMING CONTROL COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FGCC v. FUEGUEL, NORBERTO **CASE NO.: 2025-065351**

ELECTION OF RIGHTS

Please read the Administrative Complaint before choosing one of the three options. The Election of Rights is a **legally binding** document. Consult an attorney if you do not understand your options.

You can only select **ONE** of the options numbered one (1), two (2), and three (3) on the Election of Rights form. You must sign the form.

A completed Election of Rights **must be returned to the Clerk of the Commission within 21 days, by 5:00 p.m., Eastern Time, of the day you receive the attached Administrative Complaint.**

If your Election of Rights form or request for hearing is not filed with the Clerk of the Commission within 21 days of the day you received the Administrative Complaint, you will have waived your right to contest the proposed agency action and a Final Order will be issued imposing the proposed agency action set forth in the Administrative Complaint.

Please use this form unless you, your attorney, or your representative prefer to reply according to chapter 120, Florida Statutes, and chapter 28.106 of the Florida Administrative Code.

Please return your Election of Rights form to this address:

Florida Gaming Control Commission
Attention: Clerk of the Commission
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399
Telephone: (850) 794-8067 Fax: (850) 536-8712
Email: clerk@flgaming.gov

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option One (1) ☐ **I do not dispute the allegations** of material fact in the Administrative Complaint and wish to be heard at an informal proceeding pursuant to section 120.57(2), Florida Statutes, where I may submit testimony and written evidence to the Commission to show that the proposed agency action is too severe or that the sanction should be reduced.

Option Two (2) ☐ **I do dispute the allegations** of material fact in the Administrative Complaint and I request a formal hearing pursuant to Section 120.57(1) before an Administrative Law Judge of the Division of Administrative Hearings ("DOAH"). **I specifically dispute the following facts in the Administrative Complaint** (attach extra pages or write on the back if needed):

_____

_____

_____

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

In addition to the above election for formal hearing, check the box below if you wish to enter into settlement negotiations and waive the 15-day requirement for this matter to be referred to DOAH:

☐ Section 120.569(2)(a), Florida Statutes, requires the Commission to send this case to DOAH for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement.

Option Three (3) ☒ **I do not dispute the allegations** of material fact in the Administrative Complaint and **waive my right** to object and to have a hearing. I understand that by giving up the right to object and have a hearing, a Final Order will be issued that adopts the allegations of fact and conclusions of law alleged in the Administrative Complaint and imposes the Commission action set forth in the Administrative Complaint.

THIS IS A **LEGALLY BINDING DOCUMENT**. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

NORBERTO FUEGUEL
PRINTED NAME

ATTORNEY OR QUALIFIED REPRESENTATIVE

10835 NW 37TH CT.
Street Address

Street Address (where service shall be made)

CORAL SPRINGS, FL. 33065
City State Zip

City State Zip

Telephone Number Facsimile Number (if any)
Number (if any)

Telephone Number Facsimile

doggymary10@gmail.com
E-mail

E-mail

SIGNATURE

YOU MUST LET THE COMMISSION KNOW IF YOUR E-MAIL OR MAILING ADDRESS CHANGES

5 NW 37th Court
l Springs, FL. 33065
C-2025065351-OGC

FLORIDA GAMING
CONTROL COMMISSION

4070 ESPLANADE WAY.
Suite 250
TALLAHASSEE, FL. 32399-7033

2025 DEC -2 PM 12:44
FLORIDA GAMING
CONTROL COMMISSION

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: **10/31/2025**
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2025-065351

NORBERTO FUEGUEL,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Norberto Fueguel ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering, slot machines, and cardroom operations pursuant to chapters 550, 551, and 849, Florida Statutes.

2. At all times material hereto, Respondent held a slot machine/cardroom/pari-mutuel individual combination occupational license, number 11593364, issued pursuant to section 551.107(2)(b), Florida Statutes.

3. At all times material hereto, Dania Entertainment Center, LLC, d/b/a Dania Jai-Alai and/or The Casino @ Dania Beach ("Dania") was a facility operated by a permitholder authorized to conduct pari-mutuel wagering, cardroom operations, and slot machine operations in the state of Florida.

4. At all times material hereto, Respondent worked as Poker Supervisor at Dania.

5. On or about September 2, 2025, Respondent was ejected from Dania.

6. On or about September 3, 2025, Respondent was permanently excluded from Dania.

7. On or about September 3, 2025, Respondent's employment with Dania was terminated.

COUNT I

8. Petitioner realleges and incorporates the allegations contained within paragraphs one through seven as though fully set forth herein.

9. Upon review of Dania's surveillance footage recorded on or about August 18, 2025, and August 25, 2025, Dania staff observed Respondent engaging in theft of more than $1,000 currency from the facility.

10. Upon review of the results of an internal facility audit of player bonus payouts and upon review of Dania's surveillance footage, Dania staff determined that Respondent falsified player bonus payout documentation on or about August 18, 2025, and on or about August 25, 2025.

11. Rule 75-11.005(4), Florida Administrative Code, provides, in relevant part, that "[n]o person shall, either directly or indirectly (a) [e]mploy or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator."

12. Section 849.086(14)(a), Florida Statutes, provides that:

> The commission may deny a license or the renewal thereof, or may suspend or revoke any license, when the applicant has: violated or failed to comply with the provisions of this section or any rules adopted pursuant thereto; knowingly caused, aided, abetted, or conspired with another to cause any person to violate this section or any rules adopted pursuant thereto; or obtained a license or permit by fraud, misrepresentation, or concealment; or if the holder of such license or permit is no longer eligible under this section.

13. Based on the foregoing, Respondent violated rule 75-11.005(4), Florida Administrative Code, by employing a device, scheme, or artifice to defraud the cardroom operator and is therefore subject to suspension or revocation of his occupational license.

COUNT II

14. Petitioner realleges and incorporates the allegations contained within paragraphs one through seven as though fully set forth herein.

15. Section 550.0251(6), Florida Statutes, provides in relevant part:

> In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

16. Section 551.112, Florida Statutes, provides:

> In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

17. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida based on his permanent exclusion from Dania on or about September 3, 2025.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order revoking Respondent's slot machine/cardroom/pari-mutuel individual combination occupational license, number 11593364, and excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida, in accordance with sections 550.0251(6) and 551.112, along with any other remedy provided by chapters 550 and 551, and section 849.086, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2025-065351 is signed on the 30th day of October 2025.

/s/ Justin Hundersmarck

Justin Hundersmarck
Senior Attorney
Florida Bar Number: 1039038
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Phone: (850) 794-8063
Fax: +1 850-536-8712
Primary: Justin.Hundersmarck@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

Lic Type	**1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination**			Status	**90 Closed**	Status Date	**09/12/2025**
Complaint #	**2025065351**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**FUEGUEL, NORBERTO**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INTR - Internal**	Priority	
Class'n	**V-C - Cardroom Violations**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**08/25/2025**
Region	**SR - Southern Region**	Received	**09/04/2025**
Reference	**75-11.005(4)(a)(b)(c); 550.025**		
Entered	**09/04/2025**	Entered By	**jhodge**
Summary	**274 - DANIA ENERTAINMENT CENTER, LLC - 75-11.005(4)(a)(b)(c); 550.0251(6) Rule ---- Between August 18, 2025 and August 25, 2025, Norberto FUEGUEL who is a Poker Supervisor at Casino Dania Beach, was observed via video surveillance, stealing cash in excess of one-thousand dollars from the facility. FUEGUEL would alter high hand payouts and edit the paperwork in order to receive extra cash which he took for himself. As a result of his actions FUEGUEL was fired and permanently excluded from the facility.**		
Updated	**09/12/2025 10:26:33**	By	**jhodge**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☐ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

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Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Joe Dillmore, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**SOUTHERN**	**September 4, 2025**	**2025 06 5351**

Respondent:	Complainant:
FUEGUEL, NORBERTO **10835 N.W. 37TH COURT** **CORAL SPRINGS, FLORIDA 33065**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # and Type:	Profession:	Report Date:
11593364 / 1055	**Poker Supervisor**	**September 5, 2025**

Period of Investigation:	Type of Report:
September 2, 2025 - September 5, 2025	**FINAL**

Alleged Violation(s): F.S.S. 550.0251 Powers and duties of the Florida Gaming Control Commission
(6) In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state. The commission may authorize any person who has been ejected or excluded from pari-mutuel facilities in this state or another state to attend the pari-mutuel facilities in this state upon a finding that the attendance of such person at pari-mutuel facilities would not be adverse to the public interest or to the integrity of the sport or industry; however, this subsection shall not be construed to abrogate the common-law right of a pari-mutuel permitholder to exclude absolutely a patron in this state.

F.A.C. Rule 75-11.005
(4). No person shall, either directly or indirectly:
(a).Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.
(b). Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.
(c). Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.

Synopsis: Between August 18, 2025, and August 25, 2025, Norberto **FUEGUE**L who was a Poker Supervisor at Casino Dania Beach (CDB), was observed via video surveillance, stealing cash in excess of one-thousand dollars from the facility. **FUEGUEL** would alter high hand payouts and edit the related paperwork in order to create a cash overage which he then took for himself.

Related Case(s):

Investigations Specialist II / Date	Investigation Manager / Date:
William Smith / September 5, 2025	Tyrell D. Smith / September 9, 2025

Chief of Investigations / Date:

Bradford D. Jones / September 12, 2025

CONTINUATION

Further investigation revealed that on August 25, 2025, Casino Dania Beach (CDB) Poker Manager, David Berman requested an audit of Poker Supervisor Norberto **FUEGUEL's** payouts for High Hands. Upon speaking with Berman, he provided the following statement via email:

On August 25, I requested an audit of the Poker Supervisor's payouts for that date. In response, Surveillance provided a detailed report outlining the amounts paid for each winning high hand.

Upon comparing the surveillance report to the official Payout Log, discrepancies were identified. Specifically, it was found that twice Norberto logged 1-card high hands, which should be paid at $350, as 2-card high hands, which have a $700 payout. The difference in funds was then retained in the rolling vault.

This misrepresentation resulted in a $700 overage. When balancing the bank at the end of his shift, Norberto would then pocket the overage created by falsifying the payout records.

After identifying these discrepancies on August 25, we proceeded to audit August 18 and found that Norberto had engaged in the exact same behavior multiple times on that date as well, falsifying payout logs to create an overage in high hand payouts and then taking the cash during the bank balancing process.

After obtaining Berman's statement this Investigator then reviewed the related Surveillance and Security Reports. I also reviewed video coverage of the incident with CDB Surveillance Operator Brian Steele **(EXHIBIT #2).** Special attention was given to the associated High Hand Logs submitted by **FUEGUEL** dated August 18th and August 25, 2025 **(EXHIBIT #4).**

Upon viewing the Surveillance footage of the incident, **FUEGUEL'S** process was as follows:

A player gets a one card high hand which pays out 50% of the high hand at a total of $350 (a two-card high hand pays out 100% of the high hand at $700). Money for high hands is paid from a special bank referred to as the Rolling Vault (RV), and paperwork is recorded indicating the winner's table, seat number and amount won.

On several incidents reviewed from August 18-25, 2025, after a player was paid $350 for a one card high hand, **FUEGUEL** would edit the paperwork to indicate a two-card high hand payout for $700. After editing the paperwork there is a chip overage of $350. **FUEGUEL** conceals those chips by placing the overage of chips into the Brush / Supervisor tip box. Tips are placed in this box during the course of regular business, in the form of either cash or chips. These tips are commonly taken out of the box, brought to the Cashier's Cage and converted to cash. The protocol followed is that on Mondays the contents of the tip box are brought to the cage, the tip amounts are calculated and then distributed to employees.

On video this Investigator observed **FUEGEUL** taking chips from the RV and exchanging multiple black $100 chips for multiple $100 bills and placing the cash back in the RV. The overage has now been converted to cash. I then observed on video **FUEGUEL** at the end of his shift taking the cash from the RV as well as some paperwork and walking to the cage. On the way to the cage, he pockets the cash.

Conclusion: As a result of his actions **FUEGUEL's** employment with CDB was terminated and he was permanently excluded from the facility **(EXHIBIT #5).** It was subsequently reported to this Investigator by Poker Manager Berman that **FUEGUEL** made restitution to CDB in an agreed amount of $10,650 to avoid criminal prosecution[1].

Status: Closed by Investigations and referred to Legal for review.

[1] ***When confronted by Berman, FUEGUEL denied any wrongdoing but also offered no counter explanation for his actions captured on surveillance video.***

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William Smith

From: David Berman <david.berman@daniacasino.com>
Sent: Wednesday, September 3, 2025 3:52 PM
To: William Smith
Subject: Norberto Fueguel

On August 25, I requested an audit of the poker supervisor's payouts for that date. In response, Surveillance provided a detailed report outlining the amounts paid for each winning high hand.

Upon comparing the surveillance report to the official payout log, discrepancies were identified. Specifically, it was found that twice Norberto logged 1-card high hands, which should be paid at $350, as 2-card high hands, which have a $700 payout. The difference in funds was then retained in the rolling vault.

This misrepresentation resulted in a $700 overage. When balancing the bank at the end of his shift, Norberto would then pocket the overage created by falsifying the payout records.

After identifying these discrepancies on August 25, we proceeded to audit August 18 and found that Norberto had engaged in the exact same behavior multiple times on that date as well, falsifying payout logs to create an overage in high hand payouts and then taking the cash during the bank balancing process.

David Berman
Poker Room Manager

THE CASINO @ DANIA BEACH
The Casino @ Dania Beach
301 East Dania Beach Blvd
Dania Beach, Florida 33004
Office: (954) 920 –1511 ext. 2501

EXHIBIT # 1
PAGE # 1

SIN-000033071 - Audit - Poker Department - Reported 08/27/2025 14:24

Author: Brian Steele

Report Number: SIN-000033071

Start Time: 08/27/2025 14:24

End Time:

Report Group: Surveillance Reports

Report Type: Audit - Poker Department

Note: Audit of Norberto's High Hands on 8/18 and 8/25

Location / Origin: Poker Room

[redacted]

EXHIBIT # 2
PAGE # 1

Author: Brian Steele **Created:** 08/27/2025 14:45

On August 27th, 2025 Surveillance conducted an audit of Poker Host Fueguel Norberto's High Hand payouts on the gaming dates of 8/18 and 8/25/2025. Norberto was observed paying out 1 card High Hands of $350 and editing the paperwork to reflect payments of $700.

08/18/2025

The following was observed:

- At 08:14:45 hrs Norberto is counting Poker Brush Tips at Poker Table #2.
- At 08:16:12 hrs Norberto exchanges $700 in $100 bills for 7 Black Cheques from the RV.
- At 08:16:40 hrs Norberto places the $700 in Black Cheques with other Black Cheques from the Tip Box.
- At 08:20:24 hrs Norberto places all of the Black Cheques on a rack, with other cheques also from the Tip Box.
- At 08:31:14 hrs Norberto leaves Poker Table #2 with the Rack of Cheques.
- At 08:31:21 hrs Norberto places the Rack of Cheques and cash on the Poker Brush counter. The Cash was placed under the Rack.
- At 09:06:22 hrs Norberto takes cash and Rack of Checks and exits the Poker Brush.
- At 09:06:56 hrs Norberto is at the Poker Cage window #2 with the Rack of Cheques and Tip Box cash.
- At 09:07:54 hrs Cage Cashier Jasemine Myles spreads $2,108 in cheques and runs $414 in the Jetscan totaling to $2,522.
- At 09:10:06 hrs Norberto receives $2,522 all in cash from Myles at Poker Cage window #2.
- At 09:10:44 hrs Norberto returns to the Poker Brush with the cash and separates the $2,522 into envelopes. ($278 - $274 - $266 - $200 - $252 - $267 - $274 - $184 - $279 - $57 - $71 - $120).
- At 09:15:34 hrs Norberto places the envelopes in the RV.
- At 09:25:08 hrs Poker Dealer Sonny Quach brings cheques and Cash to the Brush.
- At 09:25:32 hrs Norberto removes the cash from the racks of cheques, counts $200 and puts it down. Then he counts $500. A few seconds later, Norberto places the $200 with the $700 in the RV, totaling to $900.
- At 10:06:18 hrs Norberto picks up $900 cash and 1 Black Cheque from Poker Table #4.
- At 10:08:19 hrs Norberto places the $900 cash in the RV.
- At 16:43:52 hrs Norberto removes $500 cash from RV.
- At 16:44:19 hrs Norberto receives $500 in green cheques at the Poker Cage by Cage Cashier Michael Villa.
- At 16:44:48 hrs Norberto places the $500 green cheques in the RV.
- At 17:07:39 hrs Norberto removes $200 in cash from the RV.
- At 17:08:33 hrs Norberto receives $200 in red cheques for $200 cash at the Poker Cage by Villa.
- At 17:09:04 hrs Norberto places the $200 in red cheques in the RV.
- At 18:01:28 hrs Norberto opens the RV as Poker Host Polizzi is present.
- At 18:01:30 hrs Norberto removes $700 from the RV.
- At 18:01:41 hrs Norberto exits the Poker Bush with $700 and the High hand sheet.
- At 18:01:49 hrs Norberto folds the $700 in his right hand.
- At 18:01:54 hrs Norberto places the $700 in his right pocket.
- At 18:02:02 hrs Norberto arrives at the Poker Cage with just the High hand Sheet in hand.
- At 18:02:46 hrs Norberto leaves the Poker Cage with $5K in cheques after turning in the high hand sheet.
- At 18:03:18 hrs Norberto arrives at the Poker Brush.
- At 18:03:40 hrs Poker Host Polizzi places the $5K cheques inside the RV.
- At 18:05:03 hrs Norberto exits the Poker Brush while holding paperwork and proceeds to the escalators.
- At 18:05:29 hrs Norberto takes the escalators to the 1st floor and walks toward the Fronton Entrance.
- At 18:06:14 hrs Norberto stops in front of The Grill and walks back towards the escalators.
- At 18:06:44 hrs Norberto takes the escalators to the 2nd floor and walks toward the Poker Cage.
- At 18:07:19 hrs Norberto drops off the paperwork at the Poker Cage and proceeds back toward the escalators.
- At 18:07:35 hrs Norberto takes the escalator to the 1st floor and walks toward the Fronton Entrance.
- At 18:08:20 hrs Norberto enters the Receiving Hallway and proceeds toward the Security Office.
- At 18:08:50 hrs Norberto returns his key in the Security Office Lobby.
- At 18:09:16 hrs Norberto exits the Security Office and proceeds to his vehicle in the Employee Parking Lot.
- At 18:10:23 hrs Norberto enters his vehicle and exits casino property via the North Parking Lot.

Note: Norberto was not observed removing the cash from his right pocket before exiting casino property.

8/18/2025 | High hands.

High hands left to do:

- 9:30 AM
- 5:30 PM

EXHIBIT # 2
PAGE # 2

- 6:00 PM
- 6:30 PM
- 7:00 PM
- 7:30 PM
- 8:00 PM
- 8:30 PM
- 9:00 PM
- 10:30 PM
- 11:30 PM

cannot see payouts for 2PM or 4PM high hands, obstructed view | 2PM high hand paid at 14:04:49 4PM 16:00:56 | both at poker brush

- At 10:16:07 hrs, Table #5, Seat #7 showed 2 cards four of a kind.
- At 10:30:59 hours, Norberto paid out $400 to Table #9, Seat #1.
    - Paperwork states Amount $400, Half NO, Edited NO
    - High Hand Sheet states Amount $400

- At 10:57:38 hrs, Table #5, Seat #2 showed 2 cards full house.
- At 11:01:58 hours, Norberto paid out $200 to Table #5, Seat #2.
    - Paperwork states Amount $200, Half NO, Edited NO
    - High Hand Sheet states Amount $200

- At xx:xx:xx hrs, Table #4, Seat #4 showed xx cards xxx.
- At 11:35:11 hours, Norberto paid out $200 to Table #4, Seat #4.
    - Paperwork states Amount $200, Half NO, Edited NO
    - High Hand Sheet states Amount $200

- At xx: xx: xx hrs, Table #6, Seat #7 showed xx cards.
- At 12:10:43 hours, Norberto paid out $200 to Table #6, Seat #7.
    - Paperwork states Amount $200, Half NO, Edited NO
    - High Hand Sheet states Amount $200

- At xx: xx: xx hrs, Table #6, Seat #1 showed xx cards.
- At 12:31:30 hours, Norberto paid out $600 to Table #6, Seat #1.
    - Paperwork states Amount $600, Half NO, Edited NO
    - High Hand Sheet states Amount $200

- At xx: xx: xx hrs, Table #9, Seat #8 showed xx cards.
- At 13:35:03 hours, Norberto paid out $600 to Table #9, Seat #8.
    - Paperwork states Amount $600, Half NO, Edited NO
    - High Hand Sheet states Amount $600

- At xx: xx: xx hrs, Table #5, Seat #2 showed xx cards.
- At 15:01:20 hours, Norberto paid out $500 to Table #5, Seat #2.
    - Paperwork states Amount $500, Half YES, Edited NO
    - High Hand Sheet states Amount $1000.

- At xx: xx: xx hrs, Table #7, Seat #1 showed xx cards.
- At 15:31:54 hours, Norberto paid out $400 to Table #7, Seat #1.
    - Paperwork states Amount $800, Half NO, Edited YES.
    - High Hand Sheet states Amount $800

- At xx: xx: xx hrs, Table #6, Seat #2 showed xx cards.
- At 17:02:07 hours, Norberto paid out $500 to Table #6, Seat #2.
    - Paperwork states Amount $200, Half NO, Edited YES
    - High Hand Sheet states Amount $1000

08/25/2025

The following was observed:

- At 08:35:05 hrs Norberto is counting Poker Brush Tips at Poker Table #2.
- At 08:36:27 hrs Norberto exchanges $600 in $100 bills for 6 Black Cheques from the RV.

EXHIBIT # 2
PAGE # 3

- At 16:13:32 hrs Norberto removes cash from the RV and separates $700 into his right hand while leaving $500 in his left.
- At 16:13:46 hrs Norberto places the $700 into his right pocket on his way to the Poker Cage.
- At 16:14:00 hrs Norberto exchanges $500 cash for $500 in cheques at Window #2 of the Poker Cage.
- At 16:14:43 hrs Norberto places the $500 in cheques inside the RV and stands inside the Poker Brush with Poker Dealer Doris Giron.
- At 16:34:54 hrs Norberto exits the Poker Brush and walks to Poker Table #21.
- At 16:36:27 hrs Norberto is at Poker Table #20.
- At 16:37:22 hrs Norberto returns to the Poker Brush.
- At 16:39:42 hrs Norberto exits the Poker Brush and walks to Poker Table #7.
- At 16:43:44 hrs Norberto returns to the Poker Brush and removes an envelope to hand to Chip Runner Wipathip Tannoitananchai.
- At 16:46:21 hrs Norberto exits the Poker Brush and stands in the Poker Room walkway overlooking the slot floor.
- At 16:47:14 hrs Norberto is at Poker Table #4 followed by Poker Table #5.
- At 16:50:47 hrs Norberto returns to the Poker Brush.
- At 16:53:16 hrs Norberto walks over to Poker Table #4.
- At 16:53:53 hrs Norberto returns to the Poker Brush.
- At 16:58:26 hrs Norberto walks over to Poker Table #6.
- At 16:58:47 hrs Norberto returns to the Poker Brush.
- At 16:59:32 hrs Norberto walks over to Poker Table #7.
- At 16:59:56 hrs Norberto returns to the Poker Brush.
- At 17:02:25 hrs Norberto removes cheques from the RV for a High Hand.
- At 17:03:05 hrs Norberto pays a High Hand at Poker Table #7.
- At 17:04:18 hrs Norberto returns to the Poker Brush.
- At 17:06:00 hrs Norberto exits the Poker Brush and walks over to Poker Table #20 followed by Poker Table #21.
- At 17:08:06 hrs Norberto is at the Sports Bar.
- At 17:08:56 hrs Norberto returns to the Poker Brush.
- At 17:10:09 hrs Norberto is at Poker Table #6.
- At 17:10:38 hrs Norberto returns to the Poker Brush.
- At 17:10:54 hrs Norberto retrieves cash from a patron at Poker Table #21 and runs it to the Poker Cage to purchase cheques.
- At 17:12:42 hrs Norberto drops off the cheques at Poker Table #21 and returns to the Poker Brush.
- At 17:15:15 hrs Norberto enters the Poker Restrooms.
- At 17:17:34 hrs Norberto is at Poker Table #20.
- At 17:18:23 hrs Norberto returns to the Poker Brush.
- At 17:24:37 hrs Norberto brings paperwork to the Poker Cage and is retrieving cheques.
- At 17:25:46 hrs Norberto returns to the Poker Brush and leaves the cheques next to the computer.
- At 17:26:14 hrs Norberto is at Poker Table #5 followed by #6,#7, #8, #9 and #4.
- At 17:27:58 hrs Norberto returns to the Poker Brush.
- At 17:32:28 hrs Norberto removes cheques from the RV for a High Hand.
- At 17:32:50 hrs Norberto pays the High Hand at Poker Table #4.
- At 17:33:07 hrs Norberto returns to the Poker Brush.
- At 17:39:53 hrs Norberto moves a drink table over to Poker Table #21 and returns to the Poker Brush.
- At 17:42:24 hrs Norberto removes cheques from the RV and walks to the Poker Cage.
- At 17:43:13 hrs Norberto colors down $200 in cheques at the Poker Cage.
- At 17:43:51 hrs Norberto returns to the Poker Brush and places the $200 in cheques inside the RV.
- At 17:55:21 hrs Norberto is at the Poker Cage with High Hand Paperwork.
- At 17:56:12 hrs Norberto retrieves $4,100 in cheques at the Poker Cage.
- At 17:56:40 hrs Norberto returns to the Poker Brush and places the $4,100 in cheques inside the RV.
- At 18:06:52 hrs Norberto exits the Poker Brush and walks to the escalators.
- At 18:07:19 hrs Norberto takes the escalator to the 1st floor and walks toward the Fronton Entrance.
- At 18:08:20 hrs Norberto enters the Receiving Hallway and proceeds toward the Security Office.
- At 18:08:37 hrs Norberto returns his key in the Security Office Lobby.
- At 18:09:21 hrs Norberto exits the Security Office and proceeds to his vehicle in the Employee Parking Lot.
- At 18:10:55 hrs Norberto enters his vehicle and exits casino property via the North Parking Lot.

Note: Norberto was not observed removing the cash from his right pocket before exiting casino property.

08/25/2025 | High Hands

- At 09:21:45 hrs, Table #5, Seat #5 showed 2 cards Full House (Aces/King).
- At 09:32:00 hours, Norberto paid out $200 to Table #5, Seat #5.

EXHIBIT # 2
PAGE # 4

- Paperwork states Amount $200, Half NO, Edited NO
- High Hand Sheet states Amount $200

- At 10:06:33 hrs, Table #4, Seat #2 showed 2 cards Full House Aces/4)
- At 10:32:46 hours, Norberto paid to Table 9, Seat #4.
  - Paperwork states Amount $400, Half NO, Edited NO
  - High Hand Sheet states Amount $400

- At 10:52:25 hrs, Table #5, Seat #3, showed 2 card Four of a Kind (9's) with King high.
- At 11:02:34 hours, Norberto paid $200 to Table #5, Seat #3 and received one red cheque ($5.00) as tip.
  - Paperwork states Amount $200, Half NO, Edited NO
  - High Hand Sheet states Amount $200

- At 11:36:43 hrs, Table #5, Seat #3, showed 2 card Four of a Kind (10's) with King high.
- At 12:02:28 hours, Norberto paid $350.00 to Table #5, Seat #3 and received one red cheque ($5.00) as tip.
  - Paperwork states Amount $400, Half NO, Edited NO
  - High Hand Sheet states Amount $400

- At 12:01:28 hrs, Table #5, Seat #8, showed 2 card Four of a Kind (J's) with Ace high.
- At 13:03:56 hours, Norberto paid $350.00 to Table #8, Seat #1 and received one red cheque ($5.00) as tip.
  - Paperwork states Amount $700, Half NO, Edited NO
  - High Hand Sheet states Amount $700

- At 12:44:12 hrs, Table #8, Seat #1, showed 1 card Four of a Kind (J's) with 9 high.
- At 13:03:56 hours, Norberto paid $350.00 to Table #5, Seat #8 and received one red cheque ($5.00) as tip.
  - Paperwork states Amount $700, Half NO, Edited YES
  - High Hand Sheet states Amount $700

- At 13:12:12 hrs, Table #7, Seat #8 showed 2 card Four of a kind (J's) with 10 High.
- At 13:37:53 hrs, Norberto paid $700 to Table #7, Seat #8 and one red cheque ($5.00) as tip.
  - Paperwork states Amount $700, Half NO, Edited NO
  - High Hand Sheet states Amount $700

- At 13:37:11 hours, Table #6, Seat #4, showed 1 card Straight Flush (3-7/Hearts).
- At 14:01:15 hours, Norberto paid out $350.00 to seat #4 and received one red cheque ($5.00) as tip.
  - Paperwork states Amount $350, Half Yes, Edited NO
  - High Hand Sheet states Amount $700
  -
- Note : High hands from 09:21:45 hrs, to 13:30 hrs.
  - At 13:41 hrs, Norberto arrives at the poker cage with high hand sheet that matches (total $3,300) and places the cheques inside the RV. Overage of $1,050.00

- At 14:05:33 hours, Table #4, Seat #2, showed 1 card Straight Flush (6-10/Clubs).
- At 14:34:43 hours, Norberto paid out $350.00 to Table #4 seat #2 and received one red cheque ($5.00) as tip.
  - Paperwork states Amount $700, Half NO, Edited YES
  - High Hand Sheet states Amount $350

- At 14:49:34 hrs, Table #9, Seat #1, showed 2 card Full House (Aces/Queen).
- At 15:06:26 hrs, Giron paid out $700.00 to seat #1 and received no tip.
  - Paperwork states Amount $700, Half NO, Edited NO
  - High Hand Sheet states Amount $700

- At 15:00:09 hrs, Table #6, Seat #1 showed 1 card Full House (Aces/8's).
- At 15:31:23 hrs, Norberto paid out $350 at table 6, seat 1, and received a one red cheque ($5.00 as a tip.)
  - Paperwork states Amount $350, Half Yes, Edited NO
  - High Hand Sheet states Amount $350

- At 16:59:38 hrs, Table #7, Seat #8 showed 2 cards Four of a Kind (10's) with 7 High.
- At 17:03:40 hrs, Norberto paid out $1000 to Table 7, seat #8 and received one red cheque ($5.00 as a tip).
  - Paperwork states Amount $1000, Half NO, Edited YES
  - High Hand Sheet states Amount $1000

- At 17:03:54 hrs, Table #4, Seat #4 showed Four of a Kind (4's) with King high.
- At 17:53:26 hrs, Norberto paid out a $1,000 to a W/M patron at the Brush who sat at Table 4, seat#4.
  - Paperwork states Amount $1000, Half NO, Edited NO
  - High Hand Sheet states Amount $1000

EXHIBIT # 2
PAGE # 5

Person

Name: Fueguel Norberto

Alias:

Race: White

Gender: Male

Eye color: Brown

Hair color: Bald

Keywords: Employee

Comments: Poker Host

Signature A **Date** **Signature B** **Date**

EXHIBIT # 2
PAGE # 6

THE CASINO @ DANIA BEACH

Security Department

The Casino @ Dania Beach - 301 E. Dania Beach Blvd
(954) 920-1511 -ext 1204 - www.casinodaniabeach.com

SEC-000005472 - Employee - Theft - Reported 09/03/2025 12:54

Author: Adewale Adesuyi
Report Number: SEC-000005472
Start Time: 09/03/2025 12:54
End Time: 09/03/2025 13:29
Report Group: Security Reports
Report Type: Employee - Theft
Note: Employee-Procedure Violation Incident-Termination
Location / Origin: Poker Brush Podium

Narrative

Author: Adewale Adesuyi
Created: 09/03/2025 12:54

On Wednesday, August 27th, 2025 at approximately 6:01 pm, surveillance personnel observed Poker Host Fueguel Norberto engaging in theft while on duty in the Poker Brush Podium area. The activity was recorded and documented by the Surveillance Department. Upon confirmation of the theft, Casino Security and Human Resources were notified. Security responded to the Poker Room and escorted the employee to the Security Office. Surveillance footage was reviewed in the presence of Management, and the employee was questioned. Based on the evidence, the decision was made by Casino Management to terminate Mr. Norberto immediately for violation of company policy and theft of casino property. The employee's gaming license and ID badge were collected, and their access privileges were revoked. Security escorted Mr. Norberto off property without further incident via the Administrative Building entrance. Follow-Up Actions: Report forwarded to Gaming Commission for review. HR notified for termination documentation. Investigation file submitted to Security Director.

Person

Name: Fueguel Norberto
Alias:
Race: White
Gender: Male
Eye color: Brown
Hair color: Bald
Height: 5'06" Inches
Keywords: Ejection,Employee,Permanent,Terminated,Theft,Trespass

Signature A [redacted] **e**

EXHIBIT # 2
PAGE # 7

State of Florida
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Investigations

PROPERTY RECEIPT

Complaint# 20250653S1 Date 9/4/25 Lab # _____

Item No.	Quantity	Description
1	1	Flash Drive Norberto Fuegel
		Casino Dania Beach Theft

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.	I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.
Signature	Signature – Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature _____ Date _____

Received by: _____ Date: _____
Received by: _____ Date: _____
Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

Form FGCC 11-75 (Revised 07/22)

EXHIBIT # 3
PAGE # 1

THE CASINO @ DANIA BEACH

Evidence Receipt
Property Release Form

Date: 9-3-2025
Time: _____

SELECT ALL THAT APPLIES

☐ USB ☐ PHOTO ☑ REPORT ☑ DOCUMENTS

Surveillance Case # 33071 Date of Incident: 9/18 - 9/25

Description: Miguel Norberto High Hand Theft

Received By: William T Smith Title: ~~Investigator~~ FGCC

Signature: _____ Date: 9/3/24

Released By: Brian Steele Employee #: 2493

Approved by Custodian of Records: _____

Signature: _____ Date: _____

THE CASINO @ DANIA BEACH

Evidence Receipt
Property Release Form

Date: _____
Time: _____

SELECT ALL THAT APPLIES

☐ USB ☐ PHOTO ☐ REPORT ☐ DOCUMENTS

Surveillance Case # _____ Date of Incident: _____

Description: _____

Received By: _____ Title: _____

Signature: _____ Date: _____

Released By: _____ Employee #: _____

Approved by Custodian of Records: _____

Signature: _____ Date: _____

STAPLE SUBPEONAS TO THIS FORM

EXHIBIT # 3
PAGE # 2

"PAPERWORK" Aug 25

Time	Table	Seat	Hand	Amount	Half	Edited
12:00 AM	-	-	-	200	No	No
11:30 PM	6	6	A 2 3 4 5	100	Yes	No
11:00 PM	11	9	A A A J J	200	No	No
10:30 PM	8	4	8 8 8 8 A	300	No	No
10:00 PM	9	4	T T T T A	1000	No	No
9:30 PM	5	8	A A A K K	1000	No	No
9:00 PM	9	4	A A A 9 9	500	Yes	No
8:30 PM	-	-	-	1000	No	No
8:00 PM	7	6	A A A 10 10	1000	No	No
7:30 PM	-	-	-	1000	No	No
7:00 PM	-	-	-	900	No	No
6:30 PM	8	4	A A A 3 3	500	Yes	Yes
6:00 PM	6	5	A 2 3 4 5	500	Yes	No
5:30 PM	4	4	Q Q Q Q K	1000	No	No
5:00 PM	7	8	10 10 10 10 7	1000	No	Yes
4:30 PM	-	-	-	1000	No	No
4:00 PM	-	-	-	700	No	No
3:30 PM	6	1	A A A 8 8	350	Yes	No
3:00 PM	9	1	A A A Q Q	700	No	No
2:30 PM	4	2	6 7 8 9 10	700	No	Yes
2:00 PM	6	4	3 4 5 6 7	850	Yes	No
1:30 PM	7	8	J J J J 10	700	No	No
1:00 PM	8	1	J J J J 9	700	No	Yes
12:30 PM	5	8	J J J J A	700	No	No
12:00 PM	5	3	10 10 10 10 K	400	No	No
11:30 AM	-	-	-	200	No	No
11:00 AM	5	3	9 9 9 9 K	200	No	No
10:30 AM	4	2	A A A 4 4	400	No	No
10:00 AM	-	-	-	200	No	No
9:30 AM	5	5	A A A K K	200	No	No

$10000 Paid $10000

Paid $350

Paid $350

Paid $200

High stand at 17:54:13

Table 4, seat 7

EXHIBIT # 4
PAGE # 1

Aug 18

Time	Table	Seat	Hand	Amount	Half	Edited
12:00 AM	-	-	-	200	No	No
11:30 PM	9	6	6 6 6 6 T	400	No	No
11:00 PM	-	-	-	200	No	No
10:30 PM	9	7	5 6 7 8 9	600	No	No
10:00 PM	-	-	-	400	No	No
9:30 PM	-	-	-	200	No	No
9:00 PM	6	6	7 8 9 10 J	600	No	No
8:30 PM	7	3	A A A 3 3	600	No	No
8:00 PM	10	8	Q Q Q Q 10	600	No	Yes
7:30 PM	10	4	A A A A J	600	No	No
7:00 PM	14	7	J J J J A	300	Yes	No
6:30 PM	4	1	5 5 5 5 A	300	Yes	No
6:00 PM	6	2	8 9 10 J Q	600	No	No
5:30 PM	7	5 & 7	A A A 5 5	800	No	No
5:00 PM	6	2	A A A 9 9	1000	No	Yes
4:30 PM	-	-	-	600	No	No
4:00 PM	7	1 & 8	A A A Q Q	300	Yes	No
3:30 PM	7	1	A A A K K	800	No	Yes
3:00 PM	5	2	A A A 10 10	500	Yes	No
2:30 PM	-	-	-	600	No	No
2:00 PM	8	5	A A A A J	600	No	No
1:30 PM	9	8	10 10 10 10 J	600	No	No
1:00 PM	5	1	K K K K 10	600	No	No
12:30 PM	6	1	A A A A 5	600	No	No
12:00 PM	6	7	10 10 10 10 8	200	No	No
11:30 AM	4	4	J J J J Q	200	No	No
11:00 AM	5	2	A A A 4 4	200	No	No
10:30 AM	5	7	K K K K 10	400	No	No
10:00 AM	-	-	-	200	No	No

EXHIBIT #4
PAGE # 2

THE CASINO @
DANIA BEACH

Exclusion

NAME / LAST NAME: NORBERTO FUEGUEL

D.O.B. [redacted] Slot/Combo # 11593364

PHONE NUMBER 305-746-9787

ADDRESS: 10835 NW 37 CT CITY: CORAL SPRINGS ST: FL ZIP: 33065

RACE: WHT SEX: M HEIGHT: 5'06" WEIGHT: _____ HAIR: BALD EYES: BRN

REASON FOR EXCLUSION: Theft

The above-named person was advised to stay off THE CASINO @ DANIA BEACH property for a period of:

☐ 24 Hrs ☐ 3 Days ☐ 7 Days ☐ 30 Days ☐ 3 Months ☐ 6 Months ☐ 1 Year ☐ 2 Year ■ Permanent

Notification was given on 09-02-2025 at _____ hours.

If the above-named person returns onto said property during the stated period of time, it may result in his/her ARREST for Criminal Trespass, in accordance with the applicable provisions of

DL # [redacted]

SUBJECT'S SIGNATURE: (Unattainable) He was informed verbally

ARRESTED: YES (NO)

SECURITY AGENT: Adewale Adesayi DATE: 09-03-2025

EXHIBIT # 5
PAGE # 1